

- **Expression pattern similarities support the prediction of orthologs retaining**
- **common functions after gene duplication events**
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77 Author contributions:

78 MD, GH and ARS conceptualized the research and data evaluation. MD performed majority 79 of the stress, microarray, real-time PCR and genetic complementation experiments. GH 80 performed the ortho MCL, microarray and related bioinformatics analyses. AP performed the 81 promoter analyses under the supervision of TCG. ARS performed the complementation assay 82 of AL.TSO2A. SDL helped in the characterization of few complemented lines. MD and ARS 83 wrote the manuscript with help from all co-authors.

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ABSTRACT

104 Identification of functionally equivalent, orthologous genes (functional orthologs) across 105 genomes is necessary for accurate transfer of experimental knowledge from well-106 characterized organisms to others. This frequently relies on automated, coding sequence-107 based approaches such as OrthoMCL, Inparanoid, KOG, which usually work well for one-to-108 one homologous states. However, this strategy does not reliably work for plants due to the 109 occurrence of extensive gene/genome duplication. Frequently, for one query gene multiple 110 orthologous genes are predicted in the other genome and it is not clear a priori from sequence 111 comparison and similarity which one preserves the ancestral function. We have studied eleven 112 organ-dependent and stress-induced gene expression patterns of 286 *A. lyrata* duplicated gene 113 groups and compared them to the respective *A. thaliana* genes to predict putative expressologs 114 and non-expressologs based on gene expression similarity. Promoter sequence divergence as 115 an additional tool to substantiate functional orthology only partially overlapped with 116 expressolog classification. By cloning eight *A. lyrata* homologs and complementing them in 117 the respective four *A. thaliana* loss-of-function mutants we experimentally proved that 118 predicted expressologs are indeed functional orthologs, while non-expressologs or non-119 functionalized orthologs are not. Our study demonstrates that even a small set of gene 120 expression data in addition to sequence homologies are instrumental in the assignment of 121 functional orthologs in the presence of multiple orthologs. 122 123 124 125 126 127

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137 **INTRODUCTION**

139 With the rapid advancements of next generation sequencing technologies, sequencing a 140 transcriptome/genome is highly feasible nowadays within decent time and at low-cost. One 141 important bottle neck for downstream analysis is the annotation, i.e. how accurately we can 142 transfer gene function information from well characterized reference genomes and model 143 plants to these newly sequenced genomes and/or crop plants. The major reason of this 144 uncertainty is the occurrence of multiple homologous sequences, as a result of gene family 145 expansions and polyploidization events. Orthologs are defined as genes in different species 146 that have emerged as a result of an evolutionary speciation event. Since they are derived from 147 a single gene in the last common ancestor, orthologs frequently share the same function in the 148 newly evolved species. However, gene duplications after the speciation may result in a 149 functional divergence where the ancestral function either is split between such co-orthologs, 150 or the functions are otherwise transformed (see below). Thus, a multiple orthology situation 151 has arisen in such cases and the congruence of evolutionary relationship and conserved 152 function may have been lost (Remm et al. 2001; Bandyopadhyay et al., 2006). In accordance 153 with these previous studies, we define *functional orthologs* as those co-orthologs that have 154 retained highly similar functions in the two species in such a multiple orthology situation. 155 Therefore, correct identification of functional orthologs is critical for gene annotations by 156 extrapolating functions across species barriers.

157 Genes that arose following duplication events (whole genome, segmental or tandem 158 duplications) are called paralogs. Paralogs, which are also orthologs, i. e. which have been 159 formed after a speciation event, are called in-paralogs (or co-orthologs) in contrast to out-160 paralogs, which are derived from a gene duplication before an evolutionary speciation (Remm 161 et al., 2001). The older the duplication event, the higher the chances will be that the (in) 162 paralogs will undergo functional divergence. The possible fates of such gene copies and the 163 gene groups are (1) non-functionalization, pseudogenization: one ortholog retains the 164 ancestral function, while the other ortholog(s) lose(s) the function by acquiring deleterious 165 mutations and (2) neo-functionalization: one ortholog acquires a new function by beneficial 166 mutations, whereas the other one retains the original function. In the course of its adaption to 167 a distinct environment, an ortholog in one species may also undergo neo-functionalization 168 resulting in a species-specific function for this gene. A subsequent duplication of this gene 169 actually results in two in-paralogous copies that significantly differ in their function from the 170 ortholog of the other species. We therefore define a group leading to (3) species-specific 171 functionalization: the whole orthologous group in one species differs the other species and 172 does not retain the function, i.e. either (a) all in-paralogs acquire new roles or (b) one ortholog 173 has a new function, while the other(s) lost their original role (non-functionalized). An extreme 174 case of such a development is (4) species-specific non-functionalization: all orthologs are 175 pseudogenized and lose their function in one species. A fifth possible fate is (5) sub-176 functionalization: the ancestral gene function is split among duplicated copies. Finally, there 177 is (6) genetic redundancy: all co-orthologs still share the ancestral function. However, in an 178 existing, already further evolved species, genetic redundancy and sub-functionalization or 179 neo-functionalization will overlap and depend on the depth of phenotypic analysis. Thus, in 180 most cases genetic redundancy may not define an independent evolutionary category of genes 181 per se, but rather point to a lack of detailed knowledge about divergent functions of these 182 genes.

183 Several automated cluster methods with varying degrees of selectivity and sensitivity have 184 been developed to assign orthologous relationships across genomes (COG, Tatusov et al., 185 1997; KOG, Tatusov et al., 2003; OrthoMCL, Li et al., 2003; Inparanoid, O'Brien et al., 186 2005). These sequence-based methods are appropriate to cluster genes with high similarity 187 and possible common ancestry, but they cannot unambiguously identify functional orthologs. 188 One way to track the functionality of the homologous genes after species split is to dissect 189 their expression patterns under a range of spatio-temporal and/or environmental conditions. In 190 yeast, regulatory neo-functionalization events were identified for 43 duplicated gene pairs 191 based on their asymmetric expression profiles, which the sequence data analysis had failed to 192 detect (Tirosh and Barkai, 2007). In plants most attention was paid to study how polyploidy 193 has fueled expression divergence of duplicated gene pairs in a single species (Blanc and 194 Wolfe, 2004; Duarte et al., 2006; Ha et al., 2007; Throude et al., 2009; Whittle and Krochko, 195 2009). With the availability of multiple genome sequences, cross species comparisons has 196 been gaining momentum. Publicly available gene expression data were used to conduct a 197 cross species comparison between rice and poplar in order to identify transcription factors 198 associated with leaf development (Street et al., 2008). Gene co-expression network analysis 199 was performed on 3182 DNA microarrays from human, flies, worms, and yeast to identify 200 core biological functions that are evolutionarily conserved across the animal kingdom and 201 yeast (Stuart et al., 2003). A similar study conducted on six evolutionarily divergent species, 202 *S. cerevisiae*, *C. elegans*, *E. coli*, *A. thaliana*, *D. melanogaster* and *H. sapiens*, concluded that 203 functionally related genes are often co-expressed across species barriers (Bergmann et al., 204 2004). Taken together, all these studies indicate that combining sequence and expression data 205 may increase the prediction ability of gene function annotation. However, such co-expression 206 approaches are only possible, if large-scale transcriptome analyses are available for both (or 207 more) species to be compared. Thereby, less well studied and/or newly sequenced species are 208 not (immediately) amenable to such comparisons. Furthermore, none of these studies could 209 experimentally prove the success rate of such prediction at the level of individual gene 210 functions.

211 An alternative strategy to predict functional orthologs was established by Patel et al. (2012). 212 These authors ranked genes from homology clusters of seven plant species based on extensive 213 gene expression profiles obtained from comparable tissues among these species. The top 214 ranking homolog based on expression pattern similarity was termed "expressolog", which 215 should indicate the functional ortholog. Bandyopadhyay et al. (2006) employed protein-216 protein interaction data to identify functional orthologs among large *Saccharomyces* 217 *cerevisiae* and *Drosophila melanogaster* paralogous gene families; in about half of the studied 218 cases, the most conserved functions were not favored by sequence analyses.

219 The two well annotated, but biologically divergent Brassicaceae species *A. thaliana* and *A.* 220 *lyrata* included in this study have diverged approximately 10 million years ago (Hu et al., 221 2011). Both species substantially differ in several biological traits that are crucial differences 222 in their life style: life cycle (annual *A. thaliana vs*. perennial *A. lyrata*), mating system (selfing 223 *A. thaliana vs*. out-crossing *A. lyrata*), geographical distribution (continuous distribution of *A.* 224 *thaliana vs*. scattered distribution of *A. lyrata*) and genome size (125 Mb *A. thaliana vs.* 207 225 Mb *A. lyrata*). Furthermore, the *Arabidopsis* lineage has undergone three rounds of whole 226 genome duplication followed by differential loss of gene/s in the different species. Therefore 227 we aimed at identifying genes that exist as a single copy gene in one species, but as multiple 228 copies in the other species, and thus define as a 'one-to-many' situation. Due to the lack of 229 large-scale expression data for *A. lyrata*, a co-expression-based approach was not possible. 230 Instead, we studied expression pattern correlation based on a small set of eleven, yet diverse 231 experimental scenarios involving expression in organs (leaf, root, flower bud) and under 232 different stress conditions. Using such gene expression similarities we predicted the 233 'expressolog' for individual gene clusters and thereby candidates of functional orthology in 234 the new, to be analyzed species *A. lyrata*. Importantly, we could prove that predicted 235 expressologs were indeed functionally equivalent, while non-expressologs or non-236 functionalized genes were not, by using genetic complementation experiments.

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240 **RESULTS**

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242 **OrthoMCL analysis to identify one-to-many situations between** *Arabidopsis thaliana* **and** 243 *A. lyrata*

244 OrthoMCL analysis between *A. thaliana* and *A. lyrata* transcriptomes identified 2850 gene 245 clusters where either one-to-many or many-to-many situations were present. Of these 2850 246 clusters 613 were one *A. thaliana* gene : multiple *A. lyrata* genes, 366 one *A. lyrata* gene : 247 multiple *A. thaliana* genes, and 1871 multiple *A. thaliana* genes : multiple *A. lyrata* genes. 248 One of the major aims of this study is to experimentally check the efficiency of predicted 249 expressologs in terms of their function. Gene-specific loss-of-function mutants are currently 250 available for *A. thaliana* but not for *A. lyrata* and therefore we focused our studies on the 'one 251 *A. thaliana* gene : multiple *A. lyrata* genes' group.

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253 **Microarray studies on** *A. thaliana* **and** *A. lyrata* **plants to dissect organ-dependent and** 254 **stress-responsive expression patterns of duplicated genes**

255 Genome wide expression analyses were performed on *A. thaliana* and *A. lyrata* plants to 256 determine gene expression similarity or divergence between closely related homologs (Table 257 S1). Gene expression data were collected from three different tissues (shoot, root and flower 258 bud) and from plants subjected to salt, drought and UV-B stress regimes to measure gene 259 expression patterns in different organs and for time courses of diverse stress situations. 260 Pearson correlation analysis was performed by analyzing all organ, control and stress-induced 261 gene expression data obtained from *A. thaliana* and *A. lyrata*. The mean correlation value of 262 all-against-all comparisons between *A. thaliana* and *A. lyrata* transcriptomes was 0.019 (Table 263 1). On the contrary, when syntenic *A. thaliana* - *A. lyrata* orthologous or OrthoMCL one-to-264 one gene groups were analyzed, much higher correlation values of 0.329 and 0.320 were 265 obtained, which is in accordance with the expectation that the majority of orthologous gene 266 copies still share similar functions. We excluded 263 out of 613 candidates based on probes 267 with a cross-hybridization potential in order to avoid ambiguous measurements due to high 268 sequence similarities of *A. lyrata* paralogs. An average expression threshold value of 9.0 (log₂) 269 scale) was introduced to exclude such gene groups, where all members are only lowly 270 expressed close to the detection limit of our system in shoots, roots and flower buds of both *A.* 271 *thaliana* and *A. lyrata* (Methods). The final gene set comprised 272 *A. thaliana* genes each 272 having two or more *A. lyrata* homologs.

