

1 **Cigarette smoke causes acute airways disease and exacerbates chronic obstructive lung disease in**
2 **neonatal mice**

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21 **Running Head:** CS-induced neonatal airways disease

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34 **Abstract**

35 Epidemiological evidence demonstrates a strong link between postnatal cigarette smoke (CS)-exposure
36 and increased respiratory morbidity in young children. However, how CS induces early onset airways
37 disease in young children and how it interacts with endogenous risk factors remains poorly understood.
38 We, therefore exposed 10 day old neonatal wild-type and β ENaC-transgenic mice with cystic fibrosis like
39 lung disease to CS for 4 days. Neonatal wild-type mice exposed to CS demonstrated increased numbers
40 of macrophages and neutrophils in the BALF which was accompanied by increased levels of *Mmp12* and
41 *Cxcl1*. BALF from β ENaC-transgenic mice contained greater numbers of macrophages which did not
42 increase following acute CS-exposure, however there was significant increase in airway neutrophilia
43 compared to filtered air transgenic and CS-exposed wild-type controls. Interestingly, wild-type and
44 β ENaC-transgenic mice demonstrated epithelial airway and vascular remodeling following CS-exposure.
45 Morphometric analysis of lung sections revealed that CS-exposure caused increased mucus
46 accumulation in the airway lumen of neonatal β ENaC-transgenic mice compared to wild-type controls,
47 which was accompanied by an increase in the number of goblet cells and *Muc5ac* upregulation. We
48 conclude that short-term CS exposure i) induces acute airways disease with airway epithelial and
49 vascular remodeling in neonatal wild-type mice; and ii) exacerbates airway inflammation, mucus
50 hypersecretion and mucus plugging in neonatal β ENaC-transgenic mice with chronic lung disease. Our
51 results in neonatal mice suggest that young children may be highly susceptible to develop airways
52 disease in response to tobacco smoke exposure and that adverse effects may be aggravated in children
53 with underlying chronic lung diseases.

54 **Key words:** airways disease, newborn, cigarette smoke, inflammation, mucus

55

56 **Introduction**

57 Chronic airways diseases including recurrent wheezing, chronic bronchitis and asthma constitute a
58 major cause of morbidity in young children and evidence from epidemiologic studies identified tobacco
59 smoke exposure as an important risk factor for the development of chronic airways disease in early
60 childhood (9, 29, 37). However, current knowledge on the *in vivo* pathogenesis of airways disease
61 induced by environmental tobacco smoke in young children, and how tobacco smoke exposure interacts
62 with endogenous risk factors and underlying chronic lung disease remains poorly understood.

63 In adult smokers, chronic tobacco smoke exposure is a key risk factor of chronic bronchitis associated
64 with influx of macrophages and neutrophils into the airways, goblet cell metaplasia and mucus
65 hypersecretion and structural lung damage (14, 16, 20, 31, 32), and these pathologies are at least in part
66 recapitulated by chronic cigarette smoke (CS) exposure in adult mice (3, 5, 13, 18, 36). In comparison,
67 studies on the effect of CS in children, or short-term CS exposure in neonatal mice more closely
68 reflecting environmental exposure in young children remain limited. In children who died of sudden
69 infant death syndrome, it was found that maternal smoking is associated with airway wall thickening (8)
70 and a study of newborn mice exposed to two weeks of CS straight after birth reported an increase in the
71 presence of alveolar macrophages, albeit to a lesser extent than in adult mice (27). However, the
72 consequences of short-term CS exposure with regard to airway inflammation, mucus hypersecretion and
73 tissue remodeling in neonates *in vivo*, remains to be fully elucidated.

74 The aim of this study was, therefore, to determine the *in vivo* effects of acute CS exposure on airway
75 inflammation and lung morphology in neonatal wild-type mice as a model of parental CS exposure of
76 healthy infants. Second, we used the β ENaC-transgenic mouse as an established model of cystic fibrosis
77 (CF) lung disease to assess the impact of CS exposure in the context of chronic underlying lung disease.

78 These mice over express the β -subunit of the epithelial sodium ion channel (β ENaC), a key protein in
79 regulating airway surface liquid (26), along with chloride ion channels (33), under the control of the club
80 cell secretary protein promoter, causing enhanced airway Na^+ absorption which results in airway surface
81 dehydration and reduced mucus clearance. The mice develop spontaneous early onset lung disease that
82 shares key features with CF in children including airway mucus plugging, chronic airway inflammation
83 and structural lung damage (23, 25). Specifically, we hypothesized i) that neonatal wild-type mice are
84 susceptible to develop airways disease even after short-term CS exposure; and ii) that adverse effects of
85 CS are enhanced in β ENaC-transgenic mice with obstructive lung disease. To test these hypotheses, we
86 exposed neonatal wild-type and β ENaC-transgenic mice to CS for 4 days and compared indices of airway
87 inflammation, epithelial, vascular and alveolar remodeling and airway mucus obstruction after this
88 short-term CS exposure. Using this *in vivo* model of postnatal CS exposure, we demonstrate that tobacco
89 smoke produces acute airways disease in neonatal mice and that adverse effects are enhanced in
90 concurrent chronic obstructive lung disease. These results provide novel insights into the link between
91 tobacco smoke exposure and increased respiratory morbidity early in life and are consistent with its
92 adverse effects on lung health in young children.

93

94

95 **Materials and Methods**

96 *Experimental animals*

97 β ENaC-transgenic mice on a C57BL/6 background (17, 23), were bred in-house with C57BL/6NCrl mice
98 (Charles River Laboratories, Sulzfeld, Germany). Mice were housed under specific pathogen free
99 conditions at a constant temperature and humidity with a 12-hour light cycle and allowed food and
100 water *ad libitum*. All animal experiments were performed according to strict governmental and
101 international guidelines and were approved by the local government for the administrative region of
102 Upper Bavaria.

103

104 *Cigarette smoke exposure*

105 10 day old β ENaC-transgenic mice and their wild-type littermate controls (males and females) were
106 whole body exposed to 100% mainstream CS at 500 mg/m³ total particulate matter generated from
107 3R4F Research Cigarettes (filter removed, Tobacco Research Institute, University of Kentucky, Lexington,
108 KY), for 50 min twice per day for 4 days. Pups were separated from their mothers during the smoking
109 period. In brief, to mimic natural human smoking habits, CS was generated with 2 seconds of puff and 4
110 seconds of break by a membrane pump and drawn into the exposure chamber (19). FA- exposed animals
111 were used as controls. Mice were sacrificed the day after final smoking exposure (age 15 days),
112 following the assessment of lung function, by terminal exsanguination of the anaesthetized mice.

