### -- -

Discovery of unique and ENM—Specific pathophysiologic pathways: Comparison of the translocation of inhaled iridium nanoparticles from nasal epithelium versus alveolar epithelium towards the brain of rats

Wolfgang G. Kreyling

PII: S0041-008X(16)30027-8 DOI: doi: [10.1016/j.taap.2016.02.004](http://dx.doi.org/10.1016/j.taap.2016.02.004) Reference: YTAAP 13586

To appear in: *Toxicology and Applied Pharmacology*

Received date: 9 December 2015 Revised date: 30 January 2016 Accepted date: 5 February 2016



Please cite this article as: Kreyling, Wolfgang G., Discovery of unique and ENM—Specific pathophysiologic pathways: Comparison of the translocation of inhaled iridium nanoparticles from nasal epithelium versus alveolar epithelium towards the brain of rats, *Toxicology and Applied Pharmacology* (2016), doi: [10.1016/j.taap.2016.02.004](http://dx.doi.org/10.1016/j.taap.2016.02.004)

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

# **CEPTED MANUS**

Discovery of unique and ENM---specific pathophysiologic pathways:

comparison of the translocation of inhaled iridium nanoparticles from nasal

epithelium *versus* alveolar epithelium towards the brain of rats

Wolfgang G. Kreyling<sup>1</sup>

Helmholtz Zentrum München - German Research Center for Environmental Health

Institute of Epidemiology 2

Ingolstaedter Landstrasse 1, 85764 Neuherberg / Munich, Germany

ithelium versus alveolar epithelium towards the brain o<br>
Wolfgang G. Kreyling<sup>1</sup><br>
Doltz Zentrum München - German Research Center for Environmen<br>
Institute of Epidemiology 2<br>
Ingolstaedter Landstrasse 1, 85764 Neuherberg /  $1$  the experimental work was performed earlier in the Institute of Inhalation Biology of the Helmholtz Zentrum München.

 $1$  Corresponding author:

Dr. Wolfgang G. Kreyling

Helmholtz Zentrum München - German Research Center for Environmental Health

Institute of Epidemiology 2

Ingolstaedter Landstrasse 1,

85764 Neuherberg / Munich,

Germany

Email: kreyling@helmholtz-muenchen.de

Phone: +49 89 2351 4817

### **Graphical abstract**



## **EPTED MANUS**

#### **Abstract**

The biokinetics of inhaled nanoparticles (NP) is more complex than that of larger particles since NP may NP deposited on the nasal mucosa of the upper respiratory tract (URT) may translocate to the olfactory bulb of the brain and also via the trigeminus(URT neuronal route); and (b) NP deposited in the lower respiratory tract (LRT) may cross the ABB into blood and enter the brain across the bloodbrain-barrier (BBB) or take a neuronal route from enervated tracheo-bronchial epithelia via the vagus nerve.

by of the brain and also via the trigeminus(URT neuronal route); and (b) piratory tract (LRT) may cross the ABB into blood and enter the brain at (BBB) or take a neuronal route from enervated tracheo-bronchial epith (BBB) Translocation from both - the URT and the LRT - are quantified during the first 24 hours after a 1 hour aerosol inhalation of 20nm-sized, <sup>192</sup>Ir radiolabeled iridium NP by healthy adult rats using differential exposures: (I) nose-only exposure of the entire respiratory tract or (II) intratracheal (IT) inhalation of intubated and ventilated rats, thereby bypassing the URT and extrathoracic nasal passages. After nose-only exposure brain accumulation (BrAcc) is significantly nine-fold higher than after IT inhalation since the former results from both pathways (a + b) while the latter exposure comes only from pathway (b). Interestingly, there are significantly more circulating NP in blood 24h after nose-only inhalation than after IT inhalation. Distinguishing translocation from URT versus LRT estimated from the differential inhalation exposures, the former is significantly higher (8-fold) than from the LRT. Although the BrAcc fraction is rather low compared to total NP deposition after this short-term exposure, this study proofs that inhaled insoluble NP can accumulate in the brain from both – URT and LRT which may trigger and/or modulate adverse health effects in the central nervous system (CNS) during chronic exposure.

**Keywords** : iridium nanoparticle, nose-only and intratracheal inhalation, brain accumulation, neuronal pathway from upper respiratory tract, systemic pathway from lower respiratory tract, quantitative biokinetics

