

1 **Targeted mitochondrial uncoupling beyond UCP1 – the fine line between death**  
2 **and metabolic health**

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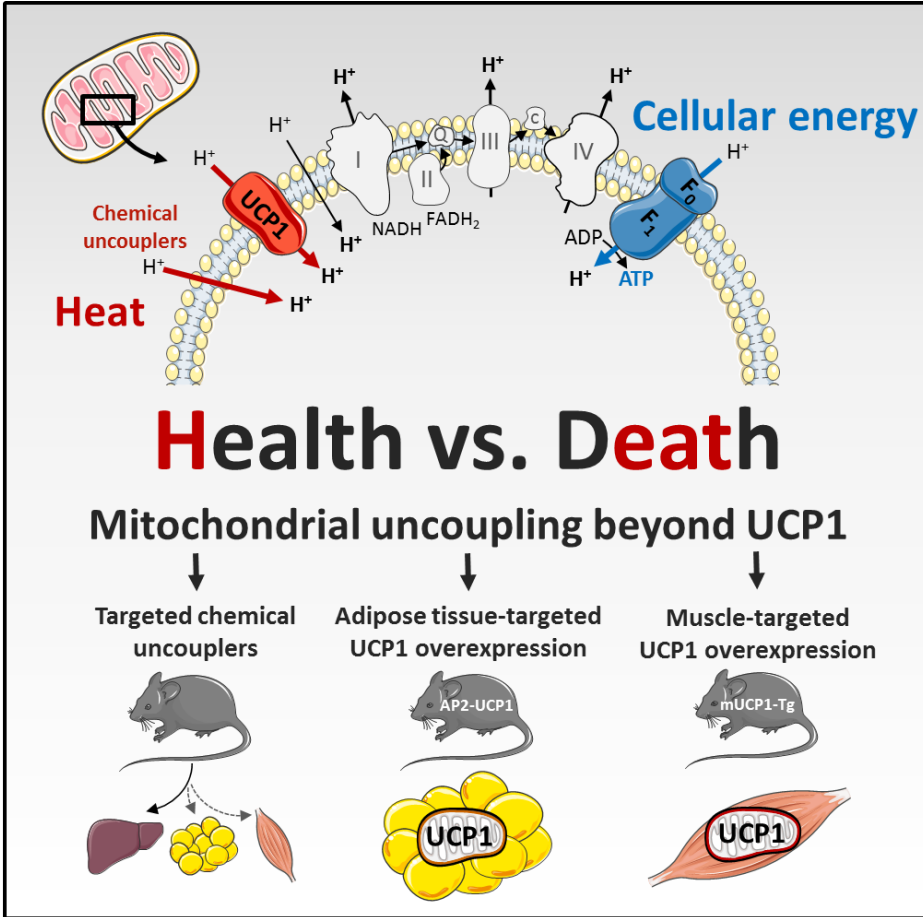
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14 **Highlights:**

- 15 • Ectopic mitochondrial uncoupling increases substrate oxidation in target tissues.  
16 • Novel chemical uncouplers tackle obesity, diabetes and fatty liver disease.  
17 • Targeted UCP1 overexpression ameliorates obesity, hypertriglyceridemia and insulin resistance.  
18 • Muscle-targeted UCP1 overexpression promotes adaptive metabolic remodeling, endocrine  
19 crosstalk and survival.



22 **ABSTRACT**

23 In the early 1930s, the chemical uncoupling agent 2,4-dinitrophenol (DNP) was promoted for the  
24 very first time as a powerful and effective weight loss pill but quickly withdrawn from the market  
25 due to its lack of tissue-selectivity with resulting dangerous side effects, including hyperthermia and  
26 death. Today, novel mitochondria- or tissue-targeted chemical uncouplers with higher safety and  
27 therapeutic values are under investigation in order to tackle obesity, diabetes and fatty liver disease.  
28 Moreover, in the past 20 years, transgenic mouse models were generated to understand the  
29 molecular and metabolic consequences of targeted uncoupling, expressing functional uncoupling  
30 protein 1 (UCP1) ectopically in white adipose tissue or skeletal muscle. Similar to the action of  
31 chemical mitochondrial uncouplers, UCP1 protein dissipates the proton gradient across the inner  
32 mitochondrial membrane, thus allowing maximum activity of the respiratory chain and  
33 compensatory increase in oxygen consumption, uncoupled from ATP synthesis. Consequently,  
34 targeted mitochondrial uncoupling in adipose tissue and skeletal muscle of UCP1-transgenic mice  
35 increased substrate metabolism and ameliorates obesity, hypertriglyceridemia and insulin  
36 resistance. Further, muscle-specific decrease in mitochondrial efficiency promotes a cell-  
37 autonomous and cell-non-autonomous adaptive metabolic remodeling with increased oxidative  
38 stress tolerance. This review provides an overview of novel chemical uncouplers as well as the  
39 metabolic consequences and adaptive processes of targeted mitochondrial uncoupling on metabolic  
40 health and survival.

