

1 **Systematic phenotyping and correlation of biomarkers with lung function and histology in lung**
2 **fibrosis**

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4 Isis E. Fernandez¹, Oana V. Amarie¹, Kathrin Mutze¹, Melanie Königshoff¹, Ali Önder Yildirim¹, Oliver
5 Eickelberg¹

6 ¹Comprehensive Pneumology Center, University Hospital of the Ludwig Maximilians University and
7 Helmholtz Zentrum München, Munich, Germany, Member of the German Center for Lung Research.

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9 *Address correspondence to: Oliver Eickelberg, Comprehensive Pneumology Center, Ludwig-
10 Maximilians University Hospital and Helmholtz Zentrum München, Max-Lebsche-Platz 31, 81377
11 München, Germany, Tel.: 0049(89) 31874666; Fax: 0049(89)31874661; Email:
12 oliver.eickelberg@helmholtz-muenchen.de

13 **Running Title:** ICAM1 in pulmonary fibrosis

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19 **Abstract**

20 To date, phenotyping and disease course prediction in idiopathic pulmonary fibrosis (IPF) primarily relies
21 on lung function measures. Blood biomarkers were recently proposed for diagnostic and outcome
22 prediction in IPF, yet their correlation with lung function and histology remains unclear. Here, we
23 comprehensively assessed biomarkers in liquid biopsies and correlated their abundance with lung
24 function and histology during the onset, progression, and resolution of lung fibrosis, with the aim to
25 more precisely evaluate disease progression in the pre-clinical model of bleomycin-induced pulmonary
26 fibrosis *in vivo*.

27 Importantly, the strongest correlation of lung function with histological extent of fibrosis was observed
28 at day 14, while lung function was unchanged at day 28 and 56, even when histology showed marked
29 fibrotic lesions. While MMP7, MMP9, and PAI1 were significantly elevated in BAL of fibrotic mice, only
30 sICAM1 was elevated in the peripheral blood of fibrotic mice and strongly correlated with the extent of
31 fibrosis. Importantly, tissue-bound ICAM1 was also elevated in lung homogenates, with prominent
32 staining in hyperplastic type II alveolar epithelial and endothelial cells.

33 In sum, we show that lung function decline is not a prerequisite for histologically evident fibrosis,
34 particularly during the onset or resolution thereof. Plasma levels of sICAM1 strongly correlate with the
35 extent of lung fibrosis, and may thus be considered for the assessment of intraindividual therapeutic
36 studies in preclinical studies of pulmonary fibrosis.

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38

39 **INTRODUCTION**

40 Repetitive lung injury frequently induces lung fibrosis (13), which leads to impairment of the alveolar-
41 capillary units, enhances fibroblast proliferation and extracellular matrix (ECM) deposition, and aberrant
42 repair processes. These processes ultimately cause irreversible scarring of the lung, often resulting in
43 Idiopathic Pulmonary Fibrosis (IPF)(3, 12). IPF is a rapidly progressive and deadly disease with a median
44 survival of 2-3 years after diagnosis. While two drugs (nintedanib and pirfenidone) have been recently
45 approved for mild-to-moderate IPF in the US and Europe, these slow down the progression, but are
46 unable to reverse or cure IPF (18, 29). To date, the clinical evaluation of IPF most commonly relies on
47 repeated physiologic lung function measurements (e.g. FVC, DL_{CO}) and radiological findings on HRCT,
48 and, when available, histopathology (27). It is currently unclear, however, which of these parameters
49 best correlates with disease progression in IPF (41) (40). Importantly, the survival of patients with
50 interstitial lung disease significantly differs, even when comparing groups with a similar decline in lung
51 function (as assessed by FVC or FEV1) (38), suggesting that the additional use of predictive biomarkers
52 will more accurately project the disease course. As such, defining sensitive parameters that assess and
53 predict the progression of lung fibrosis is imperative, in particular by systematically evaluating recently
54 suggested blood biomarkers in comparison with lung function and histology (5). To begin to address this
55 question, we addressed biomarker profiles in the widely-used bleomycin model of lung fibrosis, in an
56 effort to adequately and precisely correlate biomarkers with physiologic and pathologic parameters
57 (33).

58 The assessment of drug efficacy in animal models of lung fibrosis has been criticized recently, since
59 several drugs showed efficacy in animal models, but not in clinical studies in IPF. It is important to note,
60 however, that the evaluation of animal models is, in many cases, biased by reader-dependent
61 measurements (23). One particular challenge, e.g., is the interindividual heterogeneity of fibrosis in
62 response to bleomycin, which should be systematically accounted for (22). Furthermore, systemic

63 evaluation of the bleomycin model is currently limited to biochemical assays, biased selection of
64 fibrosis-affected areas, or reader-dependent scoring of histology sections (e.g. Ashcroft score). Hence,
65 more quantitative assessment of fibrosis or better selection criteria in pre-clinical testing will be critical
66 for drug efficacy evaluation in these models.

67 Recently, a large prospective biomarker study showed that VCAM1, MMP7, ICAM1, and IL8 are strong
68 predictors of survival in IPF and might thus aid in disease monitoring. Their correlation with lung
69 histology, however, remains unclear (28). Furthermore, a large retrospective IPF cohort study
70 demonstrated that all current available models and commonly measured variables (e.g. FVC, dyspnea
71 score and six-minute walk distance) failed to accurately predict physiological and functional progression
72 of IPF (19). Thus, we sought to assess these biomarkers in several compartments (BALF, peripheral
73 blood) during the initiation, establishment, and resolution of fibrosis, and comprehensively correlated
74 their levels with histology and lung function in order to better define the disease state and severity of
75 lung fibrosis. Finally, we performed serial blood measurements of soluble ICAM1 (sICAM1), the best
76 performing biomarker in our study, and assessed its expression and localization in the lung and primary
77 alveolar epithelial cells during injury and fibrosis.

78

79 **METHODS**

80 **Animals.** Pathogen-free female C57BL/6 mice (10–12-weeks old) were obtained from Charles River and
81 maintained at constant temperature and humidity with a 12 h light cycle. Animals were allowed food
82 and water ad libitum. All animal experiments were conducted under strict governmental and
83 international guidelines and were approved by the local government for the administrative region of
84 Upper Bavaria (TVA 55.2-1-54-2532-21-12), as previously described (17).