#### **Introduction**

airways) as well as in the lower respiratory tract (LRT, intrathoracic air<br>hial tree) and alveolar region). In the URT direct neuronal pathways inted<br>in several papers over several decades and are listed in the review of<br>s As recently reviewed by Oberdörster et al. (Oberdörster et al., 2009, Oberdörster et al., 2005) the translocation of nano-sized particles (NP) may occur in the upper respiratory tract (URT, extrathoracic airways) as well as in the lower respiratory tract (LRT, intrathoracic airways (or tracheobronchial tree) and alveolar region). In the URT direct neuronal pathways into the brain have been discussed in several papers over several decades and are listed in the review of Oberdörster and coworkers (Oberdörster et al., 2005). Besides the trigeminal pathway more studies describe the pathway from the nasal olfactory mucosa along olfactory nerves into the olfactory bulb of the brain. From the olfactory bulb NP may migrate into other parts of the brain (Oberdörster et al., 2004, Yu et al., 2007) eventually triggering and/or modulating pro-inflammatory reactions in the brain at various regions as a potential hazard of the central nervous system (CNS). Even though numerous reports describe this pathway into the brain after particle inhalation no report exists describing a similar pathway for inhaled larger sized submicron or micron-sized particles. Only one study reports on the trigeminal pathway after inhalation of manganese-oxide NP but results are not conclusive since no difference was found between unexposed control rats versus the exposed rats (Elder et al., 2006). There also are possible pathways of NP from the LRT into the brain which may either occur by indirect neuronal pathways from intrathoracic airways via the vagus nerve or when NP cross the airblood-barrier (ABB), and while circulating in blood eventually cross the brain-blood-barrier (BBB). To reach blood circulation, NP need to cross the ABB of the lungs first and enter into blood vessels directly or via lymphatic drainage. Depending on their physico-chemical properties as well as their binding to plasma proteins or plasma biomolecules NP may cross the BBB. Earlier on the group of Kreuter found only intravenously injected albumin particles coated with Apo-lipoprotein E (Apo E) in the brain parenchyma which had crossed the BBB for crossing Zensi et al., 2009. Yet, the synthetized albumin particles studied were already without Apo-E coating 200 nm in size and therefore not really nano-sized. However, a more recent study by Sousa et al. (2010) provided clear evidence that intravenously injected, monodisperse 15 nm gold NP (surface linked with polyelectrolytes to firmly

brail cortex. These results were confirmed in a follow-up study on intraveal to the 15 nm gold NP (surface linked with polyelectrolytes to firmly bind eith<br>m or Apo-E; both either fluorescently labeled with Cy5.5 or radiobind human albumin serum and fluorescently labeled with Cy5.5) were associated with the vascular endothelium 30 min after injection but crossed the murine BBB during the next 19 hours to migrate deeper into the parenchyma and were found mainly in the hippocampus, thalamus, hypothalamus, and the cerebral cortex. These results were confirmed in a follow-up study on intravenously injected, monodisperse 15 nm gold NP (surface linked with polyelectrolytes to firmly bind either human albumin serum or Apo-E; both either fluorescently labeled with Cy5.5 or radio-labeled with <sup>198</sup>Au) (Schäffler et al., 2014). Both radioactively labeled gold NP-protein conjugates showed strikingly enhanced accumulations in the brain when compared to radioactively labeled citrate-coated gold NP. Conjugates were found in the left and right hemisphere, cerebellum and brainstem but much less in the olfactory bulb (private communication by Schäffler and Kreyling; manuscript in preparation). These studies emphasize the role of the molecular surface composition which was engineered prior to the intravenous injection of the NP conjugates.

Hence, there is evidence for NP pathways into the brain – either from the URL via direct neuronal routes versus from the LRT via the "blood route" across the ABB of the lungs and across the BBB and may be via indirect neuronal pathways from the intrathoracic airways via the vagus. Recently we had developed two sets of inhalation exposure equipment in which rats were either exposed to freshly generated, 20 nm iridium (IrNP) aerosols during spontaneous nose-only breathing or during enforced ventilation of the same aerosol while being intratracheally intubated under light anesthesia – sometimes called intratracheal inhalation. IrNP aerosols radio-labeled with  $^{192}$ Ir were generated by spark ignition between two <sup>192</sup>Ir-labeled iridium electrodes as described previously (Kreyling et al., 2002). Hence, the  $^{192}$ IrNP formed by iridium evaporation and condensation to be inhaled within 5 sec by the rats were not surface modified and consisted only of metallic Ir and eventually Ir-oxides. After deposition in the respiratory tract <sup>192</sup>IrNP will interact with proteins and biomolecules of the epithelial lining fluid forming an in-vivo surface modification (sometimes called "protein corona") which will modulate the biokinetic fate of the  $192$ IrNP including organ membrane crossing. Since albumin is the most abundant protein in body fluids, it appears plausible that albumin

coated <sup>192</sup>IrNP circulating in blood will be able to cross the BBB according to the above mentioned studies.

The <sup>192</sup>IrNP biokinetics after a 1-hour <sup>192</sup>IrNP aerosol inhalation exposure by intubated and ventilated rats have been presented previously (Kreyling et al., 2002, Semmler et al., 2004, Semmler-Behnke et al., 2007). These data showed that <sup>192</sup>IrNP had crossed the ABB and accumulated not only in major secondary organs like liver, spleen, kidneys, but also in soft tissue and skeleton. In addition, <sup>192</sup>IrNP deposition in the lungs of different aged rats had been studied which spontaneously inhaled the <sup>192</sup>IrNP aerosol via nose-only exposure (Semmler-Behnke et al., 2012).

