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A Controlled Trial



T Cells Engineered to Express a T-cell Receptor Specific for Glypican Recognize and Kill Hepatoma Cells in Vitro and in Mice

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Short title: Glypican-3 T-cell therapy for hepatocellular carcinoma

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- Abbreviations: DC, dendritic cell; E:T, effector to target cell ratio; GPC3, glypican-3; HCC, hepatocellular carcinoma; HS, human serum; IFN, interferon; IL, interleukin; *ivt* RNA, *in vitro* RNA; moDC, monocyte derived DC; PBMC, peripheral blood mononuclear cells; SCID, severe combined immunodeficiency; SD standard deviation; TAA, tumor associated antigen; TCR, T-cell receptor; TRAV, TCR variable α-chain; TRBV, TCR variable β-chain; TIL, tumor-infiltrating lymphocytes; TNF, tumor necrosis factor
- Author contributions: C.D. designed and performed research, analyzed data and drafted the manuscript. U.P. and M.F.S. designed the study, U.P. guided and supervised all experimental work and finalized the manuscript. M.B.S. performed mass spectrometry, corresponding data analysis and helped with manuscript preparation. M.H. performed immunohistochemistry. J.H., J.B., F.T., G.R. and F.Z. performed the *in vivo* experiments, K.S. and D.W. provided expertise and instrumentation. S.W., F.B., K.W., W.U., A.M.K. and D.J.S. supported T-cell receptor isolation and transfer. D.H.B. and M.S. prepared streptamers and provided cell sorting. All authors reviewed and edited the final manuscript.
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Abstract

Background & Aims: Cancer therapies are being developed based on our ability to direct T cells against tumor antigens. Glypican 3 (GPC3) is expressed by 75% of all hepatocellular carcinomas (HCC) but not in healthy liver tissue or other organs. We aimed to generate T cells with GPC3-specific receptors that recognize HCC and used them to eliminate GPC3-expressing xenograft tumors grown from human HCC cells in mice.

Methods: We used mass spectrometry and to obtain a comprehensive peptidome from GPC3-expressing hepatoma cells after immune-affinity purification of HLA-A2, and used bioinformatics to identify immunodominant peptides. To circumvent GPC3-tolerance resulting from fetal expression, dendritic cells from HLA-A2 negative donors were co-transfected with GPC3 and HLA-A2 RNA to stimulate and expand antigen-specific T cells.

Results: Peptide GPC3₃₆₇ was identified as a predominant peptide on HLA-A2. We used A2-GPC3₃₆₇ multimers to detect, select for, and clone GPC3-specific T cells. These clones bound the A2-GPC3₃₆₇ multimer and secreted interferon-γ when cultured with GPC3₃₆₇, but not with control peptide loaded cells. By genomic sequencing of these T-cell clones, we identified a gene encoding a dominant T-cell receptor. The gene was cloned, the sequence was codon optimized and expressed from a retroviral vector. Primary CD8⁺ T cells that expressed the transgenic T-cell receptor specifically bound GPC3₃₆₇ on HLA-A2. These T cells killed GPC3-expressing hepatoma cells in culture and slowed growth of HCC xenograft tumors in mice.

Conclusion: We identified a GPC3367-specific T-cell receptor. Expression of this receptor by T cells allows them to recognize and kill GPC3-positive hepatoma cells. This finding could be used to advance development of adoptive T-cell therapy for HCC.

Keywords: cancer immunotherapy; tumor-associated antigens; liver cancer; immune response

Introduction

Hepatocellular carcinoma (HCC) represents the second most frequent cause of cancer related death worldwide with approximately 800,000 cases per year.¹ In Africa and Asia, HCC has become the most common cause of cancer-related death due to the high prevalence of HBV and HCV infections. In Europe and in the USA, HCC incidence rapidly increases as a consequence of non-alcoholic steatohepatitis.¹ Its intrinsic resistance to chemo- and radiotherapy and the lack of effective treatment alternatives contribute to the poor outcome.² Thus, new therapeutic strategies are urgently needed.

Immune cell infiltration has been shown to be beneficial for patients with HCC. Prolonged patient survival has been reported to be associated with CD8⁺ or NKT-cell infiltration.^{3,4} These findings render immunotherapy and in particular adoptive T-cell therapy an interesting treatment strategy for HCC.

Allogeneic stem cell transplantation and last not least recent success with adoptive T-cell therapies utilizing *in vitro*-expanded, tumor-infiltrating lymphocytes and lately T-cell receptor (TCR) grafting have led to increasing enthusiasm in the field of cancer immunotherapy.⁵ Grafting with TCRs that specifically recognize tumor-associated antigens (TAA) retargets patient's T cells towards tumor cells, and overcomes major drawbacks such as limited availability and low avidity of tumor-infiltrating lymphocytes.⁶ A major limitation however is, that TAA usually are self-proteins expressed in fetal tissue and high avidity T cells recognizing TAA epitopes have been negatively selected during T-cell development in the thymus. Allorestricted T-cell stimulation, however, can circumvent this problem and allows isolation of high affinity TAA-specific TCRs.⁷ We followed the idea that isolating high affinity TCRs against a typical TAA on HCC would pave the way for adoptive T-cell therapy as a new therapeutic approach.

HCC express a number of TAA that represent potential targets for antigen-specific adoptive T-cell therapy. Glypican-3 (GPC3), a 580 AA heparan sulphate proteoglycan, is expressed

during fetal development where it facilitates the interaction between growth factors and their receptors.⁸ GPC3 is believed to play a role in the control of cell division and growth regulation, and its expression in HCC is associated with poor prognosis.⁹ GPC3 is not expressed in healthy liver tissue but gets reactivated in ~75% of HCC, rendering GPC3 a suitable TAA for T-cell therapy even when its expression may show a mosaic pattern¹⁰. In the present study we stimulated GPC3-specific T cells in an allo-restricted fashion, isolated them by A2-GPC3 multimer staining and identified their specific TCR. Functionality and specificity of the isolated TCR towards human hepatoma cells was subsequently investigated *in vitro* and *in vivo*.

