

Associations among plasma metabolite levels and short-term exposure to PM_{2.5} and ozone in a cardiac catheterization cohort

Susanne Breitner, PhD¹, Alexandra Schneider, PhD¹, Robert B Devlin, PhD², Cavin K Ward-Caviness, PhD^{1,3}, David Diaz-Sanchez, PhD², Lucas M Neas, PhD², Wayne E Cascio, MD², Annette Peters, PhD¹, Elizabeth R Hauser, PhD³, Svati H Shah, MD³, William E Kraus, MD³

¹Institute of Epidemiology II, Helmholtz Zentrum München, Neuherberg, Germany

²National Health and Environmental Effects Research Laboratory, US Environmental Protection Agency, Research Triangle Park, North Carolina, USA

³School of Medicine, Duke University, Durham, North Carolina, USA

Corresponding author:

Susanne Breitner

Institute of Epidemiology II

Helmholtz Zentrum München

German Research Center for Environmental Health (GmbH)

Ingolstädter Landstr. 1

85764 Neuherberg

Germany

email: susanne.breitner@helmholtz-muenchen.de

phone: +49-89-3187-4481

fax : +49-89-3187-3380

1 **Abstract**

2

3 **Rationale:** Exposure to ambient particulate matter (PM) and ozone has been associated with
4 cardiovascular disease (CVD). However, the mechanisms linking PM and ozone exposure to CVD
5 remain poorly understood.

6 **Objective:** This study explored associations between short-term exposures to PM with a diameter <
7 2.5 μm ($\text{PM}_{2.5}$) and ozone with plasma metabolite concentrations.

8 **Methods and Results:** We used cross-sectional data from a cardiac catheterization cohort at Duke
9 University, North Carolina (NC), USA, accumulated between 2001 and 2007. Amino acids,
10 acylcarnitines, ketones and total non-esterified fatty acids plasma concentrations were determined in
11 fasting samples. Daily concentrations of $\text{PM}_{2.5}$ and ozone were obtained from a Bayesian space-time
12 hierarchical model, matched to each patient's residential address. Ten metabolites were selected for
13 the analysis based on quality criteria and cluster analysis. Associations between metabolites and $\text{PM}_{2.5}$
14 or ozone were analyzed using linear regression models adjusting for long-term trend and seasonality,
15 calendar effects, meteorological parameters, and participant characteristics.

16 We found delayed associations between $\text{PM}_{2.5}$ or ozone and changes in metabolite levels of the
17 glycine-ornithine-arginine metabolic axis and incomplete fatty acid oxidation associated with
18 mitochondrial dysfunction. The strongest association was seen for an increase of 8.1 $\mu\text{g}/\text{m}^3$ in $\text{PM}_{2.5}$
19 with a lag of one day and decreased mean glycine concentrations (-2.5% [95% confidence interval: -
20 3.8%; -1.2%]).

21 **Conclusions:** Short-term exposure to ambient $\text{PM}_{2.5}$ and ozone is associated with changes in plasma
22 concentrations of metabolites in a cohort of cardiac catheterization patients. Our findings might help
23 to understand the link between air pollution and cardiovascular disease.

24

25

26 **Abstract: 247 words**

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28

29 **Keywords:** particulate matter, ozone, metabolomics, cardiovascular disease

Non-standard Abbreviations and Acronyms

AOD	Aerosol optical depth
AOD + GM	Combination of satellite-based aerosol optical depth (AOD) retrievals and PM _{2.5} concentrations from ground monitors
CAD	Coronary artery disease
CATHGEN	CATH eterization GEN etics cohort
CMAQ	Models-3/Community Multiscale Air Quality
DDCD	Duke Databank for Cardiovascular Disease
IQR	Interquartile range
NARR	North American Regional Reanalysis
NEFA	Total non-esterified fatty acids
NO	Nitric oxide
PM	Particulate matter
PM _{2.5}	PM with an aerodynamic diameter less than 2.5 μm

1 INTRODUCTION

2 Exposure to ambient air pollution affects a range of cardiovascular events (Brook et al. 2010; Ruckerl
3 et al. 2011). Acute (day-to-day) exposure to particulate matter (PM) with an aerodynamic diameter
4 less than 2.5 μm ($\text{PM}_{2.5}$) is associated with increased risk of cardiovascular mortality, myocardial
5 infarction, heart failure exacerbation, stroke (Atkinson et al. 2014; Mustafic et al. 2012; Shah et al.
6 2013; Shah et al. 2015) and induction of a variety of adverse cardiovascular outcomes (Brook et al.
7 2010; McGuinn et al. 2015). Epidemiological and controlled-exposure studies also suggest that
8 exposure to ambient ozone may increase cardiovascular morbidity (Arjomandi et al. 2015; Devlin et
9 al. 2012; Green et al. 2016; Hampel et al. 2012; Lanzinger et al. 2014). The elderly and those with
10 underlying diseases, for example, cardiovascular diseases or diabetes, are particularly
11 susceptible to the health effects of $\text{PM}_{2.5}$ (Lanzinger et al. 2014; Ruckerl et al. 2011; Shumake et al.
12 2013; Stafoggia et al. 2010); however, current evidence for the risks of ozone are inconclusive
13 (Goodman et al. 2014).

14 The physiological mechanisms linking $\text{PM}_{2.5}$ exposure to cardiovascular disease have yet to be
15 fully elucidated. Biological pathways thought to be important include: systemic inflammation;
16 changes in the autonomic balance; local inflammatory response; and oxidative stress due to
17 translocation of particles or particle constituents (Brook et al. 2010; Peters et al. 2011). Further,
18 inhalation of ozone may cause systemic inflammation and autonomic dysfunction (Brook et al. 2010;
19 Devlin et al. 2012; Hampel et al. 2012). However, exploring the possibility that $\text{PM}_{2.5}$ - or ozone-
20 induced changes in metabolic pathways may contribute to or mediate cardiometabolic outcomes is
21 becoming increasingly important for understanding potential mechanisms of these effects.

22 Metabolomics, or metabolomic profiling, refers to the comprehensive analysis of metabolites - low
23 molecular weight chemicals including sugars, acylcarnitines, amino acids, and lipids - present in
24 biological specimens (Rhee and Gerszten 2012). Metabolomics has the potential for identifying novel
25 biomarkers contributing to the onset or progression of cardiovascular disease (Shah et al. 2012a).
26 Specific metabolomic profiles are associated with coronary artery disease (CAD) and atherosclerosis,
27 and with major adverse cardiovascular events, including myocardial infarction, stroke, heart failure
28 and death (Kordalewska and Markuszewski 2015; Shah et al. 2012a; Würtz et al. 2015).

29 Current literature on short-term exposures to air pollution and blood chemistries has
30 focused on traditional clinical parameters such as C-reactive protein or cytokines (e.g.
31 Chuang et al. 2007; Ruckerl et al. 2007; Tsai et al. 2012). However, evaluating associations
32 between air pollution and metabolite levels could provide further evidence of air pollution-
33 related physiologic changes and offer further insights into the pathophysiologic mechanisms
34 by which short-term exposures to air pollution may increase the risk of acute cardiovascular
35 events. So far, there has been only one epidemiological study exploring the association between air

1 pollution and changes in metabolite levels (Menni et al. 2015). In this study using a subset of the
2 TwinsUK cohort, long-term exposures to PM₁₀ and PM_{2.5} were linked with metabolites related to
3 reduced lung function. Only a small number of animal or toxicological studies have reported
4 associations between inhaled toxicants and metabolite levels (Miller et al. 2016; Miller et al. 2015;
5 Wang et al. 2012; Wang et al. 2015; Wei et al. 2013).

