See discussions, stats, and author profiles for this publication at: https://www.researchgate.net/publication/9040723

# Autologous stem-cell transplantation restores the functional properties of CD14+CD16+monocytes in patients with myeloma and lymphoma

#### ARTICLE in JOURNAL OF LEUKOCYTE BIOLOGY · FEBRUARY 2004

Impact Factor: 4.29 · DOI: 10.1189/jlb.0803386 · Source: PubMed

CITATIONS	READS
12	16

#### 7 AUTHORS, INCLUDING:

Farshid Dayyani

40 PUBLICATIONS 1,451 CITATIONS

SEE PROFILE



Loems Ziegler-Heitbrock Helmholtz Zentrum München 235 PUBLICATIONS 11,472 CITATIONS

SEE PROFILE



**Ralf Schmidmaier** 

Ludwig-Maximilians-University of Munich

55 PUBLICATIONS 836 CITATIONS

SEE PROFILE

All in-text references underlined in blue are linked to publications on ResearchGate, letting you access and read them immediately.

# Autologous stem-cell transplantation restores the functional properties of CD14+CD16+ monocytes in patients with myeloma and lymphoma

Farshid Dayyani,<sup>\*,1</sup> Anke Joeinig,\* Löms Ziegler-Heitbrock,<sup>†,‡</sup> Ralf Schmidmaier,\* Christian Straka,\* Bertold Emmerich,\* and Gerold Meinhardt\*

\*Department of Hematology and Oncology, Medical Clinic Campus Innenstadt, University of Munich, Germany; <sup>†</sup>Clinical Cooperation Group Inflammatory Lung Diseases, Institute for Inhalationbiology, GSF and Asklepios-Fachkliniken, Gauting, Germany; and <sup>‡</sup>Division of Immunology, University of Leicester and Department of Immunology, University Hospitals of Leicester, NHS Trust, United Kingdom

Abstract: The CD14+CD16+ monocytes appear to be important to immune defense against infection, as these cells are very potent with respect to tumor necrosis factor (TNF) production, phagocytosis, and antigen presentation. Myeloablative high-dose chemotherapy (HDT) and subsequent autologous stemcell transplantation (ASCT) are being used increasingly for therapy of hematological malignancies, but the pronounced immunosuppression renders the patients prone to infection. To determine the functional properties of CD14+CD16+ monocytes under these conditions, 15 patients with lymphoma or myeloma were examined. Before HDT, the ratio of CD14+ CD16+ cells to the population of the classical CD14++ monocytes was  $0.28 \pm 0.12$ ; this ratio changed during the course of HDT and ASCT in favor of the CD14+CD16+ monocytes to a maximum of  $12.4 \pm 7.8$  (P<0.001) on day  $3.5 \pm 1.6$ after transplanation (Tx) and returned to 0.11  $\pm$ 0.07 (P<0.001) after engraftment on day 11.3  $\pm$ 2.2. Although the absolute number of classical CD14++ monocytes declined to less than 1/µl at the nadir, the number of CD14+CD16+monocytes fell from  $29.7 \pm 9.8/\mu$ l to  $4.5 \pm 3.0/\mu$ l at the nadir and increased to  $13.8 \pm 9.8/\mu$ l at the day of discharge from the hospital. Flow cytometric analysis of phagocytosis of fluorescein isothiocyanate (FITC)-labeled Escherichia coli showed that  $30 \pm 10\%$  CD14+ **CD16+** monocytes of patients were **FITC**-positive before Tx, and at engrafment, the percentage of FITC-positive cells had doubled to  $60 \pm 6\%$  (healthy controls,  $41\pm7\%$ ). When determining generation of reactive oxygen species after *E. coli* ingestion, the CD14+CD16+ monocytes showed a decreased response before Tx  $(32\pm 12\%$  positve cells), which increased to  $53 \pm 24\%$  after ASCT. The median fluorescence intensity of human leukocyte antigen (HLA)-DR expression on the CD14+CD16+ monocytes increased from  $11 \pm 6$  before Tx to  $17 \pm 11$ after Tx, and the production of TNF after lipopolysaccharide showed no remarkable difference  $(46\pm13 \text{ vs. } 49\pm14 \text{ channels})$ . At the same time,

expression of TNF and of HLA-DR showed a dramatic decrease in the CD14++ monocytes. Taken together after stem-cell Tx, the function of the CD14++ monocytes is impaired, and the functional properties of CD14+CD16+ monocytes recover, indicating that these cells may be important for defense against infections post-ASCT. J. Leukoc. Biol. 75: 207–213; 2004.

