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| 19 | |
| 20 | Abstract |
| 21 | Dissolved organic matter (DOM) contained in lake sediments is a carbon source for many microbial |
| 22 | degradation processes, including aerobic and anaerobic mineralization. During anaerobic degradation, |
| 23 | DOM is partially consumed and transformed into new molecules while the greenhouse gases methane |
| 24 | (CH ₄) and carbon dioxide (CO ₂) are produced. In this study, we used ultrahigh resolution mass |
| 25 | spectrometry to trace differences in the composition of solid-phase extractable (PPL resin) pore water |
| 26 | DOM (SPE-DOM) isolated from surface sediments of three boreal lakes before and after 40 days of |
| 27 | anoxic incubation, with concomitant determination of CH_4 and CO_2 evolution. CH_4 and CO_2 |
| 28 | production detected by gas chromatography varied considerably among replicates and accounted for |

fractions of $\sim 2-4 \times 10^{-4}$ of sedimentary organic carbon for CO₂ and $\sim 0.8-2.4 \times 10^{-5}$ for CH₄. In contrast, 29 30 the relative changes of key bulk parameters during incubation, such as relative proportions of molecular series, elemental ratios, average mass and unsaturation, were regularly in the percent range 31 32 (1-3% for compounds decreasing and 4-10% for compounds increasing), i.e. several orders of 33 magnitudes higher than mineralization alone. Computation of the average carbon oxidation state in CHO molecules of lake pore water DOM revealed rather non-selective large scale transformations of 34 organic matter during incubation, with depletion of highly oxidized and of highly reduced CHO 35 molecules, and formation of rather non-labile fulvic acid type molecules. In general, proportions of 36 CHO compounds slightly decreased. Nearly saturated CHO and CHOS lipid-like substances declined 37 during incubation: these rather commonplace molecules were less specific indicators of lake sediment 38 alteration than the particular compounds, such as certain oxygenated aromatics and carboxyl-rich 39 40 alicyclic acids (CRAM) found more abundant after incubation. There was a remarkable general increase in many CHNO compounds during incubation across all lakes. Differences in DOM 41 42 transformation between lakes corresponded with lake size and water residence time. While in the small lake Svarttjärn, carboxyl-rich alicyclic molecules (CRAM) increased during incubation, lignin-43 44 and tannin-like compounds were enriched in the large lake Bisen, suggesting selective preservation of 45 these rather non-labile aromatic compounds rather than recent synthesis. SPE-DOM after incubation 46 may represent freshly synthesized compounds, leftover bulk DOM which is primarily composed of 47 intrinsically refractory molecules and/or microbial metabolites which were not consumed in our experiments. In spite of a low fraction of the total DOM being mineralized to CO₂ and CH₄, the more 48 49 pronounced change in molecular DOM composition during the incubation indicates that diagenetic 50 modification of organic matter can be substantial compared to complete mineralization.

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52 Keywords: solid phase extraction, DOM, FTICR-MS, sediment, methane, anaerobic respiration

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54 **1. Introduction**

Dissolved organic matter (DOM) represents one of the largest active carbon pools and also one of the
most complex mixtures of organic molecules on Earth (Hertkorn et al., 2007). Organic matter cycling

57 in lakes is now considered relevant for global carbon budgets because of substantial processing of incoming DOM from the catchment, leading to burial of refractory organic matter in lake sediments 58 simultaneously accompanied by large-scale formation and emissions of carbon dioxide (CO₂) and 59 methane (CH₄) from lakes (Tranvik et al., 2009). It has been proposed that allochthonous 60 61 (terrigenous) dissolved organic carbon (DOC) input is the major source of organic matter in boreal lake sediments (von Wachenfeldt et al., 2008), and that up to 50% of the carbon mineralization occurs 62 in the sediments (Jonsson et al., 2001). However, many studies have only used bulk parameters such 63 as organic carbon and nitrogen contents as indicators of organic matter quality and lability (Bernardes 64 et al., 2004; Conrad et al., 2011). 65

Methanogenesis is considered the last step in microbial degradation of sediment organic matter, and 66 occurs after the depletion of other inorganic electron acceptors, such as oxygen (O_2) , nitrate (NO_3) , 67 ferric iron (Fe³⁺), and sulfate (SO₄²⁻) (Conrad, 1996). During anaerobic organic matter degradation 68 first the major (bio)polymers are decomposed to oligomers and monomers, followed by fermentation 69 reactions which produce fatty acids, alcohols, acetate, CO_2 and hydrogen (H₂) – the last three being 70 the main substrates of methanogenesis (Conrad et al., 2011). Nevertheless, degradation of organic 71 72 matter under methanogenic conditions can account for a major share of total organic matter 73 metabolism (20-56 %; Bastviken, 2009). Previous studies have found a positive relationship between 74 CH_4 production rates and the content of organic carbon as well as a preferential degradation of algal 75 material (Conrad et al., 2011; Schulz and Conrad, 1995; West et al., 2012). However, the chemical 76 nature of the organic substances that are converted to CO₂ and CH₄ remain largely unknown, although 77 sequential processing of various carbon pools has been reported (Mahmoudi et al., 2017).

DOM plays an important role in the transfer of energy and matter in aquatic ecosystems, and the anaerobic decomposition of sediment DOM can be extensive. On the example of lake Gäddtjärn, Chmiel et al. (2016) found that, even when sedimentary organic carbon mineralization contributed less than one fifth to the total lake CO₂ emissions, sediment mineralization still overcame carbon burial about three-fold. In addition, regarding the potential for DOM under different conditions, the total degradation potential of lake water DOM was found highest under oxic conditions, but about one tenth of DOM was promptly and exclusively mineralized under anoxic conditions (Bastviken et al.,

85 2004). Extensive anoxic processing of DOM has also been demonstrated in a variety of soil and marine conditions (Hodgkins et al., 2014, 2016; Schmidt et al., 2017; Seidel et al., 2014). A typical 86 feature of previous studies of anaerobic DOM decomposition is that they are based on formation of 87 degradation end-products, such as CO₂ or CH₄. However, transformations of DOM that does not lead 88 89 to formation of end-products could potentially be very important in generating significant metabolic energy for food webs, as well as resulting in new DOM molecules that progressively become more 90 refractory and are later preserved in the sediments as a carbon sink. There is also a possibility that 91 microbial processing leads to a gradual shift from terrestrial plant derived DOM to more microbial 92 derived DOM (Einsiedl et al., 2007). Here we assess the relative magnitudes of the anaerobic DOM-93 to-DOM transformations versus the complete DOM degradation to CO_2 and CH_4 , hypothesizing, that 94 both are equally important. We also ask how the DOM pool is altered in terms of elemental 95 96 composition, oxidation state, and change in distribution of molecular formulas during decomposition, under the hypothesis that the relative abundance of reprocessed or microbial refractory DOM 97 compounds should get progressively stronger over time, and hence differ between lakes having 98 99 different sizes and residence times.