274 **Functional categorization based on gene expression data and prediction of expressologs**

275 **and non-expressologs**

276 Pearson correlation coefficients for each At:Al pair present within an OrthoMCL gene group 277 were calculated based on microarray data collected from all stress and control experiments. 278 The differential expression patterns of each of the duplicated *A. lyrata* genes along with the 279 related *A. thaliana* copies were measured under salt, drought and UV-B stresses conditions. 280 The normalized expression levels of these genes were calculated in shoot, root and flower bud 281 tissues. Based on these analyses we predicted functionally related (expressolog/s) and 282 functionally diverged homolog/s for each of the 272 OrthoMCL gene groups (Table S2). An 283 *A. lyrata* ortholog was classified as an expressolog, (i) if it was detected in the same pattern in 284 rosette leaves, roots and flowers like the *A. thaliana* gene, and (ii) if its correlation regarding 285 the stress responsiveness across all eight tested scenarios was bigger than 0.3. If the genes 286 were not stress-responsive in our conditions, the stress response correlation was not taken into 287 account. All other cases showing detectable gene expression were denoted as non-288 expressologs (for details, Table S2).

289 If the normalized organ expression value of any single member of an OrthoMCL gene group 290 is below 9.0 in all organs or any of the stress scenarios studied here we predict that the gene is 291 non-functionalized under the studied conditions, since such a level is close to the detection 292 limit. This classification cannot exclude the possibility that the gene is expressed in yet 293 another scenario, which would indicate a neo-functionalization of the respective ortholog.

294 This strategy identified 34 out of 272 (12.5%) OrthoMCL gene groups, where one *A. lyrata* 295 ortholog retains the original function (expressolog), while the other ortholog(s) is (are) non-296 functional (Table S2; group 1). One example is constituted by the three members of the 297 chloroplast TIC complex (*A. thaliana* AT1G06950, *A. lyrata* scaffold_100703.1 and *A. lyrata* 298 fgenesh2_kg.1__669__AT1G06950.1). Normalized organ expression level of the *A. thaliana* 299 and *A. lyrata* fgenesh2_kg.1__669__AT1G06950.1 gene were in a range of 12-15, while *A.* 300 *lyrata* scaffold_100703.1 gene copy had very low expression levels of 6.44, 3.63 and 4.05 in 301 shoots, roots and flower buds, respectively (Table S2). The pair-wise correlation analysis 302 between the two highly expressed genes is 0.60, while it drops to -0.48 between *A. thaliana* 303 AT1G06950 and the putatively non-functionalized *A. lyrata* copy.

304 In 49 (~18%) gene groups, one *A. lyrata* homolog maintained a similar expression pattern like 305 the *A. thaliana* gene, while the other homolog showed a differential expression pattern at a 306 significant expression level (non-expressolog); therefore, we classified them as neo-307 functionalized (Table S2; group 2). For example, two *A. lyrata*

308 (fgenesh2_kg.1__967__AT1G09240.1, fgenesh2_kg.1__4760__AT1G56430.1) and one *A.* 309 *thaliana* (AT1G09240) members were detected in a gene group encoding *NICOTIANAMINE* 310 *SYNTHASE 3*. While the *A. thaliana* AT1G09240 and *A. lyrata* 311 fgenesh2 kg.1 967 AT1G09240.1 genes are positively correlated under drought ($r = 0.84$) 312 and salt (r = 0.45) stressed conditions with a total stress correlation of r = 0.59, the *A. lyrata* 313 fgenesh2 kg.1 4760 AT1G56430.1 gene was negatively correlated under drought (r = -314 0.90) and salt ($r = -0.85$) stressed conditions with a total stress correlation of $r = -0.47$. When 315 the expression of these genes in different organs were studied, the loss of expression of *A.* 316 *lyrata* fgenesh2_kg.1__4760__AT1G56430.1 gene in flower bud further differentiates it from 317 the *A. thaliana* and the other *A. lyrata* genes (Table S2). This clearly indicates that *A. lyrata* 318 fgenesh2_kg.1__967__AT1G09240.1 is the predicted expressolog to *A. thaliana* AT1G09240, 319 while *A. lyrata* fgenesh2_kg.1__4760__AT1G56430.1 has acquired a new expression pattern 320 and is likely neo-functionalized.

321 A total of 115 (~42%) gene groups were categorized as species-specific functionalization 322 since the expression pattern of all functional *A. lyrata* genes in a OrthoMCL cluster were 323 different from that of the *A. thaliana* gene. Two types of divergences were recorded: (a) either 324 all *A. lyrata* orthologs are neo-functionalized (non-expressologs, 74 gene groups) or (b) one *A.* 325 *lyrata* ortholog is a non-expressolog, while the other(s) lost the original function (non-326 functionalized, 41 gene groups) (Table S2; groups 3a and 3b). For instance, the members of 327 *UDP-XYLOSE TRANSPORTER1/UXT1* cluster consist of *A. thaliana* AT2G28315/*UXT1*, *A.* 328 *lyrata* scaffold_8500004.1 and *A. lyrata* fgenesh1_pm.C_scaffold_4000618. The two *A.* 329 *lyrata* genes acquired salt- and drought responsiveness and are negatively correlated to the *A.* 330 *thaliana* gene under salt and drought stresses ($r = -0.8$, Table S2). A small group of six gene 331 clusters showed an extreme form of species-specific functionalization, where all the *A. lyrata* 332 genes present in a cluster are non-functionalized (Table S2; group 4).

333 Sub-functionalization of genes would be indicated by a complementary expression of the co-334 orthologs which covers the whole expression pattern of the corresponding gene in the other 335 species (group 5). Possibly due to the limited number of eleven tested scenarios in the 336 expression analyses, there were no clear indications for such a sub-functionalization. Instead, 337 in 68 (25%) gene groups both *A. lyrata* homologs maintained similar organ and stress 338 expression patterns like the *A. thaliana* genes and were interpreted as a group composed of 339 genetically redundant genes based on our experimental assays. This is also reflected in the 340 comparable correlation values between individual *A. lyrata* and *A. thaliana* pairs residing in 341 the same cluster. One such gene group consists of *A. thaliana* AT1G06680, *A. lyrata* 342 fgenesh2_kg.1__643__AT1G06680.1 and *A. lyrata* scaffold_401578.1. All three genes are 343 well expressed in the three organs studied (Table S2). They were upregulated in the late time-344 point of salt and drought treatment, while no response was found in UV-B. Consistently, the 345 overall stress correlation value between *A. thaliana* AT1G06680 and *A. lyrata* 346 fgenesh2_kg.1__643__AT1G06680.1 is 0.939 and between *A. thaliana* AT1G06680 and *A.* 347 *lyrata* scaffold_401578.1 is 0.916.

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349 **Nucleotide substitution rate calculation and comparison between expressologs, non-**350 **expressologs and non-functionalized genes in four different functional categories**

351 The transcription of a gene is largely controlled by its promoter. Therefore, we first tested if 352 the promoter sequences of expressologs were more conserved than those of the predicted non-353 expressologs. Such a correlation could initially support the identification of expressologs in 354 newly sequenced species even in the absence of expression data. Shared motif divergence 355 (dSM) method was employed to quantify the nucleotide changes in the upstream regions of *A.* 356 *lyrata* gene groups with respect to the orthologous *A. thaliana* genes. This analysis revealed 357 that the upstream sequences of an expressologous gene group were on average less divergent 358 as compared to the divergence of non-expressologous genes such as neo- or non-359 functionalized groups (Fig. 1A). The promoter sequences divergence score of the one-to-one 360 gene group were comparable to that of the expressologous gene group (Fig. 1A). To avoid 361 complication in data analyses arising due to presence of too many *A. lyrata* homologs within a 362 gene cluster or unavailability of sufficiently long promoter sequences, a few genes were 363 discarded from the analysis. Therefore the number of gene groups compared in the current 364 analyses was 32 for the non-functionalized group, 35 for the neo-functional group, 57 for the 365 genetically redundant group, and 81 for the species-specific group, respectively.

366 In addition to the overall analyses comparing promoters of expressolog vs. non-expressologs, 367 the promoter divergence of the genes within the different evolutionary gene groups was 368 assessed. Within the non-functionalized gene groups 78% of the cases (25 out of 32 gene 369 groups) the *A. lyrata* expressologs revealed less promoter divergence compared to the non-370 functionalized genes (Figure 1B). Similarly, in 77% of the neo-functionalized gene groups (27 371 out of 35 gene groups) the expressologs possessed less promoter divergence than those of the 372 non-expressologs (Figure 1C). In contrast to these two groups, where one member showed a 373 conserved and one member exhibited a non-conserved expression pattern, the all *A. lyrata* 374 genes contained in the genetically redundant gene groups and in the species-specific 375 functionalization groups either show a similar (genetic redundancy group) or divergent

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Figure1. Promoter sequences divergence analysis between expressologs and nonexpressologs in two different functional categories.

(A) Shared motif divergence scores (d_{SM}) of expressologs, non-expressologs and one-toone orthologs. The first panel compares between the promoter sequence divergence scores of A. lyrata expressologs and neo-functionalized non-expressologous genes (as predicted by our gene expression analysis), the second panel compares between the expressologs and non-functionalized non-expressologous genes, the third panel compares between the promoter sequence divergence scores of A. lyrata genes having single orthologous copy of A. thaliana genes (as predicted by Ortho-MCL).

(B) Promoter analyses of the gene group, where at least one A. lyrata gene has been non-functionalized as predicted by gene expression analyses. For each A. thaliana and A. lyrata orthologous gene pair within a gene group, we calculated the promoter sequence divergence scores (d_{SM}) of A. lyrata genes with reference to the promoter sequence of their A. thaliana orthologous gene (in x-axis) by shared motif divergence method. Here, "o" represents the promoter sequence divergence score (d_{SM}) of A. lyrata gene copy predicted as expressolog by the gene expression analyses, " Δ " stands for that of non-expressologs.

(C) Promoter analyses of the gene group, where at least one A. lyrata gene has been predicted to be neo-functionalized. The other parameters used were same as described in Fig. 1A

- 376 (species-specific group) expression pattern in organs and/or stress conditions with respect to 377 the *A. thaliana* gene. Therefore, in these cases it was interesting to analyze whether this
- 378 differential behavior was also obvious among the promoters of the two members present
- 379 within such a gene group in comparison to the corresponding *A. thaliana* gene. To assess this

380 question the average promoter divergences of all *A. lyrata* genes compared to the respective 381 *A. thaliana* genes in the genetically redundant and the species-specific functionalization 382 groups were calculated separately. Indeed the average d_{SM} of species-specific group is almost 383 two fold (0.391) than that of the genetically redundant group (0.219). To address the 384 promoter divergence of these two groups also at the individual gene group level, the 385 difference of the promoter divergence between *A. thaliana*: *A. lyrata* 1 (d_{SM}1) and *A. thaliana*: 386 *A. lyrata* 2 (d_{SM}2) [delta d_{SM} = d_{SM}1- d_{SM}2] present within the same gene group was 387 calculated. If there would be an overlap with the expression-based classification, lower delta 388 d_{SM} values would be expected for the genetically redundant than for the species-specific gene 389 groups. If we consider a conservative delta d_{SM} cut-off of ≤ 0.2 meaning high promoter 390 similarity, then in 53% (43 out of 81) of the species-specific groups the two *A. lyrata* 391 promoter sequences are not comparable with respect to their sequence divergence from the *A.* 392 *thaliana* promoter. Thus, in about one half of the cases the promoters of the species-specific 393 groups have undergone a strong change in agreement with their changing expression pattern, 394 whereas in the other half the promoter divergences were not indicative of the expression 395 patterns (Figure S1). In case of the genetically redundant gene pairs 40% (23 out of 57) of the 396 gene groups also showed a high differential divergence of promoters of the co-orthologs 397 compared to the *A. thaliana* gene in contrast to the similar and conserved expression patterns 398 observed.

