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3 Abstract: The recent advent of methods for high-throughput single-cell molecular 4 profiling has catalyzed a growing sense in the scientific community that the time is 5 ripe to complete the 150-year-old effort to identify all cell types in the human body. 6 The Human Cell Atlas Project is an international collaborative effort that aims to 7 define all human cell types in terms of distinctive molecular profiles (such as gene 8 expression profiles) and to connect this information with classical cellular 9 descriptions (such as location and morphology). An open comprehensive reference 10 map of the molecular state of cells in healthy human tissues would propel the 11 systematic study of physiological states, developmental trajectories, regulatory 12 circuitry and interactions of cells, and also provide a framework for understanding 13 cellular dysregulation in human disease. Here we describe the idea, its potential 14 utility, early proofs-of-concept, and some design considerations for the Human Cell 15 Atlas, including a commitment to open data, code, and community.

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151 <L1>Introduction</L1>

The cell is the fundamental unit of living organisms. Hooke reported the discovery of cells in plants in 1665 (Hooke, 1665) and named them for their resemblance to the cells inhabited by monks, but it took nearly two centuries for biologists to appreciate their central role in biology. Between 1838 and 1855, Schleiden, Schwann, Remak, Virchow and others crystalized an elegant Cell Theory (Harris, 2000), stating that all organisms are composed of one or more cells; that cells are the basic unit of structure and function in
life; and that all cells are derived from pre-existing cells (Mazzarello, 1999; Figure 1).

159 To study human biology, we must know our cells. Human physiology emerges 160 from normal cellular functions and intercellular interactions. Human disease entails the 161 disruption of these processes and may involve aberrant cell types and states, as seen in 162 cancer. Genotypes give rise to organismal phenotypes through the intermediate of cells, 163 because cells are the basic functional units, each regulating their own program of gene 164 expression. Therefore, genetic variants that contribute to disease typically manifest their 165 action through impact in a particular cell types: for example, genetic variants in the IL23R 166 locus increase risk of autoimmune diseases by altering the function of dendritic cells and 167 T-cells (Duerr et al., 2006), and DMD mutations cause muscular dystrophy through 168 specific effects in skeletal muscle cells (Murray et al., 1982).

169 For more than 150 years, biologists have sought to characterize and classify cells 170 into distinct types based on increasingly detailed descriptions of their properties, 171 including their shape, their location and relationship to other cells within tissues, their 172 biological function, and, more recently, their molecular components. At every step, efforts 173 to catalog cells have been driven by advances in technology. Improvements in light 174 microscopy were obviously critical. So too was the invention of synthetic dyes by chemists 175 (Nagel, 1981), which biologists rapidly found stained cellular components in different ways 176 (Stahnisch, 2015). In pioneering work beginning in 1887, Santiago Ramón y Cajal applied a 177 remarkable staining process discovered by Camillo Golgi to show that the brain is 178 composed of distinct neuronal cells, rather than a continuous syncytium, with stunningly 179 diverse architectures found in specific anatomical regions (Ramón y Cajal, 1995); the pair 180 shared the 1906 Nobel Prize in Physiology or Medicine for their work.

181 Starting in the 1930s, electron microscopy provided up to 5000-fold higher 182 resolution, making it possible to discover and distinguish cells based on finer structural 183 features. Immunohistochemistry, pioneered in the 1940s (Arthur, 2016) and accelerated by 184 the advent of monoclonal antibodies (Kohler and Milstein, 1975) and Fluorescence-185 Activated Cell Sorting (FACS; Dittrich and Göhde, 1971; Fulwyler, 1965) in the 1970s, made 186 it possible to detect the presence and levels of specific proteins. This revealed that 187 morphologically indistinguishable cells can vary dramatically at the molecular level and 188 led to exceptionally fine classification systems, for example, of hematopoietic cells, based on cell-surface markers. In the 1980s, Fluorescence *in situ* Hybridization (FISH; LangerSafer et al., 1982) enhanced the ability to characterize cells by detecting specific DNA loci
and RNA transcripts. Along the way, studies showed that distinct molecular phenotypes
typically signify distinct functionalities. Through these remarkable efforts, biologists have
achieved an impressive understanding of specific systems, such as the hematopoietic and
immune systems (Chao et al., 2008; Jojic et al., 2013; Kim and Lanier, 2013) or the neurons
in the retina (Sanes and Masland, 2015).

196 Despite this progress, our knowledge of cell types remains incomplete. Moreover, 197 current classifications are based on different criteria, such as morphology, molecules and 198 function, which have not always been related to each other. In addition, molecular 199 classification of cells has largely been ad hoc - based on markers discovered by accident or 200 chosen for convenience - rather than systematic and comprehensive. Even less is known 201 about cell states and their relationships during development: the full lineage tree of cells 202 from the single-cell zygote to the adult is only known for the nematode *C. elegans*, which 203 is transparent and has just ~1000 cells.

At a conceptual level, one challenge is that we lack a rigorous definition of what we mean by the intuitive terms 'cell type' and 'cell state'. Cell type often implies a notion of persistence (*e.g.*, being a hepatic stellate cell or a cerebellar Purkinje cell), while cell state often refers to more transient properties (*e.g.*, being in the G1 phase of the cell cycle or experiencing nutrient deprivation). But, the boundaries between these concepts can be blurred, because cells change over time in ways that are far from fully understood. Ultimately, data-driven approaches will likely refine our concepts.

The desirability of having much deeper knowledge about cells has been well recognized for a long time (Brenner, 2010; Eberwine et al., 1992; Shapiro, 2010; Van Gelder et al., 1990). However, only in the past few years has it begun to seem feasible to undertake the kind of systematic, high-resolution characterization of human cells necessary to create a systematic cell atlas.

The key has been the recent ability to apply genomic profiling approaches to single cells. By 'genomic approaches' we mean methods for large-scale profiling of the genome and its products, including DNA sequence, chromatin architecture, RNA transcripts, proteins, and metabolites (Lander, 1996). It has long been appreciated that such methods provide rich and comprehensive descriptions of biological processes. Historically, however, they could only be applied to bulk tissue samples comprised of an ensemble of
many cells, providing average genomic measures for a sample, but masking their
differences across cells. The result is as unsatisfying as trying to understand New York,
London or Mumbai based on the average properties of their inhabitants.

225 The first single-cell genomic characterization method to become feasible at large-226 scale is trancriptome analysis by single cell RNA-Seq (Box 1; Hashimshony et al., 2012; 227 Jaitin et al., 2014; Picelli et al., 2013; Ramskold et al., 2012; Shalek et al., 2013). Initial efforts 228 first used microarrays and then RNA-seq to profile RNA from small numbers of single 229 cells, which were obtained either by manual picking from in situ fixed tissue, using flow-230 sorting or, later on, with microfluidic devices, adapted from devices developed initially for 231 qPCR-based approaches (Crino et al., 1996; Dalerba et al., 2011; Marcus et al., 2006; 232 Miyashiro et al., 1994; Zhong et al., 2008). Now, massively parallel assays can process tens 233 and hundreds of thousands of single cells simultaneously to measure their transcriptional 234 profiles at rapidly decreasing costs (Klein et al., 2015; Macosko et al., 2015; Shekhar et al., 235 2016) with increasing accuracy and sensitivity (Svensson et al., 2016; Ziegenhain et al., 236 2016). In some cases, it is even possible to register these sorted cells to their spatial 237 positions in images (Vickovic et al., 2016). Single-cell RNA sequencing (scRNA-seq) is 238 rapidly becoming widely disseminated.

239 Following this initial wave of technologies are many additional methods at various 240 stages of development and high-throughput implementation. Techniques are being 241 developed to assay: in situ gene expression in tissues at single-cell and even sub-cellular 242 resolution (Chen et al., 2015b; Ke et al., 2013; Lee et al., 2014; Lubeck et al., 2014; Shah et al., 243 2016; Stahl et al., 2016); the distribution of scores of proteins at cellular or sub-cellular 244 resolution (Angelo et al., 2014; Chen et al., 2015a; Giesen et al., 2014; Hama et al., 2011; 245 Susaki et al., 2014; Yang et al., 2014); various aspects of chromatin state (Buenrostro et al., 246 2015; Cusanovich et al., 2015; Farlik et al., 2015; Guo et al., 2013; Lorthongpanich et al., 2013; 247 Mooijman et al., 2016; Rotem et al., 2015a; Rotem et al., 2015b; Smallwood et al., 2014); and 248 DNA mutations to allow precise reconstruction of cell lineages (Behjati et al., 2014; 249 Biezuner et al., 2016; Shapiro et al., 2013; Taylor et al., 2003; Teixeira et al., 2013). Various 250 groups are also developing single-cell multi-omic methods to simultaneously measure 251 several types of molecular profiles in the same cell (Albayrak et al., 2016; Angermueller et

al., 2016; Behjati et al., 2014; Darmanis et al., 2016; Dey et al., 2015; Frei et al., 2016;
Genshaft et al., 2016; Macaulay et al., 2015).