100 Ultrahigh resolution Fourier transform ion cyclotron resonance mass spectrometry (FTICR-MS), which can resolve thousands of individual molecular formulae within natural DOM samples 101 102 (Hertkorn et al., 2008), has been already been successfully used to characterize DOM from 103 freshwater environments (Einsiedl et al., 2007; Gonsior et al., 2016; Jaffé et al., 2012; Kellerman et al. 104 2015), to trace changes in DOM composition after exposure to photo- and biodegradation along 105 natural gradients (Gonsior et al., 2014, 2009; Medeiros et al., 2015; Ide et al., 2017) and incubation experiments (Hodgkins et al., 2014; Medeiros et al., 2015; Seidel et al., 2016). FTICR mass 106 107 spectrometry has also been applied to investigate pore water in freshwater, estuarine and marine sediments (Seidel et al., 2014; Schmidt et al., 2009, 2011, 2017; Tremblay et al., 2007) and soils 108 109 (D'Andrilli et al., 2010; Hodgkins et al., 2014, 2016). Mass spectrometry has also revealed changes of DOM in bog and fen pore water profiles of peatlands (D'Andrilli et al., 2010; Hodgkins et al., 2016), 110 and possible relations with increased production of the greenhouse gases CH₄ and CO₂ in permafrost 111 112 environments (Hodgkins et al., 2014). In this study we have used negative mode electrospray

- ionization (ESI) FTICR mass spectrometry to determine the extent and molecular selectivity of DOM alterations in boreal lake sediment pore water during experimental anoxic incubation, alongside with determination of CH_4 and CO_2 production.
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117 2. Material and Methods

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119 2.1. Site description

Sediment was collected from three lakes in the Malingsbo area, Sweden (Svarttjärn: 59°53'25.12"N 120 15°15'26.68"E; Grästjärn: 59°53'18.43"N 15°21'22.64"E; Bisen: 59°57'17.36"N 15°29'37.44"E), in 121 August 2012 (Fig. 1). The lakes investigated are geographically close, but vary in both size and depth 122 (Table 1). Bisen is the largest and deepest one, with 43 ha of area and 17 m of maximum depth. 123 124 Grästjärn and Svarttjärn have an area of 9.5 ha and 0.7 ha, and a maximum depth of 8 m and 7 m, respectively (see Table 1 for lake characteristics). The difference in lake area and volume causes 125 variable water residence time (Hanson et al., 2011), which in turn influences the average age of the 126 127 surface sediments and of the DOC found in the lakes. While lake Bisen is expected to have the longest residence time, lake Svarttjärn shows exceptionally high drainage ratio and short water residence time 128 129 (von Wachenfeldt and Tranvik, 2008).

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131 2.2. Sampling

Five replicates of surface sediment samples (upper 10 cm) were collected from a boat approximately at the deepest water depth of the lake using a small gravity corer with minimal exposure to air. The samples were homogenized and transferred into 125 ml polyethylene bottles, which were completely filled with sediment sample, avoiding air bubbles inside. The bottles were kept cold (4 °C), stored in the dark and shipped by air to the Max Planck Institute for Terrestrial Microbiology, Marburg, Germany, for incubation experiments. In the field, O₂ profiles in the water column were acquired using an oximeter (Hach HQ40 optical DO sensor) with a depth resolution of 0.5 and 1.0 m.

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140 2.3. Incubation experiment

141 An analogous incubation experiment has been described elsewhere (Conrad et al., 2007, 2011). Approximately 9 ml of sediment were placed into 27 ml sterile glass vials and closed with rubber 142 stoppers. Vials were then flushed with nitrogen gas (N_2) and vortexed, sequentially and repeatedly, 143 ensuring that all initial gas phase was composed solely of nitrogen. Initial gas measurements proved 144 145 CH_4 concentration in the headspace to be zero. Then, the sediments were incubated in the dark at 15°C, higher than the actual sediment temperature (Table 1). The observed CH₄ and CO₂ production 146 rates represent the potential of the microbial community and allow for timescales practical in 147 laboratory experiments (Hodgkins et al., 2014). CH_4 and CO_2 concentrations were measured regularly 148 during the incubation period of 40 days (Fig. 2). Gas samples (250 µl) were taken from the headspace 149 and injected in the Gas Chromatograph (Shimadzu GC-8A, Japan). Organic carbon (OC) content in 150 the sediments was measured in one of the replicates of each sample at the end of the incubation 151 152 experiment. Samples were acidified (to remove possible carbonates) and dried. The carbon content was analyzed at the Centre for Stable Isotope Research and Analysis (KOSI) at Göttingen University 153 (Germany) using an elemental analyzer (NA 2500, CE Instruments, Rodano, Italy). 154