399 One such example from the genetic redundancy group consists of *A. thaliana* AT1G06680, *A.* 400 *lyrata fgenesh2_kg.1__643__AT1G06680.1* and *A. lyrata* scaffold_401578.1 genes. While the 401 two *A. lyrata* genes are highly correlated to the *A. thaliana* gene with respect to their organ 402 expression and their stress-responsive gene expression pattern $(r = 0.98)$, the promoters of the 403 two *A. lyrata* genes reveal a differential sequence divergence from the *A. thaliana* gene with a 404 delta $d_{SM} = 0.38$ (AT1G06680: *A. lyrata fgenesh2_kg.1_643_AT1G06680.1* dSM = 0.003, 405 AT1G06680: *A. lyrata* scaffold_401578.1 dSM = 0.382).

406 The gene group *A. thaliana* AT2G31160, *A. lyrata* fgenesh1_pg.C_scaffold_4001226 and *A.* 407 *lyrata* fgenesh2_kg.163__1__AT2G31160.1 provides an example from the species-specific 408 category, which shows a high promoter conservation of the *A. lyrata* gene promoters in 409 comparison to the *A. thaliana* gene despite the changed expression pattern. Both *A. lyrata* co-410 orthologs were induced by salt stress in contrast to the *A. thaliana* copy contributing to the 411 low correlation of the total stress responses $(r = -0.0581$ and $r = -0.1191)$. Furthermore, the 412 two *A. lyrata* copies were different among themselves with one copy being expressed at very 413 low level in all organs (Table S2). Nevertheless, delta d_{SM} was 0 and the d_{SM} levels for both 414 AL : AT comparisons were very low $(d_{SM} = 0.007)$.

415 It is evident from our analyses that while promoter divergence analysis can be used as an 416 additional tool for annotation purposes, experimental classification as expressologs/non-417 expressologs provides more accurate functional information and mode of functional 418 divergence such as non-, neo- or species-specific functionalization and genetic redundancy, 419 which the promoter analyses cannot fully offer.

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421 **Identification of genetic mutants for experimental validation of predicted expressologs**

422 To confirm functionality of our predicted expressologs, we applied genetic complementation 423 assays using the *A. lyrata* gene variants transformed to *A. thaliana* loss-of-function mutants 424 for the group of one *A. thaliana* : multiple *A. lyrata* candidate genes. We scanned the insertion 425 mutant repositories to identify mutant lines corresponding to our list of 272 genes. 426 Additionally, we checked the available literature for appropriate mutants. Out of 272 queried 427 one-to-many *A. thaliana* genes, homozygous mutant SALK lines were obtained for 147 genes. 428 All these 147 insertion lines were grown under green-house conditions, but no obvious 429 morphological phenotypes could be observed for any of these lines studied.

430 However, four published *A. thaliana* mutants, *cls8-1*, *tso2-1*, *sta1-1* and *mtp11* could be used 431 for our analyses (Table S3). Their mutant phenotypes could be clearly reproduced and the 432 corresponding *A. lyrata* homologous gene copies along with their native promoters were 433 amplified for genetic complementation assay. Based on our expressolog classification, the 434 corresponding AT : AL gene groups represented one case of a possible neo-functionalization 435 (*CLS8*/*RNR1*) and one case of pseudogenization (*STA1*). Two cases (*TSO2* and *MTP11*) were 436 indicative of genetic redundancy.

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438 **Example 1: Potential neo-functionalization by acquiring changes in the regulatory** 439 **region of the gene and in the coding region**

440 Neo-functionalization is predicted for the *A. lyrata* genes encoding the large subunit of 441 ribonucleotide reductase, which catalyzes the reduction of ribonucleoside diphosphates to 442 deoxyribonucleotides, the rate limiting step in the *de novo* synthesis of dNTPs (Sauge-Merle 443 et al., 1999). In *A. thaliana* the large subunit is encoded by a single copy gene *AT.RNR1* 444 (AT2G21790, *CLS8*), while in *A. lyrata* three homologous copies exist, *AL.RNR1A* 445 (Al_scaffold_0007_128/AL7G01310), *AL.RNR1B* 446 (fgenesh2_kg.4__104__AT2G21790.1/AL4G01010) and *AL.RNR1C*

Figure 2. Sequence, gene expression and genetic complementation analyses of ribonucleotide reductase large sub-unit (RNR1) gene copies in A. thaliana and A. lyrata. (A) Multiple alignment of the Arabidopsis RNR1 amino acid sequences along with those of human and yeast sequences. Biologically important LOOP1 and LOOP2 regions are depicted. Part of the highly conserved LOOP1 region is missing and two non-synonymous amino acid changes were detected in the LOOP2 region of AL.RNR1C. However, the AL.RNRB coding sequence is identical to that of AT.RNR1. These regions play important roles in the enzymatic function by controlling specificity of the incoming dNTP. The biological importance of this region is emphasized by the identification of three mutations that caused severe developmental defects (indicated by * on top). Another allele, cls8-1 affects a distant region leading to an amino acid change G718E, however showing the same mutant phenotype (Tab. S3). (B) Study of the expression patterns of the four Arabidopsis RNR1 genes in root, shoot and flower bud. Background corrected and multiplicatively de-trended signal intensities were imported to Gene Spring (G3784AA, version 2011) to calculate normalized gene expression values (see Methods for details). (C) Comparison of core promoter regions (250 bp upstream from ATG) indicates the loss of the AT.RNR1-like TATA box (bold, underlined) and Y patch (underlined) in the case of AL.RNR1A and AL.RNR1C homologs. This analysis was done in plant promoter database (http://133.66.216.33/ppdb/cgi-bin/index.cgi#Homo). (D) Genetic complementation of A. thaliana rnr1/cls8-1 with AL.RNR1B and AL.RNR1A gene copies. The phenotype of the AL.RNR1B (predicted expressolog) complemented plants resemble wild type. However, the plants complemented by AL.RNR1A (predicted pseudogene) show the mutant phenotype such as the yellowish, first true leaf (in the inset) and the crinkled, matured leaves.

- 447 (scaffold_200715.1/AL2G07030). Nonsense point mutations in *A. thaliana* caused visible 448 early and late developmental phenotypes such as bleached first true leaves and crinkled rosette 449 leaves with white pits on the surface (Garton et al., 2007; Table S3). All *Arabidopsis* RNR1
- 450 sequences were aligned to the yeast and human RNR proteins to analyze whether any

451 sequence alteration could be observed in the two catalytically important 10-15 amino acids-452 sized stretches called LOOP1 and LOOP 2 (Xu et al., 2006). Single amino acid, non-453 synonymous mutations located at LOOP 1/ LOOP 2 region cause the phenotypic defects in *A.* 454 *thaliana* (*dpd2*, *cls8-2*, *cls8-3*). Therefore, we focused our analysis mostly on this region. A 455 stretch of 11 amino acids was missing in the LOOP1 region of *AL.RNR1C*, although no such 456 change was noticed in *AT.RNR1*, *AL.RNR1A*, *AL.RNR1B*, human and yeast copies (Figure 457 2A). In addition, *AL.RNR1C* was not detected in any of the expression analyses and therefore 458 it was also denoted as a non-functional copy based on the expression data (Table S2). 459 Correlation analysis indicated that *AL.RNR1B* is most closely related to *AT.RNR1* (r = 0.83) 460 based on its stress-responsive gene expression pattern. Since it was also expressed in all 461 organs like the *A. thaliana* gene, *AL.RNR1B* was predicted as the expressolog (Table 2; Table 462 S2). *AL.RNR1A* also reported a good, albeit lower stress-related correlation (r = 0.64). 463 However, its organ expression level was close to or below the detection level of the 464 microarray analysis and a detailed examination of all three types of stress experiments 465 indicated that only salt responsiveness was partially retained by *AL.RNR1A* leading to an 466 expression above the detection threshold (Figure 2B; Table S2). Thus, *AL.RNR1A* could be a 467 neo-functionalized co-ortholog which is only active in certain stress scenarios.

468 Since the low expression level of *AL.RNR1A* in unstressed conditions is an important 469 signature for possible promoter mutations, we checked the presence/absence of important 470 transcriptional regulators in the promoter regions of the *RNR1* genes. While overlapping, 471 intact *AT.RNR1*-like TATA element and Y patches were predicted for *AL.RNR1B*, these were 472 disrupted both in the *AL.RNR1A* and *AL.RNR1C* copies (Figure 2C). Finally, to check the 473 reliability of expression-based prediction about gene functionality we had cloned the 474 expressologous (*AL.RNR1B*) and non-expressologous (*AL.RNR1A*) gene copies and tested for 475 complementation of the *AT.rnr1*/*AT.cls8-1* mutant (Table S3). Recovery of wild-type 476 phenotype was observed in the case of *AL.RNR1B* complemented plants. However, 477 *AL.RNR1A* complemented plants did not revert the mutant phenotype, which indicate that the 478 *AL.RNR1A* homolog does not retain the RNR1 function (Fig. 2D). Although three 479 independent transgenic lines each clearly differentiated the complementing from the non-480 complementing ortholog, we confirmed the presence of the transgene insertion of *AL.RNR1A* 481 by PCR (Fig. S2); expression of the *AL.RNR1A* transgene was not detected by RT-PCR 482 probably due to its low expression level as observed in *A. lyrata*.

483

484 **Example 2: Event 1 of genetic redundancy**

485 Interestingly, the gene(s) encoding the small subunit of ribonucleotide reductase (RNR2) were 486 also among the genes of the one *A. thaliana*: multiple *A. lyrata* in addition to the genes 487 encoding its large subunit (see above). The small subunit-related genes are *AT.TSO2* 488 (AT3G27060), *AT.RNR2A* (AT3G23580) and *AT.RNR2B* (AT5G40942). However, among 489 these three subunits, *TSO2* is biologically the most active copy. In *A. lyrata TSO2* is found to 490 be duplicated resulting in *AL.TSO2A* and *AL.TSO2B*. The phenotype of *AT.tso2-1* revealed 491 similar developmental defects like *AT.rnr1,* such as irregular leaves and homeotic 492 transformations (Wang and Liu, 2006). Multiple sequence alignment of *AT.TSO2*, *AL.TSO2A* 493 and *AL.TSO2B* reveals only one non-synonymous change between *AT.TSO2* and *AL.TSO2A*, 494 while 28 non-synonymous changes were noticed between *AT.TSO2* and *AL.TSO2B* outside 495 the region of important enzymatic function (Figure S3). The two *A. lyrata* copies are well 496 expressed in different organs like the *A. thaliana* gene (Figure 3A). Correlation analysis based 497 on its stress response pattern indicated that *AL.TSO2B* is closest to *AT.TSO2* (r = 0.85) and 498 therefore predicted as the expressolog. However, *AL.TSO2A* also showed a reasonably good 499 correlation (r = 0.55) (Table S2). This indicates that *AL.TSO2A* and *2B* are possibly redundant 500 to each other within the resolution provided by our expression study. The promoter 501 comparisons revealed that the TATA box and the Y patch were preserved in both *AL.TSO2A* 502 and *AL.TSO2B*. Both *AL.TSO2A* and *AL.TSO2B* copies were cloned along with their native 503 promoter and transformed into the *AT.tso2-1* plants. The transformed plants restored the wild-504 type phenotype in both cases and thus proved that *AL.TSO2A* and *AL.TSO2B* are functionally 505 redundant in the analyzed context and orthologous to *AT.TSO2* (Figure 3B).

