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Abstract Radiotherapy (RT) predominantly is aimed to induce DNA damage in tumour cells that results in reduction of their clonogenicity and finally in tumour cell death. Adaptation of RT with higher single doses has become necessary and led to a more detailed view on what kind of tumour cell death is induced and which immunological consequences result from it. RT is capable of rendering tumour cells immunogenic by modifying the tumour cell phenotype and the microenvironment. Danger signals are released as well as the senescence-associated secretory phenotype. This results in maturation of dendritic cells and priming of cytotoxic T cells as well as in activation of natural killer cells. However, RT on the other hand can also result in immune suppressive events including apoptosis induction and foster tumour cell proliferation. That's why RT is nowadays increasingly combined with selected immunotherapies.

Keywords (separated by " - ") Radiotherapy - DNA damage - Apoptosis - Necrosis - Autophagy - Danger signals - Senescence associated secretory phenotype - Immunogenic cell death - Immunotherapy

Chapter 7 1

Cancer Cell Death-Inducing Radiotherapy: 2

Impact on Local Tumour Control, Tumour 3

Cell Proliferation and Induction of Systemic 4

Anti-tumour Immunity 5

Benjamin Frey, Anja Derer, Heike Scheithauer, Roland Wunderlich, 6
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death • Immunotherapy 22

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23 7.1 Introduction

24 Two months after the announcement of the discovery of X-rays by Conrad Röntgen
25 on November 30 1895, E. H. Grubbé, a medical student living in Chicago at that
26 time, applied the X-rays therapeutically for the treatment of breast cancer and
27 inflammatory lesions. He was provident and protected the surrounding healthy tissues
28 by a sheet lead taken from a tea chest. This was the hour of birth of radiotherapy
29 (RT) [1]. The second classical cytotoxic treatment option for cancer disease is
30 chemotherapy. The latter was ultimately discovered by physicians to treat cancer in
31 the First World War. They observed that leukocytes disappeared in humans who
32 survived mustard gas (dichloroethyl sulphide) exposure. They concluded that every
33 poison could be also a potential efficacious remedy [2]. Until today, the three clas-
34 sical columns of cancer therapy are still chemotherapy (CT), RT and, the oldest
35 form of tumour treatment, surgery.

36 During the last decades, immunotherapy (IT) accrued and multimodal therapies
37 make nowadays more and more their way into clinical practice [3]. These cancer
38 treatment modalities were formerly classified in those acting locally (surgery and
39 RT) and those systemically (CT, IT). However, local modification of tumour cells
40 might also result in secondary systemic responses. The focus of this article is there-
41 fore set on the ability of RT to induce distinct forms of tumour cell death and on the
42 subsequent systemic consequences.

43 7.2 DNA Damage Induction and Repair Capacity as Basis 44 for Local Efficacy of Radiotherapy

45 The most sensitive cellular structure for radiation is the deoxyribonucleic acid
46 (DNA). X-rays as exogenous DNA damaging source can induce DNA single-strand
47 breaks (SSB), double-strand breaks (DSB), oxidation of DNA bases and non-DSB
48 clustered DNA lesions [4]. The damage is induced either by direct action of radiation
49 on the DNA or mostly secondary by reactive oxygen species (ROS) or reactive nitro-
50 gen species (RNS) [5]. Irrespective of the DNA damage sources, the DNA damage
51 response (DDR) is activated consecutively. Several DNA repair pathways have
52 evolved like homologous recombination (HR), non-homologous end-joining (NHEJ),
53 back-up NHEJ (B-NHEJ) nucleotide (NER) and base excision repair (BER) as well
54 as mismatch repair (MMR) dependent on size and modality of the DNA damage [6].

55 The success or failure of standard clinical radiation treatment has mainly been
56 determined by the four R's of radiobiology: repair of DNA damage, reoxygenation
57 of hypoxic tumour areas, redistribution of cells in the cell cycle and repopulation
58 [7, 8]. Tumour cells do usually less effective repair sublethal DNA damage compared
59 to healthy tissue cells. This is one reason why repeated irradiation, namely fraction-
60 ated irradiation, is beneficial since the healthy tissue can regenerate during the
61 radiation break. Furthermore, time is created to allow reoxygenation of hypoxic

tumour areas. This highly enhances the radiosensitivity of the tumour cells [9]. The latter also exit the radioresistant S-phase of the cell cycle during radiation breaks and become more sensitive for re-irradiation [10]. However, the breaks should not be too long to avoid repopulation of tumour cells. These are the reasons for delivering radiation in lower doses but repeated fractions.

One has to keep always in mind that the local irradiation of the tumour has to fulfil two main requirements: On the one hand the tumour control probability (TCP) must be as high as possible, but on the other hand the normal tissue complication probability (NTCP) has to be as small as possible [11]. Therefore, the applied dose is fine balanced between minimal, justifiable NTCP matched with a maximal TCP. The linear quadratic model is still the basis for clinicians to estimate the total dose and fractions of irradiation for the respective tumour entities. The dose of irradiation that is necessary to destroy tumour cells and the tolerance dose for healthy tissue is known by clinicians based on long-lasting experience with classical fractionated RT with a single dose of 1.8–2.0 Gy. α/β values were defined long ago for tissues. This was based on observations in mice, namely when and to what extent irradiation causes damage in certain organs [12, 13]. High values characterise early reacting tissue with rarely repair and fast repopulation, as e.g. the skin (α/β : 9–19 Gy) and many tumours. Late reacting tissues such as kidney have α/β values <5 Gy and high repair capacity. During fractionated irradiation, the late reacting tissue can regenerate during the radiation breaks and is thereby spared.

Adaption of radiation schemes is necessary for distinct tumour entities since, e.g. prostate cancer has exceptionally low values of α/β . Here, the use of a higher dose per fraction is indicated on this radiobiological basis as it is also currently intensively discussed for breast cancer [14].