85 **Induction and assessment of pulmonary fibrosis.** Pulmonary fibrosis was initiated by a single
86 intratracheal instillation of 50 μ l bleomycin (3U/kg, Sigma Aldrich, Taufkirchen, Germany), dissolved in
87 sterile PBS, and applied using the MicroSprayer Aerosolizer, Model IA-1C (Penn-Century, Wyndmoor,
88 PA). Control mice were instilled with 50 μ l PBS. After 7, 14, 28, or 56 days after bleomycin or PBS
89 instillation, lung function was measured using the FlexiVent system (Sireq) and mice were sacrificed for
90 biochemical and histological analysis. BAL (bronchoalveolar lavage) was performed by instilling the lungs
91 with 3 \times 0.5 ml aliquots of sterile PBS to obtain BAL fluid for biomarker analysis, and total/differential cell
92 counts for inflammatory cell recruitment of neutrophils, macrophages, or lymphocytes were measured.
93 Lung tissue was either snap-frozen in liquid nitrogen to determine tissue mRNA/protein expression or
94 fixed by intratracheal instillation of 4% PFA (paraformaldehyde) and embedded into paraffin for staining.

95 **Image acquisition, processing and analysis.** At least 3 sections from the same lung were cut and
96 arranged on the same paraffin block, and the slides stained with hematoxylin-eosin (H&E). Stained
97 sections were automatically scanned with a digital Mirax slide scanner system (3DHISTECH, Budapest,
98 Hungary) equipped with a 20 \times objective with a numerical aperture of 0.75. The actual scan resolution
99 (effective pixel size in the sample plane) at 20 \times was 0.23 μ m. The accompanying software allowed the
100 user to navigate through the captured Whole Slide Image (Zeiss, Germany).

101 **Quantification of fibrosis using semi-automated image analysis.** A minimum of 3 whole-lobe cuts of
102 500 μ m from identical mice were exported in high quality tiff files (8). All images were analyzed using an
103 already developed macro (15), which required ImageJ v1.38 or higher. This ImageJ macro was written to
104 quantify the percentage of fibrosis compared with the total amount of tissue within an image. This
105 percentage was determined by quantifying the total tissue areas occupied by dense parenchyma. The
106 threshold was set arbitrarily after the black and white conversion to +/- 5 (205) for all slides. To do so,
107 we converted the image from color to 8-bit, followed by the delimitation of the area of interest (whole

108 lobe selection) and measurement of the selected area (Figure 2A) as density percentage. The alveolar
109 space was determined by “parenchymal-free” area quantification, which included bronchi and vessels
110 (Figure 2B). We then quantified at least 3 sections (2mm approx. distant from each other in a transversal
111 cut) from each lung; this value was averaged and assigned as the percentage of alveolar space of each
112 individual.

113 **Luminex multiplex assay and ELISA.** A multiplex biometric ELISA-based immunoassay was performed
114 using plasma or BALF from PBS- or bleomycin-treated mice, according to the manufacturer’s protocols.
115 We used the Mouse Cardiovascular Disease (CDV) panel 1 commercially-available multiplex kit from
116 Millipore (Billerica, MA). MMP-7 and sICAM1 ELISAs were performed using plasma, BALF, or ATII
117 supernatants from PBS- or bleomycin-treated mice, according to manufacturer’s protocol (Uscn Life
118 Science Inc. Wuhan, China; Thermo Scientific, Rockford USA, respectively).

119 **Immunofluorescent stainings.** Lung tissue was embedded in paraffin and Immunofluorescence analysis
120 was performed as previously described (37). Briefly, sections were stained with anti-mouse ICAM1
121 (Thermo Fisher Scientific) or E-cadherin (Millipore) overnight at 4°C, washed three times with PBS, and
122 subsequently incubated with the secondary antibodies (1:250 dilutions of Alexa Fluor 568 goat anti-
123 rabbit or Alexa Fluor 488 goat anti-mouse) and DAPI (4',6-diamidino-2-phenylindole, Sigma-Aldrich, St
124 Louis, MO, USA, 1:2000) for 1 hour at room temperature. Finally, the sections were washed three times
125 with PBS and mounted in fluorescent mounting medium (Dako). Images were acquired with an LSM 710
126 (Zeiss) operated in multitrack mode.

127 **RNA Isolation and Real-Time Quantitative Reverse-Transcriptase PCR (qRT-PCR) Analysis.** RNA
128 extraction from mouse tissue was performed using the Roti Quick Kit (Carl Roth, Karlsruhe, Germany),
129 followed by RNA purification with the peqGold RNA isolation kit (Peqlab, Erlangen, Germany), according

130 to manufacturers' instructions. Quantitative real-time PCR (qRT-PCR) was performed using SYBR Green
131 PCR master mix (Roche Applied Science, Mannheim, Germany).

132 **Immunoblotting.** Pulverized mouse tissue was homogenized in Radio-Immunoprecipitation Assay (RIPA)
133 buffer, containing a protease and phosphatase inhibitor cocktail (Roche). Protein concentrations were
134 determined using the Pierce BCA Protein Assay (Thermo Fisher Scientific). Samples were denatured in
135 Laemmli buffer, resolved by SDS-PAGE, and transferred to polyvinylidene difluoride (PVDF) membranes.
136 Nonspecific membrane binding was blocked with 5% low-fat milk in TBS-T (0.1% Tween 20, TBS).
137 Membranes were incubated with the primary antibodies to ICAM1 (Thermo Fisher Scientific) or β -actin
138 (Sigma Aldrich) overnight at 4°C. After washing with TBS-T, the membranes were incubated with
139 secondary antibodies for 1 h at room temperature. Blots were rinsed with TBS-T and visualized with the
140 enhanced chemiluminescence (ECL) system (Thermo Fisher Scientific, Waltham, MA, USA), followed by
141 analysis using the ChemiDocXRS+ imaging system (Bio-Rad, Munich, Germany). Band quantification was
142 performed using ImageJ software (version v1.38).

143 **Primary murine ATII cell isolation and culture.** Primary murine ATII (pmATII) cell isolation from PBS- or
144 bleomycin-treated mice was performed as previously described (24). Supernatants were collected, snap-
145 frozen, and 1:2 diluted supernatants were used for the assessment of sICAM1 levels by ELISA.