506

507 **Example 3: Pseudogenization by acquiring changes in the coding region of the gene**

508 STABILIZED 1 (STA1) is a pre-mRNA splicing factor. The gene function is similar to the 509 human U5 small ribonucleoprotein and to the yeast pre-mRNA splicing factors Prp1p and 510 Prp6p (Lee et al., 2006). *A. thaliana* harbors a single gene (AT4G03430), while in *A. lyrata* 511 two copies, *AL.STA1A* and *AL.STA1B*, have been identified by our OrthoMCL analysis. The 512 *A. thaliana* loss-of-function mutant shows many developmental and stress-related phenotypes, 513 such as smaller plant height, smaller leaf size and higher sensitivity to ABA as compared to 514 the wild type (Lee et al., 2006). The expression level of *AL.STA1B* was below the detection 515 limit of our microarray analysis in all the three organs and in all stress scenarios (Table S2). 516 On the contrary, *AL.STA1A* was expressed above the detection limit and was similarly 517 regulated under diverse stress conditions like *AT.STA1* (r = 0.75) (Figure 4A; Table S2). 518 Therefore, we predicted that while *AL.STA1A* was the expressolog, *AL.STA1B* had

B

A

Figure 3. Gene expression and genetic complementation analyses of ribonucleotide reductase small sub-unit (TSO2) gene copies in A. thaliana and A. Ivrata. (A) Expression of the three Arabidopsis TSO2 genes in root, shoot and flower bud. All the copies are expressed well above background. (B) Genetic complementation of A. thaliana tso2-1 with AL.TSO2A and AL.TSO2B gene copy. Both AL.TSO2A and AL.TSO2B were predicted as expressologs by our analysis, although the sequence analysis indicated several non-synonymous changes in the AL.TSO2B copy as compared to the A. thaliana gene (Fig. S3A). The result of the complementation assay supported this prediction.

- 519 presumably been pseudogenized (Table 2). We checked the coding regions of *AL.STA1B* for
- 520 additional indications of its pseudogenization. Although *AT.STA1* does not contain any intron,
- 521 a 43 nucleotide long intron was predicted for the *AL.STA1A* gene model, while three introns
- 522 of 50, 44 and 324 nucleotides length were predicted for the *AL.STA1B* gene model. Therefore,
- 523 we sequenced the *AL.STA1B* cDNA to verify such splicing events in this *A. lyrata* gene.
- 524 However, the *AL.STA1B* cDNA sequence indicated that it was also an intronless gene like
- 525 *AT.STA1*. Additionally we detected the insertion of one A nucleotide at position 1352 of the
- 526 *AL.STA1B* CDS, which causes a premature stop codon and possible pseudogenization of this
- 527 gene copy (Fig. S4). To check the accuracy of this prediction we cloned both *AL.STA1A* and *B*
- 528 copies and transformed them in *At.sta1-1* plants (Table S3). The wild-type phenotype could

Figure 4. Gene expression and genetic complementation analyses of STABILIZED 1 (STA1) gene copies in A. thaliana and A. lyrata. (A) Study of the expression patterns of the three Arabidopsis STA1 genes in root, shoot and flower bud. (B) Comparison of leaf morphology of A. thaliana sta1-1 plants with $AT.sta1-1+AL.STA1B$ and $AT.sta1-1+AL.STA1A$ complemented lines. While the leaf size and margins of AL.STA1A complemented plants look like the wild type, the AL.STA1B transformed lines resemble the mutant.

- 529 be recovered for *AL.STA1A*-transformed plants, while plants harboring the *AL.STA1B*
- 530 construct still exhibited the mutant phenotype (Figure 4B). Three independent transgenic lines
- 531 each clearly differentiated the complementing from the non-complementing ortholog.
- 532 Furthermore, the presence of the transgene insertion of the non-complementing *AL.STA1B*
- 533 was confirmed by PCR (Fig. S5); expression of the *AL.STA1B* transgene was not detected by
- 534 RT-PCR probably due to its low expression level as observed in *A. lyrata*.
- 535

A

536 **Example 4: Event 2 of genetic redundancy**

- 537 Manganese transporter 11 (MTP11) is a member of the large cation diffusion family and is
- 538 involved in Mn²⁺ transport and tolerance (Gustin et al., 2011). It exists as a single copy gene

Figure 5. Gene expression and genetic complementation analyses of MANGANESE TRANSPORTER 11 (MTP11) gene copies in A. thaliana and A. lyrata. (A) Organ expression patterns of the two A. lyrata MTP11 homologous genes assessed by RT-qPCR analysis. (B) Genetic complementation of A. thaliana mtp11 loss-of-function mutant with AL.MTP11A and AL.MTP11B gene copies. Seedlings were grown on MS medium (1% sucrose, 1X MS, 1.2% phytoagar) for eight days and then transferred to agarose medium supplemented with 2 mM Mn²⁺. As predicted by the expression data, both the homologs could complement the mutant phenotype.

- 539 in *A. thaliana* (AT2G39450), while duplicated copies of *AL.MTP11A* and *AL.MTP11B* were
- 540 identified in *A. lyrata*. The loss-of-function *AT.mtp11* plants are more sensitive to Mn^{2+} ions.
- 541 Under Mn²⁺-stressed condition, they grow less vigorously as compared to the wild-type plants
- 542 (Delhaize et al., 2007). The study of phylogenetic relationship and high sequence homology
- 543 indicate that *AL.MTP11A* and *AL.MTP11B* share a recent origin. Since the two *A. lyrata*
- 544 homologs are highly similar (98% identity at the CDS level), it was not possible to design
- 545 gene-specific microarray probes. Therefore, we assessed their gene expression pattern by RT-
- 546 qPCR analysis. Both homologs were well expressed in different organs and the expression
- 547 levels were comparable to that of the *AT.MTP11* gene; thus they are predicted to be
- 548 genetically redundant with respect to this data set (Figure 5A). To confirm the functional
- 549 equivalence of *AL.MTP11A* and *AL.MTP11B*, we transformed the full-length genes along with

- 550 their native promoters into the *A. thaliana* mutant plants. Phenotypic assay of the knockout 551 and transformed lines revealed that while the growth of the mutant line was compromised on 552 plates containing 2 mM MnCl2, growth of both transgenic lines was similar to *A. thaliana* 553 wild-type plants (Figure 5B, Figure S6), indicating genetic redundancy in this context and 554 functional equivalence of both *A. lyrata* gene copies.
- 555
- 556
- 557

558 **DISCUSSION**

559

560 Plants are sessile and are subject to varying environmental stresses. Gene duplication is the 561 event by which a plant can gain novel adaptive genes that enable them to meet their specific 562 ecological needs (Conant and Wolfe, 2008; Ha et al., 2009; Van de Peer et al., 2009). All 563 plant genomes sequenced to date have undergone at least one round of whole genome 564 duplication (Fischer et al., 2014). While gene duplication is evolutionarily advantageous for 565 the polyploidized plants, it imposes a challenge to transfer gene function annotation across 566 species barriers by simple sequence comparisons. Since prediction of a correct annotation is 567 key to any genome sequencing project and translational approaches, a number of sequence 568 homology based methods have been developed (Gabaldon, 2008; Kuzniar et al., 2008). While 569 these tools are effective for single copy genes and for genome-wide comparisons, additional 570 support is required for large multi-gene families. OrthoMCL is one such tool, which is 571 commonly used in genome-wide comparisons. Therefore, this method was employed to 572 analyze *A. thaliana* and *A. lyrata* CDS identifying 2850 genes (6.5% of *A. thaliana* 573 transcripts) that exist as one-to-many or many-to-many copies between these two species. 574 Such uncertainty in predicting functional orthologs may be even worse in crop species of the 575 *Brassica* lineage, which have undergone one round of whole genome triplication in addition 576 to whole genome duplication events shared with the *Arabidopsis* lineage. Therefore, in such a 577 situation where coding sequence-based analyses are limited with respect to assigning 578 functional orthology, additional support is required.

579 Two major approaches have been proposed to address this issue. The most popular is gene co-580 expression analysis that has been successfully used for well characterized genomes for which 581 large scale expression data are already available (Stuart et al., 2003, Bergmann et al., 2004, 582 Mutwil et al., 2011, Movahedi et al., 2011). The second method also relies on extensive gene 583 expression profiles obtained from comparable tissues among the species compared and 584 subsequent implementation of a ranking system of genes based on expression similarity with 585 top ranked genes called expressologs (Patel et al., 2012). However, both methods are 586 dependent on the availability of large sets of highly comparable expression data obtained from 587 diverse tissues and conditions for all of the species of interest, which is not feasible for newly 588 sequenced genomes. Similarly, protein-protein interaction network data, which can assist the 589 identification of functional orthologs among large paralogous gene families, would not be 590 available in these cases (Bandyopadhyay et al., 2006). Therefore, in the current study we have 591 tested the utility of a relatively small set of gene expression data for the prediction of 592 functionally related orthologs. We compared the expression pattern in three organs and 593 conducted Pearson correlation analysis based on data obtained from stress gene expression 594 experiments in *A. thaliana* and *A. lyrata*. In contrast to a very low basic mean correlation 595 value of 0.019 obtained from all-against-all comparisons between *A. thaliana* and *A. lyrata* 596 transcriptome, a much higher correlation value of 0.329 was obtained when syntenic *A.* 597 *thaliana* - *A. lyrata* orthologous gene groups were analyzed. This finding proves that though 598 our gene expression dataset is small in size, it is appropriate to identify functionally related 599 genes across species. The correlation analyses also revealed that OrthoMCL one-to-one gene 600 group holds a higher correlation value ($r_{\text{mean}} = 0.354$) than that of the OrthoMCL all ($r_{\text{mean}} =$ 601 0.313) or OrthoMCL many-to-many gene groups ($r_{mean} = 0.300$, Table 1). This illustrates 602 some limitations of OrthoMCL analyses to predict functional orthologs in one-to-many or 603 many-to-many situations.

604 The analyses of transcriptional expression patterns and correlations in this study show that 605 functional categorization and prediction of expressologs based on gene expression patterns are 606 possible for one-to-many orthologous relationships. In all tested gene groups we could 607 identify the functional fate of duplicated *A lyrata* genes. In 12.5% of the gene groups at least 608 one *A. lyrata* gene copy had been putatively non-functionalized. It should be noted, however, 609 that the putative non-functionalization is based on very low expression levels for a limited set 610 of expression data and that these genes might well be expressed under other, untested 611 conditions. These limitations may well apply to the other categories as well. Approximately 612 18% of the gene groups suggest a functional divergence on the basis of at least one conserved 613 co-ortholog, since at least one *A. lyrata* gene has undergone neo-functionalization. The 614 biggest group (42%) is composed of genes that show species-specific gene expression patterns 615 and three forms of such expression patterns were recorded. The two *Arabidopsis* species are 616 phylogenetically very close and have diverged only 10 Myr ago (Hu et al., 2011). However, 617 they have adapted to distinct environments and therefore show many differences in terms of 618 their life cycles as well as in their reproductive and ecological habitats (Mitchell-Olds, 2001; 619 Clauss and Koch, 2006). Therefore such species-specific gene expression patterns may have 620 evolved in relation to these different life styles.