Key Words: phagocytosis · oxidative burst · TNF

#### INTRODUCTION

Autologous peripheral stem-cell tranplantation (ASCT), following high-dose chemotherapy, has become a well-established method of treatment for various malignancies [1]. In cases of multiple myeloma (MM) and relapsed non-Hodgkin's lymphoma (NHL), high-dose chemotherapy and ASCT are increasingly becoming the treatment of choice in patients who are less than 65 years old [2]. Major factors concerning morbidity and mortality in these patients before and within the first year after ASCT are infectious complications [3]. Thus, examination of the reconstituted immune system after ASCT is of great importance. Most of the work in that field has focused on the function of B and T cells after ASCT [4, 5], whereas the functional analysis of cells belonging to the innate immunity, e.g., monocytes, has somewhat been neglected. Until now, only little is known about monocyte dysfunction in myeloma and lymphoma and the effect of ASCT on the altered function of these cells. Flieger and Ziegler-Heitbrock [6] found increased levels of several cell-surface molecules on monocytes in chronic lymphocytic leukemia, suggesting an activation of these phagocytes. Dammacco et al. [7] have reported a defect in monocyte chemotaxis in patients with myeloma, and Mainwaring et al. [8]

<sup>&</sup>lt;sup>1</sup> Correspondence: Department of Hematology and Oncology, Medical Clinic Campus Innenstadt, University of Munich, Ziemmssenstrasse 1, 80336 Munich, Germany. E-mail: dayyani@web.de

Received August 19, 2003; revised October 1, 2003; accepted October 2, 2003; doi: 10.1189/jlb.0803386.

have shown impairment of monocyte phagocytosis and killing of *Candida albicans* in myeloma and Waldenström's macroglobulinaemia.

Peripheral blood monocytes play a crucial role in the host response, exerting many different effects. These include antimicrobial and antitumor effects, production of a wide range of cytokines, as well as activation of B and T lymphocytes [9]. Today, it is known that monocytes do not represent a homogenous population of leukocytes but rather can be subdivided in subpopulations with distinct phenotypes and different physiological functions [10]. One approach to define different subtypes of monocytes in peripheral blood is to use monoclonal antibodies (mAb) against CD14 [lipopolysaccharide (LPS) receptor] and CD16 [Fc receptor for immunoglobulin G (IgG) III]. With this method, two populations can be identified [11]: The majority of the monocytes (~90% in healthy donors) show high CD14 expression and lack CD16 expression (CD14++ monocytes), whereas the rest of the cells have a CD14-dim and CD16-bright phenotype (CD14+CD16+ monocytes). The latter population is expanded in various conditions including sepsis, rheumatoid arthritis, and gastrointestinal carcinomas [12–14]. CD14+CD16+ monocytes produce, after challenge with LPS or the bacterial lipopeptide Pam3Cys, significantly higher levels of tumor necrosis factor (TNF) than the CD14++ monocytes [15] but fail to produce any detectable amounts of the anti-inflammatory cytokine interleukin-10 (IL-10) [16]. In fact, it has recently been shown that CD14+CD16+ monocytes are the major source of TNF after stimulation with Pam3Cys [15]. Thus, the minor subset of CD16+ monocytes has been referred to as proinflammatory monocytes. The monocyte subsets also exhibit distinct migration properties in response to chemokines as a result of their different chemokine receptor-expression pattern [17]. Recent work has identified monocyte subgroups in murine peripheral blood based on their expression of the cell-surface chemokine receptor CX3CR1. CX3CR1 high and dim cells seem to correspond to CD14+CD16+ and CD14++ monocytes, respectively [18].

In the present study, we compared functional properties of monocyte subpopulations in lymphoma and myeloma patients with healthy individuals and also examined the effect of myeloablative chemotherapy and ASCT on these different biological functions.

## MATERIALS AND METHODS

#### Patients

Fifteen patients [10 with multiple myeloma, four with NHL, and one with Hodgkin's disease (HD)] were recruited from September 2002 until March 2003 at the Department of Hematology and Oncology of the University Hospital (Munich, Germany). Written informed consent and ethics committee approval were obtained. Eleven apparently healthy members of staff of the university hospital served as controls.

The mobilization regimen consisted of ifosfamide, epirubicin, and etoposide and granulocyte colony-stimulating factor (G-CSF; at a dose of 5  $\mu$ g/kg body weight). After mobilization, peripheral blood CD34+ stem cells were collected. Myeloma patients under the age of 60 years received high-dose melphalan at a dose of 200 mg/m<sup>2</sup>, whereas older patients received melphalan at a reduced dose of 140 mg/m<sup>2</sup>.

#### Reagents

Anti-CD14–fluorescein isothiocyanate (FITC; #6603511), anti-CD14–RD1 (#6603262), anti-human leukocyte antigen (HLA)-DR–PC5 (#IM 2659), and IgG1-PC5 (#IM2663) were purchased from Beckman Coulter (Krefeld, Germany). Anti-CD45–antigen-presenting cell (#MHCD4505), anti-IL-1 $\beta$ –FITC (#MHCIL1B01), mouse IgG1–FITC (#MG101), TNF- $\alpha$ –FITC (#RHTNFA01), rat IgG1–FITC (#R101), and Fix&Perm kit (#GAS-004) were purchased from Caltag (Hamburg, Germany). Anti-CD16–phycoerythrin (PE; #347617) and the phagocytosis and oxidative burst detection kits Phagotest<sup>®</sup> (#341060) and Phagoburst<sup>®</sup> (#341058) were from BD Biosciences (Heidelberg, Germany). Brefeldin A (#B 6542) and LPS from S. Minnesota (#L 4641) were from Sigma (Munich, Germany).