146 **Statistical Analysis.** Results are presented as means \pm SD and were considered statistically significant
147 with p-value <0.05. Data of selected groups were compared using one-way ANOVA, followed by the
148 Dunnet *post hoc* test. The Pearson's correlation coefficient (r-value) was used to determine the degree
149 of association between variables and interpreted using Dancey and Reidy's categorization (9). Here, r-
150 values of ± 1 , ± 0.7 to ± 0.99 , ± 0.4 to ± 0.69 , ± 0.1 to ± 0.39 , and 0 were interpreted as perfect, strong,
151 moderate, weak, or no correlation, respectively. The statistical significance of the correlations was

152 assessed by p-value. A linear regression analysis between two variables was performed and the best fit
153 curve drawn from data points.

154

155 **RESULTS**

156 **The dynamics of lung injury, fibrosis, and resolution in bleomycin injury**

157 We first sought to perform an extensive phenotypic characterization of the bleomycin-induced fibrosis
158 model over an extended time period up to 56 days after intratracheal application of bleomycin.
159 Lymphocyte and neutrophil cell numbers significantly increased in BALF during the first seven days after
160 bleomycin injury and returned to baseline levels after 28 days. BALF macrophage numbers, in contrast,
161 were significantly increased at all-time points (day 7 to 56) and peaked 14 days after bleomycin injury
162 (Figure 1A). Static lung compliance, a surrogate of tissue stiffness, was significantly decreased 7 days
163 post-bleomycin with a major decrease 14 days after bleomycin instillation. Static compliance returned to
164 baseline levels at day 28 and was significantly increased at day 56 (Figure 1B). Similar observations were
165 made for tissue elastance (Figure 1B). Histologic analysis revealed a peak of lung fibrosis at day 14 after
166 injury, which regressed, but did not completely resolve, by day 56 (Figure 2A).

167 To avoid reader-dependent bias, we designed a semi-automatized algorithm to calculate the percentage
168 of alveolar space (Figure 2B). After measuring 39 individual mice, including 29 bleomycin-injured mice (n
169 = 8, 9, 5, and 7 for day 7, 14, 28, and 56 after bleomycin application, respectively) and 10 controls
170 (harvested from all time points, for a total of 149 images), we observed a significant drop in alveolar
171 space at day 7 compared with controls. The percentage of alveolar space remained reduced over the
172 entire observation period of 56 days (Figure 2C). We then assessed the correlation strength of %
173 alveolar space with lung function parameters (compliance) using all samples at all-time points. We
174 observed a moderate positive correlation ($r = 0.4$) for all samples analyzed (Figure 3A). When single time

175 point correlations were performed, we observed the strongest correlation at day 14 post-treatment,
176 followed by day 7 (Figure 3B). No significant correlations were obtained at day 28 and 56. This indicated
177 that lung function parameters fully recovered to normal values even in the clear presence of histological
178 evidence of fibrosis.

179 To substantiate these observations, we measured transcript levels of established markers of fibrosis
180 (*col1a1*, *fn1*, *lox12*, and *tnc*) in lung homogenates over the entire time course. We observed a significant
181 increase in all fibrosis markers during the peak of lung fibrosis (day 14) compared with controls (Figure
182 4), albeit with different expression dynamics over the entire time course of lung fibrosis. In fact, *col1a1*
183 was exclusively up-regulated at day 14 post treatment, whereas *fn1* was significantly up-regulated from
184 day 14 until day 28. Expression of the ECM-modifying enzyme *lox12* was increased from day 7 to day 28,
185 and decreased back to basal levels at day 56. Expression of *tnc* was highly increased at day 7, which was
186 maintained up to day 56. These dynamics thus suggest different contributions of these ECM
187 components to injury and scar formation in the lung.

188

189 **Compartmentalized biomarker signatures during lung injury, fibrosis and resolution**

190 Next, we assessed protein expression using a five-analyte panel containing MMP9, PAI1, E-selectin,
191 VCAM1, and sICAM1, according to previously reported biomarkers in IPF. In addition, MMP7 was
192 measured via ELISA (Figure 5A). Two out of these six candidate proteins were significantly regulated
193 during the onset and resolution of fibrosis when measured by Luminex in plasma: E-selectin was
194 significantly increased at day 7, and significantly decreased at day 56 compared with controls, whereas
195 sICAM1 was significantly increased from day 7 through 28, after which levels normalized again. The
196 increase in circulating sICAM1 was validated by ELISA, which showed a significant increase in sICAM1
197 levels at day 14 post-bleomycin treatment (Figure 5C). Both assays showed similar regulation of sICAM1,

198 but ELISA values are well-known to underestimate real concentrations compared with Luminex
199 platforms (7). Interestingly, some of these candidate proteins were not detectable in BALF in the same
200 individuals (Figure 5B). From the 6 candidate proteins tested, 4 were detectable in BALF (MMP7, MMP9,
201 sICAM1, and PAI1), out of which 2 were significantly increased during fibrosis: PAI1 was significantly
202 increased exclusively at day 7, whereas MMP7 was significantly increased at day 7 and 14, during the
203 peak of fibrosis.

204 We correlated the selected protein levels with pulmonary compliance and analyzed the correlation
205 coefficient of these 2 variables within all measured samples. No significant correlation was observed for
206 MMP9, and VCAM1. A weak but significant correlation was observed for MMP7, and E-selectin; and a
207 stronger and highly significant correlation ($p < 0.0001$) for PAI1 and sICAM1 with respect to protein
208 plasma levels and lung function (Figure 6).

209

210 **Compartmentalized regulation of ICAM1 during lung injury and fibrosis**

211 To further determine whether sICAM1 blood levels were regulated during the onset and progression of
212 fibrosis in an intraindividual manner, we performed sequential bleedings from day 0 to day 15 and
213 measured sICAM1 levels every 3rd day, in control and bleomycin-treated mice. We observed that sICAM1
214 levels were significantly increased as fibrosis developed (Figure 7A). Importantly, Western blotting of
215 whole lung homogenates revealed that ICAM1 protein expression levels were significantly increased at
216 day 14, compared with PBS controls (Figure 7B). Immunohistochemical staining demonstrated that
217 ICAM1 was strongly expressed in alveolar epithelial cells and endothelial cells in the healthy lung, while
218 it was prominently expressed in hyperplastic alveolar epithelial cells and endothelial cells during fibrosis
219 (Figure 7D). To confirm this, we investigated whether sICAM1 is shed from alveolar epithelial cells during
220 fibrosis by analyzing supernatants of primary alveolar epithelial (pmATII) cells from PBS- and bleomycin-

221 treated mice (day 14). We observed that supernatants from bleomycin-treated pmATII cells exhibited
222 significantly increased levels of sICAM1, compared with PBS-treated pmATII cells (Figure 7C).

