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### **Localization optoacoustic tomography**

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### 8 ABSTRACT

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Localization-based imaging has revolutionized fluorescence optical microscopy and has also enabled unprecedented ultrasound images of microvascular structures in deep tissues. Herein, we introduce a new concept of localization optoacoustic tomography (LOT) that employs rapid sequential acquisition of three-dimensional optoacoustic images from flowing absorbing particles. We show that the new method enables breaking through the spatial resolution barrier of acoustic diffraction while further enhancing the visibility of structures under limited-view tomographic conditions. Given the intrinsic sensitivity of optoacoustics to multiple hemodynamic and oxygenation parameters, LOT may enable a new level of performance in studying functional and anatomical alterations of microcirculation.

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#### INTRODUCTION

- Diffraction causes blurring of image features and has been traditionally associated with the spatial resolution limit in light microscopy and other imaging modalities <sup>1</sup>. Image resolution is

defined as the smallest distance between two points that can be differentiated unambiguously.
The resolution of an imaging system is quantified via its point spread function (PSF),
corresponding to an image acquired from a point source. It is generally assumed that only
points separated by a distance larger than the full width at half maximum (FWHM) of the PSF
can be resolved. The FWHM of the PSF may erroneously be interpreted as an "accuracy limit"
affecting any dimensional measurement in the images. However, the precision in determining
the position of an isolated source can greatly surpass the diffraction limit. Then, if individual
sources can be isolated in a certain way, an image can be built by superimposing their
estimated positions <sup>2</sup> . This idea has been successfully exploited in super-resolution
fluorescence microscopy methods based on single-molecule localization, independently termed
as stochastic optical reconstruction microscopy (STORM) <sup>3</sup> , photo-activated localization
microscopy (PALM) <sup>4</sup> or fluorescent photo-activation localization microscopy (FPALM) <sup>5</sup> .
Localization microscopy has further been extended to three-dimensional imaging <sup>6</sup> and recent
developments have enabled a never-seen-before resolution in a few nanometers range 7.
Localization has also been used for overcoming the acoustic diffraction barrier in ultrasound
(US) imaging. In this case, super-resolution is achieved by determining the position of individual
microbubbles or nanodroplets <sup>8-10</sup> . Since such particles are moving with the blood flow, they can
be localized in different positions in a sequence of B-mode images. An image of vascular
structures can then be rendered by superimposing all localized sources. Ultrafast US
localization has provided unprecedented images of cerebral microvessels in rodents and has
further enabled the characterization of microvascular flow <sup>11</sup> .
Being based on light excitation and ultrasound detection, the resolution of optoacoustic (OA)
imaging is affected by both optical and acoustic diffraction, typically scaling with 1/200 of the
imaging depth <sup>12</sup> . Optical-resolution OA images can be acquired by focusing the excitation light
up to a depth of ~1 mm within scattering biological tissues, where super-resolution OA methods
similar to those used in fluorescence microscopy have been employed to overcome optical

diffraction <sup>13-16</sup>. At deeper regions, OA tomography (OAT) relies on acoustic inversion methods with acoustic diffraction representing an actual resolution barrier. State-of-the art OA systems based on parallel acquisition of signals for each laser pulse with ultrasound arrays have enabled three-dimensional imaging at unprecedented volumetric rates <sup>17</sup>. The resolution of such systems has been enhanced via processing a sequence of images acquired by slightly shifting the detection array <sup>18</sup>. Also, dynamic imaging of flowing individual absorbers has been showcased <sup>19</sup>, which was also exploited for super-resolution imaging <sup>20</sup>. Herein, we introduce localization optoacoustic tomography (LOT) aimed at overcoming the spatial resolution barrier of acoustic diffraction in OA imaging and tomography.