113

114 *Lung function measurement*

115 Mice were anaesthetized with ketamine and xylazine, tracheostomized and their pulmonary function
116 analysed using the flexiVent system (Scireq, Montreal, Canada). A mean lung volume similar to that of
117 spontaneous breathing mice was obtained by ventilating with a tidal volume of 10ml/kg at a frequency
118 of 150 breaths/min. Lung mechanical properties were tested using the SnapShot perturbation. Four
119 readings per mice were taken and meaned.

120

121 *Bronchoalveolar lavage fluid (BALF) differential cell counting*

122 Lungs were lavaged with 3x500 µl of sterile PBS (Gibco, Life Technologies, Darmstadt, Germany). Cells
123 were pelleted at 400g and resuspended in RPMI-1640 medium (Gibco, Life Technologies, Darmstadt,
124 Germany) for the total cell count using a haemocytometer. Cytospins of the cell suspension were then
125 prepared and stained using May-Grünwald-Giemsa for differential cell counting (200 cells/sample) using
126 morphological criteria.

127

128 *Lung tissue processing*

129 Right lungs were snap frozen in liquid nitrogen, homogenized and total RNA isolated (peqGOLD Total
130 RNA Kit, Peqlab, Erlangen, Germany) for gene expression analysis. The left lungs were fixed at a constant
131 pressure (20 cm fluid column) by instilling intratracheally PBS buffered 6% paraformaldehyde, and then
132 embedded into paraffin for immunohistochemistry and histological analysis with Hematoxylin and Eosin
133 (H&E) and Periodic Acid-Schiff (PAS) staining. For studies of airway mucus, mouse lungs were immersion
134 fixed without prior BAL. For PAS staining, lung sections were taken at the level of the proximal intra-
135 pulmonary main axial airway near the hilus with a thickness of 3 µm, as described previously (25).

136

137 *Quantitative real time RT-PCR*

138 Mouse cDNA was synthesized using Random Hexamers and Reverse Transcriptase (Applied Biosystems,
139 Darmstadt, Germany) from right lung isolated total RNA. Mouse *Muc5ac* (Forward 5'-
140 ATCGAGAGGAGCGTTGACAC-3', Reverse 5'-ATGCAGCCTTGCTTGAGG-3'), *Muc5b* (Forward 5'-
141 AGAAACTGGAGCTGGGCTCT-3', Reverse 5'-TGACTGTCTCCGGTGAGTTCT-3'), *Cxcl1* (Forward 5'-
142 CCGAAGTCATAGCCACAC-3', Reverse 5'-GTGCCATCAGAGCAGTCT-3') and *Mmp12* (Forward 5'-
143 TGTACCCACCTACAGATACCTTA-3', Reverse 5'-CCATAGAGGGACTGAATGTTACGT-3') gene expression
144 levels were analysed using Platinum SYBR Green qPCR SuperMix (Applied Biosystems) on a StepOnePlus
145 96 well Real-Time-PCR system (Applied Biosystems, Carlsbad, CA), and calculated relative to the
146 housekeeping gene *Hprt1* (Forward 5'-AGCTACTGTAATGATCAGTCAACG-3', Reverse 5'-
147 AGAGGTCCTTTTCACCAGCA-3'). Relative expression is defined as $2^{-\Delta Ct}$ where $\Delta Ct = Ct_{\text{target}} - Ct_{\text{housekeeping}}$.

148

149 *Immunohistochemistry*

150 Deparaffinized and rehydrated lung sections were blocked for endogenous peroxidase activity with 1.8%
151 (v/v) H₂O₂ solution (Sigma-Aldrich, St. Louis, MO). Epitope retrieval was undertaken using heated HIER
152 citrate buffer (pH 6.0, ZYTOMED Systems GmbH, Berlin, Germany) in a decloaking chamber (Biocare
153 Medical, Concord, CA). Sections were then blocked using Rodent Blocking Buffer (Biocare Medical),
154 before being incubated at 4°C overnight with primary antibodies against Mmp12 (1:200, ab66157,
155 Abcam, Cambridge, UK), Gr1 (1:50, ab2557, Abcam) or Galectin-3 (1:100, sc-20157, Santa Cruz
156 Biotechnology Inc., Dallas, Texas). This was followed by a 1hr incubation at room temperature with an
157 alkaline phosphatase-labeled secondary antibody (Biocare Medical) and then signal amplification with

158 the chromogen substrate Vulcan fast red (Biocare Medical). Sections were counterstained with
159 hematoxylin (Sigma-Aldrich), dehydrated in xylene and mounted with Entellan (Merck Millipore,
160 Billerica, MA).

161

162 *Quantitative morphometry*

163 Stained tissue sections were analysed with design-based stereology using an Olympus BX51 light
164 microscope equipped with the new Computer Assisted Stereological Toolbox (newCAST, Visiopharm,
165 Hoersholm, Denmark) as described previously (18, 28). Lung airspace enlargement was analysed by
166 quantifying the mean linear intercept (MLI) of the H&E stained lung sections. Briefly, 30 random fields of
167 view per lung were superimposed with points and a line grid. The points hitting air space (P_{air}) and
168 intercepts of lines with alveolar septa (I_{septa}) were counted to calculate the MLI, using $MLI = \sum P_{\text{air}} \times L(p) /$
169 $\sum I_{\text{septa}} \times 0.5$, where $L(p)$ is the line length per point.

170 For quantitative assessment of airway mucus accumulation, the PAS-stained lung sections were
171 analysed using a volume counting toolbox across 100 random fields of view per lung. The volume of
172 airway mucus (V_{mucus}) was calculated as the number of points hitting on positively stained
173 mucosubstances (P_{mucus}) normalised to the number of line intercepts with airway basement membrane
174 (I_{airway}), using the formula $V_{\text{mucus}} = \sum P_{\text{mucus}} \times 0.5 \times L(p) / \sum I_{\text{airway}}$.

175 To calculate the percentage of goblet cells a frame grid was superimposed on lung section images across
176 100 fields of view per lung. The percentage of points hitting on PAS positively stained airway epithelial
177 cells compared to the points hitting on all airway epithelial cells were calculated.

178 The thickness of the airway epithelium was calculated as the number of points hitting epithelial cells
179 ($P_{\text{epithelium}}$) normalised to the number of line intercepts with airway basement membrane (I_{airway}), using
180 the formula $V_{\text{epithelium}} = \sum P_{\text{epithelium}} \times 0.5 / \sum I_{\text{airway}}$.

181

182 *Muscularization of pulmonary arterial vessels*

183 The muscularization of pulmonary arterial vessels was determined as previously described (34) from
184 lung paraffin sections. The sections were stained with a 1:900 diluted α -smooth muscle actin antibody
185 (clone 1A4, Sigma-Aldrich, Munich, Germany) to visualize the muscle layer and a 1:900 dilution of the
186 anti-human von Willebrand-factor antibody to allow identification of vessels (Dako, Hamburg,
187 Germany). The degree of muscularization of small vessels (20–70 μm) was microscopically determined
188 by vascular morphometry using the Qwin software (Leica, Wetzlar, Germany) and expressed as averaged
189 % of the vessel circumference being α -smooth muscle actin positive. 85 vessels were analysed from each
190 lung lobe in a randomized and blinded fashion.