41 **Keywords:**

42 Energy metabolism, Longevity, Mitochondria, Obesity, Protonophore, Uncoupling protein 1

43

44 **Abbreviations:**

45 AMPK, AMP-activated protein kinase; aP2, adipocyte protein 2; ATP, adenosine triphosphate;  
46 BAT, brown adipose tissue; CRMP, controlled-released mitochondrial protonophore; DNP, 2,4-  
47 dinitrophenol; DNPME, DNP-methylethyl; FGF21, fibroblast growth factor 21; NEN, niclosamide  
48 ethanolamine salt; OXPHOS, mitochondrial oxidative phosphorylation; RMR, resting metabolic  
49 rate; ROS, reactive oxidant species; T2D, type 2 diabetes; TPP, triphenylphosphonium; UCP1,  
50 uncoupling protein 1, WAT, white adipose tissue

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## 70 **1 Introduction**

71 Metabolic diseases such as obesity, hypertriglyceridemia and type 2 diabetes (T2D) have reached an  
72 epidemic level globally [1, 2]. Metabolic health is closely associated with body weight and whole-  
73 body energy balance which can be regulated by the amount of energy intake or energy expenditure.  
74 Targeting processes that lead to a reduction in mitochondrial coupling/efficiency could be a  
75 promising therapeutic strategy to combat obesity and its co-morbidities. The uncoupling protein 1  
76 (UCP1) is the first identified and most studied uncoupling protein, discovered almost 40 years ago  
77 [3, 4]. Despite the existence of other UCPs such as UCP2 [5] and UCP3 [6, 7], only UCP1 seems to  
78 mediate energy dissipation as heat for adaptive thermogenesis via functional mitochondrial  
79 uncoupling *in vivo* [8, 9]. UCP1 is predominantly expressed in brown adipose tissue (BAT)  
80 mitochondria and dissipates upon activation the proton gradient across the inner mitochondrial  
81 membrane [10], thus uncoupling electron transfer system from ATP synthesis and accelerating  
82 mitochondrial oxidative phosphorylation (OXPHOS) in order to maintain ATP homeostasis [11].  
83 Basically, mitochondrial uncoupling refers to a loss of coupling between the mitochondrial inner  
84 membrane electrochemical proton gradient and the synthesis of ATP (Fig. 1), thereby releasing  
85 energy as heat [12]. Within BAT depots, this metabolic process is called non-shivering  
86 thermogenesis which is UCP1-dependent and strongly increased by cold exposure [13, 14]. The  
87 manipulation of UCP1 activity is an excellent approach to influence energy expenditure and a  
88 natural defense against obesity. However, it is localized in BAT, a tissue which, when not activated  
89 by cold induction, represents only a small part of the human body [15-18].

90 Pharmacological agents that increase metabolic rate by increasing uncoupling of mitochondrial  
91 OXPHOS were intensively studied in the past. However, systemic chemical mitochondrial  
92 uncoupling agents, such as 2,4-dinitrophenol (DNP) or carbonyl cyanide p-(trifluoromethoxy)  
93 phenylhydrazone (FCCP) lack selectivity and have a narrow therapeutic window, largely due to  
94 their severe side effects and toxic doses [19]. Instead, tissue-specific and thereby targeted  
95 mitochondrial uncoupling has been investigated during the last decades as a powerful strategy to  
96 regulate whole-body energy homeostasis and metabolic health for the treatment of obesity and  
97 associated metabolic disorders. This review provides an overview of the metabolic consequences  
98 and adaptive processes in response to a targeted treatment with chemical uncoupling agents or  
99 ectopic overexpression of functional UCP1, as a model of tissue-targeted mitochondrial uncoupling.

## 100 **2 Metabolic impact of chemical mitochondrial uncoupling agents**

101 Apart from dietary and pharmacological interventions affecting satiety or intestinal absorption  
102 efficiency to decrease energy intake, increasing energy output through pharmacological uncoupling  
103 has been proposed as a weight-loss therapy [20]. Below we review well-known and novel chemical  
104 uncoupling agents and discuss their relevance for metabolic health and treatment of human  
105 metabolic disease.

### 106 **2.1 DNP (2,4-Dinitrophenol)**

107 The first and best-studied example is the artificial uncoupler DNP, a lipid-soluble weak acid which  
108 acts as a chemical protonophore and allows protons to leak across the inner mitochondrial  
109 membrane [21], mimicking the uncoupling effect of activated UCPs. In the 1930s, DNP was widely  
110 used to treat obesity [22]. Nevertheless, because at high doses nonspecific uncoupling in all tissues  
111 causes dangerous side effects including hyperthermia and death [23], DNP was withdrawn from the  
112 market by the US Food and Drug Administration (FDA) in 1938. Case reports demonstrated that an  
113 acute administration of 20–50 mg per kilogram of body weight in humans can be lethal [24].

114 Nevertheless, in 2015 in the UK, a substantial increase was reported in clinical presentations with  
115 toxicity and associated high mortality caused by exposure to DNP [25]. In contrast, recent studies  
116 demonstrate that long-term treatment with low doses of DNP protects against diet-induced obesity,  
117 improves insulin sensitivity and increases lifespan in mice [26, 27]. Because of the strong effects on  
118 body weight in humans and survival in mice, the mechanism of action of DNP remains under  
119 investigation as a potential approach for the treatment of obesity and associated metabolic disorders  
120 (Fig. 2). In 2006, Murphy and colleagues developed a mitochondrial-targeted form of DNP, by  
121 coupling it to the lipophilic triphenylphosphonium (TPP) cation, which accumulates within  
122 mitochondria driven by the membrane potential [28]. They found that MitoDNP was extensively  
123 taken up by mitochondria, however no increase in uncoupling could be observed. Six years later  
124 Chalmers et al. developed a compound called MitoPhotoDNP, a mitochondria-targeted  
125 photoactivated protonophore [29]. Comparable with MitoDNP, it is targeted to mitochondrion by  
126 TPP, but releases DNP only in response to directed irradiation with UV light. Indeed,  
127 MitoPhotoDNP led to the selective uncoupling of individual and/or several mitochondria within a  
128 cell when used in conjunction with fluorescence imaging. Thus, MitoPhotoDNP represents a  
129 promising tool to elucidate the effects of mitochondrial uncoupling on cellular metabolism *in vitro*  
130 which is of great importance in the development of less toxic protonophore.

