

1 Title: **Domains of the hepatitis B virus small surface**
2 **protein S mediating oligomerization**

3 Running title: HBV S oligomerization

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27 **Abstract**

28 During hepatitis B virus infections subviral particles (SVP) consisting only of viral
29 envelope proteins and lipids are secreted. Heterologous expression of the small
30 envelope protein S in mammalian cells is sufficient for SVP generation. S is
31 synthesized as a transmembrane protein with N-terminal (TM1), central (TM2), and
32 C-terminal (HCR) transmembrane domains. The loops between TM1 and TM2 (CL)
33 and between TM2 and HCR (LL) are located in the cytosol and in the ER lumen,
34 respectively. To define domains of S mediating oligomerization during SVP
35 morphogenesis S mutants were characterized by expression in transiently
36 transfected cells. Substitution of 12 out of 15 amino acids of TM1 to alanines as well
37 as the deletion of HCR still allowed SVP formation demonstrating that these two
38 domains were not essential for contacts between S proteins. Furthermore, the
39 oligomerization of S was measured with a FACS-based FRET (Foerster resonance
40 energy transfer) assay. This approach demonstrated that CL, TM2, and LL
41 independently contributed to S oligomerization while TM1 and HCR played a minor
42 role. Apparently, intermolecular homooligomerization of CL, TM2, and LL drive S
43 protein aggregation. Detailed analyses revealed that the point mutation C65S in CL,
44 the exchange of 13 out of 19 amino acids of TM2 to alanine residues, and the
45 simultaneous substitution of all eight cysteine residues in LL by serine residues
46 blocked the ability of these domains to support S protein interactions. Altogether,
47 specific domains and residues were defined in the HBV S protein required for
48 oligomerization and SVP generation.

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50

51 **Importance**

52 The small hepatitis B virus envelope protein S has the intrinsic capability to direct the
53 morphogenesis of spherical 20 nm subviral lipoprotein particles. Such particles
54 expressed in yeast or mammalian cells represent the antigenic component of current
55 hepatitis B vaccines. Our knowledge about the steps leading from the initial,
56 monomeric, transmembrane translation product of S to SVP is very limited as is our
57 information on the structure of the complex main epitope of SVP that induces the
58 formation of protective antibodies after vaccination. This study contributes to our
59 understanding of the oligomerization process of S chains during SVP formation and
60 shows that the cytoplasmic, one membrane embedded, and the luminal domain of S
61 independently drive S-S oligomerization.

62

63 Introduction

64 The hepatitis B virus (HBV) is the prototype member of the virus family
65 *hepadnaviridae* and causes chronic hepatitis in a substantial fraction of infected
66 humans (1) (2). The virus particle consists of an icosahedral capsid with a diameter
67 of 30 nm containing a partially double stranded circular DNA genome and an outer
68 lipid envelope carrying three surface proteins: the large envelope protein L, the
69 middle-sized M protein, and the small S protein (3). During infection of the human
70 liver virions are secreted from the hepatocytes into the blood stream and in addition
71 subviral particles (SVP) in up to 10^4 -fold excess over virions. These SVP appear as
72 spheres or filaments with a diameter of 20 nm consisting solely of lipid and viral
73 envelope proteins (4) (5). Both, the envelope of virions and SVP express the same
74 antigenicity (hepatitis B surface antigen, HBsAg). Clearance of the virus and
75 immunity depend on the formation of antibodies against HBsAg.

76 Heterologous expression of S in eukaryotic cells causes the secretion of spherical
77 SVP consisting of lipid and approximately 100 copies of the S protein (6). Expression
78 of S in yeast does not lead to SVP secretion. However, lipoprotein complexes of S
79 can be purified from yeast cells (7) and represent the major active hepatitis B
80 vaccine. The structure of the S protein in yeast derived particles is partially different
81 from the structure in SVP secreted by mammalian cells (8). E.g. approximately half
82 of S is N-glycosylated at asparagine 146 in S derived from infected patients or
83 transfected eukaryotic cells but S from yeast carries no glycan residue. Additionally,
84 disulfide bridges are different between mammalian and yeast derived S. A detailed
85 structure of S in SVP is still missing. Crystallization of SVP was unsuccessful up to
86 now, although a relatively low resolution image has been obtained with cryo-EM (9).

87 The pathway leading from newly translated S protein to secreted SVP is only
88 partially characterized. The S protein is cotranslationally inserted into the ER
89 membrane. This insertion is first initialized by an N-terminal type I signal [containing
90 transmembrane domain 1 (TM1), aa 8 to 22] which directs the N terminus of S into
91 the ER lumen and is not cleaved off (Fig. 1). A central type II translocation signal
92 (containing TM2, aa 80 to 98) initiates a second transmembrane translocation, in this
93 case of the peptide chain C-terminal of TM2, and anchors the protein in the ER
94 membrane in a N terminus cytoplasmic - C terminus ER luminal orientation (10, 11).
95 The combination of signal I and II generates a cytosolic loop (CL) between TM1 and
96 TM2 and a luminal loop (LL) downstream of TM2. Asparagine 146 in LL is partially
97 cotranslationally N-glycosylated. A proposed amphipathic helix (aa 156 to 169) may
98 be attached to the inner leaflet of the ER membrane. The location of the hydrophobic
99 C-terminal region (HCR, aa 170 to 226) relative to the membrane is less clear. Since
100 the region between aa 196 and 201 is critical for the envelopment of the cytoplasmic
101 hepatitis D virus nucleoprotein (12) it is conceivable that this part faces the
102 cytoplasm whereas the C terminus of S is oriented towards the ER lumen (10). This
103 suggests that two more transmembrane areas exist between aa 170 and 226.

104 Shortly after synthesis the S protein forms disulfide bridged dimers (13). The N-
105 glycosylation has no influence on dimer formation. Models for the folding of S based
106 on theoretical assumptions have been published (14), however, the number and
107 positions of intra- and intermolecular disulfide bridges in S dimers are not known.
108 Correct disulfide bridges are fundamental for the formation of the main epitope of
109 HBsAg (15).

110 For SVP formation S dimers float horizontally in the cellular membrane and must
111 oligomerize to higher complexes thereby substantially excluding host proteins since

112 only HBV envelope proteins have been detected in SVP (5). However, intermediate
113 oligomers have not been observed to date. Particle formation probably takes place at
114 a membrane of the secretory pathway between ER and Golgi complex (16). This
115 step may not resemble the process of virion budding and may represent a totally
116 different mechanism (17). While virion budding depends on cellular factors involved
117 in the budding of vesicles from multivesicular bodies, SVP formation does not.
118 During subsequent passage of the luminal SVP by vesicular transport through the
119 Golgi apparatus the N-linked glycans are modified from the mannose rich type to the
120 complex type. Finally, SVP are further transported via vesicles towards the cell
121 membrane and released from the cell.

122 Intracellular S is mainly dimeric and carries almost exclusively mannose rich glycans
123 whereas secreted SVP carry predominantly complex glycans. This suggests that the
124 period of time between dimerization of S in the ER membrane and sugar
125 modification in the Golgi complex is rather large (hours) compared to the period of
126 time between protein translation and dimerization (minutes) as well as to the time
127 span between sugar modification and release (minutes). Possibly, the step leading
128 from transmembrane S to luminal SVP is the time-consuming process.

129 We intended to define the pathway of SVP formation in more detail and performed a
130 comprehensive study clarifying the contribution of S domains to S oligomerization.
131 The experimental approach was based on coexpression of S proteins or parts of S
132 linked to the fluorescent proteins YFP and BFP in transiently transfected cells.
133 Proximity between a YFP- and BFP-linked construct could be measured by Foerster
134 resonance energy transfer (FRET).

