

1 **Proteasome function shapes innate and adaptive immune responses**

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20 **RUNNING TITLE:**

21 Proteasomes shape immune responses

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24 **ABSTRACT**

25 The proteasome system degrades more than 80% of intracellular proteins into small peptides.  
26 Accordingly, the proteasome is involved in many essential cellular functions such as protein  
27 quality control, transcription, immune responses, cell signaling, and apoptosis. Moreover,  
28 degradation products are loaded onto major histocompatibility (MHC) class I molecules to  
29 communicate the intracellular protein composition to the immune system.

30 The standard 20S proteasome core complex contains three distinct catalytic active sites that  
31 are exchanged upon stimulation with inflammatory cytokines to form the so-called  
32 immunoproteasome. Immunoproteasomes are constitutively expressed in immune cells and  
33 have different proteolytic activities compared to standard proteasomes. They are rapidly  
34 induced in parenchymal cells upon intracellular pathogen infection and are crucial for priming  
35 effective CD8<sup>+</sup> T cell-mediated immune responses against infected cells. Beyond shaping  
36 these adaptive immune reactions, immunoproteasomes also regulate the function of immune  
37 cells by degradation of inflammatory and immune mediators. Accordingly, they emerge as  
38 novel regulators of innate immune responses. The recently unraveled impairment of  
39 immunoproteasome function by environmental challenges and by genetic variations of  
40 immunoproteasome genes might represent a currently underestimated risk factor for the  
41 development and progression of lung diseases. In particular, immunoproteasome dysfunction  
42 will dampen resolution of infections thereby promoting exacerbations, may foster  
43 autoimmunity in chronic lung diseases, and possibly contributes to immune evasion of tumor  
44 cells. Novel pharmacological tools such as site-specific inhibitors of the immunoproteasome  
45 as well as activity-based probes, however, hold promises as novel therapeutic drugs for  
46 respiratory diseases and biomarker profiling, respectively.

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48 **KEY WORDS:** immunoproteasome, adaptive immunity, innate immune response, lung

49 disease, immunoproteasome inhibitor

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## 53 **1. Introducing the proteasome system**

54 In the normal course of a protein's lifetime, synthesis and degradation rate determine the half-  
55 life of both short- and long-lived proteins for cellular maintenance (119). The proteasome is a  
56 huge protease complex and the main protein degradation system within the cell: about 80 %  
57 of all cellular proteins are processed by the proteasome into peptides of 3-22 amino acids in  
58 length (94). Controlled protein breakdown by the proteasome involves tagging of protein  
59 substrates with ubiquitin chains mainly linked at the lysine at position 48 (K48) via a cascade  
60 of E1, E2, and E3 ubiquitin-activating, -conjugating, and -ligating enzymes, respectively.  
61 However, ubiquitin-independent degradation by the proteasome has also been described (15,  
62 60). Degradation products can be used to recycle amino acids or are loaded onto major  
63 histocompatibility (MHC) class I molecules to communicate the intracellular protein  
64 composition to the immune system (33, 63): even though less than 0.1 % of the peptides  
65 generated by the proteasome are presented at the cell surface as antigens, this system is  
66 efficient in eliciting a cytotoxic T cell response towards infected or malignant cells (120). Due  
67 to the broad nature of substrates, the proteasome is involved in many essential cellular  
68 functions such as protein quality control, transcription, immune responses, cell signaling, and  
69 apoptosis (33, 95). Moreover, degradation of damaged and misfolded proteins is also mainly  
70 taken over by the ubiquitin-proteasome system (36, 75). This function is of central importance  
71 to counteract the cytotoxic potential of damaged proteins that arise upon oxidative  
72 modification of amino acids and subsequent exposure of hydrophobic amino acid side chains.  
73 In the lung, proteins have been shown to be modified by reactive agents, such as present in  
74 pollutants and cigarette smoke, or which are generated at conditions of oxidative stress during  
75 immune responses (5). The impact of proteasome dysfunction for protein quality control and  
76 proteostasis in chronic lung diseases has recently been covered by several reviews (5, 75, 76,

77 81). The consequences of proteasome dysfunction for innate and adaptive immune responses  
78 in the lung, however, have not been considered so far and will be the focus of this review.

79

## 80 **2. The proteasome's catalytic activity**

81 The proteasome consists of a central 20S catalytic core particle, which is activated by  
82 proteasome regulators (Figure 1). Several regulators are known that bind to and thus mediate  
83 opening of the 20S proteasome for substrate entry (76). The 19S particle is the best studied  
84 regulator: it consists of at least 18 different subunits, including ubiquitin receptors and  
85 deubiquitinating enzymes, and accounts for ubiquitin- and ATP-dependent degradation of  
86 substrates (67). Together with the 20S, it forms the 26S/30S proteasome by binding to one or  
87 both sides of the 20S core, respectively. Two 11S-types of regulators are known: the IFN $\gamma$ -  
88 inducible heteroheptameric PA28 $\alpha/\beta$  and the homoheptameric PA28 $\gamma$ , which can only be  
89 found in the nucleus. Furthermore, two monomeric regulators, PA200 as well as PI31, have  
90 been described. Proteasome regulators have been shown to determine substrate specificity and  
91 turnover rate (105).

