

1 **Quantitative losses vs. qualitative stability of ectomycorrhizal community responses to 3**  
2 **years of experimental summer drought in a beech-spruce forest**

3 Running head: Ectomycorrhizal responses to extended drought

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18 fungal diversity, forest ecosystems, climate change

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**20 Abstract**

21 Forest ecosystems in central Europe are predicted to face an increasing frequency and severity of  
22 summer droughts because of global climate change. European beech and Norway spruce often  
23 coexist in these forests with mostly positive effects on their growth. However, their different  
24 below-ground responses to drought may lead to differences in ectomycorrhizal (ECM) fungal  
25 community composition and functions which we examined at the individual root and ecosystem  
26 levels. We installed retractable roofs over plots in Kranzberg Forest (11°39'42"E, 48°25'12"N;  
27 490 m a.s.l.) to impose repeated summer drought conditions and assigned zones within each plot  
28 where trees neighboured the same or different species to study mixed species effects. We found  
29 that ECM fungal community composition changed and the numbers of vital mycorrhizae  
30 decreased for both tree species over 3 drought years (2014–2016), with the ECM fungal  
31 community diversity of beech exhibiting a faster and of spruce a stronger decline. Mixed stands  
32 had a positive effect on the ECM fungal community diversity of both tree species after the third  
33 drought year. Ectomycorrhizae with long rhizomorphs increased in both species under drought,  
34 indicating long-distance water transport. However, there was a progressive decline in the number  
35 of vital fine roots during the experiment, resulting in a strong reduction in enzyme activity per  
36 unit volume of soil. Hydrolytic enzyme activities of the surviving ectomycorrhizae were stable or  
37 stimulated upon drought, but there was a large decline in ECM fungal species with laccase  
38 activity, indicating a decreased potential to exploit nutrients bound to phenolic compounds.  
39 Thus, the ectomycorrhizae responded to repeated drought by maintaining or increasing their  
40 functionality at the individual root level, but were unable to compensate for quantitative losses at  
41 the ecosystem level. These findings demonstrate a strong below-ground impact of recurrent  
42 drought events in forests.

### 43 **Introduction**

44 European beech (*Fagus sylvatica* L.) and Norway spruce (*Picea abies* (L.) KARST.) have a wide  
45 ecological range and are among the dominant tree species in mesic temperate forest ecosystems  
46 across Europe (Ellenberg, 1988; Fang & Lechowicz, 2006). Together with close relatives, these  
47 ectomycorrhizal (ECM) tree species are major components of ecosystems throughout the  
48 Holarctic realm (Fang & Lechowicz, 2006; Lockwood et al., 2013). However, both species are at  
49 risk from the increased frequency and intensity of droughts that are predicted by future climate  
50 change scenarios (Geßler et al., 2007; Spiecker, 1995; Young et al., 2017), with spruce being  
51 particularly vulnerable because of its shallow roots system and its low drought tolerance (Boden,  
52 Kahle, von Wilpert, & Spiecker, 2014).

53 Both species form monospecific and mixed forest stands, with spruce mostly exhibiting  
54 increased overall productivity when growing in mixture (Pretzsch et al., 2014). These positive  
55 mixed stand effects have been attributed to improved soil properties and increased overall  
56 biodiversity by beech (Ammer, Bickel, & Kölling, 2008). In addition, below-ground resource  
57 partitioning is likely to contribute to the positive effects of mixture as beech shifts its fine roots  
58 from upper to lower soil depths when growing alongside spruce because of competition (Bolte &  
59 Villanueva, 2006; Goisser et al. 2016). Under severe summer drought conditions, spruce can  
60 adapt by decreasing its fine-root growth (Puhe, 2003) while maintaining its standing fine-root  
61 biomass (Nikolova, Andersen, Blaschke, Matyssek, & Häberle, 2010), whereas beech exhibited  
62 slightly increased fine-root growth during the severe summer drought of 2003 (Nikolova et al.,  
63 2010). Thus, water limitation evokes different below-ground responses in these species (Schume,  
64 Jost, & Hager, 2004), with spruce decreasing water consumption and growth in the early stages  
65 of drought (Dobson, Taylor, & Freer-Smith, 1990; Maier-Maercker, 1998) and beech continuing

66 to grow (Burkhardt & Pariyar, 2016). The distinct physiological responses of these tree species  
67 to drought suggest that their ectomycorrhizae will be exposed to different conditions under the  
68 same drought scenario.

69 From the thousands of ECM fungal species potentially forming ectomycorrhizae (Tedersoo,  
70 et al., 2014), at the plot level, fine roots of spruce and beech have been found to form  
71 ectomycorrhizae with an estimated 60 species of Basidiomycota and Ascomycota (Pena et al.,  
72 2010; Taylor, Martin, & Read, 2000). ECM fungi form a hyphal mantle around the primary roots  
73 and hyphal networks outside the roots (extramatrical mycelia), which constitute functional  
74 extensions of the plant roots (Finlay & Read, 1986). The ability of ECM fungi to exploit the  
75 nutrients and water contained in the surrounding soil gives them the potential to improve the  
76 nutritional status of trees associated with ECM fungi and to contribute to tree water uptake from  
77 the soil, attenuating drought stress in those trees (Allen, 2007; Lehto & Zwiazek, 2011). ECM  
78 fungi mediate plant nutrient uptake either directly in solubilised form or following enzymatic  
79 mobilisation from organic debris (Abuzinadah, Finlay, & Read, 1986; Pritsch & Garbaye, 2011).  
80 Extracellular enzyme activities (EAs) of ectomycorrhizae are considered functional traits that are  
81 indicative of changing conditions in plant–soil ecosystems (Koide, Fernandez, & Malcolm,  
82 2014). Although nutrient turnover processes are generally decreased in dry soils (Sardans &  
83 Peñuelas, 2005), ECM fungi can overcome a local soil water deficit by transporting water  
84 through their mycelia and particularly through their rhizomorphs (Brownlee, Duddridge,  
85 Malibari, & Read, 1983; Duddridge, Malibari, & Read, 1980; Lilleskov, Bruns, Dawson, &  
86 Camacho, 2009), thereby retaining or even increasing the potential for nutrient mobilisation.  
87 Besides, a local soil water deficit can be attenuated by hydraulic lift (Caldwell et al., 1998): At  
88 night water moves passively through roots of deep rooting trees such as *Fagus sylvatica* from

89 deep soil layers (higher water potential) to shallow soil layers (lower water potential) where  
90 nutrients and fine roots are abundant.

91 On the basis of the organisation of their extramatrical mycelia, ECM fungi can be categorised  
92 as contact, short-distance, medium-distance or long-distance exploration types (Agerer, 2001).  
93 ECM fungi of the long-distance and medium-distance exploration types form rhizomorphs,  
94 increasing water transport to the roots (Cairney, 1992; Duddridge et al., 1980). Bakker, Augusto,  
95 and Achat (2006) found that moist forest sites contained more contact types, while dry forest  
96 sites contained more short-distance and long-distance types, indicating an increase in  
97 functionality of ECM fine-root systems with respect to water transport. However, clear evidence  
98 for exploration type preferences to local soil moisture conditions is still lacking as the locations  
99 examined by Bakker et al. (2006) also differed in tree species, soil type and nutrient status (Lehto  
100 & Zwiazek, 2011).

101 ECM fungi are exposed to periodic soil drought even in regions with normally adequate  
102 amounts of precipitation. Drought tolerance differs among ECM fungal species (reviewed in  
103 Lehto & Zwiazek, 2011) and probably also among populations of a species (Lamhamedi,  
104 Bernier, & André-Fortin, 1992), resulting in diverse changes in ECM fungal community  
105 composition under drought (Cavender-Bares, Izzo, Robinson, & Lovelock, 2009; di Pietro,  
106 Churin, & Garbaye, 2007; Richard et al., 2011; Swaty, Deckert, Whitham, & Gehring, 2004). To  
107 determine whether altered ECM fungal community composition is critical to ecosystem  
108 functioning or is indicative of a plastic functional system with high adaptive potential, it is  
109 important to also consider the functional traits of these communities, such as the capacity to  
110 transport water through rhizomorphs or the activity of their extracellular enzymes. (Dahlberg,  
111 2001; Kipfer, Wohlgemuth, van der Heijden, Ghazoul, & Egli, 2012). ECM fungal community

112 composition ultimately determines the functionality of a fine-root system through the different  
113 properties of the ECM fungal species involved (Cairney, 1999; Godbold & Berntson, 1997; Shi,  
114 Guttenberger, Kottke, & Hampp, 2002) and so alterations in community composition are likely  
115 to alter the function of the fine-root system. If such alterations are driven by a certain stress  
116 factor, they may affect functionality in the direction of stress resistance or resilience.

117 In the present study, we examined the responses of the ECM fungal communities of beech  
118 and spruce under repeated summer drought as part of the Kranzberg Roof Experiment (KROOF)  
119 project, which is a throughfall exclusion experiment being carried out in a maturing (age 60–70  
120 years) beech–spruce forest [see Pretzsch et al. (2014) for a detailed description of the  
121 experimental site].

122 We investigated how ECM fungal communities of beech and spruce reacted upon repeated  
123 summer drought in terms of ECM fungal diversity and community composition, the potential to  
124 transport water through ECM fungal rhizomorphs and the potential activity of extracellular  
125 enzymes of vital ectomycorrhizae. We addressed three hypotheses: H1, repeated years of  
126 throughfall exclusion influence ECM fungal community composition and functions more  
127 strongly in spruce than in beech; H2, repeated drought leads to changes in the functionality of the  
128 ECM fine-root system towards traits that are related to drought resistance, irrespective of the tree  
129 species; and H3, the negative effects of drought on ECM fungal communities of beech and  
130 spruce are attenuated in mixed stands compared with monospecific stands.

## 131 **Materials and methods**

### 132 *Site description and climatic conditions*

133 This study was conducted in Kranzberg Forest, which is a mixed mature forest situated in  
134 southern Germany (11°39'42"E, 48°25'12"N; 490 m a.s.l.). The study site had an average annual

135 precipitation rate of  $723 \pm 27 \text{ mm year}^{-1}$  between October 2011 and October 2016, of which  
136 approximately 500 mm fell during the growing season (April–October), and an annual mean  
137 temperature of  $8.4 \pm 0.4 \text{ }^\circ\text{C}$ , with an average of  $13.1 \pm 0.5 \text{ }^\circ\text{C}$  during the growing season (Fig. 1).  
138 Weather conditions at the site differed strongly during study years (2013–2016) including an  
139 extremely hot and dry period in 2015 [rainfall sum in summer (June to August) reduced by 56%  
140 compared to mean of rainfall sums in summers 2014 and 2016] imposing natural drought on  
141 trees also on control plots (Fig. 1). This site is dominated by European beech and Norway  
142 spruce, with an average age of  $82 \pm 4$  years (beech) and  $62 \pm 2$  years (spruce) in 2013. The soil is  
143 a nutrient-rich luvisol developed from loess over tertiary sediments [eutric cambisols; Food and  
144 Agriculture Organization (FAO) classification].