Materials and Methods

Stimulation of allo-restricted T cells. HLA-A2 RNA and GPC3 RNA were *in vitro* (*ivt*) transcribed and polyadenylated using the mMESSAGE mMACHINE T7 kit and Poly(A) tailing kit (both Life Technologies, Darmstadt, Germany). Mature monocyte-derived dendritic cells (moDC) were prepared from freshly isolated PBMC from a healthy HLA-A2 negative donor,¹¹ and maintained in low endotoxin RPMI1640 (Biochrom, Berlin, Germany) supplemented with 1.5% human serum and 50 IU/ml penicillin / streptomycin (P/S). MoDC were co-transfected with 35 μ g GPC3 and 60 μ g HLA-A2 *ivt*-RNA by exponential electroporation at 300 V and 150 μ F (Gene Pulser Xcell, Bio-Rad, Munich, Germany). HLA-A2 and GPC3 expression was confirmed by flow cytometry after staining with α -GPC3-APC (R&D, Wiesbaden, Germany) and α -HLA-A2-FITC (BioLegend, London, UK) antibodies.

1×10⁶ CD8⁺ T cells (Dynabeads® Untouched[™] Human CD8 T cells Kit, Life Technologies) were co-cultured with 1x10⁵ HLA-A2 and GPC3 *ivt*-RNA pulsed moDC from the same donor per well of a 24-well plate. 5 ng/ml IL-7 (PeproTech, Hamburg, Germany) were added on day 0 and 50 IU/ml IL-2 (Novartis, Quebec, Canada) on day 2 and thereafter every 3rd day. Primed T cells were restimulated at day 7 using freshly generated *ivt*-RNA pulsed moDC. T cells were maintained in human T-cell medium (hTCM): RPMI1640 dutch modified, 10% human serum (HS, isolated from healthy donors), 4 mM L-glutamine, 50 IU/ml P/S, 6 µg/ml

gentamycin, 1 mM sodium pyruvate and 1x non-essential amino acids (all from Life Technologies).

Multimer sorting and cloning of T cells. After 14 days of T-cell stimulation with moDC, HLA-A2 restricted GPC3-specific T cells were detected by staining with MHC-Streptamers (refolded A2-GPC3₃₆₇-streptag-fusion proteins multimerized with 0.4 μg PE-labelled Strep-Tactin (iba, Göttingen, Germany)) and α-CD8-APC (R&D).¹² A2-GPC3₃₆₇ multimer⁺ CD8⁺ T cells were enriched on a FACSAriaTM III cell sorter (BD Biosciences, Heidelberg, Germany) and cloned by limiting dilution in round-bottom 96-well plates containing 1x10⁵ irradiated (35 Gy) heterologous PBMC, 1x10⁴ irradiated (50 Gy) LCL-B27, 30 ng/ml α-CD3 (kindly provided by Elisabeth Kremmer, Helmholtz Zentrum München) and 50 IU/ml IL-2. After 14 days, GPC3-specific T-cell clones were identified by IFNγ ELISA (BioLegend) after co-incubation with peptide loaded T2 cells for 24 h. Specific T-cell clones were further expanded.

T-cell specificity. HLA-A2⁺ and TAP deficient human lymphoma T2 cells¹³, lymphoblastoid cell lines (LCL) expressing different HLA-A2 alleles, HLA-A2⁺ GPC3⁺ HepG2 or HLA-A2⁻ GPC3⁺ Huh7 cells were used as target cells. LCLs and T2 cells were maintained in hTCM without gentamycin. HepG2 and Huh7 cells were maintained in DMEM medium containing 10% FCS and otherwise the same supplements. T cells were co-incubated with 1x10⁴ peptide (iba) loaded (5 μM) target cells or 8x10⁴ human hepatoma cells in effector to target (E:T) ratios ranging from 0.2:1 to 5:1. After 24 h of co-culture supernatants were harvested and analysed by IFNγ ELISA.

TCR analysis and cloning of GPC3-specific TCRs. Total RNA was extracted from T-cell clones with Trizol and cDNA was synthesized using superscript III reverse transcriptase (both Life Technologies). TCR V α - (TRAV) and V β -chains (TRBV) were PCR amplified using degenerated primers,¹⁴ VPANHUM (TGAGTGTCCCPGAPGG2P) and CA2 (GTGACACATTTGTTTGAGAATC) for TRAV, VP1 (GCIITKTIYTGGTAYMGACA) or VP2

(CTITKTWTTGGTAYCIKCAG) and CP1 (GCACCTCCTTCCCATTCAC) for TRBV. TCR chains were identified by sequence analysis and blasting with IMGT/V-QUEST (Montpellier, France). Dominant TRAV and TRBV were optimized for mammalian codon usage and optimal gene expression (GeneOptimzer®), and according sequences were synthesized (GeneArt, Regensburg, Germany). TCR α - and β -chain were linked via a P2A element before the whole TCR construct was cloned into the retroviral vector pMP71¹⁵ via *EcoRI* and *NotI* restriction sites. For improved pairing of the transgenic TCR, constant domains were murinized and an additional disulfide bridge was introduced.

Retroviral TCR transfer into human PBMC. Human embryonic kidney (HEK) 293T cells were co-transfected with 2 μg pMP71-TCR-P1-1, 1 μg pcDNA3.1-Mo-MLV and 1 μg pALF-10A1 using 10 μl lipofectamine (Life Technologies). In parallel freshly isolated human PBMC were activated on α-CD28 (eBioscience, Frankfurt, Germany) and α-CD3 coated 24-well plates in hTCM supplemented with 300 IU/ml IL-2. After 2 days, retrovirus was coated onto 24-well culture plates with 20 μg/ml RetroNectin (TaKaRa Bio Europe SAS, St. Germain en Laye, France) by centrifugation at 2000 g for 2 h. Cells were composed of approximately 20-30% activated CD8⁺ and 50% CD4⁺ T and 20-30% other cells at that stage. Subsequently 1x10⁶ activated T cells/well in hTCM supplemented with 100 IU/ml IL-2 were spinoculated at 1000 g for 10 min (all at 32 °C). A second transduc tion with fresh retrovirus was performed 24 h later. Transduction efficacy was analysed by A2-GPC3₃₆₇ multimer staining. Unless otherwise stated, PBMC transduced in the exactly same manner with a TCR recognizing the peptide 183-191 of hepatitis B virus S protein presented on HLA-A2 were used as mock control.