254 As a result, there is a growing sense in the scientific community that the time is 255 now right for a project to complete the Human Cell Atlas that pioneering histologists 256 began 150 years ago. Various discussions have taken place in a number of settings over the 257 past two years, culminating in an international meeting in London in October 2016. In 258 addition, several pilot efforts are already underway or in planning – for example, related to 259 brain cells and immune cells. Prompted by such efforts, funding agencies, including the 260 NIH, have sought information from the scientific community about the notion of creating 261 cell or tissue atlases.

262 The goal of this article is to engage the wider scientific community in this 263 conversation. Although the timing is driven by technologies that have recently appeared 264 or are expected to mature in the near-future, the project itself is fundamentally an 265 intellectual endeavor. We therefore articulate the concept of a cell atlas and explore its 266 potential utility for biology and medicine. We discuss how an atlas can lead to new 267 understanding of histology, development, physiology, pathology, and intra- and inter-268 cellular regulation, and enhance our ability to predict the impact of perturbations on cells. 269 It will also yield molecular tools with applications in both research and clinical practice.

270As discussed below, a Human Cell Atlas Project would be a shared international271effort involving diverse scientific communities. More details are available in the Human272CellAtlasWhitePaper273(https://www.humancellatlas.org/files/HCA WhitePaper 18Oct2017.pdf): the first version274of this 'living document', which will updated on a regular basis, was released on October27518, 2017.

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277 <L1>What is the Human Cell Atlas, and what could we learn from it?</L1>

At its most basic level, the Human Cell Atlas must include a comprehensive reference catalog of all human cells based on their stable properties and transient features, as well as their locations and abundances. Yet, an atlas is more than just a catalog: it is a *map* that aims to show the *relationships* among its elements. By doing so, it can sometimes reveal fundamental processes – akin to how the atlas of Earth suggested continental drift through the correspondence of coastlines. To be useful, an atlas must also be an abstraction, comprehensively representing certain features, while ignoring others. The writer Jorge Luis Borges – a master at capturing the tension between grandeur and grandiosity – distilled this challenge in his one-paragraph story, "*On Exactitude in Science*", about an empire enamored with science of cartography (Box 2; Borges and Hurley, 2004). Over time, the cartographers' map of the realm grew more and more elaborate, and hence bigger, until – *expandio ad absurdum* – the map reached the size of the entire empire itself and became useless.

Moreover, an atlas must provide a system of coordinates on which one can represent and harmonize concepts at many levels (geopolitical borders, topography, roads, climate, restaurants, and even dynamic traffic patterns). Features can be viewed at any level of magnification, and high-dimensional information collapsed into simpler views.

295 So, a key question is how a Human Cell Atlas should abstract key features, provide 296 coordinates, and show relationships. A natural solution would be to describe each human 297 cell by a defined set of molecular markers. For example, one might describe each cell by 298 the expression level of each of the ~20,000 human protein-coding genes: that is, each cell 299 would be represented as a point in ~20,000-dimensional space. Of course, the set of 300 markers could be expanded to include the expression levels of non-coding genes, the 301 levels of the alternatively spliced forms of each transcript, the chromatin state of every 302 promoter and enhancer, and the levels of each protein or each post-translationally 303 modified form of each protein. The optimal amount and type of information to collect will 304 emerge based on a balance of technological feasibility and the biological insight provided 305 by each layer (Corces et al., 2016; Lorthongpanich et al., 2013; Paul et al., 2015). For specific 306 applications, it will be useful to employ reduced representations. Solely for concreteness, 307 we will largely refer below to the 20,000-dimensional space of gene expression, which can 308 already be assayed at high-throughput.

The Atlas should have additional coordinates or annotations to represent histological and anatomical information (*e.g.*, a cell's location, morphology, or tissue context), temporal information (*e.g.*, the age of the individual or time since an exposure), and disease status. Such information is essential for harmonizing results based on molecular profiles with rich knowledge about cell biology, histology and function. How best to capture and represent this information requires serious attention. 315 In some respects, the Human Cell Atlas Project (whose fundamental unit is a cell) 316 is analogous to the Human Genome Project (whose fundamental unit is a gene). Both are 317 ambitious efforts to create 'Periodic Tables' for biology that comprehensively enumerate 318 the two key 'atomic' units that underlie human life (cells and genes) and thereby provide a 319 crucial foundation for biological research and medical application. As with the Human 320 Genome Project, we will also need corresponding atlases for important model organisms, 321 where conserved cell states can be identified and genetic manipulations and other 322 approaches can be used to probe function and lineage. Yet, the Human Cell Atlas differs in 323 important ways from the Human Genome Project: the nature of cell biology means that it 324 will require a distinct experimental toolbox, and will involve making choices concerning 325 molecular and cellular descriptors. Assessing the distance to completion will also be a 326 challenge.

As a Borgesian thought experiment, we could conceive of an imaginary Ultimate Human Cell Atlas that represents: all markers in every cell in a person's body; every cell's spatial position (by adding three dimensions for the body axes); every cell at every moment of a person's lifetime (by adding another dimension for time relating the cells by a lineage); and the superimposition of such cell atlases from every human being, annotated according to differences in health, genotype, lifestyle and environmental exposure.

Of course, it is not possible to construct such an Ultimate Atlas. However, it is increasingly feasible to sample richly from the distribution of points to understand the key features and relationships among all human cells. We return below to the question of how the scientific community might go about creating a Human Cell Atlas. First, we consider the central scientific question: What could we hope to learn from such an atlas?

A Human Cell Atlas would have a profound impact on biology and medicine by bringing our understanding of anatomy, development, physiology, pathology, intracellular regulation, and intercellular communication to a new level of resolution. It would also provide invaluable markers, signatures and tools for basic research (facilitating detection, purification and genetic manipulation of every cell type) and clinical applications (including diagnosis, prognosis and monitoring response to therapy).

345 In the following sections, we outline reasonable expectations and describe some 346 early examples. We recognize that these concepts will evolve based on emerging data. It is clear that a Human Cell Atlas Project will require and will motivate the development of
new technologies. It will also necessitate the creation of new mathematical frameworks
and computational approaches that may have applications far beyond biology – perhaps
analogous to how biological 'big data' in agriculture in the 1920s led to the creation, by
R.A. Fisher and others, of key statistical methods, including the analysis of variance and
experimental design (Parolini, 2015).

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354 <L1>Taxonomy: cell types</L1>

355 The most fundamental level of analysis is the identification of cell types. In an atlas 356 where cells are represented as points in a high-dimensional space, 'similar' cells should be 357 'close' in some appropriate sense, although not identical, owing to differences in 358 physiological states (e.g., cell-cycle stage), the inherent noise in molecular systems (Eldar 359 and Elowitz, 2010; Kharchenko et al., 2014; Kim et al., 2015; Shalek et al., 2013), and 360 measurement errors (Buettner et al., 2015; Kharchenko et al., 2014; Kim et al., 2015; Shalek 361 et al., 2013; Shalek et al., 2014; Wagner et al., 2016). Thus, a cell 'type' might be defined as a 362 region or a probability distribution (Kim and Eberwine, 2010; Sul et al., 2012) either in the 363 full-dimensional space or in a projection onto a lower-dimensional space that reflects 364 salient features.

365 While this notion is intuitively compelling, it is challenging to give a precise 366 definition of a 'cell type'. Cell-type taxonomies are often represented as hierarchies based 367 on morphological, physiological, and molecular differences (Sanes and Masland, 2015). 368 Whereas higher distinctions are easily agreed upon, finer ones may be less obvious and 369 may not obey a strict hierarchy, either because distinct types share features, or because 370 some distinctions are graded and not discrete. Critically, it remains unclear whether 371 distinctions based on morphological, molecular and physiological properties agree with 372 each other. New computational methods will be required both to discover types and to 373 better classify cells and, ultimately, to refine the concepts themselves (Grun and van 374 Oudenaarden, 2015; Shapiro et al., 2013; Stegle et al., 2015; Tanay and Regev, 2017; Wagner 375 et al., 2016). Unsupervised clustering algorithms for high-dimensional data provide an 376 initial framework (Grun et al., 2015; Grun et al., 2016; Jaitin et al., 2014; Levine et al., 2015; 377 Macosko et al., 2015; Shekhar et al., 2016; Vallejos et al., 2015), but substantial advances will 378 be needed in order to select the 'right' features, the 'right' similarity metric, and the 'right'

level of granularity for the question at hand, control for distinct biological processes,

380 handle technical noise, and connect novel clusters with legacy knowledge. Whereas cell

381 types are initially defined based on regions in feature space, it will be important eventually

to distill them into simpler molecular and morphological signatures that can be used to
index cells in the atlas, aggregate and compare results from independent labs and different
individuals, and create tools and reagents for validation and follow up studies.