145 In 2010, 12 plots (100–200 m<sup>2</sup>) were established at the study site by digging trenches to a  
146 depth of approximately 1 m, where a water impermeable clay layer prevents water transport from  
147 below. Water impermeable canvas was then used to vertically separate plots from adjacent areas,  
148 preventing the lateral movement of water. Each of the 12 plots contained zones in which spruce  
149 trees neighbored other spruce trees (spruce zone), beech trees neighbored other beech trees  
150 (beech zone) and beech trees neighbored spruce trees in an interspecific contact zone (mixture  
151 zone) (Fig. 2). In 2013, six of the plots were assigned to the throughfall exclusion treatment  
152 group and equipped with retractable roofs, while the remaining six plots served as controls. The  
153 roofs were set to automatically close during rain events from 6 May, 2014 to 9 December, 2014,  
154 10 March, 2015 to 21 November, 2015 and 6 March, 2016 to 4 November, 2016. The roofs  
155 remained open at all other times to minimise any changes in temperature and other stand  
156 conditions that were not related to precipitation, and remained open throughout winter. Air

157 temperature and precipitation levels were recorded at the Bavarian forest ecosystem monitoring  
158 plot ca. 5 km west of Freising.

#### 159 *Root, mycorrhiza and soil sampling*

160 Sampling was carried out once per year at the end of the vegetation period in the year before  
161 throughfall exclusion (8 October, 2013), and before continuously opening the roofs during winter  
162 in the years with throughfall exclusion (6 October, 2014, 12 October, 2015 and 2 November,  
163 2015, and 4 October, 2016). Soil cores of 4-cm diameter were taken to a depth of 25 cm (2013,  
164 2014) or 40 cm (2015, 2016) after removing any loose superficial litter. In each plot, one soil  
165 core was obtained from each of beech and spruce zones, respectively, and two were obtained  
166 from the mixture zone. Each soil core was separated into an upper part “topsoil” (average  
167 thickness = 8.6 cm), which combined the  $O_f + hA_h$  horizons, and a lower part “deep layers” (>8.6  
168 cm), which consisted of  $A_1B_v$  (KA5 classification; Eckelmann, Sponagel, & Grotenthaler, 2005).  
169 Samples from the mixture zone were combined giving a total of six soil samples per plot. Each  
170 sample was collected in a plastic bag, cooled immediately in the field and stored for up to 4  
171 weeks at 4 °C until further processing. The root material within these samples was used to  
172 examine ECM fungal community structure with two different approaches (morphotyping and  
173 high throughput sequencing), and to measure exoenzyme activities.

#### 174 *Soil parameters*

175 The volumetric soil water content was measured continuously using a time-domain  
176 reflectometer (TDR 100; Campbell Scientific, Logan, Utah, USA). With vertical installation, the  
177 probe signal integrated the soil water content over a soil depth of 10–30 cm. Therefore, the  
178 uppermost probes were installed horizontally, integrating the signal over the top 0–7 cm of  
179 mineral topsoil. One TDR probe was installed at both depths within each of the three zones



180 (beech, spruce and mixture; Fig. 2) in each of the 12 plots ( $n_{\text{total}} = 72$ ). The sensor signals of all  
181 probes were assessed weekly throughout the year.

### 182 *Fine-root parameters*

183 Roots were manually separated from the soil, cleaned in tap water, and sorted under a  
184 stereomicroscope into beech and spruce roots. Samples were named according to tree species and  
185 zone, giving four sample types: spruce roots from spruce zones (SS), spruce roots from mixture  
186 zones (SMix), beech roots from beech zones (BB) and beech roots from mixture zones (BMix).  
187 Depending on the amount and vitality of fine-roots in a respective soil sample, either the entire  
188 sample (when few roots were present) or a subsample (in case many roots were present) was  
189 used for morphotype assessments and EA as detailed below. Subsampling was used to assure  
190 processing of one sample within one hour thus assuring comparability between different  
191 samples. Subsamples were generated by cutting all fine-roots (<1-mm diameter for beech; <2-  
192 mm diameter for spruce) of one sample into pieces of 2-cm length and by randomly picking a  
193 representative subsample (50 %, 33 % or 25 % of the total sample). 21 ECM tips per sample,  
194 respectively subsample were used up for enzyme activity assays and morphotype identification  
195 by Sanger sequencing. All remaining fine roots of each sample were stored below  $-20\text{ }^{\circ}\text{C}$  and  
196 subsequently used for DNA extraction and high-throughput sequencing.

### 197 *Ectomycorrhizal morphotype diversity and abundance*

198 Vital mycorrhizal tips were assigned to morphotypes according to the colour and surface  
199 properties of the mycorrhizal mantle, and were categorised into exploration types according to  
200 Agerer (2001). The number of each morphotype was counted and used to calculate morphotype  
201 abundance per unit volume of soil. The ECM tips collected for enzyme activity measurements  
202 were frozen at  $-20\text{ }^{\circ}\text{C}$  after finishing the assays for later identification according to their internal

203 transcribed spacer (ITS) ribosomal DNA (rDNA) sequence using polymerase chain reaction  
204 (PCR) and Sanger sequencing (see Method S1), resulting in several sequences per morphotype  
205 and year. These sequences were checked and assembled with CodonCode Aligner (CodonCode,  
206 Centerville, MA, USA) and contigs were submitted to BLAST searches against the UNITE  
207 database (Kõljalg et al., 2013) and the International Nucleotide Sequence Database (INSD). We  
208 only used the first entry of blast results and defined the following criteria to assign OTUs to  
209 species records: (1) sequence similarity  $\geq 95\%$  and (2) a BLAST e-value  $< 2 \times 10^{-31}$ . If more than  
210 50 % the sequences of one morphotype yielded different species, but same genus, we used the  
211 genus information and if more than 50 % of the sequences of one morphotype yielded different  
212 genera, we kept our internal morphotype numbering (e.g. MT\_18).

213 To distinguish between ECM fungal ability of potential water transport over several cm distance,  
214 we assigned the ECM tips to the following three exploration type groups that indicate soil  
215 exploration by extramatrical mycelia: (1) contact types (soil exploration radius 0 mm, no  
216 emanating hyphae), (2) short-distance (soil exploration radius up to 5 mm, some emanating  
217 hyphae) and medium-distance types (soil exploration radius up to 3 cm; fringe types: fans of  
218 emanating hyphae, mat types: undifferentiated rhizomorphs, smooth types: slightly differentiated  
219 rhizomorphs) and (3) long-distance types (soil exploration radius up to several dm, mostly highly  
220 differentiated rhizomorphs).

#### 221 *Potential extracellular enzyme activities of ectomycorrhizae*

222 Twenty-one vital ECM tips were randomly selected from each sample, placed on wet filter paper  
223 and stored at 4 °C overnight. The number of tips of each morphotype was chosen according to its  
224 relative abundance in the sample, but was not lower than three. This design allowed the direct  
225 calculation of a weighted mean of EAs in each sample:

$$\overline{EA}_{\text{per tip}} = \frac{\sum_n EA}{n}, \quad (1)$$

226 where  $n$  is the number of ECM tips assayed per sample. This value was then further normalised  
 227 to the number of ECM tips that occurred per unit volume of soil:

$$EA_{\text{per vol}} = \frac{\overline{EA}_{\text{per tip}} \times n}{\text{soil volume}_{\text{sample}}}, \quad (2)$$

228 where  $n$  is the number of vital ECM tips in a particular sample, representing the total EA in the  
 229 sample.

230 The entire assay followed the procedure of Pritsch et al. (2011). In brief, seven substrates  
 231 bound to 4-methylumbelliferone (MU) or aminomethylcoumarin (AMC) and 2,2'-azino-bis(3-  
 232 ethylbenzothiazoline-6-sulphonic acid) (ABTS) were used to detect EAs: L-leucine-7-AMC  
 233 (Leu-AMC) for the detection of leucine aminopeptidase (EC 3.4.11.1), 4-MU- $\beta$ -d-  
 234 xylopyranoside (MU-X) for xylosidase (EC 3.2.1.37), 4-MU- $\beta$ -d d-glucuronide hydrate (MU-  
 235 GU) for glucuronidase (EC 3.2.1.31), 4-MU- $\beta$ -d-cellobioside (MU-C) for cellobiohydrolase (EC  
 236 3.2.1.91), 4-MU-N-acetyl- $\beta$ -glucosaminide (MU-NAG) for N-acetyl-glucosaminidase (EC  
 237 3.2.1.14), 4-MU- $\beta$ -d-glucopyranoside (MU-G) for  $\beta$ -glucosidase (EC 3.2.1.3), 4-MU-phosphate  
 238 (MU-P) for phosphatase (EC 3.1.3.2) and ABTS for laccase (EC 1.10.3.2). Individual ECM tips  
 239 were placed in the wells of 96-well filter plates (AcroPrep Advance 96, 30–40  $\mu$ m PP/PE, 350  
 240  $\mu$ L well, NTRL; Pall, Ann Arbor, MI, USA) and incubated with the respective substrates.  
 241 Following filtration of the reaction solutions, the fluorescence (AMC and MU substrates) or  
 242 absorption (ABTS) was measured. All assayed ECM tips were scanned to determine their  
 243 projection area using the software WinRHIZO (Reg 2013e 32 Bit; Regent Instruments, Canada)  
 244 and then immediately frozen for later identification according to their ITS rDNA.

245 *Sample processing for high-throughput sequencing*

246 The frozen fine roots from each sample were ground separately in liquid nitrogen, giving 384  
247 samples [4 years × 2 soil depths × 12 (6 control, 6 throughfall exclusion) plots × 4 sample types  
248 (BB, SS, BMix, SMix)]. Contamination was controlled with extraction and PCR from negative  
249 controls. Approximately 350–450 mg of homogenate (or the total amount when less material was  
250 found) was used for DNA extraction with PowerSoil<sup>®</sup>-htp96 and PowerSoil DNA Isolation Kits  
251 (Mo-Bio, Carlsbad, CA, USA) following the manufacturer's instructions, with some  
252 modification for the initial bead beating as pre-experiments had shown very different levels of  
253 cell disruption, DNA yields and PCR success between samples. Frozen homogenates were  
254 transferred to 2-mL screw cap vials containing 5-mm steel beads, 600 µL PowerSoil bead  
255 solution and 60 µL PowerSoil C1-buffer from the kit, and were processed with a disruptor (2 ×  
256 30 s, 5000 rpm; Precellys24, Rockville, MD, USA) to separate the root tissues and hyphae into  
257 microparticles. The steel beads were then magnetically removed from the vials and replaced by  
258 garnets from the kit (1 g per sample), following which 150 µL PowerSoil bead-solution was  
259 added and shaken twice for 10 min at 20 Hz in a TissueLyser II (Qiagen, Hilden, Germany) to  
260 further disrupt the cells. The PowerSoil manufacturer's protocol was then followed. The  
261 resulting DNA was stored below –20 °C.