92 The 20S proteasome consists of a barrel-shaped core particle composed of four rings  
93 comprising seven subunits each (Figure 1). Seven related, but distinct  $\alpha$ -subunits form the two  
94 outer  $\alpha$ -rings (33). Because the N-termini of the  $\alpha$ -subunits close the entry pore and inhibit  
95 substrate entry, the 20S core particle only allows entry of unfolded proteins. Three of the  
96 seven  $\beta$ -subunits that constitute each of the two inner  $\beta$ -rings are catalytically active and  
97 confer the proteolytic capacity of the 20S proteasome. These three  $\beta$ -subunits determine the  
98 species of the 20S core particle: depending on the cell-type, cytokine milieu, or activation  
99 state of the cell, different  $\beta$ -subunits are expressed and incorporated into mature 20S. The  
100 standard 20S proteasome is expressed in every cell-type and integrates the  $\beta$ 1,  $\beta$ 2, and  $\beta$ 5  
101 subunits which cleave after acidic, basic, or hydrophobic amino acids, respectively (45). In

102 immune cells, however, three different  $\beta$ -subunits are constitutively expressed (103): low  
103 molecular mass protein (LMP) 2, multicatalytic endopeptidase complex-like 1 (MECL-1), and  
104 LMP7 (also called  $\beta_{1i}$ ,  $\beta_{2i}$ , and  $\beta_{5i}$ ). In non-immune cells, these three so-called  
105 immunosubunits can be induced by interferon (IFN)  $\gamma$  or tumor necrosis factor (TNF)  $\alpha$   
106 signaling (2, 40). In addition, several other stimuli have been identified that upregulate  
107 immunosubunits including retinoic acid (118), nitric oxide (64), cytokines such as IL-4 (23),  
108 Toll-like receptor agonists and type I interferons (101) and mTOR signaling (121). Given the  
109 multitude and variety of stimuli that triggers immunoproteasome subunit expression, it is  
110 tempting to rename “immunoproteasome” to “inducible proteasome” as these specialized  
111 types of proteasomes appear to be not restricted to immune responses anymore. When  
112 immunosubunits are expressed, they are preferentially incorporated into newly assembled 20S  
113 immunoproteasomes (50, 58). Furthermore, they exhibit altered cleavage preferences  
114 compared to standard proteasomes, with a strongly reduced post-acidic cleavage activity  
115 based on the  $\beta_1$ /LMP2 exchange, leading to generation of peptides that are preferentially  
116 loaded onto MHC I molecules compared to peptides derived from standard proteasomes (38).  
117 In addition, mixed proteasomes consisting of both standard and immunoproteasome subunits  
118 have been described which contribute to an even more diverse peptide pool (24).

119

### 120 **3. Immunoproteasomes facilitate CD8<sup>+</sup> T-cell mediated resolution of intracellular** 121 **infections**

122 Immunoproteasomes are of crucial importance for CD8<sup>+</sup> T cell-mediated immune responses  
123 against intracellular infections (73). Specifically, they play an essential role at three crucial  
124 checkpoints: Firstly, immunoproteasomes are important for negative selection of autoreactive  
125 CD8<sup>+</sup> T cells in the thymus upon development of the immune system: immunoproteasomes  
126 are expressed in medullary thymic epithelial cells (mTECs) where they contribute to the

127 generation of the cellular “self”-peptide repertoire that is presented to developing CD8<sup>+</sup> T  
128 cells (87). Thereby, selection of only those T cells that do not bind to “self” peptide/MHC I  
129 complexes is achieved (3, 39). The remaining naïve CD8<sup>+</sup> T cells migrate to lymph nodes and  
130 persist until they are activated by antigen-presenting cells (APCs) in order to execute their  
131 effector function and combat infections.

132 Secondly, APCs, especially dendritic cells (DC), mainly express immunoproteasomes (103).  
133 DCs are able to engulf necrotic particles of infected cells, and migrate to draining lymph  
134 nodes upon maturation. There they present immunoproteasome-derived pathogen-peptides on  
135 MHC I together with co-stimulatory molecules to evoke a specific CD8<sup>+</sup> T cell responses (so-  
136 called cross-presentation). With the help of APCs, intracellular viral or bacterial infections are  
137 thus communicated to naïve CD8<sup>+</sup> T cells in the lymph nodes to induce a pathogen-specific  
138 adaptive immune response. After activation, the CD8<sup>+</sup> T cells move to the site of infection  
139 and patrol the infected organ in search for their specific antigen bound to MHC I to kill the  
140 infected cell (96).

141 Thirdly, to limit pathogen replication by selective killing of infected cells, cells need to signal  
142 their infection status to patrolling activated CD8<sup>+</sup> T cells. In order to be recognized by CD8<sup>+</sup>  
143 T cells, infected cells upregulate immunoproteasome expression to present exactly the same  
144 immunoproteasome-generated pathogen antigen as during CD8<sup>+</sup> T cell activation by the APC  
145 (55, 101) (Figure 2). Importantly, immunoproteasomes are downregulated after the infection  
146 is resolved in order to limit possible autoreactivity of CD8<sup>+</sup> T cells to non-infected cells (38,  
147 39).