In presented previously (Kreyling et al., 2002, Semmler et al., 2004, Sen<br>see data showed that  $^{192}$ IrNP had crossed the ABB and accumulated not<br>gans like liver, spleen, kidneys, but also in soft tissue and skeleton. In Applying the nose-only inhalation exposure, the entire respiratory tract will be exposed from the tip of the nose to the alveolar region including the airways in the head (extrathoracic). Applying the intratracheal inhalation (ventilation – intubation exposure), only the thoracic part of the respiratory tract, i.e. the lungs, from the distal tip of the endotracheal tube to the alveolar region will be exposed. Therefore, during intratracheal inhalation the airways of the head including the nose will be bypassed and <sup>192</sup>IrNP will only deposit in the lungs. Performing differential inhalation exposure studies in two groups of rats will allow determination of either  $^{192}$ IrNP translocation from both – URT and LRT after nose-only inhalation exposure, while after intratracheal inhalation <sup>192</sup>IrNP accumulation in the brain will occur only from the LRT.

With this design the current study is not able to distinguish between the neuronal routes from the URT into the brain nor the "blood route" from the indirect neuronal route of the LRT; but it allows for the first time to quantitatively distinguish between translocation inhaled NP from the URT versus LRT towards the brain.

#### **Materials and methods**

Generation and characterization of the 20 nm iridium (IrNP) aerosol and the two sets of inhalation exposure equipment have been described in (Kreyling, submitted) and also previously: IT inhalation

escribe animal maintenance and care in accordance with German ethics<br>vals of the rat experiments by the Government of the district of Upper<br>211-2531-108/99). In the study reported here we used an additional s<br>121-2531-108/ of intratracheally intubated and ventilated rats (Kreyling et al., 2002, Semmler et al., 2004, Semmler-Behnke et al., 2007) and nose-only inhalation exposure of spontaneously breathing rats (Semmler-Behnke et al., 2012). More experimental details are given in (Kreyling, submitted). In the previous reports we describe animal maintenance and care in accordance with German ethics rules and specific approvals of the rat experiments by the Government of the district of Upper Bavaria (approval No. 211-2531-108/99). In the study reported here we used an additional set of 16, adult, female Wystar Kyoto rats and challenged the question whether nose-only inhalation to the entire respiratory tract versus intratracheal inhalation to its thoracic part only will cause a difference in brain accumulation.

After the inhalation exposure rats were kept individually in metabolism cages to collect feces and urine quantitative and separate from each other. Twenty-four hours after inhalation, rats were anesthetized and exsanguinated prior to organ and tissue sampling as described in (Kreyling, submitted).

Quantitative biokinetics analysis: Quantitative biokinetics after administration of <sup>192</sup>IrNP was applied as we have recently reviewed (Geiser and Kreyling, 2010, Kreyling et al., 2013) and described in (Kreyling, submitted) and the above mentioned papers. Briefly, besides all organs and tissues of interest as well as exsanguinated blood, the entire remaining carcass and the entire excretion of each individual rat was collected during 24 hours, for the complete list see Table DB1, (Kreyling, submitted). Hence, without any further chemical treatment all samples of a given rat the<sup>192</sup>Ir radioactivity of the  $^{192}$ IrNP in each sample was analyzed gamma-spectrometrically (fixed  $^{192}$ Ir radioactivity per IrNP mass at a given reference time). The sum of all sample <sup>192</sup>Ir activities represents 100% of the received <sup>192</sup>IrNP dose by the animal. More details of the radio-analytical methodology are given in (Kreyling, submitted). For each inhalation exposure we studied eight female WKY rats (age 8-10 weeks, weight 270-300 g) and calculated the fractions for each organ or tissue relative to the sum of <sup>192</sup>Ir activities of each rat (excluding those of the skin, the gastro-intestinal-tract and feces

since fast cleared <sup>192</sup>IrNP will negligibly contribute to the translocation pathways). Note, however, that for the calculation of <sup>192</sup>IrNP deposition in the conducting airways versus alveolar deposition organs and tissue fractions were normalized to the sum of all <sup>192</sup>Ir activities of each rat. This latter normalization to the total sum was also applied to the analysis of skin. All organ or tissue fractions were averaged over eight rats per exposure group and given as means ± SD.