135 **Materials and Methods**

136 **Plasmids.** The plasmid pSVBX24H carries the PstI (nt 21) to the BglII (nt 1982)
137 fragment of an HBV genotype A genome (18) and directs the expression of the wild
138 type HBV S gene (nt 153 to 830) under control of an simian virus 40 early promoter.
139 For easier detection of the S protein on western blots a DNA stretch coding for the
140 hemagglutinin derived epitope YPYDVPDYA (HA) was inserted between the last
141 codon of the S gene and its stop codon generating the S variant H. By site directed
142 in vitro mutagenesis the 8 cysteine codons at positions 107, 121, 124, 137, 138, 139,
143 147, and 149 of the H gene were mutated to serine codons generating variant H*. In
144 H the codons 10 to 20 were changed to alanine codons causing the mutation of TM1
145 from FLGPLLVLQAGFFLL to FLA₁₁LL and generating variant H.1pA. In H the
146 codons 83 to 95 were changed to alanine codons causing the mutation of TM2 from
147 RRFIIFLIFLLLCLIFLLVLLD to RRFIIA₁₃VLLD and generating variant H.2pA. In
148 H.12pA both poly-alanine substitutions in TM1 and TM2 were combined. For the
149 construction of H.Δ178 and H.Δ153 codon 178 or 153, respectively, was mutated in
150 the H background into a stop codon.

151 For the construction of Y-TM2 a DNA sequence encoding R78 to D99 (TM2) of the S
152 protein followed by the 9 codons for the HA epitope and a stop codon was fused 3'
153 to the YFP open reading frame in plasmid peYFP-N1 (19) with a (GA)₃ linker
154 sequence in between (...BsrG1 site -> TGTACA AG GGA GCA GGT GCA GGA
155 GCA CGG <- R78 of S). For B-TM2 the same TM2-HA coding sequence was fused
156 to the XhoI site of plasmid pmTagBFP-C1 (20) (XhoI site -> CTCGAG CT CGG <-
157 R78 of S). For Y-HTR a DNA sequence coding for the type II signal
158 CSGSICYGTIAVIVFFLIGFMIGYLG Y from the human transferrin receptor (21) fused
159 to the HA coding sequence was ligated 3' to the YFP open reading frame of plasmid

160 peYFP-N1 (linker: BsrG1 site -> TGTACA AG GGA GCA GGA GCA TGT <- N-
161 terminal cysteine codon of transmembrane domain of HTR). For B-HTR the HTR-HA
162 sequence was fused 3' to the BFP open reading frame in plasmid pmTagBFP-C1
163 (linker: XhoI site -> CTCGAG CT TGT <- N-terminal cysteine codon of
164 transmembrane domain of HTR). B/Y-MEM was constructed by fusing the N-terminal
165 20 amino acids of neuromodulin (22) to the N terminus of YFP and BFP using
166 plasmids peYFP-N1 and pmTagBFP-C1, respectively. The neuromodulin fragment
167 contains a signal for posttranslational palmitoylation of cysteines 3 and 4 that targets
168 the fusion proteins to cellular membranes.

169 The construction of the expression plasmid for the fusion protein between the
170 fluorescent protein mCherry carrying an N-terminal secretion signal and the HBV S
171 protein (construct C-S) which is competent for subviral particle secretion is described
172 elsewhere (23). For the construction of Y-S and B-S the mCherry derived part in C-S
173 was substituted by open reading frames coding for YFP or BFP, respectively. #B-S
174 and #Y-S were constructed by digesting the plasmids for the expression of Y-S and
175 B-S with BamHI uniquely cutting in the region encoding the N-terminal signal
176 sequence and with XmaI cutting downstream of the HBV derived insertion. The
177 corresponding fragment was inserted into BamHI-XmaI digested pSVBX24H. Using
178 Y/B-S the cysteine codons 107, 121, 124, 137, 138, 139, 147, and 149 of the S gene
179 were mutated to serine codons resulting in constructs Y/B-S*. The derivatives Y/B-
180 S*.1pA, Y/B-S*.2pA, Y/B-S*.12pA, Y/B-S*.Δ178, and Y/B-S*.Δ153 were constructed
181 in the same way as the corresponding H variants (see above). For Y/B-S. Δ100 a
182 stop codon was introduced at codon 100 of Y/B-S*. For Y/B-S*.C65S the cysteine
183 codon 65 in the S open reading frame in Y/B-S* was changed into a serine codon. In
184 Y/B-S.12pAΔ100, Y/B-S.C65S-12pAΔ100, Y/B-S*.C65S-2pA the corresponding

185 mutations were combined. Y/B-S.C65S-2pA corresponds to Y/B-S*.C65S-2pA but
186 the codons 107, 121, 124, 137, 138, 139, 147, and 149 of the S gene code for
187 cysteines like in the wild type.

188 **Cell culture, transfection, harvest.** Huh7 cells grown in 12-well dishes were
189 transiently transfected with a total amount of 0.5 µg of plasmid using Fugene HD
190 (Promega), or X-tremeGene (Roche) according to the instructions of the
191 manufacturer. In case of cotransfections an equal molar ratio of plasmids was used.
192 After transfection the culture supernatant was removed, the cells were washed twice
193 with 1 ml of PBS, and 1 ml of fresh medium was added to the cells. Five days post
194 transfection the culture supernatant was harvested and centrifuged for 10 minutes at
195 13.000 rpm. From the supernatant 40 µl were used for western blotting. The cells on
196 the dish were washed with 1 ml of PBS and lysed by adding 0.25 ml of lysis buffer
197 [50 mM Tris-HCl pH 7.5, 100 mM NaCl, 20 mM ethylenediaminetetraacetate, 0.5 %
198 (v/v) Nonidet P40] and incubation on ice for 10 minutes. The cell lysate was collected
199 and spun at 13,000 rpm for 10 minutes. Ten µl of the supernatant were used for
200 western blotting.

201 **Cell fractionation.** Cells transiently transfected in 6-well dishes were washed 3 days
202 post transfection twice with 1 ml of ice-cold PBS and incubated 45 minutes with 0.4
203 ml of 10 mM Tris-Cl, pH 7.4, 150 mM NaCl, 1 % (v/v) Triton X-114 on ice (24). The
204 cell lysate was collected and spun for 45 minutes at 12,000 g and 4°C. Ten µl of the
205 supernatant was used for western blotting (total lysate). From the supernatant 350 µl
206 was layered on top of 350 µl of a cushion of 6% (w/v) sucrose, 10 mM Tris-HCl, pH
207 7.4, 150 mM NaCl, 0.06% (v/v) Triton X-114 and incubated for 5 minutes at 30°C.
208 After centrifugation for 3 minutes at 12,000 g and RT, 20 µl of the upper phase was
209 used for western blotting (aqueous phase). The remaining part of the aqueous phase

210 was removed. Twenty μ l of the detergent phase was used for western blotting
211 (membrane fraction).

212 **Western blotting.** Cleared cell culture supernatants or lysates of transfected Huh7
213 cells were denatured and reduced with sodium dodecylsulfate and dithiothreitol and
214 used for electrophoresis through 12 % polyacrylamide gels. The proteins in the gel
215 were transferred onto nitrocellulose membranes (Amersham Protran 0.45 NC, GE
216 Healthcare) by wet blotting. Proteins were detected with a rabbit antibody against the
217 HA epitope (Sigma-Aldrich Chemie GmbH, Schnelldorf, Germany) for all constructs
218 carrying this tag and with a cross-reacting rabbit anti-GFP (D5.1XP, New England
219 Biolabs, Ipswich, USA) antibody in case of YFP fusion proteins. As a loading control
220 western blots were stained with rabbit anti- β -tubulin (New England Biolabs, Ipswich,
221 USA). The HBV S protein was detected with the monoclonal anti-HBs antibody HB1
222 (courtesy provided by Aurelia Zvirbliene, Vilnius University, Lithuania). Rabbit
223 antibodies against calnexin and PDI were from New England Biolabs Ipswich, USA.
224 Peroxidase-labeled antibodies against rabbit and mouse immunoglobulins were from
225 Dianova (Hamburg, Germany).

226 **FACS-FRET.** The FRET analysis was done as described by Banning and colleagues
227 (19). One day after transfection in 12-well dishes Huh7 cells were washed twice with
228 PBS, detached from the dish with 100 μ l of trypsin solution and suspended in 800 μ l
229 of 1 % (v/v) FCS in PBS. Cells were then sedimented by centrifugation at 1,300 rpm
230 and 4°C for 5 minutes and the cell pellet was suspended in 200 μ l of 1 % (v/v) FCS
231 in PBS and applied to the FACS machine. BFP was excited with a laser beam of 405
232 nm wavelength, its emission was measured at 450 nm. YFP was excited with laser
233 light of 488 nm wavelength and its emission was measured at 529 nm.