6 This study aimed to explore the influence of short-term exposures to PM_{2.5} and ozone on selected
7 metabolites in a cohort of individuals undergoing cardiac catheterization for suspected coronary artery
8 disease. Moreover, we evaluated whether these associations were modified by participant or lifestyle
9 characteristics. Since the study population was at high risk for cardiovascular disease, our findings
10 may help to uncover and clarify air pollution-metabolomics associations in a population particularly
11 susceptible to the health effects of air pollution.

12 13 **METHODS**

14 *Study population*

15 This study was conducted using data from the **CATH**eterization **GEN**etics (CATHGEN) cohort, a
16 large cohort of patients undergoing cardiac catheterization for suspected cardiovascular disease
17 between 2001 – 2010 at the Duke University Cardiac Catheterization Clinic (Durham, NC)(Kraus et
18 al. 2015).

19 For each of these patients, home addresses were extracted from medical records. Addresses were
20 geocoded within the Children’s Environmental Health Initiative (<http://cehi.snre.umich.edu/>), adding
21 latitude and longitude information to each record (McGuinn et al. 2015; Ward-Caviness et al. 2015).
22 Out of the entire cohort of 9,334 individuals, 8,071 (86%) addresses were successfully geocoded;
23 7,118 (76.3%) resided in North Carolina (Supplemental Material, Figure 1). For participants whose
24 addresses changed over time, we used the most recent address entered into their records at
25 catheterization. The average time at an address prior to the catheterization procedure was 587 days
26 (Ward-Caviness et al. 2015).

27 Subjects fasted for a minimum of six hours before blood collection. Blood was drawn from the
28 femoral artery at the time of arterial access for catheterization, immediately processed to separate
29 plasma, and frozen at -80°C(Shah et al. 2010). Clinical data and patient characteristics were provided
30 by the Duke Databank for Cardiovascular Disease (DDCD), a database of patients undergoing
31 catheterization at Duke University since 1969.

32 The CATHGEN study was approved by the Duke University Institutional Review Board; written
33 informed consent was obtained from all subjects prior to participation.

34 35 *Metabolite data*

36 Metabolomic profiling was available for 3,873 individuals in the interval 2001 to 2007. The plasma
37 concentrations of 45 acylcarnitines and 15 amino acids were quantitatively determined using a

1 targeted mass spectrometry–based approach (Kraus et al. 2015). Proteins were first removed by
2 precipitation with methanol; aliquoted supernatants were dried and esterified with hot, acidic
3 methanol (acylcarnitines) or n-butanol (amino acids). For analysis, tandem mass spectrometry with a
4 Quattro Micro instrument (Waters Corp, Milford, MA) was used. Adding mixtures of known
5 quantities of stable-isotope internal standards facilitated quantification of “targeted” intermediary
6 metabolites. Assay ranges are 0.05 to 50 μmol (acylcarnitines) and 5 to 1000 μmol (amino acids).
7 Two acylcarnitines (C6 and C7DC) did not meet the quality standards and were, therefore, excluded
8 for further analyses.

9 Quantitative determination of total ketones, β -hydroxybutyrate, and total non-esterified fatty acids
10 (NEFA) was performed. Ketones (total and β -hydroxybutyrate) and NEFA were measured on a
11 Beckman Coulter DxC600 clinical chemistry analyzer, using reagents from Wako (Richmond, VA)
12 (Kraus et al. 2015). Methodology and measures of intra-individual variability have been previously
13 reported (Shah et al. 2010). A complete list of all 61 metabolites can be found in Supplemental
14 Material, Table 1.

15

16 ***Exposure data***

17 Daily predictive surfaces of particulate matter with an aerodynamic diameter $< 2.5\mu\text{m}$ ($\text{PM}_{2.5}$) (daily
18 average in $\mu\text{g}/\text{m}^3$) and ozone (daily 8-h maximum in ppb) were provided by the U.S. Environmental
19 Protection Agency (U.S. EPA) for the years 2001 to 2008 ([www.epa.gov/esd/land-
20 sci/lcb/lcb_faqsd.html](http://www.epa.gov/esd/land-sci/lcb/lcb_faqsd.html)). A Bayesian space-time “downscaler” fusion modeling approach was used to
21 develop these predictive surfaces (Berrocal et al. 2010a; b; 2012). The approach uses input data from
22 two sources: air quality monitoring data from the EPA Air Quality System (AQS) repository database
23 and numerical output from the Models-3/Community Multiscale Air Quality (CMAQ;
24 <http://www.epa.gov/asmdnerl/CMAQ>) model run at a 12 km spatial resolution. The fused model
25 combines the two data sources attempting to adjust for the existing bias in the CMAQ model and
26 produces predictions for census tract centroids across the entire state of North Carolina (Gray et al.
27 2013). Further details and descriptions of the modeling technique and predictive performance are
28 available (Berrocal et al. 2012). Geocoded residential addresses of the study participants were
29 assigned the exposure as estimated at the closest census tract centroid based on spatial location and
30 date.

31 We obtained daily $\text{PM}_{2.5}$ concentrations from a second source to better compare the metabolic
32 effects of $\text{PM}_{2.5}$ exposure with previously published cardiovascular effects in the CATHGEN cohort
33 (McGuinn et al. 2015). Based on a combination of satellite-based aerosol optical depth (AOD)
34 retrievals and $\text{PM}_{2.5}$ concentrations from ground monitors (McGuinn et al. 2015), $\text{PM}_{2.5}$ concentration
35 levels ($\mu\text{g}/\text{m}^3$) were predicted at a 10 x 10 km spatial resolution for the state of North Carolina for
36 2002-2009 using recently developed statistical prediction models. Geocoded addresses were matched
37 to the centroid of the nearest 10 x 10 km grid location based on spatial location and date.

1 Daily mean air temperature and relative humidity were obtained from the North American
2 Regional Reanalysis (NARR) project (Mesinger et al. 2006). Geocoded addresses were matched to the
3 meteorological data based on spatial location and date.