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156 2.4. Chemical analyses

Water samples from the lakes were analyzed for Dissolved Organic Carbon (DOC), Total Nitrogen 157 (TN) and Total Phosphorus (TP). DOC was measured with a TOC analyzer (Shimadzu 5000, Japan). 158 159 TN and TP were analyzed colourimetrically after persulfate oxidation using an AA3 autoanalyzer 160 (Bran Luebbe, Germany). The pore water DOM was extracted by a previously described, adapted solid phase extraction (SPE) method (Dittmar et al., 2008). For each replicate, the pore water of about 161 2 ml sediment was isolated by centrifugation and the leftover sediment was then washed three times 162 with 1 ml ultra-pure water (LC-MS Chromasolv®, Sigma-Aldrich). The supernatants of the 163 centrifugation and washing steps were combined. Then, approximately 4 ml liquid sample per 164 replicate was acidified to pH 2 with formic acid and passed through a SPE cartridge (Bond Elut PPL, 165 100 mg, 1 ml, Agilent). The cartridge had been activated with 1 ml methanol (LC-MS Chromasolv®, 166 Sigma-Aldrich) and rinsed with 1 ml acidified pure water (pH 2) prior to extraction. In the end, DOM 167 168 was eluted with 500 µl methanol. This procedure was repeated for all five replicates, before and after

the incubation experiment (total of ten samples per lake). The eluates were stored in the freezer (-20
°C) until mass spectrometry analysis.

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172 2.5. FTICR Mass Spectrometry

Negative electrospray ionization Fourier transform ion cyclotron resonance [ESI(-)] FTICR mass spectra were acquired using a 12T Bruker Solarix mass spectrometer (Bruker Daltonics, Bremen, Germany) and an Apollo II electrospray ionization (ESI) source in negative mode (Hertkorn et al., 2016). Nebulizer gas pressure, drying gas pressure and the source heater temperature were 138 kPa, 103 kPa and 200°C, respectively. The spectra were acquired with a time domain of 4 MW and five hundred scans were accumulated for each mass spectrum. Further information can be found in Support Online Information.

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181 2.6. Statistical analysis

Hierarchical Cluster Analysis (HCA) was performed using the Hierarchical Clustering Explorer 3.0 182 (HCE; http://www.cs.umd.edu/hcil/multi-cluster/), with clustering of the dataset using the Average 183 184 Linkage (UPGMA) method and the Pearson correlation coefficient (Pearson's r) as the similarity/distance measure. Based on the HCA, we used the "profile search" tool from HCE 3.0, 185 choosing a search method (model-based), a distance measure (Pearson's r) and a threshold (0.9). With 186 these settings, we selected mass peaks that were increased in relative abundance in a chosen subset, 187 but decreased in another one. All molecular compositions which were attributed to have increased and 188 189 decreased in relative abundance had significantly changed between the different incubation states (p < 190 0.05, Mann Whitney test, GraphPad Prism 5.0). Principal Component Analysis (PCA) was done with 191 the software SIMCA-P 9.0 (Umetrics AB, Sweden).

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- 193 3. Results and Discussion
- 194
- 195 3.1. CH_4 and CO_2 production rates

196 Anoxic incubation of lake sediment samples resulted in continually increasing concentrations of CH₄ and CO₂ in the gas phase (Fig. 2). Release of CH₄ started without lag phase, indicating that reduction 197 of nitrate, ferric iron, sulfate, etc., was minimal, and that methanogenesis was the dominant 198 degradation process of organic matter (Conrad, 2007). Considerable variability in gas production rates 199 was observed among replicate sediment cores. The production rates of CH₄ varied also among the 200 different lakes. The highest CH₄ production rate $(11.8 \pm 5.8 \text{ nmol d}^{-1} \text{ g}_{dw}^{-1})$ was found in sediments of 201 Svarttjärn, followed by Grästjärn (8.9 \pm 3. 5 nmol d⁻¹ g_{dw}⁻¹) and Bisen (5.9 \pm 3.1 nmol d⁻¹ g_{dw}⁻¹). Rates 202 of CO₂ production, however, were more similar in Svarttjärn, Grästjärn and Bisen (77.6 \pm 5.9, 113.5 \pm 203 11.6 and 107.2 \pm 19.5 nmol d⁻¹ g_{dw}⁻¹, respectively). The CH₄ production rates were low when 204 compared with tropical and temperate lake sediments (Conrad et al., 2009, 2010, 2011). Duc et al. 205 (2010) have measured CH₄ production in lake Svarttjärn and found similar values (9 nmol $d^{-1} g_{dw}^{-1}$) at 206 207 10°C. Lake sediments in Alaska (Lofton et al., 2015) also presented variable CH₄ production, ranging from 1.7 up to 118 nmol $d^{-1} g_{dw}^{-1}$. 208

Cumulative release of gaseous CO₂ during incubation accounted for small fractions of \sim 2-4×10⁻⁴ of 209 total sedimentary carbon. Evolution of CH_4 accounted for even lower fractions of only ~0.8-2×10⁻⁵ 210 211 (Fig. 2). While complete degradation of organic matter under exclusive methanogenic conditions should result in about equal amounts of CO₂ and CH₄, organic matter degradation here occurred to a 212 larger extent by oxidation to CO_2 . Such excess oxidation to CO_2 is only possible if an oxidant is 213 available. If O₂, nitrate, sulfate, ferric iron and other inorganic electron acceptors were not available 214 (as indicated by the instantaneous production of CH₄), organic compounds (e.g. humic acids) must 215 216 have served as oxidants (Corbett et al., 2015; Klüpfel et al., 2014; Yavitt and Seidmann-Zager, 2006).

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218 3.2. Compositional characteristics of SPE-DOM

Molecular compositions of lake sediment porewater SPE-DOM before and after incubation were identified by virtue of high mass accuracy and mass resolution typical of FTICR mass spectrometry (see Supporting Online Information for comments on the depiction of molecular diversity of SPE-DOM by mass spectrometry). Common mass peaks that were present in all five replicate samples before and after incubation exceeded 3000 counts in sediment from Grästjärn and 4000 counts for

Svarttjärn and Bisen (Table 2). With the exception of CHNOS compounds, which were comparatively
less abundant, the counts of CHO, CHNO and CHOS molecular series between individual replicates
showed larger relative variance of incubated compared with original SPE-DOM samples (Table S1,
S2). The relative variance commonly changed in the order CHNOS > CHNO ≈ CHOS > CHO
molecular series (Table S2).