It has become feasible to deliver higher single doses due to technical advancements in planning procedures (e.g. intensity-modulated RT), accuracy of dose application (e.g. image-guided RT) and application of protons and heavy ions for RT. How novel techniques in RT change the standards for cancer treatment has recently overwhelming be summarised by Durante et al. and Orth et al. [15, 16].

7.3 Radiotherapy Induces Different Cell Death Modalities

7.3.1 Mitotic Catastrophe

If the DNA damage cannot be properly repaired by the radiation-exposed cells, they execute cell death. Mitotic catastrophe, a type of cell death that occurs during mitosis, was considered for long time by radiobiologist to be the only way how cells die after irradiation. In mammalian cells it is the failure to undergo complete mitosis after DNA damage. This results in multi-ploidy and counting of multinucleated cells is the basis for detection of mitotic catastrophe [17]. The combination of cell cycle checkpoint deficiencies and specific types of DNA damage most likely lead to mitotic catastrophe and cancer cells are especially prone for that [18]. Nevertheless,

102 there is no consensus on the distinctive morphological appearance of mitotic
103 catastrophe as far as the extent of chromatin condensation. The latter is, however,
104 also the morphological hallmark of apoptosis [19].

105 7.3.2 Senescence

106 Cells also evolved a bypass to deal with persistent DNA damage, namely senes-
107 cence. It was first described by Hayflick and colleagues, who demonstrated that as
108 a consequence of telomere shortening with each cycle of DNA replication human
109 fibroblasts do not proliferate until infinity in culture [20]. Senescent cells are char-
110 characterised by low expression of proteins driving proliferation, morphological changes
111 as increase in volume and, if adherent, flattered morphology. They further highly
112 express senescence-associated acidic lysosomal β -galactosidase. The latter is a
113 manifestation of residual lysosomal activity at a suboptimal pH and it becomes
114 detectable due to the increased lysosomal content in senescent cells [21]. Telomere
115 erosion, DNA damage and oncogenic signalling induce senescence, the so-called
116 replicative, stress and oncogene-induced senescence, respectively. It has always
117 been in the attention of oncologists since it is the basis for prolonged or ideally
118 permanent growth arrest of tumour cells.

119 However, senescent cells can regain proliferative capacity in a p53-dependent
120 manner after radiation exposure while cells undergoing apoptosis do not. This was
121 especially demonstrated in vitro, as for p53 wild-type MCF-7 compared to
122 MDA-MB231 breast cancer cells with mutant p53 [22]. One should additionally
123 keep in mind that caspase proficiency might be related to it, since MCF-7 cells are
124 deficient for caspase 3 and MDA-MB231 cell not. We recently showed that the
125 in vitro immunogenic potential of caspase-3 proficient breast cancer cells with basal
126 low immunogenicity is increased by hypofractionated irradiation and that of cas-
127 pase-3 deficient ones not [23].

128 Since senescent cells retain in a metabolic active status they cannot be defined as
129 dead [24]. They actively shape the microenvironment and the expression and secre-
130 tion of immune modulating proteins changes during the induction and establish-
131 ment of senescence [25]. This has been termed as senescence-associated secretory
132 phenotype (SASP) [26]. Senescent cells activate a self-amplifying secretory net-
133 work. The SASP includes pro-inflammatory cytokines like Interleukin (IL)-1 α ,
134 IL-1 β , IL-6 and IL-8, chemokines and growth factors and thereby connects local
135 senescent cells with systemic inflammatory events [27, 28].

136 7.3.3 Autophagy

137 Not only radiation-induced forms of cell demise and inflammation are interconnected,
138 but also additionally the DNA damage response, as demonstrated for autophagy.
139 The latter is a conserved lysosomal pathway for degrading cytoplasmic proteins,

macromolecules and organelles. It is kind of a cellular recycling factory unit that also promotes energy efficiency through adenosine triphosphate (ATP) generation. It further mediates damage control by removing non-functional proteins and organelles. A detailed summary on the molecular and cellular mechanisms of autophagy was provided by Glick and colleagues [29]. Autophagy can be monitored by autophagosome formation, but usage of multiple assays is recommended for its detection [30]. We here focus on the impact of autophagy on radiosensitivity, DNA damage response and inflammation.

Cancer cells exploit autophagy to adapt to nutrient limiting, metabolically stressful and hypoxic tumour microenvironment, since the physiological function of autophagy is related to the maintenance of cellular homeostasis under cellular stress [31]. Additionally, a non-protective form of autophagy does exist. Here, the cell is carrying out autophagy-mediated degrading functions, but autophagy inhibition does not lead to sensitisation for radiation or drugs [32]. Furthermore, autophagy can be cytotoxic [33] or cytostatic. The latter one is characterised by prolonged growth inhibition and reduced clonogenic survival without resulting in cell death induction [34]. Because of cytotoxic and cytostatic autophagy, cancer cells most likely often display a reduced autophagy. Overexpression of Beclin 1, a Bcl-2-interacting coiled-coil protein, inhibits cellular proliferation and has autophagy-promoting activity. Beclin-1 expression is absent or frequently low in cancer, e.g. in prostate, breast and ovarian cancer [35].