621 In 25% of the cases our analyses consists of duplicated clusters for which both copies exhibit 622 similar expression patterns and therefore were assumed to be genetically redundant within the 623 tested conditions. Although no clear evidence of sub-functionalization was noticed in the 624 current study, it is possible that more extended gene expression analyses may identify such

625 candidates among the currently classified genetically redundant group (MacCarthy and 626 Bergman, 2007).

627 Taken together, our finding indicates that expressologs strongly reduce the existing 628 uncertainty associated with the coding sequence homology-based methods to assign 629 functional orthologs in the presence of multiple orthologs*.* However, there are still limitations. 630 One major challenge of this approach is to identify comparable biological tissues or 631 experimental conditions (severity of the applied stresses, time points etc.) to measure 632 comparable expression patterns of the targeted genes across species. For phylogenetically 633 and/or ecologically distant species, it might be challenging to find comparable conditions and 634 further studies are required to test the efficiency of predicted expressologs in these situations.

635 We also examined whether comparative analysis of promoter sequences could be used as a 636 satisfying alternative to predict functional orthology, when comparative gene expression data 637 are not or not yet available for a species, e.g. in case of newly sequenced genomes. Therefore 638 promoter divergence analyses were performed to check in how many instances the promoters 639 of predicted expressologs were less divergent than those of the non-expressologs or non-640 functionalized genes. If the gene predicted as expressolog based on our gene expression 641 analyses harbors less promoter divergence (d_{SM}) than that of the non-expressolog, then we 642 would assume that the functional orthology prediction based on gene expression patterns and 643 promoter divergence analyses overlapped with each other. We investigated individual 644 OrthoMCL gene clusters and found that in 78% of the studied cases for the non-functional 645 group and 77% of the neo-functionalized gene group the *A. lyrata* expressologs indeed had 646 less promoter divergence than that of the non-expressologs or non-functionalized genes. The 647 overlap between predictions made by expressolog and promoter divergence is even much 648 lower for the genetic redundancy (60%) and species-specific categories (47%). Thus, in the 649 absence of gene expression data, determination of promoter divergence can be complementary 650 to the limitations of CDS-based methods such as OrthoMCL. However, a considerable 651 number of genes could still not be correctly annotated. Furthermore, there are some other 652 serious limitations that have to be considered in the case of promoter sequence analysis: (1) 653 Determination of the boundaries of the promoter regions is a critical issue, since cis elements 654 have been reported in *Arabidopsis* to be located several kilobases upstream of the 655 transcription start (Rombauts et al., 2003). Moreover, small 5'-exons or divergent UTR sizes 656 can result in the comparison of completely unrelated sequences. (2) With the increase in the 657 phylogenetic distance of the species compared, an altered sequence composition of the cis 658 elements may jeopardize their classification and the deduction of promoter divergence scores.

659 (3) Unlike gene expression analysis, promoter analyses cannot study the mode of gene 660 function diversification. For example, the promoter divergence analyses in the case of genetic 661 redundancy or species-specific categories could not reveal any distinction between these two 662 divergent categories. However, detailed gene expression analyses revealed that in the case of 663 genetic redundancy the two or more *A. lyrata* genes were regulated in the same direction as 664 the *A. thaliana* gene, whereas in the event of species-specific expression the two or more *A.* 665 *lyrata* genes were regulated in a diverse manner from the *A. thaliana* gene. Therefore, 666 expressologs even based on a small set of expression analyses like in our study are a reliable 667 and superior tool that can supplement the genome annotation pipeline for a more accurate 668 transfer of gene functions.

669 Although previous studies and our data support the hypothesis that large and even small scale 670 gene expression data could provide clues about gene functionality, no studies have been 671 conducted so far to check the reliability of such prediction *in planta*. Here we show that this 672 concept is valid by testing it experimentally for the evolutionary categories non-673 functionalization and neo-functionalization, and for two genes of the genetic redundancy 674 class. We provide *in planta* evidence that the two genes of the two *Arabidopsis* species having 675 closest expression patterns (expressologs) are functionally comparable (functional orthologs).

676 Two of the case studies were implying genetic redundancy based on the expressolog 677 classification. Coding sequence-based prediction, promoter analysis and expressolog 678 prediction for *AL.MTP11A* and *AL.MTP1B* had pointed toward their possible functional 679 similarity. On the contrary, identification of 28 non-synonymous nucleotide changes and a 680 high promoter divergence between *AT.TSO2* and *AL.TSO2B* indicate their possible functional 681 divergence, although our gene expression analysis predicted *AL.TSO2A* and *AL.TSO2B* as 682 expressologs. The functional equivalence as predicted by the expressolog classification was 683 eventually corroborated by the genetic complementation analysis. Two further cases referred 684 to pseudogenization and to neo-functionalization events as deduced from the gene expression 685 pattern. While *A. lyrata* genome annotation project did not identify these pseudogenes and 686 non-expressologs, our promoter sequence and expression analyses indicate possible 687 mechanisms and directions by which these genes have been evolving. Again, we could 688 experimentally verify the authenticity of this prediction by transforming the *A. thaliana* loss-689 of-function mutants with the corresponding *A. lyrata* pseudogenized and neo-functionalized 690 genes, which did not lead to complementation.

691 In conclusion, we could experimentally verify functional orthologs among the one *A. thaliana* 692 : two (many) *A. lyrata* gene groups. These annotations could not be deduced using sequence693 based algorithms only; instead, they were predicted based on comparative expression 694 analyses. This success emphasizes the strength and added value of an expressolog/ non-695 expressolog classification based on an even limited set of expression data in order to predict 696 the functional orthologs in such one : many gene groups.

697

698 **MATERIALS AND METHODS**

699

700 **OrthoMCL analysis**

701 OrthoMCL version 1.4 with an initial cutoff e-value $1e^{-0.5}$ was used for the BLASTP 702 comparisons between the transcriptomes of *A*. *thaliana* and *A. lyrata*. Inflation parameter was 703 set to 1.5 and all other parameters were set to default values as recommended by the 704 developers. When exactly one *A. thaliana* and exactly one *A. lyrata* identifiers were identified 705 in an OrthoMCL cluster, this was defined as a one-to-one situation. In case of one-to-many 706 situations, one *A. thaliana* and multiple *A. lyrata* identifiers or *vice versa* were present in a 707 cluster. For all further analyses we focused on one *A. thaliana* to multiple *A. lyrata* groups to 708 tap the possibility of experimental verification by utilizing *A. thaliana* loss of function 709 mutants.

710

711 **Collection of tissues from stress induced plants and from different organs of** *A. thaliana*

712 **and** *A. lyrata*

713 Four-week-old *A. thaliana* Col-0 and six-week-old *A. lyrata* ssp. *lyrata* soil-grown plants 714 were treated either with 250 mM or 500 mM NaCl solutions by flush flooding (to soak the 715 soil for a short period), while the control group was watered. Leaf tissues were harvested at 3 716 h and 27 h post-treatment. To assess the effective salt exposure to the plants, the raw soil 717 electrical conductivity (EC) was measured before tissue harvesting by the use of 5TE sensor 718 attached to Procheck handheld datalogger (Decagon, USA). Since direct EC measurement in 719 soil was not reproducible because of the presence of particles and air pockets, the soil EC in 720 solution was measured by mixing a ratio of 1:5 soil: water (Fig. S7). The effective salt 721 concentrations that the plants were subjected to was within the moderate range of soil salinity 722 as per the recommendations made by the soil and water salinity testing protocol, Government 723 of South Australia, fact sheet no.- No: 66/00 (www.pir.sa.gov.au/factsheets). For drought 724 treatment, leaf samples were collected 8 d and 11 d after withdrawal of regular watering to the 725 soil. Plants were exposed to UV-B radiation plus PAR (400-700 nm) of 140 μ mol m⁻² s^{-1}. 726 The biological effective UV-B radiation, weighted after generalized plant action spectrum 727 (Caldwell, 1971) and normalized at 300 nm were 1.31 kJ m^{-2} and 2.62 kJ m^{-2} for 4 h

728 and 8 h time points respectively. For collecting root tissues, *A. thaliana* and *A. lyrata* plants

729 were hydroponically grown on a raft following standard procedures (Conn et al., 2013).

730

731 **Microarray design**

732 The *A*. *thaliana* array was customized by printing biological (43603) and replicated probe 733 groups (50X5) commercially available from Agilent Technologies (Id: 029132). The design of 734 *A. lyrata* probes was done by uploading total transcriptome (32670) to the Agilent e-array 735 facility (https://earray.chem.agilent.com/earray/). One probe per target sequence was 736 generated for 32386 transcripts, while no probes were reported for 284 sequences. These 737 sequences were either repeat masked-out or did not pass the required quality check. The 738 specificity of the designed probes was further confirmed by blasting against the *A. lyrata* 739 transcriptome. In addition to the main probe group, a replicated probe-group of selected 477 740 genes was printed on the array for multiplicative de-trending. The mean probe length was 60. 741 Both *A. thaliana* and *A. lyrata* arrays were printed in 8X60K format (Table S1).

742

743 **RNA extraction, array hybridization and scanning**

744 RNA was extracted by using a combination of Trizol (Invitrogen) and RNAeasy kit (Qiagen; 745 Hilden, Germany; Das et al., 2010). Quality was checked by Bioanalyzer analysis (Agilent 746 Technologies). Approximately 100 ng of total RNA was used for cRNA synthesis and 747 subsequent Cy3 labeling by using the one color low-amp quick amplification labeling kit 748 (Agilent Technologies). Array hybridization, washing and scanning was done according to the 749 recommended procedures by Agilent Technologies.

750

751 **Array data analysis**

752 Data were extracted by using an Agilent scanner and an Agilent Feature Extraction program. 753 Background corrected and multiplicatively de-trended hybridization signals were imported to 754 GeneSpring (G3784AA, version 2011) for log_2 transformation and data normalization. The 755 normalization conditions used were: threshold raw signals to- 1.0, normalization algorithm-756 scale, percentile target- 75. For stress data the normalized signal intensity values were 757 baseline corrected to the median of all samples. However, to get normalized expression data 758 in different organs, baseline transformation was turned off. To get information about the 759 differential expression of genes in diverse stressed conditions a Z-score, i.e. the number of 760 standard deviation changes between control and respective treatment were calculated. To

- 761 know how tightly orthologous *A. thaliana* and *A. lyrata* genes were related in terms of gene
- 762 expression, we have calculated the total Pearson correlation values for OrthoMCL all,
- 763 OrthoMCL one-to-one, OrthoMCL multiple and syntenic gene groups (Table 1). Also Pearson
- 764 correlation for individual At: Al Ortho MCL pairs were calculated to predict possible
- 765 expressologs and non-expressologs based on expression similarity or divergence. 766

767 **Real-time RT-qPCR analysis**

768 Since AL.MTP11A and AL.MTP11B homologs are highly identical, the designed array 769 probes were cross hybridizing to each other. Therefore, the expression levels of these two 770 homologs were measured in shoots, roots and flower buds of *A. lyrata* by quantitative RT-771 PCR analyses. First strand cDNA was synthesized by using QuantiTect Reverse Transcription 772 Kit (Qiagen, Hilden, Germany) and SYBR green fluorescence was used to measure the 773 expression level of the targeted genes in *A. lyrata*. Transcript abundance of *AL.MTP11A* and 774 *AL.MTP11B* homologs were calculated in geNORM by using *AL.UBQ5* and *AL.S16* as 775 reference genes (Vandesompele et al., 2002, Table S4).

