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Original article

Entecavir allows an unexpectedly high residual replication of HBV mutants resistant to lamivudine

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Abstract

Background: Entecavir is an efficient inhibitor of hepatitis B virus (HBV) reverse transcriptase (RT) and widely used for therapy of chronic hepatitis B. Entecavir treatment of HBV patients with Lamivudine-resistant viral strains, however, often fails, but the mechanism of cross-resistance development is not fully understood.

Methods: Using nonlinear regression models, dose response curves of cloned HBV strains from patients pre-treated with RT inhibitors were established in human hepatoma cell lines after transfection with HBV genomes containing HBV polymerase genes from patient isolates. 50% and 90% inhibitory concentrations (IC50, IC90) and antiviral resistance factors (RF⁵⁰ and RF90) were calculated.

Results: The Entecavir dose-response curve of Lamivudine-resistant HBV RT mutants rtM204 for the replication of HBV decreased less than expected with increasing drug dose. Remarkably, due to the flat dose-response curves, RF⁹⁰ values against Entecavir of samples with rtM204 substitutions were up to 30 times higher than their RF⁵⁰ values.

Conclusions: The unexpectedly high IC⁹⁰ indicates a strong residual replication capacity of Lamivudine-resistant HBV rtM204 variants under Entecavir therapy, although IC⁵⁰ values are initially within the therapeutic range of Entecavir. This characteristic favors rapid selection of additional mutants with overt resistance against Entecavir. Thus, the current phenotypic resistance assays should include determination of IC90.

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Running Head: Hepatitis B virus resistance

Introduction

Infection with hepatitis B virus (HBV) is still a major health problem. An estimated 240 million patients are chronically infected with HBV [1] and have an increased risk for developing liver cirrhosis and/or hepatocellular carcinoma. Since this risk is directly related to the viral load in the serum of chronic carriers [2], a sustained suppression of viral replication with low to non-detectable viral load in plasma is the major aim of antiviral therapy. Treatment of hepatitis B patients with interferon alpha leads to a sustained virological response in only 30% to 40% [3], but is not applicable in patients with advanced liver disease and has severe side effects. Inhibitors of the HBV reverse transcriptase (RT) interfering with viral replication do not eliminate the pre-existing viral genomes from the liver and need to be given life-long. Three nucleoside analogues (Lamivudine, Telbivudine, Entecavir) and two nucleotide analogues (Adefovir, Tenofovir) are approved for treatment of chronic hepatitis B but only Entecavir and Tenofovir are recommended due to their superior efficacy and high genetic barrier against resistance [4].

Antiviral resistance is caused by drug-resistant variants, but the rate of development is different for each drug and needs to be addressed carefully. Replication of HBV is error-prone, due to the lack of proofreading during reverse transcription of the viral RNA pregenome and during plus strand DNA synthesis, resulting in high mutation rates [5]. Together with high levels of viral replication, this can lead to a broad spectrum of viral variants that are selected during antiviral treatment. Resistance rates of up to 80% are common for Lamivudine after five years of treatment [6], while Entecavir and Tenofovir show a much higher resistance-barrier in clinical practice [7]. Patients carrying Lamivudine-resistant HBV strains, showed a highly increased Entecavir-resistance rate (51% compared to 1.2% in treatment naive patients after 5 years of Entecavir therapy) [6,8]. This is surprising because Entecavir is considered a very strong inhibitor of the HBV RT and should not allow a level of replication, necessary for frequent generation and selection of new resistant variants.

The complete clinical resistance to Entecavir is based on amino acid exchanges rtL180M and rtM204V, caused by mutations within the viral genome that are frequently selected during Lamivudine therapy, but needs at least one further exchange at positions rtI169, rtS184, rtS202 or rtM250 (table 1). We therefore investigated the influence of Lamivudine resistance mutations on Entecavir resistance by testing HBV clones from patients reflecting the typical Lamivudine resistance patterns (rtL180M + rtM204V, rtL80V/I \pm rtM204I and rtA181V), as described in a study based on 492 sequences from the SeqHepB database [9,10].