223

224 **DISCUSSION**

225 We have witnessed an unprecedented gain in our understanding of the pathomechanisms driving lung
226 fibrosis in the past years, including the assessment of mRNA and miRNA expression patterns in the
227 fibrotic lung, both in murine and human studies. Two therapies for IPF have recently been approved in
228 Europe, the US, and a variety of other countries (18, 29), both of which decelerate the lung function
229 decline in IPF patients. Despite these major achievements, we are still unable to stop progression, or
230 better reverse the loss of functional lung tissue in IPF (10). The development of better diagnostic tools
231 and continued efforts for drug development in IPF, using better refined and defined animal models and
232 complex phenotypic assays therefore continue to be a major challenge to the scientific community (42).
233 To this end, we sought to comprehensively assess recently described biomarkers in IPF in the bleomycin-
234 induced model of lung fibrosis, in a compartmentalized manner, to increase the accuracy of
235 interventions and outcome measures during drug testing.

236 Although the comparison between the bleomycin-induced model of pulmonary fibrosis and IPF has been
237 highly controversial (2), recent studies show strong commonalities on distinct levels, encouraging the
238 continuous use of the model for preclinical testing (4, 26). In humans, IPF lesions are continuous and
239 progressive, contributing to permanent and irreversible lung scarring. In mice, the bleomycin-induced
240 fibrotic lesions are heterogeneous, time-limited and self-resolving, bringing the advantage of analyzing
241 the dynamics of injury-fibrosis-resolution and assess heterogeneity in an intraindividual manner. At first,
242 the inflammatory phase takes place within the first 7 days, followed by increased ECM deposition with a
243 maximum at day 14, and resolution from day 21-28 onward. Largely, lung function returns to basal
244 levels at 56 days post bleomycin treatment, as we have previously reported (31).

245 Importantly, the spatio-temporal heterogeneity of lung fibrosis increases the complexity in achieving
246 these goals. Well-established systematic measurements, such as pulmonary function tests (e.g. FVC,
247 FEV₁) are in daily clinical use assessing restrictive lung disease, but they remain unspecific and with
248 limited sensitivity, possibly preventing early detection of patients with lung fibrosis (32). In addition,
249 only 14% of early ILD patients exhibit changes in HRCT (14, 40), whereas 32% of these patients exhibit
250 changes in trans-bronchial biopsy (8). These data strongly suggest that a number of fibrotic lesions, in
251 particular early lesions, are not detected using a combination of up-to-date imaging and lung function
252 measurements in the clinical setting. This notion is supported by the data reported in our study. We
253 show that in mice, the maximum lung function decline was observed at day 14 post-treatment. Lung
254 function returned to baseline levels, whereas histological analysis still demonstrated a significant
255 amount of fibrosis in these individuals, demonstrating the need for specific biomarkers detecting the
256 presence of tissue fibrosis.

257 To avoid reader-dependent and region-of-interest selection bias, we developed a whole-lung, semi-
258 automatized quantification system. With this method, we were able to provide a robust broader
259 assessment of the affected lung by quantifying the alveolar space loss during fibrosis. In some severe
260 cases, alveolar loss went up to 30%, and was maintained over time, although lung function improved.
261 This might reflect a different molecular/cellular composition of the lung parenchyma during the scar
262 formation process. It is important to clarify that both parameters, lung function and histology, are
263 equally required to understand a given disease process, since each of them may reflect different aspects
264 of the disease. In the lung fibrosis model of adenovirus-mediated overexpression of active TGF- β (6),
265 good correlations between histomorphological, radiological, and functional changes were observed (1).
266 Notably, this model is a progressive and persistent model of fibrosis, supporting that during the peak of
267 fibrosis, but not necessarily during the initiation or resolution thereof, these parameters
268 comprehensively assess the disease status of the individual.

269 Interestingly, we found that single ECM components vary significantly during the evolution of fibrosis in
270 the lung. For instance, *col1a1* and *fn1* were predominantly increased at the peak of fibrosis (when the
271 lung exhibits maximum stiffness), while other ECM components maintained high expression levels at all
272 time points, supporting that some ECM members might be causally responsible for lung function
273 decline, and therefore critically determine the increased parenchymal stiffness of the lung.

274 Since physiologic measures and histopathology are at times poor predictors of short- and long-term
275 outcome, we profiled peripheral blood components as reflectors of the fibrotic process. Richards and
276 colleagues recently demonstrated that IPF patients display a unique plasma signature that reflects
277 disease severity (by integrating MMP7, VCAM1, S100A12, ICAM1, and IL8) (28). Thus, we selected
278 candidate markers with the aim to detect a characteristic signature of lung injury, fibrosis, and
279 resolution in the bleomycin model. We found that sICAM1 was significantly increased at day 7 and
280 remained increased as the injury was maintained. This expression was compartmentally regulated and
281 detected in the blood, but not BALF. Compartmental regulation of ICAM1 is detected in IPF patients,
282 where the levels of ICAM1 are higher in the peripheral blood than BALF (36).

283 The sICAM1 has been previously reported as a marker for epithelial injury in kidney disorders (39), since
284 it is a target of proteinases that shed it from the cell membrane (11). Recently, in a cohort of lung-
285 transplanted patients with primary graft dysfunction (34), sICAM1 was used as a predictor of mortality,
286 which improved when sICAM1 was analyzed in combination with other markers (e.g. sICAM1 - PAI1 or
287 sICAM1- sRAGE). Importantly, increased levels of sICAM1 in progressive injury have been reported in
288 other models of lung injury (21). In fact, BALF levels of sICAM1 were associated with epithelial dynamics
289 and transdifferentiation. Although our data does not show a significant increase in sICAM in the BALF of
290 bleomycin-treated mice, we show that primary murine ATII cells from fibrotic mice secrete and/or shed
291 higher levels of sICAM into the supernatant, demonstrating that lung ATII cells are an important source
292 of sICAM during injury, fibrosis, and resolution thereof.