776 To design gene-specific primers we targeted two SNPs (there are only 20 SNPs over the entire 777 coding sequence region among *AL.MTP11A* and *AL.MTP11B*) and designed gene-specific 778 real-time RT-qPCR primers only based on one nucleotide sequence divergence at the 3' end. 779 Indeed by restriction enzyme digestion and subsequent sequencing of the amplified PCR 780 product we could confirm the identity of the amplified gene products (Fig. S4A and B). Gene-781 specific primers for *AL.STA1A* were designed from the CDS region located after the insertion 782 of premature stop codon to avoid the possibility of getting amplification from truncated 783 mRNA.

784

785 **Promoter divergence analysis**

786 DNA distance matrix for upstream sequences of *A. lyrata* genes (neo-/non- versus 787 expressologs) with respect to the upstream sequence of their *A. thaliana* orthologs were 788 calculated based on 1000 bp upstream sequences from the start codon. We obtained the 789 divergence score (d_{SM}) for the upstream sequences of *A. lyrata* genes based on motif 790 divergence method SSM (Castillo-Davis et al., 2004). For a pair of sequences, SSM calculates 791 functional regulatory changes within the sequences and provides the divergence score (d_{SM}) 792 that quantifies the fraction of unaligned regions between the sequences

793

794 **Gene amplification, GATEWAY cloning, plant transformation and selection**

795 Gene-specific loss-of-function mutants were either obtained from NASC 796 (http://arabidopsis.info/) or from individual laboratories (Scholl et al., 2000; Table S3). High 797 fidelity Phusion polymerase (New England Biolabs) was used to amplify genes plus native 798 promoters of approximately 2-2.5 kb upstream 5'-region and 0.5 kb 3'-downstream region of 799 *A. lyrata*. GATEWAY recombination sequences were always tagged to the 5'-end of the 800 gene-specific primers (Table S4). Amplified PCR products were eluted from gels, cloned in 801 pDONR221 vector and subsequently recombined to a modified, promotor-less pAlligator2 802 vector (35S promoter deleted by restriction with *HindIII* and *Eco RV*, blunting with T4 DNA 803 polymerase and religation) (Benshimen et al., 2004; Wei Zhang and ARS for 35S promoter 804 deletion). Since the promoter and 3'-UTR regions of *AL.MTP11A* and *B* were highly identical, 805 the full length gene sequence for genetic complementation of both genes was amplified by 806 identical primer pairs; the cloned fragments were analyzed by restriction digest and 807 sequencing to distinguish *AL.MTP11A* and *B* isolates. 808 Cloning of the correct sequences was always confirmed by sequencing the entire insert. 809 Finally the expression clones were mobilized to competent *Agrobacterium* pGV3101/pMP90 810 strains and *Arabidopsis* plants were transformed by the floral dipping method (Clough and 811 Bent, 1998). Transformed T1 seeds were selected by observing green fluorescence of the GFP 812 reporter gene; at least three independent T1 plants were subsequently phenotyped. 813 814 815 816 817 818 819 **ACCESSION NUMBERS** 820 Expression data from Agilent microarray hybridization are deposited at GEO 821 (http://www.ncbi.nlm.nih.gov/geo/) 822 **GSE80099**- *A. thaliana* transcriptomic responses against drought stress 823 **GSE80100**- *A. thaliana* root and flower bud transcriptomes 824 **GSE80108**- *A. lyrata* ssp. *lyrata* root and flower bud transcriptomes 825 **GSE80110-** *A. lyrata* ssp. *lyrata* transcriptomic responses against drought stress 826 **GSE80111**- *A. thaliana* transcriptomic responses against UV-B stress 827 **GSE80112**- *A. lyrata* transcriptomic responses against UV-B stress 828 **GSE80114** - *A. thaliana* transcriptomic responses against salt stress

- **GSE80115** *A. lyrata* transcriptomic responses against salt stress
-

SUPPLEMENTAL MATERIAL

- **Supplemental Table S1.** Summary of *Arabidopsis thaliana* and *A. lyrata* array design 833 features.
- **Supplemental Table S2.** Classification of gene expression patterns and categorization of AT

835 : AL gene groups.

- **Supplemental Table S3.** *A. thaliana* mutants used in this study for genetic complementation
- 837 with *A. lyrata* homologs.
- **Supplemental Table S4.** Oligonucleotides used in this study for different purposes.
- **Supplemental Figure S1.** Promoter sequences divergence analysis between expressologs and
- 840 non-expressologs in the genetic redundant and species-specific functional categories.
- **Supplemental Figure S2.** Confirmation of the presence of the transgene insertion of
- *AL.RNR1A* in three independent transgenic plants by PCR analysis.
- **Supplemental Figure S3.** Sequence alignments of *AT.TSO2* and *AL.TSO2* homologous
- 844 genes.
- **Supplemental Figure S4.** Pseudogenization due to insertion of one A nucleotide at position 846 1352.
- **Supplemental Figure S5.** Confirmation of the presence of the transgene insertion of
- *AL.STA1B* in three independent transgenic plants each by PCR analysis.
- **Supplemental Figure S6.** Distinction of *ALMTP11A* and *B* homologs.
- **Supplemental Figure S7.** Measurements of soil salinity for the stress assays used in the
- 851 microarray based gene expression analyses.
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-
- **Supplemental Table S1.** Summary of *Arabidopsis thaliana* and *A. lyrata* array design
- 856 features.

- **Supplemental Table S2.** Classification of gene expression patterns and categorization of AT
- 859 : AL gene groups. Gene groups consisting of one *A. thaliana* gene and multiple *A. lyrata*
- 860 genes (One-to-two, one-to-many cases) are listed with their expression values in three organs
- 861 (rosette leaves, roots, flowers) and stress conditions (salt, drought, UV-B) analyzed. These
- 862 data were used to classify the *A. lyrata* genes as expressologs, non-expressologs or as non-

863 functional copies. This classification is further used to assign the gene groups to different

864 evolutionary categories.

865 Specific information to columns:

866 (B) Gene groups are categorized (see also Introduction): Group 1 Non-functionalization: one 867 ortholog retains the original function (expressolog), while the other ortholog(s) is (are) non-868 functional; Group 2 Neo-functionalization: one ortholog is a non-expressolog, while the other 869 ortholog retains the original function (expressolog); Group 3 Species-specific 870 functionalization: a) all orthologs are neo-functionalized (non-expressologs), or b) one 871 ortholog is a non-expressolog, while the other(s) lost the original function (non-872 functionalized); Group 4 Species-specific non-functionalization: all orthologs are non-873 functionalized/ pseudogenized; Group 6 Redundancy: all co-orthologs retain the function. 874 There were not any clear indications of Group 5 Sub-functionalization (see Introduction & 875 Discussion), where the original functions would be split among the orthologs. However, the 876 Group 3a and Group 6 could include such members, which are not resolved within the 11 877 scenarios analyzed in this study.

- 878 (C) Classification of orthologs: *A. lyrata* genes were classified as expressolog, non-879 expressolog according to the combined expression code (column D); if an ortholog was not 880 detected above a level of $log2 = 9$ for the normalized expression values in any of the 11 881 scenarios analyzed, it was denoted 'non-functional'.
- 882 (D) Combined expression code (sum of columns $F + H$): Expressologs: $+2$ = expressolog, $+1$ 883 = non-stress-resp. expressolog; Non-expressologs: $0 =$ non-expressolog (stress), $-1 =$ non-884 stress-resp. non-expressolog, -2 = non-expressolog (both organ & stress).

885 (E) Stress expressolog: Genes showing a Pearson correlation for the total stress responses 886 (columns AD to AK) >0.3 were regarded as expressologs according to our stress experiments; 887 however, in case there was no stress response (i.e. a change below log2 = I0.9I) observed in 888 any condition (Total stress index $= 0$; column J, see also columns K,M,O), the corresponding 889 genes were classified as not stress-responsive.

- 890 (G) Organ expressolog: Organ expressions were considered to be conserved, if the expression 891 was present ($log2$ value \ge = 9) or absent in the same pattern for rosette leaves, roots and 892 flowers. The absolute value was not considered. To account for the variability of the 893 measurements, a log2 value of at least 8.40 was accepted as a detectable expression, if the 894 expression in the particular organ was recorded in other members of the group.
- 895 (I) Pearson correlation of stress response of *A. thaliana* gene and individual *A. lyrata* co-896 orthologs.
- 897 (J) Total stress response index. Sum of individual stress indices (salt, drought, UV; columns
- 898 K, M, O) to indicate any stress-response in our experiments.
- 899 (K, M, O) Indices for stress response [1, response; 0 no response] in the related stress 900 experiments. A log2-fold change above |0.9| was regarded as stress-response.
- 901 (Q,R,S) Log2 normalized expression level in the respective organs (Methods).
- 902 (U AB) Log2 normalized expression level upon the indicated stress experiments.
- 903 (AD AK) Log2-fold changes in response to the indicated stresses with respect to the control 904 condition (Methods).
- 905 (AM) Synteny: genes present in syntenic regions or not, "-" represents absence of data.
- 906 (AN) d_{SM} scores of promoter sequences analyses (Methods). " $-$ " represents absence of data.
- 907

908 **Supplemental Table S3.** *A. thaliana* mutants used in this study for genetic complementation 909 with *A. lyrata* homologs. The complemented plants were phenotyped according to the 910 conditions described in the original reference.

911

912 **Supplemental Table S4.** Oligonucleotides used in this study for different purposes.

913 *Since, the promoter and 3' UTR region of *AL.MTP11A* and *B* are sequentially highly similar,

914 we used the same oligonucleotide pairs to amplify both homologs.

915

916 **Supplemental Figure S1.** Promoter sequences divergence analysis between expressologs and 917 non-expressologs in the genetic redundant and species-specific functional categories (A) 918 Promoter analyses of the gene group, where all the *A. lyrata* genes present within a gene 919 group are genetically redundant to *A. thaliana* gene as predicted by gene expression analyses. 920 For each *A. thaliana* and *A. lyrata* orthologous gene clusters we calculated the differences in 921 promoter sequence divergence scores (delta d_{SM} scores) between the *A. lyrata* gene copies. 922 For clusters with more than two *A. lyrata* gene copies we considered any two *A. lyrata* copies 923 by random choice. In order to define the clusters that are closer in their d_{SM} values we have 924 considered a threshold value of delta d_{SM} which has been shown by dotted line (<0.2). (B) 925 Promoter analyses of the gene group, where all the *A. lyrata* genes present within a gene 926 group are depicting species-specific difference compared to *A. thaliana* gene as predicted by 927 gene expression analyses. The other parameters used were the same as described in Figure 928 S1A.

929 **Supplemental Figure S2.** Confirmation of the presence of the transgene insertion of 930 *AL.RNR1A* in three independent transgenic plants by PCR analysis. An *AL.RNR1A*-specific

931 primer pair (Table S4) was used to amplify a diagnostic fragment from genomic DNA, which

932 was absent from an untransformed control plant (WT).