4 5 *Statistical analysis*

6 We restricted our analysis population to those residing in North Carolina and participants with
7 complete information on exposure, covariates and metabolomics markers. The final analysis
8 population consisted of 2,869 individuals. We selected only metabolites with less than 10% of values
9 below the limit of detection and with a high measurement accuracy based on repeated profiling
10 ($R^2 \geq 0.85$) reported in previous analyses (Shah et al. 2009). This reduced the large number of
11 (correlated) metabolites to 23 (see Supplemental Table 1 for a complete list of metabolites). With
12 these 23 remaining metabolites, we performed a hierarchical cluster analysis using Euclidian distances
13 and the Ward method (Murtagh and Legendre 2014). The number of sufficient clusters was chosen
14 based on various indices (e.g. Calinski and Harabasz index, Duda index, C-index) provided by the R
15 package NbClust (Charrad et al. 2014). In general, all indices measure the inter- and intra-cluster
16 variability. While the variability of observations within a cluster should be low, the between-cluster
17 variance should be high. Of each of the resulting clusters, we chose the metabolite with the highest
18 measurement accuracy (=highest R^2) and the lowest number of values below the detection limit as the
19 “main” metabolite for the cluster. Metabolites within the same cluster which showed low correlation
20 with the main metabolite ($|r| < 0.4$) were also considered as metabolites of interest. At first, the
21 metabolite showing the lowest correlation with the main metabolite was chosen. If a further
22 metabolite also exhibited a low correlation with the main metabolite, it was only selected if it also
23 showed low correlation with the metabolite selected in the previous step. This approach greatly
24 reduced the number of analyzed metabolites and therefore reduced the multiple comparisons in the
25 statistical analysis. Further, our approach allows the discussion of the study results to be streamlined
26 by allowing each biologically relevant cluster to be represented by (a) single outcome(s). Metabolite
27 levels were natural-log transformed prior to analysis.

28
29 To evaluate the associations of metabolite levels with air pollution concentrations, we used
30 additive regression models in an *a priori* defined adjustment model. Penalized splines based on B-
31 spline bases were used to allow for non-linear confounding effects (Eilers and Marx 1996). To control
32 for systematic variation over time, we introduced a time trend term (using date order) as well as
33 dummy variables for season and day of the week. We further included a fixed intercept at the county
34 level to account for unmeasured variation due to population-level characteristics. As other potential
35 confounders, we considered air temperature and relative humidity, and the subject-related variables
36 age, body mass index (BMI), gender, race (European-Americans, African-American, and other
37 race/ethnicity) and smoking status (current vs. never/former smoker). Time trend was modeled using

1 penalized splines with four degrees of freedom per year. Adjustment for air temperature was done by
2 modeling high and low temperatures separately (Stafoggia et al. 2013). Specifically, to control for
3 heat effects, we calculated the average temperature on the current and previous day (lag 0-1) and fit a
4 natural spline with three degrees of freedom only for days on which the temperature was higher than
5 the median annual temperature. Similarly, only for days on which temperature was below the median
6 annual value, we adjusted for low temperatures by fitting a natural spline with two degrees of freedom
7 for the average temperature on the previous four days (lag 1–4). Relative humidity was modeled using
8 a 5-day average (lag 0-4) assuming that three degrees of freedom should suffice.

9 In the last step of the analysis, air pollutants were added separately to the model and associations
10 estimated linearly. We analyzed single-day lags from 0 to 4 days and the average of lags 0–4 (5-day
11 average). Effect estimates from our models and their 95% confidence intervals (95% CI) were
12 transformed into percent changes of geometric mean outcome levels and reported per interquartile
13 range (IQR) increase of pollutants.

14 Interaction terms for age (≤ 60 vs. > 60 years), gender (male vs. female), race (European-
15 Americans vs. African-Americans vs. other race/ethnicity), history of hypertension (yes vs. no) and
16 diabetes (yes vs. no), and smoking status (current vs. never/former smoker) were used to investigate
17 effect modification of the association between the air pollution and metabolite levels.

18 19 *Sensitivity analyses*

20 We performed a number of sensitivity analyses to assess the robustness of the main findings. We
21 adjusted the degrees of freedom for the trend spline to control for seasonal effects; we also varied the
22 lag pattern and the degrees of freedom for air temperature and relative humidity. We estimated
23 models without adjusting for counties, season or subject-related covariates. Two-pollutant models
24 examined the independent effects of PM_{2.5} and ozone. Finally, we checked the exposure–response
25 functions for deviations from linearity by replacing the linear term of the particle metrics with a fixed
26 4-degrees of freedom regression spline. We used a likelihood ratio test with three degrees of freedom
27 comparing the original main model with the smoothed model and visual inspection to assess whether
28 the smoothed exposure–response curve resembled a straight line.

29 All the analyses were performed with R project for statistical computing (V.2.14.2; [http://www.r-](http://www.r-project.org/)
30 [project.org/](http://www.r-project.org/)) using the ‘mcgv’ package.

31 32 33 **RESULTS**

34 *Participant characteristics*

35 Table 1 describes the study population. On average, participants were 59 years old with a mean BMI
36 of 30 kg/m². About 58% of the participants were men; approximately half were current smokers. The

1 prevalence of CAD and hypertension was 50.4% and 67.9%, respectively; this reflects a population
 2 with increased risk for CAD.

3

4 ***Cluster analysis***

5 Twenty-three of the 61 metabolites met all the inclusion criteria. Results of the cluster analysis are
 6 shown in Figure 1. Most of the indices used to determine the relevant number of clusters identified
 7 five clusters as optimal to group the metabolites. These five clusters represent long neutral amino
 8

8

9

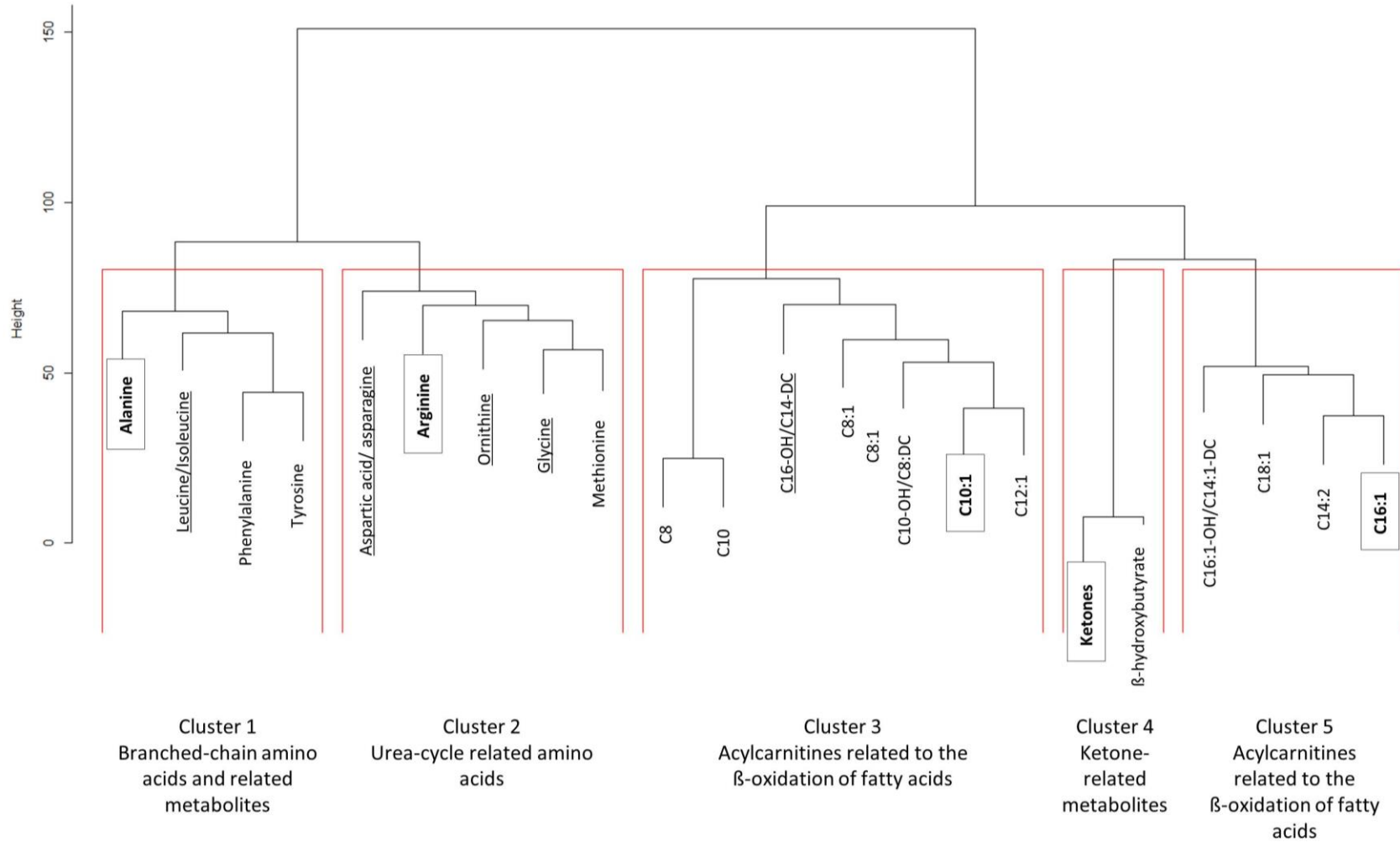
10 Table 1. Descriptive statistics of the study population (n=2,869).