On average, ~60-70% of all assigned molecular compositions were common to all five replicate sediment cores in each lake (Table 2). The presence of unique compounds in each replicate corroborates an appreciable chemical diversity and heterogeneity of carbon cycling in these sediments (Krause et al., 2009; Siljanen et al., 2011), which possibly contributes to the high observed variability of CH_4 and CO_2 production rates.

Hierarchical Cluster Analysis (HCA) of FTICR mass spectra showed a clear separation according to 234 235 the different lakes (minimum similarity: 0.55), consistent with studies on the molecular diversity of DOM in aquatic systems (Ide et al., 2017) (Fig. S1). DOM compositions before and after incubation 236 differed considerably for all three lakes (minimum similarity: 0.83), suggesting turnover of DOM by 237 combined effects of microbial production and degradation or chemical transformations during the 238 239 incubation experiment. However, lake-specific characteristics (Table 1) were more influential for DOM composition than changes during the incubation, and differences among the replicates were 240 241 always larger for incubated versus original samples (Fig. S2).

Analogous results were obtained from Principal Component Analysis (PCA). Again, clustering of SPE-DOM compositions according to lakes was more pronounced than clustering according to incubation or replication (Fig. S2). The relative variance of incubated samples always exceeded that of original samples, while the fraction of molecular compositions common to all five replicates was always smaller for original than for incubated samples (Table 2). Both trends were related and suggested increasing chemical diversity caused by incubation (Fig. S1, S2).

When comparing the FTICR mass spectra of sediment pore water SPE-DOM from the three boreal lakes before and after incubation, the compounds declining in relative abundance during incubation were less abundant (~1-3%) than those increasing in relative abundance (~4-10%)(Fig. S3). Here, Svarttjärn and in particular Bisen showed substantial mass range (Fig. S3) and bandwidth of

molecular compositions (Fig. 3) increasing in relative abundance after incubation. CO₂ and CH₄ are 252 253 unambiguously defined molecules and easily quantified; these are likely to result from mineralization of carbon present in original organic matter, mediated by activity of microorganisms (Bastviken, 254 2009; Tranvik et al., 2009). In our experiments, the relative turnover of carbon ranged from $2-4 \times 10^{-4}$ 255 (CO₂), which was likely an underestimate of total produced CO₂ because bicarbonate was not 256 considered. and $<1-2\times10^{-5}$ (CH₄) of total sedimentary organic carbon (Fig. 2). In contrast, the relative 257 change during incubation of key bulk parameters, such as relative proportions of molecular series, 258 elemental ratios, average mass and unsaturation, was regularly in the percent range (Table 2; Fig. S3); 259 i.e. several orders of magnitudes higher than mineralization alone. This implies that during incubation, 260 the SPE-DOM transformation was far more extensive than the readily observed mineralization, which 261 is commonly regarded as a measure of microbial activity. 262

263 Although the consistent increase of apparent chemical diversity during incubation in all three lakes contrasts with a simple notion of degradation of organic matter from larger to smaller molecules as 264 expressed in the familiar size-reactivity continuum model (Amon and Benner, 1996; Benner and 265 Amon, 2014), analogous trends were reported for anoxic marine sediments (Seidel et al., 2014). While 266 267 the original SPE-DOM samples represent highly processed biogeochemical materials with smooth mass peak distributions resulting from the massive projection of many isomers on one given 268 molecular composition (Hertkorn et al., 2007, 2008), the incubated samples probably contained higher 269 proportions of remnant biomolecules originating from microbial transformations. Although common 270 271 polydisperse biogeochemical materials such as organic matter are arguably much more complex 272 mixtures than typical biological samples (Hertkorn et al., 2007), the latter may include large 273 proportions of microbial metabolites that might produce recognizable patterns in the mass spectra. In 274 our study, patterning might apply to (the rather few) compounds decreasing during incubation (Fig. S3), suggesting preferential removal of specific CHO- and CHOS-based lipid-like compounds (Fig. 4; 275 276 Table S3).

In general, more than 60% of the detected mass peaks were assigned to CHO compounds, followed by
CHNO molecules (17-28%), CHOS molecules (8-15%) and a few CHNOS compounds (0.4-1.3%),
respectively. Despite the substantial molecular diversity of DOM, the chemical diversity of lipid-like

(H/C ratio >1.7; O/C ratio <0.3) and carbohydrate-like DOM (H/C ratio ~1.5; O/C ratio >0.7) was
limited. This likely resulted from activity of hydrolytic microorganisms (Shakeri Yekta et al., 2012;
Walker and Wilson, 1991), which is expected for lake sediments anaerobic degradation, since
hydrolysis is the first step in methanogenesis and other organic matter degradation processes
(Bastviken, 2009; Conrad, 1999).

While the computed average O/C ratios of SPE-DOM uniformly declined during incubation, the 285 respective H/C values stayed near constant in Svarttjärn, whereas those in Grästjärn and Bisen 286 increased considerably (Table 2). Microbial activity has been suggested as responsible for analogous 287 trends in the sediments of the Black Sea (Schmidt et al., 2017), in peat soils in Sweden (Hodgkins et 288 al., 2016), and in biodegradation experiments in the Amazon River (Medeiros et al., 2015; Seidel et 289 al., 2016). Reactive functional groups of organic matter, which often contain oxygen, are expected to 290 291 react more frequently than unfunctionalized aliphatics, and the oxygen-rich molecules H_2O and CO_2 are commonly released during organic matter processing (Einsiedl et al., 2007), likely contributing to 292 the observed relative depletion of oxygen in organic matter during incubation (Evans and Fuchs, 293 294 1988; Ghattas et al., 2017).