191

192 *Statistical analysis*

193 Data were analysed with GraphPad Prism 6 software (GraphPad software, La Jolla, CA) and presented as
194 mean values \pm s.e.m.. Groups of $n = 12$ -16 mice were exposed to CS or FA, with the specific numbers
195 used for each analysis given in the appropriate figure legend. Statistical analyses were performed using a
196 two-tailed unpaired t -test or one-way ANOVA following Bonferroni post testing as indicated. $P < 0.05$
197 was taken to indicate statistical significance.

198

199 **Results**

200 **CS exposure causes acute airway inflammation in neonatal wild-type mice and exacerbates**
201 **inflammation in β ENaC-transgenic mice**

202 To determine if acute CS exposure causes airway inflammation we measured the number of
203 inflammatory cells in the BALF of neonatal (15 day old) wild-type and β ENaC-transgenic mice after 4
204 days of exposure to CS or filtered air (FA). There was a clear increase in the total cell count in BALF of
205 wild-type mice following acute CS exposure (Fig. 1A) which was predominantly due to an increase in
206 macrophage and neutrophil numbers (Fig. 1B, C). β ENaC-transgenic mice had a higher inflammatory
207 total cell count in the BALF compared to wild-type controls after exposure to FA (Fig. 1A). This was
208 largely composed of macrophages (Fig. 1B), with a trend towards increased neutrophils compared to FA
209 wild-type controls (Fig. 1C), but this did not reach statistical significance. Following acute exposure to CS
210 there was no change in the number of macrophages present in the BALF of β ENaC-transgenic mice and
211 this was similar to the number observed in CS-exposed wild-type mice (Fig. 1B). There was however, a
212 strong increase in the number of neutrophils detected in the BALF of β ENaC-transgenic mice following
213 CS exposure, which was significantly increased compared to CS-exposed wild-type mice (Fig. 1C).

214 For further characterization of the inflammation triggered by acute CS exposure, we undertook
215 immunohistochemistry using anti-Galectin-3 antibody for macrophages and anti-Gr1 antibody for
216 neutrophils on lung sections from mice that had not undergone the BALF procedure. Fig. 1D clearly
217 shows that there is an increase in the number of septal tissue macrophages present in the lungs of wild-
218 type mice following exposure to CS. Furthermore, more macrophages are detectable in the lungs of
219 β ENaC-transgenic mice exposed to FA than their wild-type counter parts, and that these do not
220 significantly increase in number following exposure to CS (Fig. 1D). Fig. 1E demonstrates that no

221 neutrophils are detectable in the lungs of wild-type mice exposed to FA, but a very small number can be
222 seen associated with the airway following acute CS exposure. FA-exposed β ENaC-transgenic mice
223 showed Gr1 positive cells mainly in the airway lumen that increased in number following acute CS
224 exposure (Fig. 1E).

225 Next, we determined transcript levels of the neutrophil chemoattractant *Cxcl1* (KC) and *Mmp12* as a
226 marker of activated macrophages. Wild-type mice exposed to acute CS exhibited higher mRNA levels for
227 *Cxcl1* (KC) and *Mmp12* in total lung homogenate compared to FA controls (Fig. 2A, B). Concomitant with
228 the increased airway neutrophil number observed in β ENaC-transgenic mice (Fig. 1D), FA-exposed
229 β ENaC-transgenic mice demonstrated higher mRNA levels for *Cxcl1* than their wild-type counterparts
230 (Fig. 2A), which increased further following CS exposure. *Mmp12* mRNA levels were also greater in the
231 lungs of FA-exposed β ENaC-transgenic mice compared to wild-type FA controls (Fig. 2B), which
232 increased further following CS exposure and was significantly greater than that exhibited in wild-type
233 CS-exposed mice (Fig. 2B). The changes in *Mmp12* gene expression were also confirmed at the protein
234 level by immunohistochemistry on lung tissue sections. Fig. 2C demonstrates that airway epithelial cells
235 were positively stained for Mmp12 in wild-type animals only following acute CS, whereas positively
236 stained airway epithelial cells could also be detected in the FA-exposed β ENaC-transgenic mice, which
237 was further enhanced upon CS exposure. Mmp12 positive macrophages, surprisingly, could not be
238 detected in the lungs of wild-type mice even after CS exposure, whereas a large number of Mmp12
239 positively stained macrophages could be observed in the lungs of the β ENaC-transgenic mice following
240 acute CS exposure, with a large number accumulating in the airways (Fig. 2C). Interestingly, albeit to a
241 lesser extent, Mmp12 positively stained macrophages could also be detected in the airways of FA-
242 exposed β ENaC-transgenic mice (Fig. 2C). Taken together, this data supports that short-term CS induces

243 a robust inflammatory response in neonatal mice that is exacerbated in predisposed β ENaC-transgenic
244 mice.

245

246 **Acute CS exposure causes remodeling of the airway epithelium and pulmonary vessels**

247 We next investigated whether acute CS exposure had effects on the morphology of the airway
248 epithelium and pulmonary vasculature. Exposure of neonatal (10 day old) wild-type mice to 4 days of CS
249 increased the thickness of the airway epithelium compared to FA controls (Fig. 3A). This was confirmed
250 by analysis of morphological quantification using the newCAST system (Fig. 3B). The airway epithelium
251 of neonatal β ENaC-transgenic mice after exposure to FA did not differ to that of wild-type FA controls
252 (Fig. 3A, B). After exposure to CS the β ENaC-transgenic mice also appeared to have thicker airway
253 epithelium compared to FA-exposed controls (Fig. 3A), but this did not reach statistical significance (Fig.
254 3B).

255 In addition to acute effects on the airway epithelium, we found that short term CS exposure of neonatal
256 wild-type mice had a significant effect on pulmonary vessels. Specifically, we observed a pronounced
257 increase in the muscularization of small pulmonary vessels (20-70 μ m in diameter) compared to FA
258 controls (Fig. 4A, B). Similar to their wild-type counterparts, β ENaC-transgenic mice exposed only to FA,
259 demonstrated a low level of small vessel muscularization, which increased significantly following
260 exposure to acute CS (Fig. 4A, B). There was no difference in the level of small vessel muscularization
261 between the wild-type and β ENaC-transgenic mice following CS exposure (Fig. 4B). These results
262 demonstrate that even a short CS exposure in neonatal mice is sufficient to trigger remodeling of the
263 airway epithelium and pulmonary vessels.