234 **Rate zonal sedimentation.** A sucrose gradient consisting of 0.5 ml of 60 % (w/w)
235 sucrose in 50 mM Tris-HCl, pH 7.4, 100 mM NaCl, 0.1 mM EDTA, and 1 ml each of
236 corresponding 45 %, 35 %, 25 %, and 15 % sucrose solutions was prepared in
237 centrifugation tubes and left for 4 hours at 4°C. Then 0.5 ml of culture supernatant
238 was layered on top, and the gradient spun for 16 hours at 50,000 rpm and 10°C in a
239 Beckman SW55 rotor. The gradient was harvested from the top (15 x 0.33 ml
240 fractions). The sucrose concentration of the fractions was measured by refractometry
241 and the distribution of proteins was analyzed by western blotting using the
242 monoclonal anti-HBs antibody HB1.

243 **Protease protection experiment.** The preparation of microsomes and the protease
244 protection experiments were done as described elsewhere (25) with the exception
245 that cells have not been labeled with radioactive methionine and the samples were
246 not used for immunoprecipitation prior to polyacrylamide gel electrophoresis.
247 Instead, 10 µl of each sample were denatured and loaded directly onto the gel.

248 **Immunofluorescence.** Cells were seeded on cover slips and transfected the next
249 day. Two days post transfection cells were washed twice with PBS and fixed by
250 incubation with 4 % paraformaldehyde for 15 minutes at room temperature. Cells
251 were washed again three times for 5 minutes each with PBS and shaking and
252 incubated with ice cold methanol for 10 minutes at -20°C. After washing again with
253 PBS cells were incubated for 1 hour in 5 % (v/v) goat serum, 0.3 % (v/v) Triton X-100
254 in PBS. Cells were then incubated with a rabbit anti-PDI antibody (New England
255 Biolabs) diluted 1:200 in 1 % (w/v) bovine serum albumin, 0.3 % (v/v) Triton X-100 in
256 PBS (antibody dilution buffer) or with the monoclonal anti-HBs antibody HB1 diluted
257 1:100 in the same buffer overnight at room temperature in a humid box. After
258 washing three times for 5 minutes with PBS and shaking cells were incubated with

259 an Alexa 555 labeled goat anti-rabbit antibody (Life Technologies) diluted 1:2,000 in
260 antibody dilution buffer or with an Alexa 488 labeled goat anti-mouse antibody (Life
261 Technologies) diluted 1:2,000 in the same buffer for 2 hours at room temperature in
262 the dark. After washing three times with PBS for 5 minutes each cells were fixed with
263 MOWIOL (Sigma-Aldrich) and stored at 4°C until examined with a fluorescence
264 microscope.

265

266

267 **Results**

268 **Experimental strategy.** During the oligomerization phase the three topologically
269 separated parts of S (cytosolic, transmembrane, and luminal part) can directly
270 interact only with parts of other S chains being in the same compartment. Therefore,
271 we could simplify the question “Which parts of S mediate oligomerization?” to “Do
272 cytosolic (transmembrane, luminal) domains of S interact with cytosolic
273 (transmembrane, luminal) domains of other S chains during oligomerization?”

274 **Transmembrane domains.** We started with the membrane compartment of S
275 consisting of transmembrane domains TM1, TM2, and HCR and asked whether
276 interactions between these domains could be measured and whether such
277 interactions are required for SVP formation. Former work suggested that TM2 might
278 be important (26). Because TM2 is part of an autonomous type II
279 translocation/anchor signal it was possible to assay TM2 separately from other parts
280 of S for its ability to interact with other TM2 domains.

281 **Heterologous expression of TM2.** TM2 and three flanking charged amino acids
282 (aa) in the S protein chain (sequence: RRFIIFLFILLLCLIFLLVLLD) were fused to the

283 C terminus of the fluorescent proteins YFP and BFP, respectively, and a short
284 hemagglutinin epitope (HA) tag was added at the C terminus of TM2 (Fig. 2A) in the
285 background of a SV40 early promoter expression vector (B-TM2 and Y-TM2). As
286 controls, similar chimeras with a substitution of the central 13 aa of TM2 with a poly-
287 alanine stretch (sequence: RRFIIA₁₃VLLD, constructs B-2pA and Y-2pA) and with an
288 exchange of TM2 by the type 2 signal sequence of the human transferrin receptor
289 (B-HTR and Y-HTR) were generated. The resulting six chimeras were expected to
290 form transmembrane proteins carrying the fluorescent moiety at the cytoplasmic side
291 of the membrane (Fig. 2B). All six constructs could easily be detected by anti-HA
292 antibodies on western blots of cell lysates after transient transfection of Huh7 cells
293 with the corresponding plasmids (Fig. 2C). After fractionation of these cells into a
294 soluble and membranous part all three YFP-fused proteins were only found in the
295 membrane fraction (Fig. 2D) like the ER transmembrane protein calnexin and not in
296 the soluble fraction like the ER luminal enzyme protein disulfide isomerase (PDI)
297 suggesting that TM2 and its derivative 2pA as well as the HTR derived signal were
298 functional.

299 Oligomerization of Y-TM2 with B-TM2 via the TM2 domain was measured by FRET
300 between their fluorescent moieties in living cells (Fig. 2E, Fig. 2F) using FACS
301 analyses (FACS-FRET) (19). To detect FRET signals by flow cytometry, we used a
302 rigorous gating strategy that finally gives the fraction of BFP positive cells showing a
303 FRET signal. In detail, we define a FRET gate (gate P3) by measuring the
304 background signal using cells that were transfected to coexpress untagged BFP and
305 YFP only (Fig. 2F, panel Y+B). The FRET gate is set in a way that less than 0.5 % of
306 these cells exert FRET. As a positive control, we utilized cells transfected to express
307 a BFP-YFP fusion protein, which were expected to yield close to 100 % FRET
308 positive cells (panel Y-B). The results of the measurements were expressed as the

309 percentage of cells shifting into the FRET gate, which was set according to the
310 negative and positive controls. Approximately 30 % of Y-TM2 plus B-TM2
311 coexpressing cells showed a positive FRET signal (Fig. 2E, lane 7, Fig. 2F, panel
312 Y/B-TM2) indicating proximity of the two proteins. Coexpression of Y-TM2 (Fig. 2E,
313 lane 3) or B-TM2 (lane 4) with non-membrane anchored BFP or YFP as a negative
314 control generated no FRET signal. As an additional negative control, we used
315 derivatives of YFP and BFP carrying the 20 N-terminal aa from neuromodulin (Y/B-
316 MEM) at their N termini (22). This short aa stretch contains a signal for post-
317 translational palmitoylation of cysteine residues and causes membrane attachment
318 of the proteins. Coexpression of Y-TM2 (lane 5) or B-TM2 (lane 6) with a B-MEM or
319 Y-MEM, respectively, resulted in no significant FRET indicating that measured FRET
320 signals were not induced by overexpression or unspecific interactions at cellular
321 membranes.

322 The positive FRET signal of Y-HTR/B-HTR coexpressing cells indicated that the
323 transmembrane domain of HTR mediated oligomerization between both proteins
324 (Fig. 2E, lane 9, Fig. 2F, panel Y/B-HTR). Moreover, coexpression of the TM2 and
325 HTR constructs (Fig. 1E, lanes 10 and 11) resulted in very low or no significant
326 FRET signals. This showed that the interaction between TM2 domains was not
327 simply the result of general hydrophobic interactions between transmembrane
328 domains but depended on specific protein-protein contacts. Coexpression of Y-2pA
329 and B-2pA generated no FRET signal (Fig. 2E, lane 8, Fig. 2F, panel Y/B-2pA) and
330 demonstrated that the central 13 aa of TM2 were important for the interaction.

331 **Requirements of transmembrane domains for SVP formation.**

332 **TM2.** We tested the importance of TM2-TM2 interactions in the background of a wild
333 type (WT) S protein carrying an HA tag at the C terminus (construct H, Fig. 3A).