148 Immunoproteasomes thus enhance antigen presentation by increasing the quantity (32, 78)  
149 and/or quality of peptides for MHC I antigen presentation (38, 106). Indeed,  
150 immunoproteasomes have been reported to shape the MHC I peptide repertoire which was  
151 illustrated by the use of proteasome inhibitors and immunoproteasome knock-out mice, either

152 of single or of all three immunosubunits (8, 57, 113). Accordingly, immunoproteasomes  
153 dictate expansion of CD8<sup>+</sup> T cells clones after infection as shown in several mouse models of  
154 viral or bacterial infections. In these models, and strongly depending on the immunodominant  
155 epitopes of the pathogen, effects of immunoproteasome (subunit) deficiency ranged from no  
156 detectable differences in virulence (18, 84), altered antigenic peptide presentation and CD8<sup>+</sup> T  
157 cell response (6, 37, 46, 48, 89, 97, 98, 102, 110, 123) to even increased morbidity and  
158 mortality (85, 109). These studies emphasize the importance of immunoproteasomes during  
159 infection to enhance MHC I antigen presentation and to increase generation of pathogen-  
160 derived peptides. One well-studied example represents the influenza A virus which is an  
161 important trigger of exacerbations in chronic respiratory diseases such as chronic obstructive  
162 pulmonary disease (COPD) (100): two immunodominant MHC I epitopes have been shown to  
163 be differentially processed by standard and immunoproteasomes in C57BL/6 mice (123).  
164 Whether these results can be translated to influenza A human MHC I epitopes, however, has  
165 not been investigated so far.

166

#### 167 **4. Immunoproteasomes protect from autoimmunity**

168 Intriguingly, the cell type- and tissue-specific distribution of immunoproteasomes is important  
169 for protecting the organism from autoimmunity after infection. Immune cells such as APCs  
170 constitutively express immunoproteasomes, whereas parenchymal cells only express them in  
171 response to inflammatory cytokines such as IFN $\gamma$  or TNF $\alpha$  (Figure 2). During CD8<sup>+</sup> T cell  
172 priming in the lymphatic tissues, both immunoproteasome-derived pathogen-, but also “self”-  
173 antigens are presented on MHC I by the APC. If a “self”-reactive CD8<sup>+</sup> T cell, despite thymic  
174 selection, would be activated during infection by an APC, the same immunoproteasome-  
175 dependent “self”-antigen might be presented by an infected parenchymal cell. The epitope  
176 would cease to be presented by parenchymal cells after the infection is resolved, because



177 immunoproteasomes are gradually replaced by standard proteasomes (42). Certain  
178 immunoproteasome-derived “self”-antigens are thus presented to the immune system only  
179 during infection, thereby protecting from autoreactive immune responses after resolution of  
180 infection (30, 38, 101).

181 Indeed, it has been shown that immunoproteasomes are inappropriately expressed in human  
182 autoimmune disorders (29, 35, 65, 77) and experimental models of autoimmunity (7, 14).  
183 Accordingly, the use of novel immunoproteasome-specific inhibitors has been proposed for  
184 treatment of autoimmune disorders (13, 16, 61, 63, 111). These inhibitors have been proven to  
185 successfully counteract autoimmune responses in several experimental models of autoimmune  
186 diseases (7, 11, 47, 79, 82, 122). Inhibition of immunoproteasomes in autoimmunity could  
187 have two beneficial and synergistic effects: a) presentation of immunoproteasome-dependent  
188 “self”-antigens by parenchymal cells might be hindered and b) inflammatory cytokine  
189 secretion by immune cells might be dampened (79).

190 Furthermore, single nucleotide polymorphisms (SNP) of proteasome subunits have been  
191 associated with autoimmune diseases, however, with partially conflicting results (an overview  
192 can be found in Supplementary Table S1 in (76)).

193

## 194 **5. Immunoproteasomes shape immune cell function and innate immune responses**

195 Beyond shaping adaptive immune reactions, proteasomes and in particular  
196 immunoproteasomes regulate the function of immune cells by degradation of inflammatory  
197 and immune mediators. Special interest and conflicting data exist on the role of  
198 immunoproteasomes in NFκB signaling, which might reflect cell type-specific effects or the  
199 outcome of different experimental settings (44, 49, 72). Our own and partially unpublished  
200 data indicate that NFκB signaling is not affected by deletion of the immunoproteasome  
201 subunits LMP2 or LMP7: NFκB promotor-driven reporter gene as well as NFκB target gene

202 expression were unchanged in alveolar macrophages of LMP2-deficient mice after LPS and  
203 IFN $\gamma$ -induced macrophage polarization (23). Several mutations in the human *PSMB8* and  
204 *PSMB9* genes encoding the LMP7 and LMP2 immunoproteasome subunits, respectively, have  
205 been discovered in autoinflammatory disorders (1, 4, 17, 62, 70, 74). In addition, mutations in  
206 other 20S proteasome subunits have been identified leading to e.g. reduced expression,  
207 misfolding or impaired 20S incorporation of mutated subunits and therefore changes in  
208 cellular proteostasis (17). These diseases have been combined in the so-called proteasome-  
209 associated autoinflammatory disorders (PRAAS).