293 Interestingly, ICAM1 plays a critical role during lung injury and fibrosis in leucocyte kinetics. In arteries,
294 ICAM1 does not increase during the initial injury and fibrotic phase of bleomycin-treated mice. Instead,
295 in venules and capillaries, there is an increase in its expression, accompanied by an increase in leucocyte
296 rolling, phenomenon inhibited by treatment with anti-ICAM1 monoclonal antibody (30). Furthermore,
297 blockage of ICAM1 via monoclonal antibodies inhibits leucocyte recruitment, but does not decrease
298 hydroxyproline content, or histopathological fibrosis, and therefore does not attenuate fibrosis (20). On
299 the other hand, fibrotic ICAM1^{-/-} mice exhibit decreased collagen content, when compared with
300 littermate controls. Moreover, mice with double knockout for ICAM1 and L-selectin show a dramatic
301 reduction in collagen deposition and fibrosis in response to bleomycin compared with littermate
302 controls or single knockout mice (16). Taken together, these data suggest that ICAM1 determines the
303 severity in lung fibrosis. Here, we show that not only endothelial cells, but also alveolar epithelial cells,
304 express abundant amounts of ICAM1 during disease, which is actively secreted to their environment. As
305 such, epithelial ICAM1, likely with help of other adhesion molecules, is a critical contributor to fibrosis
306 via increased secretion/shedding of ICAM1 to the extracellular compartment.

307 Our data also shows that sICAM1 varies among individuals during the peak of fibrosis (day 14). Yet, this
308 variation exhibited a strong correlation with compliance, reflecting that sICAM1 can monitor disease
309 severity in mice. In humans, sICAM1 levels correlates with survival, even better when combined with
310 other biomarkers. Shijubo and colleagues recently performed repetitive measures of ICAM1 in 4 IPF and
311 one sarcoid patient. They found that in rapidly declining IPF patients (albeit with n=3), serum levels of
312 ICAM1 gradually increased in repetitive visits, when disease worsened until death within a period of 3-
313 12 months (35). Furthermore, Okuda and others (25) recently reported that in the early phase of acute
314 exacerbations of IPF, ICAM1 is increased in IPF patients, and might serve as a predictive indicator for
315 prognosis. Taking together, this data demonstrate that, similar to fibrotic mice, ICAM1 levels might
316 predict disease severity, exacerbations, and mortality in IPF patients.

317 We initially measured sICAM1 in a multi-analyte bead-based assay with the Luminex platform. In order
318 to corroborate our findings, we validated the upregulation of sICAM1 by ELISA, in which we observed a
319 significant increase in sICAM1 at day 14. The differences in the concentrations of sICAM1 using the
320 Luminex and ELISA assays likely results from multiple factors attributable to interassay variation, epitope
321 specificity from manufacturer to manufacturer, dynamic range differences between fluorescence-based
322 detection and absorbance-based assay quantification, all of which are currently debated parameters
323 limiting the area of biomarker research (7).

324 In summary, our studies demonstrate that ICAM1 is expressed in the healthy lung epithelium. During
325 injury and fibrosis, ICAM1 is shed and its plasma levels increase, which correlate well with lung function
326 decline in experimental lung fibrosis. Plasma levels of sICAM1 measured at day 9 after the induction of
327 fibrosis may predict worsening of lung function, and at the same time, higher levels of sICAM1 were
328 associated with increased mortality. These studies indicate that sICAM1 could be considered as an
329 indicator of ongoing lung injury and fibrosis and might help to monitor disease progression and
330 therapeutic responses in preclinical models of fibrosis.

331

332

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336

337

338 **FIGURE LEGENDS**

339 **Figure 1. Inflammatory cell recruitment and lung function during lung fibrosis.** C57BL/6 mice (aged 10–
340 12 weeks, male) were treated with bleomycin or PBS and harvested at day 7, 14, 28 or 56 after
341 treatment. PBS represents a pool of PBS-treated mice for 7, 14, 28, and 56 days. (A) Differential cell
342 counts of inflammatory cells were assessed in broncho-alveolar lavage fluid. (B) Tissue stiffness was
343 determined by lung function measurement of compliance and elastance. Data is presented in mean \pm
344 SD, for statistical analysis one-way ANOVA was used. For all experiments: * $p < 0.05$, ** $p < 0.01$,
345 *** $p < 0.001$.

346 **Figure 2. Semi-automatized quantification of lung fibrosis.** Hematoxylin-and-eosin staining of mouse
347 lung sections from day 7, 14, 28 or 56 post treatment were scanned, analyzed, and quantified with
348 Image J. PBS represents a pool of PBS-treated mice for 7, 14, 28, and 56 days. (A) Representative
349 Masson trichrome stainings from PBS- or bleomycin-treated mice are depicted. (B) Threshold settings, 8-
350 bit conversions, and area selections of H&E stainings are shown. (C) Quantification of alveolar space via
351 Image J throughout the bleomycin time course is shown. Each dot represents the mean quantification of
352 at least 3 whole-lobe sections per subject. Statistical differences (* $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$) were
353 determined by one-way ANOVA.

354 **Figure 3. Correlation of lung function and histology over time in lung fibrosis.** Correlations between the
355 % of alveolar space and lung compliance were determined using Pearson's correlation coefficient. (A)
356 All-samples correlation including time point-matching PBS controls and 7, 14, 28, and 56 days treated
357 mice. (B) Time point-specific correlations are shown.

358 **Figure 4. The mRNA expression patterns of ECM markers in lung fibrosis.** The qRT-PCR analysis of
359 expression levels of collagen-1a1 (*col1a1*), fibronectin (*fn1*), lysyl oxidase L2 (*lox12*) and tenascin c (*tnc*),
360 from PBS and bleomycin-treated mice harvested 7, 14, 28 and 56 days after treatment is shown. PBS

361 represents a pool of PBS-treated mice for 7, 14, 28, and 56 days. Data is presented in mean \pm SD, as 1-
362 Δ CT relative expression to control (GAPDH). Statistical differences (* p <0.05; ** p <0.01; *** p <0.001)
363 were determined by one-way ANOVA.