		Mean (SD)
Age (years)		59.4 (12.1)
BMI (kg/m ²)		30.3 (7.4)
SBP (mmHg)		149.9 (25.1)
DBP (mmHg)		79.8 (14.7)
		N(%)
Gender	Male	1,671 (58.2)
	Female	1,198 (41.8)
Race	European-American	1,991 (69.4)
	African-American	639 (22.3)
	Other	239 (8.3)
Smoking	Current	1,464 (51.0)
	Never/former	1,405 (49.0)
History of	Coronary artery disease	1,445 (50.4)
	Myocardial infarction	854 (29.8)
	Diabetes	854 (29.8)
	Hypertension	1,948 (67.9)
Family history of	Coronary disease	1,160 (40.4)

11 SD: standard deviation; BMI: body mass index; SBP: systolic blood pressure; DBP: diastolic blood
 12 pressure

13

Figure 1



1 acids, their metabolites and alanine (Cluster 1), urea cycle- related amino acids and glycine (Cluster
 2 2), acylcarnitines-adducts of β -oxidation of fatty acid metabolism (Clusters 3 and 5) and ketone
 3 metabolites (Cluster 4). Based on our described selection approach, we chose to represent the clusters:
 4 alanine and leucine/isoleucine (Cluster 1); arginine, aspartic acid/asparagine, ornithine and glycine
 5 (Cluster 2); decenoyl carnitine (C10:1) and 3-hydroxy-hexadecanoyl carnitine/tetradecanedioyl
 6 carnitine (C16-OH:C14-DC) (Cluster 3); total ketones (Cluster 4); and palmitoleyl carnitine (C16:1),
 7 (Cluster 5) as our outcomes of interest.

8

9 *Metabolites and air pollution*

10 Descriptive statistics of metabolites, modeled air pollutants and meteorology are presented in
 11 Table 2. The daily mean values of PM_{2.5} and ozone derived from the downscaler fusion model were
 12 13.3 $\mu\text{g}/\text{m}^3$ and 43.3 ppb, respectively. The daily mean value of PM_{2.5} based on a combination of
 13 satellite-based aerosol optical depth (AOD) retrievals and PM_{2.5} concentrations from ground monitors
 14 (AOD + GM) was 12.6 $\mu\text{g}/\text{m}^3$. Correlations between the metabolites can be found in Supplemental
 15 Material, Table 2. There was little or no correlation among PM_{2.5}, ozone and the meteorological
 16 parameters (Supplemental Material, Table 3). As expected, the PM_{2.5} values predicted by the two
 17 different models were highly correlated (Spearman correlation coefficient = 0.857).

18

19 Table 2. Summary statistics of metabolite concentrations, air pollution concentrations and
 20 meteorological variables for the period 2001-2007. Metabolites selected first are marked in bold.

Metabolites	N	Mean	SD	Min	25%	Med	75%	Max
Cluster 1								
Alanine (μM)	2,869	316.7	94.4	104.4	250.6	302.9	369.2	944.4
Leucine/Isoleucine (μM)	2,869	67.0	14.2	21.6	57.8	65.4	73.8	217.7
Cluster 2								
Arginine (μM)	2,869	65.5	19.6	12.7	52.3	64.2	77.0	178.3
Aspartic acid/asparagine (μM)	2,869	87.3	21.1	13.8	73.5	84.0	98.4	223.0
Ornithine (μM)	2,869	76.6	21.9	24.4	61.5	74.0	88.1	230.8
Glycine (μM)	2,869	309.0	83.0	115.2	251.1	302.0	354.6	739.2
Cluster 3								
C10:1 (μM)	2,864	0.15	0.08	0.02	0.10	0.13	0.18	0.67
C16-OH:C14-DC (μM)	2,796	0.0047	0.0045	0.0001	0.0026	0.0039	0.0057	0.1007
Cluster 4								
Total Ketones (μM)	2,868	303.3	291.4	9.5	101.2	202.6	415.0	3220.9
Cluster 5								
C16:1 (μM)	2,856	0.027	0.016	0.005	0.018	0.024	0.033	0.332

Meteorology and air pollution

Air temperature (°C)	2,869	16.1	8.6	-8.1	9.2	16.8	23.5	33.0
Relative humidity (%)	2,869	73.8	10.8	38.5	66.5	75.3	82.2	95.3
PM _{2.5} (daily mean; µg/m ³) - BDFM	2,869	13.3	6.1	0.6	8.7	12.3	16.8	49.4
Ozone (8-hour max; ppb) – BDFM	2,869	43.3	15.9	3.8	31.2	41.2	53.9	99.7
PM _{2.5} (daily mean; µg/m ³) – AOD + GM	2,587	12.6	5.9	2.0	8.1	11.7	15.6	52.1