210 Cells of the adaptive immune system have been shown to be regulated by the  
211 immunoproteasome subunit LMP7: The differentiation potential of naïve CD4<sup>+</sup> T helper cells  
212 to Th1/Th17 was impaired while regulatory T cell differentiation was enhanced in the absence  
213 or catalytic inhibition of LMP7 due to altered cellular signaling (51). The immunological  
214 phenotype of immunoproteasome knock-out mice also points towards altered proteostasis  
215 leading to changes in immune cell function: LMP2-deficient mice display less B cells as well  
216 as reduced numbers of peripheral CD4<sup>+</sup> and CD8<sup>+</sup> T cells (44). MECL-1 knock-out (k.o.)  
217 mice show an altered T cell repertoire (10) and combined deletion of both MECL-1 and  
218 LMP7 leads to hyperproliferation of T cells (22). Inhibitors of LMP7 have also been shown to  
219 influence inflammatory cytokine production which might add to their beneficial effects in  
220 preclinical models of autoimmunity (79).

221 Less attention has been paid to the role of immunoproteasomes in innate immunity. Van  
222 Helden and colleagues did not observe changes in natural killer (NK) cell education in  
223 MECL-1/LMP7 double k.o. mice, but immunoproteasome-deficiency in splenocytes led to  
224 their rejection only in virus-infected, but not naïve recipient wildtype mice in an NK-  
225 dependent manner (43). Our own study demonstrated altered polarization capacities of  
226 alveolar macrophages upon immunoproteasome subunit deficiency. These cells express high

227 levels of immunoproteasomes (54). While the pro-inflammatory IFN $\gamma$ /LPS-induced M1  
228 phenotype was not changed in LMP7-deficient primary macrophages, IL-4 treatment of  
229 wildtype or LMP7-deficient cells resulted in augmented M2 polarization marker gene  
230 expression, increased M2-signalling (via STAT6 and AKT), and an increase in IL4R $\alpha$   
231 expression already at baseline (23). Catalytic inhibition of LMP7 with the  
232 immunoproteasome-specific inhibitor ONX-0914 led to similar results. These data were  
233 partially confirmed in a recent study by Kimura and colleagues: compared to wildtype  
234 animals, LMP7-deficient mice exhibited increased levels of M2 marker gene expression in  
235 white adipose tissue after high-fat-diet while there was no change in M1 marker gene  
236 expression (56).

237 Paeschke and colleagues observed reduced levels of the soluble cardio-protective pattern  
238 recognition receptor Pentraxin 3 upon LMP7 inhibition or genetic deletion, which was  
239 associated with exacerbated coxsackievirus B3 inflammatory injury of heart tissue (88). A  
240 recent study investigated the role of ONX-0914 in fungal infection and observed increased  
241 susceptibility to systemic candidiasis associated with a possible defect in neutrophil function  
242 (80).

243 Until now, it is unresolved how these changes in immune cell function are mediated.  
244 Immunoproteasome deficiency has been shown to influence the transcriptome of immune  
245 cells, which might be the result of altered substrate turnover in immunoproteasome-deficient  
246 cells (57, 112, 113). One explanation might be that immunoproteasomes increase the general  
247 pool of proteasomal catalytic capacity (50). Immunoproteasomes may also have altered  
248 substrate specificities due to differential association with proteasome regulators as described  
249 for PA28 $\alpha/\beta$  (31). The recently discovered defined interplay of immunoproteasomes with  
250 fundamental cell signaling pathways such as mTOR may also specifically regulate immune  
251 cell responses (121, 124).

252

253 **6. Impact of proteasome dysfunction on immune responses in respiratory diseases**

254 As the lung is directly exposed to the environment, environmental stressors such as pollutants,  
255 pathogens, and oxidants are continuously challenging proteostasis in lungs cells. By now it is  
256 well established that proteasome function is impaired by environmental insults: it has been  
257 demonstrated that pesticides, diesel exhaust, and cigarette smoke decrease proteasome activity  
258 (59, 92, 93, 116), but also drugs such as ethanol have been shown to impair proteasome  
259 function (21, 28, 86). Environmental challenges may affect proteasome function on different  
260 levels as summarized recently (76). First, transcriptional regulation of proteasomes has been  
261 shown to be part of a protective response to oxidative stress (66). In particular, induction of  
262 immunoproteasomes has been suggested to contribute to the degradation of oxidatively  
263 modified proteins *in vitro* and *in vivo* (27, 68, 90). Seifert *et al.* demonstrated that  
264 immunoproteasome-deficient cells needed more time to resolve IFN $\gamma$ -induced oxidatively  
265 modified, *i.e.* carbonylated, proteins (99). However, these results remain controversial (83).  
266 Data from our group refute a protective role of immunoproteasomes in response to cigarette  
267 smoke as alveolar macrophages from COPD patients as well as from smoke-exposed mice  
268 exhibited reduced immunoproteasome levels similar to lung parenchymal cell lines that had  
269 been treated with cigarette smoke extract (52, 53).