Functional assays. Transduced PBMC were analysed in IFN_Y secretion assays as described above. Secretion of cytokines and translocation of LAMP-1 was furthermore investigated by intracellular cytokine staining with α -LAMP-1-PerCp-Cy5.5, α -IFN_Y-FITC (eBioscience), α -IL-2-APC (BD Biosciences) and α -TNF α -PE (ImmunoTools, Friesoythe, Germany). To assess killing ability of transduced PBMC, the cells were co-incubated with

HLA-A2⁺ GPC3⁺ HepG2 or HLA-A2⁻ GPC3⁺ Huh7 cells that were seeded on 96-well E-plates (ACEA Biosciences, San Diego, USA). Target cell viability was acquired over time on an xCELLigence[™] SP (ACEA Biosciences) measuring viability via attachment strength of cells indicated by impedance measures.

Adoptive transfer of P1-1 expressing T cells *in vivo*. In a first experiment, $5x10^5$ HepG2luc cells were injected into the splenic pulp of 8 week-old female severe combined immunodeficiency (SCID)/Beige mice. In a second experiment, $3x10^6$ cells were injected subcutaneously into the inguinal of 16- to 18-week old male recombinase activating gene-2 and common cytokine receptor gamma chain (Rag2^{-/-} $\gamma c^{-/-}$) double mutant mice. When tumors were established after 12 and 8 days, respectively, mice were injected intraperitoneally (i.p.) with 1x, 2x or $4x10^6$ TCR⁺ or mock transduced sorted CD8⁺ human T cells in 200 µl PBS as indicated. Tumor growth was monitored by bioluminescence imaging using the IVIS Imaging system (PerkinElmer, Rodgau, Germany) every 3 to 7 days under anesthetizia with 2% isoflurane and 4 mg/kg coelenterazine (PJK, Kleinblittersdorf, Germany). For quantification, regions of interest (ROI) were selected and quantified as fold-increase in photons/second using Living Image Software (PerkinElmer).

Statistical analysis

Data is reported as mean values \pm standard deviation (SD). To evaluate statistical differences between paired data sets the two-way-ANOVA with Bonferroni post-test was used. P values less than 0.05 were regarded as statistically significant. P values are indicated in the graphics as follows: *P*>0.05 (ns), *P*<0.05 (*), *P*<0.01 (**), *P*<0.001 (***). All analyses were performed with Prism 5.0 (GraphPad Software, Inc, La Jolla, USA).

Results

Identification of HLA-A2 restricted GPC3 peptides presented by human hepatoma cells.

Selecting the right epitope specificity is crucial for the development of effective T-cell therapies. It is therefore of utmost importance to choose epitopes that are presented at sufficient levels on the targeted tumor tissue. Using the bioinformatical prediction models SYFPEITHI¹⁶, BIMAS¹⁷ and NetMHC^{18,19}, 45 GPC3 peptides were predicted to bind the HLA-A2 molecules, among them 14 peptides were predicted by all three models (Table S1). To prioritize these peptides and identify which are presented after intracellular processing, we eluted peptides after immuno-affinity purification of HLA-I complexes from HLA-A2⁺ GPC3⁺ HepG2 cells²⁰ (Figure 1A). We identified in total 2004 unique HLA-I peptides (Table S2), most of which had a length of 9 amino acids (Figure 1B), among them the GPC3 peptides GPC3₃₆₇ (TIHDSIQYV) and GPC3₃₂₆ (FIDKKVLKV). Synthetic peptides were used to validate the mass spectrometry data (Figure S1). GPC3 epitopes are among the top 30% most abundant peptides, indicating a substantial presentation of these epitopes on the surface of HepG2 cells (Figure 1C). Because peptide GPC3₃₆₇ was predicted with higher affinity, we used this peptide for selection of TCRs.

Isolation of HLA-A2 restricted GPC3-specific T-cell clones.

Because GPC3 is expressed during fetogenesis⁸ high-avidity GPC3-specific T cells have most likely undergone clonal deletion. To isolate high-avidity TCRs, we therefore employed an allo-restricted approach to stimulate GPC3-specific T cells (Figure 2A).

MoDC from an HLA-A2⁻ donor were maturated¹¹ and transfected with ivtRNA to express HLA-A2 and GPC3. Surface expression of HLA-A2 was detected in 4% of moDC after 3 h and increased to stable surface expression in 56% of cells within 24 h, while GPC3 surface expression was detected in 24% of moDC after 3 h but not detectable anymore after 24 h (Figure 2B).

To expand GPC3-specific T cells, freshly generated HLA-A2 and GPC3 expressing moDC were used to stimulate CD8⁺ enriched T cells from the same HLA-A2 negative donor. Antigen stimulation was repeated with newly prepared moDC after 7 days. On day 14 0.54% CD8⁺ T cells bound the A2-GPC3₃₆₇ streptamer (Figure 2C) and were sorted for expansion of a GPC3-specific CD8⁺ T-cell line. On day 21, the GPC3-specific T-cell line had been enriched to 57% double positive cells (Figure 2C), which was sorted again and immediately cloned by limiting dilution to obtain T-cell clones. Binding of A2-GPC3 multimer⁺ (up to 98%) was confirmed in established T-cell clones (Figure 2C). Stimulation with untreated moDC served as background controls for all cloning stages (Figure S2).

To investigate functional specificity of our T-cell line and clones, we co-incubated them with peptide loaded T2 cells for 24 h. IFNγ was selectively secreted by the T-cell line and 19 out of 107 screened T-cell clones after co-culture with GPC3₃₆₇-loaded T2 cells but not with T2 cells loaded with an irrelevant peptide. Allo-reactive T-cell clones were identified by high IFNγ secretion levels on target cells loaded with both, GPC3₃₆₇ and irrelevant peptide. In Figure 2D, six representative GPC3-specific T-cell clones, one allo-reactive and one inactive clone are shown. Recognition of endogenously processed peptides by the T-cell line and by the selected T-cell clones was confirmed by recognition of HLA-A2⁺ GPC3⁺ HepG2 cells, while HLA-A2⁻ GPC3⁺ Huh7 cells used as control did not induce IFNγ secretion above background (Figure 2E).

Cloning and expression of GPC3₃₆₇-specific T-cell receptor P1-1.