264

265 **Airspace size and lung function was not altered by acute CS exposure**

266 We next investigated effects of short-term CS exposure on lung function and distal airspace morphology.
267 As shown in Fig. 5 acute exposure to CS had no effect on alveolar morphology (Fig. 5A), mean linear
268 intercept (Fig. 5B) or lung function parameters including dynamic compliance, elastance or resistance of
269 the lung (Fig. 5C-E). As expected from previous studies (25, 42) FA-exposed β ENaC-transgenic mice
270 showed emphysema-like distal airspace enlargement (Fig. 5A), which was confirmed by quantitative
271 morphometry (MLI of $24.60 \pm 1.92 \mu\text{m}$ in β ENaC-transgenic vs $15.55 \pm 0.94 \mu\text{m}$ in wild-type, $P < 0.01$, Fig.
272 5B). There was however no further enlargement of the airspaces following acute CS exposure in the
273 β ENaC-transgenic mice (Fig. 5A, B). In line with this we did not detect changes in the level of cell death,
274 however a slight reduction in cell proliferation was observed following exposure of either wild-type or
275 β ENaC-transgenic mice to CS in lung sections stained by immunohistochemistry for cleaved (active)-
276 caspase 3 and Ki67, respectively (data not show). Consistent with the spontaneous emphysema
277 observed in the FA-exposed β ENaC-transgenic mice, these animals also displayed impaired lung function
278 with increased dynamic compliance and reduced total lung resistance compared to the wild-type
279 controls (Fig. 5C-E). Exposure of the β ENaC-transgenic mice to acute CS for 4 days did not alter the lung
280 function further (Fig. 5C-E) indicating that despite remodeling of airway and pulmonary vessels the
281 spontaneous emphysema of the β ENaC-transgenic mice is not aggravated by acute CS exposure.

282

283 **Acute CS exposure induces goblet cell metaplasia and increased mucin expression in β ENaC-transgenic**
284 **mice**

285 Finally, we studied effects of acute CS exposure on goblet cell numbers, mucin expression and
286 intraluminal mucus content in neonatal wild-type and β ENaC-transgenic mice. Consistent with previous

287 studies (25), PAS staining of lung sections revealed mucus accumulation in the airways of 15 day old
288 β ENaC-transgenic mice that was not detectable in wild-type controls (Fig. 6A). Acute CS exposure, did
289 not induce mucus accumulation in the airways of wild-type mice, but significantly increased the density
290 and volume of the accumulated mucus in β ENaC-transgenic mice compared to CS-exposed wild type
291 animals, as determined by morphometric analysis using the newCAST system (Fig. 6B). In addition, we
292 observed metaplasia of airway goblet cells in the β ENaC-transgenic but not in wild-type mice post CS
293 exposure (Fig. 6C and D). This was quantified (Fig. 6D) as the percentage of PAS positive airway epithelial
294 cells, with the CS exposed β ENaC-transgenic mice demonstrating $43.12 \pm 2.98\%$ goblet cells compared to
295 $6.74 \pm 2.51\%$ ($P < 0.001$) in wild-type mice exposed to CS and $18.77 \pm 6.32\%$ ($P < 0.01$) goblet cells in
296 β ENaC-transgenic mice exposed to FA. Similar, *Muc5ac* and *Muc5b* gene expression in total lung
297 homogenate were only increased in the β ENaC-transgenic mice exposed to CS (Fig. 6E). Taken together
298 this data suggests that acute CS exposure triggers increased mucus production in β ENaC-transgenic
299 mice.

300

301 **Discussion**

302 In this study, we have established a new mouse model of postnatal CS exposure and CS-induced
303 exacerbation of CF-like chronic obstructive lung disease in neonatal mice. We demonstrate that
304 exposure of neonatal (10 day old) wild-type mice to 4 days of acute CS resulted in a macrophage and
305 neutrophil predominant inflammation of the airways. Further, we show for the first time in young mice
306 that the inflammation triggered by such a short exposure period to CS, is accompanied by airway
307 epithelial thickening and pulmonary vessel remodeling. Then using neonatal β ENaC-transgenic mice as
308 an established model of CF-like lung disease featuring spontaneous mucus hypersecretion, airway
309 mucus plugging and inflammation in the first week of life, we demonstrate that short-term CS
310 exacerbated the chronic bronchitis phenotype in this model. These results are consistent with the
311 clinical observation and epidemiologic data suggesting that environmental tobacco smoke exposure is a
312 major risk factor for the development of airways disease in children (9, 29, 37).

313 It is widely appreciated that exposure of adult mice to acute CS results in a strong inflammatory
314 response driven by macrophages and neutrophils (6, 11, 19). A study of newborn mice exposed to two
315 weeks of CS straight after birth also reported an increase in the presence of alveolar macrophages (27).
316 Here we confirmed (Fig. 1, 2) that acute CS-exposed neonatal wild-type mice also demonstrate a strong
317 increase in BALF macrophage numbers, which was accompanied by increased *Mmp12* mRNA expression
318 in total lung homogenates and positively stained airway epithelial cells in immunohistochemically
319 stained sections. Aside from *Mmp12* production by alveolar macrophages following short-term exposure
320 to CS in mice (7), CS extract has been shown to upregulate MMP12 in human airway-like epithelial cells
321 (21). Interestingly, the β ENaC-transgenic mice exposed only to FA have increased macrophage numbers
322 in the BALF compared to wild-type controls, accompanied by higher levels of total lung *Mmp12* gene
323 expression and *Mmp12* positive airway macrophages and epithelial cells (Fig. 1, 2). These data confirm

324 that impaired mucociliary clearance and mucus stasis triggered by airway surface dehydration in β ENaC-
325 transgenic mice, already triggers a strong inflammatory response at neonatal ages (40). This response is
326 then enhanced following short-term exposure to CS, suggesting that young children with CF or other
327 underlying chronic obstructive lung disease may require little CS exposure to exacerbate their condition.

328 A significant finding from our study is that in both wild-type and β ENaC-transgenic mice we observe that
329 an acute exposure to CS at neonatal ages results in thickening of the airway epithelium (Fig. 3). It has
330 been previously reported that exposure of Swiss albino mice or H mice from birth for 120 days to CS
331 resulted in pronounced hyperplasia of the bronchial epithelium (1, 2), but these are tumor prone mice
332 exposed chronically. Meanwhile, exposure to CS for 10 days across a two week period in adult Balb/c
333 mice induced airway epithelial hyperplasia and mucous cell metaplasia that was inhibited by blockade of
334 DP2, a prostaglandin D₂ receptor (38). Of note, CS-induced airway epithelial thickening occurred to a
335 similar extent in both wild-type and β ENaC-transgenic mice suggesting that direct effects of CS rather
336 than chronic inflammation is the driving force behind airway epithelial cell hyperplasia. Given the
337 relatively small diameter of airways in infants, this mucosal thickening may contribute to airflow
338 obstruction and recurrent wheezing in young children exposed to CS.