#### Phagocytosis of bacteria

Phagocytic activity was determined by performing a slightly modified version of the protocol described in the Phagotest<sup>®</sup> kit. Briefly, 200 µl heparinized whole peripheral blood was incubated with 40 µl opsonized FITC-labeled *Escherichia coli* for 10 min at 37°C in a water bath. Samples remaining on ice served as negative controls. To stop phagocytosis, 200 µl Phagotest<sup>®</sup> quenching solution was added to each sample at the end of incubation time. The samples were then washed with 3 ml Phagotest<sup>®</sup> washing solution twice and were lysed with 2 ml Phagotest<sup>®</sup> lysis solution for 20 min at room temperature (RT). After that, cells were washed once again and stained with mAb anti-CD14–PC5 and anti-CD16–PE for 20 min on ice. Monocyte subsets were defined according to their scatter properties and CD14 and CD16 expression. Phagocytosis was determined by detecting FITC-positive cells within the gates of monocyte subpopulations on a fluorescent-activated cell sorter (FACS; Epics XL-MC, Beckman Coulter) using the System II software.

### Quantification of oxidative burst activity

Oxidative burst activity was determined by performing a slightly modified version of the protocol described in the Phagoburst<sup>®</sup> kit. For activation, 200  $\mu$ l heparinized whole peripheral blood was incubated with 40  $\mu$ l opsonized *E. coli* for 10 min at 37°C in a water bath. Another sample was incubated with 40  $\mu$ l Phagoburst<sup>®</sup> washing solution without *E. coli* and was served as a negative control. For oxidation, 40  $\mu$ l Phagoburst<sup>®</sup> substrate solution, containing the fluorogenic substrate dihydrorhodamine 123 (DHR), was added to each sample and incubated for another 10 min at 37°C in a water bath. In the presence of reactive oxygen species (ROS), DHR is converted into a green fluorescent dye. For lysis, the samples were incubated with Phagoburst<sup>®</sup> usshing solution and stained with anti-CD14–PC5 and anti-CD16–PE for 20 min in on ice. Oxidative burst activity was determined by detecting FITC-positive cells within the gates of monocyte subpopulations.

### Intracellular TNF staining

For in vitro culture, peripheral blood mononuclear cells (PBMCs) were prepared from heparinized blood obtained from healthy volunteers and patients by density-gradient separation. Cells ( $10^6$  per ml) were resuspended in 3 ml RPMI 1640 with 10% fetal calf serum (FCS). The samples were incubated for 6 h at 37°C in the presence of the protein transport inhibitor brefeldin A at 10 µl/ml with or without 500 ng/ml LPS. After incubation, cells were stained with anti-CD14–RD1 and HLA-DR–PC5 for 20 min on ice. After that, the cells were fixed with Fix & Perm reagent A for 15 min at RT and were then washed with phosphate-buffered saline (PBS) containing 2% FCS. For permeabilization, samples were incubated afterwards with Fix & Perm reagent B for 15 min at RT. At the same time, anti-TNF–FITC or rat IgG1–FITC was added to the samples. Finally, cells were washed once with PBS, 2% FCS, and were analyzed immediately on FACS. The specific median fluorescence intensity (MFI) was calculated as followed: fluorescence intensity of the specific antibody – fluorescence intensity of the isotype control.

#### Cell-surface staining and quantification of the ratio of monocyte populations

Whole peripheral blood (200–1000  $\mu$ l), depending on the absolute leukocyte count, was lysed and twice washed with PBS, 2% FCS. Cells were then stained with anti-CD14–FITC, anti-CD16–PE, and anti-HLA-DR–PC5 for 20 min on

ice. After incubation, cells were washed once in PBS, 2% FCS, and analyzed on FACS.

A gate was first set around monocytes based on their light-scatter properties. Then, two monocyte populations were defined in that gate: the "classical" monocytes being strongly CD14-positive and CD16-negative and the CD14+CD16+ monocytes with a weaker CD14 expression and a bright CD16 coexpression. The ratio of these two populations was calculated by dividing the number of events in the CD14+CD16+ gate by the number of events in the CD14++ gate.

#### Statistics

For statistical analysis, Student's *t*-test was used. P < 0.05 was considered statistically significant.

#### RESULTS

#### CD14+CD16+ monocytes during the course of ASCT

Fifteen patients with multiple myeloma or relapsed lymphoma underwent high-dose chemotherapy with subsequent ASCT. The median time between the last chemotherapy regimen and the mobilization regimen was 1.5 months (range, 1–3 months), and the median time from mobilization chemotherapy to ASCT was 2 months (range, 1–14 months). G-CSF was given at a dose of 5  $\mu$ g/kg body weight after transplantation from day +1 until total leukocyte counts of the patients were greater than 5000/  $\mu$ l. The characteristics of the patients are shown in **Table 1**.

The numbers of monocyte subpopulations in peripheral blood were determined by two or three color-flow cytometry starting on the day of admission to the hospital (=before ASCT, day -8 or -4) to the day of discharge (=after ASCT, day  $11.3\pm2.2$ ). The day of the actual infusion of the autologous stem cells is referred to as day 0.