262 Amplification of ITS2 rDNA was performed with PCR primer mixes optimised for  
263 maximum phylogenetic recovery (Tedersoo et al. 2014, 2015; Table S2). All primers carried the  
264 respective forward or reverse overhang adapter sequences for the Illumina Miseq workflow  
265 (protocol Part # 15044223; Illumina, San Diego, CA, USA). Reactions consisted of 1 µL DNA  
266 (5 ng), 0.5 µL ITS3 mix (10 pmol equimolar mix of ITS3-Mix1 to -Mix5), 0.5 µL ITS4 mix (10  
267 pmol equimolar mix of ITS4-Mix1 to ITS4-Mix4), 10 µL NEBNext<sup>®</sup> High-Fidelity 2X PCR  
268 Master Mix (New England Biolabs, Frankfurt, Germany) and 8 µL H<sub>2</sub>O. PCR conditions were 5

269 min at 95 °C, 28 × [30 s at 95 °C, 30 s at 55 °C and 60 s at 72 °C] and 10 min at 72 °C. The  
270 quality of all products was checked on agarose gels. Triplicate samples from successful PCRs  
271 were pooled and cleaned using Agencourt AMPure XP (Beckman Coulter, Krefeld, Germany)  
272 with a bead:DNA ratio of 1. Removal of primer dimers was controlled with the Bioanalyzer  
273 DNA1000 Kit (Agilent Technologies, Waldbronn, Germany) and yield was quantified using the  
274 Quant-iT™ PicoGreen® dsDNA Kit (Invitrogen, Paisley, UK).

275 Indexing for multiplexed sequencing was performed using eight PCR cycles with individual  
276 dual-index combinations of Nextera XT Index Kit v2 Sets A–D (Illumina). Reactions consisted  
277 of 1 µL DNA (5 ng), 2.5 µL Primer 1 (Nextera i7 series), 2.5 µL Primer 2 (Nextera i5 series),  
278 12.5 µL NEBNext High-Fidelity 2X PCR Master Mix and 6.5 µL H<sub>2</sub>O. Indexed amplicons were  
279 cleaned, size-checked and quantified as above. The amplicons (4 nM) from each sample were  
280 pooled and rechecked with a Bioanalyzer High Sensitivity DNA Kit (Agilent Technologies). The  
281 final preparations and sequencing (Miseq v3 chemistry, 600 cycles flow cell, Illumina) followed  
282 the manufacturer's recommendations for 16S Metagenomic Sequencing Library Preparation  
283 (protocol Part # 15044223 Rev. B).

#### 284 *Processing of high-throughput sequencing reads*

285 Data were obtained as demultiplexed FASTQ files and processed using the fungal ITS analysis  
286 pipeline PIPITS v1.3.6 (Gweon et al., 2015) on Biolinix v8.0.6 (Field et al., 2006). Sequence  
287 processing followed Gweon et al. (2015): read pairs were joined with PEAR v0.9.10  
288 (parameters: -q 30; Q33, *P*-value of assembly ≤0.0001; Zhang et al., 2014) and  
289 FASTQ\_QUALITY\_FILTER (parameters: -q 30, -p 80; Q33; FASTX-Toolkit,  
290 <http://hannonlab.cshl.edu>, accessed 12 February, 2017); ITS2 of fungal origin was extracted with  
291 ITSX v1.0.11 (Bengtsson-Palme et al., 2013); sequences <100 bp were removed and operational

292 taxonomic units (OTUs) were clustered by 97% sequence identity with VSEARCH v2.1.2  
293 (<https://github.com/torognes/vsearch/>, accessed 12 February, 2017); chimera were removed  
294 using the UNITE UCHIME reference dataset (v01.01.2016; <http://unite.ut.ee/repository.php>,  
295 accessed 12 February, 2017); and reads were mapped onto OTUs, singletons were removed and  
296 the taxonomy of OTUs was assigned with RDP Classifier v2.12 (Wang et al. 2007) against a  
297 reference set of fungal ITS data (UNITE 7.1; Kõljalg et al. 2013). OTU and phylotype  
298 abundance tables were then produced, whereby OTUs were defined as ‘clusters of reads with  
299 user-defined thresholds’ and phylotypes were defined as ‘clusters of sequences binned into the  
300 same taxonomic assignments’ (Gweon et al., 2015). Phylotypes were used for all further analyses  
301 of high-throughput sequencing data, because phylotypes better resemble data obtained by  
302 morphotyping of ECM fungi. Taxonomic assignments with a confidence threshold <0.85 were  
303 omitted. Finally, all phylotypes were given a status that reflected whether they were ECM-  
304 forming and their exploration type during a manual review guided by Agerer (2001) and  
305 Tedersoo and Smith (2013).

### 306 *Statistical analyses*

307 All values are presented as means  $\pm$  standard errors unless otherwise indicated.

308 For the morphotyping data, diversity indices were calculated using the package  
309 BiodiversityR (Kindt, 2016) in R (R Core Team, 2016). The effects of throughfall exclusion, tree  
310 species, competitive situation and soil depth on ECM fungal species abundances, diversity  
311 indices and extracellular enzyme activities were analysed with analysis of variance (ANOVA)  
312 using the software IBM SPSS Statistics 19 (IBM, Armonk, NY, USA). In this analysis, the effect  
313 of the two tree species growing in three different species mixture situations was partitioned into  
314 three orthogonal contrasts: (I) BMix and SMix vs. BB and SS; (II) BMix vs. SMix; (III) BB vs.

315 SS. As a measure of effect size, we calculated  $\omega^2$  (Hays, 1963). Detailed comparisons between  
316 subsets of the data were conducted in R using unpaired two-sample *t*-tests where the data were  
317 normally distributed (Shapiro test) or Wilcoxon signed-rank tests. To test the correlation between  
318 the extracellular enzyme activities of the ECM tips and soil parameters and morphotype  
319 abundances, Spearman's rank correlation coefficients were computed in R. The average  
320 contribution of each species to the average overall Bray–Curtis dissimilarity was assessed by  
321 calculating the similarity percentage (Clarke, 1993) in BiodiversityR. Differences in variation  
322 between study years and treatment were tested with mixed effect models that considered plots as  
323 a random factor, using the R package nlme (Pinheiro, Bates, DebRoy, & Sarkar, 2014).

324 Prior to further analysis of the high-throughput sequencing phylotype data, five samples with  
325 low sequencing depth (<17,000 sequences per sample) were removed from the dataset, as well as  
326 rare non-fungal or unassignable phylotypes as determined during the taxonomic assignment step.  
327 The sequence reads were then randomly rarefied  $10^4$  times using GUniFrac for R (Chen, 2012)  
328 and the results were averaged to compare all samples at equivalent sequencing depths (Weiss et  
329 al., 2015). Bray–Curtis dissimilarities between the samples (ECM fungal community variation)  
330 and Shannon diversity indices (ECM phylotypes only) were calculated using the vegan package  
331 (Oksanen et al., 2017). Taxonomic overviews and ordinations were produced with the phyloseq  
332 package (McMurdie & Holmes, 2013), and multivariate testing for the effect of environmental  
333 characteristics on the ECM fungal community was conducted using Bray–Curtis dissimilarity  
334 matrices with Adonis (permutational multivariate ANOVA using distance matrices;  $10^5$   
335 permutations) in vegan. Statistical analyses that mirrored those for the morphotyping data were  
336 performed as described above.

## 337 **Results**

### 338 *Soil moisture*

339 Throughfall exclusion decreased the volumetric soil water content during the vegetation period  
340 in the topsoil (0–7-cm depth), from ca. 30% in 2013 to ca. 10% in 2016. There was also a  
341 significant reduction of volumetric soil water content in the deep layers (10–30-cm depth) during  
342 the second and third throughfall exclusion period in 2015 and 2016, from ca. 35% in 2013 to 20–  
343 25% under beech and to 15–20% under spruce (Fig. 3).

### 344 *ECM fungal community composition*

345 In total, 45,181 vital ECM tips were counted and categorised into 43 morphotypes, from which  
346 25 species were identified by their ITS rDNA. Three morphotypes did not yield evaluable  
347 sequences. On average, four ECM morphotypes were found per sample (minimum = 1,  
348 maximum = 11; see Table S3 for ECM morphotype abundances and distributions).

349 High-throughput sequencing yielded  $18 \times 10^6$  quality-filtered reads, which were assigned to  
350 4,820 OTUs and 1,411 phylotypes. Eleven samples were removed (five because of a low  
351 sequencing depth and six because of a low number of roots), leaving 373 samples for further  
352 analysis. The median abundance of fungal reads was 42,937 sequences per sample (minimum =  
353 17,280, maximum = 103,220). In total, 144 phylotypes were identified as ECM fungi during  
354 manual inspection of all phylotypes following normalisation to an equal sequencing depth. On  
355 average, 11 ECM phylotypes were found per sample (Table S4).

356 In 2013 (i.e. 1 year before throughfall exclusion), there was no significant difference in the  
357 measures of ECM fungal community composition between the control and throughfall exclusion  
358 plots. On the basis of morphotypes, drought was a strong predictor for the abundance of the  
359 contact and short- and medium-distance exploration type groups. Repeated summer droughts led



360 to a progressive decline in contact types relative to control (decline by  $67 \pm 27\%$  in 2014 ( $P <$   
361  $0.05$ ), by  $64 \pm 27\%$  in 2015 ( $P < 0.01$ ), by  $83 \pm 21\%$  in 2016 ( $P < 0.01$ ), and a strong decline  
362 relative to control in short-distance and medium-distance types (decline by  $54 \pm 28\%$  in 2014, by  
363  $83 \pm 21\%$  in 2015, by  $96 \pm 11\%$  in 2016;  $P < 0.05$  in all years) causing a strong increase in  
364 relative abundance of long-distance types relative to the other types (Fig. S5). By contrast, long-  
365 distance types were not affected in the first 2 years of drought, significantly decreasing in  
366 abundance relative to control only after 3 years (decline by  $88 \pm 18\%$  in 2016,  $P < 0.01$ ). Soil  
367 depth was also a major predictor for the abundance of all three exploration type groups, with ca.  
368 90% vital tips occurring in the topsoil. In throughfall exclusion plots, changes in abundance and  
369 the proportion of exploration types were stronger and occurred earlier in the topsoil (throughfall  
370 exclusion  $\times$  soil depth interaction:  $P < 0.05$  in all years except for long-distance types in 2014  
371 and 2015). By contrast, on the basis of phylotype data, drought was a weak predictor for the  
372 abundance of exploration type groups, with only the abundance of short-distance and medium-  
373 distance types being decreased significantly in the final year (decline by  $72 \pm 25\%$  compared to  
374 control in 2016,  $P < 0.01$ ).