For all the reasons above, we have not attempted to propose a precise definition of'cell type'. Rather, the definition should evolve based on empirical observation.

387 Despite these challenges, recent studies in diverse organs – including immune, 388 nervous, and epithelial tissues – support the prospects for comprehensive discovery of cell 389 types, as well as harmonization of genomic, morphological, and functional classifications 390 (Figure 2A-C). For example, analysis of immune cells from mouse spleen (Jaitin et al., 391 2014) and human blood (Horowitz et al., 2013) showed that well-established functional 392 immune cell types and subtypes could be readily distinguished by unsupervised clustering 393 of single-cell expression profiles. Similarly, single-cell expression profiles of epithelial cells 394 from gut organoids (Grun et al., 2015) distinguished known cell subtypes, each with 395 distinctive functional and histological characteristics, while also revealing a new subtype 396 of enteroendocrine cells, which was subsequently validated experimentally.

397 The nervous system, where many cell types have not yet been characterized by any 398 means, illustrates both the promise and the challenge. Whereas each of the 302 individual 399 neurons in *C. elegans* can be distinctly defined by its lineage, position, connectivity, 400 molecular profile and functions, the extent to which the $\sim 10^{11}$ neurons in the human brain 401 are distinctly defined by morphological, physiological, lineage, connectivity, and 402 electrical-activity criteria, and have distinct molecular profiles, remains unknown. 403 Cellular neuroanatomy is deeply rooted in the concept of cell types defined by their 404 morphologies (a proxy for connectivity) and electrophysiological properties (Ascoli et al., 405 2008), and extensive efforts continue to classify the types in complicated structures like 406 the retina and neocortex (Jiang et al., 2015; Markram et al., 2015; Sanes and Masland, 2015). 407 Critically, it remains unclear whether distinctions based on morphological, connectional, 408 and physiological properties agree with their molecular properties. 409 The mouse retina provides an ideal testing ground to test this correspondence

410 because cell types follow highly stereotyped spatial patterns (Macosko et al., 2015; Sanes

411 and Masland, 2015). Analysis of 31,000 retinal bipolar cells (Shekhar et al., 2016) 412 automatically re-discovered the 13 subtypes that had been defined over the past quarter-413 century based on morphology and lamination, while also revealing two new subtypes with 414 distinct morphological and laminar characteristics. These subtypes included one with a 415 'bipolar' expression pattern and developmental history, but a unipolar morphology in the 416 adult (Shekhar et al., 2016), which has distinct functional characteristics in the neural 417 circuits of the retina (Della Santina et al., 2016). In this example, known morphological 418 and other non-molecular classifications matched perfectly to molecular types, and new 419 molecularly-defined cell types discovered in the single-cell transcriptomic analysis 420 corresponded to unique new morphology and histology. In other complex brain regions 421 such as the neocortex and hippocampus there are also a large number of transcriptionally 422 defined types (Darmanis et al., 2015; Gokce et al., 2016; Habib et al., 2016a; Lake et al., 2016; 423 Pollen et al., 2014; Tasic et al., 2016; Zeisel et al., 2015), but it has been more difficult to find 424 consensus between data modalities, and the relationship between transcriptomic types 425 and anatomical or morphological types is unclear. In this light, technologies that can 426 directly measure multiple cellular phenotypes are essential. For example, 427 electrophysiological measurements with patch clamping followed by scRNA-seq used in a 428 recent study of a particular inhibitory cortical cell type showed that the transcriptome 429 correlated strongly with the cell's physiological state (Cadwell et al., 2016; Foldy et al., 430 2016). Thus, the transcriptome appears to provide a proxy for other neuronal properties, 431 but much more investigation is needed.

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433 <L1>Histology: Cell neighborhood and position</L1>

434 Histology examines the spatial position of cells and molecules within tissues. Over 435 the past century, we have learnt a great deal about cell types, markers, and tissue 436 architecture, and this body of knowledge will need to be further refined and woven 437 seamlessly into the Human Cell Atlas. With emerging highly multiplexed methods for in 438 situ hybridization (Chen et al., 2015b; Shah et al., 2016) or protein staining (Angelo et al., 439 2014; Giesen et al., 2014), it should be possible to spatially map multiple cell types at once 440 based on expression signatures to see how they relate to each other and to connect them 441 with cell types defined by morphology or function. It should also be possible to extend observations of continuous gradients for individual genes (such as morphogens) to multi-gene signatures.

444 Computational approaches could then allow iterative refinement of cellular 445 characterization based on both a cell's molecular profile and information about its 446 neighborhood; methods perfected in the analysis of networks could provide a helpful 447 starting point (Blondel et al., 2008; Rosvall and Bergstrom, 2008). Conversely, expression 448 data from a cell can help map its position in absolute coordinates or relative terms, as well 449 as in the context of pathology, highlighting how disease tissue differs from typical healthy 450 tissue. Combining molecular profiles with tissue architecture will require new 451 computational methods, drawing perhaps on advances in machine vision (Xu et al., 2015; 452 Zheng et al., 2015).

453 New methods for integrating single-cell genomics data into a spatial context have 454 been developed recently. Single-cell analyses of tissues from early embryos (Satija et al., 455 2015; Scialdone et al., 2016) to adult (Achim et al., 2015) demonstrate how physical 456 locations can be imprinted in transcriptional profiles (Durruthy-Durruthy et al., 2014) and 457 can be used to infer tissue organization (Figure 2D). In the early zebrafish embryo, for 458 example, a cell's expression profile specifies its location to within a small neighborhood of 459 ~100 cells; the related expression patterns of individual genes in turn fall into only nine 460 spatial archetypes (Satija et al., 2015). In the early mouse embryo, key spatial gradients can 461 be recovered by a 'pseudospace' inferred from reduced dimensions of single cell profiles 462 (Scialdone et al., 2016). In adult mouse hippocampus, cell profiles show clear clusters 463 corresponding to discrete functional regions as well as gradients following dorsal/ventral 464 and medial/lateral axes (Habib et al., 2016a). In the annelid brain, even finer punctate 465 spatial patterns can be resolved (Achim et al., 2015).

466

467 <L1>Development: transitions to differentiated cell types</L1>

468 Cells arrive at their final differentiated cell types through partly asynchronous
469 branching pathways of development (Ferrell, 2012), which are driven by and reflected in
470 molecular changes, especially gene-expression patterns (see, for example, Chao et al.,
471 2008; Jojic et al., 2013). It should therefore be possible to reconstruct development as
472 trajectories in high-dimensional space, mirroring Waddington's landscape (Ferrell, 2012;
473 Waddington, 1957) – just as it would be possible to infer the ski lifts and trails on a

474 mountain from snapshots of the positions of enough skiers. One can even infer sharp 475 transitions, provided enough cells are observed. The required sampling density will 476 depend on the number and complexity of paths and intersections, and sorting strategies 477 can help to iteratively enrich for rare, transient populations. Notably, the relative 478 proportions of cells observed at different points along the developmental paths can help 479 convey critical information, both about the duration of each phase (Antebi et al., 2013; 480 Kafri et al., 2013) and the balance of how progenitor cells are allocated among fates 481 (Antebi et al., 2013; Lönnberg et al., 2017; Moris et al., 2016), especially when information 482 about the rate of cell proliferation and/or death can be incorporated as inferred from the 483 profiles.

484 In animal models, it should be possible to create true lineage trees by marking a 485 common progenitor cell type. For example, one might use synthetic circuits that introduce 486 a molecular barcode only in cells expressing an RNA pattern characteristic of the cell type 487 in order to recognize its descendants (Gagliani et al., 2015; McKenna et al., 2016). In 488 humans, immune cells naturally contain lineage barcodes through VDJ recombination in 489 T and B cells and somatic hypermutation in B cells (Stubbington et al., 2016). More 490 generally, it may be feasible to accomplish lineage tracing in human cells by taking 491 advantage of the steady accumulation of DNA changes (such as somatic point mutations, 492 or repeat expansions at microsatellite loci) at each cell division (Behjati et al., 2014; 493 Biezuner et al., 2016; Martincorena et al., 2015; Reizel et al., 2012; Shlush et al., 2012) or as a 494 molecular clock (Taylor et al., 2003; Teixeira et al., 2013).