Before transplantation (Tx), the ratio of the CD14+CD16+ monocytes to CD14++ monocytes in the patients was 0.28  $\pm$ 0.11 (n=11), which is comparable with the ratio in healthy controls with  $0.26 \pm 0.18$  (n=11). During the course of high-dose chemotherapy and ASCT, that ratio reversed in favor of the CD14+CD16+ monocytes and reached a peak value of 12.38  $\pm$ 7.84 on day 3.5  $\pm$  1.6 (P<0.0001). Then, the CD14+CD16+ monocyte ratio again declined with a significant lower mean value of 0.11  $\pm$  0.07 at the day of discharge (day 11.3  $\pm$  2.2) compared with the pre-ASCT ratio (P < 0.001). Although the absolute number of classical CD14++ monocytes declined to less than  $1/\mu l$  at the nadir, the number of CD14+CD16+monocytes fell from  $29.7 \pm 9.8/\mu$ l to  $4.5 \pm 3.0/\mu$ l at the nadir only, indicating a higher resistance of these cells to chemotherapy-induced depletion. The CD14+CD16+ monocytes increased to  $13.8 \pm 9.8/\mu$ l at the day of discharge from the hospital (Fig. 1A).

Assuming that the CD14+CD16+ monocytes represent a more differentiated type of monocytes that required time to develop, we hypothesized the low number of these cells at the time of discharge may further improve over time. Thus, we examined the monocytes of seven patients that could be followed up several weeks after ASCT. Indeed, the number of CD14+CD16+ monocytes now was  $37.5 \pm 23.3/\mu$ l, and the ratio increased to  $0.30 \pm 0.18$  to a level similar to the pre-ASCT value (Fig. 1B).

# Phagocytosis in monocytes before and after ASCT

To assess the impact of Tx on functional properties of monocyte subsets, we measured phagocytosis in each population by flow cytometry using the Phagotest<sup>®</sup> kit. After phagocytosis of FITC-labeled *E. coli*, cells become FITC-positive in this assay (**Fig.** 

Patient	Sex	Age	Diagnosis	Number of chemotherapy regimens prior to ASCT	Number of reinfused CD34+ stem cells $(\times 10^{6}/\text{kg})$	High-dose regimen
1	М	22	HD	BEACOPP, BEAM, Gemcitabine, 6 × DHAP	5.83	BuCy
2	Μ	54	NHL	$3 \times CHOP$	3.46	BEAM
3	F	65	NHL	NOSTE	3.76	BEAM
4	Μ	53	NHL	$4 \times \text{FCM}$	2.86	$C_{V} + TBI$
5	F	60	NHL	$6 \times CHOP$	3.73	BEAC
6	F	65	MM	$1 \times \text{CAD}, 3 \times \text{VAD}$	2.03	Mel
7	Μ	73	MM	$1 \times ID$	7.73	Mel
8	Μ	66	MM	$4 \times ID$	2.32	Mel
9	Μ	66	MM	None	3.22	Mel
10	Μ	64	MM	$4 \times ID$	3.49	Mel
11	Μ	59	MM	$3 \times \text{VAD}$	5.10	Mel
12	F	49	MM	$4 \times ID$	6.93	Mel
13	F	54	MM	$4 \times ID$	2.99	Mel
14	Μ	58	MM	$4 \times ID$	6.60	Mel
15	Μ	67	MM	$4 \times ID$	4.99	Mel

TABLE 1. Patient Characteristics

M, male; BEACOPP, procarbazine, prednisone, cyclophosphamide, doxorubicin, etoposide, vincristine, bleomycin; BEAM, carmustine, etoposide, cytarabine, melphalan; BuCy, busulphan, cyclophosphamide; DHAP, cisplatin, Ara-C, dexamethasone; CHOP, cyclophosphamide, doxorubicin, vincristine, prednisone; F, female; NOSTE, mitoxantrone, prednimustine; FCM, fludarabine, cyclophosphamide, mitoxantrone; Cy + TBI, cyclophosphamide and total body irradiation; BEAC, carmustine, etoposide, cytarabine, cyclophosphamide; CAD, cyclophosphamide, doxorubicin, dexamethasone; VAD, vincristine, doxorubicin, dexamethasone; Mel, melphalan; ID, idarubicin, dexamethasone.

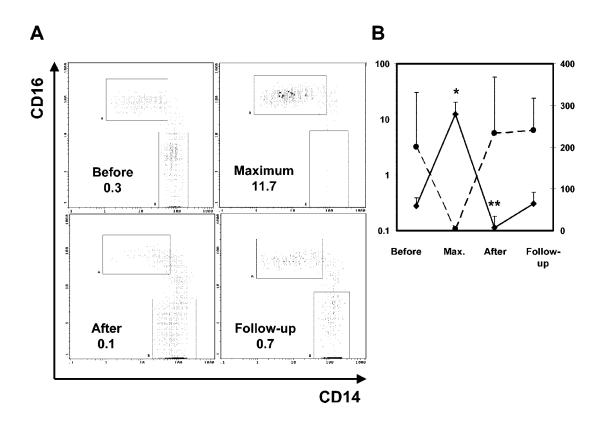


Fig. 1. (A) Whole peripheral blood of patients with lymphoma or myeloma was stained for CD14 and CD16 during the course of high-dose chemotherapy and ASCT. Gates were set around CD14++ (lower gate in the dot plots) and CD14+CD16+ monocytes (upper gate in the dot plots), and the ratio between the event count in the latter and first gate (CD14+CD16+:CD14++) was calculated. Dot plots from a representative patient are shown before chemotherapy (Before, upper left), at the day of the maximum ratio (Maximum, upper right), on the day of discharge from the hospital (After, lower left), and on a follow-up check several weeks later (Follow-up, lower right). (B) The kinetics of the CD14+CD16+:CD14++ ratio on a logarithmic scale (left axis, solid line) and the absolute total monocyte values (cells/ $\mu$ l, right axis, dashed line) are shown for n = 11 patients. Definition of time points described (given as mean  $\pm$ SD): Before, day -4; maximum (Max.), day  $3.5 \pm 1.6$ ; After, day  $11.3 \pm 2.2$ ; Follow-up, day  $174 \pm 111$ . \* and \*\*, P < 0.01, versus before value.