334 Changing the central 13 aa of TM2 to alanine residues (mutant H.2pA) allowed
335 efficient expression (Fig. 3B, upper panel, lane 3) but blocked the appearance of
336 SVP in the culture supernatant (lower panel). This indicates that the poly-alanine
337 mutation in TM2 which still supported its function as a translocation and anchor
338 signal (Fig. 2D) but blocked TM2-TM2 interactions (Fig. 2E) also inhibited later steps
339 in SVP formation.

340 **TM1.** The type I translocation signal TM1 could not be evaluated in analogy to TM2
341 (Fig. 2) because it has no membrane anchor function and would only result in
342 translocation of a fused peptide chain across the ER membrane (27) (at a C-terminal
343 position TM1 would not be functional at all). Therefore, TM1 (sequence:
344 FLGPLLVLQAGFFLL) was tested in the background construct H by changing the
345 central 11 aa to alanine residues (mutant H.1pA; TM1 sequence FLA₁₁LL, Fig. 3A).
346 This rather drastic change was compatible with the release of the mutant into the
347 culture supernatant with slightly reduced efficiency relative to construct H (Fig. 3B,
348 lower panel, compare lanes 1 and 4) and with SVP formation as suggested by rate
349 zonal sedimentation in sucrose gradients (Fig. 3C). This indicates that a potential
350 specific interaction of TM1 with transmembrane sequences of S were probably not
351 essential for SVP morphogenesis.

352 **HCR.** A C-terminal deletion of H (H.Δ178, Fig. 3A) eliminating aa 178 to 226 of S
353 removes the putative third and fourth transmembrane domains but keeps the
354 assumed amphipathic helix. This mutant was released from transfected cells (Fig.
355 3B, lane 6) as SVP (Fig. 3C) indicating that the HCR downstream of aa 177 was not
356 required for particle formation. Particles formed by this mutant sedimented slightly
357 slower relative to SVP consisting of H (or S, see Fig. 4A) since the peak was shifted
358 two fractions to the top and are possibly smaller than 20 nm. Further deletion of the

359 amphipathic helix (construct H. Δ 153) blocked the appearance of SVP in the culture
360 supernatant (lane 7). The expected molecular weight of unglycosylated H. Δ 178 was
361 approximately 20 kDa which fits to the apparent molecular weight observed in
362 western blots. However, H. Δ 153 showed an apparent molecular weight of
363 approximately 22 kDa, while the expected value was 17 kDa. We cannot explain this
364 effect.

365 In summary, only TM2-TM2 interactions seem to be crucial for SVP formation in the
366 membrane compartment whereas HCR could be deleted and TM1 could be changed
367 drastically without blocking SVP formation.

368 **Investigating the contribution of individual parts of S to oligomerization in the**
369 **background of fluorescent S fusion proteins.**

370 To measure the influence of mutations in S on oligomerization by FRET we intended
371 to construct fusion proteins of YFP and BFP with S. The phenotype of these chimera
372 should resemble the phenotype of WT-S as close as possible. Prior studies showed
373 that a C-terminal fusion of GFP to S did not result in a construct capable of efficient
374 SVP formation (28). Moreover, the N-terminal fusion to S allowed only very inefficient
375 SVP formation (28). However, the fusion of such a large domain to the N terminus of
376 S is expected to cause the inhibition of the first membrane translocation of the S part
377 (TM1 is nonfunctional at an internal position in a peptide chain). By adding an N-
378 terminal secretion signal to an mCherry-S fusion (23) (Fig. 4A, upper panel) we
379 intended to correct the transmembrane topology of the chimera which should then
380 have the same topology as the middle-sized HBV envelope protein M. In the M
381 protein the translocation signal TM1 is able to direct the translocation of the 55 aa
382 long N-terminal preS2 domain into the ER lumen because this domain is relatively
383 short (27). By this approach we were indeed able to generate a fluorescent S

384 derivative which was secreted into the culture supernatant as efficiently as WT S
385 protein (middle panel). Rate zonal sedimentation showed that the chimera formed
386 subviral particles (lower panel). These particles sedimented slightly faster than 20
387 nm particles which is consistent with their expected larger size.

388 The mCherry construct, however, was not used in our FRET experiments because
389 the energy transfer between mCherry and YFP or BFP would be inappropriate.
390 Therefore, we fused the type I secretion signal from the enzyme β -lactamase to the
391 N terminus of YFP-S and BFP-S, respectively (constructs Y-S and B-S, Fig. 4B). For
392 unknown reasons, the Y-S and B-S constructs did not form detectable amounts of
393 subviral particles (data not shown). However, the transmembrane topology of the Y-
394 S and B-S chimera appeared as expected confirmed by protease protection
395 experiments (Fig. 4C). When microsomes were prepared from cells expressing these
396 constructs by douncing and sedimentation the YFP and BFP moieties were not
397 digested by trypsin (lanes 5 and 11) unless the membranes were opened by the
398 addition of detergent (lanes 6 and 12). This phenotype indicates translocation of the
399 protected domains into the ER lumen and was similar to the behavior of the preS2
400 domain of the M protein (lanes 2, 3). When the secretion signal sequence was
401 missing at the N terminus (construct #B-S), the BFP moiety was also digested in the
402 absence of detergent as expected (lane 14). The larger apparent molecular weight of
403 B-S relative to #B-S is caused by covalent modifications of the BFP moiety like N-
404 glycosylation occurring in the ER lumen (29). This supports the notion that the
405 topology of B-S is similar to the M protein. These results motivated us to use the Y-S
406 and B-S constructs for FRET analyses to define domains of S involved in S
407 oligomerization.

408 **Mutation of luminal cysteine residues to serine residues.** LL contains 8 of the 14
409 cysteine residues of the S protein. This domain very efficiently mediated
410 oligomerization (probably dimerization) of S (see below) which is stabilized very early
411 after translation by intramolecular disulfide bridges between S chains (13). By
412 changing all 8 cysteine residues in LL to serine residues LL loses its property to
413 support oligomerization (see below). In fact, the resulting construct in the H
414 background (H*, the star indicates the substitution of all luminal cysteine residues in
415 all constructs) did not form disulfide linked oligomers as evident after gel
416 electrophoresis under non-reducing conditions (data not shown), and H* was not
417 able to generate secreted SVP although its expression level was only slightly
418 reduced in comparison to H (Fig. 3B, lanes 1 and 2). We introduced the 8 serine to
419 cysteine mutations in LL of the Y-S and B-S constructs resulting in Y-S* and B-S*
420 (Fig. 4B), respectively, in order to be able to measure the influence of mutations in
421 other parts of S on oligomerization by FRET. Expression levels of the Y/B-S*
422 derivatives were slightly lower relative to Y/B-S (Fig. 4D), however, the FRET signals
423 were comparable (Fig. 4F). All further mutants based on constructs Y/B-S (Fig. 4B)
424 could be detected in transiently transfected Huh7 cells, although their expression
425 levels were slightly different (Fig. 4D, E). All constructs carrying the N-glycosylation
426 site of the S moiety at N146 appeared as double bands on western blots most
427 probably due to partial N-glycosylation at this site (Fig. 4D). All constructs missing
428 N146 showed a single band (Fig. 4E). Mutant Y-S*.Δ153 (Fig. 4D, lane 7, labeled
429 with a star) generated two double bands: one at the expected position corresponding
430 to approximately 55 kDa molecular weight and an unexpected one at approximately
431 70 kDa. The Δ153 C-terminal truncation of construct H also showed an abnormal
432 molecular weight after gel electrophoresis (Fig. 3B, lane 7). We have no explanation
433 for this observation so far.

434 Furthermore, we compared the intracellular distribution of the Y-S fusion and several
435 selected mutants with the WT S protein by immunofluorescence (Fig. 4G). The S
436 protein was detected using a polyclonal anti-HBs antibody and a fluorescent
437 secondary antibody, while the other constructs were visualized by their fluorescence.
438 In addition the ER was labeled with an antibody against the ER resident protein
439 protein disulfide isomerase (PDI), and the Golgi complex was stained by
440 cotransfection of an expression vector for a fluorescent galactosyltransferase I (30).
441 All proteins showed a diffuse staining of the ER and a more punctuated pattern in the
442 Golgi complex like the WT S protein.