to the total sum was also applied to the analysis of skin. All organ or dover eight rats per exposure group and given as means  $\pm$  SD, timate only the parenchymal content of the organs or the remaining cal was calculated In order to estimate only the parenchymal content of the organs or the remaining carcass, the residual blood was calculated based on the paper of Oeff and Konig (Oeff and Konig, 1955) and the  $192$ Ir NP content of the residual blood was estimated using the measured specific  $192$ Ir radioactivity (Bq/g) of the collected blood sample and subtracted from the measured  $^{192}$ IrNP content of each organ or the carcass. Since cross-contamination during exsanguination, skinning and dissection is extremely critical when determining the rather small IrNP accumulation in the brain a standard operational procedure (SOP) was developed and evaluated applying rigid measures to exclude crosscontaminations between different organs and tissues of each rat and among rats. This is described in detail in (Kreyling, submitted). For a most realistic SOP evaluation, dead bodies of rats were exposed in a closed box for one hour while the freshly generated  $^{192}$ IrNP aerosol flew through the box allowing for diffusional deposition on the rats' furs without any organ or tissue deposition other than the fur. After the exposure the dead bodies were studied according to the SOP. The results proofed satisfying: no detectable <sup>192</sup>Ir cross-contamination over a dynamic range of more than six orders of magnitude; see (Kreyling, submitted). The complete set of fractional biokinetics data for each dead rat is given in Table DB2 of (Kreyling, submitted).

Estimated translocation from URT versus LRT to the brain: Hence, the 24-hour retained fraction of  $192$ IrNP in the brain after spontaneous nose-only inhalation can be described by:

$$
RF_{brain}^{nose-only}(24h) = T_{URT}(24h) + T_{IRT}(24h)
$$
 (1)

Where  $T_{URT}$  (t) is the translocated <sup>192</sup>IrNP fraction deposited on the nasal mucosa which crossed the nasal epithelium via neuronal routes into the olfactory bulb or other compartments of the brain;  $T_{LRT}$ (t) is the translocated fraction of  $^{192}$ IrNP deposited in the LRT which first crossed the ABB into

### **CEPTED MANUS**

circulation and then entered the brain across the BBB via brain endothelial cells (systemic route) or which translocated via neuronal routes from enervated airway epithelia (LRT neuronal route). Twenty-four hours after the intratracheal inhalation exposure the retained fraction of <sup>192</sup>IrNP in the brain resulted only from the translocated <sup>192</sup>IrNP via thee systemic and/or neuronal LRT route:

$$
RF^{vent-intub}_{brain} (24h) = T_{LRT}(24h)
$$
 (2)

The difference between retained brain fractions of both exposure groups at each time point represents the translocation via the neuronal route of the URT. All data were statistically analyzed using a student t-test as well as a non-parametric Mann-Whitney test and significance was noted when the p-value  $< 0.05$ .

*A* only from the translocated <sup>192</sup>IrNP via thee systemic and/or neuronal  $\frac{1}{6}$  mtub (24h) = T<sub>LRT</sub> (24h)<br> **e** between retained brain fractions of both exposure groups at each tin<br>
the translocation via the neuronal Biokinetics of <sup>192</sup>Ir ions released from <sup>192</sup>IrNP: Because of the rather low fractions found in brain, the potential disintegration of the  $^{192}$ IrNP by ionic  $^{192}$ Ir release need to be carefully estimated. The derivation is provided in (Kreyling, submitted) and is based on a previous study (Kreyling et al., 2002) in which the biokinetics of soluble  $^{192}$ IrCl<sub>3</sub> was studied after intratracheal instillation in the same strain of rats. In addition, it is assumed that no  $^{192}$ IrNP are excreted in urine but only non-particulate  $192$ Ir which have been releasd from the  $192$ IrNP. Under this conservative assumption an upper estimate of non-particulate <sup>192</sup>Ir in the brain is derived based on the ratios of measured urine and brain fraction either after intratracheal instillation of soluble  $^{192}$ IrCl<sub>3</sub> or after  $^{192}$ IrNP inhalation; see eqn. DB1 of (Kreyling, submitted).

 $192$ <sub>IrNP</sub>

Modelling of NP deposition probability: NP deposition fractions of a same sized NP aerosol like the 20 nm <sup>192</sup>IrNP aerosol used in this study were calculated in the three regions of the entire respiratory tract of a rat (head, trachea-bronchial tree and alveolar region) using the Multiple Path Particle Deposition (MPPD) software (version 3.0) for both types of inhalation exposures ((A.R.A.), 2009,

Anjilvel and Asgharian, 1995, Asgharian, 2001). Details of the parameters used for the modelling are given in (Kreyling, submitted).

#### **Results**

eters of all <sup>192</sup>irNP aerosols were 20 nm with a geometric standard devi<br>
IrNP mass and the deposited <sup>192</sup>ir radioactivity are given in Table 1 as w<br>
ntion – assigned as alveolar deposition – and the fast cleared <sup>192</sup>ir Median diameters of all <sup>192</sup>IrNP aerosols were 20 nm with a geometric standard deviation of 1.6. Deposited  $^{192}$ IrNP mass and the deposited  $^{192}$ Ir radioactivity are given in Table 1 as well as fractional 24h lung retention – assigned as alveolar deposition – and the fast cleared  $^{192}$ IrNP fraction – assigned to represent the sum of deposited fractions in extra- + intrathoracic airways in case of the nose-only inhalation and the intrathoracic airway deposition only in case of the intratracheal inhalation.