270 Second, dynamic changes in the composition of proteasomal complexes in the cell might  
271 serve as a quick means of the cell to cope with environmental stimuli (76). The 26S  
272 proteasome was shown to fall apart in response to oxidative stress (71, 108, 115), whereas  
273 PA28 $\alpha/\beta$  assembled with 20S proteasomes originating from disassembled 26S proteasomes to  
274 protect from oxidative stress (34, 69, 91).

275 Third, proteasome activity might be directly impaired by oxidative insults. We and others  
276 have recently shown that cigarette smoke impairs both standard and immunoproteasome

277 activity *in vitro* and *in vivo* which correlated with elevated levels of oxidative stress (52, 93,  
278 104). In particular, both standard and immunoproteasome activity was clearly impaired in  
279 whole lung homogenates of COPD patients in the absence of transcriptional regulation (52).  
280 In light of the aforementioned role of immunoproteasomes in innate and adaptive immune  
281 responses, environmental impairment of immunoproteasome function but also genetic  
282 variations in immunoproteasome subunits might represent a currently underestimated risk  
283 factor for the development of lung diseases (Figure 3).  
284 Reduced immunoproteasome activity in response to, *e.g.*, cigarette smoke might be harmful  
285 during **pulmonary infection and in acute exacerbations**: intracellular human pathogens are  
286 efficiently cleared via MHC I-dependent CD8<sup>+</sup> T cell responses that kill the infected cell and  
287 prevent further amplification of the pathogen and thus tissue damage. The important  
288 contribution of immunoproteasomes in mounting an effective adaptive anti-viral immune  
289 response has been shown, *e.g.*, for influenza A virus (57, 89, 123). It is well feasible that  
290 immunoproteasome dysfunction in patients with COPD might result in impaired antiviral  
291 immune responses towards influenza A virus infection thereby contributing to disease  
292 exacerbations as previously proposed by us (52).  
293 In a murine model of **asthma**, LMP7-deficient mice showed reduced uptake of ovalbumin  
294 and attenuated ovalbumin-induced asthma while responses to house dust mite were  
295 comparable (114).  
296 A missense SNP in the PSMB8 gene encoding the immunosubunit LMP7 was associated with  
297 an increased risk for the development of pigeon breeder's hypersensitivity pneumonitis, a  
298 form of **extrinsic allergic alveolitis** (20). However, whether missense SNPs in proteasomal  
299 genes result in alterations of protein levels, impaired 20S proteasome assembly, or altered  
300 association of 20S to its regulators, has not been analyzed so far.

301 Immunoproteasome dysfunction in innate immune cells might also increase susceptibility to  
302 diseases associated with type 2 immune responses: increased M2 polarization of alveolar  
303 macrophages could facilitate development of **pulmonary fibrosis** (23). Indeed, alveolar  
304 macrophages of IPF patients exhibited reduced levels of immunoproteasomes (52).

305 In **non-small cell lung cancer (NSCLC)**, immunoproteasome expression was recently found  
306 to be a prognostic factor in lung cancer patients. Low levels of immunoproteasome-expression  
307 were associated with reduced survival and increased recurrence of metastases (107). It is well  
308 known that malignant cells actively suppress immunoproteasome function to evade CD8<sup>+</sup> T  
309 cell surveillance similar to the strategy that is used by several viruses (73). One tactic of  
310 cancer cells is expression of a non-functional transcript variant of the LMP7 protein which is  
311 not incorporated into mature 20S proteasomes. These cells are thus immunoproteasome-  
312 deficient (41). This immunoproteasome repression has been exploited for therapeutic  
313 strategies with autologous dendritic cells of tumor patients that were pulsed with tumor  
314 antigens and siRNA directed against immunoproteasomes to match the peptidome of the  
315 antigen presenting cell with the tumor MHC I peptidome (25, 26).

316

## 317 **7. Therapeutic interventions targeting the immunoproteasome in the lung**

318 The cell-type specific expression of immunoproteasomes in immune cells can be utilized to  
319 specifically target these cells with immunoproteasome-specific inhibitors. Compared to the  
320 FDA-approved proteasome inhibitors such as Bortezomib and Carfilzomib, which do not  
321 discriminate between standard and immunoproteasome, these newly developed inhibitors are  
322 specific for immunoproteasome subunits (61). As mentioned above, they have successfully  
323 been used in several preclinical models of autoimmune diseases to counteract autoimmune  
324 responses in first proof-of-concept studies. The anti-inflammatory effect of  
325 immunoproteasome-specific inhibition might also prove useful in overshooting

326 (auto-)inflammatory pulmonary diseases such as acute respiratory distress syndrome (ARDS)  
327 or sarcoidosis. Chronic treatment, however, should be avoided due to the suspected side  
328 effects of increased susceptibility to virus infections (9) and the potential risk of M2  
329 macrophage-driven fibrotic remodeling (23). For the treatment of lung cancers,  
330 immunoproteasome-specific inhibition could represent an attractive target for combinational  
331 therapy, however, the levels of active immunoproteasomes should be determined first to  
332 maximize the benefit for the patient and to limit side-effects (12, 117),

333

## 334 **8. Conclusion**

335 With the recent success of site-specific inhibitors of the immunoproteasome in preclinical  
336 models of autoimmune diseases exciting new possibilities have arisen that will allow  
337 therapeutic targeting of inappropriate immunoproteasome activity in disease. Moreover, these  
338 inhibitors will foster a deeper understanding of the biological role of immunoproteasomes  
339 such as the identification of immunoproteasome-specific substrates in immune cells to unravel  
340 potential adverse effects on immunoproteasome-specific inhibitors. Furthermore, the  
341 possibility to monitor subunit-specific inhibition of the proteasome with activity-based probes  
342 (19) raises the prospect of monitoring immunoproteasome activity as a biomarker for  
343 susceptibility to infections or cancer prognosis.