443 **Transmembrane domains.** Substitution of the central 13 aa of TM2 with alanine
444 residues which blocked TM2-TM2 interactions in the Y/B-TM2 background
445 (constructs Y/B-2pA, Fig. 2) reduced the FRET signal in the Y/B-S* background only
446 from 97 % to 78 % (compare Fig. 4F, lanes 4 and 5). This indicates that other
447 elements than TM2 contributed to S protein interactions. TM1 was also tested in the
448 context of Y/B-S* by the poly-alanine substitution of the central 11 aa (construct Y/B-
449 S*.1pA, Fig. 4B). This change reduced the FRET signal from 97 % to 60 % (Fig. 4F,
450 lane 6) suggesting that TM1 supports the formation or stabilization of S oligomers.
451 The combined alanine substitutions in both TM1 and TM2 (Y/B-S*.12pA) showed a
452 positive FRET signal of 68 % (Fig. 4F, lane 7). The differences between the 1pA,
453 2pA, and 12pA derivatives were statistically not significant.

454 Removing HCR by introduction of the $\Delta 178$ truncation into the Y/B-S* background
455 (constructs Y/B-S*. $\Delta 178$) resulted in a marked decrease of FRET signals to 42 %
456 (Fig. 4F, lane 8). Apparently, HCR also supports S oligomerization. The additional
457 removal of the putative amphipathic helix in construct Y/B-S*. $\Delta 153$ reduced the

458 FRET signal to 35 % (lane 9). The difference of the FRET signal between Y/B-
459 S*.Δ178 and Y/B-S*.Δ153 was not statistically significant.

460 **Cytosolic loop.** To investigate whether the CL between TM1 and TM2 mediates
461 contacts between S chains during oligomerization we introduced the point mutation
462 C65S into this region in the Y/B-S* background (mutants Y/B-S*.C65S, Fig. 4B). It is
463 not expected that cysteine 65 is part of a disulfide bridge because the redox state of
464 the cytosol generally prevents cystine formation in this compartment. Prior studies
465 demonstrated that the C65S point mutation completely blocked SVP secretion (31).
466 The C65S mutation also strongly reduced the FRET signal from 97% to 22% when
467 introduced into the Y/B-S* pair (Fig. 4F, lane 13). Although a profound effect of the
468 conservative point mutation on the gross folding of the S protein cannot be fully
469 excluded it seems rather unlikely. A more plausible explanation is that the mutation
470 blocked interactions of S chains via CL or interactions of this loop with unknown
471 cellular factors supporting the oligomerization of S chains.

472 In the mutant Y/B-S.12pA Δ100 the transmembrane domains TM1 and TM2 are
473 mutated by poly-alanine stretches and LL as well as HCR are deleted. Therefore, the
474 only remaining part of the S protein is CL. This construct still showed a low but
475 measurable FRET signal (Fig. 4F, lane 14) suggesting homodimer formation by
476 intermolecular interactions of CL domains. The introduction of the C65S point
477 mutation in CL in this background (constructs Y/B-S.C65S-12pA Δ100), however,
478 completely abolished the FRET signal (lane 15) supporting this notion. The
479 background threshold of the assay (dashed line in Fig. 4F) was defined as the
480 average of at least three negative control transfections (unfused BFP + YFP) plus
481 three times their standard deviation.

482 **Luminal loop.** The mutant Y/B-S. Δ 100 lacks not only HCR and the putative
483 amphipathic helix but in addition LL. This construct showed a FRET signal of 42%
484 (Fig. 4F, lane 10) which is comparable to the FRET signal of Y/B-S*. Δ 153 (Fig. 4D,
485 lane 9) carrying in addition LL with the cysteine to serine mutations. This result
486 suggests that LL with the eight cysteine to serine point mutations did not contribute
487 to the oligomerization of S chains.

488 In Y/B-S*.C65S-2pA we combined the 2pA mutation (substitution of the central 13 aa
489 of TM2 by alanine residues) blocking TM2-TM2 interactions with the C65S mutation
490 in CL and the cysteine to serine mutations in LL. This mutant generated no
491 detectable FRET signal (Fig. 4F, lane 12). This strengthens the statement that TM1
492 and HCR were not sufficient to establish measurable contacts between S chains.
493 Restoring all 8 cysteine residues of LL of this mutant (resulting in Y/B-S.C65S-2pA)
494 restored the FRET signal to WT (97%, Fig. 4F, lane 11) indicating that LL was able
495 to efficiently mediate S oligomerization independent of functional CL and TM2 when
496 expressed in the context of full length S protein.

497 Altogether, our results showed that TM1 and HCR were not essential for S
498 interactions whereas LL, TM2, as well as CL independently mediated S
499 oligomerization. In addition, we describe mutations blocking the homooligomerization
500 of these three domains.

501

502 **Discussion**

503 During SVP morphogenesis approximately 100 transmembrane HBV S proteins
504 assemble with lipids forming a spherical lipoprotein particle of 20 nm diameter. Since
505 host proteins are efficiently excluded during S protein aggregation and SVP

506 morphogenesis it has to be assumed that specific interactions between the
507 assembling proteins are required for this process. The present study aimed to
508 identify regions in S which were crucial for S protein interactions during this process.

509 On the one hand, this study was simplified by the fact that the topologically
510 separated areas of S could directly interact only with areas of other S chains in the
511 same compartment (CL with CL; LL with LL; TM1, TM2, and HCR with each other).

512 On the other hand, the study was relatively complex because it turned out that three
513 areas of the S protein (CL, TM2, and LL) independently mediated S-S interactions.

514 We were finally able to define the contribution of each domain by FACS-FRET
515 analysis because we could generate an S mutant carrying relatively subtle mutations
516 in CL (C65S), TM2 (a poly-alanine stretch), and LL (point mutation of all 8 cysteine
517 residues to serine residues) that showed no FRET signal anymore (Y/B-S*.C65S-
518 2pA, Fig. 4D). This mutant could be used as a background to individually reintroduce
519 the WT version of CL (Y/B-S*.2pA), TM2 (Y/B-S*.C65S), and LL (Y/B-S.C65S-2pA).

520 All three variants exhibited strong or medium FRET signals (Fig. 4F, lanes 5, 13, 11)
521 suggesting that each one of CL, TM2, and LL was sufficient for generating
522 measurable protein interactions.

523 The most direct evidence for a specific contribution to S-S interactions was obtained
524 for TM2 (Fig. 2) since this element could be tested isolated from other parts of S due
525 to the autonomous functionality of the type 2 translocation signal. Prior studies (26)
526 also suggested a role of TM2 in this process since the exchange of TM2 in S by the
527 type 2 translocation signal of the human transferrin receptor with identical length or
528 by TM2 from the duck hepatitis B virus S protein resulted in a stable chimera that
529 was unable (i) to form SVP, (ii) to form mixed particles with WT S, and (iii) to inhibit
530 SVP formation by coexpressed WT S. This phenotype indicated that the chimera und

531 WT S did not form stable, mixed oligomers. Apparently, the changes in TM2
532 prevented a stable interaction. Also in the background of a full-length S protein fused
533 to YFP and BFP the protein contacts were mediated by TM2 (compare Y/B-S*.C65S
534 with Y/B-S*.C65S-2pA, see previous paragraph). A contribution of transmembrane
535 domains to the assembly of viral envelope proteins has also been reported for other
536 viruses (32).

537 TM1 seems to be less important for stable S oligomer formation than TM2. Indeed,
538 the exchange of 11 aa by alanine residues reduced FRET signals from 97 % to 60 %
539 (Fig. 4F, lane 4 and 6), however, this mutation was compatible with SVP formation in
540 the H background (Fig. 3B, lane 4 and Fig. 3C). Furthermore, the replacement of
541 TM1 by the type 1 secretion signal from β -lactamase allowed SVP secretion in the
542 background of the middle-sized HBV envelope protein M carrying additional 55 aa
543 (preS2 domain) at the N terminus of S (26). The large HBV envelope protein L
544 [carrying additional 119 aa (preS1 domain) at the N terminus of M] generates two
545 different transmembrane topologies: one with a cytoplasmic preS1 domain (i-preS)
546 and the other with a luminal preS1 domain (e-preS) (25, 33, 34). It is expected that
547 TM1 in the S domain of L chains with an i-preS conformation is not traversing the
548 membrane, but is located like preS1, preS2, and LL on the cytoplasmic side of the
549 ER membrane. The i-preS form of L is, however, incorporated into the envelope of
550 virions and into SVP. This supports the model that TM1 as a transmembrane domain
551 is not required for HBV envelope protein assembly.