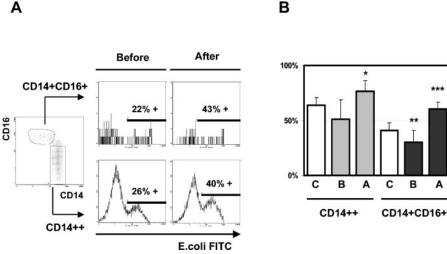
2A). Although in healthy subjects, phagocytosis of FITClabeled *E. coli* is significantly higher in CD14++ monocytes than in CD14+CD16+monocytes  $(64\pm7\% \text{ vs. } 41\pm7\%,$ P < 0.001), the capability of phagocytosis of CD14+CD16+ monocytes in patients before ASCT is further reduced  $(30\pm10\%, P < 0.05 \text{ vs. healthy controls})$ . At the same time, the CD14++ monocytes of patients exhibit the same level of phagocytosis  $(51\pm18\%)$  as controls. After ASCT, significantly more CD14++ monocytes become FITC-positive  $(77\pm10\%)$  within this population. Furthermore, after ASCT, the percentage of FITC-positive CD14+CD16+ monocytes almost doubles to  $60 \pm 6\%$  (P<0.001 vs. before ASCT) and reaches a level higher than healthy controls (Fig. 2B).

#### Oxidative burst in monocyte subpopulations

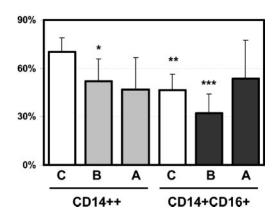
We next tested the ability of monocyte subpopulations to produce ROS after ingestion of *E. coli*, using the Phagoburst® kit. As shown in Figure 3, in healthy controls, a significantly

Fig. 2. (A) Whole peripheral blood was incubated with E. coli FITC. After incubation, cells were stained for CD14 and CD16. Monocyte subpopulations were then analyzed for phagocytosis of E. coli FITC on a flow cytometer. Cells incubated with E. coli FITC on ice served as negative control. The solid line in each histogram indicates the gate of FITC-positive cells. Representative histograms from a patient before and after ASCT are shown. (B) Mean values  $\pm$  SD from n = 6 patients are shown. C, Healthy controls; B, patients before ASCT; A, patients after ASCT. \*, P < 0.05, versus CD14++ of healthy controls; \*\*, P < 0.01, versus CD14+CD16+ of healthy controls; , P < 0.01, versus before.



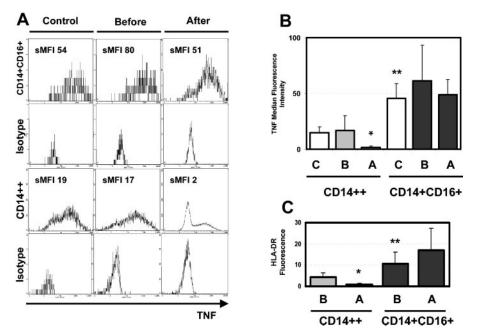


A



**Fig. 3.** Whole peripheral blood was incubated with *E. coli*. After addition of a fluorescent dye, the percentage of monocytes positive for oxidative burst was determined on a flow cytometer. Mean values  $\pm$  SD from n = 6 patients are shown. C, Healthy controls; B, patients before ASCT; A, patients after ASCT. \* and \*\*, P < 0.05, versus CD14++ of healthy controls; \*\*\*, P < 0.05, versus CD14++ CD16+ of healthy controls.

higher proportion of classical CD14++ monocytes becomes positive for oxidative burst after phagocytosis of *E. coli* when compared with CD14+CD16+ monocytes ( $70\pm9\%$  vs.  $46\pm10\%$ , P<0.05). In patients, this trend apparently remains, although on a significantly lower level. Although within the CD14++ population, a percentage of  $52\pm14\%$  is positive for oxidative burst, that proportion is reduced to a value of  $32\pm12\%$  among the CD14+CD16+ monocytes. In contrast to this pre-ASCT finding, after ASCT, almost the same percentage of CD14++ monocytes is positive for oxidative burst ( $47\pm20\%$ ), whereas in CD14+CD16+ monocytes, oxidative burst



 $(53\pm24\%)$  does not significantly differ from the value in healthy donors any more (Fig. 3).

# TNF production and HLA-DR expression remain unaltered in CD14+CD16+ monocytes after ASCT and are strongly reduced in CD14++ monocytes

Previous work has shown that CD14+CD16+ monocytes are a major source of TNF production [15]. Furthermore, it has been shown that CD14+CD16+ monocytes exhibit a higher expression of molecules involved in antigen presentation, such as HLA-DR, than classical CD14++ monocytes [12]. Thus, we also examined the effect of ASCT on TNF production as well as HLA-DR expression in both monocyte populations.