The relationship between DNA repair and autophagy in cancer cells is just fragmentarily understood. Autophagy has been shown to regulate some of the DNA repair proteins after DNA damage (summarised in [36]). Furthermore, evidence was provided that a mechanistic link between processing of DNA damage and activation of autophagy does exist [37]. In a mouse model of poly-microbial sepsis it was elegantly demonstrated that DNA damaging chemotherapeutics like anthracyclines improved the survival of the septic mice without affecting bacterial burden. This was not a sole effect of suppression of release of inflammatory cytokines like IL-1 β and danger signals like high-mobility group box 1 (HMGB1) that could also be achieved by antibiotics, but also of promoting tissue protection from inflammatory damage. This was achieved by autophagy induction in dependence of the activation of the DNA damage response [38, 39]. Recently, hints were identified that defective autophagy in vivo caused an absence or reduction in regulatory proteins critical to both homologous recombination (HR) and non-homologous end joining (NHEJ) DNA damage repair pathways. Further, a failure to induce these proteins in response to radiation was asserted [40]. Cottone and colleagues have identified the activation of autophagy and the release of HMGB1 as key events how colon carcinoma cells recruit leukocytes. Concomitant induction of autophagy to apoptosis by 5-fluorouracil (5-FU) was necessary to induce the leukocytes attraction. They suggest that HMGB1 is translocated to the cytosol and may there promote the activation of autophagy, which in turn fosters further HMGB1 translocation from the nucleus into the cytosol and its consecutive release in the extracellular milieu [41]. Irradiation of tumours with 2 Gy as other DNA-damaging stressor resulted in recruitment of cytotoxic T cells, here in dependence of macrophage differentiation to an iNOS⁺/M1 phenotype [42]. All these works give on the hand evidence that after DNA damaging

186 stress not only single cell death forms are induced and that on the other hand
187 interconnections between DNA damage responses, inflammation and systemic
188 immune modulation do exist.

189 **7.3.4 Apoptosis**

190 Even though cell death can have many facets the two best known forms are still
191 apoptosis and necrosis. Apoptosis, a form of programmed cell death, is crucial not
192 only during embryonic development, but is present throughout the whole lifetime of
193 multicellular organisms to ascertain cellular homeostasis. Apoptotic cells are char-
194 characterised by nuclear and cytoplasmic condensation, nuclear fragmentation and cell
195 shrinkage induced by plasma membrane blebbing [43]. Most importantly and con-
196 trary to necrotic cells, apoptotic cells maintain their membrane integrity until late
197 stages of apoptosis execution. Apoptotic cells release and expose a broad range of 'find
198 me' and 'eat me' signals for phagocytes such as macrophages [44]. The uptake of
199 apoptotic cells occurs in a non- or even anti-inflammatory manner [45]. This immune
200 suppressive effect might contribute to the in part unwanted effects of apoptosis
201 induction by radiotherapy [46].

202 In response to ionising radiation, apoptosis is predominantly observed in cells of
203 the hematopoietic system [47]. In solid tumours, the multicellular architecture may
204 strongly contribute to render individual tumour cells less susceptible to apoptosis
205 [48]. The TP53 gene provides instructions for making a protein called tumour pro-
206 tein p53 (p53) and is together with the PI3KCA gene that encodes for PI 3-kinases
207 (PI3K) the most mutated gene in all types of cancers [49]. The tumour suppressor
208 p53 primarily functions as transcription factor, but its binding to the nuclear matrix
209 generally increases after genotoxic stress [50]. p53 is involved in damage recogni-
210 tion, cell-cycle arrest, DNA repair, senescence or apoptosis. Of note is that p53 has
211 roles that do not involve its transactivation functions during DNA repair; it modu-
212 lates DNA repair processes, except for homologous recombination, by both
213 transactivation-dependent and -independent pathways, as well as damage recogni-
214 tion and apoptosis [51]. It links apoptotic signalling pathways to radiation-induced
215 DNA damage and is capable of directly regulating the Bax-dependent mitochon-
216 drial pathway to cell death [52]. In addition to intrinsic apoptosis pathways, extrin-
217 sic ones exist based on ligation of death receptors. In response to radiation, proteins
218 of the death receptors are upregulated in a p53 dependent and independent manner
219 [53]. Further, p53 controls signalling-mediated phagocytosis of apoptotic cells
220 through its target Death Domain1 α (DD1 α). The latter functions as an engulfment
221 ligand and thereby ensures a proper clearance of cell corpses. This contributes to the
222 maintenance of immune tolerance [54].

223 Other members of the p53 tumour suppressor family of genes like p73 might
224 compensate the lack of function of p53 and mediate radiation-induced apoptosis
225 [55]. Therefore, the general statement that is mostly based on p53 functionality, that
226 distinct tumours are sensitive for apoptosis after irradiation or not has to be consid-
227 ered critically.

In addition, distinct stimuli can promote an immunogenic variant of apoptosis [24, 56]. Treatment with tumour necrosis factor (TNF)-related apoptosis inducing ligand (TRAIL), e.g. induces membrane calreticulin (CRT) exposure on cancer cells [57]. The pre-apoptotic exposure of the endoplasmic reticulum (ER)-derived CRT together with the late or post-apoptotic release of danger signals like HMGB1 (see below) renders dying tumour cells immunogenic and can be induced by distinct chemotherapeutic agents like anthracyclines and oxaliplatin and by ionising radiation [58]. The exposure pathway of CRT is activated by pre-apoptotic ER stress and mediated via caspase-8-dependent proteolysis of the ER-sessile protein BAP31 and by activation of the pro-apoptotic proteins Bax and Bak [59]. Another scenario where apoptotic cells become immunogenic is that they proceed to secondary necrosis, meaning that they lose their membrane integrity. This happens when the clearance of apoptotic cells is impaired. This clearance defect is present in certain autoimmune diseases or when massive apoptosis occurs, e.g. after multimodal tumour treatments including RT [60, 61]. Secondary necrotic cells are often termed late apoptotic cells. This naming refers to the fact that the cells already underwent the apoptotic programme for a certain time. However, from the immunological point of view, due to the disturbed plasma membrane they behave like necrotic cells (Fig. 7.1).

7.3.5 Necrosis

The overall definition of necrosis is that cells have lost the plasma membrane integrity. In Radiation Oncology, the term necrosis was for long time just linked with radionecrosis, a late side effect of irradiation with high single doses [62]. Soft tissue and bone changes occur and lead in a small percentage of the patients to tissue necrosis.