## 131 2.2 Next generation chemical uncouplers

132 Several novel mitochondrial- or tissue-targeted chemical uncouplers with a higher safety and  
133 therapeutic value were developed and investigated in the last couple of years (Fig. 2). In 2010, the  
134 group of Vladimir P. Skulachev synthesized penetrating cation/fatty acid anion pairs as  
135 mitochondria-targeted protonophore. While initially searching for mitochondria-targeted  
136 antioxidants, they discovered that the synthesized plastoquinone derivatives SkQ1 (10-(6'-  
137 plastoquinonyl) decyltriphenylphosphonium) and C<sub>12</sub>TPP (dodecyltriphenylphosphonium)  
138 potentiated the fatty acid-induced uncoupling of respiration and OXPHOS in isolated rat-liver  
139 mitochondria [30]. SkQ1 was further investigated as mitochondria-targeted antioxidant for potential  
140 treatment of various age-related diseases, such as Alzheimer's disease [31] or retinopathy [32, 33].  
141 Furthermore, a recent study demonstrated that the mitochondrial-targeted C<sub>12</sub>TPP effectively  
142 increased oxygen consumption in isolated brown-fat mitochondria, independent of UCPI, and  
143 abolished diet-induced obesity in mice by reducing food intake, increasing the resting metabolic  
144 rate and overall fatty acid oxidation [34].

145 In addition, Rhodamine 19 butyl ester (C<sub>4</sub>R1), a short-chain alkyl derivative of Rhodamine 19, was  
146 found to decrease the membrane potential and stimulate respiration of isolated liver mitochondria as  
147 well as reduce oxidative stress induced by brain ischemia and reperfusion in rats [35]. In addition,  
148 similar to the *in vivo* effects of C<sub>12</sub>TPP, the penetrating cation C<sub>4</sub>R1 effectively reduced body  
149 weight and fat mass of obese mice fed a high-fat diet [36]. Thus, both C<sub>12</sub>TPP and C<sub>4</sub>R1 are  
150 considered as novel promising candidates for mild mitochondrial uncoupling anti-obesity drugs.  
151 With the overall aim to identify mitochondrial-targeted uncouplers that lack off-target activity at the  
152 plasma membrane, the lipophilic weak acid named BAM15 was recently identified by a small  
153 molecule library and bioenergetics screening approach [37]. Interestingly, the authors could show  
154 that although BAM15 is less cytotoxic because it does not depolarize the plasma membrane, it still  
155 effectively uncouples OXPHOS in L6 myoblast mitochondria *in vitro*. Furthermore, first *in vivo*  
156 experiments demonstrated that BAM15 protects mice from acute renal ischemic-reperfusion injury,  
157 whereas effects on metabolic diseases have not been addressed so far.

158 However, the above-mentioned uncoupling agents are not selective for particular mitochondria and  
159 the challenge still is to find a way to deliver those molecules to mitochondria within individual  
160 tissues or cells. Two additional novel mitochondrial uncouplers, named C1 and CZ5, were recently  
161 uncovered performing a high-throughput screening assay for modulators of mitochondrial  
162 membrane potential [38, 39]. The small-molecule compound C1 increased fat oxidation and activity  
163 of key cellular energy sensor AMP-activated protein kinase (AMPK) [40] acutely 2 hours after  
164 intraperitoneal injection specifically in liver of lean mice as well as reduced hyperglycemia and  
165 plasma fatty acids in diabetic *db/db* mice after long-term oral administration for 4 weeks [38].  
166 Remarkably, the compound CZ5 was described to act as a cell type-specific uncoupler that only  
167 targets skeletal muscle and adipose tissue, but not liver [39]. Chronic orally administrated CZ5 for  
168 25 days ameliorated diet-induced obesity via both increased energy expenditure and suppressed  
169 food intake. Besides, CZ5 treatment improved glucose and lipid metabolism *in vivo*, accompanied  
170 by an activated AMPK phosphorylation in targeted white fat depot and skeletal muscle.

171 Finally, a recent study by Tao et al. could show that treatment with niclosamide ethanolamine salt  
172 (NEN) uncouples mammalian mitochondria at upper nanomolar concentrations and increases  
173 energy expenditure and lipid metabolism in mice [41]. Interestingly, NEN represents a salt form of  
174 niclosamide (5-chloro-salicyl-(2-chloro-4-nitro) anilide), an anthelmintic drug approved by the  
175 FDA for treating intestinal infections of tapeworms [42]. Remarkably, oral NEN efficaciously  
176 prevented and treated hepatic steatosis and insulin resistance in high-fat-fed mice and improved  
177 glycemic control accompanied by delayed disease progression in *db/db* mice. Moreover, NEN  
178 activated AMPK in a dose- and time-dependent manner in liver, but not in muscle and adipose  
179 tissue of treated mice. Thus, the authors concluded that liver is a direct target of NEN treatment and  
180 AMPK-activation as key mechanism on promoting lipid oxidation.