364 **Figure 5. Plasma and BALF biomarker profiling during lung injury and fibrosis.** MMP7 was measured by
365 ELISA and MMP9, PAI1, E-selectin, VCAM1, and sICAM1 were measured by Luminex multiplex assay in
366 plasma (A) and BALF (B) samples obtained from bleomycin- or PBS-treated mice over 7, 14, 28 and 56
367 days. In BALF measurement, VCAM1 and E-selectin were non-detectable, and “n” vary according to
368 detectability. (C) sICAM1 ELISA using plasma samples from PBS- and bleomycin-treated mice. PBS
369 represents a pool of PBS-treated mice for 7, 14, 28, and 56 days. Data is presented as mean \pm SD. For
370 statistical analysis one-way ANOVA was used. For all experiments: * p <0.05, ** p <0.01, *** p <0.001.

371 **Figure 6. Correlation of lung function and plasma biomarkers in lung injury and fibrosis.** Correlation
372 coefficients of lung compliance and plasma levels of selected candidates (MMP9, MMP7, PAI1, E-
373 selectin, VCAM1, or sICAM1) were analyzed for subjects treated with bleomycin after day 7, 14, 28, 56
374 and respective PBS controls. Correlations between lung compliance and plasma signatures were
375 determined using Pearson’s correlation coefficient. Significance of the correlation analysis was set at
376 p <0.05.

377 **Figure 7. Compartmentalization of ICAM1 levels during lung injury and fibrosis.** (A) Repetitive blood
378 sampling every 3 days from day 0 to day 15 were obtained for PBS- (empty circle) and bleomycin-treated
379 (red circle) mice, and plasma sICAM1 levels were analyzed. Statistical differences were determined by
380 one-way ANOVA. (B) Whole lung homogenate protein levels of ICAM1 were assessed by Western
381 blotting (n=3 blots were quantified by image densitometry). Relative protein levels are presented as
382 ratio target protein to β -actin and normalized to PBS as 1. Statistical differences were determined by
383 one-way ANOVA. (C) The pmATII cells were isolated from PBS- or bleomycin-treated mice (14 days) and

384 cultured for 5 days. Supernatants were collected and sICAM1 was measured by ELISA. Statistical
385 differences were determined by t-test. (D) Immunofluorescence staining for ICAM1 (red), E-cadherin
386 (green), and DAPI (blue) of PBS- (upper quadrants) and bleomycin-treated (14 days) mice (lower
387 quadrants). Gray squares show lower magnification of zoomed areas (right), the scale bar represents
388 50µm in non-zoom pictures (left), and 20µm in zoomed pictures (right). For all experiments significance
389 was set: *p<0.05, **p<0.01, ***p<0.001.

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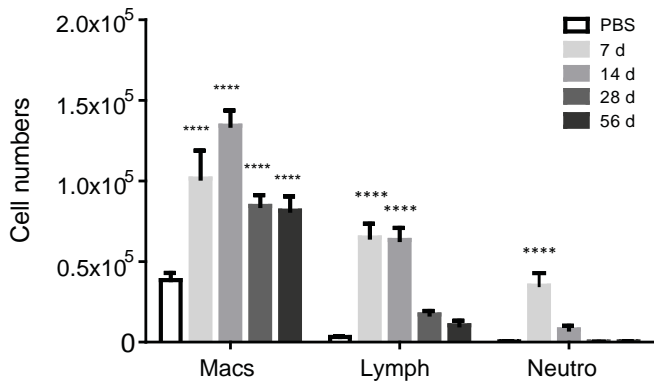
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521

Fig.1

A



B

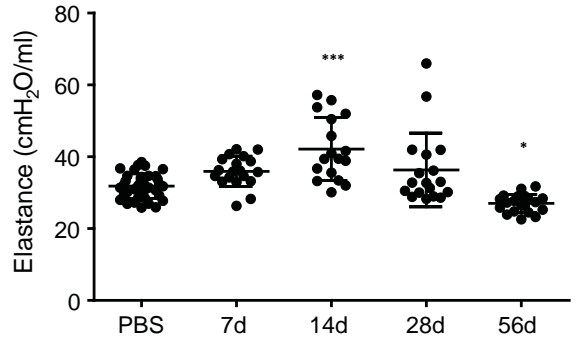
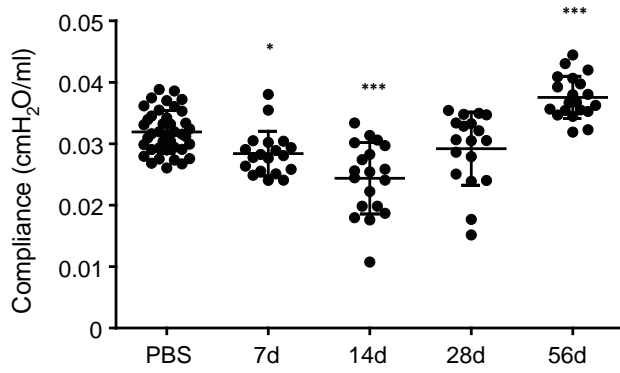
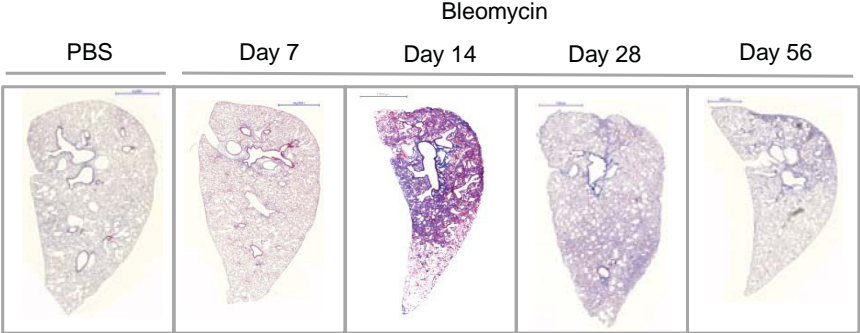


Fig.2

A



B



C

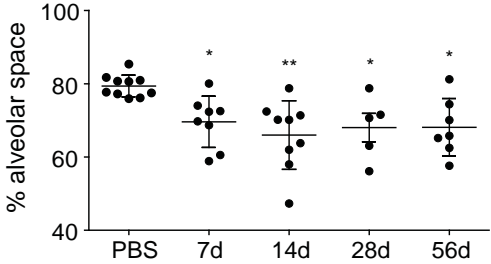
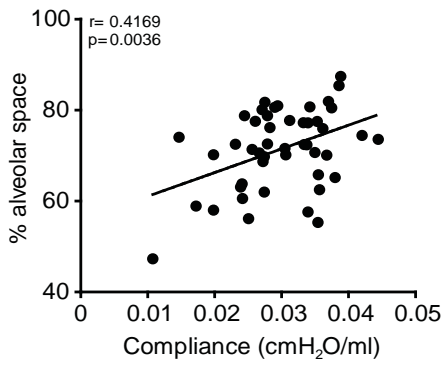