The TCR repertoire of GPC3₃₆₇-specific HLA-A2 restricted T-cell clones was determined using degenerated primers for the amplification of TRAV and TRBV and subsequent sequencing. Sequence analysis revealed that one dominant TCR was expressed in all 19 selected GPC3₃₆₇-specific T-cell clones, referred to as P1-1 (Figure 3A). The identified TCR consisted of TRBV30*01 and TRAV8-3*02 (according to IMGT nomenclature). After correct pairing had been confirmed by co-expression, TRAV and TRBV sequences of the TCR were

codon-optimized to increase expression levels, and linked by a P2A element to ensure equimolar expression. For expression of TCR P1-1 in human T cells MLV-10A1 pseudotyped retroviral vectors were used. Surface expression of P1-1 before and after codon optimization was detected by staining with A2-GPC3₃₆₇ multimer on CD8⁺ cells (Figure 3B). Characterization of transduced cells revealed that CD4⁺, CD8⁺ and CD8⁺CD56⁺ cells were transduced with P1-1 at comparable efficiency (Figure S3A). CD8⁺ and CD56⁺CD8⁺ cells are referred to as CD8⁺ T cells. Peptide-MHC specificity of P1-1 was confirmed using the irrelevant multimer A2-AFP₃₂₅ (data not shown).

Peptide specificity and HLA-A2 dependency of TCR P1-1 grafted T cells.

To determine peptide specificity, we co-cultured P1-1 grafted T cells with peptide loaded T2 cells as target cells. Cells grafted with P1-1 secreted high levels of IFNγ after co-incubation only with GPC3₃₆₇ loaded T2 cells (Figure 4A). Because of T-cell stimulation via CD3/CD28 to enable retroviral transduction, basal IFNγ secretion was detected in all settings. To verify recognition of endogenously processed peptides, we assayed IFNγ secretion after co-culture with human hepatoma cells. IFNγ was secreted on HLA-A2⁺ GPC3⁺ HepG2 but not on Huh7 cells, which are GPC3⁺ but HLA-A2⁻. Finally, we analyzed IFNγ secretion after co-culture with human PBMC from HLA-A2⁺ and HLA-A2⁻ donors to exclude allo-recognition of HLA-A2 by P1-1 grafted cells (Figure 4A).

To determine the avidity of the TCR P1-1 we co-incubated P1-1 transduced T cells with T2 cells loaded with titrated GPC3₃₆₇ peptide concentrations. IFNγ secretion on target cells with irrelevant or without peptide equaled IFNγ secretion levels detected with mock transduced T cells and were set as background. Half maximal IFNγ secretion on peptide loaded T2 cells was observed with a peptide concentration of 100 nM (Figure 4B) corresponding to an intermediate functional avidity.

To evaluate the HLA-A2 allele dependency of TCR P1-1, we assessed P1-1 transduced T cells for their IFNγ secretion after co-incubation with peptide loaded LCL expressing different

HLA allotypes. This revealed that P1-1 recognizes $GPC3_{367}$ presented on HLA-A*02:01, 02:02, 02:07, 02:09, 02:16 and 02:17 (Figure 4C).

Effective killing of HLA-A2⁺ GPC3⁺ human hepatoma cells by TCR P1-1 grafted CD8⁺ T cells.

To determine efficacy and kinetics of cytotoxicity achieved by TCR P1-1 grafting, we cocultured TCR P1-1 transduced T cells at different E:T ratios with GPC3⁺ HLA-A2⁺ HepG2 cells and determined target cell viability over time. At an E:T ratio of 2.5:1 or 1.25:1 >95% of target cells were killed after 16 h (Figure 5A) with killing dynamics and efficiency showing a clear dose-dependency. Killing of target cells was still detected with E:T ratios as low as 0.15:1 after 6 days. Even at relatively high E:T ratios of 5:1, mock transduced T cells did not reduce viability of target cells (Figure 5A). Killing of HLA-A2⁻ Huh7 and HepaRG hepatoma cells was only observed when HLA-A2 was reconstituted (Figure 5B,C).

Since not only CD8⁺ T cells but also CD4⁺ T cells can be transduced with TAA-specific TCR and may have beneficial function²¹, we investigated expression and function of TCR P1-1 on CD8⁺ vs CD4⁺ T cells. Staining with the TRBV antibody showed that comparable proportions of CD8⁺ and CD4⁺ T cells (43 and 53%, repectively) expressed TCR P1-1 after transduction (Figure 6A). Although CD4⁺ T cells were the dominant cell population, A2-GPC3₃₆₇ multimer binding was only detected in CD8⁺ T cells (Figure 6A) indicating that stable peptide-MHC binding by P1-1 depends on additional binding of CD8 to the complex. To compare functionality of P1-1⁺ CD8⁺ and CD4⁺ T cells, transduced cells were magnetically sorted for CD8⁺ or CD4⁺ cells and equal numbers of CD4⁺ and CD8⁺ T cells expressing P1-1 were coincubated with HepG2 cells to analyze target cell viability over time. After co-culture with P1-1⁺ CD8⁺ T cells rapid killing of HepG2 cells was observed, while no difference between coincubation with P1-1⁺ CD4⁺ T cells and T cells transduced with a mock TCR was detected (Figure 6B). When functionality was further assessed, CD8⁺ (Figure 6C) but not CD4⁺ T cells (Figure 6D) grafted with TCR P1-1 stained positive for intracellular IFNγ, IL-2 and TNFα and mobilized LAMP-1 as a marker for degranulation on peptide GPC3₃₆₇ loaded T2 cells. Gating strategy for ICS is shown in Figure S3B. Sorted CD8⁺ P1-1⁺ T cells killed HepG2 cells faster than in the presence of CD4⁺ T cells (Figure 6E) although after 12 h >90% killing was observed in both settings. Taken together, these results indicate that P1-1, which is of intermediate avidity, depends on binding of CD8 to increase binding affinity of the TCR complex.

Adoptive transfer of P1-1⁺ T cells suppresses tumor growth in an in vivo HCC model.

To assess killing of GPC3⁺ tumors by P1-1 grafted T cells *in vivo* we used a xenograft model, in which transplant tumors were established in immunodeficient mice using luciferase expressing HepG2-luc cells (Figure 7). In a first experiment, tumors were established in SCID/Beige for 12 days and then treated by adoptive transfer of TCR P1-1 or control TCR grafted human T cells. Tumor growth was efficiently suppressed by i.p. injection of 1x10⁶ P1-1⁺ CD8⁺ T cells (N=4) as compared to a control group receiving mock transduced cells (N=3) from the same healthy donor (Figure 7B, C). A tumor decrease was observed in 3 out of 4 animals treated with TCR P1-1 grafted T cells. Mouse #7 (Figure 7C, right panel) experienced a tumor relapse after initial response.

