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Title: Evaluating the ability of four crop models to predict different environmental impacts on spring wheat grown in open-top chambers

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Abstract: In this study, we used the modelling package Expert-N to investigate the ability of four generic-mechanistic crop models, which were originally developed under field conditions, to simulate plant growth of spring wheat grown in open-top chambers (OTC) under different environmental conditions. Thereby we focus on the impacts of drought stress and elevated atmospheric CO₂-concentration on biomass production. Expert-N facilitates the comparison of the components of agro-ecosystem models, as it allows exchanging single modules while leaving the rest of the model unchanged. Here the crop growth part of the models SPASS, CERES-Wheat, SUCROS and GECROS were combined with the Penmen-Monteith equation for potential evapotranspiration, the HYDRUS-1D model for water transport and the LEACH-N model for nitrogen transport and turnover simulation. The models were applied to a data set provided by OTC experiments with spring wheat (*Triticum aestivum* L. cv. 'Minaret') which was grown under two atmospheric CO₂-concentration levels (ambient/elevated), two irrigation schemes (unlimited water supply/water limitation) and two soil types (Cambisol/Chernosem) in two subsequent vegetation periods (1998/1999). We show that the crop models are able to simulate CO₂ effects on spring wheat growth on organ level under the conditions of the OTC experiments. Based on model calibration using experimental and literature data, the best simulation results describing the impact of the considered environmental conditions were obtained using the model SPASS followed by the models SUCROS, GECROS and CERES. A more sensitive response of atmospheric CO₂-concentrations on crop growth was simulated using GECROS and SPASS.

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To whom it may concern,

Enclosed you find the manuscript by Christian J. Biernath et al. titled “Evaluating the ability of four crop models to predict different environmental impacts on spring wheat grown in open-top chambers,” which is being submitted for possible publication in the journal *Agricultural Systems*.

This manuscript is new and is not being considered elsewhere. We would be pleased if our manuscript would be to your complete satisfaction.

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Sincerely,
Christian J. Biernath

Research highlights

- unique is the test of the four crop growth models also on the organ level by a complete data set
- the four crop models were able to simulate crop growth under the conditions of open-top chambers
- mechanistic models simulated the impact of CO₂ and other environmental factors more sensitively

1 Evaluating the ability of four crop models to predict
2 different environmental impacts on spring wheat grown
3 in open-top chambers

4 *Agricultural Systems*

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16 **Abstract**

In this study, we used the modelling package Expert-N to investigate the ability of four generic-mechanistic crop models, which were originally developed under field conditions, to simulate plant growth of spring wheat grown in open-top chambers (OTC) under different environmental conditions. Thereby we focus on the impacts of drought stress and elevated atmospheric CO_2 -concentration on biomass production. Expert-N facilitates the comparison of the components of agro-ecosystem models, as it allows exchanging single modules while leaving the rest of the model unchanged. Here the crop growth part of the models SPASS, CERES-Wheat, SUCROS and GECROS were combined with the Penmen-Monteith equation for potential evapotranspiration, the HYDRUS-1D model for water transport and the LEACH-N model for nitrogen transport and turnover simulation. The models were applied to a data set provided by OTC experiments with spring wheat (*Triticum aestivum* L. cv. 'Minaret') which was grown under two atmospheric CO_2 -concentration levels (ambient/elevated), two irrigation schemes (unlimited water supply/water limitation) and two soil types (Cambisol/Chernosem) in two subsequent vegetation periods (1998/1999). We show that the crop models are able to simulate CO_2 effects on spring wheat

growth on organ level under the conditions of the OTC experiments. Based on model calibration using experimental and literature data, the best simulation results describing the impact of the considered environmental conditions were obtained using the model SPASS followed by the models SUCROS, GECROS and CERES. A more sensitive response of atmospheric CO_2 -concentrations on crop growth was simulated using GECROS and SPASS.

17 *Keywords:* Triticum aestivum L., wheat, elevated CO_2 , crop growth
18 simulation, crop model

19 1. Introduction

20 In plants carbon is assimilated from atmospheric CO_2 via photosynthesis. Com-
21 ing from a pre-industrial level of approx. 280 ppm (Siegenthaler et al., 2005)
22 recent CO_2 concentrations amount to 390 ppm (Tans, 2009) and are predicted
23 to yield 450 ppm in the year 2030 (Alcamo et al., 2007; OECD EO, 2008). Since
24 CO_2 is not substrate saturated at current CO_2 concentrations, atmospheric CO_2
25 enrichment is commonly seen to boost crop yields of C_3 cereals such as wheat
26 and thus food and feed production. Throughout the last two decades, this view
27 is supported by various experiments by using climate chamber, open-top cham-
28 ber (OTC) and free-air-carbon-enrichment (FACE) technology (Amthor, 2001;
29 Fangmeier et al., 1999; Högy et al., 2009; Wullschleger et al., 1992). While most
30 studies demonstrated positive effects of aboveground biomass production un-
31 der CO_2 enrichment (Ewert et al., 2002; Fangmeier et al., 2000; Poorter et al.,
32 1996), several studies suggested that even a reduction of biomass under ele-
33 vated CO_2 in interaction with other environmental factors is possible (Long
34 et al., 2005, 2006). Long et al. (2006) and Schimmel (2006) summarized that
35 CO_2 fertilization effects on plant production have been overestimated as they
36 sum up to 13% for wheat grown in FACE experiments in contrast to 31-36%
37 that were observed in chamber-based studies. Nevertheless, Ziska and Bunce
38 (2007) show that differences of the CO_2 response on plant production between
39 different experimental systems are less significant if the data are normalized to
40 the different levels of CO_2 elevation. Moreover, CO_2 enrichment inhibits the ni-
41 trate assimilation from soil (Bloom et al., 2010), which in turn decreases biomass
42 production and grain yield quality (Högy and Fangmeier, 2008). Furthermore
43 CO_2 fertilization effects on plants using the C_3 metabolism are only expected if
44 the environment is not limited by temperature or water supply. Thus, extreme
45 weather events like longer moisture or drought periods as predicted by future
46 climate scenarios for Europe (McGregor et al., 2005; Parizek et al., 2004) could
47 affect crop growth stronger than elevated atmospheric CO_2 concentration.

48 Throughout the last decades, various crop models have been developed de-
49 scribing physiological processes and cycling of water and nutrients in terrestrial
50 agro-ecosystems. Model types can be characterized as static or dynamic, de-
51 terministic or stochastic and empirical or mechanistic (Dent and Blackie, 1979;
52 Thornley and France, 2007). Up to date, model development for complex sys-
53 tem simulations aims to be rather dynamic than static, rather deterministic
54 than stochastic and rather mechanistic than empirical. Examples for models
55 are SPASS (Wang, 1997; Wang and Engel, 2000), GECROS (Yin and van Laar,
56 2005) and CROPSIM-CERES (Hunt and Pararajasingham, 1995). Mechanis-
57 tic models are usually much more complex than empirical models and input
58 data can be less well adapted, because structural constraints are incorporated
59 by model assumptions. Mechanistic models basically offer more options to im-
60 prove the system and to understand processes and their interactions. Empirical
61 models are basically direct descriptions of measurements and define the char-
62 acteristics of a system in a simple way. Usually a basic advantage of empirical
63 models is the little effort for calibration (Thornley and France, 2007).