339 We also demonstrate that exposure to acute CS in newborn mice results in pronounced increases in
340 muscularization of the small pulmonary vessels (20-70 μ m in diameter), in both wild-type and β ENaC-
341 transgenic mice (Fig. 4). It has been previously shown in adult rats that short-term exposure to CS
342 induced pulmonary vascular remodeling (45), but most studies have focused on the effect of chronic CS
343 exposure (34, 43, 44). Pulmonary vascular remodeling is prevalent amongst patients with CS-induced
344 COPD (30) and has been shown to contribute to emphysema development in chronic CS-exposed mice
345 (34). Acute CS exposure does not lead to emphysema development, however β ENaC-transgenic mice
346 spontaneously develop emphysema-like changes, but we show that this is independent of pulmonary

347 vascular remodeling. Nevertheless, that short-term CS exposure can lead to pulmonary vascular
348 remodeling in the newborn should be carefully considered as a potential contributing factor to
349 respiratory disease and pulmonary hypertension later in life.

350 A strength with our model is the accumulation of mucus in the airways of β ENaC-transgenic mice (Fig.
351 6). The pathophysiology of chronic bronchitis in patients and the associated increase in airway
352 infections, is closely related to intraluminal obstruction of the airways caused by mucus adhesion,
353 mucus plaques and in severe cases mucus plugging (4, 24). A weakness with existing models of CS
354 exposure in mice is that wild-type mice exposed to CS, even chronically out to 6 months, do not
355 demonstrate evidence of mucus accumulation (12, 18). This is confirmed here in neonatal wild-type
356 mice, despite a strong inflammatory response and airway epithelial remodeling following acute CS
357 exposure, we see no evidence of goblet cell metaplasia or changes to *Muc5ac* or *Muc5b* gene
358 expression, major secreted mucins (39). Interestingly, in the neonatal β ENaC-transgenic mice with
359 underlying chronic obstructive airways disease, acute CS smoke exposure resulted in goblet cell
360 metaplasia and increased expression of *Muc5ac* and *Muc5b*. We speculate that reduced mucociliary
361 clearance in β ENaC-transgenic mice may cause impaired clearance of CS, which may lead to the more
362 severe neutrophilia producing higher levels of neutrophil elastase acting as a potent stimulus of goblet
363 cell metaplasia in the airways (10, 41). Interestingly, in a recent study Seys et al. (35) exposed adult
364 β ENaC-transgenic mice to CS for up to 8 weeks. They confirmed that adult β ENaC-transgenic mice have
365 increased goblet cells and mucus production compared to wild-type controls, but unlike our observation
366 with newborn mice, adult β ENaC-transgenic mice did not exhibit goblet cell metaplasia after CS
367 exposure. The likely reason for this is the inherent difference in the number of goblet cells in the airways
368 between young and adult mice (22). Taken together this data suggests that young children with CF and
369 other chronic airways diseases may be more susceptible to CS exposure.

370 β ENaC-transgenic mice also demonstrate emphysema-like structural lung damage (10, 25, 40), which we
371 confirmed by lung function analysis and quantification of MLI on H&E stained lung sections to be
372 present in our 15 day old transgenic mice (Fig. 5). Interestingly, crossing the β ENaC-transgenic mice onto
373 a neutrophil elastase or Mmp12 deficient background reduced inflammation and emphysema in these
374 mice (10, 40). Despite an increase in inflammation and Mmp12 upregulation in the lungs of β ENaC-
375 transgenic mice following exposure to acute CS, we do not see any deterioration in lung function or
376 airspace enlargement. This is not surprising as we have previously shown that in wild-type mice we
377 require 4 months of CS exposure to induce emphysematous changes to the lungs (18). However, the CS-
378 exposed β ENaC-transgenic mice demonstrated both increased mucus production and epithelial
379 thickening of the airways expected to cause increased airway resistance and airflow limitation due to
380 airway obstruction. We speculate that increased airway resistance was not detected in our lung function
381 measurements, because the FlexiVent uses a one compartment model integrating the resistances of the
382 conducting airways and distal airspaces in the lung parenchyma, where the reduction in resistance
383 caused by the emphysema present in the β ENaC-transgenic mice most likely overrides any changes due
384 to airway obstruction. One would hypothesize that emphysema progression would be accelerated in the
385 β ENaC-transgenic mice following a longer exposure to CS, and that we only assessed acute CS exposure
386 is a limitation of this current study. Although we saw no changes to airspace enlargement following
387 acute exposure to CS in the neonatal mice, it is important to again highlight that we did observe airway
388 remodeling occurring in these young animals after CS exposure (Fig. 3). Hogg et al. (15) proposes that
389 emphysema development stems from an initial lesion in the small airways, we should therefore consider
390 that these early pathological changes may sow the seed for chronic obstructive lung disease later in life.
391 Interestingly, in the study by McGrath-Morrow et al. (27), they following up mice at 8 weeks of age that
392 received two weeks of CS from birth, and reported reduced alveolar number and an increase in MLI size
393 compared to 8 week old mice that did not receive the CS exposure at birth.

394 In summary, we established a novel neonatal murine model to assess *in vivo* effects of postnatal CS
395 exposure in wild-type and β ENaC-transgenic mice with CF-like obstructive lung disease. In this model,
396 we demonstrate for the first time that short-term CS exposure is sufficient to induce acute airways
397 disease characterized by neutrophilic airway inflammation, mucosal thickening and vascular remodeling
398 in neonatal wild-type mice. Further, we show that CS exacerbates airway inflammation and mucus
399 hypersecretion in neonatal β ENaC-transgenic mice with chronic CF-like lung disease. These results
400 provide novel insights into the link between CS exposure and increased respiratory morbidity during
401 early life and demonstrate that even short-term CS exposure has substantial adverse effects on lung
402 health and exacerbates underlying chronic lung disease such as CF *in vivo*. We expect that this novel
403 model will be useful for studies of therapeutic intervention, as well as further elucidation of the factors
404 that determine resolution versus chronicity of CS-induced respiratory pathology and thus define the
405 development of disease phenotypes such as recurrent wheezing, chronic bronchitis and asthma that are
406 more common in infants and young children who are exposed to parental tobacco smoke.

407

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410

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414

415 **Disclosures**

416 MAM is listed on a patent application filed by the University of North Carolina, describing the β ENaC-

417 overexpressing mouse (patent number: 7514593; filing date: May 2003). Of note, the β ENaC-

418 overexpressing mouse has been deposited at the Jackson Laboratory.