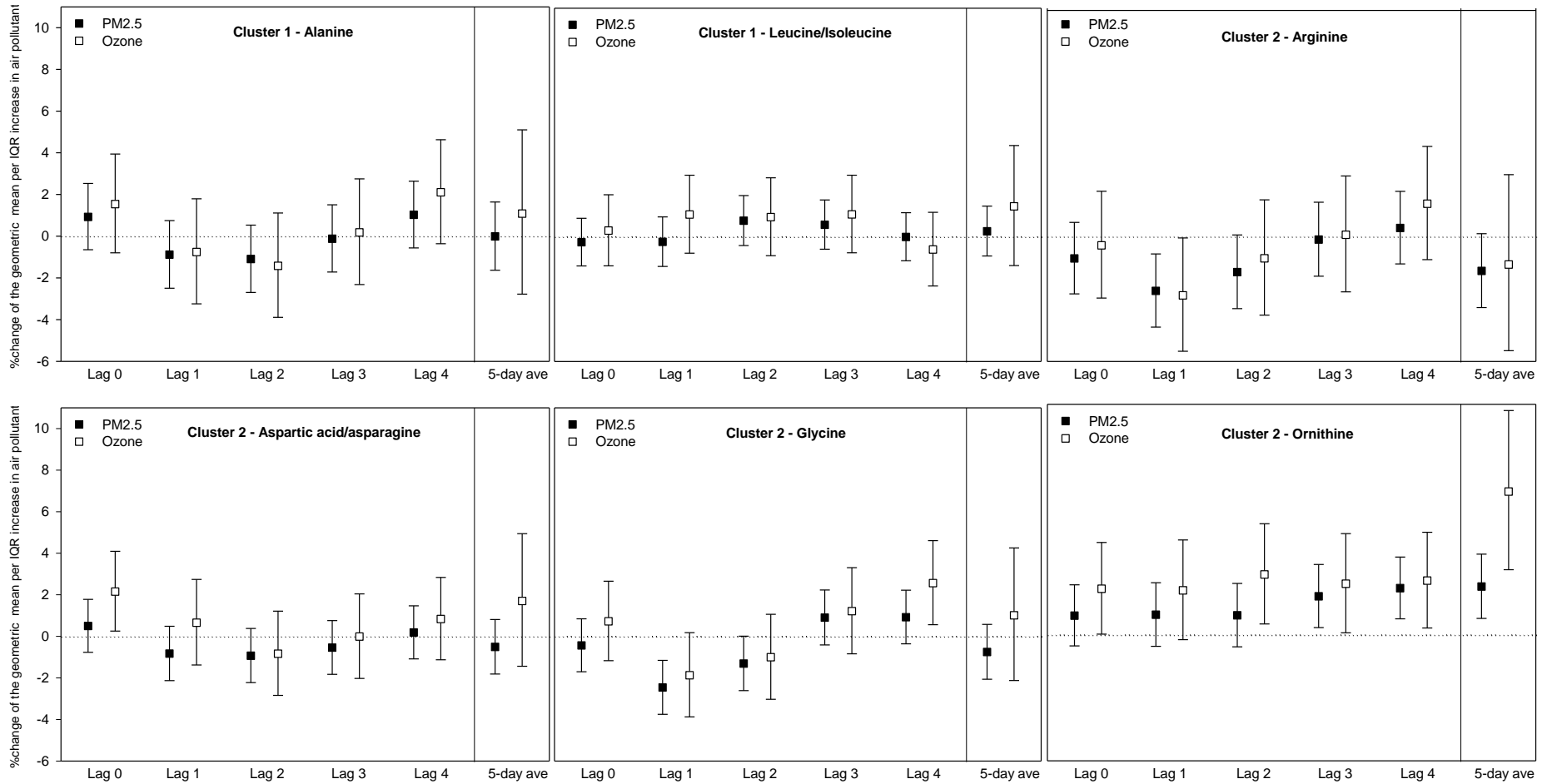
SD: standard deviation; Min: minimum; 25th: 25th percentile; Med: median; 75%: 75th percentile; Max: maximum, PM_{2.5}: particulate matter with an aerodynamic diameter < 2.5 µm; BDFM: Bayesian space-time “downscaler” fusion modeling approach; AOD + GM: combination of satellite-based aerosol optical depth retrievals and ground monitoring data.

1

2 Figure 2 and Supplemental Material, Table 4 show the associations between air pollutants and the
3 selected amino acids. For alanine and leucine/isoleucine (Cluster 1), no associations with air pollution
4 were found; whereas arginine (Cluster 2) was negatively associated with PM_{2.5} and ozone. The
5 strongest effects were found for lag 1 exposures with a -2.6% decrease (95% CI: -4.4%; -0.8%) per
6 IQR increase (8.1 µg/m³) in PM_{2.5} and -2.8% decrease (95% CI: -5.5%; -0.1%) per IQR increase (22.7
7 ppb) in ozone. An IQR increase in PM_{2.5} also resulted in decreased glycine levels with a lag of one
8 day. Lag 1 ozone exposure showed an effect in the same direction; however, the association was not
9 significant (on a significance level of 0.05). Both pollutants were consistently associated with
10 increases in ornithine levels across several lags. For example, ornithine (Cluster 2) levels increased by
11 2.3% (95% CI: 0.8%; 3.9%) and 6.8% (95% CI: 3.1%; 10.7%) per IQR increase in 5-day average
12 PM_{2.5} and ozone, respectively.

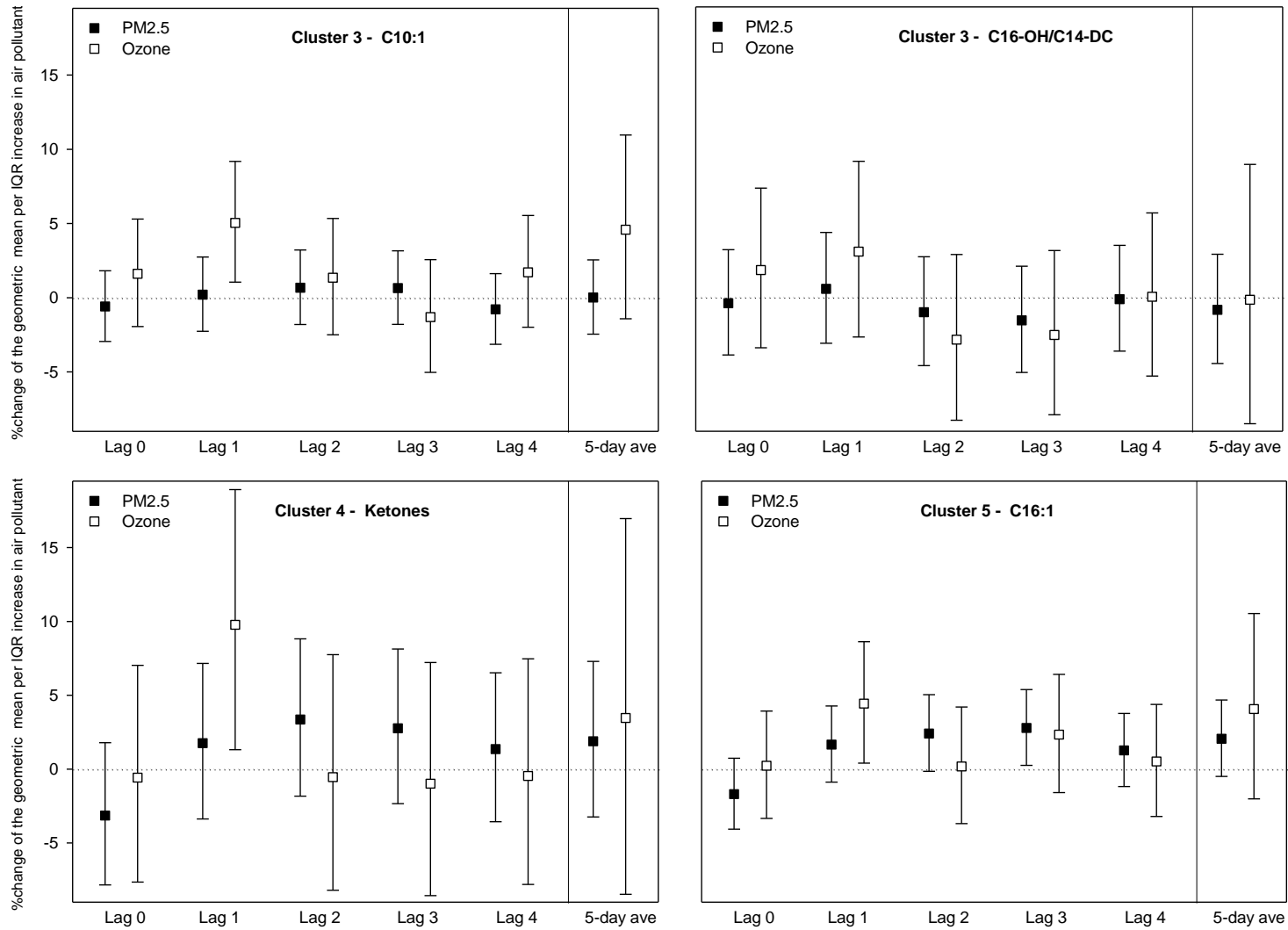
13 Results further suggest an association between ozone at lag 1 and C10:1 (Cluster 3), total ketones
14 (Cluster 4) and C16:1 (Cluster 5), (Figure 3). Moreover, increases in PM_{2.5} were associated with
15 delayed increases in C16:1 levels; the strongest effect was a 3-day lagged 2.8% increase (95% CI:
16 0.3%; 5.4%).