495 Initial computational methods have already been developed for inferring dynamic 496 trajectories from large numbers of single-cell profiles, although better algorithms are still 497 needed. Critical challenges include accurately inferring branching structures, where two 498 or more paths diverge from a single point; reconstructing 'fast' transitions, where only few 499 cells can be captured; and accounting for the fact that a cell may be following multiple 500 dynamic paths simultaneously – for example, differentiation, the cell cycle, and pathogen 501 response (see below) - that may affect each other. The reconstruction algorithms 502 themselves could incorporate insights from theoretical studies of dynamical systems, and 503 learned models could be analyzed in light of such frameworks (Ferrell, 2012; May, 1976; 504 Thom, 1989).

505 Recent studies provide proofs-of-principle for how simultaneous and orthogonal 506 biological processes can be inferred from single-cell RNA-seq data (Figure 3; Angerer et 507 al., 2016; Bendall et al., 2014; Chen et al., 2016b; Haghverdi et al., 2015; Haghverdi et al., 508 2016; Lönnberg et al., 2017; Marco et al., 2014; Moignard et al., 2015; Setty et al., 2016; 509 Trapnell et al., 2014; Treutlein et al., 2016). Linear developmental trajectories have been 510 reconstructed, for example, from single-cell protein expression during B-cell 511 differentiation (Bendall et al., 2014), and from single-cell RNA expression during 512 myogenesis in vitro (Trapnell et al., 2014), early hematopoiesis (Nestorowa et al., 2016), 513 neurogenesis in vivo (Habib et al., 2016a; Shin et al., 2015), and reprogramming from 514 fibroblasts to neurons (Treutlein et al., 2016). With a large enough number of cells, 515 analysis of B-cell development was able to highlight a rare (0.007%) population 516 corresponding to the earliest B-cell lymphocytes and confirm the identification by 517 reference to rearrangements at the IgH locus. In direct reprogramming to neurons, 518 scRNA-seq revealed unexpected trajectories (Treutlein et al., 2016). Bifurcated trajectories 519 have also been reconstructed in the differentiation of embryonic stem cells, T helper cells, 520 and hematopoietic cells (Chen et al., 2016b; Haghverdi et al., 2015; Haghverdi et al., 2016; 521 Lönnberg et al., 2017; Marco et al., 2014; Moignard et al., 2015; Setty et al., 2016), and have 522 helped address open questions about whether myeloid progenitor cells in bone marrow 523 are already skewed towards distinct fates (Olsson et al., 2016; Paul et al., 2015) and when T 524 helper cell commit to their fate (Lönnberg et al., 2017).

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- 526

<L1>Physiology and homeostasis: cycles, transient responses and plastic states</L1>

527 In addition to development and differentiation, cells are constantly undergoing 528 multiple dynamic processes of physiological change and homeostatic regulation (Yosef 529 and Regey, 2011, 2016). These include *cyclical processes*, such as the cell cycle and circadian 530 rhythms; transient responses to diverse factors, from nutrients and microbes to 531 mechanical forces and tissue damage; and *plastic states* that can be stably maintained over 532 longer time scales, but can change in response to new environmental cues. (The precise 533 boundary between plastic states and cell types, it must be noted, remains to be clarified.) 534 The molecular phenotype of a cell reflects a superposition of these various processes and 535 their interactions (Wagner et al., 2016).

536 Studies of physiological processes from bulk tissue samples are hampered by 537 asynchrony and heterogeneity among cells, which blur the signals of individual processes 538 and states; investigators strive to create homogeneous cell populations through 539 synchronization and purification. By contrast, single-cell analysis exploits asynchrony and 540 heterogeneity, leveraging variation within a cell population to reveal underlying 541 structures. The difference is analogous to two approaches in structural biology: X-ray 542 crystallography, which requires molecules to be in a crystalline order, and cryo-electron 543 microscopy, which depends on observing large numbers of molecules in randomly 544 sampled poses.

545 From asynchronous observations of cyclical and transient processes, it should be possible to 'order' cells with respect to the process (as for development), with cell 546 547 proportions reflecting residence time (*e.g.*, the length of a phase of the cell cycle). As was 548 initially shown for single-cell measurement of a few features of the cell cycle (Kafri et al., 549 2013), analysis of many systems could yield a near-continuous model of the process, 550 provided that a sufficient number of cells is sampled. This can occur either because all 551 phases co-occur (*e.g.*, in asynchronously cycling cells) or because enough time points are 552 sampled to span the full process. If very rapid and dramatic discontinuities exist, 553 recovering them would likely require direct tracing, for example by genetic tracers or live 554 analysis in cell cultures, organoids, or animal models.

555 Once the cells are ordered, one can derive gene-signatures that reflect each phase 556 and use them to further sharpen and refine the model. With sufficient data, it should also 557 be possible to tease apart interactions among processes occurring in parallel (such as the 558 cell cycle, response to a pathogen, and differentiation). For plastic states, it may be 559 possible to capture transient transitions between them, especially if they can be enriched 560 by appropriate physiological cues. Finally, we will likely learn about the nature of stable 561 states: while we often think of stable states as discrete attractor basins (Waddington, 562 1957), there may also be troughs that reflect a continuous spectrum of stable states (e.g., 563 the ratio of two processes may vary across cells, but are stable in each; Antebi et al., 2013; 564 Gaublomme et al., 2015; Huang, 2012, 2013; Rebhahn et al., 2014; Zhou et al., 2012; Zhou et 565 al., 2016). Some key aspects of processes may be difficult to uncover solely from 566 observations of transitions among molecular states, and will likely require directed 567 perturbations and detailed mechanistic studies.

568 Recent studies have shown that cyclical processes and transient responses – from 569 the cell cycle (Buettner et al., 2015; Gut et al., 2015; Kafri et al., 2013; Kowalczyk et al., 2015; 570 Macosko et al., 2015; Proserpio et al., 2016; Tirosh et al., 2016a) to the response of immune 571 cells to pathogen components (Avraham et al., 2015; Shalek et al., 2013; Shalek et al., 2014) 572 - can be traced in single-cell profiles. It is possible to order the cells temporally, define 573 coordinately expressed genes with high precision, identify the time scale of distinct 574 phases, and relate these findings to orthogonal measures (Figure 4). For example, in the 575 cell cycle, analysis of single-cell profiles readily shows a robust, reproducible and 576 evolutionarily conserved program that can be resolved in a near-continuous way across 577 human and mouse cell lines (Macosko et al., 2015), primary immune cells (Buettner et al., 578 2015; Kowalczyk et al., 2015), and healthy and disease tissues (Patel et al., 2014; Tirosh et 579 al., 2016a; Tirosh et al., 2016b). This approach has made it possible to determine the 580 relative rates of proliferation of different cell subpopulations within a dataset (Buettner et 581 al., 2015; Kolodziejczyk et al., 2015; Kowalczyk et al., 2015; Tsang et al., 2015), a feat difficult 582 to accomplish using bulk synchronized populations along the cell cycle (Bar-Joseph et al., 583 2008; Lu et al., 2007). Notably, the cell cycle could also be reconstructed by similar 584 approaches when applied to imaging data of very few molecular markers along with 585 salient spatial features (Gut et al., 2015) or with morphology alone (Blasi et al., 2016; 586 Eulenberg et al., 2017). Similar principles apply to transient responses. In the response of 587 dendritic cells to pathogen components, single-cell profiling uncovered a small subset 588 (<1%) of 'precocious' cells: these early-appearing cells express a distinctive module of 589 genes, initiate production of interferon beta, and coordinate the subsequent response of 590 other cells through paracrine signaling (Shalek et al., 2014).

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592 <L1>Disease: Cells and cellular ecosystems</L1>

593 The Human Cell Atlas will be a critical reference for studying disease, which 594 invariably involves disruption of normal cellular functions, interactions, proportions, or 595 ecosystems. The power of single-cell analysis of disease is evident from decades of 596 histopathological studies and FACS analysis. It will be substantially extended by the 597 routine ability to characterize cells and tissues with rich molecular signatures, rather than 598 focusing on a limited number of pre-defined markers or cell populations. It will also 599 support the growing interest in understanding interactions between frankly abnormal cells and all other cells in a tissue's ecosystem in promoting or suppressing diseaseprocesses (*e.g.*, between malignant cells and the tumor microenvironment).

502 Single-cell analysis of disease samples will also likely be critical to see the full 503 range of normal cellular physiology, because disease either elicits key perturbs cellular 504 circuitry in informative ways. A clear example is the immune system, where only in the 505 presence of a 'challenge' is the full range of appropriate physiological behaviors and 506 potential responses by a cell revealed.

607 Single-cell information across many patients will allow us to learn about how cell 608 proportions and states vary and how this variation correlates with genome variants, 609 disease course and treatment response. From initial studies of a limited number of 610 patients, it should be possible to derive signatures of key cell types and states and use 611 them to deconvolute cellular proportions in conventional bulk-tissue or blood samples 612 (Levine et al., 2015; Tirosh et al., 2016a). Future studies may expand single-cell analysis to 613 thousands of patients to directly investigate how genetic variation affects gene 614 transcription and regulation.