### **MATERIALS AND METHODS**

### Three dimensional optoacoustic imaging system

LOT can in principle be performed with any OAT system providing real-time imaging capability. In order to demonstrate true 3D localization capacity, our previously reported real-time volumetric OAT system was used in the current work <sup>21</sup>. It employs a spherical matrix detection array uniformly populated by 256 individual piezocomposite elements having 4 MHz central frequency and >100% bandwidth in receive mode (Fig. 1). The spherical aperture has a radius of curvature of 40 mm and covers an angle of 90°. The array was fixed pointing upwards and the volume between its active detection aperture and the imaged sample was filled with agar, which served as an acoustic coupling medium and was further used to hold the imaged samples. Short-duration (<10ns) pulses at 720nm wavelength, generated by a tunable (700-900 nm) optical parametric oscillator (OPO) laser (Innolas Laser GmbH, Krailling, Germany), were used for the excitation of OA responses. The laser can operate at a pulse repetition frequency (PRF) of up to 100 Hz. The matrix array has a central opening through which the light

- beam was guided using a custom-made fiber bundle (Ceramoptec GmbH, Bonn, Germany).
- 2 The detected 256 time-resolved OA signals were simultaneously digitized at 40 megasamples
- 3 per second with a custom-made data acquisition system (DAQ, Falkenstein Mikrosysteme,
- 4 GmbH, Taufkirchen, Germany) and transmitted to a PC via Ethernet for further processing.
- 5 Synchronization of the acquisition was performed by triggering the DAQ with the Q-switch
- 6 output of the laser.

### Signal and image processing

The acquired raw OA signals were first band-pass filtered for noise reduction and deconvolved with the impulse response of the transducer elements. Specifically, a Wiener filter with noise-to-signal ratio of 0 was used for the deconvolution, where the impulse response was measured by recording a signal generated by a 40 µm diameter absorbing microsphere located at the center of the spherical array <sup>22</sup>. Subsequently, a second-order band-pass Butterworth filter with cut-off frequencies of 0.1 and 8 MHz was applied to the digitized signals in order to remove low-frequency bias and high-frequency noise. OA image reconstruction was then performed with a previously reported three-dimensional model-based algorithm implemented on a graphics processing unit (GPU) <sup>23</sup> on a Cartesian grid of 320x320x160 voxels (16x16x8 mm³ FOV). In short, the OA reconstruction method consists in a numerical discretization of the OA forward model, where the pressure signals at a set of points and instants can be expressed in a vector form as

$$p = Ah, (1)$$

where **A** is the OA model-matrix and **h** represents the initial OA pressure at the grid of points to be reconstructed. The reconstruction algorithm is based on estimating the distribution of initial

- OA pressure  $\hat{\mathbf{h}}$  for which the measured signals  $\mathbf{p}_{m}$  better match the theoretical model. This is
- 2 achieved by calculating the solution of a least square problem defined as

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4  $\hat{\mathbf{h}} = \operatorname{argmin}_{\mathbf{h}} ||\mathbf{p}_{m} - \mathbf{A}\mathbf{h}||_{2}^{2}$ . (2)

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- 6 No regularization term was included in Eq. (2), which was iteratively solved (10 iterations) with
- 7 the LSQR method. Specific details on the numerical implementation of the reconstruction
- 8 method can be found elsewhere <sup>23</sup>. The imaging system provides an effective field of view
- 9 (FOV) of ~1 cm<sup>3</sup>, estimated as the volume in which the reconstructed amplitude of an absorbing
- particle decays by less than 50% with respect to its amplitude when located in the center of the
- 11 spherical array geometry. When using the full detection bandwidth of 4 MHz of the array
- transducer, the tomographic system can attain spatial resolution in the 300-800µm range in the
- axial (z) dimension and 200-700 $\mu$ m in the lateral (x,y) dimensions across the 1 cm<sup>3</sup> FOV <sup>21</sup>.

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#### Absorbing particles

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- 17 The individual particles that were localized were ~30 μm diameter black paramagnetic
- 18 polyethylene microspheres (Cospheric BKPMS 27-32). The microspheres are composed of a
- 19 homogenous composition of Polyethylene and approximately 20% by weight of a Manganese
- 20 Ferrous Oxide which provides paramagnetic properties as well as high light absorption for
- visible and near infrared wavelengths. Due to the hydrophobic nature of the spheres, they were
- 22 suspended in ethanol so that they are uniformly separated in the flowing fluid.