Figure 2. Associations between PM_{2.5}, ozone (based on the Bayesian space-time “downscaler” fusion modeling approach) and amino acid levels per interquartile range increase of air pollutant^a.



^a Models were adjusted for time trend, air temperature, relative humidity, age, gender, body mass index, race and smoking status.

Figure 3. Associations between PM_{2.5}, ozone (based on the Bayesian space-time “downscaler” fusion modeling approach) and acylcarnitine and total ketone levels per interquartile range increase of air pollutants^a.

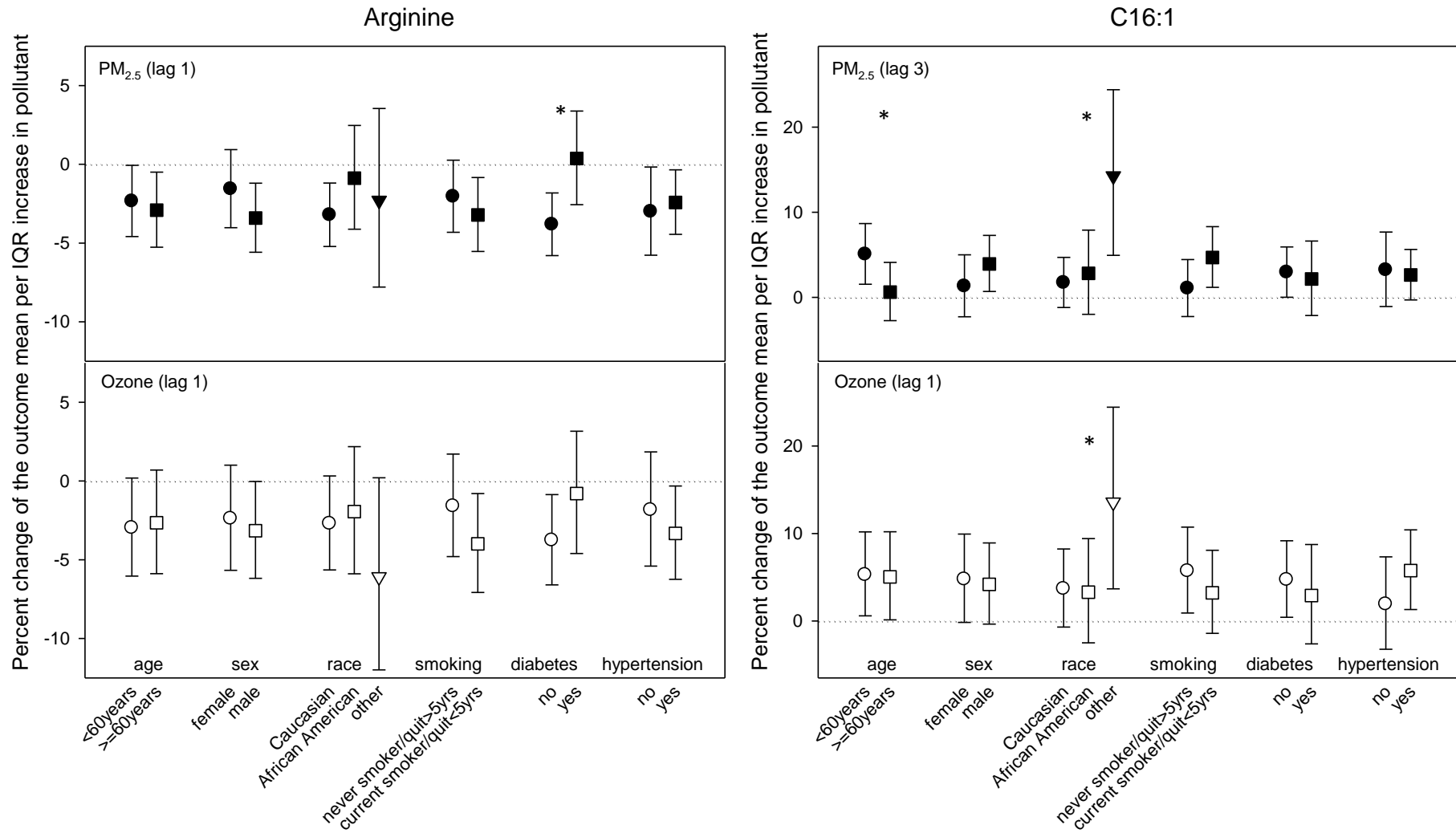


^a Models were adjusted for time trend, air temperature, relative humidity, age, gender, body mass index, race and smoking status.

1 Statistically significant effect modifications were only observed for arginine and C16:1.
2 Modifications of both PM_{2.5} and ozone effects on arginine levels were observed for diabetes status;
3 stronger associations were with those without diabetes (Figure 4). As further shown in Figure 4, both
4 PM_{2.5} and ozone effects on C16:1 were modified by race; the strongest increases were for those in the
5 Other race/ethnicity category (for each IQR increase in PM_{2.5}, lag 3 or ozone, lag 1 C16:1 levels
6 increased by 14.3% [95% CI: 4.9%; 24.4%] or 13.6% [95% CI: 3.7%; 24.4%], respectively). This
7 category is composed mainly by self-declared Native Americans living in Southeastern North
8 Carolina, with some minor composition from Asian, Hispanic, and unknown/undeclared individuals.
9 Results also suggest that PM_{2.5} and ozone effects on ornithine were more pronounced in African-
10 Americans and individuals in the Other race/ethnicity category (Supplemental Material, Figure 2);
11 moreover, effects of PM_{2.5} on C16:1 were only observed in individuals younger than 60 years. Sex,
12 smoking status, and history of hypertension did not have any modifying effects on the association
13 between air pollution and metabolite levels (data not shown).