Intracellular TNF production after 6 h of stimulation with LPS in healthy controls confirmed results obtained in previous studies. MFI was significantly higher in CD14+CD16+ monocytes than in CD14++ monocytes ( $45.7\pm13.2$  vs.  $14.7\pm5.3$ , P<0.01). The level of TNF production of CD14+CD16+ and CD14++ monocytes in patients before ASCT was comparable with controls ( $61.3\pm31.9$  and  $16.7\pm13.2$ , respectively, **Fig. 4A**); however after ASCT, although there was no change of TNF expression in the CD14+CD16+ monocytes (MFI,  $49.0\pm13.6$ ), the already-lower MFI in CD14++ monocytes had further dropped by almost 90% to  $1.6\pm1.2$  (Fig. 4B).

A similar trend was observed when looking at HLA-DR expression. Before ASCT, CD14+CD16+ monocytes showed a somewhat higher HLA-DR expression than CD14++ monocytes ( $10.6\pm5.6$  vs.  $4.2\pm2.2$ , P<0.01). Again after ASCT, HLA-DR expression on CD14++ monocytes was reduced by more than 80% to  $0.9 \pm 0.6$ , whereas the expression on CD14+CD16+ monocytes showed an increase, although not significant ( $17.0\pm10.5$ , Fig. 4C).

Fig. 4. (A) PBMCs were stimulated with 500 ng/ml LPS for 6 h. After staining for CD14 and CD16 and subsequent permeabilization, cells were stained for intracellular TNF and analyzed on a flow cytometer. Gates were first set around CD14++ and CD14+CD16+ monocytes, respectively, and the TNF expression was measured within each population. The two histograms on the left side show a representative example for TNF expression in a healthy control, whereas the histograms in the middle and on the right show TNF production in a representative patient before and after ASCT. sMFI, specific median fluorescence intensity. (B) MFI of TNF expression is shown. Mean values  $\pm$  SD from n = 6 patients. C, Healthy controls; B, patients before ASCT; A, patients after ASCT. \*, P < 0.01, versus CD14++ before ASCT; \*\*, P < 0.001, versus CD14++ of healthy controls. (C) Whole peripheral blood was stained for CD14, CD16, and HLA-DR. Fluorescence intensity of HLA-DR expression was then determined for each monocyte population. Mean values  $\pm$  SD from n = 8 patients. B, Patients before ASCT; A, patients after ASCT. \*, P < 0.01; \*\*, P < 0.02, versus CD14++ before ASCT.

Myeloablative chemotherapy and ASCT are now widely used in various hematological and solid malignancies. Especially in multiple myeloma and relapsed lymphoma, the benefits of ASCT in younger patients have been demonstrated. Infections are a major cause of morbidity and mortality among these patients, and immunological dysfunctions in these patients have been described [19, 20]. Thus, several groups have addressed the issue of immune recovery after ASCT, mainly focusing on lymphocyte function [4, 5]. In this work, we have examined CD14++ and CD14+CD16+ monocyte subpopulations during the course of high-dose chemotherapy and ASCT in patients with myeloma and relapsed lymphoma.

We could show that there is no significant difference in the percentage of CD14+CD16+ monocytes in patients and healthy controls. An increased number of CD14+CD16+ monocytes have been shown in solid malignancies [14], but this appears not to be the case in lymphoma and myeloma. Our findings may be a result of prior induction and mobilization chemotherapy. We further observed a decline in the proportion of classical CD14++ monocytes and a corresponding increase of the CD14+CD16+ monocyte fraction, with a peak proportion of more than 98% on days 3-4 after infusion of the autologous stem cells. This dramatic increase in the proportion of CD14+CD16+ monocytes may be a result of a higher resistance to cytotoxic agents compared with CD14++ monocytes. Performing in vitro studies with melphalan, however, we could not show a higher resistance of the CD14+CD16+ monocytes after a 24-h exposure. In fact, the percentage of CD14+CD16+ even decreased (data not shown). Therefore, some unknown factors in the patients' serum might be responsible for the endurance of the CD14+CD16+ monocytes after high-dose therapy. Other possibilities for the increased proportion of CD14+CD16+ monocytes might be the daily administration of G-CSF or reinfusion of high numbers of CD14+CD16+ monocytes in the stem-cell preparation. G-CSF has been shown to induce a CD14+CD16+ phenotype [21], and Tanaka et al. [22] have reported an elevated percentage of CD14+CD16+ monocytes in peripheral blood stem-cell collections. In preliminary experiments with few patients, we could not observe the depletion of monocyte subsets by nonmyeloablative chemotherapy (data not shown).

After engrafment of transplanted stem cells, usually on days 9–10, the increased ratio of CD14+CD16+ monocytes has already reversed to a level below pre-Tx. This finding is in accordance with the concept of CD14+CD16+ monocytes being a more mature monocyte population [12]. Apparently by that time, the pool of peripheral blood CD14+CD16+ monocytes has been depleted through apoptosis or extravasation, and new CD14+CD16+ monocytes have to differentiate yet. This hypothesis is further underlined by the fact that several weeks after ASCT, the percentage of CD14+CD16+ monocytes has been maturation of the classical CD14++ monocytes.