### 181 **2.3 Liver-targeted chemical uncouplers**

182 A study has recently demonstrated that a functionally liver-targeted derivative of DNP, DNP-  
183 methyl ether (DNPME), reversed a high-fat diet-induced hypertriglyceridemia, hepatic steatosis,  
184 and whole-body insulin resistance in rats without inducing hyperthermia or associated hepatic or  
185 systemic toxicities [43]. Here, the authors hypothesized that derivatives of DNP, such as DNPME,  
186 would be preferentially metabolized by the hepatic cytochrome P450 system to yield the active  
187 protonophore DNP in hepatocytes as primary target cells. Interestingly, they reported that the 50%  
188 lethal dose (LD<sub>50</sub>) of DNPME is almost 10-fold higher than that of classic DNP. In a follow-up  
189 study, the same group developed a controlled-release oral formulation of DNP, called CRMP  
190 (controlled-release mitochondrial protonophore) in order to further improve the safety and efficacy  
191 of DNP, consequently increasing the therapeutic window of this agent [44]. Using a polymer  
192 hydroxypropylcellulose/ethylcellulose coating system they generated a novel DNP version with  
193 lower peak plasma levels and sustained-release pharmacokinetics. In rat models of diet-induced or  
194 genetic obesity, daily CRMP administration reversed hepatic steatosis, insulin resistance, T2D,  
195 steatohepatitis, and liver fibrosis without detectable toxicity [44].

196 Altogether, tissue-targeted chemical mitochondrial uncoupling agents provide an elegant strategy to  
197 combat obesity and associated disorders, although the issue of dose-dependence and self-limitation  
198 remains an important open question (Fig. 3A). Thus, regulation and activation of endogenous  
199 proteins with uncoupling action, such as UCP1 or the adenine nucleotide translocase (ANT) [45],  
200 may provide an alternative strategy for dose-independent and self-limiting tissue-restricted  
201 mitochondrial uncoupling.



### 202 **3 Targeted expression of UCP1 to white fat depots**

203 White adipose tissue (WAT) plays an important role as an endocrine regulator and is involved in  
204 whole body glucose as well as energy homeostasis. However, its major role is the control of  
205 systemic fatty acids levels and the storage of metabolic energy, thus the opposite of energy burning  
206 thermogenic brown adipose tissue.

#### 207 **3.1 Metabolic consequences of white adipose tissue-targeted mitochondrial uncoupling**

208 In 1995, Kopecky and coworkers established a transgenic mouse model (AP2-UCP1 mice)  
209 expressing UCP1 in WAT [46-48]. In these mice, the fat-specific AP2 promoter was used to drive  
210 expression of UCP1, resulting in enhanced protein expression of UCP1 in both WAT and BAT.  
211 Notably, whereas the total protein amount of transgenic UCP1 in WAT of adult mice did not exceed  
212 2% of native UCP1 found in bona fide BAT depots, this was still sufficient to uncouple OXPHOS  
213 in WAT adipocytes [49]. Moreover, AP2-UCP1 mice showed resistance to diet induced obesity,  
214 which is consistent with the hypothesis, that thermogenesis from elevated expression of UCP1  
215 reduced adiposity (Fig. 3B). In contrast, the transgene particular led to BAT atrophy which  
216 impaired thermogenic mechanisms for protecting body temperature [50]. In fact, it was shown that  
217 ectopic WAT-targeted UCP1 overexpression particularly activated AMPK and mitochondrial  
218 biogenesis in unilocular white adipocytes [51, 52]. Transgenic UCP1 also reduced lipogenesis and  
219 modulated lipolysis and hormonal control of lipid metabolism [53, 54] but resulted in only a  
220 marginal stimulation of RMR [50]. Moreover, UCP1 expression in WAT of AP2-UCP1 mice  
221 decreases with age [52], suggesting a posttranscriptional control of the ectopic UCP1 expression or  
222 an elimination of UCP1-containing adipocytes with time. Finally, Yamada et al. could demonstrate  
223 that ectopic expression of very low levels of UCP1 in epididymal WAT through injection of a  
224 UCP1 adenovirus vector reversed both insulin and leptin resistance, improved glucose tolerance and  
225 decreased food intake in both diet-induced and genetically obese mouse models [55].

#### 226 **3.2 Induction of endogenous UCP1 in white fat depots**

227 Nowadays research focus intensively on the induction of endogenous UCP1 in WAT depots, also  
228 called the “browning” of white fat, which is characterized by the infiltration or transdifferentiation  
229 of so called beige/brite fat cells within white adipose tissue depots [56]. It was already reported 30  
230 years ago that cold stimulus induces multilocular, UCP1-positive fat cells within certain WAT  
231 depots [57, 58]. Today we know that endogenous UCP1 can be induced in WAT not only by cold  
232 exposure, but also in response to different pharmacological and nutritional stimuli [59] as well as by  
233 secreted endogenous factors [60, 61]. In line with the results of ectopic expression of UCP1 in  
234 WAT, the induction of WAT browning has been associated with improved metabolic health [62].  
235 However, while it was demonstrated that UCP1 in brite/beige adipose tissue mitochondria is indeed  
236 thermogenically functional [63], we could recently demonstrate a clear dissociation of WAT  
237 browning from obesity resistance and improved glycemic control and insulin sensitivity [61]. Thus,  
238 the precise physiological relevance of WAT remodeling, including UCP1 expression, still remains  
239 hotly debated [64].