295 Van Krevelen and KMD/z* diagrams for original and incubated sediment pore water SPE-DOM from the three boreal lakes were determined for initial and final samples and for respective molecular 296 compositions growing or declining in abundance during incubation (Fig. 3, 4, S4). These diagrams 297 298 demonstrated coverage of a rather large area of the CHO, CHNO and CHOS compositional space for 299 initial and final SPE-DOM, indicative of a remarkable molecular diversity of these organic molecules 300 which exceeds that of common DOM present in the water column of boreal lakes (Gonsior et al., 301 2013). Furthermore, production of CHNO compounds with average H/C and O/C ratios of ~0.5-2.0 302 and ~0.2-0.8, respectively, was found in all three boreal lake sediments (Fig. 4). Production of Ncontaining compounds might be related to protein degradation and formation during anaerobic 303 304 degradation of biomass-derived substrates (Schmidt et al., 2011; Shakeri Yekta et al., 2012), or from microbial biomass (Seidel et al., 2016). 305

306 While CHNO and CHNOS compounds covered a smaller area in the van Krevelen diagrams than 307 CHO and CHOS compounds, CHNO compounds, specifically, were mostly limited to average H/C

308 and O/C ratios of ~ 0.5-2 and ~0.2-0.8, respectively (Fig. 4). In addition, CHO compounds covered a 309 relatively large mass range (~200-850 Da). In comparison, CHNO and CHNOS compounds were smaller than \sim 700 Da, and CHOS compounds were almost exclusively restricted to <600 Da. The 310 relative gain of heteroatom-containing molecules during incubation seemed to be a common feature 311 312 among the three lakes. Increased proportions of CHNO and CHOS compounds were also observed in Swedish peat soils at an advanced stage of decomposition (Hodgkins et al., 2014, 2016). In our study, 313 lower mass compounds (m/z < 500) showed higher H/C ratios (i.e. were more aliphatic) than higher 314 mass compounds (Fig. 3) among consumed and produced compounds. The average mass of DOM 315 molecules in general declined during incubation (Table 2). Nevertheless, a number of DOM 316 molecules formed during incubation were of high mass (Fig. 3, S3, S4, S5). 317

Summary van Krevelen, mass-edited H/C ratio and KMD/z* diagrams of original and incubated SPE-318 319 DOM showed extensive coverage and overlap of the CHO, CHNO and CHOS compositional space, precluding clear assessment of molecular changes caused by incubation (Fig. 3, S4). KMD/z* 320 diagrams revealed extended (n ~ 12-20) CH₂-based homologous series for original and incubated 321 samples for CHO compounds and shorter ones ($n \sim 6-10$) for CHNO and CHOS compounds (Fig. S4). 322 323 Anoxic incubation of sediment caused some uniform progression of key bulk parameters (Table 2): the average mass of SPE-DOM decreased, in line with greenhouse gas release from organic matter. 324 325 The proportions of CHO compounds consistently decreased after incubation whereas the proportions 326 of CHNO compounds uniformly increased. Higher abundances of CHNO compounds might be an indication of intensive microbial activity (Hodgkins et al., 2016; Roth et al., 2014; Seidel et al., 2014). 327 328 Rather non labile CHNO compounds were present after incubation; hence, incubation affected the coupled carbon and nitrogen cycles in lake sediments (Hedges and Oades, 1997). Oxygen content as 329 330 well as O/C ratios of the residual SPE-DOM decreased following incubation, partially because oxidized substrates H_2O and CO_2 had been liberated. In contrast, average carbon, average double 331 bond equivalent (DBE) and DBE/C values were less affected, indicating that C-based molecular 332 skeletons were rather modified by corresponding functional groups than fundamentally rearranged 333 during incubation. 334

335

336 3.3. Changes according to CHO-, CHNO-, CHOS- and CHNOS molecular series in SPE-DOM

Two stages of sorting were required to enable a meaningful assessment of lake sediment processing 337 by anoxic incubation. First, the exclusive recognition of molecular compositions common to all five 338 respective replicates alleviated the considerable intrinsic variance of the individual incubation 339 340 experiments (which likely reflected the variance of organic matter molecules and that of the temporal evolution of microorganisms; Fig. S5, S6; Table S1, S2) and enabled the detection of specific organic 341 matter transformation within lake sediments. Second, the separate display of CHO, CHNO, CHOS 342 and CHNOS molecular series common to all five replicates removed projection and clearly revealed 343 distinct trends for each of the three lake sediments investigated in this study. Summary van Krevelen, 344 mass-edited H/C ratio and KMD/z* diagrams for presumably consumed and produced compounds 345 during the anoxic incubation experiments were computed for three selected individual samples each 346 347 (Fig. S5, S6), and for common molecular compositions present in all five replicates of the six incubation experiments (Fig. 3, 4, S4, Table 2, S1, S2). 348

In addition, a display for individual CHO, CHNO, CHOS and CHNOS molecular series in van 349 Krevelen and KMD/z* diagrams was provided for common molecular compositions found in all five 350 351 replicates (Fig. 4, S4). While the figures displaying common molecular compositions appeared more distinct and "less noisy" than those resulting from individual SPE-DOM samples, a visual comparison 352 of SPE-DOM samples before and after incubation still could not retrieve relevant information in any 353 354 of these diagrams. The high abundance of CHO molecules in particular, and the broad coverage of the CHO, CHNO and CHOS chemical space at average H/C and O/C ratios (Hertkorn et al., 2007) by 355 SPE-DOM both prior to and after incubation precluded easy determination of changes in composition 356 by visual inspection. Finally, relative changes of normalized mass peak amplitudes were computed by 357 358 means of "profile search" within HCA, providing depiction of compounds decreasing and increasing in relative abundance during incubation. When CHO, CHNO and CHOS molecular series were shown 359 separately, distinct DOM processing during incubation according to lake was clearly revealed in van 360 Krevelen and KMD/z* diagrams (Fig. 4, S6). In general, the following changes were observed (all 361 Tables): 362

Loss of certain compounds during incubation was mainly restricted to near saturated CHO and
 CHOS lipid-like compounds (H/C ratio > 1.8) and seemed less significant than gain of specific
 compounds (Fig. 3, 4, S3).

Svarttjärn showed formation of CHO and CHNO-based CRAM molecules (carboxylic-rich alicyclic molecules) (Fig. 4) (Hertkorn et al., 2006) which are considered exemplary microbial products (Bianchi, 2011). A minor suite of common CHOS organic matter molecules of near average H/C and O/C ratio was also produced.