We next addressed the question whether functional properties of monocytes are altered after ASCT. We could demonstrate that in healthy donors, the capability of CD14+CD16+ monocytes to phagocytose *E. coli* and to produce reactive oxygen radicals is significantly decreased compared with CD14++ monocytes. In patients, CD14++ monocytes exhibit similar levels of phagocytosis and significantly reduced levels of oxidative burst compared with CD14++ cells of normal individuals. Although oxidative stress remains unaltered in CD14++ monocytes after ASCT, phagocytosis of *E. coli* is significantly enhanced after Tx. These findings are even more striking when looking at CD14+CD16+ monocytes. We show that in patients, phagocytosis and oxidative burst of CD14+CD16+ monocytes are markedly reduced compared with normal donors. Although after ASCT, the capability to produce ROS is not significantly different from controls anymore, phagocytosis is dramatically improved and doubles the pre-Tx value. Thus high-dose chemotherapy and ASCT obviously not only restore these immunological functions in monocytes but also in case of phagocytosis, even enhance it to supra-normal levels. Dammacco et al. [7] and Mainwaring et al. [8] have reported monocyte dysfunction in myeloma patients, and recently, there have been two reports on functionally defective dendritic cells in myeloma, but none have distinguished between functionally different subgroups of monocytes [23, 24].

The importance of distinction between monocyte subpopulations is further emphasized when looking at cytokine production after LPS stimulation. Baseggio et al. [25] have reported higher secreted TNF protein levels in PBMCs in NHL than in controls, although not significant. In this work, we could confirm these results looking at intracellular TNF production. Furthermore, we show that whereas TNF production of CD14+CD16+ monocytes is comparable in patients and healthy controls, in CD14++ monocytes, TNF production is strongly reduced after ASCT. We have previously demonstrated that CD14++ monocytes account for  $\sim$ 70% of secreted TNF in response to LPS [15]. Thus, our current finding gives evidence of an impaired cytokine response in the majority of monocytes after ASCT. In addition, we can also show an almost complete down-regulation of HLA-DR expression on CD14++ monocytes after ASCT, and in CD14+CD16+ monocytes, HLA-DR expression is slightly increased, although not significantly. The decrease in HLA-DR on the classical monocytes is in line with studies by Reinke et al. [26], who have shown similar effects after immunosuppressive therapy. Although the classical monocytes are impaired, it is important to note that our study shows that the CD14+CD16+ monocytes are still strongly positive and therefore, likely capable of antigen presentation and T cell activation after microbial infection. Although the absolute number of CD14+CD16+ monocytes is low after ASCT, they by far exceed the number of dendritic cells, cells that are also crucial in spite of low frequency.

Taken together, we have shown herein that an initially impaired function of the CD14+CD16+ monocytes in NHL and myeloma will recover after high-dose chemotherapy and ASCT. As the classical CD14++ monocytes at the same time are impaired with respect to TNF and HLA-DR, it might be possible that the CD14+CD16+ monocytes play an important role in antimicrobial defense post-therapy in these patients.

# REFERENCES

- Gratwohl, A., Passweg, J., Baldomero, H., Hermans, J. (1999) Blood and marrow transplantation activity in Europe 1997. European Group for Blood and Marrow Transplantation (EBMT). *Bone Marrow Transplant.* 24, 231–245.
- Grosbois, B., Decaux, O., Azais, I., Facon, T., Avet-Loiseau, H. (2002) Current treatment strategies for multiple myeloma. *Eur. J. Intern. Med.* 13, 85–95.
- Reimer, P., Kunzmann, V., Wilhelm, M., Weissbrich, B., Kraemer, D., Berghammer, H., Weissinger, F. (2003) Cellular and humoral immune reconstitution after autologous peripheral blood stem cell transplantation (PBSCT). Ann. Hematol. 82, 263–270.
- Schlenke, P., Sheikhzadeh, S., Weber, K., Wagner, T., Kirchner, H. (2001) Immune reconstitution and production of intracellular cytokines in T lymphocyte populations following autologous peripheral blood stem cell transplantation. *Bone Marrow Transplant.* 28, 251–257.
- Akpek, G., Lenz, G., Lee, S. M., Sanchorawala, V., Wright, D. G., Colarusso, T., Waraska, K., Lerner, A., Vosburgh, E., Skinner, M., Comenzo, R. L. (2001) Immunologic recovery after autologous blood stem cell transplantation in patients with AL-amyloidosis. *Bone Marrow Transplant.* 28, 1105–1109.
- Flieger, D., Ziegler-Heitbrock, H. W. (1988) Abnormal blood monocytes in chronic lymphocytic leukemia. *Cancer Res.* 48, 4812–4816.
- Dammacco, F., Miglietta, A., Ventura, M. T., Bonomo, L. (1982) Defective monocyte chemotactic responsiveness in patients with multiple myeloma and benign monoclonal gammapathy. *Clin. Exp. Immunol.* 47, 481–486.
- Mainwaring, C. J., Williams, M. A., Singer, C. R., Lush, R. J., Smith, J. G., Haynes, C. L., Kelsey, S. M. (1999) Monocyte dysfunction in patients with multiple myeloma and lymphoplasmacytic disorders is related to serum paraprotein levels. *Br. J. Haematol.* **105**, 948–954.
- Williams, M. A., Rhoades, C. J., Newland, A. C., Kelsey, S. M. (1999) The potential for monocyte-mediated immunotherapy during infection and malignancy. *Leuk. Lymphoma* 34, 207–230.
- Grage-Griebenow, E., Flad, H. D., Ernst, M. (2001) Heterogeneity of human peripheral blood monocyte subsets. J. Leukoc. Biol. 69, 11–20.
- Passlick, B., Flieger, D., Ziegler-Heitbrock, H. W. (1989) Identification and characterization of a novel monocyte subpopulation in human peripheral blood. *Blood* 74, 2527–2534.
- 12. Ziegler-Heitbrock, H. W. (1996) Heterogeneity of human blood monocytes: the CD14+ CD16+ subpopulation. *Immunol. Today* **17**, 424–428.
- Kawanaka, N., Yamamura, M., Aita, T., Morita, Y., Okamoto, A., Kawashima, M., Iwahashi, M., Ueno, A., Ohmoto, Y., Makino, H. (2002) CD14+, CD16+ blood monocytes and joint inflammation in rheumatoid arthritis. *Arthritis Rheum.* 46, 2578–2586.
- Saleh, M. N., Goldman, S. J., LoBuglio, A. F., Beall, A. C., Sabio, H., McCord, M. C., Minasian, L., Alpaugh, R. K., Weiner, L. M., Munn, D. H. (1995) CD16+ monocytes in patients with cancer: spontaneous elevation