344

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762

763

764 **FIGURE LEGENDS**

765 **Figure 1: Variety of proteasome complexes.** The 20S proteasome catalytic core is  
766 composed of four heptameric rings with an  $\alpha_{1-7}\beta_{1-7}\beta_{1-7}\alpha_{1-7}$  symmetry and can exist in several  
767 forms: the 20S standard proteasome incorporates the catalytic subunits  $\beta_1$ ,  $\beta_2$ , and  $\beta_5$  and is  
768 constitutively expressed in every cell. Upon interferon (IFN)  $\gamma$ , tumor necrosis factor (TNF)  $\alpha$   
769 signaling or by numerous other triggers, cells upregulate expression of the three  
770 immunosubunits low molecular mass protein (LMP) 2, multicatalytic endopeptidase complex-  
771 like (MECL) 1, and LMP7 which are incorporated into newly assembled 20S  
772 immunoproteasomes. Mixed-type proteasomes are also possible containing both standard and  
773 immunosubunits. 20S proteasomes are activated by proteasome regulators. Five different  
774 regulators are known that bind to 20S proteasomes and facilitate substrate entry: the multi-  
775 subunit 19S regulator mediates ubiquitin-dependent degradation of substrates and is  
776 dependent on ATP; two heptameric regulators are PA28 $\alpha/\beta$  (IFN $\gamma$ -inducible, proposed role in  
777 antigen presentation) and PA28 $\gamma$  (found only in the nucleus, implicated in cell cycle  
778 regulation); the function of the two monomeric regulators PA200 and PI31 is not well  
779 understood. Regulators can bind to one or two sides of 20S proteasomes and may also form  
780 hybrid proteasomes consisting of the 20S core and two different activators attached to each  
781 side. Depending on the type of 20S proteasome (standard or immuno) preferential association  
782 with regulators has been proposed which is indicated by a different line thickness.  
783 Abbreviations: mTOR = mammalian target of rapamycin; NO = nitric oxide; PA =  
784 proteasome activator; PI = proteasome inhibitor; RA = retinoic acid; TLR = toll-like-receptor  
785 agonist.

786

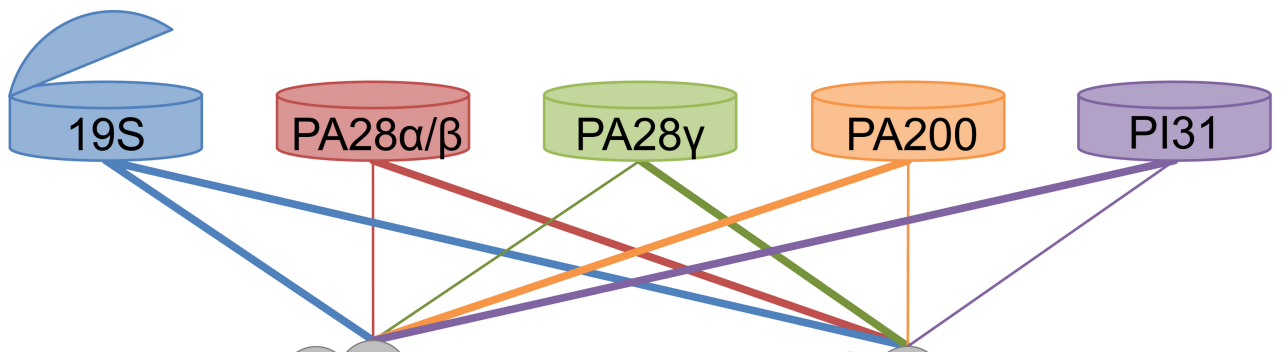
787 **Figure 2: Immunoproteasomes facilitate clearance of respiratory infections.** In the  
788 healthy lung, immunoproteasome (IP) expression is restricted to immune cells such as

789 dendritic cells (DCs) and macrophages (M $\phi$ ). Their basal levels in parenchymal cells (alveolar  
790 epithelial cell type (AT) I and II) are very low. Upon infection and signaling of inflammatory  
791 cytokines, parenchymal cells upregulate immunoproteasomes to efficiently present pathogen  
792 antigens via major histocompatibility (MHC) class I molecules to matching pathogen-specific  
793 CD8<sup>+</sup> T cells resulting in killing of infected parenchymal cells. Thus, pathogen amplification  
794 is restricted and the infection can be cleared rapidly. After resolution of infection,  
795 parenchymal cells gradually replace immunoproteasomes by standard proteasomes. Potential  
796 autoreactive CD8<sup>+</sup> T cells, which might also have been primed against “self”-antigens, are  
797 thus prevented to become activated as the immunoproteasome-dependent MHC I peptide  
798 repertoire is switched back to the standard repertoire. Therefore, immunoproteasomes help to  
799 protect from autoimmunity.