For quantitative description of antiviral resistance against nucleos(t)ide analogues, usually the resistance factor (RF₅₀) is determined by dividing the 50% inhibitory concentration (IC₅₀) of a virus variant by the IC₅₀ of the wildtype [11–15]. A limitation of this approach is that at IC₅₀ still 50% of replication occurs which would be an optimal condition for development of resistant variants. Thus, we extended our study and obtained data at more efficient suppression of replication, e.g. at IC₉₀. Using this method we have analyzed resistant HBV strains from different patients and obtained unexpected results for antiviral efficiency of Entecavir which help to explain the weakness of Entecavir against Lamivudine resistant variants.

Methods

Isolation of HBV DNA, amplification and cloning of HBV polymerase ORFs

Nearly the entire polymerase ORF (amino acids 9 to 816) including the primer and RNaseH domain of the respective viral strains was amplified and cloned into a vector that allows HBV replication after transfection to human hepatoma cell lines. HBV DNA from plasma of chronic HBV carriers was purified with the High Pure Viral Nucleic Acid Kit (Roche, Mannheim, Germany) according to the manufacturer's protocol. None of the chronic hepatitis B patients have been treated with Entecavir, but with Lamivudine (No. 1-8), and Adefovir (No. 9 and 10) (table 2). The respective HBV polymerase ORF region was amplifyed using Platinum Taq DNA Polymerase High Fidelity (Invitrogen, Karlsruhe, Germany) according to the manufacturer's protocol using primers pol-fw 5'- GTCACTTCCGGAGACTACTGTTGTTAGACGACG-3' and pol-rev: 5'-GCGCATCGGTCCGGCAGATGAGAAGGC-3', which amplify the polymerase region between the restriction sites for BspEI and RsrII unique within the polymerase ORF (Fig. 1 left). These sites were used to insert the polymerase gene fragments from patient HBV strains by replacing the polymerase gene fragment of vector pCH9-3091 containing a replication competent 1.1-fold overlength HBV genome (genotype D, ayw) under the control of the human cytomegalovirus immediate early promoter (Fig. 1 right) [16].

Phenotypic in vitro resistance assay

Human hepatoma cell lines HuH7 and HepG2 were maintained in DMEM with 5% (vol/vol) FCS (Invitrogen). For transient transfection of plasmids, FuGene HD (Roche) was used at 80% cell confluency according to the manufacturer's protocol. For phenotypic analysis of HBV drug resistance, 10 cm dishes of HuH7 or HepG2 cells were transfected with either pCH9-3091 (wildtype control) or pCH9-3091 derivatives containing polymerase sequences from HBV patient isolates. One day after transfection, cells were trypsinized and washed three times with 30 ml DMEM by centrifugation at 60 g for 6 min and resuspension in DMEM to remove residual plasmid DNA. Using these extensive washing steps and virion-specific detection methods (see below), a detection limit of <1% replication relative to the uninhibited control was achieved. Afterwards, cells were resuspended in DMEM with 2% (vol/vol) FCS and equally distributed on a 96-well cell culture plate containing a dilution series of the respective inhibitors in triplicates.

Three days after transfection, the amount of virion-associated HBV DNA was determined in the supernatants. 100 µl of cell supernatant was used for automatic DNA purification with a Freedom Evo 100 4/D (Tecan, Crailsheim, Germany) using the sample preparation system DNA Kit from Abbott (Wiesbaden, Germany) according to the manufacturer's protocol. Quantification of viral DNA was done via SYBR-green real-time PCR with the LightCycler LC 480 (Roche) and the Absolute SYBR Capillary Mix (Thermo Scientific, Dreieich, Germany). To correct for plasmid-derived background, a PCR with the primers HP-fw: 5´-ACTAGGAGGCTGTAGGCATA-3´ and HP-rev: 5´-AGACTCTAAGGCTTCCCG-3´ was used, that discriminates between newly synthesized HBV DNA and plasmid associated input DNA. The natural relaxed circular DNA form of the HBV genome has only 261 basepairs (bp) between

the primer binding sites, whereas the plasmid has 3417 bp between the primer binding sites (Fig. 1). With short elongation phases very little plasmid-derived HBV DNA is amplified while the short PCR product is efficiently generated.