Beneficial necrosis of tumour cells induced by RT came into the mind of clinicians when data came up that immunogenic cancer cell death has profound clinical and therapeutic implications. Necrotic cells release danger-associated molecular patterns (DAMPs) like HMGB1, heat shock proteins (HSP), nucleotides or uric acid that trigger the activation of both, the innate and the adaptive immune system [63]. Primary necrosis was considered as a non-physiological form of cell death induced by trauma, ROS, pathogens and massive noxa on general. However, similar to apoptosis, necrosis can also occur in a regulated fashion, meaning that a genetically encoded molecular machinery runs. The so-called necroptosis, which is dependent on the receptor interacting protein (RIP) kinases RIP1 and RIP3 can be induced by factors such as tumour necrosis factor (TNF), Fas Ligand or TRAIL and utilises the same initial signalling cascade as cell-death receptor-induced apoptosis [64]. Necroptosis further requires the substrate of RIP3K the mixed lineage kinase like (MLKL). Necroptosis can be manipulated by inhibitors such as necrostatin 1, which blocks RIP1 kinase activity [65, 66]. Mounting evidence exists that many of the currently used anticancer agents are capable of engaging necroptotic signalling pathways. This offers the opportunity to reactivate cell death programmes in human malignancies, especially in those being considered as apoptosis resistant [67].

[AU1]

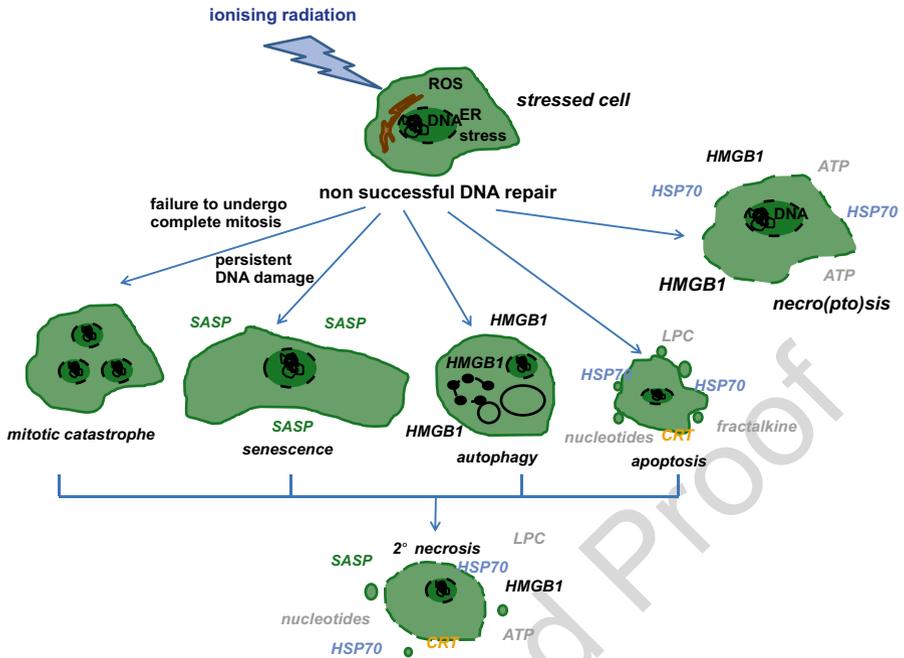


Fig. 7.1 Ionising radiation induces various tumour cell death modalities. The exposure of tumour cells to ionising radiation results in DNA damage, DNA damage response, ER stress response and in the induction of the displayed cell death forms. Radiation hereby not only impacts on the tumour cell phenotype but also on the tumour cell microenvironment. Of note is that all cell death forms can proceed to necrosis when during time their plasma membrane is disturbed. *ATP* adenosine triphosphate, *CRT* calreticulin, *ER* endoplasmic reticulum, *HMGB1* high-mobility group box 1, *HSP* heat shock protein, *LPC* lysophosphatidylcholine, *ROS* reactive oxygen species, *SASP* senescence-associated secretory phenotype/proteins, *2°* secondary

270 In colorectal cancer cell lines, predominantly necrosis was inducible by RT and/
 271 or hyperthermia concomitantly with an increased expression of RIP1 [68]. We
 272 recently demonstrated that necroptosis is inducible with the pan caspase inhibitor
 273 zVAD-fmk in poorly immunogenic B16 melanoma cells [69]. Combination of RT,
 274 CT and immune stimulation by hyperthermia and zVAD-fmk resulted in significant
 275 tumour growth retardation compared to treatments without zVAD-fmk. This was
 276 dependent on the adaptive immune system, HMGB1 and nucleotides. Therapy-
 277 induced immunogenic cancer cell death might therefore be the key event in trigger-
 278 ing anti-tumour immune responses.

279 7.4 Immunogenic Cancer Cell Death

280 The definition of immunogenic cancer cell death is based on molecular and cellular
 281 mechanisms as well as certain in vivo characteristics [70]. Non-immunogenic cell
 282 death is characterised by PS exposure and swift clearance of the dying and stressed