933

934 **Supplemental Figure S3.** Sequence alignments of *AT.TSO2* and *AL.TSO2* homologous 935 genes. (A) Multiple sequence alignment of *AT.TSO2*, *AL.TSO2A*, *AL.TSO2B*, human 936 (HS.RRM2, NP_001025) and *Saccharomyces cerevisiae* (SC.RNR2, NP_012508) sequences. 937 The nucleotides highlighted in red are identified non-synonymous changes between *AT.TSO2* 938 and *AL.TSO2A*/*AL.TSO2B*. Twenty eight non-synonymous changes were noticed between 939 *AT.TSO2* and *AL.TSO2B*. Regions containing residues of important for enzymatic function are 940 underlined (Philipps et al., 1995). Twenty-seven out of 28 amino acid changes for TSO2B are 941 outside these regions indicating that gene function of TSO2B was probably not affected by 942 these changes. The bold, underlined amino acids are three known TSO2 alleles in *Arabidopsis* 943 *thaliana* (Wang and Liu, 2006). (B) Multiple alignment of 1000 bp upstream region of 944 *AT.TSO2*, *AL.TSO2A* and *AL.TSO2B*. The bold, underlined sequence (CTCCTATATAAATA) 945 is the TATA box in the core promoter region of AT2G21790; while underlined region 946 (TCTCTTCTTC) is the Y patch. Y Patch is a direction-sensitive plant core promoter element 947 that appears around TSS.

948

949 **Supplemental Figure S4.** Pseudogenization due to insertion of one A nucleotide at position 950 1352 (marked in red font and underlined), which causes premature insertion of the stop codon 951 (-) in the *AL.STA1B* gene copy.

952

953 **Supplemental Figure S5.** Confirmation of the presence of the transgene insertion of 954 *AL.STA1B* in three independent transgenic plants each by PCR analysis. An *AL.STA1B*-955 specific primer pair (Table S4) was used to amplify a diagnostic fragment from genomic 956 DNA, which was absent from an untransformed control plant (WT).

957

958 **Supplemental Figure S6.** Distinction of *ALMTP11A* and *B* homologs. (A) Pairwise sequence 959 comparison of the two *A. lyrata* MTP11A and B homologs to design gene specific primers 960 (bold, underlined) for quantitative real time RT-PCR analyses. Presence of a restriction 961 enzyme (*Aci* I) cut site (CCGC) was detected and underlined in the *AL.MTP11B* sequence, 962 which is absent in the *AL.MTP11A* sequence. (B) To confirm specificity of the amplified PCR 963 products both the amplified fragments were digested with *Aci* I. Only one fragment was 964 noticed for *AL.MTP11A* (lane 1), while two fragments were noticed for *AL.MTP11B* (lane 2). 965 This primer pair was used in the real-time RT-qPCR analyses to calculate expression patterns

966 of these two homologs. M, DNA size marker (pUC19 digested with *Msp* I).

967

968 **Supplemental Figure S7.** Measurements of soil salinity for the stress assays used in the 969 microarray based gene expression analyses. The abbreviations used: At.C.E- *A. thaliana*, 970 control, early time point (3h); At.S2.E- *A. thaliana*, 250 mM NaCl, early time point (3h); 971 At.S1.E- *A. thaliana*, 500 mM NaCl, early time point (3h); At.C.L- *A. thaliana*, control, late 972 time point (27h); At.S2.L- *A. thaliana*, 250 mM NaCl, late time point (27h), At.S1.L- *A.* 973 *thaliana*, 500 mM NaCl, late time point (27h); Al.C.E- *A. lyrata*, control, early time point 974 (3h); Al.S2.E- *A. lyrata*, 250 mM NaCl, early time point (3h), Al.S1.E- *A. lyrata*, 500 mM 975 NaCl; Al.C.L- *A. lyrata*, control, late time point (27h), Al.S2.L- *A. lyrata*, 250 mM NaCl, late 976 time point (27h), Al.S1.L- *A. lyrata*, 500 mM NaCl, late time point (27h). 977 978 . 979 980 981 **ACKNOWLEDGMENTS** 982 We thank Glenn Thorlby, Zhongchi Liu and Byeong-ha Lee for providing us mutant seeds, 983 which were used in the current study. Generous technical assistance from Birgit Geist and 984 Elisabeth Becker and helpful discussions with Andreas Albert, Werner Heller, Soumita 985 Poddar and Debarun Acharya are also gratefully acknowledged. We also thank Jörg Durner 986 for his continuous encouragement during the course of this study. 987

988

989 **FIGURE LEGENDS**

990 **Figure 1.** Promoter sequences divergence analysis between expressologs and non-991 expressologs in two different functional categories.

992 (A) Shared motif divergence scores (d_{SM}) of expressologs, non-expressologs and one-to-one 993 orthologs. The first panel compares between the promoter sequence divergence scores of *A.* 994 *lyrata* expressologs and neo-functionalized non-expressologous genes (as predicted by our 995 gene expression analysis), the second panel compares between the expressologs and non-996 functionalized genes, the third panel compares between the promoter sequence divergence 997 scores of *A. lyrata* genes having single orthologous copy of *A. thaliana* genes (as predicted by 998 Ortho-MCL).

999 **(**B) Promoter analyses of the gene group, where at least one *A. lyrata* gene has been non-1000 functionalized as predicted by gene expression analyses. For each *A. thaliana* and *A. lyrata* 1001 orthologous gene pair within a gene group, we calculated the promoter sequence divergence 1002 scores (d_{SM}) of *A. lyrata* genes with reference to the promoter sequence of their *A. thaliana* 1003 orthologous gene (in x-axis) by shared motif divergence method. Here, "о" represents the 1004 promoter sequence divergence score (d_{SM}) of *A. lyrata* gene copy predicted as expressolog by 1005 the gene expression analyses, "Δ" stands for that of non-expressologs.

1006 (C) Promoter analyses of the gene group, where at least one *A. lyrata* gene has been predicted

1007 to be neo-functionalized. The other parameters used were same as described in Fig. 1A.

1008

1009 **Figure 2**. Sequence, gene expression and genetic complementation analyses of ribonucleotide 1010 reductase large sub-unit (*RNR1*) gene copies in *A. thaliana* and *A. lyrata*. (A) Multiple 1011 alignment of the *Arabidopsis* RNR1 amino acid sequences along with those of human and 1012 yeast sequences. Biologically important LOOP1 and LOOP2 regions are depicted. Part of the 1013 highly conserved LOOP1 region is missing and two non-synonymous amino acid changes 1014 were detected in the LOOP2 region of *AL.RNR1C*. However, the *AL.RNRB* coding sequence 1015 is identical to that of *AT.RNR1*. These regions play important roles in the enzymatic function 1016 by controlling specificity of the incoming dNTP. The biological importance of this region is 1017 emphasized by the identification of three mutations that caused severe developmental defects 1018 (indicated by * on top). Another allele, *cls8-1* affects a distant region leading to an amino acid 1019 change G718E, however showing the same mutant phenotype (Tab. S3). (B) Study of the 1020 expression patterns of the four *Arabidopsis RNR1* genes in root, shoot and flower bud. 1021 Background corrected and multiplicatively de-trended signal intensities were imported to 1022 Gene Spring (G3784AA, version 2011) to calculate normalized gene expression values (see 1023 Methods for details). (C) Comparison of core promoter regions (250 bp upstream from ATG) 1024 indicates the loss of the *AT.RNR1*-like TATA box (bold, underlined) and Y patch (underlined) 1025 in the case of *AL.RNR1A* and *AL.RNR1C* homologs. This analysis was done in plant promoter 1026 database (http://133.66.216.33/ppdb/cgi-bin/index.cgi#Homo). (D) Genetic complementation 1027 of *A. thaliana rnr1*/*cls8-1* with *AL.RNR1B* and *AL.RNR1A* gene copies. The phenotype of the 1028 *AL.RNR1B* (predicted expressolog) complemented plants resemble wild type. However, the 1029 plants complemented by *AL.RNR1A* (predicted pseudogene) show the mutant phenotype such 1030 as the yellowish, first true leaf (in the inset) and the crinkled, matured leaves. 1031

1032 **Figure 3.** Gene expression and genetic complementation analyses of ribonucleotide reductase 1033 small sub-unit (*TSO2*) gene copies in *A. thaliana* and *A. lyrata*. (A) Expression of the three 1034 *Arabidopsis TSO2* genes in root, shoot and flower bud. All the copies are expressed well 1035 above background. (B) Genetic complementation of *A. thaliana tso2-1* with *AL.TSO2A* and 1036 *AL.TSO2B* gene copy. Both *AL.TSO2A* and *AL.TSO2B* were predicted as expressologs by our 1037 analysis, although the sequence analysis indicated several non-synonymous changes in the 1038 *AL.TSO2B* copy as compared to the *A. thaliana* gene (Fig. S3A). The result of the 1039 complementation assay supported this prediction.

1040

1041 **Figure 4.** Gene expression and genetic complementation analyses of *STABILIZED 1* (*STA1*) 1042 gene copies in *A. thaliana* and *A. lyrata*. (A) Study of the expression patterns of the three 1043 *Arabidopsis STA1* genes in root, shoot and flower bud. (B) Comparison of leaf morphology of 1044 *A. thaliana sta1-1* plants with *AT.sta1-1*+*AL.STA1B* and *AT.sta1-1*+*AL.STA1A* complemented 1045 lines. While the leaf size and margins of *AL.STA1A* complemented plants look like the wild 1046 type, the *AL.STA1B* transformed lines resemble the mutant.

1047

1048 **Figure 5.** Gene expression and genetic complementation analyses of *MANGANESE* 1049 *TRANSPORTER 11* (*MTP11*) gene copies in *A. thaliana* and *A. lyrata*. (A) Organ expression 1050 patterns of the two *A. lyrata MTP11* homologous genes assessed by RT-qPCR analysis. (B) 1051 Genetic complementation of *A. thaliana mtp11* loss-of-function mutant with *AL.MTP11A* and 1052 *AL.MTP11B* gene copies. Seedlings were grown on MS medium (1% sucrose, 1X MS, 1.2% 1053 phytoagar) for eight days and then transferred to agarose medium supplemented with 2 mM 1054 Mn²⁺. As predicted by the expression data, both homologs could complement the mutant 1055 phenotype.

1056

1057 **Table 1.** Pearson correlation analysis of stress induced gene co-expression data between 1058 different groups of orthologous and non-orthologous genes of *A. thaliana* and *A. lyrata*.

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1062

1063 **Table 2.** Gene expression similarity (r) and promoter sequence divergence (d_{SM}) for selected 1064 genes analyzed by genetic complementation assay.

1065 *Because of very high sequence similarity microarray probes were not gene specific and

1066 hence expression similarity was assessed by RT-qPCR analyses.

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Parsed Citations

Bandyopadhyay S, Sharan R, Ideker T (2006) Systematic identification of functional orthologs based on protein network comparison. Genome Res 16: 428-35

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Genes

Supplemental Figure S1. Promoter sequences divergence analysis between expressologs and non-expressologs in the genetic redundant and species-specific functional categories (A) Promoter analyses of the gene group, where all the *A. lyrata* genes present within a gene group are genetically redundant to *A. thaliana* gene as predicted by gene expression analyses. For each *A. thaliana* and *A. lyrata* orthologous gene clusters we calculated the differences in promoter sequence divergence scores (delta d_{SM} scores) between the *A. lyrata* gene copies. For clusters with more than two *A. lyrata* gene copies we considered any two *A. lyrata* copies by random choice. In order to define the clusters that are closer in their d_{SM} values we have considered a threshold value of delta d_{SM} which has been shown by dotted line (<0.2). (B) Promoter analyses of the gene group, where all the *A. lyrata* genes present within a gene group are depicting species specific difference compared to *A. thaliana* gene as predicted by gene expression analyses. The other parameters used were the same as described in Figure S1A. Ï

Supplemental Figure S2. Confirmation of the presence of the transgene insertion of AL.RNR1A in three independent transgenic plants by PCR analysis. An AL.RNR1A-specific primer pair (Table S4) was used to amplify a diagnostic fragment from genomic DNA, which was absent from an untransformed control plant (WT).