Statistical analysis of resistance data

The amounts of newly synthesized HBV DNA in the cell culture supernatants were plotted against the drug concentrations and a sigmoid regression curve was fitted as shown in figure 2. This regression model allows calculation of the HBV replication at any drug concentration and provides the IC_{50} and IC₉₀ values (Fig. 2). SigmaPlot (Systat Software, Erkrath, Germany) was used for regression and SPSS (IBM, Ehningen, Germany) for the univariate anova. The nonlinear regression model based on a three parameter logistic dose-response equation of the form $y = A/(1 + [x/B]^C)$ was used as described previously [17]. The meaning of the parameters A-C is explained in the figure legend. Individual equations were calculated for each dose response curve and used to determine the IC_{50} . the IC₉₀ and the correlation *coefficient* R^2 . The univariate anova was used to determine wether the data set of the values of the respective mutant is significantly different to the consensus data set of the wildtype or not. The significance threshold was adjusted to the number of comparisons by using the Bonferroni correction.

Results

HBV polymerase variants with mutations typical for antiviral resistance were isolated and cloned from plasma samples of 10 chronic HBV patients (Table 2). Samples 1 to 8 have amino acid exchanges at position rtM204 with or without additional exchanges at position rtL80 or rtL180M, representing the most common Lamivudine resistance patterns following primary mutations at rtM204 [9]. These exchanges lead to very high Lamivudine resistance and to reduced, but clinically still acceptable Entecavir efficacy [12]. Samples 9 and 10 carry the exchange rt181V that is associated with Lamivudine and Adefovir resistance and an intermediate but clinically tolerable resistance to Tenofovir [18] (Table 1). All samples were compared to a control plasmid (WT) containing an HBV genotype D wildtype (WT) genome, and the IC_{50} and IC_{90} values for each drug were determined as shown in Fig. 2. The accuracy of the regression curves is shown by the coefficient of correlation (R^2) which ranged between 0.9 and 1.0 in all calculations. The WT HBV strain showed IC_{50} values for the RT inhibitors comparable to those described in the literature (table 2) [15]. Since the viral isolates clustered into three different HBV genotypes (A, D and one B genotype), we also included three wildtype sequences from patients of the respective genotypes as controls (C-1, C-2, C-3, table 2). With all three control isolates we observed similar IC_{50} values for the RT inhibitors compared to the WT control of genotype D (table 2). The resistance factor (RF) can either be based on the IC_{50} (RF₅₀) or the IC_{90} (RF₉₀), respectively (Fig. 2 and Table 2), provided the dose-response curves of wild type and variant run parallel. The three parameters A-C defining the curve are listed for each of the 10 samples in table 2. As expected, isolates 1 to 8 showed no significant resistance to Adefovir and Tenofovir and had low RF_{50} values between 0.5 and 2.3 (ADF mean: 1.40±0.57, TDF mean: 1.15±0.58), confirming the sensitivity of these variants to nucleotide analogues (table 2). For Adefovir and Tenofovir the inhibition

curves resulted in RF_{90} values comparable to the corresponding RF_{50} values. The only exception was the Adefovir-RF₉₀ value of isolate 1 that was larger due to a slightly decreased slope C (0.69 vs. 1.03 of the wildtype); but this did not cause significant resistance as proven by univariate anova testing (table 2A). For all other isolates, inhibition curves showed RF_{90} values that were comparable to the corresponding RF_{50} values but with a larger variation.