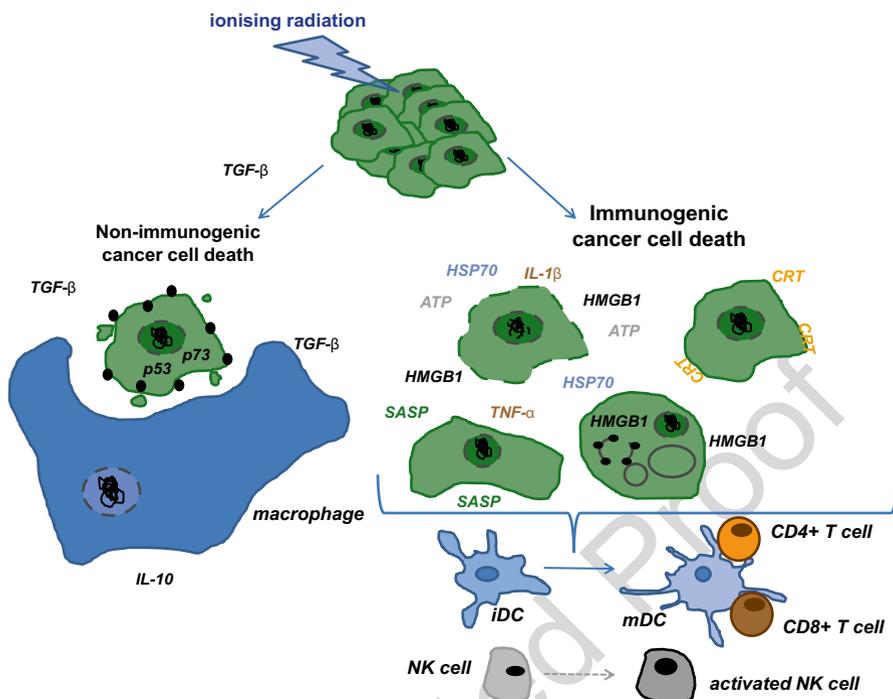


Fig. 7.2 Radiation-induced immunogenic cancer cell death results in activation of the innate and adaptive immune system. Treatment of tumour cells with ionising radiation can induce non-immunogenic cancer cell death, namely apoptotic tumour cells that do expose phosphatidylserine (*black dots*) on the outer membrane leaflet and secrete TGF-β. They are finally cleared by macrophages in an anti-inflammatory manner. On the other hand, very early apoptotic cells that do expose CRT are immunogenic, as well as senescent cells, cells undergoing autophagy and necrotic cells mainly by release of danger signals, inflammatory cytokines and SASP. Especially a mixture of these cell death modalities is highly immunogenic and results in maturation of DC, consecutive priming of T cells and activation of NK cells. *ATP* adenosine triphosphate, *CRT* calreticulin, *HMGB1* high-mobility group box 1, *HSP* heat shock protein, *iDC* immature dendritic cell, *IL* interleukin, *mDC* mature dendritic cell, *NK* natural killer, *SASP* senescence-associated secretory phenotype/proteins, *TGF-β* transforming growth factor beta, *TNF* tumour necrosis factor

cells by macrophages. Concomitantly, apoptotic-cell derived blebs [71] and radiation-induced TGF-beta [72] might result in inhibition of anti-tumour immune responses [73] (Fig. 7.2). In contrast, immunogenic cancer cell death is mostly connected with the release of the DAMPs HMGB1 and ATP and with the exposure of CRT. Additionally, further immune activating danger signals like Hsp70 and immunostimulatory cytokines like TNF-α and IL-1β are released [74].

This results in maturation and activation of DCs and ensuing priming of tumour-specific CD8+ T cells. Furthermore, NK cells can be activated by immunogenic cells including their microenvironment [75] (Fig. 7.2). For the in vivo examination of the immunogenic potential of tumour cells, both an immunisation and a therapeutic assay should be used. Both are based on the comparison of tumour growth in wild

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294 type compared to immune deficient mice: treatments that do induce immunogenic
295 tumour cell death do result in retarded tumour growth only in wild-type animals
296 [70]. That the tolerance has been actually broken and a memory immune response
297 has been indeed induced should be tested with challenge experiments in animals
298 that were primarily cured. Of note is that antineoplastic regimens that do engage
299 immune effector mechanisms also achieve the same result without inducing immu-
300 nogenic cancer cell death [76]. Therefore, multiple additional in vitro testing includ-
301 ing functional assays with primary immune cells is mandatory to define immunogenic
302 cancer cell death [77].

303 Besides DAMPs that are associated with immunogenic cell death, the SASP foster
304 the recruitment of immune cells. Therefore, the SASP is supposed to also act as
305 a danger signal for the immune system aiming to eradicate potentially transformed
306 or damaged cells in a CD4+ T cell and macrophage-dependent manner [78].
307 Furthermore, radiation-induced senescence in tumours has been shown to lead to an
308 increased adaptive immune response through the recruitment and proliferation of
309 tumour specific cytotoxic CD8+ T-lymphocytes [79].

310 Besides senescence, activation of autophagy contributes to recruitment of
311 immune cells [41], as necrotic and apoptotic tumour cells, too [80]. High numbers
312 of apoptotic cells, e.g. are sufficient to trigger DC maturation antigen presentation,
313 even in the absence of released danger signals [81]. This suggests that in vivo, com-
314 binations of apoptotic cell death, necrotic cell death, autophagic cell death and
315 senescence trigger the induction of anti-tumour immune responses in a concerted
316 action (Fig. 7.2).

317 7.5 Systemic Effects of Radiation

318 The insufficient immunological control of tumours is one hallmark of cancer [82].
319 Tumours must escape immune surveillance during development and when being
320 established. The cancer immunoediting consists of the elimination, equilibrium and
321 escape phase [83]. In the elimination phase, the immune system is capable of stop-
322 ping cancer development and destroys tumour cells. In the equilibrium phase a
323 latent state exist, while in the escape phase the immunological defence mechanism
324 fail and the tumour progresses. The immune system is not only involved in cancer
325 prevention and development but also in cancer therapy [84].

326 RT might contribute to overcome tumour escape by modifying the phenotype of
327 the tumour cells [85, 86]. In the ideal case, radiation generates an in situ vaccine.
328 However, mostly immune responses to model antigens expressed by tumours have
329 been examined. It remained uncertain whether RT can prime T cells specific for
330 endogenous antigens expressed by poorly immunogenic tumours. Vanpouille-Box
331 and colleagues recently demonstrated that this is also possible, however only when
332 combining RT with blockade of TGF-beta and/or PD-1 [87]. The generated T cells
333 were effective at causing regression of the irradiated tumours but also of non-
334 irradiated metastases.