To confirm these results, we performed additional experiments using another mouse strain and a newly established cell line. Best results were obtained when mice where irradiated before T-cell transfer to improve engraftment (Figure 7D). As shown in Figure 7E, 21 Rag2^{-/-} $\gamma c^{-/-}$ mice were transplanted by subcutaneous injection of $3x10^6$ HepG2-fluc cells s.c. into the inguinal. $2x10^6$ or $4x10^6$ P1-1⁺ CD8⁺ or mock-transduced T cells were injected after whole body irradiation and thereafter in weekly intervals. While P1-1 grafted T cells were initially able to control the tumor, they could only slow down tumor growth thereafter. This was accompanied by a lack of CD8⁺ T cell infiltration into the tumor and by a reduced and mosaic-pattern GPC3 expression in comparison to cultured HepG2 cells (data not shown).

Discussion

The selection of immunogenic target antigens is the first crucial step for the development of an effective cancer immunotherapy. For this study we chose GPC3, because it is not expressed on healthy adult tissue but gets reactivated in about 75% of all HCC.¹⁰ We identified two GPC3 peptides, GPC3₃₂₆ and GPC3₃₆₇, well presented on HLA-A2. We isolated T cells and out of these the TCR P1-1 recognizing the immunodominant peptide GPC3₃₆₇. Grafting primary CD8⁺ T cells with TCR P1-1 rendered them polyfunctional effector cells. TCR P1-1 grafted T cells specifically recognized GPC3₃₆₇ on HLA-A2, effectively killed GPC3-positive cultured hepatoma cells and were even able to reduce established tumors *in vivo* in a murine transplantation model.

Using the prediction databases SYFPEITHI¹⁶, BIMAS¹⁷ and NetMHC^{18,19}, 45 GPC3 peptides were predicted to bind the HLA-A2 molecule. Using a mass spectroscopy analysis of the HLA-A2 peptidome, we only found two GPC3 peptides, GPC3₃₆₇ and GPC3₃₂₆ to be presented among the >2000 peptides isolated. This indicates, that proteasome cleavage, peptide loading and presentation of a TAA on MHC I is hard to predict¹⁹. On the other hand, it proves that GPC3 is a suitable TAA for T-cell targeting.

For selection of GPC3-specific TCRs, we decided to stimulate naive T cells in an allorestricted fashion employing moDC expressing HLA-A2 and GPC3 after *ivt*-RNA transfection.⁷ 16 GPC3₃₆₇-specific T-cell clones were identified that recognized HLA-A2⁺GPC3⁺ hepatoma cells and stained positive with an A2-GPC3₃₆₇ multimer. TCR analysis revealed a single TCR with identical CDR3 regions suggesting that all clones originated from the same T cell that had expanded. We codon-optimized variable and murinized constant TRAV and TRBV domains to increase expression and inter-chain affinity and reduce mispairing.²² The optimized TCR P1-1 showed high surface expression and conferred effector function to CD8⁺, but not to CD4⁺ T cells proving dependency on additional binding of CD8 to the TCR-MHC complex. TCR P1-1 transduced T cells showed a rapid killing of HepG2 cells even with very low E:T ratios below 1:1 and recognized GPC3₃₆₇ in context of

HLA-A*02:01, 02:07 and 02:09 sharing identical peptide binding sites. $GPC3_{367}$ was also recognized in the context of HLA-A*02:02, 02:16 and 02:17, but not in the context of HLA-A*02:05, 02:06 and 02:08.

With half maximal secretion of IFNγ when target cells were loaded with 0.1 μM GPC3₃₆₇, functional avidity of TCR P1-1 was intermediate, which is in accordance with the functional avidity that has been observed for allo-restricted TCRs specific to other tumor antigens.²³ It is controversially discussed which functional avidity of TCRs is optimal for efficient antitumor immunotherapy, and whether or not high avidity goes along with better effector function and more efficient tumor eradication. T cells with high affinity TCRs show better functionality *in vitro*, but also more rapid exhaustion *in vivo*.^{24,25} In addition, high T cell avidity can go along with substantial toxicity because rare cognate-antigen expressing cells may also be targeted.²⁶ Thus, an intermediate affinity may be advantageous.

Not only CD8⁺, but also CD4⁺ T cells can acquire cytotoxic capacities after adoptive transfer into patients.²⁷ In addition, CD4⁺ T cells provide the necessary help for efficient CD8⁺ T-cell function and to establish long-lived memory T-cell populations.²⁸ It has been shown that TCRs with high affinity can bind MHC I peptide complexes independent of CD8 coexpression, whereas MHC I peptide complex binding of lower affinity TCR depend on CD8 co-expression.²⁹ Our intermediate-affinity TCR P1-1 was CD8-dependent and was not able to activate CD4⁺ cells, although we demonstrated expression on more than 50% of CD4⁺ T cells by TRBV staining. The delayed killing of target cells in the presence of CD4⁺ T cells that was observed might be interpreted as CD8⁺ T-cell inhibition by regulatory T cells (T_{reg}), but could not detect enhanced expression of FoxP3 in CD4+ T cells after TCR P1-1 transduction (data not shown).

Specificity of TCRs is an important issue in T-cell therapy. TCR P1-1 equipped T cells specifically recognized peptide GPC3₃₆₇ in context of HLA-A2, while irrelevant peptides or HLA-A2⁺ PBMC were not recognized. Thus, allo-reactivity of transduced T cells is very

unlikely. TCR P1-1 might be useful not only for adoptive T-cell therapy of HCC but also for patients with other GPC3-expressing tumors.³⁰ Squamous cell carcinoma of the lung, liposarcoma, melanoma and testicular nonseminomatous germ cell tumor were also found to express GPC3 in 50% of all analysed cases. For HCC, focal expression of GPC3 may limit efficacy, and GPC3 expression in non-HCC tissue such as dysplastic cirrhotic nodules may limit safety of adoptive T-cell therapy. In case of low-level expression, a TCR recognizing an MHC-peptide complex such as P1-1 maybe advantageous over an antibody³¹ or chimeric antigen receptor³² mediated therapy. Although it is encouraging that GPC3 directed antibody therapy proved safe in first clinical trials in HCC patients³¹, GPC3 expression patterns may need to be studied in greater detail to avoid side effects of GPC3-specific TCR gene therapy.