64 The four crop models in this study were chosen because of their different degree
65 of including mechanistic approaches to model genotype-by-environment inter-
66 actions and because of the different approaches to simulate elevated CO₂ effects
67 on crop growth. In SUCROS and CERES this is simply controlled by an em-
68 pirical increase of the light use efficiency. In SPASS a constant initial slope
69 where photosynthesis is entirely CO₂ limited with a switch to a horizontal max-
70 imum photosynthesis rate is assumed, while in GECROS the non-rectangular
71 hyperbolic response to CO₂ concentrations of the Farquhar model (Farquhar
72 et al., 1980) is applied. The objective of this study was to test the four crop
73 growth models CERES-Wheat 2.0 (Ritchie and Godwin, 1987; Ritchie et al.,
74 1987), SUCROS2 (Goudriaan and van Laar, 1994; Groot, 1987; Spitters et al.,
75 1989; van Keulen et al., 1992; van Keulen and van Laar, 1982), SPASS (Wang,
76 1997; Wang and Engel, 2000) and GECROS (Yin and van Laar, 2005) which
77 have been implemented into the Expert-N modelling package, in terms of their
78 ability to simulate spring wheat aboveground biomass growth, grain yield and
79 yield quality under various environmental conditions. For comparison only the
80 plant models were exchanged while the models of water flow, nitrogen transport
81 and heat transfer were the same for all four crop models. We therefore analyzed
82 i) if the impact of atmospheric CO₂ and water shortage on crop growth can be
83 adequately simulated by each of the four different crop growth models and
84 ii) if a mechanistic modelling approach for the responses of crop growth on
85 elevated atmospheric CO₂ concentrations is an improvement compared to the
86 established models that include empirical assumptions.

87 2. Material and methods

88 2.1. *The Expert-N model package*

89 The model package Expert-N was developed to provide models for the simu-
90 lation of soil-plant-atmosphere systems. Its modular design helps to combine
91 simulation models from available components that were implemented into the
92 Expert-N package. These components include sub-models to simulate soil wa-
93 ter flow, soil heat transfer, turnover and transport of soil carbon and nitro-
94 gen, soil management and crop growth (Priesack, 2006; Priesack and Bauer,
95 2003). Different crop growth sub-models describe phenological development,
96 photosynthesis, canopy formation, growth of aboveground and root biomass,
97 crop senescence, transpiration and nitrogen uptake. They include correspond-
98 ing routines of the generic plant models CERES-Wheat, SUCROS, SPASS and
99 GECROS. In contrast to the original crop growth models, the sub-models to
100 calculate soil processes such as water flow, heat transfer and nitrogen transport
101 were replaced within the Expert-N package by corresponding sub-models based
102 on different numerical simulation methods. For example, the different capacity
103 type soil water flow models of the plant models CERES, SPASS, SUCROS and
104 GECROS were substituted by a model based on a numerical solution of the
105 Richards equation similar to that of the HYDRUS 1D model (Simunek et al.,
106 1998). In this way, the model package Expert-N facilitates the comparison of
107 crop growth models, since they can now be based on the same model compo-
108 nents that represent the soil processes. In the following simulations we applied
109 the soil water flow model similar to HYDRUS 1D (Simunek et al., 1998), the
110 soil heat transfer and soil nitrogen transport description using the methods of
111 the model LEACHN (Tillett et al., 1980) and the soil carbon and nitrogen
112 turnover simulation method after the approach of the SOILN model (Johnsson
113 et al., 1987).

114 For the comparison of the crop growth models therefore in each case only the
115 model components that describe plant processes were taken from the corre-
116 sponding crop model, i.e. either from CERES, SUCROS, SPASS or GECROS.

117 2.2. *Crop growth sub-models*

118 CERES-Wheat is a process-oriented model that was developed for agricultural
119 practice to simulate crop development and grain yield (Ritchie and Godwin,
120 1987; Ritchie et al., 1987). The model has been designed so that it can be
121 used under extremely different environments, including those with limited water
122 availability (Otter-Nacke et al., 1986; Otter-Nacke and Ritchie, 1989). A number
123 of cultivar specific coefficients explain the variability between cultivars. As the

124 original CERES model does not take into account the effect of the atmospheric
125 CO₂ concentration on plant growth the model was modified to increase the light
126 saturated photosynthetic capacity by 20% as the CO₂ concentration increases
127 by 200 ppm (Tubiello et al., 1999).

128 The aim of the SUCROS model development was to quantify the aboveground
129 biomass production of crops. While in SUCROS1 plant growth was dependent
130 on temperature and radiation, it is further limited by the availability of water
131 in SUCROS2. The SUCROS version implemented in Expert-N also considers
132 nitrogen-limited growth, assuming for each crop species a maximal uptake and
133 partitioning of nitrogen according to the partitioning key given by van Keulen
134 and Seligman (1987). To consider the effect of CO₂ concentration, SUCROS
135 was changed similar to CERES.

136 The SPASS model is a hybrid model composed by parts of both, the CERES-
137 Wheat and the SUCROS models. For example, senescence of crops is simulated
138 using the relative death rate based on the SUCROS model and partitioning
139 of nitrogen follows the parameterization according to Penning de Vries et al.
140 (1989). The maximum photosynthesis rate at light saturation is affected by the
141 three factors nitrogen content of the leaf, air temperature and atmospheric CO₂
142 concentration. The factor correcting for the atmospheric CO₂ concentration is
143 calculated on the base CO₂ concentration of 340 ppm with respect to the CO₂
144 compensation point and the CO₂ concentration within the leaf. In C₃ species the
145 maximum rate of leaf photosynthesis is nearly proportional to the atmospheric
146 CO₂ concentration and holds up to a level of about 700 ppm (Penning de Vries
147 et al., 1989).

148 The GECROS model is a successor model of the SUCROS models. It was de-
149 veloped to better describe the interactions between genotype and environment.
150 The input parameters therefore are mainly genotype-by-environment specific
151 measurable parameters. The model is designed to deal with interactions of
152 CO₂ and other environmental factors on photosynthesis based on the Farquhar
153 model (Farquhar et al., 1980). Furthermore an optimal criterion for the root-
154 shoot ratios of nitrogen and carbon is assumed. Thus, apart from temperature,
155 radiation and water availability crop growth is also determined by the nitrogen
156 supply.

157 *2.3. Data sets for model input and testing*

158 The experimental data used in this study are part of the “IMPETUS” project
159 that are presented in Fangmeier et al. (1999) and Schütz (2002). The data were
160 obtained from open-top-chamber (OTC) experiments carried out at the Justus-
161 Liebig-Universität, Gießen, Germany. The OTC system is described in detail by

162 Fangmeier et al. (1991). The environmental conditions of the treatments were
163 applied as presented in Table 1.

164 In the experiments, spring wheat was exposed to two different atmospheric CO₂
165 concentrations (ambient, elevated) and two different water supply levels (wet,
166 dry). In the first year the influences of two soil types on crop growth (Cambisol,
167 Chernozem) were also studied (Table 1). In both experimental years, the target
168 plant density was 350 plants per square meter.

169 2.4. Model calibration

170 The four crop models were originally developed to simulate crop growth under
171 field conditions. As the plots in 1999 were larger in surface area and in depth
172 than the pots in 1998, we assumed that the experimental conditions in 1999
173 were closer to field conditions. Therefore we parameterized the model using
174 the available measurements of the reference treatment (ambient CO₂, unlim-
175 ited water supply, and Cambisol soil) in 1999 and data from literature if the
176 experiments could not provide the required parameters.