The so-called out-of-field or abscopal effects of RT are best when RT is combined with further immune activation [88]. To avoid the “mystic” wording abscopal and due to continuously growing number of preclinical and clinical studies that immune reactions mediate abscopal responses, they should be better be termed RT-induced systemic immune-mediated effects [74]. The key mechanisms involved in ionising radiation-induced systemic effects were recently overwhelming summarised by Mavragani and colleagues [89].

7.6 Immunogenicity of Distinct Doses of RT and of Combination with Immunotherapies

Nowadays, due to technical improvements, RT is delivered in various fractionations. Standard fractionation consist of single doses of 1.8–2.2 Gy (one fraction per day, 5 days a week continuing for 3–7 weeks) and hypofractionation of 3–20 Gy (one fraction a day given for 1–3 days a week) [90]. The available data whether standard fractionation is as immunogenic as fewer applications with higher single doses (hypofractionation) or a very high single dose (radiosurgery) are not conclusive. Irradiation with a high single dose of 10 Gy of glioblastoma mouse tumours induced tumour growth retardation, increased the influx of CD8+ T cells and decreased that of Treg. However, significant improvement of long-term survival was only achieved when combing radiosurgery with blockade of the immune checkpoint molecule programmed cell death protein 1 (PD-1) [91]. While a single high dose of 20 Gy was as effective as 3×8 Gy or 5×6 Gy in retarding growth of the irradiated tumour, only fractionated irradiation in combination with an antibody against the immune checkpoint protein cytotoxic T-lymphocyte antigen 4 (CTLA-4) induced tumour growth retardation also outside of the irradiation field, as here shown in a mouse breast carcinoma model [92]. In ex vivo assays with human tumour and immune cells, the activation of DCs was similar when getting into contact with norm- or hypofractionated irradiated colorectal cancer cells, but much less after a single irradiation with 15 Gy [93].

Nevertheless, the current hypothesis is that higher doses might stronger impact on intratumoural induction and production of type I interferon (IFN) with consecutive triggering of innate and adaptive immune mechanisms [94]. Ablative RT dramatically increases T-cell priming in draining lymphoid tissues, leading to both, reduction of the primary tumour and of distant metastasis in dependence of CD8+ T cells. These immune responses are greatly amplified by addition of immunotherapy [95]. Lower single doses used in standard fractionation might especially impact on tumour vascularisation and therewith connected infiltration of immune cells [42, 96] (Fig. 7.4). Definite is that combination of RT with further immune activation induces the most striking anti-tumour immune reactions [85]. As already outlined shortly earlier, in response to radiation, tumour cells increase the surface expression of adhesion molecules, death receptors, stress-induced ligands, cryptic antigens and stimulatory molecules, such as MHC I and CD80, thereby becoming more sensitive

376 to T cell-mediated cytotoxicity [86]. In the tumour microenvironment, pro-inflammatory
377 molecules increase and maturation of DCs, antigen presentation and lymph node
378 migration is fostered [97]. On the other hand, the immune cells might also be
379 killed by radiation and pro-tumourigenic factors can be upregulated [98].
380 Consequently, radiation regimens have to be optimised and adjusted to maximise
381 immunostimulatory functions and for the successful combination with other treat-
382 ments, including IT [99].

383 Primarily radiation-induced immune suppression by, e.g. upregulation of PD-L1
384 on tumour cells has to be exploited for multimodal therapies with checkpoint
385 inhibitors. These are currently the most promising therapies for induction of long-
386 lasting anti-tumour effects as seen by a plateau in the patients' survival curves [100,
387 101]. Checkpoint-blockade inhibitors improve adaptive immune responses induced
388 by the RT-mediated increase in tumour antigens and tumours with high somatic
389 mutation prevalence do respond best [102]. Nevertheless, neither all of these
390 selected patients do respond. Therefore, the most beneficial combination with
391 selected RT schemes and the chronological sequence of application of RT and IT
392 has still to be identified [60]. We just recently summarised preclinical and clinical
393 data on how the immune modulating properties of RT can be exploited for the
394 combined treatment of cancer with immune checkpoint inhibitors [74].

395 **7.7 Immune Suppressive and Proliferation Promoting** 396 **Effects of Radiotherapy**

397 As almost always, two sides of the coin exist. X-ray can also reinforce immunosup-
398 pressive pathways (Fig. 7.3).

399 Treg are intrinsically radioresistant which might lead to their intratumoural
400 enrichment during RT. In the tumour microenvironment, Treg acquire a highly sup-
401 pressive phenotype which is further increased by RT [103]. This is one rationale for
402 combination of RT with further IT, as already mentioned earlier for checkpoint
403 inhibitors. Short-term ablation of Treg in advanced spontaneous tumours induces
404 both high numbers of dead tumour cells and in combination with RT significantly
405 reduced metastatic tumour progression concomitant with prolonged survival [104].

406 As Treg, Langerhans cells (LC) are quite resistant immune cells [105]. Recently,
407 it was found that LC resisted damage by irradiation because of their intrinsic expres-
408 sion of the cyclin-dependent kinase inhibitor CDKN1A (p21). Further, the
409 LC-mediated generation of Treg was enhanced by radiation and directly correlated
410 with the growth of the skin tumour [106].