### 240 **4 Targeted expression of UCP1 to skeletal muscle**

241 Recent studies implicated that BAT and muscle cells, but not WAT cells, differentiate from a  
242 common precursor [65], suggesting that BAT cells are more similar to muscle cells than to white  
243 adipocytes. Moreover, skeletal muscle represents a plastic and highly metabolic active organ that  
244 constitutes up to 40% of total body mass in mammals and is the major contributor to RMR and total  
245 energy expenditure [66-68]. Therefore, skeletal muscle represents a predominant site of glucose

246 disposal and plays a crucial role in glycemic control [69] as well as uptake and utilization of plasma  
247 lipoprotein-derived and free fatty acid [70, 71]. In contrast, chronic metabolic disorders such as  
248 obesity and T2D are closely associated to impaired muscle mitochondrial function [72, 73] and an  
249 involvement of skeletal muscle in mitochondrial dysfunction-associated diseases is frequent [74].  
250 Thus, proper muscle mitochondrial performance is tightly connected to metabolic health and the  
251 question came up whether skeletal muscle represents another suitable tissue for targeted  
252 mitochondrial uncoupling?

#### 253 **4.1 Metabolic consequences of muscle-targeted mitochondrial uncoupling**

254 Almost 16 years ago, the first transgenic mouse model with ectopic muscle-targeted UCP1  
255 overexpression (mUCP1-Tg) driven by the rat myosin light chain 2 (MYL2) promoter was  
256 described by Li et al. demonstrating that low muscle UCP1 expression doubled muscle oxygen  
257 consumption without affecting thermoregulation [75]. Moreover, transgenic mice with muscle-  
258 targeted UCP1 overexpression under control of the mouse muscle creatine kinase (MCK) [76] or  
259 the human skeletal actin (HSA) [77] promoter were generated. Again, while mUCP1-Tg mice  
260 indeed show an increased mitochondrial uncoupling and a reduced muscle mitochondrial OXPHOS  
261 capacity [78], body temperature was normal or rather decreased with declining ambient temperature  
262 [77]. mUCP1-Tg mice display increased energy expenditure [77] and a whole range of metabolic  
263 improvements such as an increased metabolic flexibility [79], muscle glucose uptake and fatty acid  
264 oxidation [80, 81], as well as an increased insulin sensitivity accompanied by decreased insulin  
265 levels, especially under high-fat diet-feeding. Interestingly, this increased insulin sensitivity is  
266 independent of diet and body fat accumulation suggesting a dissociation of obesity and insulin  
267 resistance in mUCP1-Tg mice [79, 82, 83]. Surprisingly, not only muscle is affected in mUCP1-TG  
268 mice. Furthermore they show an increased glucose uptake [84], an augmented lipid metabolism [79]  
269 as well as an induction of beige/brite adipocytes in WAT depots [85], suggesting an endocrine role  
270 of skeletal muscle uncoupling. Overall, improved glucose tolerance accompanied by an increased  
271 insulin sensitivity and muscle glucose uptake seems to be the most robust metabolic phenotype of  
272 mUCP1-Tg models (Fig 3C).

#### 273 **4.2 Muscle-targeted mitochondrial uncoupling promotes adaptive metabolic remodeling**

274 Glycolytic and oxidative metabolic processes are rapidly activated to maintain cellular energy  
275 homeostasis in skeletal muscle. In line with the above-mentioned liver- and WAT-targeted  
276 mitochondrial uncoupling approach it was shown that muscle-restricted mitochondrial uncoupling  
277 also led to an increased AMPK activity [84, 86]. Notably, AMPK $\alpha$ 2 activity, but not AMPK $\alpha$ 1 was  
278 highly induced in muscle of mUCP1-Tg mice, and loss of active AMPK $\alpha$ 2 promoted a severe  
279 reduction of overall muscle integrity together with a highly diminished physical activity tolerance  
280 and impaired mitochondrial biogenesis [80]. This revives the significance of AMPK for regulating  
281 cellular plasticity in response to chronic decreased mitochondrial energy efficiency. Interestingly,  
282 enhancing AMPK activity in brown adipocytes also increased BAT activity [87]. Thus, targeting  
283 AMPK as a key mediator of a cell-autonomous adaptive response holds therapeutic potential for the  
284 treatment of obesity and associated metabolic disorders.

285 Furthermore, muscle-targeted mitochondrial uncoupling promotes cell-non-autonomous effects and  
286 endocrine crosstalk via secretion of myokines. Treatment of mouse C2C12 muscle cells *in vitro*  
287 with the chemical uncoupler FCCP resulted in a strong induction of integrated stress response (ISR)  
288 as well as fibroblast growth factor 21 (FGF21) as myokine [85, 88]. Notably, muscle mitochondrial  
289 uncoupling induces FGF21, which was previously described as enhancer of WAT browning [89], in  
290 skeletal muscle of mUCP1-Tg mice *in vivo* [85]. Indeed, using mUCP1-Tg/FGF21-knockout mice,

291 we recently demonstrated that cell-non-autonomous WAT browning and metabolic remodeling is  
292 fully FGF21 dependent [61]. Remarkably, the cell-autonomous muscle metabolic adaptation and  
293 obesity resistance was independent of FGF21 action as a myokine. With regard to metabolic health  
294 and aging it was shown that transgenic overexpression of FGF21 in liver markedly extends lifespan  
295 in mice probably in an auto-/paracrine manner by blunting the growth hormone/insulin-like growth  
296 factor-1 signaling pathway [90]. Furthermore, a recent study could demonstrate that serum FGF21  
297 levels in humans are related to BAT activity [91]. However, to date, the ultimate contribution of  
298 FGF21 to a cell-autonomous and cell-non-autonomous response on effects of targeted  
299 mitochondrial uncoupling and survival remains to be elucidated.