177 According to Hunt et al. (1993) the model calibration was conducted iteratively.
178 First, the crop phenological development of the four crop models was calibrated
179 to the reference treatment. This was subsequently followed by adjusting the co-
180 efficients describing crop growth and grain development. The adapted param-
181 eter values are presented in Table 2. Genotype-specific parameters were used
182 when measurements were available from the experiment or could be obtained
183 from the literature. The rest of the model parameters were taken from the orig-
184 inal model documentations. Subsequently, calibrated models were applied to
185 the remaining treatments of 1998 and 1999.

186 2.5. Statistical measures

187 The ability of the model to match the observations was tested by two statistical
188 criteria, the model efficiency index (ME) and the normalized root mean square
189 error (NRMSE).

190 1. We define the ME after Willmott (1982) as

$$ME = 1 - \frac{\sum_{i=1}^n (P_i - O_i)^2}{\sum_{i=1}^n (|P_i - \bar{O}| + |O_i - \bar{O}|)^2} \quad (1)$$

191 where \bar{O} denote for the mean values of the measured values O_i . The correspond-
192 ing simulated values to O_i are P_i .

193 The ME is a method to evaluate the modelling performance results in a range
 194 between $-\infty$ and 1. A value above 0 indicates that the simulation is better than
 195 a simulation of the mean of the measurements.

196 2. The NRMSE is given by

$$NRMSE = \frac{\sqrt{\frac{1}{n} \sum_{i=1}^n (P_i - O_i)^2}}{\bar{O}} \quad (2)$$

197 The NRMSE describes the average relative deviation between the simulation
 198 and the measurements. It evaluates the relative difference between simulation
 199 and measurement in a range between 0 for a perfect match of simulation and
 200 measurement and $+\infty$ indicating no match at all.

201 ME and NRMSE were calculated for each model and each treatment for the
 202 observables growth stage, total aboveground biomass and leaf area index. In
 203 order to include variability of ME and NRMSE between the single treatments
 204 also the arithmetic means \overline{ME} and \overline{NRMSE} , for the single examined plant
 205 variable over all treatments were calculated. In addition, the arithmetic means
 206 of the statistical measures over all considered plant variables and treatments,
 207 $\overline{\overline{ME}}$ and $\overline{\overline{NRMSE}}$, were calculated to provide aggregated indices, which facil-
 208 itate comparison of model performance. In case of the yield parameters grain
 209 yield, thousand grain weight and grain number per square meter, which were
 210 measured once per year at the end of the vegetation period, only \overline{ME} and $\overline{\overline{ME}}$
 211 were calculated.

212 3. Simulation results

213 Figure 1 shows for the reference treatment the simulation results of all four
 214 models together with measured data. The results of the statistical evaluation of
 215 those output variables which were measured repeatedly during the vegetation
 216 period are presented for all treatments in Table 3. Simulation results as well as
 217 corresponding measurements of model outputs for which only one measurement
 218 at the end of the vegetation exists, are listed in Table 4. In Table 4 also the
 219 values of \overline{ME} for these variables are shown.

220 3.1. Overall behaviour and model performance

221 Based on the values of \overline{ME} and $\overline{\overline{NRMSE}}$, a rough assessment of the perfor-
 222 mance of the models with respect to the crop growth variables presented in
 223 Table 3 is possible:

224 \overline{ME} : SUCROS (0.85) > GECROS (0.84) > CERES (0.82) > SPASS (0.80)

225 \overline{NRMSE} : SPASS (0.28) < SUCROS (0.30) < GECROS (0.43) < CERES (0.56)

226 For the grain yield parameters presented in Table 3, results:

227 \overline{ME} : SPASS (0.73) > CERES (0.49) > GECROS (0.40) > SUCROS (0.22)

228 The development stages are well (within the standard deviations of the measure-
229 ments (Figure 1), \overline{ME} >0.96 and \overline{NRMSE} <0.15 (Table 3)) simulated by all four
230 crop models. However, due to the absence of measurements before development
231 stage 50 in 1999 the pre-anthesis development could not be calibrated. For ex-
232 ample, CERES reached development stage 20 two weeks earlier than GECROS.
233 The simulation of the total aboveground biomass was within the standard devia-
234 tions of the measurements except for the final harvest which was underestimated
235 (Figure 1). In case of both, green leaf biomass and leaf area index (LAI) the
236 variability of the measurements, particularly for the first harvest, was high. The
237 four models do not generally agree with the measurements, in particular in the
238 case of some high NRMSE values (above 1.00). These were observed in the
239 cases of CERES and GECROS simulations concerning the state variables stem
240 biomass, green leaf biomass and LAI, in case of SPASS simulations for stem
241 biomass and in case of SUCROS simulations for total aboveground and stem
242 biomass. None of the four crop models could simulate significant treatment ef-
243 fects on stem biomass. In case of the reference treatment the four models match
244 the grain yield in the range of the measured variability (Figure 1). Nevertheless,
245 simulations for different environmental conditions show some inaccurate results
246 of grain yield which is indicated by comparably low \overline{ME} values (Table 4).

247 *CERES* simulations under various environmental conditions are good in case of
248 total aboveground biomass (Table 3). In case of LAI, the *CERES* simulations
249 are not as good, although the ME and NRMSE values observed by *CERES*
250 simulations are accurate for the reference treatment (and also for the corre-
251 sponding treatment under elevated CO₂ concentration, Table 3). This is indi-
252 cated by some high NRMSE values above 1.0 in 1998. Yet, the corresponding
253 ME values are above 0.75 for most of the considered environmental conditions.
254 The explanation is the steep slope of the LAI curve. Already a small phase
255 shift due to both accelerated and slowed down LAI development can lead to
256 high NRMSE values. Green and senescent leaf biomasses were generally inade-
257 quately simulated by *CERES*. This was due to rather poor simulations of leaf
258 senescence which did not distinguish between both, environmental conditions
259 and the amount of green leaf biomass available for senescence. Regarding the
260 simulation of grain yield parameters the *CERES* simulations are neither the
261 best nor the worst of the investigated crop models. This is indicated by \overline{ME}

262 values between 0.44 and 0.52 (Table 4).

263 *GECROS* simulations of total aboveground biomass under the different envi-
264 ronmental conditions in the OTCs were the best ($\overline{ME}=0.75$, $\overline{NRMSE}=0.31$)
265 but only slightly better than the simulation results of CERES (Table 3). The
266 simulation results for stem biomass of the treatments in 1998 were rather poor
267 and ME values ranged from 0.00 to 0.11, although in 1999 the quality of the
268 simulation results of stem biomass exceeded those of the three other models and
269 ranged from 0.48 to 0.90. *GECROS* is the best model for the simulations of the
270 grain numbers per square meter because all simulation results are within the
271 standard deviations of the measurements (Table 4). However, the weak \overline{ME}
272 value disagrees with this statement because the *GECROS* simulation results in
273 1999 do not reflect the high variation of the measurement means.

274 The *SPASS* simulations of the crop variables listed in Table 3 for the different
275 environmental conditions are almost as accurate as the simulations using the SU-
276 CROS model, for which the \overline{ME} value is slightly better. Notably, there was a
277 good performance of the *SPASS* simulations in case of the leaf senescence simu-
278 lations under the different environmental conditions ($\overline{ME}=0.82$, $\overline{NRMSE}=0.34$).
279 Results of comparable quality were simulated by none of the three other models.
280 *SPASS* simulations are the best compared to the simulations of the other three
281 models in the cases of grain yield ($\overline{ME}=0.69$) and the important grain yield
282 parameters thousand grain weight ($\overline{ME}=0.83$) and grain number per square
283 meter ($\overline{ME}=0.67$, Table 4).