T-cell therapy for solid tumors may finally have to be done as a combination approach. Since TAA-specific T cells in HCC often become exhausted³³, redirected T cells may be combined with checkpoint inhibitors to overcome immunomodulation by the liver microenvironment.³⁴ Since TAA often are not expressed at equal levels throughout an HCC but rather in a mosaic pattern, anti-GPC3 treatment may select GPC3-negative cells.¹⁰ This most likely also occurred in our *in vivo* models. Using a combination of TCRs directed towards different TAAs would avoid selection of resistant cells. TCR P1-1 may be combined corresponding to the individual TAA expression profile of the tumor with TCRs specific for other TAA such as alpha-1-fetoprotein or NY-ESO-1. Since >50% of all HCC are induced by hepatitis B virus infection², combining virus-specific TCRs or chimeric antigen receptors^{35,36} provides another alternative.

Results obtained in this study indicate that adoptive T-cell therapy is an interesting novel approach for the treatment of HCC and that GPC3 is a suitable target antigen. We describe two novel immunodominant HLA-A2-restricted epitopes in GPC3, identified TCR P1-1 that specifically recognizes peptide GPC3₃₆₇ and showed that this TCR confers T cell effector

function and allows efficient redirection of T cells against GPC3-positive HCC. This will help

to pave the way for the development of adoptive T-cell therapy to fight HCC.

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Author names in bold designate shared co-first authorship

Figure Legends

Figure 1. Identification of novel HLA-A2 restricted GPC3 peptides presented by human hepatoma cells.

(A) HLA-I complexes were immuno-affinity purified from HepG2 cells lysates using α-HLA-I (W6-32) antibody cross-linked to sepharose beads. HLA-I peptides were purified from the heavy chain based on their hydrophobicity. The enriched mixture of HLA-I peptides was measured on a quadrupole Orbitrap mass spectrometer (Q Exactive). Peptides sequences were identified using MaxQuant software. (B) Length distribution of 2004 HLA-I peptides identified from HepG2 cells shows the typical length of mainly 9-, 10- and 11-mer peptides. (C) Ranked measured intensity of the identified HLA-I peptides. Two epitopes from GPC3 proteins are among the top 30% supporting their high presentation level on surface of HepG2 cells.

Figure 2. Allo-restricted T-cell stimulation of GPC3-specific CD8⁺ T cells with *ivt*-RNA pulsed dendritic cells.

(A) Schematic illustration of the allo-restricted stimulation protocol of naïve T cells with moDC pulsed with *ivt*-RNA. For details see Materials & Methods. (B) Expression of HLA-A2 and GPC3 after *ivt*-RNA transfection of DC was analysed 3 and 24 h post electroporation by flow cytometry. Gray curves represent mock-treated DC, black curves *ivt*-RNA transfected DC. Percentage of positive cells is indicated. (C) Bulk T-cell cultures (after two rounds of T-cell simulation with GPC3 and HLA-A2 *ivt*-RNA pulsed moDC), A2-GPC3₃₆₇ multimer enriched T-cell lines and T-cell clones established by limiting dilution cloning were stained with α -CD8 antibody and A2-GPC3₃₆₇ multimer and analyzed by flow cytometry. Percentage of A2-GPC3₃₆₇*CD8* cells is indicated for each plot. (D) The A2-GPC3₃₆₇ multimer sorted T-cell line and derived T-cell clones were co-cultured with T2 cells loaded with 5 μ M GPC3₃₆₇ or irrelevant peptide for 24 h at an E:T ratios of 0.2:1. IFNγ secretion was determined by ELISA. (C) Recognition of endogenously processed peptide was analyzed by co-culture with human hepatoma cells HepG2 (HLA-A2* GPC3*) or Huh7 (HLA-A2* GPC3*). Mean ± SD of triplicate co-cultures are given when sufficient numbers of T cells allowed experimental triplicates.

Figure 3. Cloning of TCR P1-1 and expression in CD8⁺ T cells.

(A) TRAV and TRBV from functional GPC3₃₆₇⁺ T-cell clones were amplified by PCR, codonoptimized and cloned into the retroviral vector pMP71 containing murinized constant TCR domains. PCR analysis of an exemplary clone is shown. TCR P1-1 with complete α - and β chains linked by a P2A element is depicted schematically. (B) T cells transduced with TCR P1-1 before and after codon optimization transduced PBC were stained with anti-CD8 and A2-GPC3₃₆₇ multimer on day 4 post transduction and analyzed by flow cytometry. Percentage of CD8⁺ GPC3₃₆₇⁺ T cells detected is given.

Figure 4. Peptide specificity and HLA-A2 dependency of TCR P1-1 expressing T cells.

(A) TCR P1-1 and mock TCR transduced PBMC were co-cultured with T2 cells pulsed with 5 μ M GPC3₃₆₇, irrelevant peptide (mock) or without peptide (w/o; left panel). IFN γ secretion after 24 h was measured by ELISA. In addition, transduced PBMC were co-cultured with HepG2 or Huh7 cells or PBMC from HLA-A2⁺ or HLA-A2⁻ donors (right panel). (B) T2 cells were loaded with GPC3₃₆₇ peptide at concentrations between 100 pM (10⁻¹⁰) and 10 μ M (10⁻⁵) and used as target cells. (C) LCLs from different HLA-A2 subtypes were loaded with 5 μ M GPC3₃₆₇ and used as targets for P1-1 or mock transduced PBMC. In all experiments, E:T ratios of 1:1 were used. Mean±SD of triplicate co-cultures are given.

Figure 5. Cytotoxicity of P1-1 grafted PBMC against human hepatoma cells.

(A) Cytotoxicity of TCR P1-1 expressing T cells was assayed by co-incubation with HepG2 cells at E:T ratios ranging from 0.15:1 to 2.5:1. (B) GPC3⁺ but HLA-A2⁻ HepaRG and (C) HuH7 cells were transduced with HLA-A2 and used as target cells at E:T ratios 1:1. Unspecific killing was investigated by comparison of HLA-A2⁻ and HLA-A2⁺ cells and by using mock-transduced PBMC as control. Viability of target cells was analyzed over time by impendence measurement using the xCELLigence[™] system. Mean±SD of triplicate co-cultures are given at each time point.