375 The morphotype and phylotype Shannon diversity indices were quite similar (Fig. 4), but the  
376 phylotype diversity indices showed a smaller decline following repeated droughts. In the control  
377 plots, Shannon diversity indices were generally higher in the topsoil than in the deep layers  
378 (morphotype:  $1.24 \pm 0.04$  vs.  $0.92 \pm 0.06$ , respectively,  $P < 0.05$  in all years; phylotype:  $1.39 \pm$   
379  $0.04$  vs.  $1.23 \pm 0.05$ , respectively,  $P < 0.05$  in 2014; Table 1). The effect of throughfall exclusion  
380 on ECM fungal diversity indices differed between beech and spruce, irrespective of the  
381 competitive situation. In the throughfall exclusion plots, the ECM fungal diversity indices  
382 remained unchanged in the first 2 years of drought for beech but declined from the second year

383 of drought (2015) onwards for spruce. In 2016, there was a significant difference in the  
384 morphotype diversity indices between beech (control:  $1.01 \pm 0.08$ ; throughfall exclusion:  $0.80 \pm$   
385  $0.02$ ) and spruce (control:  $0.93 \pm 0.21$ ; throughfall exclusion:  $0.27 \pm 0.11$ ) depending on the  
386 treatment (throughfall exclusion  $\times$  BB vs. SS interaction:  $P < 0.01$ ), with a much stronger decline  
387 being observed in spruce. Phylotype diversity indices responded less to drought, but also  
388 exhibited a pronounced decline in the SS samples after the third drought period in 2016. After 3  
389 years of drought, throughfall exclusion had a smaller effect on morphotype diversity indices of  
390 ECM fungal communities from the mixture zone ( $0.82 \pm 0.09$  to  $0.57 \pm 0.14$ ) than on those from  
391 the spruce and beech zones ( $1.11 \pm 0.09$  to  $0.53 \pm 0.11$ ; throughfall exclusion  $\times$  BMix and SMix  
392 vs. SS and BB interaction:  $P < 0.05$ ).

393 The composition of ECM fungal communities (phylotypes and morphotypes) also changed  
394 gradually following throughfall exclusion, with differences becoming apparent after three  
395 successive drought years (phylotypes: Fig. 5). While there was no significant difference between  
396 the ECM fungal communities in the control and throughfall exclusion plots in 2013 before the  
397 start of the experiment, they became distinct after 3 consecutive years of throughfall exclusion  
398 [Adonis  $R^2_{\text{adjusted}}$  (phylotypes) = 0.03 (2013), 0.02 (2014), 0.01 (2015) and 0.16\*\* (2016);  
399 Adonis  $R^2_{\text{adjusted}}$  (morphotypes) = -0.02 (2013), 0.09\* (2014), 0.08 (2015) and 0.21\*\* (2016) with  
400 \*  $P < 0.05$ , \*\*  $P < 0.01$ ]. The effects of a species mixture on drought tolerance differed among  
401 the four most frequent ECM fungal species that were shared by both tree species. For example,  
402 the absolute morphotype abundances of *Cenococcum geophilum* and *Russula ochroleuca* were  
403 not affected by drought and not influenced by the competitive situation or tree species, whereas  
404 the morphotype abundances of *Lactarius tabidus* and *Xerocomus pruinatus* were negatively

405 affected by drought but this was less pronounced when the tree species grew in mixed situation  
406 compared to beech from beech samples (Fig. S6).

#### 407 *Potential extracellular enzyme activities*

408 Overall, the most pronounced effect of throughfall exclusion on EAs and differences between  
409 the qualitative measure  $EA_{\text{per tip}}$  and the quantitative measure  $EA_{\text{per vol}}$  was observed in the  
410 topsoil. As visualised by the regression lines of EA from throughfall exclusion vs. control plots,  
411  $EA_{\text{per tip}}$  (Fig. 6a) was remarkably stable in spruce and beech ectomycorrhizae (except for laccase  
412 as detailed below) while  $EA_{\text{per vol}}$  (Fig. 6b) decreased over repeated drought years, which was  
413 mainly caused by a decline of vital ectomycorrhizae on throughfall exclusion plots (Fig. 6c).

414 In detail, there was no significant difference in  $EA_{\text{per tip}}$  of the seven tested hydrolytic enzymes  
415 between throughfall exclusion and control plots in 2013 (prior to the treatment) and in the first 2  
416 years of throughfall exclusion (2014 and 2015). Even after three drought periods, in 2016  
417  $EA_{\text{per tip}}$  of only three out of seven hydrolytic enzymes changed significantly: xylosidase had  
418 significantly higher levels in the throughfall exclusion plots than in the control plots ( $4.43 \pm 0.74$   
419 vs.  $2.89 \pm 0.48 \text{ pmol cm}^{-2} \text{ min}^{-1}$ , respectively), and the cellulose-degrading enzymes  
420 cellobiohydrolase and  $\beta$ -glucosidase exhibited a greater increase following drought in the  
421 mixture zone than in the beech and spruce zones (Table S7). By contrast, the  $EA_{\text{per tip}}$  of laccase  
422 (which releases nutrients bound to phenolic compounds) was significantly lower in throughfall  
423 exclusion plots than in control plots from 2014 onwards, and was also decreased in control plots  
424 compared to the other years in the naturally dry year 2015 (2014:  $90.53 \pm 15.09$  vs.  $199.17 \pm$   
425  $33.19$ ; 2015:  $26.17 \pm 4.36$  vs.  $27.75 \pm 4.62$ ; 2016:  $94.76 \pm 15.80$  vs.  $151.12 \pm 25.19 \text{ mmol cm}^{-2}$   
426  $\text{min}^{-1}$ , respectively). Laccase activity disappeared in spruce ECM from the topsoil of the spruce  
427 zones in 2016 along with laccase-positive morphotypes. Comparison of the influence of the

428 contrasting study years (2014–2016) and the throughfall exclusion treatment on  $EA_{\text{per tip}}$  showed  
429 variations between years to be greater than between treatments, except for leucine  
430 aminopeptidase. The activity of this enzyme was increased (yet not significantly:  $P = 0.077$ ) by  
431 treatment over the years.

432 ANOVA (Table S8) revealed that there were few interactions between throughfall exclusion  
433 and other factors (zone, soil depth) for the  $EA_{\text{per tip}}$  data from 2015 onwards. There was, however,  
434 a significant interaction between throughfall exclusion and soil depth for xylosidase,  
435 glucuronidase and chitinase in 2015 and for phosphatase in 2016, reflecting an increase in EA in  
436 the deep layers of drought plots but no change in the topsoil (Table S7). Furthermore, significant  
437 interactions between throughfall exclusion, soil depth and mixture situation were found for  
438 cellobiohydrolase in 2015, and for cellobiohydrolase,  $\beta$ -glucosidase and laccase in 2016 (Table  
439 S8), with the  $EA_{\text{per tip}}$  of cellobiohydrolase and  $\beta$ -glucosidase being higher in control plots than in  
440 throughfall exclusion plots in the topsoil of the mixture zone. In 2016, the  $EA_{\text{per tip}}$  for laccase in  
441 the topsoil increased in the beech and spruce zones but declined in the mixture zone, while that  
442 in the deep layers decreased in the beech and spruce zones and exhibited no significant change in  
443 the mixture zone in throughfall exclusion plots (Table S7). The interaction between throughfall  
444 exclusion and soil depth and species mixtures became significant only in the third year of  
445 throughfall exclusion, with leucine aminopeptidase and phosphatase being decreased in SMix  
446 samples and not affected (phosphatase) or stimulated (leucine aminopeptidase) in BMix samples  
447 in the topsoil, and exhibiting the opposite response in the deep layers in 2016 (Table S8).

448 In contrast to qualitative stability of  $EA_{\text{per tip}}$ ,  $EA_{\text{per vol}}$  showed a progressive decline on  
449 throughfall exclusion plots over repeated drought years. Before the onset of treatment (2013),  
450 there were no significant differences in  $EA_{\text{per vol}}$  between control and throughfall exclusion plots,

451 but after three consecutive summer droughts, the  $EA_{\text{per vol}}$  of both tree species significantly  
452 declined in the throughfall exclusion plots (Table S7). Xylosidase, cellobiohydrolase,  $\beta$ -  
453 glucosidase, glucuronidase and phosphatase were significantly altered from 2015 onwards, while  
454 N-acetyl-glucosaminidase and leucine aminopeptidase significantly changed in 2016 (Table S8).

455 In the deep layers,  $EA_{\text{per tip}}$  of was also very stable but tended to increase in spruce ECM fungi  
456 with progressing drought (Fig. S9a). Soil depth significantly affected almost all  $EA_{\text{per vol}}$  (Fig.  
457 S9b) in all years (Table S8), because on average there were 5–10 times fewer roots in the deeper  
458 layers than in the topsoil (Fig. 10c).  $EA_{\text{per vol}}$  of beech ECM continuously decreased during  
459 repeated drought whereas  $EA_{\text{per vol}}$  of spruce ECM did not respond with a clear increase or  
460 decrease (Fig. S9b). The relative decline in vital ECM tips in throughfall exclusion plots  
461 compared to control plots was less pronounced in the deep layers than in the topsoil. Vital ECM  
462 tips of spruce only declined in 2016 (Fig. S9c) as reflected in the respective diversity indices  
463 (Fig. 4).

464 There were significant interactions between soil depth and throughfall exclusion in 2014 and  
465 2015, indicating the effects of faster drying in shallower soil (Fig. 3). Most interactions with soil  
466 depth were transient and (with the exception of phosphatase) disappeared in 2016 when the  
467 deeper soil had dried more thoroughly (Table S8). The zones within a plot tended to have a  
468 larger effect on enzyme activities at the beginning of the experiment, with this effect  
469 disappearing with repeated throughfall exclusion (Table S8). Only phosphatase and laccase  $EA_{\text{per}}$   
470  $\text{vol}$  showed a zone effect in 2016. Overall,  $EA_{\text{per vol}}$  declined more strongly in SMix samples  
471 compared to SS samples (Table S7).