### 300 **4.3 Muscle-targeted mitochondrial uncoupling delay age-related disease**

301 Remarkably, two independent studies found that skeletal muscle-targeted respiratory uncoupling  
302 promotes survival [82, 86] and diminishes age-related diseases, such as atherosclerosis, vascular  
303 disease and blood pressure as well as diabetes [86, 92]. Interestingly, markers of lipid-oxidative  
304 stress levels were highly induced in muscle of mUCP1-Tg mice independent of the diet-feeding  
305 regime [93]. In addition, increased activity of endogenous antioxidant defense enzymes such as  
306 catalase or superoxide dismutase (total SOD) provides evidence for an elevated rather than reduced  
307 formation of reactive oxidant species (ROS) in muscle of mUCP1-Tg mice. Mitochondria are a  
308 major site of cellular ROS production [94] which by itself represents an important mediator of  
309 mitochondrial stress signaling to promote cellular adaptation [95]. In line with that, glutathione  
310 metabolism was induced followed muscle-targeted ectopic mitochondrial uncoupling [96, 97]  
311 which fits with the induced serine and glycine biosynthetic pathway [96], as both amino acids are  
312 important precursors for glutathione biosynthesis [98].

313 Initially, a “rate of living” hypothesis has been proposed by Pearl in the late 1920s, predicting that  
314 increased energy metabolism would increase the ROS production and thus reduce life span [99].  
315 While 40 years later Peter Mitchell’s chemi-osmotic hypothesis provided the basis for  
316 understanding the actual process of OXPHOS and energy/ATP synthesis [100], it took another 40  
317 years to reveal that an inefficiency of the respiratory chain through mitochondrial uncoupling might  
318 increase longevity by reducing ROS generation, despite increased energy expenditure [101]. This so  
319 called “uncoupling to survive” hypothesis was supported by the study of Speakman et al., showing  
320 that mice with highest metabolic intensity and mitochondrial uncoupling live longer than littermates  
321 with lower metabolic rate [102]. However, whether mild mitochondrial uncoupling under  
322 physiological conditions indeed plays a role as alleviator of oxidative damage remains unclear  
323 [103]. Although mitochondrial function and increased oxidative stress are usually associated with  
324 aging [94], a ROS-induced cellular stress adaptation through an increased endogenous antioxidant  
325 defense system is in line with the concept of “mitohormesis”, suggesting a link between mild  
326 oxidative stress and enhanced cellular function [104]. To date, mitohormesis has been best studied  
327 in *Caenorhabditis elegans* (*C. elegans*) as a model for neurologic and metabolic diseases [105,  
328 106]. In addition, a *Drosophila* model of mild muscle mitochondrial distress showed preserved  
329 mitochondrial and muscle function during aging and a prolonged lifespan [107]. Thus, the  
330 significance of the “uncoupling to survive” hypothesis related to longevity by uncoupling-mediated  
331 reduced ROS formation [101] should be re-evaluated taking into account the concept of  
332 “mitohormesis” based on the *in vivo* mitochondrial adaptation in response to muscle-targeted  
333 ectopic mitochondrial uncoupling.

334 Altogether, despite using different promoters, all mUCP1-Tg mice display an overall improved  
335 metabolic phenotype of increased insulin sensitivity, reduced obesity and increased survival (Fig.

336 3C). However, the molecular mechanisms behind the phenotype are quite complex and not fully  
337 understood so far.

## 338 **5 Conclusion and therapeutic perspectives**

339 While discussing potential therapeutic targets for obesity, T2D and fatty liver disease strategies of  
340 life style change such as dietary restriction and regular exercise programs should always be kept in  
341 mind for improvement of metabolic health status. Nevertheless, if proofed to be safe and effective,  
342 tissue-targeted chemical mitochondrial uncoupling agents still provide an additional therapeutic  
343 strategy to combat metabolic syndrome and associated disorders.

344 Studies using transgenic mice with targeted UCP1 overexpression uncovered key molecular  
345 mechanisms how mitochondrial uncoupling affects energy metabolism and metabolic health *in vivo*.  
346 Thereby, it was proven that AMPK plays a crucial role as a housekeeper for mitochondrial function  
347 to maintain energy homeostasis and cellular integrity. Together with mitochondrial uncoupling-  
348 induced endocrine crosstalk via secretion of cytokines, such as FGF21, this potentially could open  
349 up new avenues of investigations that may help to understand how a specific target tissue is  
350 sufficient to reprogram and tune the metabolic health of the whole organism. It is worth mentioning  
351 that the first human mitochondrial disease discovered around 50 years ago, Luft's disease, leads to a  
352 muscle atrophy due to increased uncoupled mitochondrial oxidative phosphorylation and energy  
353 depletion within skeletal muscle which is also affecting whole-body energy metabolism [108].  
354 Thereby, Luft and colleagues described the first example how a single dysfunctional organelle  
355 within one specific tissue can affect the whole organism. However, our knowledge regarding the  
356 integrated signaling network of cell plasticity remains rudimentary and further studies are required  
357 to enlarge our understanding.

358 Overall, there is a still increasing interest in mitochondrial uncoupling during the past 20 years  
359 [109], and we are just half-way on our journey to discover a safe "polypill" that treats metabolic  
360 disorders such as obesity and T2D. Whether the light at the end of the tunnel will be a targeted  
361 chemical mitochondrial uncoupler or a train remains to be unknown.