#### *(Insert Table 1)*

Retained <sup>192</sup>IrNP fractions in the brains of both groups of rats are shown in Figure 1. After nose-only exposure the <sup>192</sup>IrNP fraction is significantly higher than after intratracheal inhalation (intubation and ventilation exposure).

#### *(insert Figure 1)*

To determine the fraction of non-particulate <sup>192</sup>Ir which may have been released from the  $192$ IrNP calculations (according to Methods and (Kreyling, submitted)) yielded that nonparticulate <sup>192</sup>Ir fractions in brain are 3.67 $\bullet$ 10<sup>-8</sup> and 0.46 $\bullet$ 10<sup>-8</sup> for nose-only and IT inhalation, respectively. These values are three orders and four orders of magnitude lower than the measured <sup>192</sup>Ir activity fractions in brain (Figure 1 and Table 2) after either inhalation clearly indicating that the measured data represent  $^{192}$ IrNP retention.

When the mean value of the translocated <sup>192</sup>IrNP fraction into the brain obtained after intratracheal inhalation exposure  $\bar{T}_{\rm LRT}$ (24h) is subtracted from the individual values of those  $RF_{brain}^{nose-only,i}$ (24h) obtained during nose-only inhalation exposure, then  $-$  according to eqn. 1 - the  $^{192}$ IrNP fraction transported via the neuronal route from the URT to the brain can be estimated for each rat which was nose-only exposed as noted in the following equation 3.

$$
T_{URT}^{nose-only,i} (24h) = RF_{brain}^{nose-only,i} (24h) - \bar{T}_{LRT} (24h); \text{ for } I = 1,2,...,8 \text{ rats}
$$
 (3)

Thereby, <sup>192</sup>IrNP fractions of both NP translocation routes can be distinguished: the neuronal route from the URT versus the systemic and neuronal route from the LRT. This is shown in Figure 2. *(insert Figure 2)*

2)<br>
2)<br>
2)<br>  $^{192}$ IrNP biodistribution 24h after both inhalation exposures are given for<br>  $^{192}$ IrNP biodistribution 24h after both inhalation exposures are given for<br>
gans (liver, spleen, kidneys, heart, brain), the re In Table 2 the  $^{192}$ IrNP biodistribution 24h after both inhalation exposures are given for the lungs, secondary organs (liver, spleen, kidneys, heart, brain), the remaining carcass and total blood as described in the Methods section. There are highly significant differences for the lungs between the different inhalation exposures. No significant differences for liver, spleen and kidneys but high significant differences not only for the brain as shown above but also for carcass and blood. The significant differences observed in blood after 24 h are quite surprising and require further investigations. Since carcass consists predominantly of muscle tissue the significant differences suggest enhanced <sup>192</sup>IrNP accumulation in soft tissue likely modulated by the increased <sup>192</sup>IrNP concentration in blood still after 24 hours. Note, that <sup>192</sup>IrNP in the residual blood volume of the carcass was corrected as explained above. When considering the total translocation after 24 hours the significant difference results mainly from the significant differences in the carcass since <sup>192</sup>IrNP retention in this tissue dominates the estimate of translocation. In Table 2 urinary excretion is also provided; note, however, that it is assumed that no <sup>192</sup>IrNP excreted in urine but only <sup>192</sup>Ir released as ions from <sup>192</sup>IrNP is excreted. Under this conservative assumption it is possible to estimate the ionic <sup>192</sup>Ir fraction which is released from  $192$ IrNP and accumulate in the brain; for details see (Kreyling, submitted). Furthermore, skin fractions are provided also in Table 2 showing that both inhalation exposures led to fur contamination since neither the nose-only exposure nor the IT inhalation proofed completely leak tight. However, the SOP evaluation experiment exposing dead rat bodies to the aerosol as described in (Kreyling, submitted), our SOP proofed satisfying that at a 10-fold higher fur contamination cross-contaminations in organs stayed below the detection limit; see Table DB2 in (Kreyling, submitted).