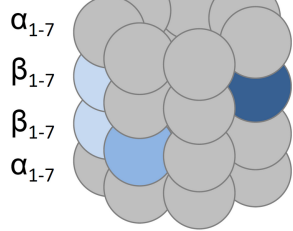
800

801 **Figure 3: Model of how immunoproteasome dysfunction may predispose to chronic lung**  
802 **diseases.** Impaired immunoproteasome function might occur due to genetic variations or to  
803 environmental insults such as cigarette smoke or pollution. Such dysfunction will have  
804 minimal effects in parenchymal cells under non-infectious conditions but may affect immune  
805 surveillance of malignant cells. If immunoproteasomes cannot be induced to sufficient levels  
806 upon infection or are impaired in their activity, different outcomes are conceivable. Dendritic  
807 cells (DCs) with immunoproteasome dysfunction might not prime CD8<sup>+</sup> T cells with the same  
808 efficiency or they might prime an altered set of CD8<sup>+</sup> T cells. These might also include  
809 autoreactive T cells specific for “self”-antigens produced by standard proteasomes that are  
810 also presented by parenchymal cells when infection is eventually resolved thereby promoting  
811 autoimmunity. Reduced immunoproteasome activity in parenchymal cells such as alveolar  
812 epithelial cell type (AT) I and II might lead to delayed resolution of infection, as the infection  
813 status cannot be efficiently communicated to the immune system in the form of CD8<sup>+</sup> T cells.

814 Prolonged infection thus could lead to more severe tissue damage and contribute to  
815 emphysema formation. Alveolar macrophages with immunoproteasome dysfunction have  
816 increased pro-fibrotic M2 polarization capacity and might predispose to tissue remodeling as  
817 observed in asthma and pulmonary fibrosis.

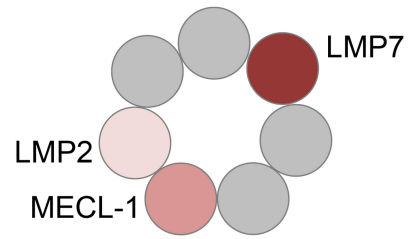
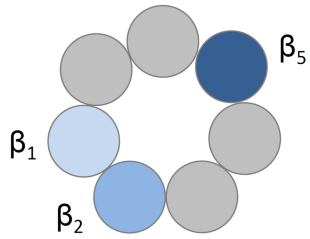
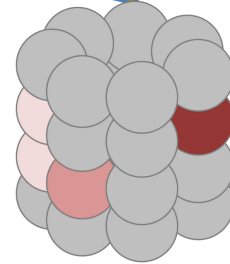


20S standard proteasome



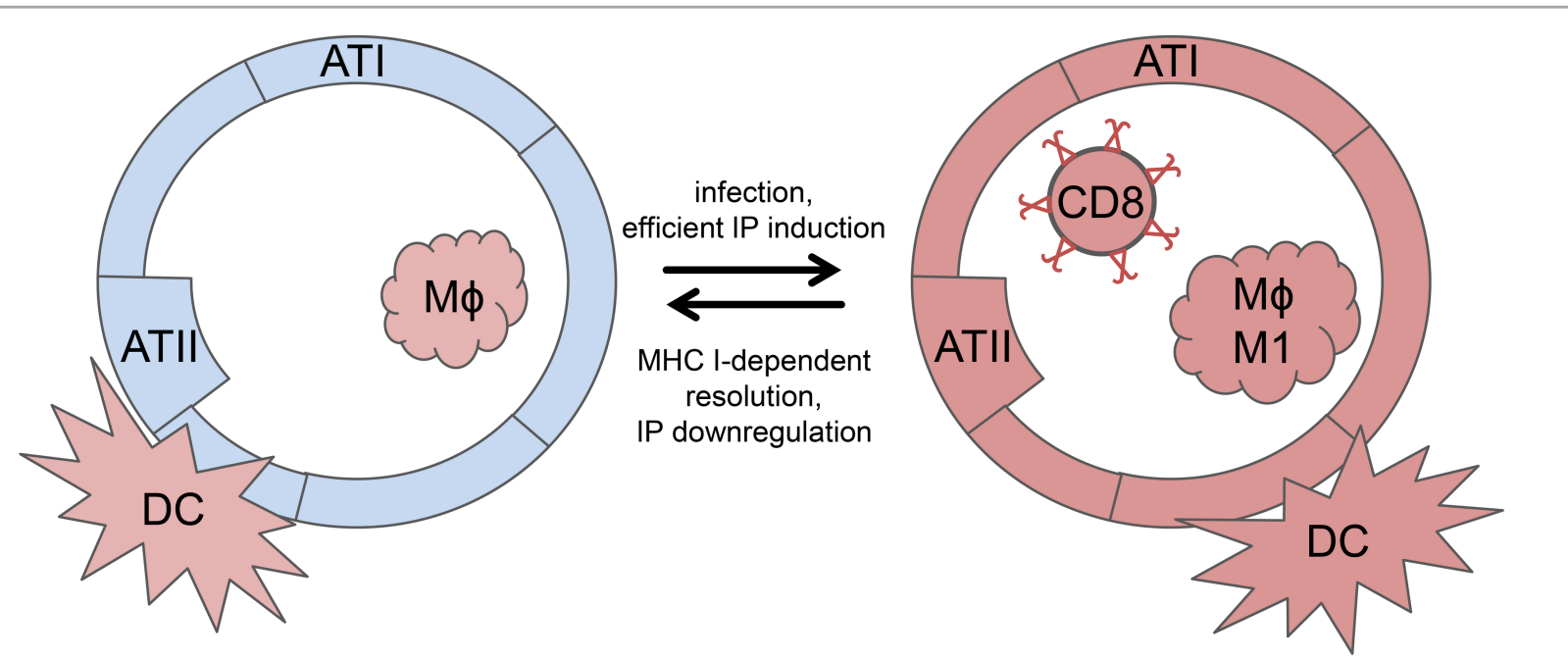
IFN $\gamma$   
TNF $\alpha$   
→  
(cytokines,  
RA, TLR, NO  
mTOR,...)