Grästjärn showed related but less specific trends: two groups of oxygen-deficient lipid-like CHOS 370 compounds with variable extent of unsaturation (H/C ratio ~ 1.5 ; O/C ratio < 1.1) and an extended 371 group of unsaturated CHNO compounds had appeared following incubation. A small proportion of 372 supposedly aromatic CHOS compounds (H/C ratio < 1.1; O/C ratio < 0.2) was observed in 373 374 Grästjärn after incubation (Fig. 4). Analogous CHOS compounds have also been found in SPE-DOM samples from Amazonian rivers and German mine pit lake pore water (Gonsior et al., 2016; 375 Herzsprung et al., 2010). These compounds could result from sulfurization of aromatic CHO 376 compounds by biological sulfide production under anoxic conditions (Shakeri Yekta et al., 2012). 377

Bisen exhibited the most unequivocal chemical evolution of sediment organic matter. Here, an extended set of CHO- and CHNO-based CRAM had been generated (although less distinct than in Svarttjärn), and a particular set of unsaturated sulfolipid-like compounds. Most important, however, was a very specific array of rather unsaturated (H/C ratio < 1) lignin- and tannin-like CHO compounds which was found more abundant in Bisen after incubation. Another, peculiar set of unsaturated CHNO compounds (H/C ratio < 1.1; mass range m/z ~ 300-500; Fig. 4) with unclear attribution at present deserves further observance.

It is noteworthy that both Grästjärn and Bisen showed substantial depletion of oxygen after
 incubation, which was almost exclusively restricted to their CHOS compounds. This most likely
 indicates reduction of sulfur in CHOS compounds, probably originating from sulfate reduction
 (Table S4).

389 Compounds found more abundant after incubation may represent molecules synthesized during390 incubation or, alternatively, compounds being less efficiently degraded during incubation. In case of

Bisen, general considerations about relative persistence of lake organic matter compound classes 391 392 (Kellerman et al., 2015; Mahmoudi et al., 2017) suggest that the lignin- and tannin-like CHO compounds found at elevated relative abundance after incubation represent less efficiently degraded 393 394 compounds under anoxic conditions (Seidel et al., 2014) which were already present in original lake Bisen sediments (leftovers of incubation) rather than newly synthesized ones. Tannin-like compounds 395 have been found characteristic of forest soil leachates (Roth et al., 2014), and the evolution of SPE-396 DOM conforms to the land coverage present in Bisen catchment, which shows ~84% of coniferous 397 and mixed forest. 398 399 400

401 3.4. Average oxidation state of carbon in CHO compounds during incubation

402 An alternative projection of the CHO-compositional space is the depiction of the average oxidation state (OS av) according to OS av = $2 \times O/C$ - H/C (Kroll et al., 2011) which has been computed for 403 individual CHO compounds as well as for the consolidated MS-derived intensity weighted average of 404 405 all detected CHO compounds, before and after incubation (Fig. 5). Alternative indices like NOSC (nominal oxidation state of carbon; LaRowe and Van Cappellen, 2011) were not considered because 406 these would have required severe estimates about (average) oxidation states of the heteroatoms sulfur 407 and nitrogen, respectively, which are not warranted at present. Here, these OS_av values have been 408 sorted in ranks of 0.1 width. All organic matter molecules displayed average oxidation states between 409 -1.8 and +1.3, i. e. a range smaller than that between the endmembers CH_4 (OS av = -4) and CO_2 410 $(OS_av = +4)$. In addition, the difference "original minus incubated" was shown to demonstrate 411 412 relative losses and gains of compounds according to redox status. Remarkably, OS av of the consolidated complement of all CHO compounds became more reduced after incubation (change 413 towards more negative values in the order Svarttjärn > Grästjärn > Bisen; Fig. 5). The apparent 414 reduction of the residual organic matter was most probably an indirect consequence of the loss of (the 415 416 highly oxidized molecules) H₂O (in case of exclusive methanogenesis, H₂O would be taken up instead of being released) and CO₂ during incubation. Interestingly, oxidized CHO molecules, which 417 418 contained several oxygen-containing functional groups, became preferentially depleted after anoxic 419 incubation. This behavior resembles that of aerobic processing of organic matter in a karst 420 environment in which some reduced organic carbon compounds with relatively small O/C and high H/C ratio were formed, although the bulk of the organic matter became oxidized (Einsiedl et al., 421 2007). Remarkably, in addition to high-energy substrates of considerable saturation (OS av ~ -1.7 -422 1.1; possibly bioavailable lipids), molecules with elevated OS_av (OS_av $\sim -0 - 1.2$; organic acids) 423 were also preferentially depleted. Such molecules will necessarily contain several oxygen-containing 424 functional groups, making those more polar and reactive than common aliphatic compounds (Burdige, 425 2007). 426

427 Microbial redox reactions rely on transfer of electrons from electron donor substrates to terminal
428 electron acceptors and must be thermodynamically favorable (LaRowe and Van Cappellen, 2011;

429 Thullner et al., 2007). Reduced carbon in the form of organic matter is often the ultimate source of electrons in sediments, and environmental redox conditions progressively change during degradation 430 or incubation. The bioenergetic potential of organic matter and of its individual molecular constituents 431 is related to its oxidation state as expressed by OS_av. The Gibbs ΔG_{ox} energy for oxidation half 432 reactions of organic molecules depends on their molecular composition and structures, and is 433 commonly inversely related with the oxidation state, even when ΔG_{ox} is normalized to the number of 434 electrons released (LaRowe and Van Cappellen, 2011). Hence, the removal of electrons from an 435 organic compound becomes thermodynamically more favorable with increasing OS_av (Kroll et al., 436 2011; LaRowe and Van Cappellen, 2011). In Svarttjärn and Grästjärn, potentially easily bioavailable 437 lipid-like compounds as well as oxygenated compounds were preferentially depleted during 438 incubation (cf. above; Fig. 5) whereas Bisen showed similar trends with however lesser distinction. 439 440 These underlying fundamental trends were not disclosed by the differential mass analysis shown above (sections 3.2 and 3.3), which had revealed lake-specific organic matter transformations based 441 on relative mass peak amplitude differences exceeding a certain threshold (e.g. for CHO compounds; 442 Fig. 4). It is therefore highly probable that a directional redox evolution of organic matter during 443 444 incubation as expressed by OS av will apply to fundamentally different chemical structures which then may occupy diverging sections within van Krevelen and mass-edited H/C ratio diagrams. 445 Notably, compounds with similar OS av as found in fulvic acids (OS av ~ -0.52; Kroll et al., 2011; 446 LaRowe and Van Cappellen, 2011) had grown in abundance after incubation (Fig. 5). Fulvic acids 447 448 represent the highly processed, water soluble complement of humic substances (Hertkorn et al., 2002), It appears that the extent of relative changes progressively decreased with ever increasing lake size 449 450 and water residence time. Here, the increase of molecular diversity in pore water DOM (Seidel et al., 2014) could be explained due to the accumulation of non-labile DOM in the sediments, when 451 452 compared to the water column (Kellerman et al., 2014).