Figure 6. Comparison of CD8⁺ and CD4⁺ TCR P1-1⁺ grafted T cells.

(A) Expression of P1-1 in CD8⁺ and CD4⁺ T cells was investigated by flow cytometry following A2-GPC3₃₆₇ multimer staining and anti-TRBV staining. Percentages of cells staining positive are indicated. (B) Cytotoxicity was assayed by co-incubation of magnetically

sorted CD8⁺ or CD4⁺ T cells with HepG2 cells over time in comparison to mock-transduced PBMC. (C, D) Functionality of (C) CD8⁺ and (D) CD4⁺ T cells after co-incubation with GPC3₃₆₇ or mock peptide loaded T2 cells was examined. After 5 h of co-incubation, cells were harvested and intracellular cytokine and LAMP-1 staining were performed before analysis by flow cytometry. T cells transduced with mock TCR were used as control. (E) Cytotoxicity of TCR P1-1 expressing CD8⁺ T cells and total PBMC was compared by co-incubation of P1-1⁺ CD8⁺ or P1-1⁺ total PBMC with HepG2 cells. E:T ratios were 2:1. Viability of target cells was analyzed over time using the xCELLigence[™] system. Mean ± SD of triplicate analyses are given.

Figure 7. Adoptive transfer of TCR P1-1⁺ human T cells into a murine xenograft HCC model.

(A) Schematic overview: SCID/Beige mice were transplanted with HepG2-luc cells by intrasplenic injection. Based on the intensity of bioluminescence before treatment, mice received 1×10^7 PBMC containing either 1×10^6 TCR P1-1 or mock-transduced CD8⁺ T cells i.p. (day 0). (B) Bioluminescence quantified 3 days before, at the day of T-cell transfer and 3 days thereafter is shown. Total luminescence was determined as total flux in photons / second in single mice and is plotted relative to initial measurement at day -3. (C) *In vivo* bioluminescence imaging of representative mice treated with TCR P1-1 grafted or mock grafted T cells is shown. Images were adjusted to the same pseudocolor scale to show relative bioluminescence changes over time. Color scale: min = 0 7.14e3; max = 0.35e5 photons. (D) Schematic overview: Rag2^{-/-}γc^{-/-} mice were transplanted with HepG2-fluc cells by s.c. injection, irradiated and received 2x or 4x10⁶ TCR P1-1 or 4x10⁶ mock-transduced CD8⁺ T cells i.p. (transduction rate 50%) on day 0, 7 and 14. (E) Tumor growth was monitored by *in vivo* bioluminescence imaging. Fold-change of total flux per animal was

determined relative to day 0 at indicated time points. Mean values \pm SEM (n=7 per group) are given.

















С



Ε

x-fold total flux [p/s]

day 0









. Б







y.

y۱

y7





Figure S1: Mass spectrometry of peptides eluted from HLA-A2. Mass spectra of (A)
GPC3₃₆₇ (TIHDSIQYV) and (B) GPC3₃₂₆ (FIDKKVLKV). Mass spectra of the two purified
peptides and their synthetic counterparts are shown.

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Figure S2: Negative control stimulation of T-cells. Bulk T-cell cultures after two cycles of T-cell simulation with untreated moDC, A2-GPC3₃₆₇ multimer enriched T-cell lines and T-cell clones established by limiting dilution cloning were stained with α -CD8 antibody and A2-GPC3₃₆₇ multimer for flow cytometry. Percentage of A2-GPC3₃₆₇ multimer⁺ CD8⁺ cells is shown in the upper right corner of each plot.

- Dargel et al.: T-cell therapy for hepatocellular carcinoma -



Figure S3: Representative gating strategies for ICS. (A) Lymphocytes were identified by 16 17 typical FSC/SSC parameters (a), discriminated from dead cells (b: Live Dead-) and then 18 analyzed for surface markers (c: CD8, CD19, d: CD8, CD56, e: CD8, CD4). Transduction rate of CD8⁺ CD56⁻ (f), CD8⁺ CD56⁺ (g) and CD4⁺ (h) cells was identified by TRBV 19 (percentage of positive cells is indicated). (B) Lymphocytes were identified by typical 20 21 FSC/SSC parameters (a), discriminated from dead cells (b: Live Dead-) and then analyzed for surface markers (c: CD8, CD4). After ICS cytokine secreting CD8⁺ or CD4⁺ T cells were 22 23 identified (d: IFNy+).

IFNy

24 Supplementary Methods

25 Affinity purification of HLA molecules. HLA-I complexes were purified from two biological replicates of 5x10⁸ HepG2 cells lysed with 0.25% sodium deoxycholate, 0.2 mM 26 iodoacetamide, 1 mM EDTA, 1:200 Protease Inhibitors Cocktail (Sigma), 1 mM 27 phenylmethanesulfonyl fluoride (Sigma), 1% octyl-β-D glucopyranoside (Sigma) in PBS at 4 28 °C for 1 h. The lysates were cleared by 30 min centrifugation at 40,000 g. HLA-I molecules 29 30 from cleared lysate were immunoaffinity purified with the W6/32 antibody, which was purified 31 from the growth medium of HB-95 hybridoma cells, covalently bound to protein-A Sepharose beads (Invitrogen, CA). The affinity column was thoroughly washed first with 10 column 32 33 volumes of 150 mM NaCl, 400 mM NaCl and 150 nM NaCl containing and pure 20 mM Tris 34 HCl, pH 8.0, each. HLA-I molecules were eluted at room temperature in 7 fractions à 500 µl of 0.1 N acetic acid and were analysed by 12% SDS-PAGE to evaluate yield and purity. 35