A

Supplemental Figure S3. Sequence alignments of *AT.TSO2* and *AL.TSO2* homologous genes. (A) Multiple sequence alignment of *AT.TSO2*, *AL.TSO2A*, *AL.TSO2B*, human (HS.RRM2, NP_001025) and *Saccharomyces cerevisiae* (SC.RNR2, NP_012508) sequences. The nucleotides highlighted in red are identified non-synonymous changes between *AT.TSO2* and *AL.TSO2A*/*AL.TSO2B*. Twenty eight non-synonymous changes were noticed between *AT.TSO2* and *AL.TSO2B*. Regions containing residues of important for enzymatic function are underlined (Philipps et al., 1995). Twenty-seven out of 28 amino acid changes for TSO2B are outside these regions indicating that gene function of TSO2B was probably not affected by these changes. The bold, underlined amino acids are three known TSO2 alleles in *Arabidopsis thaliana* (Wang and Liu, 2006). (B) Multiple alignment of 1000 bp upstream region of *AT.TSO2*, *AL.TSO2A* and *AL.TSO2B*. The bold, underlined sequence (CTCCTATATAAATA) is the TATA box in the core promoter region of AT2G21790; while underlined region (TCTCTTCTTC) is the Y patch. Y Patch is a directionsensitive plant core promoter element that appears around TSS.

B

atggtgttcctctcgattccaaacgtgaagaccatgtcgatcaatgtgaaccctagtgca M V F L S I P N V K T M S I N V N P S A accaccatctccgccttcgaacaattggtccatcaacgcactcatcttcctcaacctctc T T I S A F E Q L V H Q R T H L P Q P L cttcgttactcgctctgtctccgcaaccctagtcttgattcctccgatcctgccctgtta L R Y S L C L R N P S L D S S D P A L L tcggatctaggttttggccctttgtctacggtacttgttcatgtccctctaatcggtgga S D L G F G P L S T V L V H V P L I G G gcggctccgcctcagcccctcttcaattcaaactatgtcgctggtttgggtcgtggggct A A P P Q P L F N S N Y V A G L G R G A acagggtttactacccgctccgatattggtcctgctcgtgctgatggcgatgacgtgaat T G F T T R S D I G P A R A D G D D V N cacaagtttgatgactttgaagggaatgatgcgggattgttcgctaatgccgagtgtgat H K F D D F E G N D A G L F A N A E C D gacgaagacaaagaggctgacgccattgataggaggaggaaagacagaagagacatcgag D E D K E A D A I D R R R K D R R D I E aattacagagcctccaaccctaaagtttctgagcagtttgtggatctgaagagaaagttg N Y R A S N P K V S E Q F V D L K R K L catactttgtctgaggatgaatgggatagtattccagagattgggaattactcgcatcgg H T L S E D E W D S I P E I G N Y S H R agcaagaagaagaggtttgagagctttgtgcctgttcctgacacgcttttgcaggaaaaa S K K K R F E S F V P V P D T L L Q E K gggatcgtctcggccttaggcccaaatagcagagccgctggtggatcggagacgccatgg G I V S A L G P N S R A A G G S E T P W atagacttgacttcagtcggtgagggaagaggttttctgttgtctctgaagcttgagagg I D L T S V G E G R G F L L S L K L E R ttatcagattctctttcagggcaaactgttgtggatcctaaaggctacttaactgacctt L S D S L S G Q T V V D P K G Y L T D L aagaataaggaactcaccaacgatgcagacatttttcatattaatagagctagaccctta K N K E L T N D A D I F H I N R A R P L ttaaagagtattacacagtcgaatcccaagaatcccaatggctggattgctgctgcgaga L K S I T Q S N P K N P N G W I A A A R ctcgaggagagggctggtaaaataaaagccgctagaactcagattcagaagggatgcaat L E E R A G K I K A A R T Q I Q K G C N gagtgcccaaaacatgaggatgtttgggttgaggcttgtatgctggccacaccggaggat E C P K H E D V W V E A C M L A T P E D gccaaggcggtgattgcaatgggagttaagcaaatacccaactcggtgaagctatggttg A K A V I A M G V K Q I P N S V K L W L gaggctgcaaagttggaacatgatgaggataacaagagtagggtgttgagaaaaggactg E A A K L E H D E D N K S R V L R K G L gagcatattccagactcggttaggctatggaagactgttaaggacatggctaataaagaa E H I P D S V R L W K T V K D M A N K E gatgcagtggttttgcttcacagagctgtggaatgctgccctctgcatccggagctatgg D A V V L L H R A V E C C P L H P E L W atggcgcttgcgaggcttgaaacatacgaaa**a**acacaaagaaagtgttgaacagagcgag M A L A R L E T Y E K H K E S V E Q S E agagaagctccccaaggagcgggggatttggatcaccgctgctaagctagaggaagataa R E A P Q G A G D L D H R C - A R G R tgggaatactactaaggttggaaagatcattgagaagggtataaatgctctgcagagaga W E Y Y - G W K D H - E G Y K C S A E R agaggttgtcattgaccgggaaaagtggaggtctctgagagagccgggtatgtaacaacc R G C H - P G K V E V S E R A G Y V T T tgccaggcaattattaagatcattattggttttgaagtcgatgaagaggatagaaagaaa C Q A I I K I I I G F E V D E E D R K K acttgggttgctgatgcagaggagtgcaagaagaggggttccatcgagactgcaagagca T W V A D A E E C K K R G S I E T A R A atatacgcacatgctcttaccgtgttctttactaagaaaagtatctggctgcgcagttag I Y A H A L T V F F T K K S I W L R S -

agaagagtcatggtagtatggagtctcttgatgccgtgttgcgtaaggctgtgacatacc R R V M V V W S L L M P C C V R L - H T tccctcaggctgaggttctctggctcatgtgtgccaaggagaagtggcttgctggagatg S L R L R F S G S C V P R R S G L L E M ttccagcagcccgtggcattctacaagaggctcatgccgcagttccaaactccgaggaaa F Q Q P V A F Y K R L M P Q F Q T P R K tctggcttgctgcttttaagctagagtttgagagcagggaggtggagagggcgaggatga S G L L L L S - S L R A G R W R G R G ttctcgcaaaagcaagggaaagaggaactactgggagggtgtggatgaaatcagccattg F S Q K Q G K E E L L G G C G - N Q P L ttgagagggaactaggcaacgtagaggaggagaggagattgcttgaagaaggcgtgaaga L R G N - A T - R R R G D C L K K A - R aattcccagcattcttcaagctttggttgatgcttgggcagcttggggaaaggtttaggc N S Q H S S S F G - C L G S L G K G L G atctggaacaggccaagaaagcttacacatctggtttgaggcactgtcccgagtgcacac I W N R P R K L T H L V - G T V P S A H cattgtggctctcgctcgctgatattgaagagaaagtgaatgggctcaacaaagctcgtg H C G S R S L I L K R K - M G S T K L V tagttctcactctggccaggaagaaaaaccctaaggcggatgagctatggctagctgctg - F S L W P G R K T L R R M S Y G - L L ttcgtgttgaaattagacatggcaacaagagagaagcagagcgcttgatgtcaaaggccc F V L K L D M A T R E K Q S A - C Q R P tgcaagagtctcccaaaagtggtcttctcttggctgctgacatcgagatggcaccgccat C K S L P K V V F S W L L T S R W H R H gtctgctcccgcaaacgaagattgatgatgctctgaagaagtgtgtgaagaaggaggcgg V C S R K R R L M M L - R S V - R R R R cgcatgtcactgcaatggtcgccaagatctcctggcaagataggaaggtggataaagcca R M S L Q W S P R S P G K I G R W I K P gattgtggtttcaacggaccgtgaacgtcgacccagataatggagatttctgggccttgt D C G F N G P - T S T Q I M E I S G P C actacaaatttgaacttgaacatggctctgaggagaagcagaaggaggtgctgaccaaat T T N L N L N M A L R R S R R R C - P N gtgtggcgtctgagccaaagcacggtgagaagtggcaagccatatccaaagcgttggaga V W R L S Q S T V R S G K P Y P K R W R atgcccaccagcctgttgaagtcatcttgaagagagtggtggttgcattgacaagggaag M P T S L L K S S - R E W W L H - Q G K agcgtaacaaactctaa S V T N S

Supplemental Figure S4. Pseudogenization due to insertion of one A nucleotide at position 1352 (marked in red font and underlined), which causes premature insertion of the stop codon (-) in the *AL.STA1B* gene copy.

Supplemental Figure S5. Confirmation of the presence of the transgene insertion of AL.STA1B in three independent transgenic plants each by PCR analysis. An AL.STA1B-specific primer pair (Table S4) was used to amplify a diagnostic fragment from genomic DNA, which was absent from an untransformed control plant (WT).

A

B

Supplemental Figure S6. Distinction of *ALMTP11A* and *B* homologs. (A) Pairwise sequence comparison of the two *A. lyrata* MTP11A and B homologs to design gene specific primers (bold, underlined) for quantitative real time RT-PCR analyses. Presence of a restriction enzyme (*Aci* I) cut site (CCGC) was detected and underlined in the *AL.MTP11B* sequence, which is absent in the *AL.MTP11A* sequence. (B) To confirm specificity of the amplified PCR products both the amplified fragments were digested with *Aci* I. Only one fragment was noticed for *AL.MTP11A* (lane 1), while two fragments were noticed for *AL.MTP11B* (lane 2). This primer pair was used in the real-time RT-qPCR analyses to calculate expression patterns of these two homologs. M, DNA size marker (pUC19 digested with *Msp* I).

NaCl treatment, concentrations and exposure times

Supplemental Figure S7. Measurements of soil salinity for the stress assays used in the microarray based gene expression analyses. The abbreviations used: At.C.E- *A. thaliana*, control, early time point (3h); At.S2.E- *A. thaliana*, 250 mM NaCl, early time point (3h); At.S1.E- *A. thaliana*, 500 mM NaCl, early time point (3h); At.C.L- *A. thaliana*, control, late time point (27h); At.S2.L- *A. thaliana*, 250 mM NaCl, late time point (27h), At.S1.L- *A. thaliana*, 500 mM NaCl, late time point (27h); Al.C.E- *A. lyrata*, control, early time point (3h); Al.S2.E- *A. lyrata*, 250 mM NaCl, early time point (3h), Al.S1.E- *A. lyrata*, 500 mM NaCl; Al.C.L- *A. lyrata*, control, late time point (27h), Al.S2.L- *A. lyrata*, 250 mM NaCl, late time point (27h), Al.S1.L- *A. lyrata*, 500 mM NaCl, late time point (27h).

.

8

15 **Supplemental Table S3.** *A. thaliana* mutants used in this study for genetic complementation with *A. lyrata* homologs. The complemented plants

16 were phenotyped according to the conditions described in the original reference.

18 **Supplemental Table S4.** Oligonucleotides used in this study for different purposes.

19 *Since, the promoter and 3' UTR region of *AL.MTP11A* and *B* are sequentially highly similar, we used the same oligonucleotide pairs

20 to amplify both homologs.

21