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454 **4.** Conclusion

455 This study revealed rather non-selective large scale transformations of organic matter during incubation and lake-specific, extensive molecular level alterations during anaerobic degradation of 456 sediment pore water DOM obtained from boreal lakes. A dependable assessment of the general and 457 the lake-specific, complex chemical transformations happening during anaerobic DOM processing 458 459 required mass spectrometry-based assignment of molecular compositions, computation of the average carbon oxidation state in CHO molecules, averaging across replicates, consideration of gain and loss 460 of relative mass peak amplitude before and after incubation, and depiction of individual CHO, CHOS 461 and CHNO molecular series. Here, such assessments revealed that anaerobic processing and 462 transformation of pore water DOM in sediments was much more extensive than revealed just from 463 formation of the degradation end-products CO₂ and CH₄. Molecular evidence for the gradual 464 transformation from clearly reduced and oxidized DOM (fatty acid like and carboxylic rich DOM, 465 466 respectively) to fulvic acid and tannin like DOM with intermediate oxidation state was obtained. There were also clear signs of increasing the relative abundance of nitrogen-containing DOM of likely 467 microbial origin. This study highlights the importance to link biogeochemical process studies with 468 studies of molecular composition using high resolution non-target approaches. Further studies could 469 470 clarify effects of study design on DOM processing in natural and artificial systems.

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473 Author contributions

J.V. collected samples from Swedish lakes in August 2012; D.B. generated funding in support of this
research. J.V. performed gas evolution measurements at the facilities of R.C. A.P., D.B. and R.C.
contributed to the study design. J.V., M.G. and M.H. performed the FTICR/MS acquisition, data
analyses and participated in data interpretation. P.S.-K. provided support for the FTICR/MS analyses
and general data interpretations. Data interpretation was performed by all authors. J.V., M.H., M.G.,
D.B., and N.H. actively participated in the writing of the manuscript; all authors provided significant
input on the final manuscript.

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Extensive processing of sediment pore water dissolved organic matter during anaerobic incubation as observed by high-field mass spectrometry (FT-ICR-MS)

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Tables and Captions

Table 1. GPS coordinates, physico-chemical parameters from water column and sediments, and greenhouse gases production rates (\pm SE) from three Swedish lakes studied.

| item | Svarttjärn | Grästjärn | Bisen |
|---|----------------|------------------|------------------|
| position | 59°53'26.50" N | 59°53'23.30" N | 59°57'20.60" N |
| position | 15°15'27.00" E | 15°21'17.50" E | 15°21'38.00" E |
| area [km ²] | 0.007 | 0.09 | 0.43 |
| maximum depth [m] | 7 | 8 | 17 |
| pH | 4.8 | 4.9 | 6.1 |
| DOC lake water [mg l ⁻¹] | 25.7 | 17.4 | 7.3 |
| lake water total phosphorus TP $[\mu g l^{-1}]$ | 15.1 | 8.7 | 6.5 |
| lake water total nitrogen TN [µg l ⁻¹] | 502 | 335 | 281 |
| sedimentary organic carbon [%] | 8.5 | 17.4 | 13.0 |
| sedimentary organic nitrogen [%] | 0.4 | 1.1 | 0.9 |
| CH_4 evolution [nmol g dw ⁻¹ d ⁻¹] | 11.8 ± 2.6 | 8.9 ± 1.5 | 5.9 ± 1.4 |
| CO_2 evolution [nmol g dw ⁻¹ d ⁻¹] | 77.6 ± 75.9 | 113.5 ± 11.6 | 107.2 ± 19.5 |
| depth / temperature | 6.5 m / 5.3°C | 4.5 m / 10.9°C | 13 m / 6.8°C |
| O ₂ saturation % | 1.2 | 7.6 | 3.6 |
| mg/L oxygen | 0.15 | 0.82 | 0.42 |

Table 2. Counts of common mass peaks between five replicates of sediment pore water SPE-DOM in

 the three studied lakes (Svarttjärn, Grästjärn, and Bisen) before and after incubation as computed from

 negative electrospray (ESI) 12 T FTICR mass spectra for singly charged ions.