615 The hematopoietic system will be an early and fruitful target. A study involving 616 signatures of cell-signaling assays by single-cell mass cytometry of healthy hematopoietic 617 cells led to more accurate classification of hematopoietic stem and progenitor cells 618 (HSPCs) in Acute Myeloid Leukemia; a previous classification was error-prone, because 619 the 'classical' cell-surface markers of healthy cells do not correctly identify the 620 corresponding population in disease, whereas a richer signature allows accurate 621 identification (Levine et al., 2015). Monitoring rare immune populations first discovered in 622 a normal setting can help zero in on the relevant aberrations in disease. For example, the 623 rare population associated with VDJ recombination first identified by trajectory analysis of 624 B cell development (Bendall et al., 2014) is expanded in pediatric Acute Lymphoblastic 625 Leukemia, and drastically more so in recurrence (Gary Nolan, unpublished results).

The greatest impact, at least in the short term, is likely to be in cancer. Early studies used single-cell qPCR to investigate the origin of radioresistance in cancer stem cells (Diehn et al., 2009) and to dissect the heterogeneity and distortions of cellular hierarchy in colon cancer (Dalerba et al., 2011). With the advent of high-throughput methods, single-cell genome analysis has been used to study the clonal structure and evolution of tumors in both breast cancer (Wang et al., 2014) and acute lymphoblastic leukemia (Gawad et al., 2014), and to infer the order of earliest mutations that cause acute
myeloid leukemia (Corces-Zimmerman et al., 2014; Jan et al., 2012).

634 In recent studies of melanoma (Tirosh et al., 2016a), glioblastoma (Patel et al., 635 2014), low-grade glioma (Tirosh et al., 2016b), and myeloproliferative neoplasms (Kiselev 636 et al., 2016), single-cell RNA-seq of fresh tumors resected directly from patients readily 637 distinguished among malignant, immune, stromal and endothelial cells. Among the 638 malignant cells, it identified distinct cell states – such as cancer stem cells (Patel et al., 639 2014; Tirosh et al., 2016b), drug-resistant states (Tirosh et al., 2016a), proliferating and 640 quiescent cells (Patel et al., 2014; Tirosh et al., 2016a; Tirosh et al., 2016b) - and related 641 them to each other, showing, for example, that only stem-like cells proliferate in low-642 grade glioma (Tirosh et al., 2016b) and that individual sub-clones can be readily identified 643 in one patient (Kiselev et al., 2016). Among the non-malignant cells, it found distinct 644 functional states for T-cells, and revealed that, while activation and exhaustion programs 645 are coupled, the exhausted state is also controlled by an independent regulatory program 646 in both human tumors (Tirosh et al., 2016a) and a mouse model (Singer et al., 2016). To 647 associate patterns observed in a few (5-20) patients with effects on clinical phenotypes, 648 single-cell based signatures were used to deconvolute hundreds of bulk tumor profiles 649 that had been collected with rich clinical information (Levine et al., 2015; Patel et al., 2014; 650 Tirosh et al., 2016a).

651

652 <L1>Molecular mechanisms: intracellular and inter-cellular circuits</L1>

A Human Cell Atlas can also shed light on the molecular mechanisms that control
cell type, differentiation, responses and states – within cells, between cells, as well as
between cells and their tissue matrix.

656 For example, over the past several decades, biologists have sought to infer the 657 circuitry underlying gene regulation by observing correlations between the expression of 658 particular regulators and specific cellular phenotypes, drawing inferences about 659 regulation, and testing their models through targeted genetic perturbations. Single-cell 660 data provide a massive increase not only in the quantity of observations, but also in the 661 range of perturbations. The number of cells profiled in a single-cell RNA-seq experiment 662 can far exceed the number of profiles produced even by large consortia (such as ENCODE, 663 FANTOM, TCGA, and GTEx). Moreover, each single cell is a perturbation system in which the levels of regulatory molecules vary naturally – sometimes subtly, sometimes
dramatically – due to both stochastic and controlled phenomena within a single genetic
background, providing rich information from which to reconstruct cellular circuits
(Krishnaswamy et al., 2014; Sachs et al., 2005; Shalek et al., 2013; Stewart-Ornstein et al.,
2012).

669 Initial studies have shown that such analyses can uncover intracellular regulators 670 governing cell differentiation and response to stimuli. For example, co-variation of RNA 671 levels across a modest number of cells from a relatively 'pure' population of immune 672 dendritic cells responding to a pathogen component was sufficient to connect antiviral 673 transcription factors to their target genes, because of asynchrony in the responses (Shalek 674 et al., 2013). Similarly, co-variation analysis of a few hundred Th17 cells spanning a 675 continuum from less to more pathogenic states revealed regulators that control 676 pathogenicity, but not other features, such as cell differentiation (Gaublomme et al., 2015). 677 Co-variation identified a role for pregnenolone biosynthesis in the response of Th₂ cells to 678 helminth infection (Mahata et al., 2014), and new regulators of pluripotency in mouse 679 embryonic stem cells (Kolodziejczyk et al., 2015). Computationally ordering cells along a 680 time-course of development provides another way to infer regulators – a strategy that has 681 been successful in, for example, differentiating B cells (Bendall et al., 2014), myoblasts 682 (Trapnell et al., 2014), neurons (Habib et al., 2016a; Shin et al., 2015), and T helper cells 683 (Lönnberg et al., 2017). Finally, when circuitry is already known, variation across single 684 cells can be used to infer exquisite – and functionally important – quantitative distinctions 685 about how signal is processed and propagated. An elegant example is a recent analysis of 686 signaling pathways downstream from the T cell receptor, where single-cell proteomics 687 data has shown how the same cellular circuitry processes signals differently in naïve and 688 antigen-exposed T cells (Krishnaswamy et al., 2014).

689 Beyond transcriptome analysis, single-cell multi-omic profiles (**Box 1**) will improve 690 the inference of cellular circuitry by connecting regulatory mechanisms and their targets 691 (Tanay and Regev, 2017). For example, simultaneous measurement of chromatin 692 accessibility and RNA levels may help identify which regulatory regions – and by inference 693 which *trans*-acting regulators – control the levels of which genes. Concomitant 694 measurement of DNA mutations and transcriptional profiles in cancer cells may allow similar causal connections to be drawn, as has been recently shown for mutations in the*CIC* gene and the expression of its regulatory targets (Tirosh et al., 2016b).

597 Studies can be extended from naturally occurring variation among cells to 598 engineered perturbations, by using pooled CRISPR libraries to manipulate genes and 599 reading out both the perturbation and its effects on cellular phenotype in single cells – for 500 example, by single-cell RNA-Seq (Adamson et al., 2016; Dixit et al., 2016; Jaitin et al., 2016).

701 A cell atlas can also help shed light on intercellular communication, based on 702 correlated profiles across cell types and patients. For example, analysis of single-cell 703 profiles from many small clusters of a few aggregated cells allowed the construction of a 704 cell-cell interaction network in the bone marrow, uncovering specific interaction between 705 megakaryocytes and neutrophils, as well as between plasma cells and neutrophil 706 precursors (Alexander van Oudenaarden, unpublished results). Cell-cell interactomes 707 have also been inferred from profiles of purified cell populations, based on the secreted 708 and cell surface molecules that they express (Ramilowski et al., 2015).

709 In tumors from melanoma patients, gene-expression analysis (involving single-cell 710 data obtained from some patients and bulk tumor data from many more patients, 711 deconvoluted based on signatures learned from the single cells) found genes that are 712 expressed in one cell type, but whose expression levels are correlated with the proportion 713 of a different cell type that does not express them; this analysis revealed that high 714 expression of the complement system in cancer-associated fibroblasts in the tumor 715 microenvironment is correlated with increased infiltration of T cells (Tirosh et al., 2016a). 716 Analysis of individual subcutaneous adipose stem cells revealed the existence of a novel 717 cell population that negatively controls the differentiation of the resident stem cells into 718 adipocytes, thus influencing adipose tissue growth and homeostasis (Bart Deplancke, 719 unpublished results). In breast cancer tissues, spatial analysis of multiplex protein 720 expression by imaging mass cytometry (Giesen et al., 2014) allowed classification of 721 infiltrating immune cells and malignant cells based on the neighborhood of surrounding 722 cells, highlighting new functional interactions (Bernd Bodenmiller, personal 723 communication).