14 Using PM_{2.5} data based on a combination of satellite-based aerosol optical depth retrievals and
15 ground monitoring data (AOD + GM) gave similar results for the three amino acids arginine, glycine
16 and ornithine compared with data from the Bayesian space-time “downscaler” fusion modeling
17 approach (Table 3).

Figure 4. Air pollution and Arginine (left panel) or C16:1 (right panel) - effect modification.



* p-value of interaction < 0.05

Table 3. Percent change (95% confidence intervals) of the geometric mean of Cluster 2 amino acid levels per interquartile range increase in PM_{2.5} based on the Bayesian space-time “downscaler” fusion modeling approach (BDFM) and based on a combination of satellite-based aerosol optical depth retrievals and ground monitoring data (AOD + GM).

Amino acids	Lag	PM _{2.5} (BDFM)		PM _{2.5} (AOD + GM)	
		% change	(95% CI)	% change	(95% CI)
Arginine	0	-1.05	(-2.76;0.68)	-1.36	(-3.11;0.42)
	1	-2.61	(-4.35;-0.84)**	-3.19	(-4.92;-1.43)†
	2	-1.71	(-3.46;0.08)	-2.10	(-3.92;-0.25)*
	3	-0.14	(-1.90;1.65)	-1.13	(-2.94;0.71)
	4	0.41	(-1.31;2.17)	0.94	(-0.86;2.78)
	5-day	-1.65	(-3.41;0.14)	-1.36	(-2.98;0.27)
Glycine	0	-0.44	(-1.70;0.84)	-0.64	(-1.93;0.67)
	1	-2.46	(-3.75;-1.16)†	-1.63	(-2.91;-0.33)*
	2	-1.31	(-2.61;0.01)	-0.87	(-2.22;0.49)
	3	0.90	(-0.41;2.23)	0.17	(-1.17;1.53)
	4	0.92	(-0.36;2.22)	0.42	(-0.89;1.75)
	5-day	-0.75	(-2.07;0.58)	-0.94	(-2.13;0.26)
Ornithine	0	0.92	(-0.52;2.39)	0.78	(-0.69;2.27)
	1	0.96	(-0.54;2.49)	1.20	(-0.28;2.70)
	2	0.93	(-0.57;2.46)	1.17	(-0.37;2.74)
	3	1.84	(0.35;3.36)*	1.31	(-0.21;2.86)
	4	2.23	(0.77;3.72)**	1.64	(0.16;3.15)*
	5-day	2.31	(0.79;3.85)**	2.10	(0.55;3.67)**

% change: Percent change of geometric mean 95% CI: 95% confidence interval; 5-day: 5-day average concentration;

Interquartile ranges for PM_{2.5} (BDFM): Lags 0-4 8.1 µg/m³, 5-day average 5.1 µg/m³; Interquartile ranges for PM_{2.5} (AOD + GM): Lags 0-4 7.5 µg/m³, 5-day average 4.6 µg/m³

* p-value < 0.05

** p-value < 0.01

† p-value < 0.001

1 ***Sensitivity analyses***

2 As mentioned, we performed several sensitivity analyses: among them we tested increasing the
3 degrees of freedom for the trend spline or excluding some of the confounders. None of the sensitivity
4 analyses changed the significant associations between air pollution and metabolites (Supplemental
5 Material, Table 5).

6 PM_{2.5} showed independent effects on arginine and glycine, whereas ozone effects were attenuated
7 in the two-pollutant models (Supplemental Material, Figure 3 and Table 6). Both pollutants exhibited
8 slightly weaker associations with ornithine while ozone showed stronger effects on acylcarnitine
9 C10:1 in the two-pollutant model. For all other metabolites except C16:1, the two-pollutant models
10 did not change the effect estimates (Supplemental Material, Table 6). For C16:1, ozone effects
11 exhibited stronger effects in the two-pollutant model.

12 Finally, we checked the exposure–response functions of metabolites and PM_{2.5} or ozone for
13 selected lags; as shown for arginine, glycine or ornithine, there was no indication for a deviation from
14 linearity (Supplemental Material, Figures 4-6).

15

16 **DISCUSSION**

17 ***Summary***

18 Prior day (1-day lag) increases in PM_{2.5} were associated with decreases in the concentrations of the
19 amino acids arginine and glycine; PM_{2.5} was also associated with delayed increases in ornithine and
20 C16:1. Increases in short-term exposures to ozone resulted in immediate and delayed increases of the
21 amino acids aspartic acid/asparagine and ornithine; delayed increases were found for the
22 acylcarnitines C10:1 and C16:1 as well as for total ketones. Results also suggested that there was
23 effect modification by race on the associations of PM_{2.5} and ozone with C16:1, C10:1 and ornithine.

24

25 ***Air pollution and metabolites***

26 To our knowledge, there has been only one epidemiological study exploring the association between
27 air pollution and small molecular blood-borne metabolites levels (Menni et al. 2015). Using a subset
28 of the TwinsUK cohort, long-term exposure to PM₁₀ and PM_{2.5} were associated with metabolites
29 related to reduced lung function. Eight metabolites were significantly negatively associated with PM,
30 including asparagine and glycine. We also observed significant negative associations between glycine
31 levels and prior day (1-day lag) increases in PM_{2.5}; however we did not observe significant relations
32 between PM_{2.5} and aspartic acid/asparagine. A small number of animal or toxicological studies have
33 investigated the associations between welding fumes or ozone and metabolite levels (Miller et al.
34 2016; Miller et al. 2015; Wang et al. 2012; Wang et al. 2015; Wei et al. 2013). However, results of
35 these studies are not directly comparable to our study because of differences in the study design,
36 pollutants (e.g., welding fumes), time points of collection and fluid sampled.

37

1

2 ***Metabolites and cardiovascular disease***

3 Metabolic profiling has the potential to identify novel biological mediators of cardiovascular disease
4 (Shah et al. 2012a). Metabolic profiles are associated with CAD, atherosclerosis and with major
5 adverse cardiovascular events including: myocardial infarction, stroke, heart failure and death
6 (Kordalewska and Markuszewski 2015; Shah et al. 2012a; Würtz et al. 2015). Tang et al.
7 (2009)(Tang et al. 2009) reported a strong association of arginine - and its downstream metabolites
8 ornithine and citrulline - with CAD and incident major adverse cardiovascular events: death,
9 myocardial infarction, and stroke. Individuals with CAD have significantly lower arginine, but greater
10 ornithine and citrulline concentrations compared to CAD free individuals; this may be an indication of
11 lower arginine bioavailability (Tang et al. 2009). Arginine is necessary for production of nitric oxide
12 (NO); and NO is important for maintaining vascular health and homeostasis. Ornithine is produced by
13 the cleavage of urea from arginine; this results in less arginine bioavailability. Low arginine
14 bioavailability ratios (arginine:ornithine) are inversely associated with markers of endothelial function
15 (Sourij et al. 2011).