*(insert Table 2)*

#### **Discussion**

) than from LRT. The latter includes crossings of two organ membrane is a neuronal pathway from enervated airway epithelia. Yet, for the latter data exist particularly not after NP inhalation. Therefore, this indirect nu Figure 2 demonstrates that significantly more <sup>192</sup>IrNP reach the brain via neuronal pathways from the URT (factor 9) than from LRT. The latter includes crossings of two organ membrane barriers: ABB and BBB as well as a neuronal pathway from enervated airway epithelia. Yet, for the latter no quantitative data exist particularly not after NP inhalation. Therefore, this indirect neuronal pathway via the vagus cannot be excluded seems to be more like an option rather than an important translocation pathway. On the other hand systemic translocationacross the ABB has been described in many papers as discussed by (Balasubramanian et al., 2013, Oberdörster et al., 2009). In addition, crossing the BBB was only recently demonstrated (Schäffler et al., 2014, Sousa et al., 2010). These results need to be considered relative to the <sup>192</sup>IrNP fractions deposited on the nasal versus the alveolar epithelium during inhalation. In Table 3 regional deposition fractions of an equally distributed NP aerosol compared to our 20-nm sized <sup>192</sup>IrNP aerosol are given as calculated by the MPPD Software. Regions are: the head (extrathoracic), the tracheo-bronchial airways (intrathoracic) and the alveolar region for both inhalation exposures; breathing parameters and other model parameters are given in Table DB3 of (Kreyling, submitted). Fractions are either normalized to the inhaled aerosol (left columns) or to the deposited NP (right coumns). In order to compare with the results from the <sup>192</sup>IrNP aerosol where only fractions relative to the deposited <sup>192</sup>IrNP are provided, the left columns of Table 3 need to be considered. According to the model data for nose-only inhalation about a factor of 4 less NP are deposited in the extrathoracic airways when compared to the lungs, i.e. sum of intrathoracic airways and alveolar region. Hence, the probability of <sup>192</sup>IrNP deposited in the nose reaching the brain via the neuronal route is a factor of 45 (=  $4 \times 9$ , see Figure 2) higher compared to that of NP deposited in the lungs.

#### *(insert Table 3)*

The higher probability of translocation from the URT is plausible not only by the fact that the distance from the head airways to the brain is much shorter but also by the fact that in the nasal

nelium need (a) to be endocytosed by epithelial type I and/or type II cel<br>stitial alveolar space and at least one more endo- and exocytosis step b<br>before they reach blood circulation. (Note, we cannot exclude paracell<br>blve mucosa a <sup>192</sup>IrNP only needs to enter an axon of an olfactory sensory nerve by which it may translocate directly into the olfactory bulb of the brain. Although not well reported by inhalation studies a similar translocation via the trigeminus has to be assumed. In contrast,  $^{192}$ IrNP on the alveolar epithelium need (a) to be endocytosed by epithelial type I and/or type II cells, (b) exocytosed into the interstitial alveolar space and at least one more endo- and exocytosis step by endothelial vascular cells before they reach blood circulation. (Note, we cannot exclude paracellular transport through the alveolar epithelium but in contrast to the transcellular NP transport the paracellular pathway has not been validated experimentally under healthy conditions as reviewed by Oberdörster et al. (Oberdörster et al., 2005). Once <sup>192</sup>IrNP are circulating in blood they need to cross the BBB via endothelial vascular cells in the brain (systemic route). For the sake of completeness the indirect neuronal pathway from the LRT has to be noted from enervated cells of the intrathoracic airways via the vagus nerve and eventually to the brain.

From our long-term study after intratracheal inhalation we know that the <sup>192</sup>IrNP fraction in the brain increases during the next seven days (Kreyling et al., 2002, Semmler et al., 2004, Semmler-Behnke et al., 2007). Unfortunately, the corresponding data after nose-only inhalation are not available. A similar increase of <sup>192</sup>IrNP fractions was also observed in liver, spleen, kidneys and heart during the next seven days indicating continued translocation via the "systemic route" towards all secondary organs and – as we show here – into the remaining carcass consisting of the skeleton and soft tissues. This clearly indicates a prominent role of the systemic translocation route from the LRT. Comparing the olfactory mucosa of the rat with that of the human nose, the surface area of the rat olfactory mucosa normalized to entire nasal mucosa is about 50%, while it is only 5% in the human nose is (Oberdörster et al., 2005). This may be the result of different evolution of the two species, since the smelling sensation of rats is much more efficiently developed than in the human nose. Therefore, it is likely that NP translocation via the olfactory route in the human nose is less probable than in the rat nose. However, a direct comparison is missing and, in addition, there are more biochemical processes involved which may mediate the olfactory NP translocation.

## **CEPTED MANUS**

Similarly, there are no human data on the NP translocation across the human ABB and, hence, there are no data describing the NP biokinetics in blood circulation leading to NP accumulation in secondary organs like the brain. Those human biokinetics studies are of urgent interest in the next future.

#### **Conclusion**

of inhaled <sup>192</sup>IrNP towards the brain was distinguished either from the<br>is of two different inhalation exposures – nose-only inhalation or intrat<br>anslocation from the URT is significantly higher (8-fold) than from the L<br><sup></sup> Translocation of inhaled <sup>192</sup>IrNP towards the brain was distinguished either from the URT or the LRT by the analysis of two different inhalation exposures – nose-only inhalation or intratracheal inhalation. Translocation from the URT is significantly higher (8-fold) than from the LRT. Although the accumulated <sup>192</sup>IrNP fraction in the brain is rather low compared to total NP deposition after this short-term exposure, this study proofs that inhaled insoluble NP can accumulate in the brain from both – URT and LRT. When normalizing the translocation to the estimated  $^{192}$ IrNP deposition dose in the URT versus the LRT, the translocation from URT increases 45-fold compared to that from LRT emphasizing the importance of the neuronal pathways from the URT.