Isolates 9 and 10 showed a significant resistance to Adefovir and Tenofovir, due to the mutation at rtA181V. An example of the Adefovir inhibition curve is shown for sample 10 (Fig.3). The curve of the resistant mutant parallels the curve of the WT because their C-values (i. e. slopes) are similar; thus the resistance is represented by the shift of the inhibition curve to higher concentrations i. e. parameter B increases, (Fig. 2A and table 2). In contrast, the antiviral resistance towards Entecavir for isolates 1 to 8 does not only shifts the curves to the right but also decreases the slope C of the curves (WT C-value of 1.77±0.35 versus C-values of 0.39 to 1.01 with a mean of 0.60±0.21 for samples 1 to 8). Importantly, parameter C is not in linear but in exponential relation to the concentrations of the drug used. Examples for the different inhibition curves generated by Adefovir and Entecavir are shown in Fig. 3. While the inhibition curve of isolate 6 (rtL80I, rtM204I) also runs parallel to the curve of WT HBV for Adefovir, the Entecavir inhibition curve represents a much smaller decline with increasing drug levels. These findings were not limited to HuH7 cells, but reproducible in another human liver cell line, HepG2 (Fig. 3). The consequences of the smaller parameter C for the resistance pattern against Entecavir are dramatic. The replication of WT HBV was completely (<1%) suppressed at 12 nM Entecavir. With an RF_{50} of 19.9 for isolate 6, an Entecavir concentration of 239 nM (RF $_{50}$ x 12 nM) would inhibit HBV replication of this isolate to the detection limit of 1%, if the resistance would only depend on the increase of parameter B. However, we detected a relative HBV replication of 14% even at a concentration of 1,000 nM (Fig 3). According to the fitted dose-response curve, an Entecavir concentration of >21,200 nM would be needed for >99% suppression of HBV replication.

Slope C in the Entecavir inhibition curves is even less for the isolates 1 and 2 with two preexisting exchanges (rtL180M and rtM204V). C was greater in those cases with the primary exchanges rtM204I+/-rtL80I/V or rtM204I only. Importantly, in most samples harbouring an exchange at position rtM204, a replication above 10% was still detected even with Entecavir concentrations thousand times higher than the IC₅₀ of the WT. An exception was isolate 7 that showed the steepest slope C with 3% residual replication. As expected, isolates 9 and 10 (rtA181V) showed no Entecavir resistance and no change of parameters B and C (Fig. 3 left side, Table 1). Consistent with the unaltered slope C in the resistance curve, treatment of isolates 9 and 10 with Adefovir always resulted in complete inhibition of replication. As a control, relevant amino acid changes were also introduced into the wildtype sequence by site-directed mutagenesis (SDM) of the 1.1 expression construct (WT, table 3). We observed a similar pattern of a decreased slope C for SDM-clones harbouring amino acid exchange at position M204+/-L80 or +/-L180M for Entecavir, including an unaltered slope C for the A181V variant. However, in contrast to the isolates from patients, we did not observe an overall difference in slope C between clones harbouring M204I or M204V.

Discussion

Phenotypic resistance assays for HBV are not well standardized and limited by the problem of obtaining sufficiently accurate and sensitive measures of HBV replication (for review see [19]:). A major problem is the distinction between the cloned input HBV DNA used for transfection of the hepatoma cells and the newly replicated HBV DNA. In this study, sensitivity and accuracy was improved by trypsin-treatment and washing of the cells after transfection and a quantitative real-time PCR of the cell culture supernatants which detects virion-associated HBV DNA over plasmid DNA with high selectivity. This allowed for detection of residual replication at levels lower than 1% of the uninhibited control and has very recently helped to clarify a described controversial resistance pattern against Adefovir [20].