284 Basically, *SUCROS* gives good results when vegetative aboveground crop or-
285 gans are to be simulated under different environmental conditions. Based on
286 the parameters analysed in Table 3 the *SUCROS* simulations in this case are the
287 best ($\overline{ME}=0.85$ and $\overline{NRMSE}=0.28$), which is basically due to the substantially
288 good simulation results of LAI under the different environmental conditions in
289 the OTC experiments ($\overline{ME}=0.92$). Also green leaf biomass is well simulated
290 by *SUCROS* for all considered environmental conditions ($\overline{ME}=0.91$). A ma-
291 jor weakness of *SUCROS* is the simulation of grain yield under the different
292 environmental conditions ($\overline{ME}=0.22$). Moreover, the variables thousand grain
293 weight and grain number per square meter cannot be simulated by the *SUCROS*
294 model.

295 Good simulation results using the *SUCROS* model were only achieved when the
296 parameterization for nitrogen allocation to the crop organs of van Keulen and
297 Seligman (1987) was applied. In case of *SPASS* it was necessary to apply the pa-
298 rameterization of Penning de Vries et al. (1989). At first glance this is surprising,
299 however, the models have been developed by the respective parameterization.

300 *3.2. Environmental conditions*

301 In Table 5, the relative effects of increased CO₂ concentrations and water short-
302 age on both, measured and simulated aboveground biomass are expressed as the
303 ratios between of the results with and without the respective treatment. This is
304 indicated in the header of the first column, whereas the parameters which were
305 not changed are the entries of this column.

306 *3.2.1. Atmospheric CO₂ concentration*

307 Generally the four crop models were in agreement with the assumption that
308 elevated atmospheric CO₂ concentrations increase plant growth. Nevertheless,
309 some weaknesses were obtained for the accuracy of the simulations with any
310 of the four crop models. The strong variation of the CO₂ response on total
311 aboveground biomass, which was measured in the OTC with respect to the
312 development stage in 1999 could not be simulated by any of the four crop mod-
313 els. The slightly earlier development of green leaf biomass under elevated CO₂,
314 which was observed for barley by Fangmeier et al. (2000), was also simulated
315 using GECROS, CERES and SPASS. Leaf senescence under elevated CO₂ con-
316 centrations was delayed in the simulations of each of the four crop models com-
317 pared to the OTC measurements. Simulations show an increase of senescent leaf
318 biomass under elevated CO₂ conditions for both, SPASS and GECROS simula-
319 tions. While the best simulations for senescent leaf biomass were obtained by
320 the SPASS model under all different environmental conditions, the best response
321 of leaf senescence to CO₂ elevation was simulated using GECROS.

322 The simulations using of the four models under different CO₂ concentrations
323 basically increased the total aboveground biomass and the grain yield. While a
324 more sensitive response was observed for the GECROS and SPASS simulations,
325 the response of the *CERES* and *SUCROS* simulations to elevated CO₂ increased
326 grain yields and total aboveground biomass rather constantly by 18% (*CERES*)
327 and 16% (*SUCROS*). This increase was unaffected by environmental conditions
328 or the development stage of the crop.

329 In *SPASS* simulations, elevated CO₂ increased total aboveground biomass and
330 grain yields by 53% on average. Especially the impact of CO₂ elevation on
331 grain yields ranged from minimum increases of 43% up to 71%. On average, the
332 CO₂ response on grain yield was much stronger expressed in 1999 (68%) than
333 in 1998 (49%). In interaction with other environmental factors the response
334 on elevated CO₂ was of higher flexibility than that observed for *SUCROS* and
335 *CERES* simulations.

336 Obviously, *GECROS* simulations show a higher CO₂ fertilization effect on grain
337 yield when the water supply is limited. The highest sensitivity to CO₂ concen-
338 tration on grain yields was simulated using *GECROS*. Elevated CO₂ increased
339 grain yields on average by 42%, however the degree of the CO₂ response inter-
340 acted strongly with the availability of water and nitrogen, resulting in a decrease
341 by 2% up to an increase by 97%. Thus, elevated CO₂ increased grain yields on
342 average by 53% under water limited conditions, but only by 31% when wa-
343 ter supply was unlimited. The increase observed in the measurements of total
344 aboveground biomass resembles the average increase simulated by applying the
345 *GECROS* model being about 26% on average for all treatments. However, in
346 1998 total aboveground biomass at development stage 65 was overestimated
347 by 25% on average compared to the measurements, while the simulations corre-
348 spond to the measurements at crop maturity. In 1999, the *GECROS* simulations
349 clearly indicate that the observed CO₂ effect depended on the development stage
350 and occurred stronger in the earlier development stages (DC 44-65) compared
351 to the results at later development stages (DC 77-92). Thus, in *GECROS* sim-
352 ulations in both years the increase of total aboveground biomass was smaller
353 under elevated CO₂, with ongoing plant development by 16% on average from
354 48% at heading to 34% at maturity in 1998 and from 36% to 18% in 1999.
355 Moreover, *GECROS* also indicated a slightly increased CO₂ fertilization effect
356 on total aboveground biomass when the water supply was limited. Despite the
357 sensitivity of *GECROS* to respond to CO₂ concentration, similar to the other
358 growth models the strong variation of the CO₂ response measured in the OTC
359 experiments with respect to the development stage could not be simulated.

360 The positive CO₂ fertilization effect on the thousand grain weight obtained un-
361 der Cambisol soil conditions was also simulated by *GECROS*. Also the measured
362 negative CO₂ fertilization effect of Chernozem soil conditions could be found by
363 *GECROS* simulations, however to a lesser extent than by the measurements
364 (Table 4).

365 3.2.2. *Water supply*

366 The total aboveground biomass and the grain yield were differently affected by
367 dry (water limited) and wet (unlimited water supply) conditions in the two ex-
368 perimental years. The significant reductions of total aboveground biomass and
369 grain yields, which were observed in the OTCs under water limited conditions in
370 1998, could not be simulated by any of the four crop models. Moreover, in 1998
371 the models showed no different response to dry or wet conditions on total above-
372 ground biomass and grain yields. In 1999, the simulation results of all models
373 are in agreement with the observation that limited water supply decreases the

374 grain yield. In 1999, the measured reduction of total aboveground biomass un-
375 der water limited conditions was continuously simulated by CERES, SPASS and
376 *SUCROS* models throughout the vegetation period. In the *GECROS* simula-
377 tions this reduction did not occur until flowering, but then a drop of 22% was
378 simulated for the dry treatments until maturity. In all models no response of
379 grain yield simulations on the amount of water supply was observed. While
380 the number of grains per square meter was underestimated using CERES and
381 SPASS, overestimations occurred in the simulations with both models for the
382 thousand grain weights. The simulations of thousand grain weights by GECROS
383 agree well with the measurements in the OTC. Although a poor \overline{ME} value was
384 observed for the simulation of number of grains per square meter, the best
385 simulation results were observed using GECROS because all simulations were
386 accurate within the range of the standard deviations of the measurements (Ta-
387 bles 4 and 5).