472 The EAs of some ECM fungi became dominant under throughfall exclusion, i.e. *L. tabidus* in  
473 both tree species, *Russula fellea* in beech and *C. geophilum* in spruce, mainly because other  
474 morphotypes disappeared. These species were also identified as making a high (>10%, SIMPER  
475  $P < 0.05$ ) contribution to the differences in ECM morphotype community between control and  
476 throughfall exclusion plots.

## 477 **Discussion**

478 *Do repeated years of throughfall exclusion influence ECM fungal community composition and*  
479 *functions more strongly in spruce than in beech?*

480 While shifts in ECM fungal community composition after drought have repeatedly been reported  
481 (Cavender-Bares et al., 2009; Shi et al., 2002), a reduced ECM fungal diversity as in our study  
482 has rarely been detected (Swaty et al., 2004). Shannon diversity of ECM fungal communities  
483 from the beech and spruce zones reflected the contrasting strategies of beech and spruce to cope  
484 with drought. Beech exhibited a decline in ECM fungal diversity after the first year of drought  
485 and then maintained a slightly lower level than the control, which supports the previous finding  
486 that beech continues to produce new fine roots during drought (Burkhardt & Pariyar, 2016;  
487 Nikolova et al., 2010) allowing the surviving ECM fungi to colonize newly growing roots. By  
488 contrast, ECM fungal diversity did not exhibit a marked change in spruce following the first  
489 drought, but declined dramatically after the second drought year. After one severe summer  
490 drought Nikolova et al. (2010) found that spruce sustained standing fine roots rather than  
491 growing new ones, supporting a strategy of decreased growth during drought conditions (Dobson  
492 et al., 1990; Maier-Maercker, 1998). Our results of a decline in ECM fungal Shannon diversity in  
493 spruce suggest that on the longer term, this strategy would prevent new colonisation by ECM  
494 fungi and, over several years, lead to a decline in diversity. This indicates that spruce is

495 particularly vulnerable to predicted future climate change scenarios for those areas in the  
496 observed climate zone that are prone to repeated summer drought (Zang et al., 2014), supporting  
497 our first hypothesis.

498 The changes in Shannon diversity did not directly translate to losses in qualitative enzymatic  
499 potentials of ECM fungal communities which further supports presence of highly  
500 complementary and functionally redundant hydrolytic enzyme activities in ECM fungal  
501 communities even under severe drought (Buée, Courty, Mignot, & Garbaye, 2007; Courty,  
502 Pritsch, Schloter, Hartmann, & Garbaye, 2005; Jones et al., 2010). However, both  $EA_{\text{per tip}}$  and  
503  $EA_{\text{per vol}}$  of laccase were strongly decreased in ECM fungal communities in throughfall exclusion  
504 plots already from the first year of throughfall exclusion onwards and in control plots in the  
505 naturally dry year 2015, suggesting that ECM fungi expressing laccase activity were drought  
506 sensitive at the Kranzberg site. Activity of the oxidative enzyme laccase is very widespread in  
507 the fungal kingdom (Iyer & Chattoo, 2003; Junghanns, Moeder, Krauss Martin, & Schlosser,  
508 2005; Vasconcelos et al., 2000) with several functions in degradation but also morphogenesis  
509 (e.g. Baldrian, 2006, Thurston, 1994). Oxidase activities in soil are more dynamic than  
510 hydrolytic activities (Sinsabaugh et al., 2008), corresponding to our observations. In ECM fungi  
511 laccase is related to the release of nutrients (particularly N) enclosed in recalcitrant polymers or  
512 protein-phenol complexes (Baldrian, 2006). Whether the strong decline in ectomycorrhizae with  
513 laccase enzyme activity causes lasting effects on nutrient relations in forest soil will depend on  
514 how long it takes for the full functional spectrum in ECM fungal communities to be restored  
515 following drought release, and on how other soil fungal groups are affected by drought. In ECM  
516 fungi, laccase is only present in some lineages (Luis et al., 2005) and by selecting dominant  
517 morphotypes in EA measurements, we likely excluded ECM fungal taxa that became relatively

518 rare as a consequence of the decline in vital fine roots. The decline in vital fine roots leading to a  
519 complete loss of formerly dominant ECM fungi with laccase activity again supports our first  
520 hypothesis of stronger drought effects on spruce ECM fungal community composition and  
521 functions.

522 *Does repeated drought lead to changes in the functionality of the ECM fine-root system towards*  
523 *traits that are related to drought resistance, irrespective of the tree species?*

524 We observed a decrease in contact type ectomycorrhizae following drought, confirming the  
525 findings of Bakker et al. (2006), who interpreted this as caused by shrinking soils and thus  
526 reduced contact with the substrate. In addition, the dominant contact types at our plots were  
527 *Lactarius* spp. with thin cell walls prone to losing cellular integrity under dry conditions (di  
528 Pietro et al., 2007) which makes them sensitive to drought. The relative increase in long-distance  
529 type mycorrhizae in both tree species following drought suggests that they have higher drought  
530 resistance because of their ability to explore and transport water beyond the root surface  
531 (Cairney, 1992; Duddridge et al., 1980). The direction of changes in exploration types as  
532 functional traits i.e. increasing long-distance type and decreasing contact and short-distance type  
533 ectomycorrhizae was the same in both tree species, thus supporting our second hypothesis.

534 Relative stability of extracellular enzyme activities has often been observed in ECM fungal  
535 communities upon environmental disturbance (Diedhiou et al., 2010; Jones et al. 2010), which  
536 underlines the importance of finding alterations in three enzymes in the present experiment in the  
537 third year of throughfall exclusion. One enzyme activity (leucine aminopeptidase) was strongly  
538 increased in ECM fungal communities of both tree species under drought (albeit in different  
539 mixture situations). Interestingly, a stimulation of this EA under a strong drought was also  
540 observed in *C. geophilum* ectomycorrhizae associated with different *Quercus* species (Herzog et



541 al. 2013). In that study,  $EA_{\text{per tip}}$  of the other enzymes measured (the same six enzymes as in our  
542 study) showed neutral responses. Herzog et al. (2013) found that the decrease in abundance of *C.*  
543 *geophilum* was negatively correlated with leucine aminopeptidase activity and suggested that this  
544 EA had to be compensated for by an increased activity of the remaining vital tips of *C.*  
545 *geophilum*. Our results suggest a similar mechanism at the whole ECM fungal community level.

546 Drought increased the extracellular cellulolytic potential per vital tip irrespective of tree  
547 species. Extracellular cellulolytic activity may be stimulated by the presence of dead fine root  
548 material, which accumulated during repeated drought events, to gain access to nutrients  
549 contained in these dead tissues (Hupperts, Karst, Pritsch, & Landhäusser, 2017; Lindahl &  
550 Tunlid, 2015; Pritsch & Garbaye, 2011). The alternative explanation of saprotrophic carbon  
551 acquisition by ECM fungi from organic matter decay rather than the internal carbon supply of  
552 the plant (Bréda et al., 2013; Courty, Bréda, & Garbaye, 2007) seems unlikely as the observed  
553 increase in long-distance types under drought suggests that carbon was not limiting. These  
554 findings indicate an overall qualitative preservation of functionality in ECM fungal communities  
555 at the level of vital root tips. However, a decline in the number of vital tips led to quantitative  
556 functional losses in ECM fungal communities at the ecosystem level.

557 Thus, structural diversity supported our second hypothesis that repeated drought leads to  
558 changes in the functionality of the ECM fine-root system towards traits that are related to  
559 drought resistance, irrespective of the tree species at the vital root tip and ecosystem level, while  
560 enzyme activities did not support it at the ecosystem level.

561 *Does tree mixture attenuate negative effects of drought on ECM fungal communities of beech*  
562 *and spruce compared with monospecific stands?*

563 Growth in mixed stands had significant positive effects on morphotype diversity indices of  
564 the ECM fungal communities of both tree species after 3 years of throughfall exclusion, at which  
565 time the low soil water content indicated rather high stress levels (Davidson, Belk, & Boone,  
566 1998). This supports the stress gradient hypothesis of increased facilitation among species with  
567 increasing stress levels (Bertness & Callaway, 1994). To determine whether these emerging  
568 facilitation effects would continue, further sampling is required with increasing stress levels.  
569 Thus, our third hypothesis was only preliminarily supported by the morphotype data. A probable  
570 reason for the observed higher morphotype diversity in the mixture zones could be reduced  
571 competition for non-limiting resources due to different soil exploration of the two tree species  
572 (Bolte & Villanueva, 2006).

573 ECM fungi colonising both tree species may contribute to resource partitioning between  
574 fungi and different trees, facilitating stress resistance (Beiler, Durall, Simard, Maxwell, &  
575 Kretzer, 2010). Among those ECM fungi were a contact and a long-distance type species. The  
576 decline of these two abundant species upon drought was reduced in the mixture zones. This  
577 suggests that mixture provides vital ectomycorrhizae with different functional attributes as  
578 starting material for recolonising newly grown roots during recovery after drought: in our study  
579 this was indicated by drought-tolerance among the four most frequent morphotypes shared by  
580 beech and spruce. This indicates mixture to increase resilience of forest ecosystems after  
581 drought.

582 The hydrolytic  $EA_{\text{per tip}}$  was maintained even after two consecutive summers with prolonged  
583 drought periods, with mixture effects only becoming apparent in the third year of throughfall

584 exclusion. This can probably be attributed to changes in niche occupation by roots of the two tree  
585 species, as indicated by the reduction in some enzyme activities (leucine aminopeptidase and  
586 phosphatase) in spruce from mixture but not in beech from mixture in the topsoil, and their  
587 increase in the deep layers.  $EA_{\text{per vol}}$  did not exhibit any mixture effects. Thus, according to the  
588 assumption that 3 years of throughfall exclusion evoked strong stress on ECM fungal  
589 communities, we do not accept H3 according to enzyme activity data.

590 *Overall implications of repeated drought on below-ground functioning of forest ecosystems*  
591 Regarding nutrient cycling in forest soils under repeated drought, our results suggest that the  
592 potential to forage for nutrients contained in organic materials is retained in surviving  
593 ectomycorrhizae. Moreover, preferential carbon allocation of trees to ECM fine roots upon  
594 recovery from drought has recently been demonstrated to be an important mechanism for  
595 restoring fine root functionality in forest ecosystems (Hagedorn et al., 2016).