411 RT might further induce the macrophage colony-stimulating factor CSF1 in
412 tumours and myeloid-derived suppressor cells accumulate in the tumour as well as
413 in spleen, lung, lymph nodes and peripheral blood in a prostate cancer model [107].
414 This is again a convincing fact why especially combination of RT with immune
415 modulation with CSF1 inhibitors in this case triggers beneficial anti-tumour
416 responses (Fig. 7.4). Therapies have to be optimised in a way that the positive

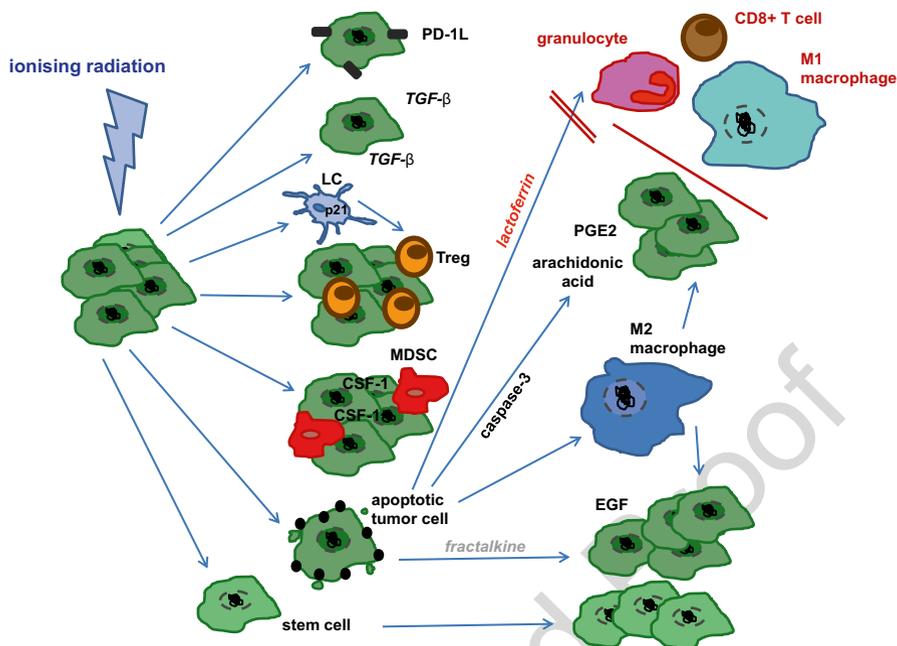


Fig. 7.3 Radiation-induced immune suppression and tumour cell proliferation. Tumour cells exposed to ionising radiation can acquire an immune suppressive phenotype characterised by the expression of checkpoint inhibitor ligands such as PD-L1, the secretion of TGF- β , the infiltration of regulatory T cells and myeloid-derived suppressor cells into the tumour, and by inducing immune suppressive apoptosis. The latter is connected to reduced infiltration of eosinophils into the tumour, by M2 macrophage polarisation and by caspase-3, fractalkine and EGF-dependent increased tumour cell proliferation. Further, during and after RT radioresistant cancer stem cells could be selected as well as Langerhans cells that generate in turn immune suppressive Treg. *CSF-1* macrophage colony-stimulating factor 1, *EGF* epidermal growth factor, *LC* Langerhans cell, *MDSC* myeloid-derived suppressor cell, *PD-L1* programmed cell death protein 1 ligand, *PGE2* prostaglandin E2, *TGF- β* transforming growth factor beta, *Treg* regulatory T cell

immunological impact of RT on anti-cancer responses preponderance the negative 417
 ones [108, 109]. Recently, it was demonstrated that granulocyte-macrophage 418
 colony-stimulating factor as potent stimulator of DC maturation in combination 419
 with local RT generates abscopal responses in patients with metastatic solid tumours 420
 such a non-small cell lung and breast cancer [110]. 421

Again, we should also have in mind the local as well as systemic consequences of 422
 RT. Apoptosis induction by RT is beneficial with regard to local tumour cell killing, 423
 but not inevitably from the immunological point of view [111]. Ford et al. recently 424
 demonstrated for B cell lymphomas that apoptotic tumour cells promote tumour 425
 growth, angiogenesis and accumulation of tumour-associated macrophages (TAM) 426
 resulting from in situ macrophage proliferation [112]. TAM are one of the major 427
 inflammatory cells that infiltrate tumours and epidemiological studies depict a corre- 428

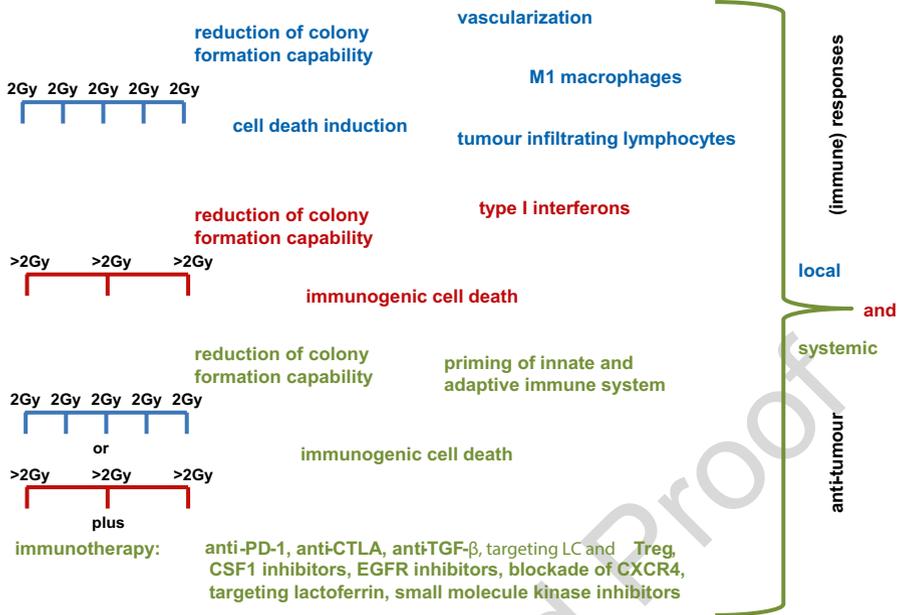


Fig. 7.4 Therapeutic exploitation of norm- and hypofractionated radiotherapy in combination with immune therapies for cancer treatment. For the induction of local and systemic tumour control, norm- and/or hypofractionated radiotherapy has to be combined with selected immune therapies to overcome the immune suppressive pathways depicted in Fig. 7.3. The most prominent events related to norm-fractionated radiotherapy, hypofractionated radiotherapy and immunotherapy are depicted in blue, red and green, respectively. *CSF-1* macrophage colony-stimulating factor 1, *CXCR4* chemokine (C-X-C Motif) receptor 4, *EGFR* epidermal growth factor receptor, *LC* Langerhans cell, *PD-1* programmed cell death protein 1, *TGF- β* transforming growth factor beta, *Treg* regulatory T cell

429 lation between TAM density and poor cancer prognosis [113]. Tissue destruction,
 430 even a small one occurring when taking a biopsy, may result in polarisation of macro-
 431 phages to a M2 phenotype that could foster accelerated tumour progression [114].