388 3.2.3. Soil type

389 Due to the experimental setup only the two soils in 1998 are compared in this
390 section. (Table 1).

391 No or only small soil effects on total aboveground biomass were simulated by
392 CERES, SUCROS and SPASS. GECROS simulations, however, indicate that
393 total aboveground biomass production is 19% higher on Chernozem than on
394 Cambisol soil (Table 5).

395 In the measurements on average 30% lower grain yields were observed on Cher-
396 nozem than on Cambisol soil (Schütz, 2002; Schütz and Fangmeier, 2001).
397 CERES and SPASS simulations also show lower grain yields on Chernozem
398 soil. Using SUCROS no effects were obtained while the opposite soil effect on
399 grain yield was simulated by GECROS.

400 4. Discussion

401 The NRMSE and ME values for the comparison between simulated and mea-
402 sured crop growth parameters are comparable to other simulation studies (Niu
403 et al., 2009; Priesack et al., 2006; Wegehenkel and Mirschel, 2006). The ME
404 values are generally above 0.00, indicating better model performance than a
405 model which only predicts the mean of the measurements. Using the applied
406 parameterization some high NRMSE values show that the four models tested in
407 the present study cannot reliably predict all plant variables under the different
408 environmental conditions of the OTCs.

409 Rather poor simulations were observed in case of green leaf biomass and LAI
410 by CERES, SPASS and GECROS. This is due to the calibration of the models
411 which was done predominantly with respect to the measured grain yield and
412 total aboveground biomass but restricted to available measurements and the
413 range of literature values. Nevertheless, as indicated by the ME values, the
414 dynamics of green leaf biomass and LAI are yet well simulated by SPASS and
415 GECROS for most of the environmental conditions. The explanation for the
416 weak NRMSE, but better ME values is that the slope of the curves for green
417 leaf biomass and LAI are generally steep. Thus, at one and the same date an
418 early or delayed onset of leaf growth or senescence causes strong differences
419 between the measurements and the simulations. Ewert (2004) shows the ne-
420 cessity of LAI modelling for a better understanding of substrate allocation and
421 aboveground biomass growth, especially for agricultural crops that have large
422 temporal variability in LAI. We found that the simulated qualitative develop-
423 ment of LAI is of higher importance for the simulation of crop growth than
424 its temporal dynamic. This means a time shift of LAI by some days does not
425 significantly affect crop growth.

426 If only total aboveground biomass and grain yields have to be estimated and
427 impacts of environmental factors can be neglected, the application of the model
428 CERES leads to simulations of high quality based on a minor parameteriza-
429 tion effort. Even though the somewhat weaker overall quality of the CERES
430 simulations the model gave good results for development stage, total above-
431 ground biomass and grain yield. The little effort required for parameterization
432 is very attractive. Later versions of CERES (e.g. DSSAT (Jones et al., 2003)
433 and CROPSIM (Hunt and Pararajasingham, 1995)) allow for a more detailed
434 parameterisation of crop varieties and follow a more physical approach.

435 GECROS requires the highest effort for parameterization of all four models.
436 This is basically due to two reasons: Firstly, the high number of parameters
437 that have to be set and secondly, the sensitivity of the model on some of these
438 parameters.

439 Both, chamber and pot effects (Arp, 1991; Passioura, 2002; Pinter et al., 2000)
440 can not be ruled out in this study because no comparable measurements under
441 field conditions are available. The absence of more detailed information on
442 soil properties causes uncertainties with the interpretation of the simulation
443 results of the four crop models. A detailed description on possible reasons for
444 disagreements between measurements and simulation results, based on OTC
445 data is given by van Oijen and Ewert (1999). Indeed the four crop models
446 were developed to predict crop growth under field conditions, which might not
447 directly cause errors in the OTC simulations, but to some extent a different

448 parameterization would be obtained using field data.

449 *4.1. Environmental conditions*

450 *4.1.1. Atmospheric CO₂ concentration*

451 In agreement with Goudriaan et al. (1999) we doubt that an almost constant
452 increase of the light use efficiency in the way it is implemented in our SUCROS
453 and CERES versions is a meaningful way to account for a positive CO₂ fer-
454 tilization effect. The constant increase assumption basically ignores possible
455 interactions with other environmental factors affecting plant growth. The mea-
456 surements by Schütz (2002) show that elevated CO₂ increased grain yields on
457 average by 48% in 1998 and by 35% in 1999. Findings of several authors who
458 analysed OTC experiments indicate that the CO₂ fertilization effect on total
459 aboveground biomass and on grain yield varies strongly from approximately 10
460 to 120% with even stronger variations if measurements throughout the vegeta-
461 tion period are considered (Bender et al. (1999); Fangmeier et al. (1999); Schütz
462 (2002); Schütz and Fangmeier (2001); van Oijen and Ewert (1999)). Such a more
463 sensitive CO₂ response was observed by simulations of the two more mechanis-
464 tically based modelling approaches of GECROS and SPASS. The models are
465 better if the impact of various CO₂ concentrations and its interactions with
466 other environmental factors on physiological aspects of crop growth such as wa-
467 ter supply are considered (Table 3). The biochemical photosynthesis model of
468 Farquhar et al. (1980) which is implemented in GECROS with modifications
469 by Yin et al. (2004) was better than the simpler response in SPASS. The latter
470 model assumes a constant initial slope when photosynthesis is entirely CO₂-
471 limited and then switches to a horizontal maximum photosynthesis rate (Wang,
472 1997).

473 In most studies on the effects of elevated CO₂ on C₃ species positive effects on
474 aboveground biomass production were observed (Ewert et al., 2002; Fangmeier
475 et al., 2000; Poorter et al., 1996). Our simulation results do mostly indicate a
476 surplus of CO₂ enrichment on biomass production and on grain yield. Only in
477 case of GECROS simulations a few negative CO₂ fertilization effects occurred.
478 Mainly the highest sensitivity of the CO₂ response was observed in the mecha-
479 nistic approaches implemented in the SPASS and GECROS models.

480 *4.1.2. Interactions of CO₂ and water supply*

481 Ainsworth et al. (2008) argue that the CO₂ response algorithms are up to now
482 based on enclosure studies where the CO₂ effect was experienced higher than in
483 field experiments. However, in this study the simulated range of CO₂ responses

484 and its interactions with water supply were within the range of the measure-
485 ments. We can neither agree nor disagree the statement by Ainsworth et al.
486 (2008). Following Ziska and Bunce (2007), who found that differences of the
487 CO₂ effects on crop growth between field and enclosure studies are small, the
488 algorithms should work in either case. In this study, often the more mechanis-
489 tically approaches of GECROS and SPASS matched or even overestimated the
490 CO₂ response on total aboveground biomass that was measured in the OTC
491 under water limitation as well as under unlimited water supply. This shows
492 that the mechanistic algorithms worked well at least in case of the OTCs in
493 this study. Ewert et al. (2002), Manderscheid and Weigel (2007) and Tubiello
494 and Ewert (2002) found that summer drought effects can be weakened by in-
495 creasing atmospheric CO₂ concentration. The GECROS simulations of total
496 aboveground biomass agree with this finding, but the remaining models did not
497 show this trend.