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564

565 **Figure captions**

566 **Fig. 1**

567 **Acute CS exposure induces airway inflammation in neonatal wild-type mice and exacerbates airway**
568 **neutrophilia in β ENaC-transgenic mice.** BALF was obtained from 15 day old β ENaC-transgenic mice and
569 wild-type littermate controls exposed to CS or FA for 4 days, and the total cell count (A), macrophage
570 count (B) and neutrophil count (C) determined. Mean values \pm s.e.m. are given. 7 mice per FA group and
571 11 mice per CS group from wild-type and β ENaC-transgenic mice. * P < 0.05, ** P < 0.01, *** P < 0.001
572 following a two-tailed unpaired t -test. (D) Representative photomicrographs of Galectin-3 and (E) Gr1
573 immunohistochemically stained lung sections from 5 mice per group, which had not undergone BALF
574 collection. Positively stained cells in red: black arrow head indicates macrophages (D) and neutrophils
575 (E). Insert is a higher power magnification of the dashed area. Scale bar: 100 μ m.

576

577 **Fig. 2**

578 **Acute CS exposure induces airway inflammation in neonatal wild-type mice and exacerbates**
579 **constitutive inflammatory responses in β ENaC-transgenic mice.** The levels of *Cxcl1* (A) and *Mmp12* (B)
580 gene expression in total lung homogenate relative to *Hprt1* was determined by qPCR (n=5 and 8 mice,
581 for FA and CS groups respectively). Mean values \pm s.e.m. are given. * P < 0.05, ** P < 0.01, *** P < 0.001
582 following one-way ANOVA with Bonferroni post testing. # P < 0.05, ## P < 0.01, ### P < 0.001 following a
583 two-tailed unpaired t -test. (C) Representative photomicrographs of anti-Mmp12 immunohistochemically
584 stained lung sections from 5 and 8 mice per FA and CS groups respectively. Positively stained cells in red.
585 Insert is a higher power magnification of the dashed area. Scale bar: 100 μ m.

586

587 **Fig. 3**

588 **Acute CS exposure induces airway epithelial remodeling in neonatal wild-type and β ENaC-transgenic**
589 **mice.** (A) Representative photomicrographs of H&E stained lung sections from 10 day old β ENaC-
590 transgenic mice and wild-type littermate controls exposed to CS or FA for 4 days. Scale bar: 50 μ m. 5 and
591 8 mice from the FA and CS groups respectively of both mice types were assessed. (B) Epithelial thickness
592 was quantified by design-based stereology using the newCAST system on the PAS stained lung sections
593 depicted in Fig. 1. Mean values \pm s.e.m. are given. * $P < 0.05$, following a two-tailed unpaired t -test.

594

595 **Fig. 4**

596 **Acute CS exposure induces pulmonary vessel remodeling in neonatal wild-type and β ENaC-transgenic**
597 **mice.** (A) Representative photomicrographs of α -smooth muscle actin and von Willebrand-factor stained
598 lung sections from β ENaC-transgenic mice and wild-type littermate controls exposed to CS or FA for 4
599 days. Scale bar: 50 μ m. (B) The degree of small vessel (20-70 μ m in diameter) muscularization in 3 mice
600 from all groups was quantified by vascular morphometry using Leica Qwin software. Mean values \pm
601 s.e.m. are given. ** $P < 0.01$ following one-way ANOVA with Bonferroni post testing.

602

603 **Fig. 5**

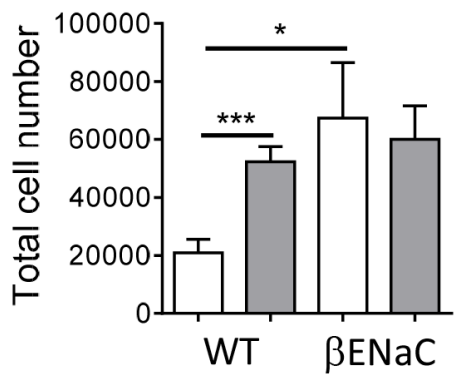
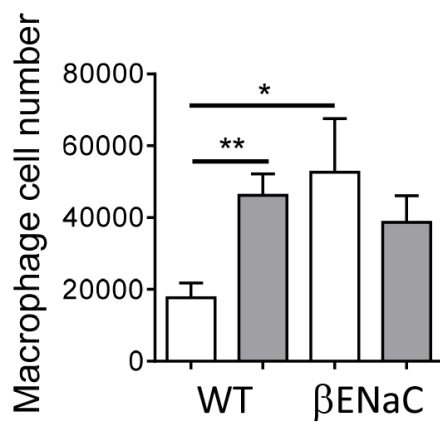
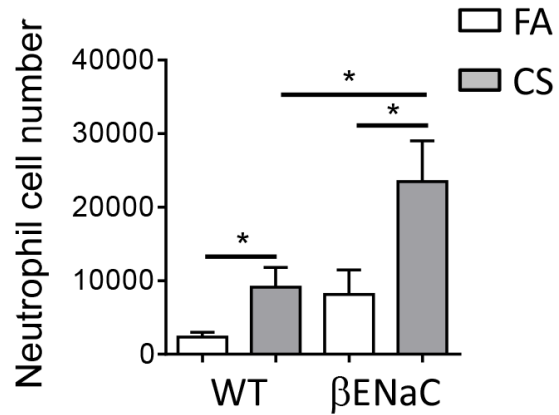
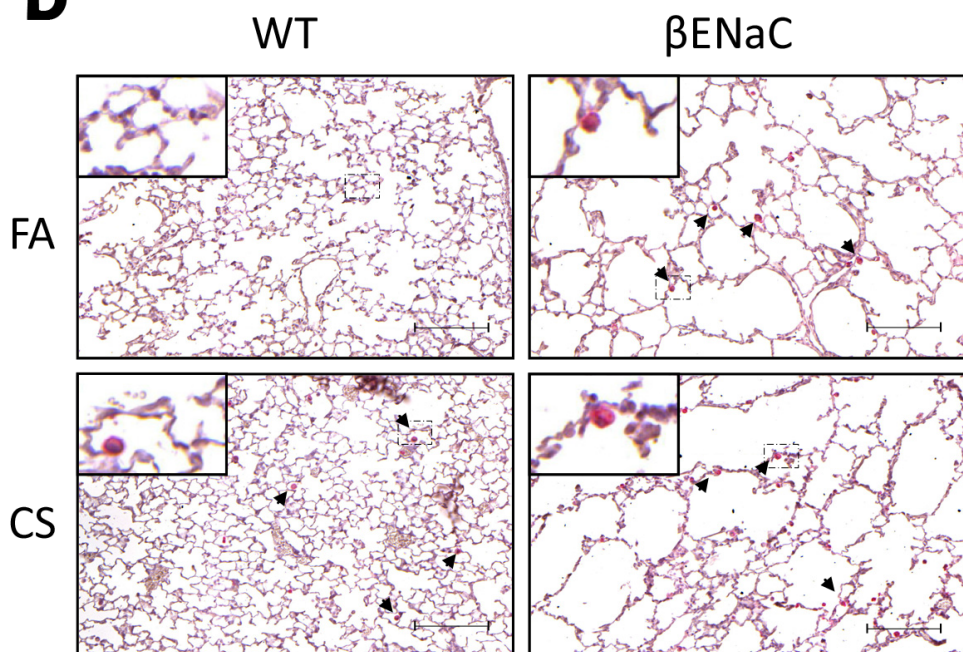
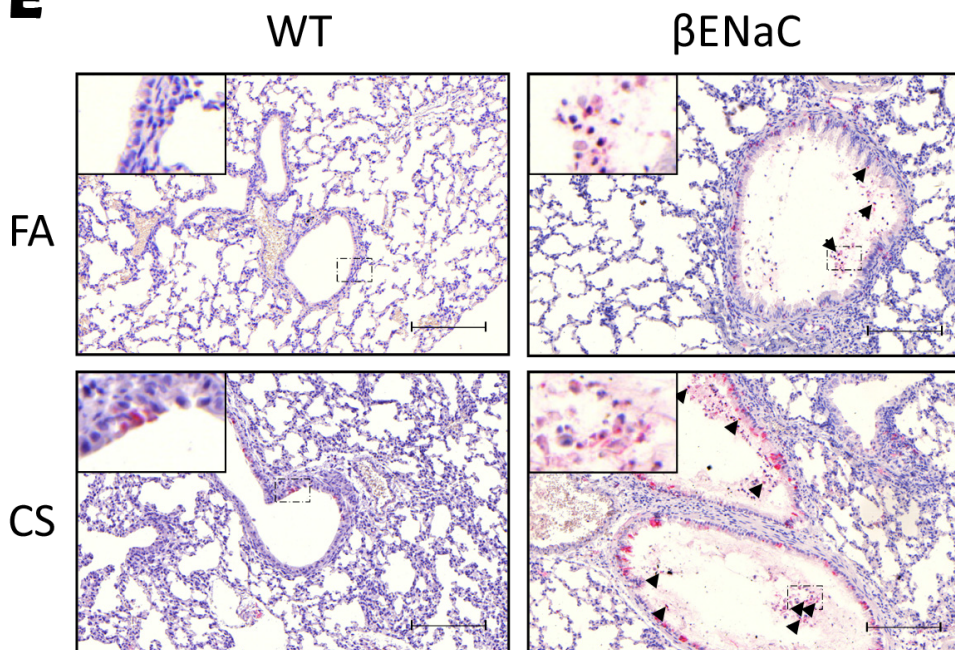
604 **Acute CS exposure does not produce distal airspace enlargement in neonatal wild-type or β ENaC-**
605 **transgenic mice.** (A) Representative photomicrographs of H&E stained lung sections from 10 day old
606 β ENaC-transgenic mice and wild-type littermate controls exposed to CS or FA for 4 days. Scale bar: 200