| members of molecular series | Svärtjärn original | Svärtjärn incubated | Grästjärn original | Grästjärn incubated | Bisen original | Bisen incubated |
|--|-----------------------|------------------------|-----------------------|------------------------|-------------------|--------------------|
| consolidated count of assigned mass peaks detected in any of the five replicates | 6555 | 6695 | 4821 | 4898 | 6401 | 7090 |
| total count of assigned mass peaks common to all five replicates | 4571 | 4203 | 3316 | 3018 | 4473 | 4592 |
| CHO compounds | 3100 (68%) | 2693 (64%) | 2299 (69%) | 2083 (69%) | 2919 (65%) | 2900 (63%) |
| CHOS compounds | 686 (15%) | 614 (15%) | 352 (11%) | 240 (8%) | 420 (9%) | 418 (9%) |
| CHNO compounds | 784 (17%) | 895 (21%) | 664 (20%) | 695 (23%) | 1132 (25%) | 1263 (28%) |
| CHNOS compounds | 1 (0.02%) | 1 (0.02%) | 1 (0.03%) | 0 (0%) | 2 (0.04%) | 11 (0.24%) |
| proportion of formulas shared in all five replicates | 70% | 63% | 69% | 62% | 70% | 65% |
| average H [%] | 43.7 | 43.9 | 45,1 | 47.6 | 40.2 | 42.2 |
| average C [%] | 37.7 | 37.8 | 37.3 | 37.1 | 37.9 | 37.7 |
| average O [%] | 18.3 | 17.9 | 17.2 | 14.6 | 21.5 | 19.5 |
| average N [%] | 0.1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |
| average S [%] | 0.2 | 0.2 | 0.2 | 0.5 | 0.1 | 0.3 |
| computed average H/C ratio | 1.16 | 1.16 | 1.21 | 1.28 | 1.06 | 1.12 |
| computed average O/C ratio | 0.49 | 0.47 | 0.46 | 0.39 | 0.57 | 0.52 |
| computed average C/N ratio | 292 | 188 | 187 | 182 | 174 | 169 |
| computed average C/S ratio | 165 | 166 | 169 | 68 | 448 | 113 |
| average carbon oxidation state of CHO compounds | -0.183 | -0.214 | -0.215 | -0.219 | 0.059 | -0.056 |
| average DBE | 9.0 | 8.8 | 8.1 | 7.4 | 9.8 | 9.2 |
| average DBE/C | 0.5 | 0.5 | 0.5 | 0.4 | 0.5 | 0.5 |
| mass weighted average [Da] | 400.3 | 388.6 | 369.7 | 358.3 | 412.9 | 399.7 |

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Figures and Captions



Figure 1. Maps of sampling areas.



Figure 2. CH_4 and CO_2 concentrations during the anaerobic incubation experiment. Values shown are average from five replicates and error bars represent standard error. Gray shaded values denote fraction of carbon evolved after 40 days of incubation in the form of CO_2 and CH_4 with respect to organic carbon present in dry sediment after incubation.



Figure 3. FTMS-derived van Krevelen diagrams of common molecular compositions observed in all five replicates of original and incubated sediment pore water SPE-DOM from boreal lakes (A) Svattjärn, (B) Grästjärn and (C) Bisen, provided with total counts of molecular compositions (overlay) and color-coded according to CHO (blue), CHOS (green), CHNO (orange), and CHNOS (red) molecular series. Panel a: all compounds observed in the original sample; panel b: all compounds observed in the incubated sample; panel c: compounds declining in abundance during the incubation (presumably consumed compounds); panel d: compounds increasing in abundance during the incubation (presumably produced compounds). Van Krevelen diagrams derived from individual SPE-DOM samples (Figures S5 and S6) show individual characteristics and variance, resulting in larger counts and nominal chemical diversity of observed molecular compositions (Table 2), thereby obscuring common trends of SPE-DOM evolution associated with incubation.



Figure 4. FTMS derived van Krevelen diagrams of common molecular compositions observed in all five replicates of original and incubated sediment pore water SPE-DOM from boreal lakes (A) Svattjärn, (B) Grästjärn and (3) Bisen, provided with counts of molecular compositions and color-coded according to CHO (blue), CHOS (green), CHNO (orange), and CHNOS (red) individual molecular series. Panel a: all compounds observed in the original sample; panel b: all compounds observed in the incubated sample; panel c: compounds declining in abundance during the incubation (presumably consumed compounds); panel d: compounds increasing in abundance during the incubation (presumably produced compounds). Van Krevelen diagrams derived from individual SPE-DOM samples (Figure S6) show individual characteristics and variance (Tables S1 and S2), resulting in larger counts and nominal chemical diversity of observed molecular compositions (Table 2), thereby obscuring common trends of SPE-DOM evolution associated with incubation. Attribution of key structures (purple, italics): a: aliphatic CHO-lipid like compounds; b: aliphatic CHOS lipid-like compounds; c: highly oxygenated, tannin-like compounds; d: carboxylic-rich alicyclic molecules (CRAM; Hertkorn et al., 2006); e: common CHOS organic matter; f: rather unsaturated and oxygenated CHNO compounds of yet unclear provenance; g: unsaturated CHO-lipids; h: unsaturated CHOS lipids; i: aromatic CHOS compounds; j: carboxylic-rich alicyclic molecules (CRAM; Hertkorn et al., 2006); k: lignin- and tannin-like compounds; l: unsaturated CHOS lipid-like compounds; m: common CHNO organic matter; n: nitrogen-containing lignin- and tannin-like compounds. The aromaticity index AI (Koch and Dittmar, 2006) provided (purple triangles) denotes presence of aromatic compounds.



Figure 5. Distribution of average carbon oxidation state OS_av in CHO compounds only, sorted in increments of ± 0.1 of nominal carbon oxidation state (Kroll et al., 2011) in three lake sediments before and after incubation; color code: red, original SPE-DOM; blue: SPE-DOM after incubation; green: difference in counts: original minus incubated; yellow-green: compounds decreased during incubation; gray: compounds increased after incubation. It appears that the extent of relative changes progressively decreases with ever increasing lake size and water residence time. At the bottom, several reference compounds (common metabolites) are indicated: PalA, palmitic acid C₁₆H₃₂O₂, OS_av = -1.75 (lipid); linoleic acid, C₁₈H₃₂O₂, OS_av = -1.55 (lipid); FA, fulvic acid, OS_av = -0.52 (Le Rowe et al., 2011); SyrA, syringic acid, C₉H₁₀O₂, OS_av = 0 (aromatic acid); HOAc, acetic acid, C₂H₄O₂, OS_av = 0 (aliphatic acid); glucose, C₆H₁₂O₆, OS_av = 0 (carbohydrate); CitA, citric acid, C₆H₈O₇, OS_av = 1.167 (oxygenated aliphatic acid).

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Highlights

DOM processing by an aerobic incubation greatly exceeds production of greenhouse gases CO_2 and CH_4

DOM after incubation may represent microbial metabolites and recalcitrant original DOM

Lake-specific DOM processing was observed