724

725 <L1>A user's guide to the Human Cell Atlas: applications in research and
medicine</L1>

The Human Genome Project had a major impact on biomedicine by providing a comprehensive reference, a DNA sequence in which answers could be readily looked up and from which unique 'signatures' could be derived (*e.g.*, to recognize genes on microarrays or protein fragments in mass spectrometry). A Human Cell Atlas could provide similar benefits from basic research to clinically relevant applications.

732 Scientists will be able, for example, to look up precisely in which cell types a gene 733 of interest is expressed and at which level. Today, it is surprisingly challenging to obtain 734 definitive answers for most human genes beyond tissue- or organ-level resolution, 735 although there have been pioneering efforts for the brain and immune system in mouse 736 (Bakken et al., 2016; Hawrylycz et al., 2012; Kim and Lanier, 2013; Miller et al., 2014) and for 737 protein expression in human (Thul et al., 2017; Uhlen et al., 2015). Yet, the question is of 738 enormous importance to basic biologists studying development or comparing a model 739 system to human biology, medical scientists examining the effect of a disease-causing 740 mutation, and drug developers concerned about the potential toxicities of a small 741 molecule or a CAR-T cell targeting a specific protein (Brudno and Kochenderfer, 2016).

742 Researchers will also be able to derive expression signatures that uniquely identify 743 cell types. Such signatures provide a starting point for a vast range of experimental assays 744 - from molecular markers for isolating, tagging, tracing or manipulating cells in animal 745 models or human samples, to characterization of the effect of drugs on the physiological 746 state of a tissue. Such descriptors of cellular identity will be widely used in clinical assays. 747 For example, today's Complete Blood Count (CBC), a census of a limited number of blood 748 components, may be supplemented by a 'CBC 2.0' that provides a high-resolution picture 749 of the nucleated cells, including the number and activity states of each type in comparison 750 with healthy reference samples. Analogous measures should be possible for other tissues 751 as well. For example, gut biopsies from patients with ulcerative colitis or colon cancer 752 could be analyzed for the type, response, state and location of each of the diverse 753 epithelial, immune, stromal and neural cells that comprise them.

754

755 <L1>Toward a Human Cell Atlas</L1>

How might the biomedical community build a Human Cell Atlas? As with the
Human Genome Project, a robust plan will best emerge from wide-ranging scientific
discussions and careful planning involving biologists, technologists, pathologists,

physicians, surgeons, computational scientists, statisticians, and others. As noted above, various discussions have taken place for over two years about the idea of a comprehensive Human Cell Atlas, as well as about specific atlases for the brain and the immune system. Several pilot efforts are already underway. Moreover, over the past year discussions have been underway to create an initial plan for a Human Cell Atlas Project (which is articulated in the <u>White Paper</u> mentioned above). Among the key points for consideration are the following:

766

<L2>Phasing of goals</L2>

767 While the overall goal is to build a comprehensive atlas with diverse molecular 768 measurements, spatial organization, and interpretation of cell types, histology, 769 development, physiology and molecular mechanisms, it will be wise to set intermediate 770 goals for 'draft' atlases at increasing resolution, comprehensiveness, and depth of 771 interpretation. The value of a phased approach was illustrated by the Human Genome 772 Project, which defined milestones along the way (genetic maps, physical maps, rough-773 draft sequence, finished sequence) that held the project accountable and provided 774 immediate utility to the scientific community.

775

<L2>Sampling strategies</L2>

776 While an adult human has $\sim 2 \times 10^{13}$ nucleated cells, it is neither possible nor 777 necessary to study them all to recover the fine distinctions among human cells. The key 778 will be to combine sound statistical sampling, biological enrichment purification, and 779 insights from studies of model organisms. It is likely beneficial to apply an adaptive, 780 iterative approach with respect to both the number of cells and depth of profiles, as well 781 as anatomical coverage and spatial resolution in the tissue, with initial sparse sampling 782 driving decisions about further sampling. This adaptive approach, termed a 'Sky Dive', 783 adjusts as resolution increases (and is further described in the HCA White Paper).

Such approaches can be facilitated by experimental techniques that allow fast and inexpensive 'banking' of partially processed samples, to which one can return for deeper analysis as methods mature. Advances in handling fixed or frozen tissues would further facilitate the process (**Box 1**). With respect to depth of profiling, recent studies suggest the utility of a mixed strategy: relatively low coverage of the transcriptome can identify many cell types reliably (Heimberg et al., 2016; Shekhar et al., 2016) and a smaller set of deep profiles can be help interpret the low-coverage data to further increase detection power. As a result, the 'Sky Dive' begins with large-scale uniform sampling, follows with stratified
sampling, and then employs specialized sampling at lower throughput.

793

<L2>Breadth of profiles</L2>

794 The atlas must combine two branches - a cellular branch, focused on the 795 properties of individual cells, and a spatial branch, describing the histological organization 796 of cells in the tissue. For the cellular branch, massively parallel transcriptome analysis of 797 individual single cells or nuclei will likely be the workhorse for efforts in the first few 798 years. However, other robust, high-throughput profiling methods are rapidly emerging, 799 including techniques for studying chromatin, genome folding, and somatic mutations at 800 single-cell resolution (**Box 1**). For the spatial branch, *in situ* analysis of the spatial patterns 801 of RNA, proteins, and potentially epigenomics will be equally important. While some of 802 these methods are already rapidly maturing, others will benefit from focused development 803 efforts, as well as from cross-comparison among different techniques. Fortunately, most 804 can be applied to preserved tissue specimens, allowing specimens collected now to be 805 analyzed later, as methods mature.

806

<L2>Biological scope</L2>

It will be important to consider the balance among tissue samples from healthy individuals at various stages; small cohorts of individuals with diseases; and samples from model organisms, where key developmental stages are more accessible and manipulations more feasible. Well-chosen pilot projects could help refine strategies and galvanize communities of biological experts. Some communities and projects would be organized around organs (*e.g.*, liver, heart, brain), others around systems (*e.g.*, the immune system) or disease (*e.g.*, cancer), the latter distributed across many organs and tissues.

814 As outlined in the HCA White Paper, the first draft of the atlas might pursue 815 roughly a dozen organs and systems, each from up to 100 individuals, collected across 3-4 816 geographical sites; each would be analyzed to obtain both cellular and spatial data, by 817 means of uniform to stratified sampling. Tissue from post-mortem examination will play a 818 key role, because it is the only way to obtain samples from a single individual across the 819 entire body. These efforts will be complemented, where possible, by biopsy or resection 820 material from healthy research participants, and by whole organs obtained from deceased 821 transplant donors after transplantation organs have been harvested. In some cases, such as the immune system, samples from individuals with a disease will be included to probedifferent functional states of a system.

824 The full atlas, will ultimately describe at least 10 billion cells, covering all tissues, 825 organs, and systems. Specimens will come from both healthy research participants and 826 small cohorts of patients with relevant diseases. The cells and tissues will be studied 827 using a broad range of techniques, to obtain cellular and spatial information, from 828 samples designed to represent the world's diversity. As with previous genomic projects, 829 the Human Cell Atlas will be bounded in its resolution (with respect to the rarity of cell 830 types/states and the spatial resolution), its coverage of disease and diversity (broadly 831 representative but not obviating the need for direct genetic and clinical studies), and its 832 functional assessment (to validate the existence of identified cells and facilitate – but not 833 perform – detailed functional characterization).

834

<L2>Model organisms</L2>

835 The Human Genome Project and the broader scientific community benefitted 836 from insights learned from genome projects conducted in parallel in model organisms. 837 These projects empowered functional studies in model organisms, ushered a new era of 838 comparative genomics, and provided important technical lessons. By analogy, we 839 envision that key 'sister' atlases in model organisms will be developed in parallel and in 840 coordination with the Human Cell Atlas. These projects should not delay progress on the 841 human atlas (or vice versa), because current techniques are already directly applicable to 842 biomedical research on human samples.

In some cases, model organism atlases can use techniques that are not possible in humans, such as engineering animals to facilitate lineage tracing. In many cases, the extensive validation and functional follow-up studies that can be performed in model organisms will help validate 'by proxy' conclusions drawn in the human atlas. Finally, comparing the atlases across organisms will provide invaluable lessons in evolution and function.

849 <L2>Quality</L2>

850 In creating a reference map to be used by thousands of investigators, it is critical to851 ensure that the results are of high quality and technically reproducible. This is especially

important in view of the inherent biological variation and expected measurement noise.
Substantial investment will be needed in the development, comparison, and dissemination of rigorous protocols, standards, and benchmarks. Both individual groups and larger centers will likely have important roles in defining and ensuring high quality. It will also be important that the collected samples be accompanied by excellent clinical annotations, captured in consistent meta-data across the atlas.