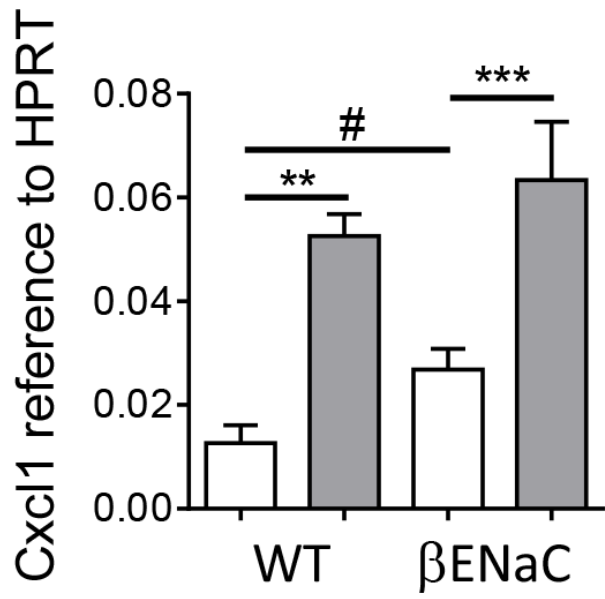
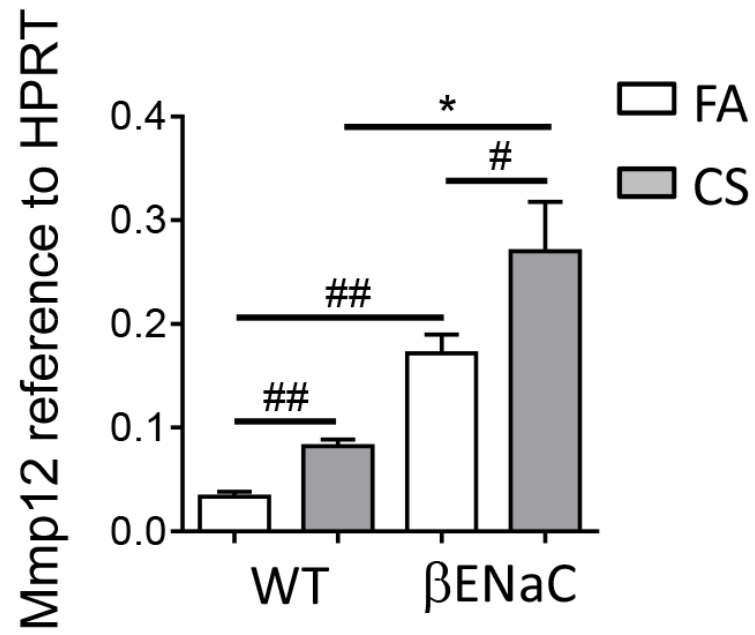
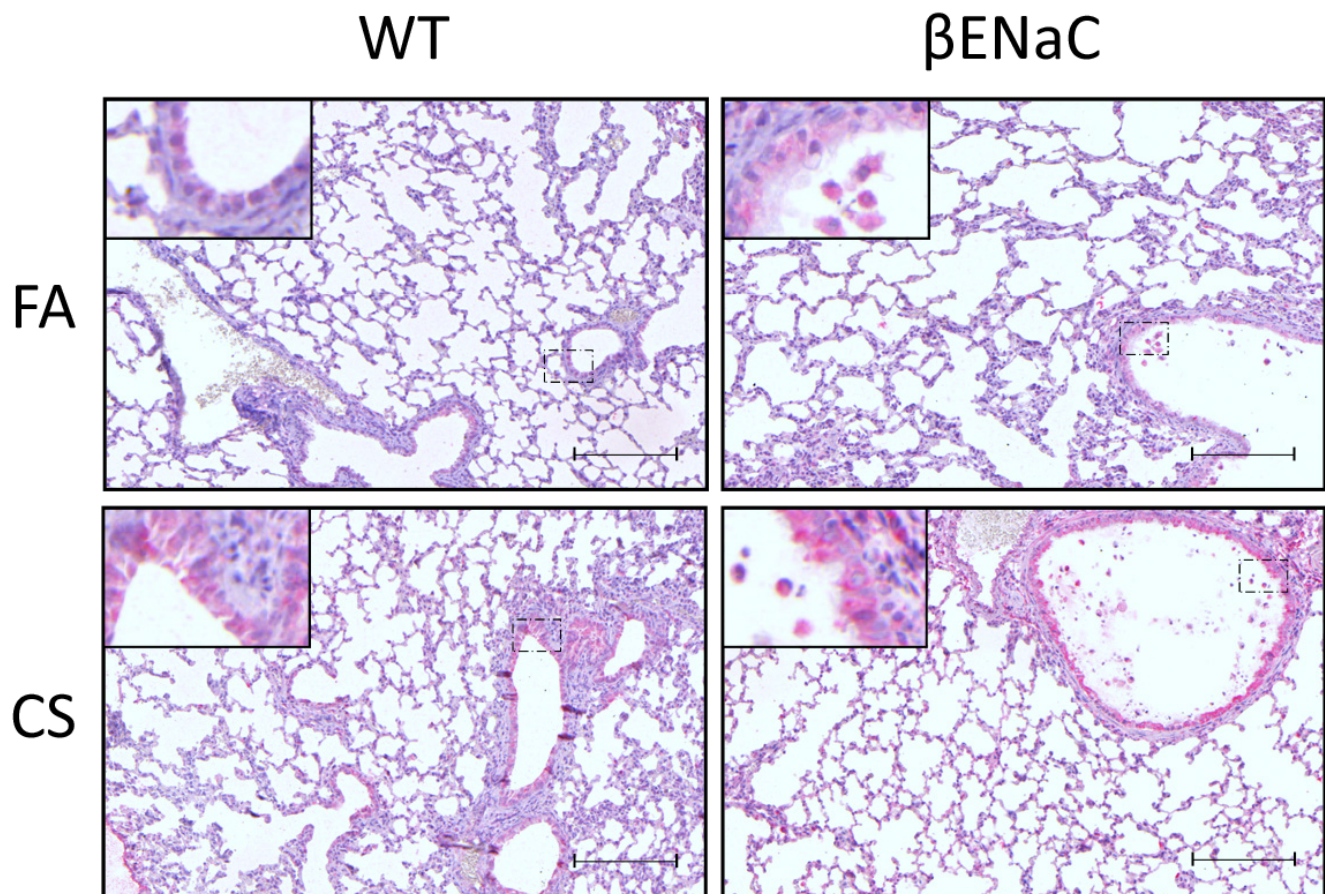
607 μm . (B) Airspace enlargement was quantified as the mean linear intercept by design-based stereology
608 using the newCAST system. Lung function measurements to obtain dynamic compliance (C), Elastance
609 (D) and Resistance (E) were undertaken using the flexiVent system. Data shown is the mean value \pm
610 s.e.m. (n=5 and 8 mice, for FA and CS groups respectively). $**P < 0.01$, $***P < 0.001$, $****P < 0.0001$
611 following one-way ANOVA with Bonferroni post testing. $^{##}P < 0.01$ following a two-tailed unpaired t-test.