Fig.3

A



B

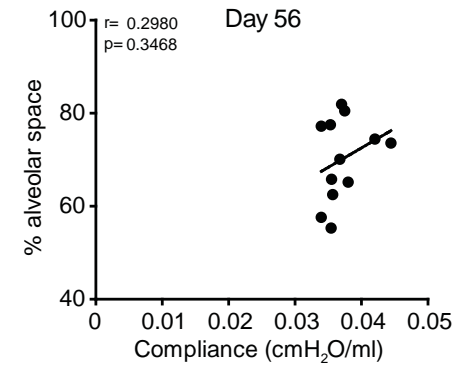
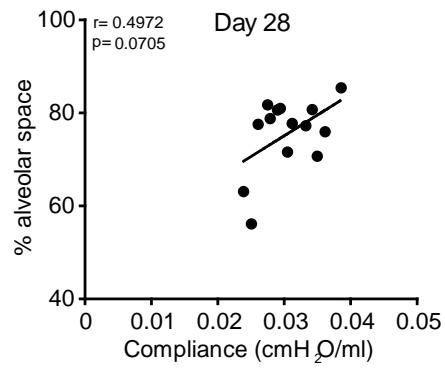
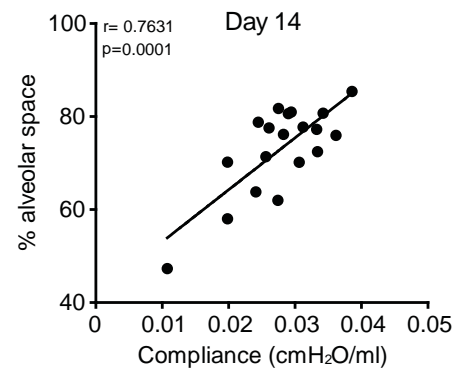
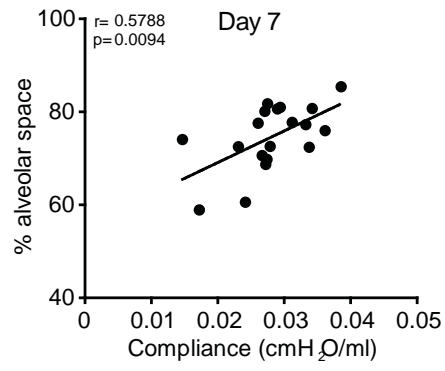