596 In soils, low moisture leads to low EAs *in situ* due to impaired diffusion processes and  
597 death/inactivity of decomposers, which in turn lead to a retardation of decay processes and  
598 thereby to an accumulation of substrate (van der Molen et al., 2011; Brando et al., 2008). Upon  
599 rewetting, high amounts of substrate meet a functional ECM fungal community and stimulate the  
600 recovery of soil microbial processes (Hagedorn et al., 2016). An increasing amount of dead  
601 ectomycorrhizal fine roots may lead to a retardation of decay processes in forest soils and is  
602 currently debated to either increase or decrease carbon stocks in forest ecosystems (see  
603 Fernandez & Kennedy, 2016 for a review). However, low water availability is likely more  
604 growth limiting in these temperate systems than nutrient limitation (Sardans & Peñuelas 2005)  
605 because spring and autumn still provide time and water for mineralising organic compounds in  
606 temperate regions struck by summer drought. Thus, also phases of recovery from drought may be

607 critical to assess when nutrient relations in forest ecosystems are considered after severe drought  
608 (Geßler, Schaub, & McDowell, 2017; Hagedorn et al., 2016).

### 609 *Methodological implications*

610 The different numbers and abundances of morphotypes and phylotypes in our study resulted  
611 from known methodological constraints of high-throughput sequencing, which overestimates  
612 diversity by including the DNA of non-vital ECM fungi, single hyphae and resting stages  
613 (Medinger et al., 2010). By contrast, morphotyping is prone to underestimating species richness  
614 even when including ITS rDNA information as it cannot distinguish between visually similar  
615 ectomycorrhizae (Erland, Jonsson, Mahmood, & Finlay, 1999). However, manual morphotyping  
616 allows direct observations of degree of mycorrhization and vitality of ectomycorrhizae and fine  
617 roots. Shannon diversity was remarkably similar for morphotypes and phylotypes, indicating that  
618 both methods provide similar basic ecological information on ECM fungal community  
619 composition. However, because vital and non-vital ECM tips were not distinguished, high-  
620 throughput sequencing results reveal a potential rather than actual community composition.  
621 Therefore, RNA-based approaches (Baldrian et al., 2012; van der Linde & Haller, 2013) should  
622 be used to assess the active ECM fungal community via high-throughput sequencing.

### 623 *Vulnerability of temperate forests under drought*

624 In this study, we experimentally applied drought stress to a habitat that was not adapted to  
625 repeated summer droughts. The combined analysis of ECM fungal community diversity and  
626 functional traits suggested that correlations between enzyme activities and ECM fungal species  
627 varied depending on the interplay between throughfall exclusion, tree species interaction and soil  
628 depth. Such context dependency has also been reported in several previous studies on ECM  
629 fungal communities, as reviewed in Bahram, Peay, and Tedersoo (2015). However, by subjecting

630 this mesic forest ecosystem to repeated summer droughts, we were able to detect a strong  
631 reduction in enzymatic activities and ECM fungal abundances at the ecosystem level because of  
632 fine root die-back under water shortage. We showed that niche complementarity may be  
633 important in attenuating the effects of repeated summer droughts on ECM fungal communities in  
634 beech-spruce mixtures. One important mechanism of niche complementarity may be the  
635 redistribution of water to shallow soil layers by hydraulic lift.

636 Our findings underline the vulnerability of temperate forests and similar Holarctic  
637 ecosystems to prolonged and frequent summer droughts (Allen et al., 2010). Therefore, we  
638 advocate long-term experiments when studying forest ecosystems in the context of drought and  
639 support the assertion that mesic forests are endangered by long-term drought (Young et al.,  
640 2017). Such experiments would allow us to explore whether ECM fungal communities develop  
641 further mechanisms for drought tolerance depending on their habitat, how the same ECM fungal  
642 species from dry and moist sites perform under repeated droughts and how they influence host  
643 tree performance. This may guide future forest management in areas with predicted alterations in  
644 precipitation regimes.

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654 **Conflict of interest**

655 The authors declare no conflicts of interest.

656

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- 944

945 **Tables**

946 **Table 1** Effect size  $\omega^2$  (explained variance) of an analysis of variance (ANOVA) examining the  
 947 effect of throughfall exclusion (TE), zone [three orthogonal contrasts: roots of beech and spruce  
 948 from monospecific and mixed stands], soil layer (topsoil vs. deep layers) and their interactions  
 949 on the Shannon diversity index ( $H'$ ).  $H'$  (morphotypes): calculated from morphotype data;  $H'$   
 950 (phylotypes): calculated from high-throughput sequencing phylotypes. Values of  $\omega^2$  with  $P <$   
 951 0.05 are written in bold. Adjusted  $R^2$  values for the respective ANOVA models are given in the  
 952 last row of the table.

Source of variation	$H'$ (morphotypes)				$H'$ (phylotypes)			
	2013	2014	2015	2016	2013	2014	2015	2016
throughfall exclusion (TE)	0.080	<b>0.277</b>	<b>0.637</b>	<b>0.475</b>	0.010	-0.047	0.068	0.134
zone	-0.004	0.084	0.077	<b>0.263</b>	<b>0.205</b>	0.072	-0.059	<b>0.236</b>
BMix and SMix vs. BB and SS	0.018	-0.023	0.084	0.080	0.034	0.071	-0.021	-0.011
BMix vs. SMix	-0.001	0.007	-0.031	<b>0.121</b>	<b>0.101</b>	0.040	-0.023	-0.013
BB vs. SS	-0.019	<b>0.096</b>	0.029	<b>0.125</b>	<b>0.126</b>	-0.027	-0.019	<b>0.254</b>
TE x zone	-0.078	-0.022	-0.002	<b>0.206</b>	-0.050	<b>0.164</b>	-0.060	-0.035
TE × BMix and SMix vs. BB and SS	-0.029	-0.031	-0.028	<b>0.119</b>	-0.025	<b>0.141</b>	-0.021	-0.025
TE × BMix vs. SMix	-0.030	-0.020	0.004	-0.007	-0.022	-0.006	-0.026	-0.022
TE × BB vs. SS	-0.023	0.023	0.030	<b>0.171</b>	-0.014	0.048	-0.020	0.001
soil layer	<b>0.163</b>	<b>0.153</b>	<b>0.132</b>	<b>0.121</b>	0.037	<b>0.096</b>	-0.016	-0.013
soil layer × TE	-0.007	<b>0.055</b>	<b>0.085</b>	-0.017	-0.014	<b>0.058</b>	0.028	-0.012
soil layer × zone	-0.024	0.075	-0.039	0.111	-0.056	-0.001	0.008	0.073
soil layer × BMix and SMix vs. BB and SS	-0.017	0.050	-0.009	-0.008	-0.015	-0.014	0.027	-0.001
soil layer × BMix vs. SMix	0.001	0.033	-0.020	0.123	-0.022	0.032	-0.009	<b>0.095</b>
soil layer × BB vs. SS	-0.008	-0.012	-0.009	-0.011	-0.020	-0.014	-0.017	-0.013
soil layer × TE × zone	-0.004	-0.006	0.055	-0.023	-0.050	0.057	0.001	-0.031
soil layer × TE × BMix and SMix vs. BB and SS	0.009	0.011	0.027	0.000	-0.006	0.030	0.033	-0.006
soil layer × TE × BMix vs. SMix	-0.015	-0.005	0.009	-0.003	-0.023	-0.013	-0.023	-0.014
soil layer × TE × BB vs. SS	0.000	-0.002	0.020	-0.011	-0.022	0.041	-0.006	-0.022
$R^2_{\text{adjusted}}$	0.346	0.417	0.396	0.368	0.309	0.201	-0.126	0.312

953

954 **Figure captions**

955 **Fig. 1** Mean temperature and precipitation at the experimental site in Kranzberg Forest from 1  
956 year before the start of the experiment. The y-axes are scaled after Walter and Lieth (1960) so  
957 that precipitation < temperature indicates an arid month (e.g. July 2013 and 2015) and  
958 precipitation > temperature indicates a humid month. Precipitation: light, growing season;  
959 medium, dormant season; dark, five-fold compression of the precipitation axis. Arrows indicate  
960 sampling dates (8 October, 2013, 6 October, 2014, 12 October, 2015 and 2 November, 2015, and  
961 4 October, 2016).

962 **Fig. 2** Schematic diagram of the sampling zones in the plots. Spruce, zone of spruce  
963 neighbouring spruce; mixture, interspecific contact zone between beech and spruce; beech, zone  
964 of beech neighbouring beech; modified from Goisser et al. (2016).

965 **Fig. 3** Volumetric soil water content at the experimental site in Kranzberg Forest measured at  
966 depths of **(a)** 0–7 cm and **(b)** 10–30 cm with time–domain reflectometer (TDR) probes.  
967 Throughfall exclusion periods were from 6 May, 2014 to 9 December, 2014, 10 March, 2015 to  
968 21 November, 2015 and 6 March, 2016 to 4 November, 2016 (see Fig. 1). Values were averaged  
969 for throughfall exclusion plots (red) and control plots (dark blue) for mixture zones (solid lines),  
970 beech zones (dashed lines) and spruce zones (dotted lines). Shaded areas indicate the standard  
971 deviations; marks along the x-axis indicate the measurement dates.

972 **Fig. 4** Ectomycorrhizal (ECM) fungal diversity (Shannon diversity  $H'$ ) in the topsoil **(a)** and the  
973 deeper layers **(b)** before (2013) and during 3 consecutive years of throughfall exclusion during  
974 the vegetation period. Plots were subdivided into zones in which beech and spruce were  
975 surrounded by the same species (BB, SS) or neighboured the other species (BMix, SMix). Error

976 bars:  $\pm 1$  se; dark blue lines and circles: control plots ( $n = 6$ ); red lines and triangles: throughfall  
977 exclusion plots ( $n = 6$ );  $H'$  (MT): calculated from data according to morphotypes;  $H'$  (PT):  
978 calculated from high-throughput sequencing phylotypes; sampling dates: 8 October, 2013, 6  
979 October, 2014, 12 October, 2015, 2 November, 2015 and 4 October, 2016.

980 **Fig. 5** Non-metric multidimensional scaling (NMDS) plots showing changes in the  
981 ectomycorrhizal (ECM) phylotypes before (2013) and during 3 consecutive years with (red) or  
982 without (blue) throughfall exclusion during the vegetation periods (2014–2016). Dots represent  
983 single root samples; distances represent differences in ECM fungal community composition  
984 based on Bray–Curtis dissimilarities. Density lines were plotted according to the distribution of  
985 the samples in the graph using the function `geom_density2d()` from the package `ggplot2`  
986 (Wickham, 2009) in R.