858 Tissue processing poses special challenges, including the need for robust methods 859 for dissociating samples into single cells so as to preserve all cell types, fixation for in situ 860 methods, and freezing for transport. A related challenge is the difference in the 861 amenability of specific cell types for different assays (T cells are very small and yield lower 862 quality scRNA-seq; the fat content in adipocyte is challenging for many spatial methods; 863 many neurons cannot currently be isolated with their axons and dendrites from adult 864 tissue). Careful attention will also be needed to data generation and computational 865 analysis, including validated standard operating procedures for experimental methods, 866 best practices, computational pipelines, and benchmarking samples and data sets to 867 ensure comparability.

868

<L2>Global equity</L2>

869 Geographical atlases of the Earth were largely developed to serve global power 870 centers. The Human Cell Atlas should be designed to serve all people: it should span 871 genders, ethnicities, environments, and the global burden of diseases - all of which are 872 likely to affect the molecular profiles of cells and must be characterized to maximize the 873 atlas's benefits. The project itself should encourage and support the participation of 874 scientists, research centers and countries from around the globe, while recognizing the 875 value of respecting and learning from diverse populations, cultures, mores, beliefs, and 876 traditions.

877

<L2>Open data</L2>

878 The Human Genome Project made clear the power of open data that can be used 879 by all and freely combined with other datasets. A Human Cell Atlas should similarly be an 880 open endeavor, to the full extent permitted by participants' wishes and legal regulation. 881 While the underlying sequence data contains many polymorphisms that make it 882 'identifiable', it should be possible to map the data onto 'standard models' of each gene to 883 substantially mitigate this issue. To make the atlas useful, it will be critical to develop data platforms that can provide efficient aggregation and storage, quality control, analyticalsoftware, and user-friendly portals.

886

<L2>Flexibility</L2>

887 A Human Cell Atlas Project should be intellectually and technologically flexible. 888 The project should embrace the fact that its biological goals, experimental methods, 889 computational approaches, overall scale, and criteria for 'completion' will evolve rapidly as 890 insights and tools develop. For historical context, it is useful to remember that discussions 891 about a Human Genome Project began before the development of automated DNA 892 sequencing machines, the polymerase chain reaction, or large-insert DNA cloning, and the 893 project drove technological progress on many fronts. Moreover, the criteria for a 'finished' 894 genome sequence were only agreed upon during the last third of the project.

895

<L2>Impact on the scientific community</L2>

896 Large-scale efforts, such as a Human Cell Atlas, must be careful to appropriately 897 weigh the benefits to science and individual scientists with the potential costs. We 898 consider the key benefits to the broad scientific community to include: the core scientific 899 knowledge and discoveries that will result from having a reference map; the 900 empowerment of scientists working across any tissue or cell type to pursue their research 901 more precisely and effectively; the development, hardening and dissemination of 902 experimental techniques and computational methods in the context of big-data settings, 903 all of which will be openly shared; the inclusive and maximally open Human Cell Atlas 904 community, inviting participation by all individual labs and research centers; and the 905 coordination of efforts that would otherwise be unconnected, less extensive, and more 906 expensive.

907 At the same time, we must be aware of potential pitfalls, including: premature 908 restriction to specific technologies or approaches, which might limit innovation in a fast-909 moving field; implicit restriction of participation, based on available resources; and 910 diversion of funding from other research directions. The unique organization and 911 community of the Human Cell Atlas Project will tackle these potential challenges by 912 committing to open membership, to the open and immediate data release with no 913 restrictions, and to open-source code for all computational approaches. We hope that the 914 new information and technology generated will more than repay the costs of the project 915 by increasing the speed and efficiency of biomedical research throughout the scientific916 community.

917

<L2>Engagement with the non-scientific community</L2>

918 The general public is a key stakeholder community for the Human Cell Atlas. 919 Proper public engagement should involve many communities, including interested 920 members of the public, citizen-scientists, schoolchildren, teachers and, where appropriate, 921 research participants. Engagement will take diverse forms, including traditional media, 922 social media, video and, importantly, direct sharing of the project's data. Across all 923 channels, it will be important to articulate the goals, principles and motivations of the 924 project. While explaining the intended benefits to the public with respect to advancing 925 disease biology, drug discovery and diagnostics, it will be equally important to avoid 926 'hype': that is, we need to avoid making promises and raising expectations that are 927 unrealistic in content or timing.

928

<L2>Forward looking</L2>

Any data produced today will be easier, faster, more accurate and cheaper to produce tomorrow. Any intermediate milestones achieved during the project will be supplanted by deeper, broader, more accurate, and more comprehensive successors within a few short years. However, as we define the goal of a Human Cell Atlas Project, we should view it not as a final product, but as a critical stepping-stone to a future when the study of human biology and medicine is increasing tractable.

935

936 <L2>Conclusion</L2>

937 The past quarter-century has shown again and again the value of the scientific 938 community joining together in collaborative efforts to generate and make freely available 939 systematic information resources to accelerate scientific and medical progress in tens of 940 thousands of laboratories around the world. The Human Cell Atlas builds on this rich 941 tradition, extending it to the fundamental unit of biological organization: the cell.

942 Many challenges will arise along the way, but we are confident that they can be 943 met through scientific creativity and collaboration. It is time to begin.

944

945 Box 1: Key experimental methods for single-cell genomics

946

947 Over the past several years, powerful approaches have emerged that make it possible to
948 measure molecular profiles and signatures at single-cell resolution. The field remains very
949 active, with new methods being rapidly developed and existing ones improved.

950 Single-cell RNA-Seq (scRNA-seq) refers to a class of methods for profiling the 951 transcriptome of individual cells. Some may take a census of mRNA species by focusing on 952 3'- or 5'-ends (Islam et al., 2014; Macosko et al., 2015), while others assess mRNA structure 953 and splicing by collecting near-full-length sequence (Hashimshony et al., 2012; Ramskold 954 et al., 2012). Strategies for single-cell isolation span manual cell picking, initially used in 955 microarray studies (Eberwine et al., 1992; Van Gelder et al., 1990), FACS-based sorting into 956 multi-well plates (Ramskold et al., 2012; Shalek et al., 2013), microfluidic devices (Shalek et 957 al., 2014; Treutlein et al., 2014), and, most recently, droplet-based (Klein et al., 2015; 958 Macosko et al., 2015) and microwell-based (Fan et al., 2015; Yuan and Sims, 2016) 959 approaches. The droplet and microwell approaches, which are currently coupled to 3'-end 960 counting, have the largest throughput, allowing rapid processing of tens of thousands of 961 cells simultaneously in a single sample. scRNA-seq is typically applied to freshly 962 dissociated tissue, but emerging protocols use fixed cells (Nichterwitz et al., 2016; 963 Thomsen et al., 2016) or nuclei isolated from frozen or lightly fixed tissue (Habib et al., 964 2016b; Lake et al., 2016). Applications to fixed or frozen samples would simplify the 965 process flow for scRNA-seq, as well as open the possibility of using archival material. 966 Power analyses provides a framework for comparing the sensitivity and accuracy of these 967 approaches (Svensson et al., 2016; Ziegenhain et al., 2016). Finally, there has been progress 968 in scRNA-Seq with RNA isolated from live cells in their natural microenvironment using 969 transcriptome in vivo analysis (Lovatt et al., 2014).

970 *Mass cytometry (CyTOF)* and related methods allow multiplexed measurement of 971 proteins based on antibodies barcoded with heavy metals (Bendall et al., 2014; Levine et 972 al., 2015). In contrast to comprehensive profiles, these methods involve pre-defined 973 signatures and require an appropriate antibody for each target, but they can process many 974 millions of cells for a very low cost per cell. They are applied to fixed cells. Recently, the 975 approach has been extended to the measurement of RNA signatures through multiplex 976 hybridization of nucleic-acid probes tagged with heavy metals (Frei et al., 2016). 977 *Single-cell genome and epigenome sequencing* characterizes the cellular genome. 978 Genomic methods aim either to characterize the whole genome or capture specific pre-979 defined regions (Gao et al., 2016). Epigenomic methods may capture regions based on 980 distinctive histone modifications (single-cell ChIP-Seq; Rotem et al., 2015a), accessibility 981 (single-cell ATAC-Seq; Buenrostro et al., 2015; Cusanovich et al., 2015), or likewise 982 characterize DNA methylation patterns (single-cell DNAme-Seq; Farlik et al., 2015; Guo et 983 al., 2013; Mooijman et al., 2016; Smallwood et al., 2014) or 3D organization (single-cell Hi-984 C; Nagano et al., 2013; Ramani et al., 2016). Combinatorial barcoding strategies have been 985 used to capture measures of accessibility and 3D organization in tens of thousands of 986 single cells (Cusanovich et al., 2015; Ramani et al., 2016). Single cell epigenomics methods 987 are usually applied to nuclei, and can thus use frozen or certain fixed samples. Some 988 methods, such as single-cell DNA sequencing, are currently applied to relatively few cells, 989 due to the size of the genome and the sequencing depth required. Other methods, such as 990 single-cell analysis of chromatin organization (by either single-cell ATAC-Seq; Buenrostro 991 et al., 2015; Cusanovich et al., 2015) or single-cell ChIP-Seq (Rotem et al., 2015a), currently 992 yield rather sparse data, which presents analytic challenges and benefits from large 993 numbers of profiled cells. Computational analyses have begun to address these issues by 994 pooling of signal across cells and across genomic regions or loci (Buenrostro et al., 2015; 995 Rotem et al., 2015a) and by imputation (Angermueller et al., 2016).