552 The HCR was clearly not required for S protein oligomerization since its deletion
553 allowed SVP formation (H. Δ 178, Fig. 3B, lane 6 and Fig. 3C). Nevertheless, the
554 deletion caused a reduction of protein-protein interactions as measured by FRET
555 (Fig. 4F, lane 8). Apparently, this interaction was not essential for SVP formation. A

556 similar C-terminal deletion of the S protein at position L176 (35) resulted in a stable
557 protein not forming SVP which was, however, incorporated into SVP when
558 coexpressed with WT S protein. In this S mutant a few foreign aa residues were
559 fused C-terminally to L176 due to the cloning strategy which may cause the
560 phenotypic difference to H.Δ178. The formation of mixed particles, however, also
561 indicates that HCR was not essential for a stable interaction of S protein chains.

562 The authentic sequence of TM1 and the presence of HCR were not necessary for
563 SVP formation and therefore not for S protein assembly. Both domains were also not
564 sufficient for S protein dimerization since the constructs Y/B-S*.C65S-2pA containing
565 WT TM1 and HCR generated no FRET signal (Fig. 4F, lane 12). This phenotype
566 underlines the minor role of TM1 and HCR in S protein oligomerization.

567 The domain LL had the strongest effect on oligomerization. Changing the 8 cysteine
568 to serine mutations in LL of mutant Y/B-S*.C65S-2pA to WT restored the FACS
569 signal from negative to the WT level (Fig. 4F, compare lane 12 and 11). This might
570 also be explained by the fact, that LL-LL interactions are stabilized by disulfide
571 bridges covalently locking the interaction partners.

572 A positive FRET signal indicates proximity of coexpressed YFP/BFP pairs. However,
573 it does *per se* not distinguish whether the proximity is created early in the SVP
574 morphogenesis pathway (like dimer formation) or later (like higher oligomer
575 formation). It is, however, very likely that dimerization is a prerequisite for the
576 formation of higher complexes. Therefore, we propose that the three domains CL,
577 TM2, and LL are involved in dimer formation (Fig. 5). The proposed model does not
578 explain how S dimers form higher oligomers and exclude host protein during
579 assembly. A conceivable possibility is that the proposed amphipathic helix (aa 156 –
580 169) or C-terminal parts of the luminal loop mediate contacts between S dimers and

581 therefore support the generation of higher oligomers. Alternative approaches will be
582 needed to clarify the requirements for the formation of higher multimers.

583

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589

590 **Figure Legends**

591

592 **FIG. 1.** Model for the transmembrane topology of the HBV S protein monomer in the
593 ER membrane (large grey bar). TM1, TM2: transmembrane domains 1 and 2, CL:
594 cytosolic loop, LL: luminal loop, HCR: hydrophobic C-terminal region. Small box:
595 putative amphipathic helix, (G): facultative glycan N-linked to N146, C65: cysteine
596 residue at position 65. Numbers refer to aa positions. Domains located in the ER
597 lumen (cytosol) are located on the surface (in the inside) of secreted SVP.

598

599 **FIG. 2.** TM2-TM2 interactions. (A) Map of constructs consisting of an N-terminal YFP
600 or BFP moiety fused to TM2, a derivative of TM2 with alanine substitutions of the
601 central 13 aa (2pA), or the type II signal of the human transferrin receptor (HTR). An
602 influenza virus hemagglutinin tag (HA) was fused to the C terminus. (B) Expected
603 transmembrane topology of the constructs. (C) Western blot from lysates of cells
604 expressing the six constructs stained with anti-HA (upper panel) or anti-tubulin (lower

605 panel) as a loading control. (D) Western blot of cells transfected with the indicated
606 YFP constructs and separated into soluble (s) and membrane (m) fractions, (t):
607 unfractionated total cell lysate. Staining was performed with antibodies (ab) against
608 the HA tag of the fusion proteins, against calnexin as an ER luminal and soluble
609 protein, against PDI as an ER transmembrane protein, and against tubulin as a
610 cytosolic soluble protein (ab). The YFP constructs appeared exclusively in the
611 membrane fraction. Numbers and bars at the left: position of molecular weight
612 markers. (E) FACS-FRET analysis. Cells cotransfected with the indicated YFP (Y) /
613 BFP (B) pairs were used for FACS-FRET analysis. MEM: palmitoylated 20 aa
614 domain causing membrane attachment of the fusion protein. Y-B: YFB-BFP fusion
615 protein. The ordinate indicates the fraction of BFP-positive cells showing a positive
616 FRET signal. Dashed line: background threshold. TM2 domains specifically
617 interacted with each other. (F) FACS plot of cells (co-) transfected with the indicated
618 constructs. The gate P3 excluded cells with background FRET signals.

619
620 **FIG. 3.** Influence of mutations in transmembrane domains of S on SVP formation. (A)
621 Map of S derivatives. Black boxes: transmembrane domains, gray box: putative
622 amphipathic helix, hatched box: HA tag. C->S: point mutations of all 8 cysteine
623 residues in LL to serine residues. 1pA (2pA): substitution of the central 11 (13) aa of
624 TM1 (TM2) by alanine residues. $\Delta 178$, $\Delta 153$: C-terminal deletions. The number
625 indicates the position of the stop codon. (B) Western blots of cell lysates (upper two
626 panels) and culture supernatants (lower two panels) stained with the indicated
627 antibodies (ab). Numbers and bars at the left: position of molecular weight markers.
628 The 1pA mutation and the $\Delta 178$ truncation were compatible with secretion. (C) SVP
629 formation by secretion competent mutants. Culture supernatants of cells expressing
630 the indicated constructs were sedimented through a sucrose gradient. Proteins were

631 detected in gradient fractions by western blotting using an HA antibody (upper
632 panels, numbers at the left indicate the position of molecular weight markers), and
633 sucrose concentration (w/w) of the fractions were measured (lower panels).

634

635 **Fig. 4.** Influence of mutations in S on oligomerization. (A) A fluorescent derivative of
636 S competent for SVP formation. Upper panel: Map of the fusion protein C-S carrying
637 an N-terminal secretion signal (sig). Middle panel: Western blot of lysates and culture
638 supernatants of cell expressing WT S or C-S stained with a monoclonal anti-HBs
639 antibody. Lower Panel: Western blot of fractions from a sucrose gradient after rate
640 zonal centrifugation. (B) Map of constructs. All constructs carry a YFP or BFP moiety
641 (hatched box) at the N terminus preceded by a secretion signal (small black box).
642 TM1, TM2, HCR: transmembrane domains and hydrophobic C-terminal region; grey
643 box: putative amphipathic helix; pA: substitution of the central 11 (in case of TM1) or
644 13 (in case of TM2) aa by alanine residues, C->S: substitution of all 8 cysteine
645 residues in LL by serine residues; C65S: exchange of cysteine 65 by serine.
646 Numbers at the right refer to the lanes in panel F. (C) Transmembrane topology of Y-
647 S and B-S chimera. Microsomes from cells expressing the indicated proteins were
648 treated with trypsin in the absence or presence of mild detergent. The S protein was
649 resistant against trypsin cleavage. The preS2 domain of the M protein was only
650 cleaved when microsomes were opened (lane 3) as expected (25). B-S showed a
651 phenotype equivalent to M, Y-S was not efficiently cleaved. #Y-S and #B-S are
652 similar to Y-S and B-S, respectively, but lack the N-terminal secretion signal. (D and
653 E) Western blots of lysates from cells expressing the indicated constructs. Upper
654 panels: staining with anti-GFP (cross-reacting with YFP), lower panels: staining with
655 anti-tubulin as a loading control. Panel in (E) was developed 5 times longer than
656 panels in (D). Numbers and bars at the left: position of molecular weight markers. (F)

657 FACS-FRET signals from cells expressing the indicated YFP/BFP pairs. Y + B:
 658 coexpression of YFP and BFP, Y-B: fused YFP-BFP chimera. Dashed line:
 659 background threshold. (G) Intracellular distribution of Y-S derivatives. Cells
 660 expressing the indicated constructs (autofluorescence, green) and a fluorescent
 661 version of the Golgi enzyme GalT (GalT-CFP, blue) were stained with an antibody
 662 against the ER resident protein PDI (red) and analyzed by immunofluorescence. The
 663 WT S protein was stained with polyclonal anti-HBs and a second fluorescent
 664 antibody. The bars indicate a distance of 12 μm . All constructs show a diffuse
 665 pattern in the ER and a punctuated pattern in the Golgi complex like the WT S
 666 protein.