498 Piikki et al. (2008) observed no significant CO₂ effect on the thousand grain
499 weight of wheat, but they show that elevated CO₂ increases grain yields mainly
500 by an increase of the grain number per square meter. The measurements of
501 thousand grain weights by Schütz (2002) and Schütz and Fangmeier (2001)
502 show a strong variability and may support both conclusions, either that elevated
503 CO₂ increases the grain numbers per square meter or that this parameter is
504 rather unaffected by CO₂ elevation. Simulation results by both models, CERES
505 and SPASS, also showed a CO₂ effect on the grain numbers per square meter
506 and thus support the findings by Piikki et al. (2008). However, both models
507 underestimated the grain numbers per square meter strongly. The rather stable
508 grain numbers per square meter which were simulated using GECROS were the
509 best to simulate this grain yield parameter under the different environmental
510 conditions. In contrast to both, CERES and SPASS, which similarly calculate
511 the grain number per square meter from the stem weight at the start of flowering,
512 in GECROS, the grain numbers per square meter are a function of the estimated
513 soluble nitrogen in vegetative organs, which are replaceable for grain growth, the
514 achieved nitrogen-to-carbon ratio in the grain, the achieved grain weight and the
515 proportion of grain nitrogen, which is accumulated before the end of the grain
516 number determining period that comes from non-structural nitrogen pools in
517 vegetative organs. This nitrogen reserve limited grain number determination
518 and grain filling approach obviously works good enough to adequately simulate
519 the measurements in the special case of the analysed OTC system. However,
520 in absence of measurements of nitrogen contents we cannot decide whether the
521 mechanism implemented in GECROS is correct or if it just works in the special
522 case analysed in this study.

523 4.1.3. Soil type

524 The soil hydraulic properties were estimated by Expert-N with respect to the
525 soil texture, the content of organic carbon and the estimated soil density to
526 simulate transpiration and soil water flow. Therefore the calculated hydraulic
527 properties could be at some degree different from those in the experimental soils
528 and may have influenced the simulation accuracy to some unknown extent.

529 The Cambisol soil was characterized as a low fertility soil based on the lower
530 contents of organic carbon and macro nutrient contents than the Chernozem
531 soil (Schütz, 2002). Although nutrients other than nitrogen are not taken into
532 account by any of the four crop models we would expect spring wheat growth
533 on the different soils in the OTC system similar to the results simulated by the
534 GECROS model where grain yields were higher on the Chernozem soil.

535 5. Conclusions

536 Decoupled from the original models to calculate water, nitrogen and heat trans-
537 fer but embedded into a uniform model environment provided by Expert-N four
538 crop models were tested for the ability to simulate the special cases of environ-
539 mental conditions of an OTC study. The NRMSE and ME values show that the
540 best simulation results were achieved for the development stages. The qualities
541 of the simulations of the other crop parameters were mostly of comparable ac-
542 curacy as observed in other studies. Some simulation results however were at
543 the lower limit of accuracy (NRMSE values above 0.75 and ME values below
544 0.5). SUCROS simulations are good in case of simulated vegetative crop organs,
545 but grain yield simulations are not as good. The CERES model is attractive for
546 the little effort of parameterization and the good results in total aboveground
547 biomass and grain yield. In case of GECROS significantly better simulation
548 results were observed in 1999 than for the treatments in 1998. Similar to sim-
549 ulations with SUCROS the simulations of grain yield are not good using the
550 GECROS model. However, the variability of the CO₂ fertilization effect on
551 grain yields is most sensitive using GECROS. Based on a parameterization us-
552 ing values obtained by Penning de Vries et al. (1989) the SPASS model combines
553 the positive properties of CERES and SUCROS. Using SPASS most impressing
554 were the good simulation results for the different environmental conditions in
555 1998, where simulation outliers were more frequent for the other models.

556 The impact of atmospheric CO₂ and its high variability due to interactions
557 with other environmental factors were more sensitively simulated by the two
558 different mechanistic modelling approaches in GECROS and SPASS. Neverthe-
559 less, neither the dynamics at different development stages nor the relative effects

560 between ambient and elevated CO₂ conditions were consistently adequately sim-
561 ulated in comparison with the OTC measurements. The empirical approaches
562 that constantly increase the elevated CO₂ response are in most cases too static
563 and not sensitive enough to adequately respond to interactions with other en-
564 vironmental factors. Although the mechanistic approaches were more substan-
565 tial, we conclude that neither the static nor the mechanistic approaches are good
566 enough for adequate simulations of interactions between CO₂ and other environ-
567 mental factors on plant growth. Whether this is due to the special conditions of
568 the OTC or due to insufficient understanding of elevated CO₂ response remains
569 unclear. The results of this study suggest that further research is needed to
570 improve the understanding of the CO₂ response of crop growth under different
571 environmental conditions especially with regard to realistic field conditions.

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824 figure caption

825 figure 1: Simulation results and dynamic of the reference treatment (wet, am-
826 bient CO₂, Cambisol, 1999) after calibration. Numbers of x-axis indicate the
827 days after sawing. Abbreviations: DW - dry weight, TAGB - total aboveground
828 biomass, LAI - leaf area index. Error bars are standard deviations of the mea-
829 surements.

Table 1: Experimental conditions of the applied data sets (Schütz, 2002).

Cultivar	<i>Triticum aestivum</i> L. cv. 'Minaret'	
Year	1998	1999
Soil type	Chernozem	Cambisol
Soil texture	Clay loam (Lt)	Loamy sand (Sl)
Soil depth [cm]	40 cm (pot system)	50 cm (plot system)
N-Fertilization [kg N ha ⁻¹]	200	200
Irrigation (wet) [mm]	718±67	433±5
Irrigation (dry) [mm]	377±40	102±2
ambient CO ₂ [ppm]	Ø380.4±27.1	Ø383.7±32.3
elevated CO ₂ [ppm]	Ø634.1±69.2	Ø672.7±120.0
Organic C content [%]	2.44	0.812
Organic N content [%]	0.24	0.081
Texture (S/U/C) [%]	35/44/21	67/22/11
Porosity [%]	57	52
Field capacity (pF 1.8)	45	40
Wilting point (pF 4.2)	30	17
Plot size [m ²]	0.27	1.765
Lateral canopy border conditions	Shading fences	Border strip
Average PAR [MJ m ⁻² d ⁻¹]	9.9±4.6	10.2±3.6
Location of the experiments	50.6°N, 8.7°E. Giefesen, Germany.	

Soil type according to FAO classification. Soil textures as described in AG Boden (1994). Air flow rate within the OTC amounted to 19000 m³h⁻¹.

Table 2: Crop growth model parameter values.