Fig.4

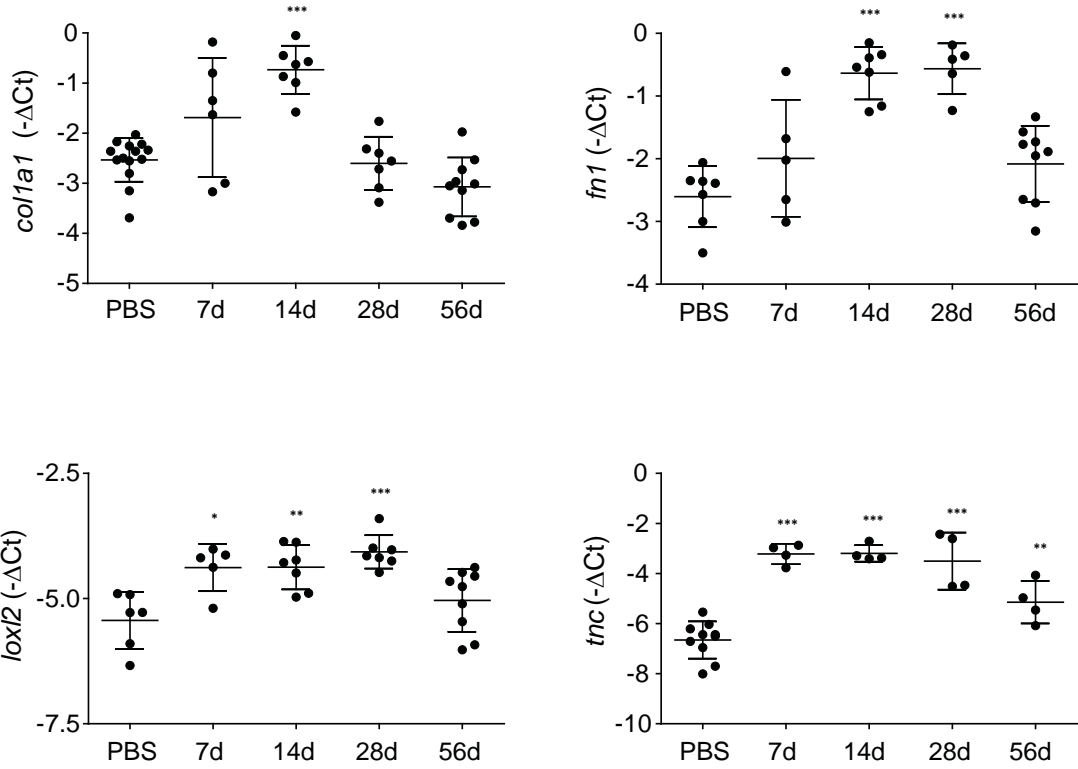


Fig.5

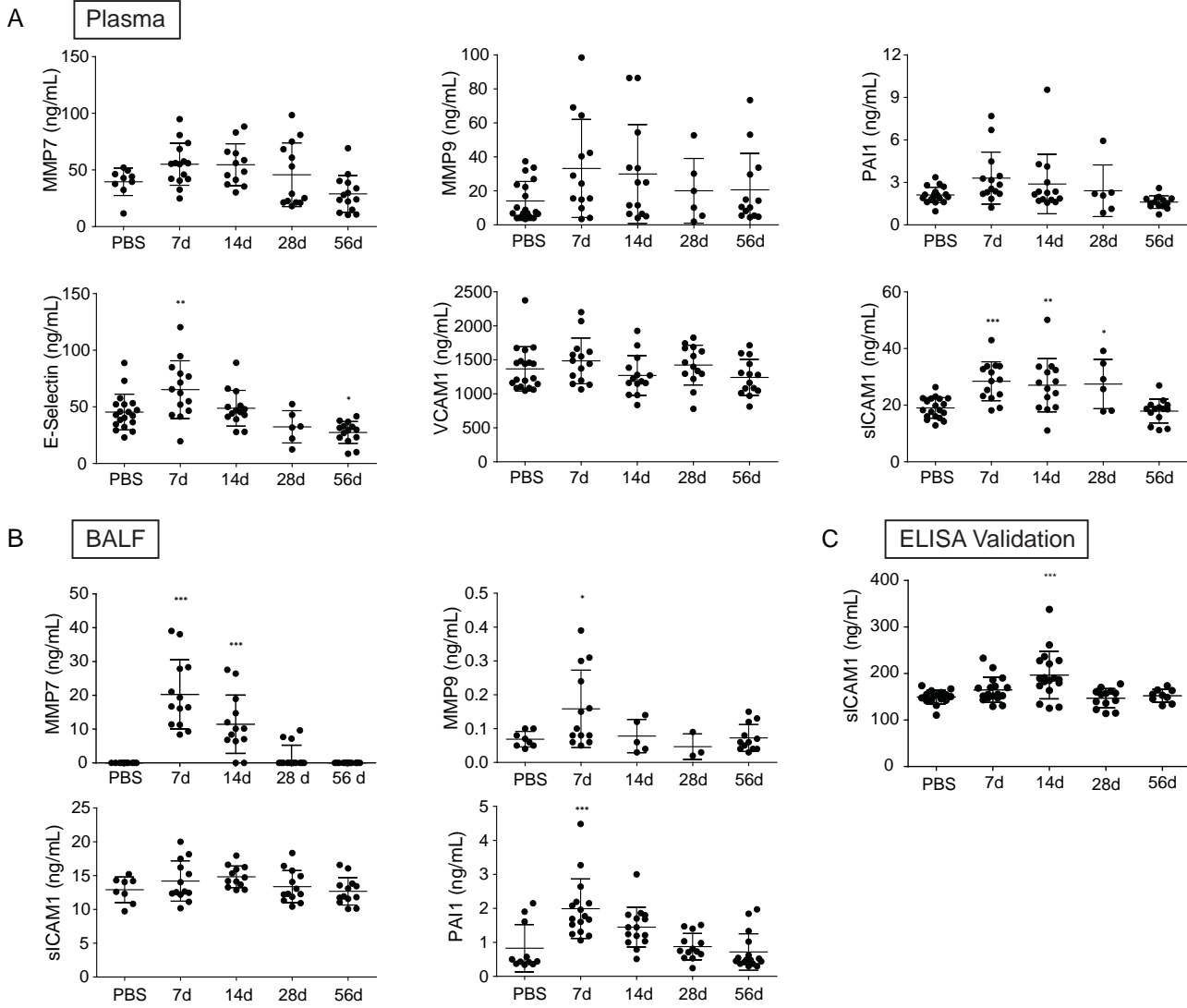


Fig.6

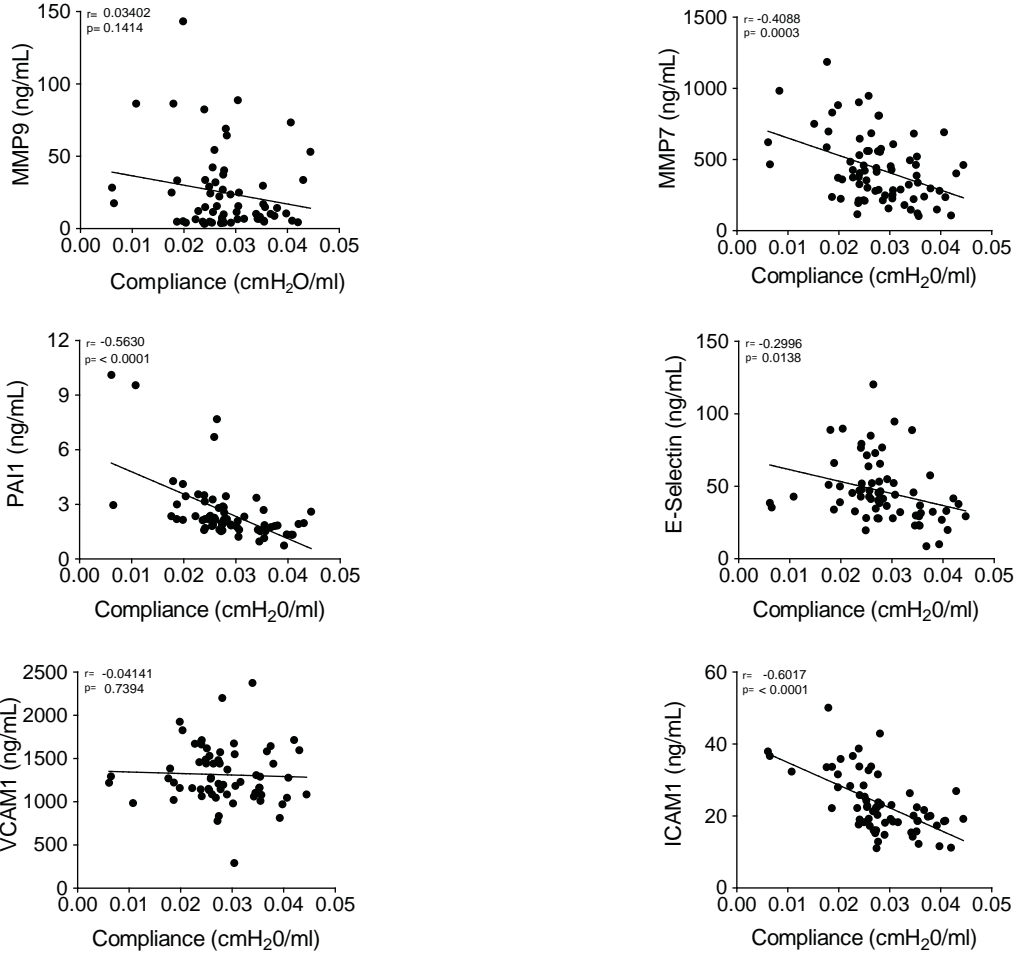


Fig.7