36 **Purification and concentration of HLA-I peptides.** Eluted HLA-I peptides and the subunits 37 of the HLA complex were loaded on Sep-Pak tC18 (Waters) cartridges that were pre-38 washed with 80% acetonitryl (ACN) in 0.1% trifluoroacetic acid (TFA) and with 0.1% TFA 39 only. After loading, the cartridges were washed with 0.1% TFA. The peptides were separated from the HLA-I heavy chains on the C18 cartridges, based on their 40 41 hydrophobicity. The peptides were eluted with 30% ACN in 0.1% TFA. HLA-I peptides were further purified using Silica C-18 column (Harvard Apparatus, MA) tips and eluted again with 42 43 30% ACN in 0.1% TFA. The peptides were concentrated and the volume was reduced to 15 µl using vacuum centrifugation. For the mass spectrometry analysis 5µl of the highly 44 enriched HLA peptides sample were used. 45

LC-MS/MS analysis of HLA peptides. HLA peptides were separated by a nanoflow HPLC
(Proxeon Biosystems, now Thermo Fisher Scientific) and coupled on-line to a Q Exactive
mass spectrometer (Thermo Fisher Scientific) with a nanoelectrospray ion source (Proxeon
Biosystems). The column (20 cm long, 75 µm inner diameter) is packed in-house with

50 ReproSil-Pur C18-AQ 1.9 µm resin (Dr. Maisch GmbH, Ammerbuch-Entringen, Germany) in buffer A (0.5% acetic acid). Peptides were eluted with a linear gradient of 2-30% buffer B 51 52 (80% ACN and 0.5% acetic acid) at a flow rate of 250 nl/min over 90 min. Data was acquired 53 using a data-dependent 'top 10' in order to isolate them and fragment them by higher energy 54 collisional dissociation (HCD). Full scan MS spectra were acquired at a resolution of 70,000 at 200 m/z with a target value of 3,000,000 ions. The ten most intense ions were 55 sequentially isolated and accumulated to an AGC target value of 100,000 with a maximum 56 57 injection time of 120 to increase signal of the fragments. Unassigned precursor ion charge 58 states, as well as 4 and above charged species were rejected and peptide match was 59 disabled. The fragmentation spectra of ions were acquired in the Orbitrap analyser with a 60 resolution of 17,500 at 200 m/z.

Mass spectrometry data analysis of HLA peptides. MaxQuant computational proteomics 61 62 platform (Cox and Mann, 2008) version 1.3.10.14 was used. Andromeda, a probabilistic search engine incorporated in the MaxQuant framework (Cox et al., 2011), was used to 63 64 search the peak lists against the UniProt database (86,749 entries). N-terminal acetylation and methionine oxidation were set as variable modifications. The second peptide 65 identification option in Andromeda was enabled. For statistical evaluation, the posterior error 66 67 probability and false discovery rate were used. A false discovery rate of 0.01 was required 68 for peptides and no false discovery rate was required for proteins, using a reverse database 69 with no special AAs. The enzyme specificity was set as unspecific. A length restriction of 8 to 70 15 SS. was set, or a maximum peptides mass of maximum 1,500 Da. The initial mass 71 deviation of the precursor ion was set up to 6 ppm and the fragment mass deviation was set 72 up to 20 ppm. The 'match between runs' option was enabled, that allows matching of 73 identifications across different replicates, in a time window of 0.5 min.

74

75 Supplementary Table

76Table S1. List of 45 HLA-A02:01 epitopes from GPC3 protein that were predicted using77three algorithms. The thresholds for a peptide being a binder in each of the tools were set78to SYFPEITHI score ≥ 22 (29 sequences)¹, NetMHC predicted affinity ≤ 500 nM (2779sequences) ^{2,3} and BIMAS half time ≥ 20 min (27 sequences).⁴ Fourteen peptides were80predicted as binders by all three tools. Among them, marked in red, are the epitopes that81were identified by our MS-based peptidomics analysis. NA indicates that the prediction score82was below the threshold.

Position	Peptide	SYFPEITHI score	NetMHC: predicted affinity in nM	BIMAS Score: Estimate of t ¹ / ₂ in min	Predicted by all three tools
10	LVVAMLLSL	23	234	NA	
14	MLLSLDFPG	NA	142	NA[
44	RLQPGLKWV	27	83	879.833	Х
92	LLQSASMEL	24	27	36.316	Х
102	FLIIQNAAV	26	13	319.939	Х
109	AVFQEAFEI	NA	166	NA	
111	FQEAFEIVV	NA	287	NA	
142	FEFVGEFFT	NA	NA	78.672	
144	FVGEFFTDV	NA	33	828.699	
149	FTDVSLYIL	NA	131	NA	
153	SLYILGSDI	22	NA	33.385	
155	YILGSDINV	24	11	162.769	Х
162	NVDDMVNEL	22	NA	NA	
166	MVNELFDSL	NA	230	NA	
169	ELFDSLFPV	23	3	1055.631	Х
173	SLFPVIYTQ	22	250	NA	
186	GLPDSALDI	NA	NA	42.774	
197	CLRGARRDL	22	NA	NA	
206	K V F G N F P K L	NA	161	45.992	
215	IMTQVSKSL	NA	NA	26.228	
222	SLQVTRIFL	22	432	117.493	Х
229	FLQALNLGI	22	13	47.991	Х
232	ALNLGIEVI	27	NA	NA	
254	RMLTRMWYC	NA	157	1259.523	
281	VMQGCMAGV	24	16	196.407	Х
295	YWREYILSL	22	NA	NA	
299	YILSLEELV	23	12	79.757	Х
302	SLEELVNGM	24	NA	NA	
315	DMENVLLGL	22	NA	NA	
318	NVLLGLFST	NA	NA	32.184	
319	VLLGLFSTI	26	40	124.682	Х
326	TIHDSIQYV	23	50	496.006	X
340	KLTTTIGKL	26	NA	22.356	
367	FIDKKVLKV	27	28	40.472	X
383	TLSSRRREL	22	NA	NA	

Total n	umber of predicted	29	27	27	14
571	SVVCFFFLV	NA	12	254.849	
564	LLTSMAISV	23	17	118.238	x
554	NLGNVHSPL	NA	NA	21.362	
522	FLAELAYDL	27	5	402.895	Х
518	NQLRFLAEL	NA	208	70.041	
512	GMIKVKNQL	22	NA	NA	
461	QIIDKLKHI	23	NA	NA	
451	КМКСРЕРVV	22	NA	NA	
420	TLCWNGQEL	22	NA	21.362	
408	YICSHSPVA	NA	428	NA	
	I	ACCEPTEL	DMANUSCRI	PI	

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83

84

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- 97