Model	Parameter	Description	Unit	Spring wheat	Reference	
CERES	P5	grain filling period coefficient		4		
	P1D	day length coefficient		3.25		
	P1V	vernalization coefficient		0.3		
	fPhint	phytochrome interval		80	Ritchie and Godwin (1987)	
	G1	kernel number coefficient		4	Godwin et al. (1990)	
	G2	Kernel weight coefficient		3	Godwin et al. (1990)	
	LUE	light use efficiency		ambient CO ₂ : 7.5 elevated CO ₂ : 9.0	Ritchie and Godwin (1987) Ritchie and Godwin (1987)	
	YGv	growth efficiency of vegetative organs	g C g ⁻¹ C	0.79	Penning de Vries et al. (1989)	
	CFv	carbon fraction in vegetative-organ biomass	g C g ⁻¹ dw	0.45	Tubiello et al. (1999)	
	LWIDTH	leaf width	m	0.012	Bos and Neuteboom (1998)	
LNCI	initial critical shoot nitrogen concentration	g N g ⁻¹ dw	0.0555	Brooks et al. (2000)		
RNCMIN	minimum nitrogen concentration in root	g N g ⁻¹ dw	0.0076	Rroco and Mengel (2000)		
STEMNC	minimum nitrogen concentration in stem	g N g ⁻¹ dw	0.005	Abreu et al. (1993)		
SEEDW	weight of a single seed	g seed ⁻¹	0.038	Diepenbrock et al. (1999)		
SEEDNC	standard seed N concentration	g N g ⁻¹ dw	0.0242	Weigel and Mauerscheid (2005)		
HTMX	Maximum plant height	m	0.9			
CDMHT	proportionality factor between stem biomass and plant height	g m ⁻² m ⁻¹	450			
MTDV	Minimum thermal days for vegetative phase	day	22			
MTDR	Minimum thermal days for reproductive (seed fill)	day	32			
EAJMAX	Activation energy of J _{max} (photosynthesis whole-chain electron transport)	J mol ⁻¹	23100	Alonso et al. (2009)		
BLD	Leaf angle from horizontal		25°	Simon (1999)		
NUPTX	Maximal N-uptake rate	g N m ⁻² d ⁻¹	0.5			
SUNMIN	minimum specific leaf nitrogen	g N g ⁻¹	0.2			
RDMX	maximum root depth	cm	1998: 40; 1999: 50			
SPASS	D _v	physiological development days before anthesis	day	37		
	D _r	physiological development days after anthesis	day	24		
	V _{nd}	vernalization requirement	day	0		
	Ω	photoperiod sensitivity factor	day ⁻¹	0.25		
	R _{gfill,max}	maximum grain filling rate	mg grain ⁻¹ day ⁻¹	1.75		
	ξ _{grain}	number of grains	g grams stem ⁻¹	25		
	basis parameterization for Nitrogen allocation according to					
	TbaseV	base temperature of development rate before anthesis	°C	0		Penning de Vries et al. (1989)
	DVRV	development rate before anthesis	°C day ⁻¹	0.4		
	TbaseR	base temperature of development rate after anthesis	°C	0		
DVRR ⁻¹	development rate after anthesis	(°C day ⁻¹)	0.48			
LUE	light use efficiency	g MJ ⁻¹	ambient CO ₂ : 0.45 elevated CO ₂ : 0.54		Ritchie and Godwin (1987) Ritchie and Godwin (1987) van Keulen and Seligman (1987)	
basis parameterization of Nitrogen allocation according to						
	specific leaf weight	g m ⁻²	45.4		Spitters and Kramer (1986)	

Table 3: Model efficiency index (ME) and normalized root mean square error (NRMSE) of the simulations with the respective model for the development stage, the total aboveground biomass (TAGB) and the leaf area index (LAI). \overline{ME} and \overline{NRMSE} represent the arithmetic means of each column, while \overline{ME} and \overline{NRMSE} represent the arithmetic mean of the observed ME and NRMSE values of a single crop model.

Treatment	Growth stage		TAGB		LAI		Growth stage		TAGB		LAI	
	ME/NRMSE	ME/NRMSE	ME/NRMSE	ME/NRMSE	ME/NRMSE	ME/NRMSE	ME/NRMSE	ME/NRMSE	ME/NRMSE	ME/NRMSE	ME/NRMSE	ME/NRMSE
CERES												
wet/aCO ₂ /Ch/1998	0.95/0.23	0.81/0.14	0.86/0.66	0.98/0.13	0.81/0.14	0.76/0.77						
wet/eCO ₂ /Ch/1998	0.97/0.17	0.75/0.18	0.53/2.72	0.99/0.10	0.59/0.29	1.00/0.13						
wet/aCO ₂ /Ca/1998	0.97/0.16	0.68/0.27	0.90/0.49	0.99/0.12	0.55/0.31	0.68/0.94						
wet/eCO ₂ /Ca/1998	0.98/0.15	0.64/0.37	0.91/0.52	0.99/0.11	0.52/0.50	0.70/0.90						
dry/aCO ₂ /Ch/1998	0.95/0.21	0.34/0.75	0.60/2.17	0.98/0.12	0.00/0.27	1.00/0.12						
dry/eCO ₂ /Ch/1998	0.97/0.18	0.64/0.38	0.55/2.55	0.99/0.11	0.07/0.31	0.99/0.16						
dry/aCO ₂ /Ca/1998	1.00/0.16	0.59/0.28	0.57/2.40	1.00/0.12	0.39/0.26	0.99/0.14						
dry/eCO ₂ /Ca/1998	0.98/0.15	0.98/0.04	0.67/1.72	0.99/0.11	0.44/0.31	0.94/0.40						
wet/aCO ₂ /Ca/1999 *	0.97/0.16	0.93/0.14	0.91/0.33	0.99/0.12	0.79/0.21	0.86/0.35						
wet/eCO ₂ /Ca/1999	0.94/0.09	0.86/0.23	0.88/0.38	1.00/0.02	0.74/0.35	0.89/0.53						
dry/aCO ₂ /Ca/1999	0.94/0.09	0.80/0.24	0.76/0.75	1.00/0.04	0.61/0.32	0.91/0.32						
dry/eCO ₂ /Ca/1999	0.94/0.09	0.83/0.22	0.84/0.56	0.99/0.04	0.68/0.33	0.86/0.45						
\overline{ME} (Mean \pm SD)	0.96 \pm 0.02	0.74 \pm 0.17	0.75 \pm 0.15	0.99 \pm 0.01	0.52 \pm 0.26	0.88 \pm 0.11						
\overline{NRMSE} (Mean \pm SD)	0.15 \pm 0.04	0.27 \pm 0.18	1.27 \pm 0.96	0.09 \pm 0.04	0.30 \pm 0.09	0.42 \pm 0.30						
SPASS												
GECROS												
$\overline{ME} = 0.82 \pm 0.17$. $\overline{NRMSE} = 0.56 \pm 0.75$												
SUCROS												
wet/aCO ₂ /Ch/1998	0.98/0.17	0.73/0.31	0.63/0.84	0.98/0.23	0.79/0.15	0.81/0.67						
wet/eCO ₂ /Ch/1998	0.98/0.16	0.74/0.28	0.65/2.04	0.99/0.17	0.75/0.15	0.97/0.39						
wet/aCO ₂ /Ca/1998	0.96/0.21	0.48/0.61	0.62/1.07	0.99/0.16	0.64/0.22	0.73/0.82						
wet/eCO ₂ /Ca/1998	0.97/0.17	0.56/0.60	0.67/0.78	0.99/0.15	0.58/0.36	0.77/0.74						
dry/aCO ₂ /Ch/1998	0.97/0.17	0.65/0.39	0.85/0.56	0.98/0.21	0.26/1.04	0.98/0.30						
dry/eCO ₂ /Ch/1998	0.98/0.16	0.95/0.10	0.65/2.00	0.99/0.18	0.52/0.56	0.98/0.32						
dry/aCO ₂ /Ca/1998	0.99/0.21	0.70/0.27	0.76/0.66	0.98/0.16	0.41/0.48	0.98/0.27						
dry/eCO ₂ /Ca/1998	0.97/0.18	0.73/0.27	0.86/0.84	0.99/0.15	0.83/0.11	1.00/0.12						
wet/aCO ₂ /Ca/1999 *	0.96/0.21	0.89/0.19	0.87/0.35	0.99/0.16	0.80/0.20	0.90/0.36						
wet/eCO ₂ /Ca/1999	1.00/0.02	0.78/0.29	0.96/0.24	1.00/0.09	0.70/0.30	0.92/0.33						
dry/aCO ₂ /Ca/1999	1.00/0.04	0.93/0.18	0.90/0.33	1.00/0.09	0.70/0.27	0.98/0.18						
dry/eCO ₂ /Ca/1999	1.00/0.02	0.90/0.20	0.93/0.36	0.99/0.09	0.71/0.26	0.95/0.29						
\overline{ME} (Mean \pm SD)	0.98 \pm 0.01	0.75 \pm 0.15	0.78 \pm 0.13	0.99 \pm 0.01	0.64 \pm 0.17	0.92 \pm 0.09						
\overline{NRMSE} (Mean \pm SD)	0.14 \pm 0.07	0.31 \pm 0.15	0.84 \pm 0.61	0.15 \pm 0.04	0.34 \pm 0.26	0.40 \pm 0.22						
$\overline{ME} = 0.84 \pm 0.15$. $\overline{NRMSE} = 0.43 \pm 0.46$												
$\overline{ME} = 0.85 \pm 0.19$. $\overline{NRMSE} = 0.30 \pm 0.22$												