667

668 **Fig. 5.** Proposed model for the S homodimer. The TM2 domains of two S proteins
 669 interact with each other as well as the two CL and the two LL domains.
 670 Homodimerization of LL is stabilized by intermolecular disulfide bridges (-S-S-). The
 671 authentic sequence of TM1 and the presence of HCR were not required for SVP
 672 formation. Small circular area: cysteine 65. N: N terminus.

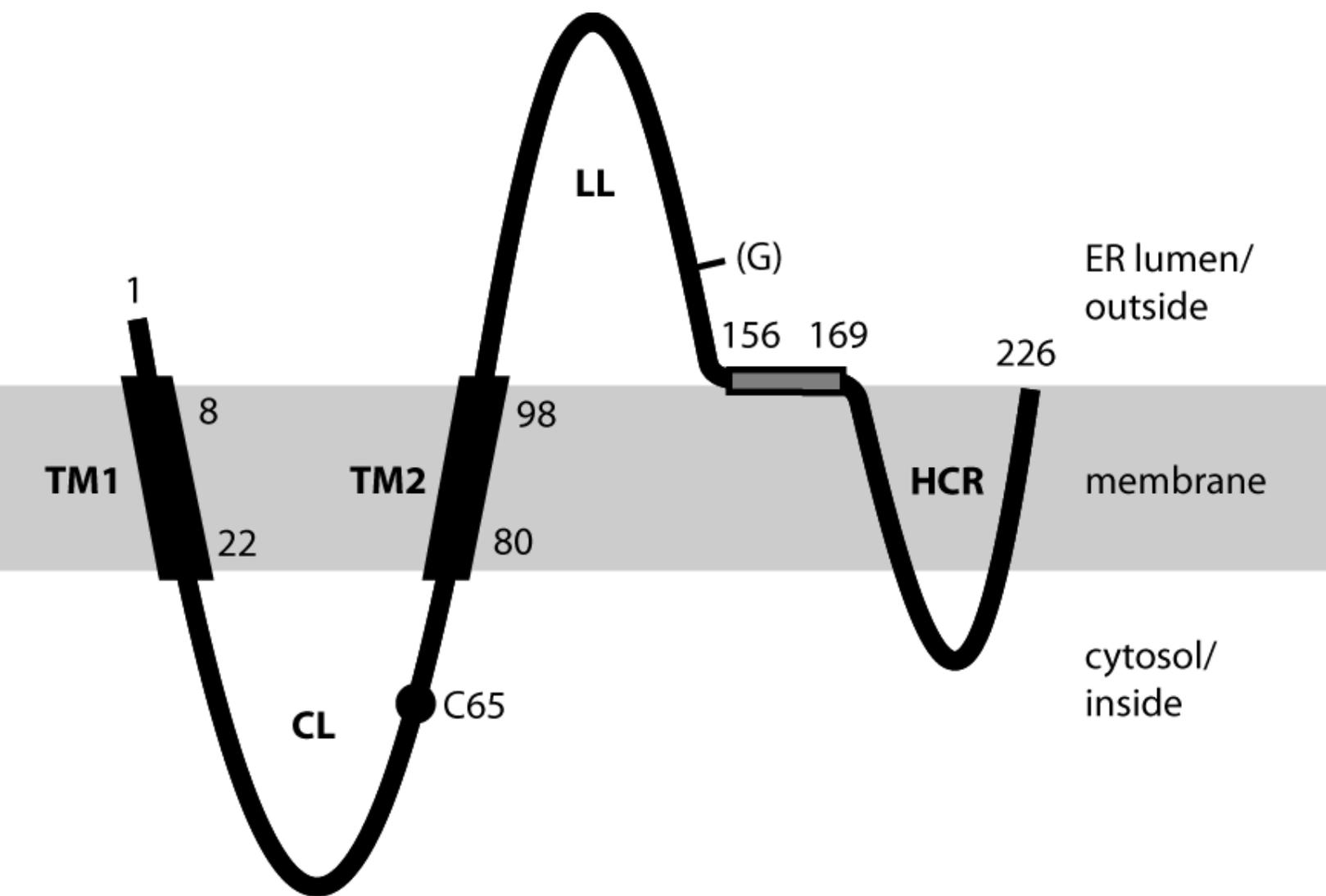