#### **Acknowledgements**

I like to express my sincere gratitude to Manuela Semmler-Behnke, DVM, and to Alexander Wenk for the jointly performed experimental work and radio-analytical data evaluation. This work was partly supported by the NIH grant BRP (HL074022), EU FP6 project Particle Risk (012912 (NEST)) and the EU FP7 project NeuroNano (NMP4-SL- 2008-214547).

#### **Conflict of interest**

The author declares to have no conflicts of interest.

#### **References**

(A.R.A.), A. R. A. 2009. Multiple-path particle dosimetry model (MPPD version 3.0).

- ANJILVEL, S. & ASGHARIAN, B. 1995. A multiple-path model of particle deposition in the rat lung. *Fundam Appl Toxicol,* 28**,** 41-50.
- ASGHARIAN, B., HOFMANN, WERNER, AND BERGMANN, RUDOLF 2001. Particle deposition in a multiple-path model of the human lung. : . *Aerosol Science and Technology,* 34**,** 332-339.
- BALASUBRAMANIAN, S. K., POH, K. W., ONG, C. N., KREYLING, W. G., ONG, W. Y. & YU, L. E. 2013. The effect of primary particle size on biodistribution of inhaled gold nano-agglomerates. *Biomaterials,* 34**,** 5439-52.
- ELDER, A., GELEIN, R., SILVA, V., FEIKERT, T., OPANASHUK, L., CARTER, J., POTTER, R., MAYNARD, A., ITO, Y., FINKELSTEIN, J. & OBERDORSTER, G. 2006. Translocation of inhaled ultrafine manganese oxide particles to the central nervous system. *Environmental Health Perspectives,* 114**,** 1172-8.
- GEISER, M. & KREYLING, W. G. 2010. Deposition and biokinetics of inhaled nanoparticles. *Part Fibre Toxicol,* 7**,** 2.
- trefinds, 34, 5439-52.<br>
LEIN, R., SILVA, V., FEIKERT, T., OPANASHUK, L., CARTER, J., OPOTER, R.,<br>
LEIN, R., SILVA, V., FEIKERT, T., OPANASHUK, L., CARTER, J., OPOTER, R.,<br>
I.FIN.R.S. SILVA, V., FEIKERT, T., OPANASHUK, L., KREYLING, W. submitted. Data in Brief: Discovery of unique and ENM-specific pathophysiologic pathways: comparison of the translocation of inhaled iridium nanoparticles from nasal epithelium versus alveolar epithelium towards the brain of rats. *Toxicology and Applied Phamacology*.
- KREYLING, W. G., SEMMLER-BEHNKE, M., TAKENAKA, S. & MOLLER, W. 2013. Differences in the biokinetics of inhaled nano- versus micrometer-sized particles. *Acc Chem Res,* 46**,** 714-22.
- KREYLING, W. G., SEMMLER, M., ERBE, F., MAYER, P., TAKENAKA, S., SCHULZ, H., OBERDÖRSTER, G. & ZIESENIS, A. 2002. Translocation of ultrafine insoluble iridium particles from lung epithelium to extrapulmonary organs is size dependent but very low. *Journal of Toxicology and Environmental Health-Part A,* 65**,** 1513-1530.
- OBERDÖRSTER, G., ELDER, A. & RINDERKNECHT, A. 2009. Nanoparticles and the Brain: Cause for Concern? *Journal of Nanoscience and Nanotechnology,* 9**,** 4996-5007.
- OBERDÖRSTER, G., OBERDÖRSTER, E. & OBERDÖRSTER, J. 2005. Nanotoxicology: An Emerging Discipline Evolving from Studies of Ultrafine Particles. *Environmental Health Perspectives,* 113**,** 823–839.
- OBERDÖRSTER, G., SHARP, Z., ATUDOREI, V., ELDER, A., GELEIN, R., KREYLING, W. & COX, C. 2004. Translocation of inhaled ultrafine particles to the brain. *Inhalation Toxicology,* 16**,** 437-45.
- OEFF, K. & KONIG, A. 1955. [Blood volume of rat organs and residual amount of blood after blood letting or irrigation; determination with radiophosphorus-labeled erythrocytes]. *Naunyn Schmiedebergs Arch Exp Pathol Pharmakol,* 226**,** 98-102.
- SCHÄFFLER, M., SOUSA, F., WENK, A., SITIA, L., HIRN, S., SCHLEH, C., HABERL, N., VIOLATTO, M., CANOVI, M., ANDREOZZI, P., SALMONA, M., BIGINI, P., KREYLING, W. G. & KROL, S. 2014. Blood protein coating of gold nanoparticles as potential tool for organ targeting. *Biomaterials,* 35**,** 3455-66.
- SEMMLER-BEHNKE, M., KREYLING, W., SCHULZ, H., TAKENAKA, S., BUTLER, J., HENRY, F. & TSUDA, A. 2012. Nanoparticle delivery in infant lungs. *Proc Natl Acad Sci U S A,* 109**,** 5092 - 5097.
- SEMMLER-BEHNKE, M., TAKENAKA, S., FERTSCH, S., WENK, A., SEITZ, J., MAYER, P., OBERDORSTER, G. & KREYLING, W. G. 2007. Efficient elimination of inhaled nanoparticles from the alveolar region: evidence for interstitial uptake and subsequent reentrainment onto airways epithelium. *Environmental Health Perspectives,* 115**,** 728-33.
- SEMMLER, M., SEITZ, J., ERBE, F., MAYER, P., HEYDER, J., OBERDORSTER, G. & KREYLING, W. G. 2004. Long-term clearance kinetics of inhaled ultrafine insoluble iridium particles from the rat lung, including transient translocation into secondary organs. *Inhalation Toxicology,* 16**,** 453-9.
- SOUSA, F., MANDAL, S., GARROVO, C., ASTOLFO, A., BONIFACIO, A., LATAWIEC, D., MENK, R. H., ARFELLI, F., HUEWEL, S., LEGNAME, G., GALLA, H. J. & KROL, S. 2010. Functionalized gold nanoparticles: a detailed in vivo multimodal microscopic brain distribution study. *Nanoscale,* 2**,** 2826-34.