16 In a recent study, plasma glycine was inversely associated with risk of subsequent myocardial
17 infarction in individuals with suspected stable angina pectoris (Ding et al. 2016). Several metabolomic
18 investigations have recorded an association of decreased glycine concentrations with diabetes
19 (Ferrannini et al. 2013; Floegel et al. 2013) and obesity (Newgard et al. 2009). Further, lower glycine
20 concentrations are a predictor of individuals who develop glucose intolerance and diabetes (Wang-
21 Sattler et al. 2012). The mechanisms linking blood plasma glycine and diabetes are related but remain
22 unexplained. However, it has been speculated that insulin resistance might result in increased
23 expression of δ -aminolevulinic acid synthase 1 (ALAS1) and production of 5-aminolevulinic acid
24 from glycine; alternatively, oxidative stress associated with diabetes leads to increased demand for
25 glutathione and depletion of circulating glycine (Roberts et al. 2014). Further, glycine is the end
26 product of a series of reactions from choline, through sarcosine whereby single carbon units are
27 donated to the one-carbon folate pool — important for defense against oxidative stress. Finally,
28 glycine, ornithine and arginine are involved in the condensation reaction producing creatine. The
29 intriguing inverse associations among glycine and arginine with ornithine and air pollutants in this
30 study should be noted. This observation may provide an important clue to the involvement of the
31 creatine condensation reaction as a mediator of short-term air quality effects on cardiometabolic
32 diseases.

33 Medium-chain acylcarnitines and long-chain dicarboxylacylcarnitines were positively associated
34 with an increased risk for all-cause mortality in participants from the CATHGEN cohort (Shah et al.
35 2012b). Moreover, a metabolic factor related to medium- and long-chain acylcarnitines was
36 associated with an increased risk for cardiovascular events in elderly individuals (Rizza et al. 2014).
37 Higher levels of acylcarnitines indicate inefficient β -oxidation and mitochondrial dysfunction; and

1 medium- and long-chained acylcarnitines are assumed to be an indicator of the defect in
2 mitochondrial oxidative capacity associated with insulin resistance (Schooneman et al. 2013).
3 Incomplete fatty acid oxidation in bodily tissues would be expected to yield a higher plasma ketone
4 concentration (total ketone and beta-hydroxybutyrate)—as observed in our study.
5 The observed short-term associations between air pollution and metabolite levels in our study are
6 currently of unknown clinical significance; however, they provide evidence of air pollution-related
7 physiologic changes and offer further insights into the pathophysiologic mechanisms by which air
8 pollution may increase the risk of acute cardiovascular events.

9

10 *Air pollution effects in potentially susceptible subgroups*

11 Infants, the elderly, the obese, and those with underlying disease - particularly cardiovascular disease
12 or type-2 diabetes - are particularly susceptible to the health effects of PM_{2.5} and ozone (Lanzinger et
13 al. 2014; Ruckerl et al. 2011; Shumake et al. 2013; Stafoggia et al. 2010). Effect modifications were
14 mostly non-significant in our study and confined to single metabolites. We observed stronger PM_{2.5}
15 effects on C16:1 levels in individuals younger than 60 years. Interestingly, air pollution effects on
16 arginine were stronger in those without diabetes. This is contrary to many other studies that found
17 individuals with diabetes to be more susceptible to the effects from air pollution (Dubowsky et al.
18 2006; O'Neill et al. 2007; Schneider et al. 2010); we are at a loss to explain this inconsistency. We
19 observed stronger associations between short-term PM_{2.5} or ozone exposure and C16:1, C10:1 and
20 ornithine in the Other race/ethnicity category; a substantial number of these participants reside in
21 Robeson County, where many people of Native American descent reside. Although these data suggest
22 this population might be more susceptible to air pollution, additional research to better identify Native
23 American participants in the CATHGEN cohort will be needed before definitive conclusions can be
24 reached.

25

26 There is additional evidence for environmental effects on some of our selected metabolites. In a
27 previous genetic study including 100 individuals in 10 families with early onset cardiovascular
28 disease, we examined the heritability of metabolites as indicated by the correlation structures
29 observed among parent-offspring, siblings and spouses (Shah et al. 2009). Spouses are generally
30 genetically unrelated and thus, highly correlated metabolites between spouses could be attributed to
31 the environments they share. We re-examined the correlations and found moderate to high spouse
32 correlations ($r > 0.5$) for arginine, glycine, ornithine, and C18:1. The latter showed an environmental
33 influence on C16:1 ($r = 0.73$).

34

35 *Strengths and limitations*

36 A strength of CATHGEN is the availability of detailed information on demographics and
37 cardiometabolic risk factors; this enables appropriate adjustment for potential confounders (Kraus et

1 al. 2015). Moreover, all variables were assessed prospectively prior to catheterization in a fasting
2 state.

3 A further strength is the confirmation of observations using alternative methods for determining
4 air quality exposures. We obtained daily PM_{2.5} concentrations from two different sources; using data
5 based on a combination of satellite-based aerosol optical depth retrievals and ground monitoring data
6 (AOD + GM) led to similar effects compared to results obtained from the Bayesian space-time
7 “downscaler” fusion modeling approach.

8 One potential weakness is the risk for false discovery; in an exploratory analytic approach, we
9 performed a large number of analyses in ten metabolites. We sought to minimize the risk of false
10 discovery by reducing the number of metabolites through cluster analysis. We selected for analysis
11 only metabolites having high measurement accuracy, a low percentage of values below the detection
12 limit, and/or which were uncorrelated with other metabolites within the same cluster. By conducting
13 appropriate sensitivity analyses we are confident of our findings. Irrespective of the risk of multiple
14 comparisons, and given the limited knowledge concerning the effects of air pollution on metabolite
15 exposures, these exploratory analyses hold substantial value; they may be useful for generating
16 hypotheses regarding the biological mechanisms of cardiovascular disease.

17 No repeated measurements of metabolite levels for each participant are available; therefore,
18 potential variation of metabolite levels within one individual could not be taken into account. In
19 contrast, a large number of individuals were included in the analyses; this made possible the
20 investigation of potential air pollution effect modifications by intrinsic individual characteristics. We
21 were not able to adjust for medication, as this information was only available for a few hundred
22 participants; however, medication use has not influenced previous studies in this population (Shah et
23 al. 2010; Shah et al. 2012a; Shah et al. 2012b). A more detailed adjustment for smoking was not
24 possible: only current smoking status was obtained in the study. Finally, one should be cautious in
25 generalizing our observations to a community sample; only patients undergoing cardiac
26 catheterization and with a high risk of CAD were included. Nevertheless, these studies may provide
27 useful mechanistic clues to the metabolic underpinnings of cardiovascular disease.

28 29 ***Conclusions***

30 Short-term ambient PM_{2.5} and ozone exposures were associated with plasma concentrations of
31 metabolites in a cohort of cardiac catheterization patients. Our findings suggest that environmental
32 stressors — such as air pollution — are important factors to consider when examining the metabolic
33 mechanisms of cardiovascular disease. The glycine-ornithine-arginine metabolic axis and incomplete
34 fatty acid oxidation associated with mitochondrial dysfunction as mediators of cardiometabolic risk
35 are of particular interest for further investigation.

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