987 **Fig. 6** Potential enzyme activities (EAs): **(a)**  $EA_{\text{per tip}}$  ( $\text{pmol cm}^{-2} \text{min}^{-1}$ ) as weighted mean of EA  
988 per ectomycorrhizal (ECM) tip in an ECM community (see materials and methods equation 1),  
989 **(b)**  $EA_{\text{per vol}}$  ( $\text{pmol cm}^{-2} \text{min}^{-1} \text{cm}^{-3}$ ) taking into account the number of vital ECM tips per soil  
990 volume (see materials and methods equation 2), and **(c)** number of vital ectomycorrhizae of  
991 spruce and beech in topsoil samples over four study years (2013–2016). EA values of the  
992 respective same sample type in control and throughfall exclusion plots (Table S7) were log  
993 transformed and plotted against each other for each year separately to illustrate overall effects of  
994 throughfall exclusion. Linear regressions were calculated and plotted for these pairs per year  
995 with the colour code from darker in 2013 to lighter in 2016. The grey dashed line with a slope of  
996 1 and an intercept of 0 was drawn to indicate when EAs under control are equal to EAs under  
997 throughfall exclusion. Deviation of the slope of regression lines from 1 with an intercept  
998 remaining close to 0 indicate similar relative degrees and directions of change in all EAs,

999 whereas shift in the intercept indicates that EA values changed to different degrees and/or  
1000 directions. Values of intercept and slope are given in the top left corner of each panel with  
1001 asterisks indicating significant differences from a slope of 1 and an intercept of 0 (\*  $P < 0.05$ , \*\*  
1002  $P < 0.01$ , \*\*\*  $P < 0.001$ ). For ease of visualization, the different enzymes were not specifically  
1003 indicated in this representation, and EA values were plotted without standard error (for detailed  
1004 values see Table S7). Symbols represent sample types (circles BB, squares BMix, triangles SS,  
1005 diamonds SMix) resulting in four values per enzyme and a total of 28 values per year of seven  
1006 hydrolytic enzymes (xylosidase, cellobiohydrolase,  $\beta$ -glucosidase, chitinase, leucine  
1007 aminopeptidase, phosphatase and glucuronidase). From the eight studied EAs, laccase was  
1008 excluded as it showed a clearly different behaviour compared to the seven hydrolytic enzymes  
1009 (Fig. S10). Error bars in panel (c) indicate  $\pm 1$  standard error.

1010

1011 **Supporting Information captions**

1012 **Method S1** Internal transcribed spacer (ITS) determination for ectomycorrhizal (ECM)  
1013 morphotypes.

1014 **Table S2** Primer sequences for high-throughput sequencing.

1015 **Table S3** Abundance of morphotypes.

1016 **Table S4** Abundance of high-throughput sequencing phylotypes.

1017 **Figure S5** Relative abundance of each exploration type group.

1018 **Figure S6** Changes in shared ectomycorrhizal (ECM) species for beech and spruce between  
1019 years.

1020 **Table S7** Measured values of the mean enzymatic activities in each sample ( $EA_{\text{per tip}}$ ) and the  
1021 mean enzymatic activity normalised to the number of tips per unit volume of soil ( $EA_{\text{per vol}}$ ).

1022 **Table S8** Analysis of variance (ANOVA) table for the mean enzymatic activity in each sample  
1023 ( $EA_{\text{per tip}}$ ) and the mean enzymatic activity in each sample normalised to the number of tips per  
1024 unit volume of soil ( $EA_{\text{per vol}}$ ).

1025 **Figure S9** Mean potential enzyme activities ( $EA_{\text{per tip}}$ ) and mean enzyme activity normalised to  
1026 the number of tips per unit volume of soil ( $EA_{\text{per vol}}$ ) of the ectomycorrhizal fungal communities  
1027 and loss of vital ECM tips in the deep layers.

1028 **Figure S10** Principal component analysis (PCA) of mean enzymatic activity ( $EA_{\text{per tip}}$ ) and mean  
1029 enzymatic activity in normalised to the number of tips per unit volume of soil ( $EA_{\text{per vol}}$ ).



— Temperature  
■ Precipitation growing season  
■ Precipitation dormancy

Temperature (°C)

50  
40  
30  
20  
10  
0  
-10

2012

2013

2014

2015

2016

Rain exclusion

Rain exclusion

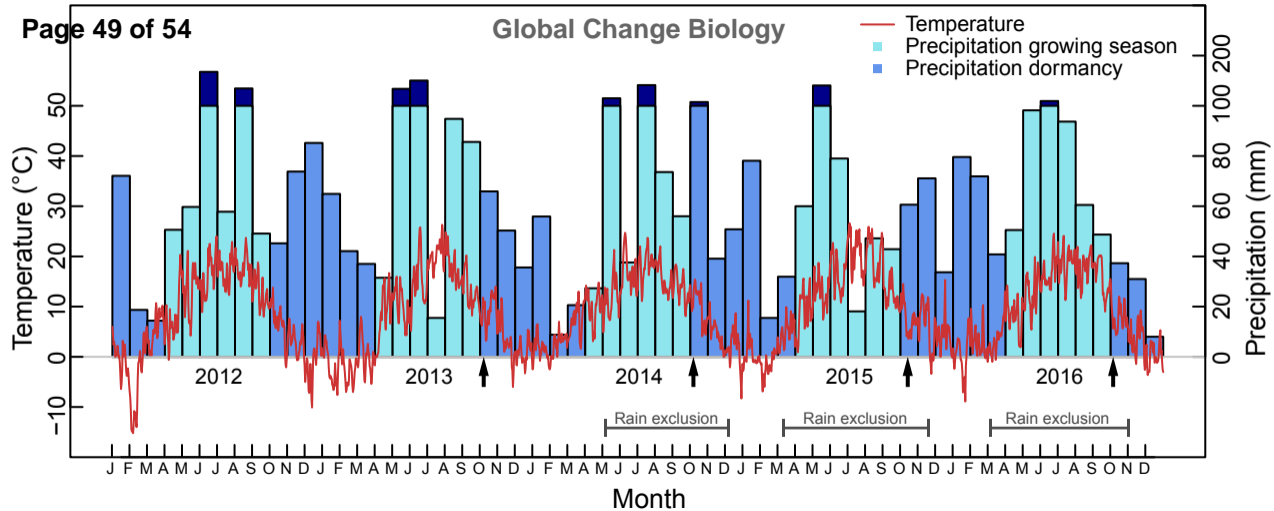
Rain exclusion

200  
100  
0

Precipitation (mm)

J F M A M J J A S O N D J F M A M J J A S O N D J F M A M J J A S O N D

Month



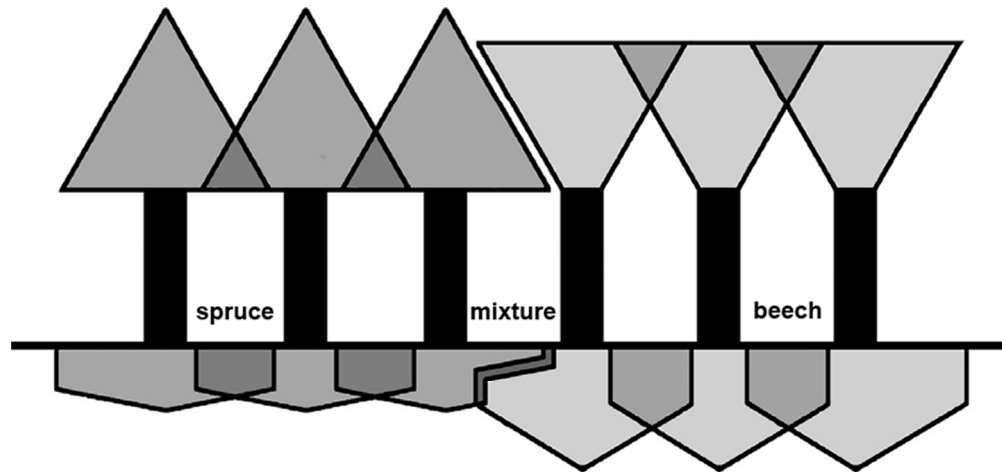
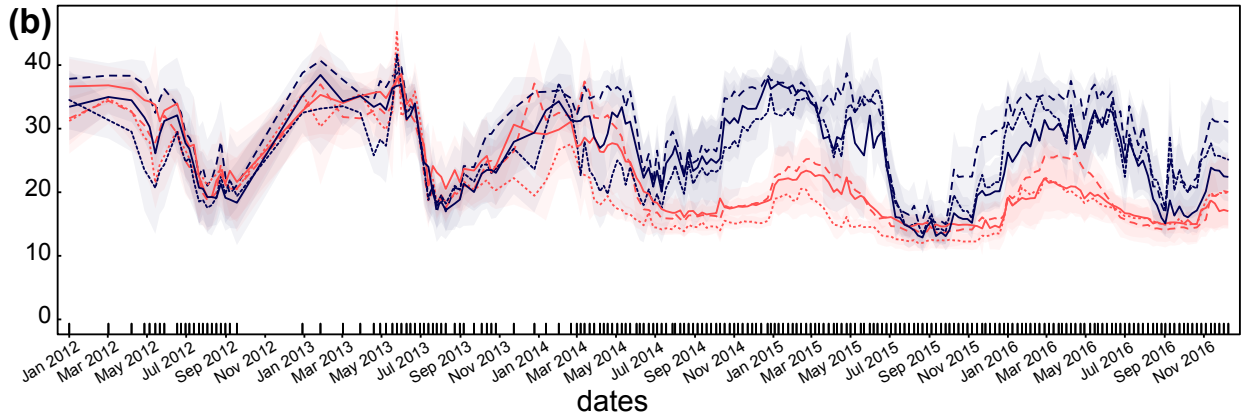
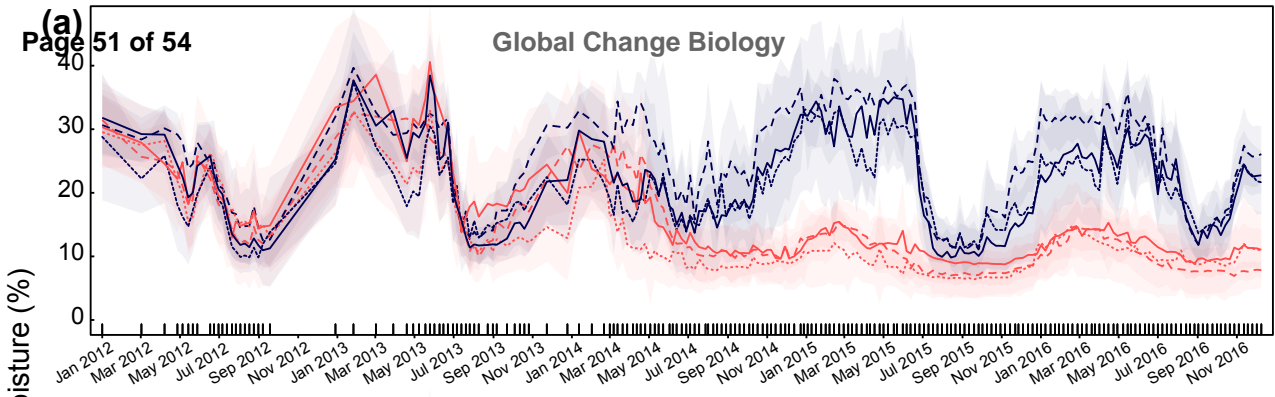
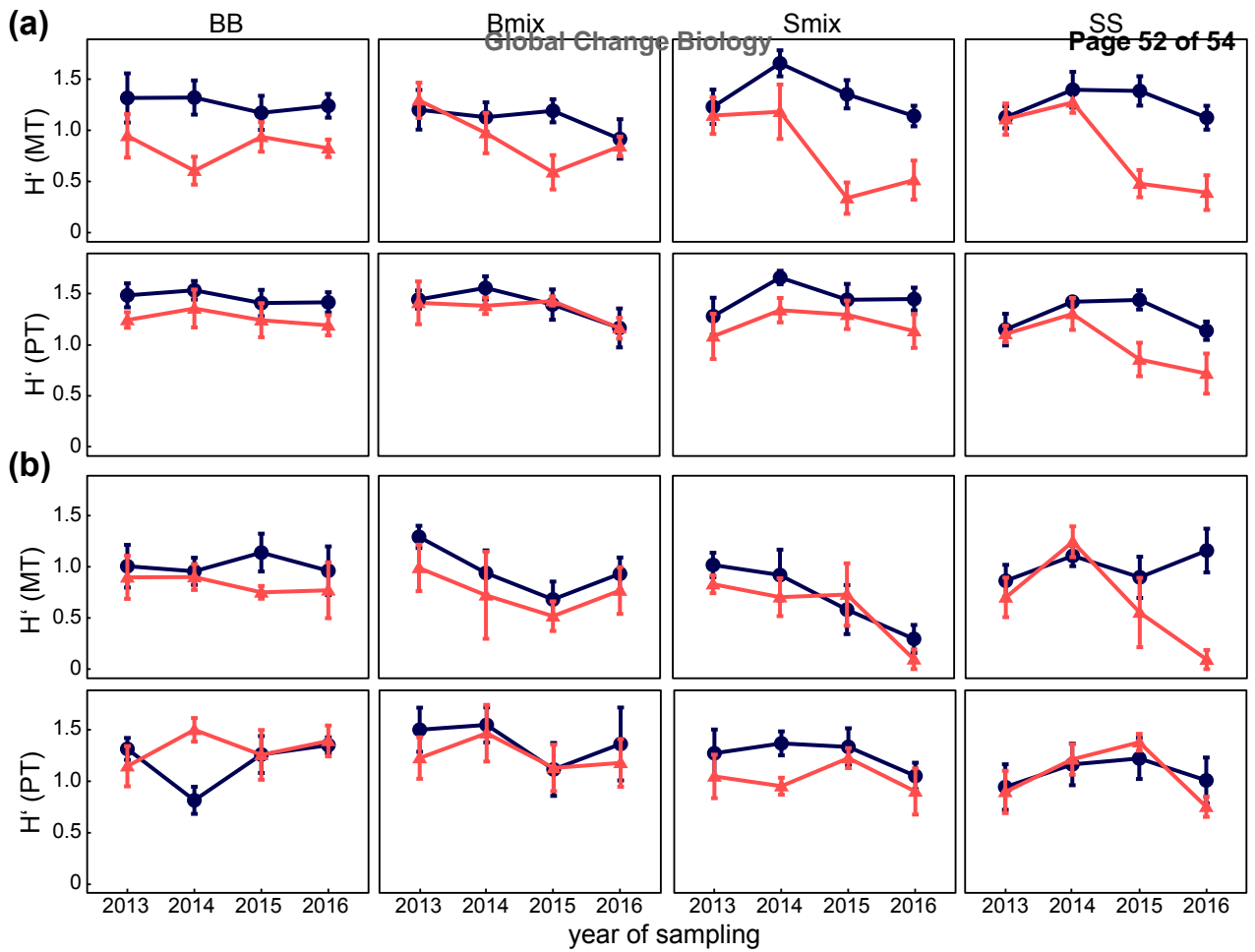