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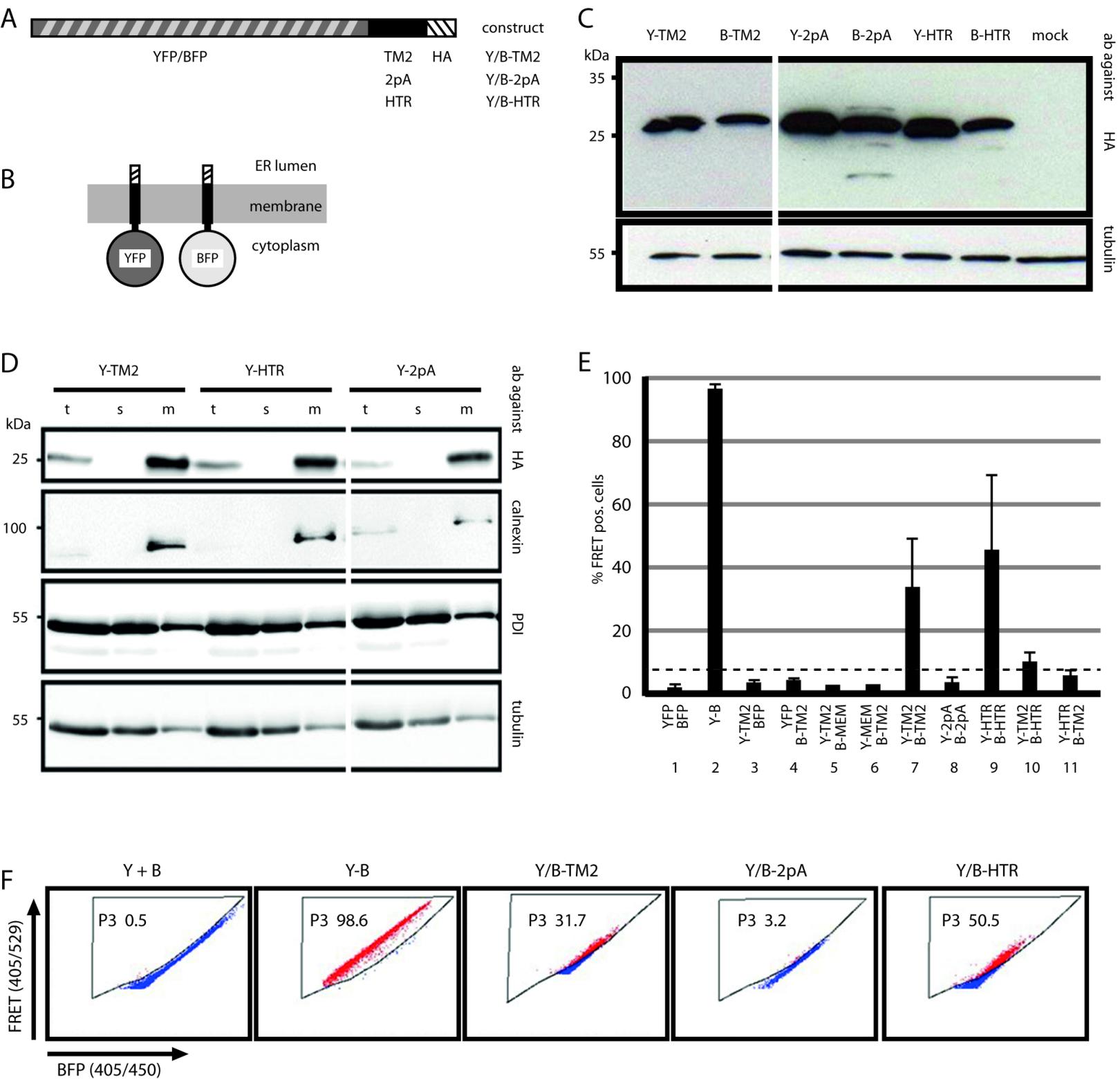
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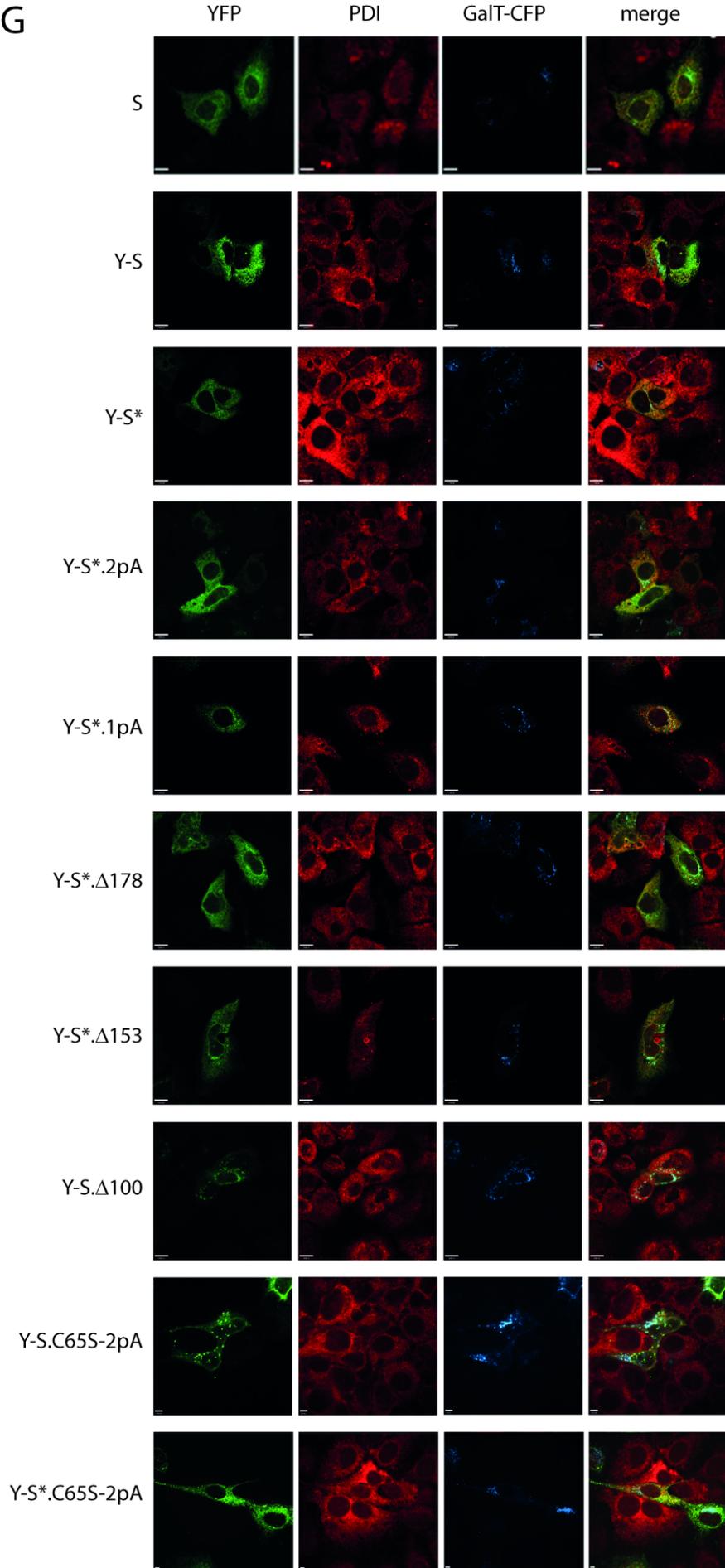
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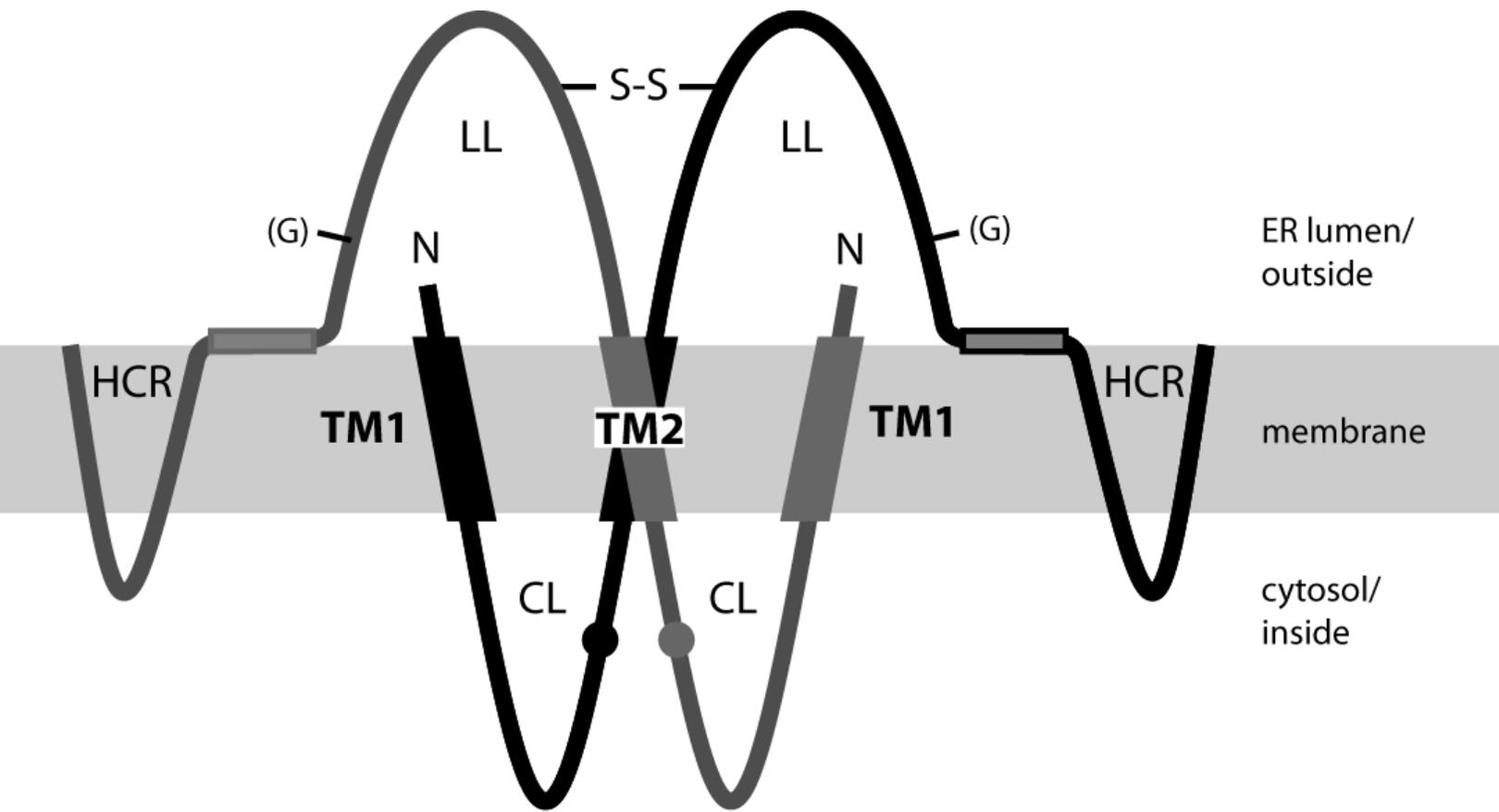
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Suffner et al. Fig. 1



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Suffner et al. Fig. 5