YU, L. E., LANRY YUNG, L.-Y., ONG, C.-N., TAN, Y.-L., SURESH BALASUBRAMANIAM, K., HARTONO, D., SHUI, G., WENK, M. R. & ONG, W.-Y. 2007. Translocation and effects of gold nanoparticles after inhalation exposure in rats. *Nanotoxicology,* 1**,** 235-242.

ACCEPTED MANUSCRIPT

### Tables

Table 1: <sup>192</sup>IrNP deposition data of rats 24-h after nose-only inhalation or intratracheal inhalation exposure. Mean  $\pm$  SD of eight rats per each group are given. At 24h most  $^{192}$ IrNP deposited in extrathoracic and intrathoracic airways were cleared into the gastro-intestinal tract and fecal excretion as measured. No <sup>192</sup>IrNP fraction in the head (without brain and skin) was determined. Deposition of the alveolar region was determined from <sup>192</sup>IrNP fraction retained in the lungs after 24h.



## **FPTED MANU**

in GIT and feces) and corrected for <sup>192</sup>IrNP content in the residual bloot<br>
i.e. Additionally, the entire <sup>192</sup>IrNP translocation from the respiratory trace<br>
cood + carcass is given. The gamma-spectrometric detection lim Table 2: Biodistribution of <sup>192</sup>IrNP 24h after nose-only inhalation or intratracheal inhalation. Fractional and  $^{192}$ IrNP mass (ng per organ or tissue) data are presented as mean  $\pm$  SD, n=8 rats of each group. Data are normalized to the initial peripheral lung deposit (excluding skin and fast cleared  $192$ IrNP found in GIT and feces) and corrected for  $192$ IrNP content in the residual blood volume of each organ or tissue. Additionally, the entire <sup>192</sup>IrNP translocation from the respiratory tract into blood and 2<sup>nd</sup> organs, blood + carcass is given. The gamma-spectrometric detection limit is < 0.8 Bq as describe in (Kreyling, submitted). Additionally urinary excretion is also provided used for an upper estimate of <sup>192</sup>Ir ions released from <sup>192</sup>IrNP; note for conservative reasons we assume that only <sup>192</sup>Ir ions released from <sup>192</sup>IrNP are excreted in urine, therefore no <sup>192</sup>IrNP mass is given for urine (n.d. ≡ not determined). Furthermore skin fractions are shown. Significant differences between both inhalation exposures are indicated by: \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ .





# Note, in contrast to all other fractions, skin fractions are normalized to the sum of all fractions

including skin and fast cleared <sup>192</sup>IrNP found in GIT and feces.

ICCEPTED MANUSCRIPT

Table 3. Calculated deposition probability of a same sized NP aerosol like our 20 nm <sup>192</sup>IrNP aerosol in the respiratory tract of rats according to the Multiple Path Particle Deposition (MPPD) software either during nose-only inhalation or during intratracheal inhalation. The modeling parameters used are given in Table DB3 of (Kreyling, submitted) for both inhalation exposures.



RECEPT

### Figures





Figure 1: Retained <sup>192</sup>IrNP fractions in the brain 24-hours after either nose-only inhalation or intratracheal inhalation exposure. Mean ± SD are given (n = 8 rats for each group).





Figure 2: Contribution of <sup>192</sup>IrNP translocation from the URT versus translocation from LRT according to eqns. 2 and 3. About a factor of 9 more <sup>192</sup>IrNP were translocated from URT than from LRT.

### Highlight

- Nanoparticle (NP) translocation from nose versus lungs to brain is differentiated
- Differential exposure of 20 nm radio-NP: nose-only versus intratracheal inhalation
- The nose-brain path precedes via nerves, the lungs-brain path via circulation
- NP uptake in the rat brain is nine-fold higher from nose than from lungs
- Relative to deposited NP in both regions it is 45-fold higher than from the nose

CCEPTED