## 362 **6 Conflict of interests**

363 The authors declare no conflict of interest.

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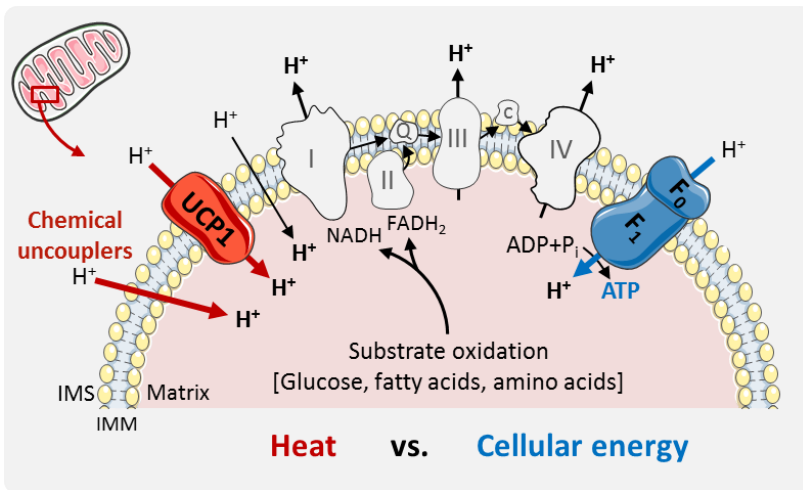
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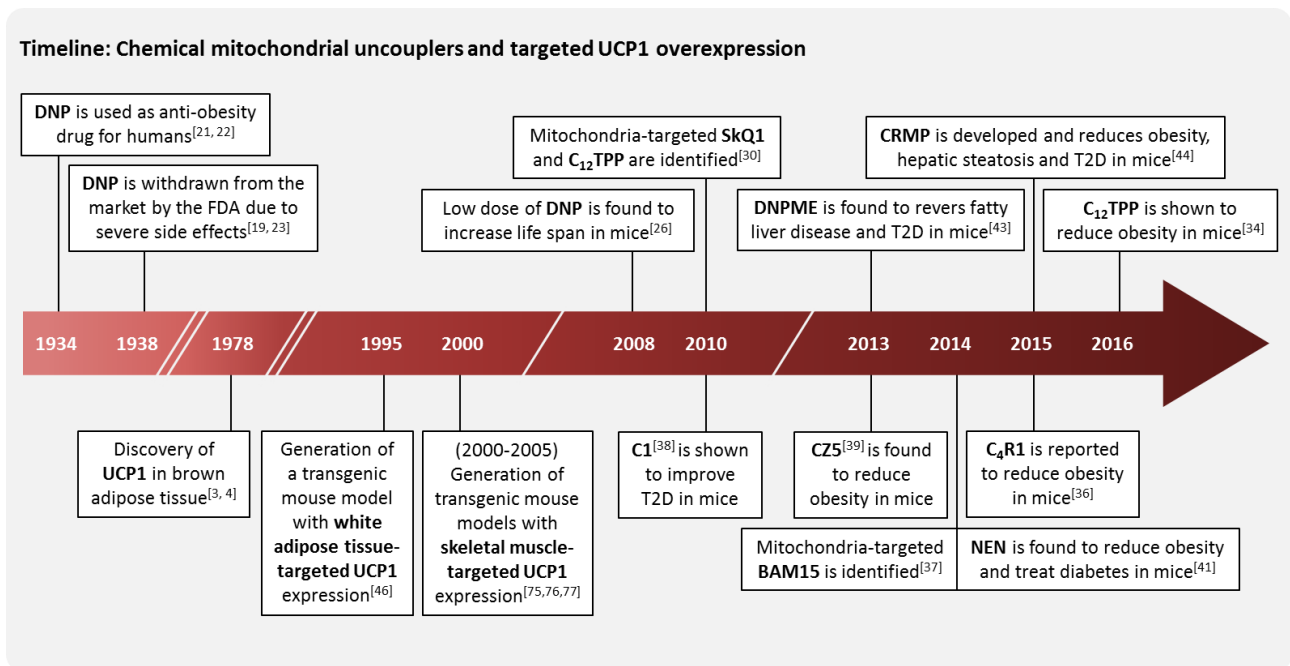
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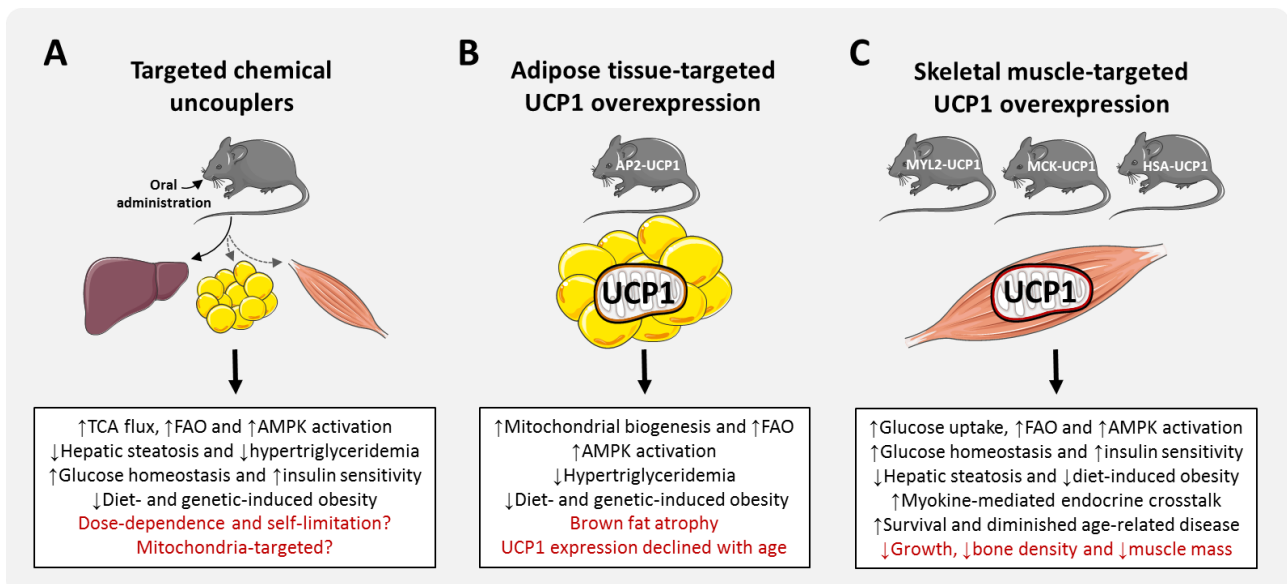
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680 **Fig. 1. Mitochondrial oxidative phosphorylation and uncoupling.** Cellular energetics is  
 681 efficiently controlled by rate of the electron transfer system (ETS) and oxidative phosphorylation,  
 682 referred to as the mitochondrial respiratory chain (RC). Substrate muscle metabolism of glucose  
 683 (glycolysis), fatty acids ( $\beta$ -Oxidation) and amino acids is closely coupled with ATP formation  
 684 through mitochondrial RC. The primary reducing equivalents of the ETS are nicotinamide adenine  
 685 dinucleotide (NADH), and flavin adenine dinucleotide (FADH<sub>2</sub>) which are mainly generated by the  
 686 tricarboxylic acid cycle or during  $\beta$ -Oxidation of fatty acids/acyl-CoA. Electrons received from  
 687 NADH or FADH<sub>2</sub> are passed through the series of OXPHOS complexes in the RC, ultimately  
 688 reducing oxygen to water. This electron flow particular through complex I, III and IV results in  
 689 pumping of protons from the matrix into the intermembrane space (IMS), generating a membrane  
 690 potential ( $\Delta\psi_m$ ) and proton motive force that in turn is used to generate ATP from ADP and  
 691 inorganic phosphate (P<sub>i</sub>) via the ATP synthase (F<sub>1</sub>/F<sub>0</sub>). Predominantly expressed in brown adipose  
 692 tissue, uncoupling protein 1 (UCP1) dissipates the proton gradient across the inner mitochondrial  
 693 membrane (IMM), thus uncoupling ETS from ATP synthesis and accelerating mitochondrial RC  
 694 activity in order to maintain energy homeostasis [11]. During mitochondrial uncoupling the energy  
 695 amount stored in the proton gradient is released as heat. See text for details on chemical uncouplers.  
 696 This figure was created using Servier Medical Art (<http://www.servier.com>).