The resistance of a viral variant against a specific antiviral drug is usually expressed by the ratio between the IC_{50} of the WT and the mutant strain (Fig. 2A) resulting in the resistance factor RF₅₀. For the prediction of clinical resistance it is generally assumed that resistance shifts the dose response curve to higher drug concentrations on the x-axis, thus only changing parameter B (Fig. 2A). This study using an improved phenotypic *in vitro* assay confirms in most cases the published resistance profiles based on IC₅₀ values [12] (Table 1), but allowed in addition determination of IC₉₀ and RF₉₀ values. Remarkably, RF_{90} values against Entecavir of samples with rtM204 substitutions were up to 30 times higher than their RF_{50} values (Table 1). Thus, the antiviral potential of at least Entecavir can no longer be predicted by the IC_{50} or RF₅₀ value only, since the corresponding RF₉₀ value can be unexpectedly high even if the RF_{50} is low as shown here (Fig. 2B). The most intriguing consequence from the altered dose-response curve is that 10 to 15% residual replication of such mutants was detectable even at Entecavir concentrations several magnitudes higher than the IC_{50} value. Although extrapolating to the *in vivo* situation should be done cautiously, in clinical practice, this residual replication capacity would allow the HBV variant to rapidly acquire additional mutations. This could result in complete Entecavir resistance even in the presence of Entecavir doses exceeding the therapeutic levels.

According to the *"Global policy report on the prevention and control of viral hepatitis"* by WHO in 2013 [21], Lamivudine is still widely used, but less than half of WHO member states reported inclusion of drugs with superior efficacy, like Entecavir and Tenofovir as recommended key medications for treatment of hepatitis B. Usually, a treatment switch of Lamivudine-resistant patients to Tenofovir is recommended by many clinical guidelines worldwide. Unfortunately, among WHO member states that have currently reported inclusion of Lamivudine and Entecavir (but not Tenofovir) in their national essential medicines list or subsidized by the government are those with a high burden of chronic hepatitis B, like Bulgaria, Jordan, Pakistan, and The Russian Federation [21]. In this setting, our phenotypic assay for HBV variants would help to predict the danger of Entecavir failure in Lamivudine-experienced patients.

As noted above, the RF $_{50}$ values are not helpful in calculating the risk of Entecavir therapy failure. Instead, the steepness of the slope (C-value) of the dose-response can be regarded as a

useful additional parameter, determining the relative risk factor for a rapid development of resistance to Entecavir in patients with Lamivudine resistance.

In this study a change of the slope was only seen in the case of Entecavir for amino acid exchanges at position rtM204 but not for the drugs Adefovir and Tenofovir based on the exchange rtA181V. One possible explanation for that could be the unusual inhibitory mechanism of Entecavir. Most nucleos(t)ide analogues are efficiently incorporated by the RT into the growing DNA strand and terminate elongation because they lack a 3'-OH group. In contrast, Entecavir contains a 3'-OH group but causes steric hindrance within the HBV polymerase during further dNTP incorporation steps and thus secondary chain termination [13]. This mode of action is unique for inhibitors of the HBV RT but shared with some other antiviral drugs, e. g. the herpes simplex virus inhibiting Penciclovir that also allows limited chain elongation [22]. This mechanism might provide a possible explanation for the very low IC₅₀ of Entecavir, 2 to 3 magnitudes below that of all other HBV RT inhibitors, but also for the leakiness of inhibition observed with several mutants in this study. Furthermore, Entecavir triphosphate is an analogue to deoxyguanosin triphosphate (dGTP) and thus can compete with the protein-priming process of the HBV polymerase [23,24] in contrast to Lamivudine. However, elongation after priming with dGTP involves addition of two deoxyadenosin-nucleotides (dATP) to complete the 3-nucleotide long viral minus-stranded DNA primer (dGAA) covalently attached to the terminal protein (TP) of the HBV polymerase [25]. While Tenofovir, and probably also the closely related Adefovir (two AMP-analogues) are able to interfere with generation of the dGAA-primer [24], we did not observe any change of the slope for the variant rtA181V (table 2). This study casts some doubts on the reliability of certain previous resistance assays. Until now only IC_{50} was taken into account for the quantification of HBV resistance and identification of relevant resistance mutations. This method may be valid for the majority of variants, but it is insufficient to estimate the strength of relevant amino acid exchanges that alter not only the IC_{50} but also the slope of a resistance curve as was already described for Tenofovir and the rtM184V mutation of the HIV reverse transcriptase [26].

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Disclosure statement

The authors have no conflict of interest to disclose.