Fig. 2 Schematic diagram of the sampling zones in the plots. Spruce, zone of spruce neighbouring spruce; mixture, interspecific contact zone between beech and spruce; beech, zone of beech neighbouring beech; modified from Goisser et al. (2016).

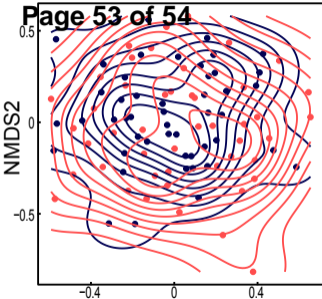
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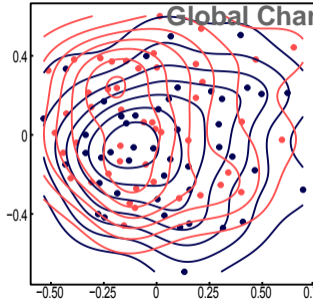


2013

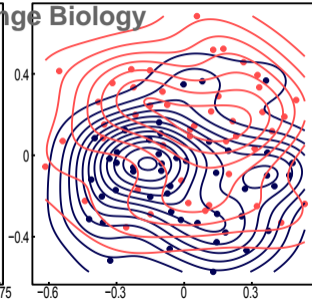
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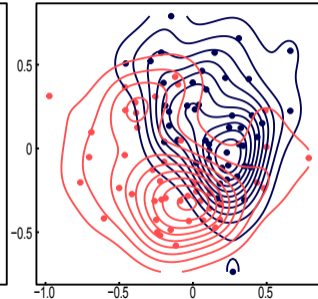
2014



2015

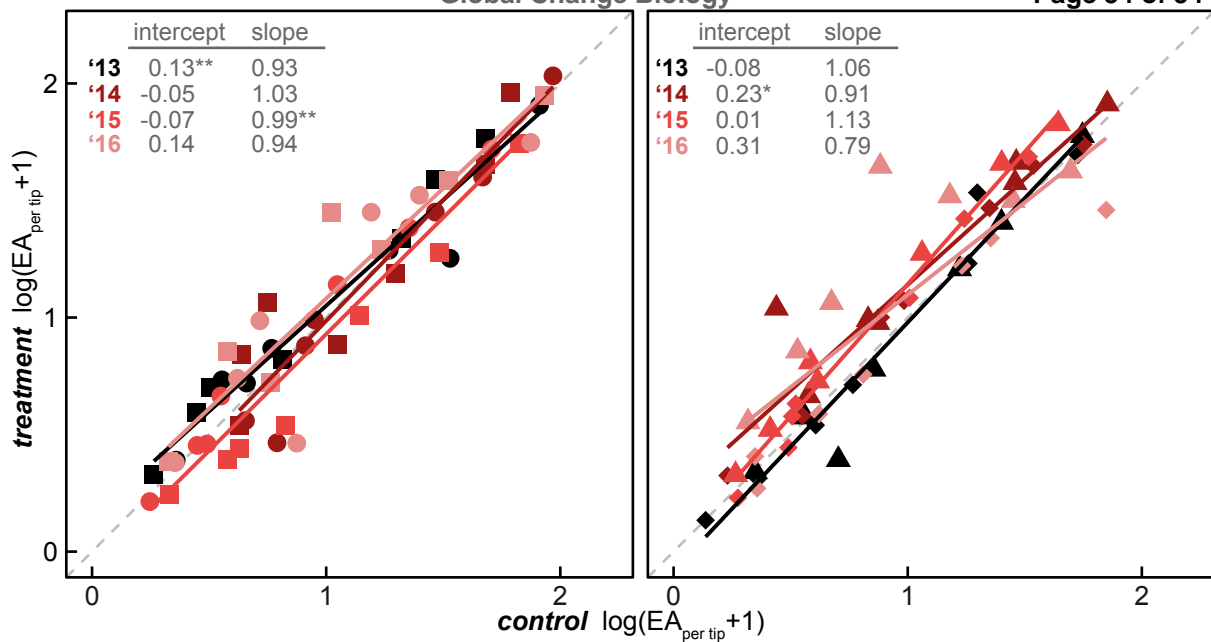


2016

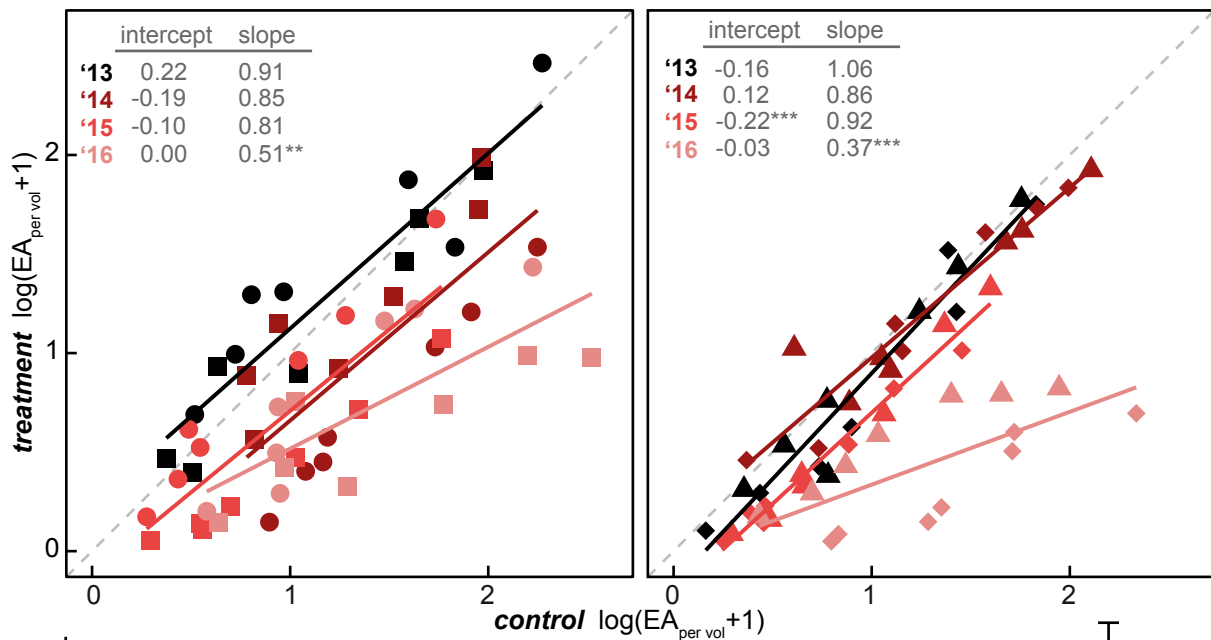


Global Change Biology

(a)



(b)



(c)