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**Fig. 2. Timeline of chemical uncoupling agents and targeted UCP1 overexpression.** This illustrates the increasing interest in mitochondrial uncoupling for the treatment of obesity and associated metabolic disorders during the past 20 years. Of note, in parallel the re-evaluation of the role of brown adipose tissue (BAT) for the treatment of obesity took place, supported by observations using positron emission tomography–computed tomography (PET/CT) scanning that revealed the presence of BAT in adult humans [15-18]. Moreover, in the last decade research focused intensively on the induction of endogenous UCP1 in white adipose tissue depots, also called the “browning” of white fat, and the effects on metabolic health [56, 62]. Abbreviations: BAM15, N<sup>5</sup>,N<sup>6</sup>-bis(2-Fluorophenyl)-oxadiazolo-pyrazine-5,6-diamine [37]; CRMP, controlled-released mitochondrial protonophore [44]; C<sub>4</sub>R1, Rhodamine 19 butyl ester [36]; C1, nomenclature not defined [38], CZ5, nomenclature not defined [39]; C<sub>12</sub>TPP, dodecyltriphenylphosphonium [34]; FDA, US Food and Drug Administration; DNP, 2,4-dinitrophenol; DNPME, DNP-methylethyl [43]; NEN, niclosamide ethanolamine salt [41]; SkQ1, 10-(6'-plastoquinonyl) decyltriphenylphosphonium [30]; UCP1, uncoupling protein 1. See text for further details.



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**Fig. 3. Overview on metabolic effects of chemical mitochondrial uncouplers and targeted UCP1 overexpression.** (A) Tissue-targeted chemical mitochondrial uncoupling agents provide an additional elegant strategy to combat metabolic syndrome and associated disorders. However, the issues of target tissue, dose-dependence and self-limitation remain important open questions. (B)+(C) Studies using transgenic mice with targeted UCP1 overexpression uncovered key molecular mechanisms how mitochondrial uncoupling affects energy metabolism and metabolic health *in vivo*. Abbreviations: AMPK, AMP-activated protein kinase; AP2, adipocyte protein 2; FAO, fatty acid oxidation; HSA, human skeletal actin; MCK, muscle creatine kinase; MYL2, myosin light chain 2, TCA, tricarboxylic acid cycle; UCP1, uncoupling protein 1. See text for further details. This figure was created using Servier Medical Art (<http://www.servier.com>).