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Figure legends

Fig. 1: Cloning of the HBV DNA polymerase region and discriminative detection of newly replicated viral DNA.

The relaxed circular form of HBV DNA (HBV rcDNA, left) and the plasmid pCH9-3091 carrying the respective HBV genome are shown. Grey arrows: binding sites of primers for amplification of polymerase fragments (grey broken line), including the restriction sites for cloning (BspEI and RsrII), black arrows: binding sites of primers for discriminative real-time PCR with indicated length of the respective amplicons (black broken lines); CMV: human cytomegalovirus immediate early promoter, (+) and (-) indicate the orientation of the DNA strands, the variable end of the (+) strand of the viral genome is represented by a dotted line.

Fig. 2: Determination of 50% and 90% inhibitory concentrations (IC) and resistance factors (RF) using a sigmoid regression function.

(A) The regression curve is based on an equation (grey box) with three different parameters: The amplitude A defines the difference between absent and maximum inhibition. Parameter B specifies the inflexion point. Parameter C is the transition width of the regression curve (distance on the x-axis between 1/3A and 2/3A), and defines the slope of the inhibition curve. If C is identical for wild type and variant, IC_{50} and IC_{90} are changed by the same factor, and RF₅₀ and RF₉₀ are identical. (**B)** With a decreased C-value (C_{Mut}), an extrapolation of the RF₉₀ based on the RF₅₀ value underestimates the drug concentration required to suppress replication to 10% (IC₉₀).

Fig. 3: Selected examples of inhibition curves of HBV replication *in vitro*.

The inhibition curves of HBV strain 6 (left panel) and 10 (right panel) for Adefovir (ADF) and Entecavir (ETV) are shown (black) together with the inhibition curve of the corresponding WT (grey). The C-values for the WT (C_{WZ}) and the strain (C_{Mut}) are also indicated. Experiments with ETV were done with HuH7 and HepG2 cells.

Table 1: Relevant HBV resistance mutations and their cross resistance pattern

The cross resistance related to the most important amino acid changes is shown for reverse transcriptase (RT) inhibitors currently used for the treatment of chronic hepatitis B (Adefovir ADF, Tenofovir TDF, Telbivudine LdT, Lamivudine LMV, Entecavir ETV). For a complete resistance against ETV, a complex pattern of at least three mutations is needed (adapted from Zoulim, Locarnini 2013).

S= sensitive; I= intermediate; R= resistant; based on clinical and *in vitro* data

Table 2: Phenotypic resistance data of isolates from different patients, harbouring mutations relevant for Lamivudine resistance.

B

C

The calculation of the slope C, IC₅₀, IC₉₀, RF₅₀ and RF₉₀ is based on the regression model for the dose-response curves described in Fig. 2. Triplicate measurements of 6 different concentrations per isolate and drug were performed and compared to 10 independent assays of a WT consensus genome (WT, genotype D). Additional WT- controls (C1-C3) of genotypes A, B and D from chronic carriers are shown. The accuracy of the regression is indicated by the R² value. The column "sign." indicates significant differences to WT (* p<0.001, Bonferroni corrected; ns, not significant; na, not applicable). The tables show the measurements for the drugs **(A)** Adefovir, **(B)** Tenofovir and **(C)** Entecavir.

Table 3: Phenotypic resistance data of cloned variants with defined aminoacid changes obtained by site-directed-mutagenesis of the consensus wildtype genome.

The calculation of the slope C, IC_{50} , IC_{90} , RF_{50} and RF_{90} is based on the regression model for the dose-response curves described in Fig. 2. Relevant amino acid substitutions were introduced by site-directed mutagenesis of the wildtype consensus genome (genotype D). Measurement and calculation was done according to table 2. The column "sign." indicates significant differences to WT (* p<0.001, Bonferroni corrected; ns, not significant; na, not applicable).

Fig. 1

Fig. 2

wildtype isolate

Log [ETV] nM

Log [ETV] nM

HuH7 cells

HepG2 cells

Additional members of the HOPE consortium in alphabetical order:

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