and pharmacologic induction by recombinant human macrophage colonystimulating factor. *Blood* **85**, 2910–2917.

- Belge, K. U., Dayyani, F., Horelt, A., Siedlar, M., Frankenberger, M., Frankenberger, B., Espevik, T., Ziegler-Heitbrock, L. (2002) The proinflammatory CD14+CD16+DR++ monocytes are a major source of TNF. *J. Immunol.* 168, 3536–3542.
- Frankenberger, M., Sternsdorf, T., Pechumer, H., Pforte, A., Ziegler-Heitbrock, H. W. (1996) Differential cytokine expression in human blood monocyte subpopulations: a polymerase chain reaction analysis. *Blood* 87, 373–377.
- Ancuta, P., Rao, R., Moses, A., Mehle, A., Shaw, S. K., Luscinskas, F. W., Gabuzda, D. (2003) Fractalkine preferentially mediates arrest and migration of CD16+ monocytes. *J. Exp. Med.* **197**, 1701–1707.
- Geissmann, F., Jung, S., Littman, D. R. (2003) Blood monocytes consist of two principal subsets with distinct migratory properties. *Immunity* 19, 71-82.
- Petersen, J., Drivsholm, A., Brandt, M., Ambjornsen, A., Dickmeiss, E. (1989) B lymphocyte function in multiple myeloma: analysis of T cell- and monocyte-dependent antibody production. *Eur. J. Haematol.* 42, 193– 201.
- Rawstron, A. C., Davies, F. E., Owen, R. G., English, A., Pratt, G., Child, J. A., Jack, A. S., Morgan, G. J. (1998) B-lymphocyte suppression in multiple myeloma is a reversible phenomenon specific to normal B-cell progenitors and plasma cell precursors. Br. J. Haematol. 100, 176–183.
- Ohsaka, A., Saionji, K., Kuwaki, T., Takeshima, T., Igari, J. (1995) Granulocyte colony-stimulating factor administration modulates the surface expression of effector cell molecules on human monocytes. *Br. J. Haematol.* 89, 465–472.
- Tanaka, M., Honda, J., Imamura, Y., Shiraishi, K., Tanaka, K., Oizumi, K. (1999) Surface phenotype analysis of CD16+ monocytes from leukapheresis collections for peripheral blood progenitors. *Clin. Exp. Immunol.* **116**, 57–61.
- Ratta, M., Fagnoni, F., Curti, A., Vescovini, R., Sansoni, P., Oliviero, B., Fogli, M., Ferri, E., Della Cuna, G. R., Tura, S., Baccarani, M., Lemoli, R. M. (2002) Dendritic cells are functionally defective in multiple myeloma: the role of interleukin-6. *Blood* 100, 230–237.
- Brown, R. D., Pope, B., Murray, A., Esdale, W., Sze, D. M., Gibson, J., Ho, P. J., Hart, D., Joshua, D. (2001) Dendritic cells from patients with myeloma are numerically normal but functionally defective as they fail to up-regulate CD80 (B7–1) expression after huCD40LT stimulation because of inhibition by transforming growth factor-beta1 and interleukin-10. *Blood* 98, 2992–2998.
- Baseggio, L., Bienvenu, J., Charlot, C., Picollet, J., Felman, P., Coiffier, B., Salles, G. (2001) Higher LPS-stimulated TNF-alpha mRNA levels in peripheral blood mononuclear cells from non-Hodgkin's lymphoma patients. *Exp. Hematol.* 29, 330–338.
- Reinke, P., Bevilacqua, M., Tryon, V., Cheronis, J., Volk, H. D. (2002) Immune monitoring of glucocorticoid therapy. *Ernst Schering Res. Found. Workshop* 40, 25–37.