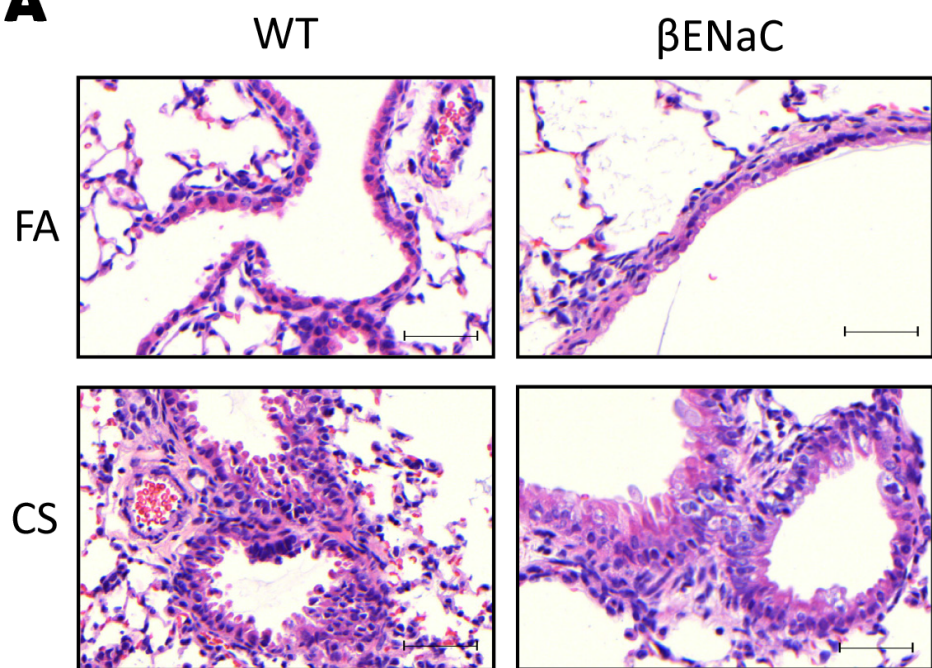
612

613 **Fig. 6**

614 **Acute CS exposure exacerbates mucus hypersecretion in neonatal βENaC -transgenic mice.** 10 day old
615 βENaC -transgenic mice and wild-type littermate controls were exposed to CS or FA for 4 days. Lung
616 sections were obtained from 3 mice per group, which had not undergone BALF collection, and stained
617 with PAS (A-D). (A) Representative photomicrographs highlighting enhanced volume and density of
618 accumulated mucus in the airways of βENaC -transgenic mice exposed to CS. Scale bar: 100 μm . (B)
619 Mucus volume was quantified by design-based stereology using the newCAST system. (C)
620 Representative photomicrographs depicting goblet cell hyperplasia in βENaC -transgenic mice following
621 exposure to CS. Scale bar: 30 μm . (D) The percentage of airway goblet cells (PAS positive) relative to
622 total airway epithelial cell number was quantified using the newCAST system. (E) The level of *Muc5ac*
623 and *Muc5b* gene expression in total lung homogenate relative to *Hprt1* was determined by qPCR (n=5
624 and 8 mice, for FA and CS groups respectively). Mean values \pm s.e.m. are given. $*P < 0.05$, $**P < 0.01$,
625 $***P < 0.001$ following one-way ANOVA with Bonferroni post testing. $^{\#}P < 0.05$ following two-tailed
626 unpaired t-test.

A**B****C****D****E**

A**B****C**

A**B**