996 Single-cell multi-omics techniques aim to collect two or more types of data 997 (transcriptomic, genomic, epigenomic, and proteomic) from the same single cell. Recent 998 studies have simultaneously profiled the transcriptome together with either the genome 999 (Angermueller et al., 2016; Dey et al., 2015; Macaulay et al., 2015), the epigenome 1000 (Angermueller et al., 2016), or protein signatures (Albayrak et al., 2016; Darmanis et al., 1001 2016; Frei et al., 2016; Genshaft et al., 2016). Efforts to combine three and more approaches 1002 are underway (Cheow et al., 2016). Multi-omic methods could help fill in causal chains 1003 from genetic variation to regulatory mechanisms and phenotypic outcome in health and 1004 in disease, especially cancer.

Multiplex in situ analysis and other spatial techniques aim to detect a limited number
of nucleic acids and/or proteins *in situ* in tissue samples – by hybridization (for RNA),
antibody staining (for proteins), sequencing (for nucleic acids), or other tagging strategies.
These *in situ* results can then be used to map massive amounts of single-cell genomic

1009 information from dissociated cells onto the tissue samples providing important clues 1010 about spatial relationships and cell-cell communication. Some strategies for RNA 1011 detection, such as MERFISH (Chen et al., 2015b; Moffitt et al., 2016b) or Seq-FISH (Shah et 1012 al., 2016), combine multiplex hybridization with microscopy-based quantification to assess 1013 distributions at both the cellular and subcellular level; other early studies have performed 1014 in situ transcription (Tecott et al., 1988), followed by direct manual harvesting of cDNA 1015 from individual cells (Crino et al., 1996; Tecott et al., 1988). Some approaches for protein 1016 detection, such as Imaging Mass Cytometry (Giesen et al., 2014) and Mass Ion Bean 1017 Imaging (Angelo et al., 2014), involve staining a tissue specimen with antibodies, each 1018 labeled with a barcode of heavy metals, and rastering across the sample to measure the 1019 proteins in each 'pixel'. This technique permits the reconstruction of remarkably rich 1020 images. Finally, more recent studies have performed RNA-seq in situ in cells and in 1021 preserved tissue sections (Ke et al., 2013; Lee et al., 2014). Many in situ methods can benefit 1022 from tissue clearing and/or expansion to improve detection and spatial resolution (Chen 1023 et al., 2015a; Chen et al., 2016a; Moffitt et al., 2016a; Yang et al., 2014). The complexity and 1024 accuracy of these methods continues to improve with advances in sample handling, 1025 chemistry and imaging. Various methods are also used, for example, to measure 1026 transcriptomes in situ with barcoded arrays (Stahl et al., 2016).

1027 **Cell lineage determination**. Because mammals are not transparent and have many 1028 billions of cells, it is not currently possible to directly observe the fate of cells by 1029 microscopy. Various alternative approaches have been developed (Kretzschmar and Watt, 1030 2012). In mice, cells can be genetically marked with different colors (Barker et al., 2007) or 1031 DNA barcodes (Lu et al., 2011; Naik et al., 2013; Perie and Duffy, 2016), and their offspring 1032 traced during development. Recent work has used iterative CRISPR-based genome editing 1033 to generate random genetic scars in the fetal genome and use them to reconstruct lineages 1034 in the adult animal (McKenna et al., 2016). In humans, where such methods cannot be 1035 applied, human cell lineages can be monitored experimentally in vitro, or by 1036 transplantation of human cells to immunosuppressed mice (Morton and Houghton, 2007; 1037 O'Brien et al., 2007; Richmond and Su, 2008), or can be inferred from *in vivo* samples by 1038 measuring the DNA differences between individual sampled cells, arising from random 1039 mutations during cell division, and using the genetic distances to construct cellular 1040 phylogenies, or lineages (Behjati et al., 2014; Shapiro et al., 2013).

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1041

- 1042 Box 2: On Exactitude in Science. Jorge Luis Borges (1946) 1043 "... In that Empire, the Art of Cartography attained such Perfection that the map of a 1044 single Province occupied the entirety of a City, and the map of the Empire, the entirety of 1045 a Province. In time, those Unconscionable Maps no longer satisfied, and the 1046 Cartographers Guilds struck a Map of the Empire whose size was that of the Empire, and which coincided point for point with it. The following Generations, who were not so fond 1047 of the Study of Cartography as their Forebears had been, saw that that vast map was 1048 1049 Useless, and not without some Pitilessness was it, that they delivered it up to the 1050 Inclemencies of Sun and Winters. In the Deserts of the West, still today, there are 1051 Tattered Ruins of that Map, inhabited by Animals and Beggars; in all the Land there is no 1052 other Relic of the Disciplines of Geography." 1053 Purportedly from Suárez Miranda, Travels of Prudent Men, Book Four, Ch. XLV, Lérida, 1054 1658. 1055
- 1056

1057

Figure legends

1058

1059 Figure 1: A hierarchical view of human anatomy. A graphical depiction of the 1060 anatomical hierarchy from organs (such as the gut), to tissues (such as the epithelium in 1061 the crypt in the small intestine), to their constituent cells (such as epithelial, immune, 1062 stromal and neural cells).

1063

1064 Figure 2: Anatomy: cell types and tissue structure. The first three plots show single 1065 cells (dots) embedded in low-dimensional space based on similarities between their RNA-1066 expression profiles (A, C) or protein-expression profiles (B), using either t-stochastic 1067 neighborhood embedding (A,B) or circular projection (C) for dimensionality reduction 1068 and embedding. (A) Bi-polar neurons from the mouse retina; reprinted from Shekhar et 1069 al., 2016 with permission from Elsevier. (B) Human bone marrow immune cells; reprinted 1070 from Levine et al., 2015 with permission from Elsevier. (C) Immune cells from the mouse 1071 spleen; reprinted from Jaitin et al., 2014 with permission from AAAS. (D) Histology. 1072 Projection of single-cell data onto tissue structures: image shows the mapping of 1073 individual cells onto locations in the marine annelid brain, based on the correspondence 1074 (color bar) between their single-cell expression profiles and independent FISH assays for a 1075 set of landmark transcripts; adapted from Achim et al., 2015 with permission from 1076 Macmillan Publishers Limited.

1077

1078 Figure 3: Developmental trajectories. Each plot shows single cells (dots; colored by 1079 trajectory assignment, sampled time point, or developmental stage) embedded in low-1080 dimensional space based on their RNA (A-C) or protein (D) profiles, using different 1081 methods for dimensionality reduction and embedding: Gaussian process patent variable 1082 model (A); t-stochastic neighborhood embedding (B, D); diffusion maps (C). 1083 Computational methods then identify trajectories of pseudo-temporal progression in each 1084 case. (A) Myoblast differentiation in vitro; reprinted from Lönnberg et al., 2017 with 1085 permission from AAAS. (B) Neurogenesis in the mouse brain dentate gyrus; reprinted 1086 from Habib et al., 2016a with permission from AAAS. (C) Embryonic stem cell 1087 differentiation in vitro; adapted from Haghverdi et al., 2016 with permission from Macmillan Publishers Limited. (D) Early hematopoiesis; adapted from Setty et al., 2016with permission from Macmillan Publishers Limited.

Figure 4. Physiology. Each plot shows single cells (dots) embedded in low-dimensional space on the basis of their RNA profile, based on predefined gene signatures (A) or PCA (B, C), highlighting distinct dynamic processes. (A) The cell cycle in mouse hematopoietic stem and progenitor cells; adapted under terms of <u>CC BY 4.0</u> from Scialdone et al., 2015. (B) Response to lipopolysaccharide (LPS) in mouse immune dendritic cells; adapted from Shalek et al., 2014 with permission from Macmillan Publishers Limited. (C) Variation in the extent of pathogenicity in mouse Th17 cells; reprinted from Gaublomme et al., 2015 with permission from Elsevier.

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 $B\,$ Bone marrow immune cells (proteins, CyTOF)









Circular projection X

D Annelid brain (scRNA-Seq + FISH)