432 Tumour cell apoptosis does thus not only impact on the immune system but also
 433 on proliferation of surrounding cells. Already in 1956 it was described that tumours
 434 killed by X-rays stimulate the proliferation of viable tumour cells [115]. It has been
 435 suggested that this is dependent on trophic substances derived from the tumour cells
 436 but also of the tumour bed, the microenvironment [116]. Recently, Chaurio et al.
 437 demonstrated that in an allogenic situation UV-B-irradiated apoptotic cells stimu-
 438 late the growth of co-implanted viable tumour cells. These experiments were con-
 439 ducted in immune competent mice [117]. Since UV-B induces a mixture of apoptotic
 440 and necrotic cells it would be worth to examine in the future how distinct forms of
 441 tumour cells death impact on the proliferation of viable tumour cells and what mixture

of cell death forms results predominantly in fostering of tumour cell proliferation and/or induction of anti-tumour immunity, respectively.

But what are the radiation-induced trophic substances that stimulate tumour cell proliferation? Apoptotic cells release a variety of “find-me” signalling factors, including nucleotides, the lipid lysophosphatidylcholine (LPC) and proteins such as fractalkine (summarised in [118]). The latter mediates the chemotaxis of macrophages to apoptotic lymphocytes [119]. Therefore, it might indirectly induce viable tumour cell proliferation by attracting macrophages into the tumour that are there polarised to M2 macrophages and directly by transactivation of the epidermal growth factor (EGF) pathway in the tumour cells [120]. This might be a further reason why combined treatments of tumours with RT and EGF receptor tyrosine kinase inhibitors are efficient [121]. Huang et al. demonstrated that caspase-3 is central in regulating the growth-promoting properties of dying cells by inducing the release of arachidonic acid and the production of prostaglandin E2 (PGE2) being a key regulator of tumour growth. Of special note is that caspase-3 was activated during RT [122]. RT-induced apoptosis may indeed lead to caspase 3-dependent tumour cell repopulation [46], but on the other hand caspase-3 is important to trigger immunogenic cancer cell death after hypofractionated irradiation [23]. Since TAM interacting with apoptotic tumour cells are central to activate multiple oncogenic pathways, to promote tumour cell growth and survival, angiogenesis, remodelling and metastasis [118] the aim should be to predominately induce necroptotic cancer cell death by RT [67, 68] and to concurrently target TAM, e.g. by pharmacologic blockade of chemokine (C-X-C Motif) receptor 4 (CXCR4) [123]. Massive necrosis should be induced to counteract the reduction of the immunogenicity of the necrotic cells by lactoferrin [124].

Interestingly, lactoferrin also functions as “keep-out” signal to granulocytes. Since activated eosinophils were recently demonstrated to be essential for tumour rejection in the presence of tumour-specific CD8+ T cells and for a M1-like phenotype of macrophages [125], tumour promoting effects of apoptotic cells might also be connected to this. To summarise, apoptosis is central in conditioning the tumour microenvironment [126] (Figs. 7.1 and 7.3). This almost mandatorily demands that RT is combined with selected immune therapies to counteract the in part non-beneficial pro-tumourigenic effects of RT (Fig. 7.4). The same applies for possible selection of radioresistant cancer stem cells during and after RT [8, 127]. Mesenchymal stem cells are highly sensitive to small molecule receptor kinase inhibitors and combination treatments incorporating RT [128].

7.8 Conclusions

Even though approximately 60% of patients with solid tumours are treated with RT, much fewer studies evaluating local therapies are published in high-impact oncology and medicine literature compared to systemic and targeted therapies [129]. Fortunately, a paradigm shift has been implemented during the last years: besides

483 the local effects of RT on the DNA, also non-DNA targeted effects, the so-called
484 systemic ones, do exist [130]. In former times it was predominantly publicised that
485 only immune suppressive effects of RT exist. This has been questioned by many
486 studies and it has become clear that a timely restricted radiation-induced decrease
487 of immune cells does not automatically indicate that the immune system is func-
488 tionally impaired [131]. The growing knowledge on the various forms of tumour
489 cell death that can be induced by RT and/or CT has paved the way for combination
490 of RT with IT [70, 132]. As it is common for the immune system that nearly every
491 mechanism has wanted and unwanted effects in dependence on the existing state,
492 also tumour cell death induction by RT can be beneficial for local and systemic
493 tumour control (Fig. 7.2) and on the other hand even promote tumour cell prolifera-
494 tion and repopulation (Fig. 7.3). This highlights that a very sophisticated view on
495 cell death induction by RT including the triggered cell death pathways and resulting
496 cell death forms is mandatory [80, 133]. This becomes particularly important when
497 further improving combination therapies consisting of RT, targeted therapies and
498 immunotherapy (Fig. 7.4). Radiation-induced cell death is the mediator that broad-
499 ens the modes of action of RT from a local level to a systemic one.

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Author Queries

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Queries	Details Required	Author's Response
AU1	Please check spelling of "noxa" in "massive noxa"	
AU2	Please update the ref [89].	

Uncorrected Proof