* indicates the reference treatment; wet: unlimited water supply; dry: water limited conditions; aCO₂: ambient; eCO₂: elevated atmospheric CO₂ concentrations; Ch: Chernozem soil; Ca: Cambisol soil.

Table 4: Comparison of measurements and simulations of grain yield parameters.

Yield parameters		Treatment	Measured \pm SD	CERES Simulated	GECROS Simulated	SPASS Simulated	SUCROS Simulated	
Grain yield [g m ⁻²]		wet/aCO ₂ /Ch/1998	575 \pm 84	537.2	433.3	498.2	594.8	
		wet/eCO ₂ /Ch/1998	809 \pm 146	639.3	422.7	779.1	743.0	
		wet/aCO ₂ /Ca/1998	790 \pm 50	569.3	290.4	550.2	620.4	
		wet/eCO ₂ /Ca/1998	1107 \pm 180	682.9	522.8	785.9	705.2	
		dry/aCO ₂ /Ch/1998	301 \pm 40	539.3	446.2	514.9	684.2	
		dry/eCO ₂ /Ch/1998	441 \pm 239	640.7	619.1	765.7	791.2	
		dry/aCO ₂ /Ca/1998	401 \pm 29	569.6	320.5	530.2	684.1	
		dry/eCO ₂ /Ca/1998	657 \pm 63	682.9	630.1	777.6	772.8	
		wet/aCO ₂ /Ca/1999 *	566 \pm 98	582.1	557.3	479.2	608.3	
		wet/eCO ₂ /Ca/1999	832 \pm 241	681.5	648.5	819.1	705.5	
		dry/aCO ₂ /Ca/1999	530 \pm 145	372.0	540.1	302.4	479.0	
		dry/eCO ₂ /Ca/1999	648 \pm 120	448.0	661.2	501.2	542.9	
		<u>ME</u>		0.50	0.33	0.69	0.22	
	Thousand grain weight [g]		wet/aCO ₂ /Ch/1998	42.3 \pm 1.5	41.2	32.1	42.0	-
			wet/eCO ₂ /Ch/1998	41.9 \pm 0.3	40.7	38.0	42.8	-
			wet/aCO ₂ /Ca/1998	36.9 \pm 2.9	43.5	38.0	42.7	-
		wet/eCO ₂ /Ca/1998	40.0 \pm 1.8	43.5	37.9	43.7	-	
		dry/aCO ₂ /Ch/1998	38.5 \pm 2.2	41.2	37.4	40.0	-	
		dry/eCO ₂ /Ch/1998	37.1 \pm 2.7	40.7	34.8	42.6	-	
		dry/aCO ₂ /Ca/1998	35.9 \pm 1.9	43.5	37.8	41.6	-	
		dry/eCO ₂ /Ca/1998	39.4 \pm 0.9	43.5	34.6	43.5	-	
		wet/aCO ₂ /Ca/1999 *	32.9 \pm 4.8	43.9	32.2	39.7	-	
		wet/eCO ₂ /Ca/1999	35.9 \pm 2.3	42.8	38.0	42.0	-	
		dry/aCO ₂ /Ca/1999	33.4 \pm 2.8	36.2	31.3	32.4	-	
		dry/eCO ₂ /Ca/1999	37.1 \pm 1.5	37.6	37.9	32.5	-	
		<u>ME</u>		0.44	0.55	0.83	-	
Grain number m ⁻² [m ⁻²]			wet/aCO ₂ /Ca/1999 *	17143 \pm 1386	13382	17378	12162	-
			wet/eCO ₂ /Ca/1999	22957 \pm 5732	16072	17143	19664	-
			dry/aCO ₂ /Ca/1999	15645 \pm 3308	10364	17391	9421	-
		dry/eCO ₂ /Ca/1999	17434 \pm 1352	12027	17472	15564	-	
	<u>ME</u>		0.52	0.31	0.67	-		

* indicates the reference treatment. wet: unlimited water supply; dry: water limited conditions; aCO₂: ambient, eCO₂: elevated atmospheric CO₂ concentrations; Ch: Chernozem; Ca: Cambisol. SD: Standard deviation.

Table 5: Fraction of the relative effects of each environmental condition on total aboveground biomass for the measurements and for the four crop models.

Relative effect of CO ₂ (eCO ₂ vs. aCO ₂)	Development stage	Measurement			
		CERES Ratio of the corresponding eCO ₂ vs. aCO ₂	GECROS	SPASS	SUCROS
wet/Ch/1998	65	1.28	1.18	1.49	1.36
	92	1.27	1.19	1.26	1.30
wet/Ca/1998	65	1.12	1.18	1.45	1.14
	92	1.38	1.18	1.36	1.15
dry/Ch/1998	65	1.35	1.17	1.49	1.14
	92	1.63	1.19	1.26	1.16
dry/Ca/1998	65	1.50	1.18	1.51	1.14
	92	1.58	1.18	1.49	1.14
wet/Ca/1999 *	44-57	1.14	1.18	1.36	1.14
	64-65	1.05	1.18	1.28	1.14
	77	1.00	1.19	1.18	1.15
dry/Ca/1999	92	1.31	1.18	1.17	1.16
	44-57	0.96	1.16	1.37	1.11
	64-65	1.43	1.15	1.29	1.11
	77	1.10	1.16	1.26	1.12
	92	1.10	1.18	1.20	1.12

Relative effect of water supply (dry vs. wet)	Ratio of the corresponding dry vs. wet treatment			
	aCO ₂ /Ch/1998	eCO ₂ /Ch/1998	aCO ₂ /Ca/1998	eCO ₂ /Ca/1998
	65	0.58	1.01	0.99
	92	0.51	1.00	0.96
	65	0.61	1.00	0.99
	92	0.65	1.00	0.96
	65	0.68	1.00	1.07
	92	0.55	1.00	1.31
	65	0.92	1.00	1.12
	92	0.64	1.00	1.43
	44-57	0.90	0.88	1.00
	64-65	0.67	0.81	1.00
	77	0.79	0.86	0.84
	92	0.88	0.76	0.77
	44-57	0.76	0.86	1.00
	64-65	0.90	0.79	1.00
	77	0.88	0.84	0.90
	92	0.74	0.76	0.79

* indicates the reference treatment; development stages according to Zadok et al. (1974); wet: unlimited water supply; dry: water limited conditions; aCO₂: ambient; eCO₂: elevated atmospheric CO₂ concentrations; Ch: Chernozem soil; Ca: Cambisol soil.

Figure