20S immunoproteasome



Healthy

+ Infection

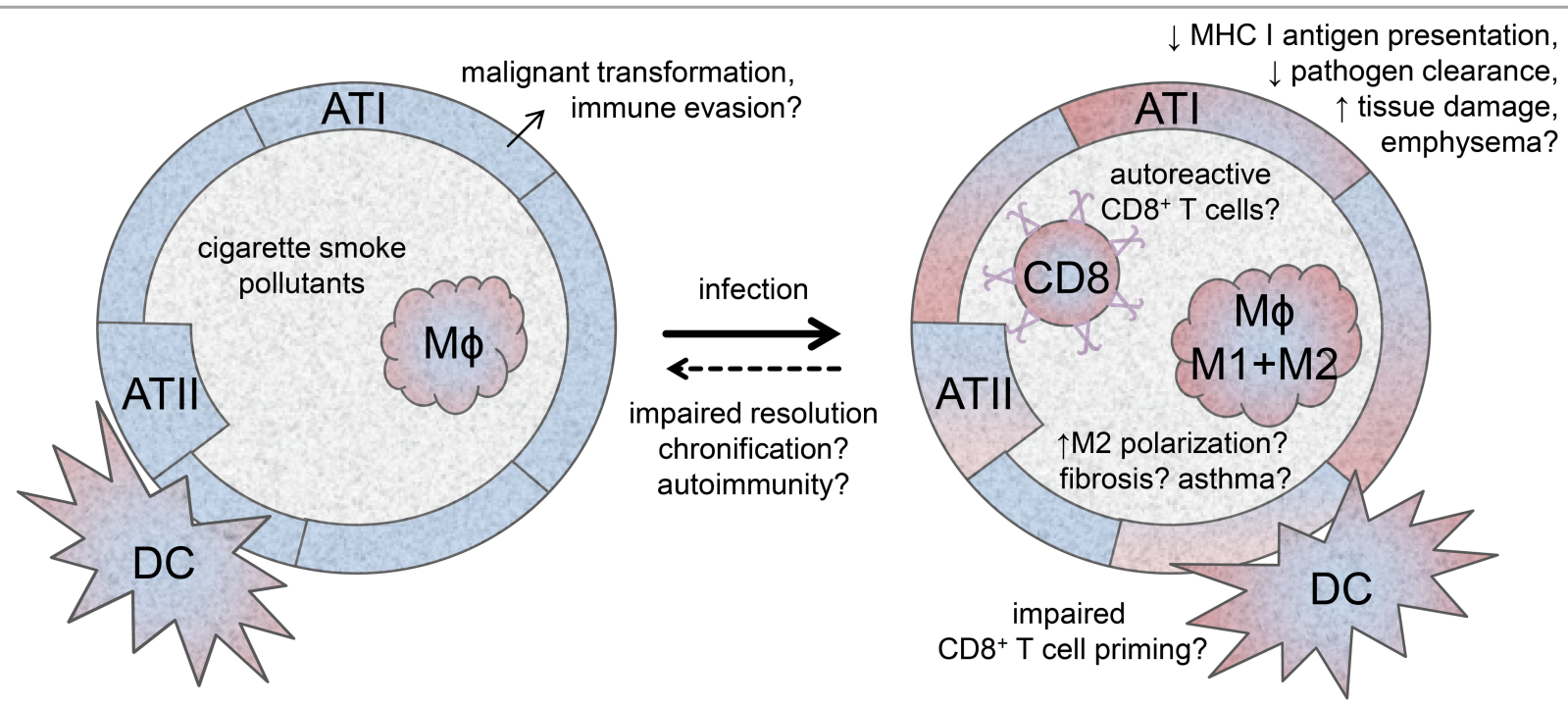


standard proteasome

immunoproteasome

# Immunoproteasome dysfunction

# + Infection



standard proteasome      immunoproteasome