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#### The optoacoustic localization method

The general concept of LOT consists of rapid acquisition of a sequence of three-dimensional OA images from flowing absorbers (Fig. 1a). In this way, any structure supporting the particle flow can be accurately mapped by resolving multiple individual absorbers occupying its volume. However, absorbers smaller than the diffraction-limited resolution of the imaging system are required for resolution enhancement. If the absorbers are separated by a distance larger than the diffraction-limited resolution, their individual locations can be accurately determined in each frame of the sequence (Fig. 1b). Localization of individual absorbers was performed by determining the position of local maxima in the OA images. For this, all voxels whose value is <10% of the maximum in the entire reconstructed image sequence are thresholded to 0 (~95% of all voxels). Subsequently, local maxima are determined using the built-in Matlab function imregionalmax. Note that the optimum threshold values generally depend on the particular experimental parameters, such as the laser energy, the transducer frequency or the number and type of absorbing particles. The LOT image is eventually formed by superimposing a set of points corresponding to the localized positions of the absorbers (Fig. 1c).

### **RESULTS AND DISCUSSION**

In a first experiment, we determined the point spread function (PSF) of the OAT system as well as the variations in the localized position of an individual absorber, which affects the resolution achieved with LOT. For this, a single absorbing microsphere (see Methods) was embedded in the agar matrix at approximately the center of the spherical array and a sequence of 5000 volumetric frames was acquired. The light fluence at the particle location was measured at ~10 mJ/cm². Due to its small size, the microsphere acts as a point absorber, thus the reconstructed volumetric image (Fig. 2a) corresponds approximately to the PSF of the OAT system. Even though the PSF has a clearly defined maximum that can be taken as the localized position of the point absorber, it may not exactly match its actual position. Note that this

inaccuracy does not affect the spatial resolution of LOT, which is rather determined by the ability
to differentiate two adjacent point absorbers. The volumetric image built as the histogram of the
localized positions of the absorber for all frames of the image sequence is displayed in Fig. 2b.
The histograms of the $x$ , $y$ and $z$ coordinates of the localized positions are shown in Fig. 2c
along with fitted Gaussian curves. The variability in the localized position of an absorber
determines the resolution that can be achieved when localizing absorbers of the same type
under the same conditions. In our experiments, the standard deviation of the localized positions
in the $x$ , $y$ and $z$ directions were 78, 48 and 112 $\mu$ m respectively. We ascribe the slightly higher
value of the standard deviation in the $z$ direction to jitter in our data acquisition system. The line
profiles through the OA image along the three Cartesian coordinates are also displayed in Fig.
2c. In fluorescence microscopy, the localization precision is usually reported as the standard
deviation of multiple localized positions of the same source <sup>24</sup> . Hence, the comparison in Fig. 2c
illustrates the enhancement in resolution achieved with LOT as compared with standard OAT. It
is also important to note that, while negative value artifacts are present in the individual OA
image frames (Fig. 2c, right) due to the limited-view tomographic geometry 25, no negative
values are generated in the LOT images. It can also be seen in Fig. 2c that negative values in
the PSF deteriorate the resolution of the OAT system in the $z$ (axial) direction, which can be
rectified with LOT.
Localization imaging was experimentally demonstrated by suspending the 30 $\mu m$ diameter
microspheres in ethanol and circulating them through a 20 μl Eppendorf microloader pipette tip
(~220 μm inner diameter) bent to form a knot. A sequence of 1000 images was acquired with
the laser running at a PRF of 10 Hz, which was selected to achieve the best trade-off between
the average light intensity and maximum fluence, both fulfilling the safety standards for
continuous human skin exposure to pulsed laser radiation <sup>26</sup> . At higher PRFs, the energy per
pulse must be reduced in order to conform to safety limits concerning the average light intensity,

1 resulting in a lower signal to noise ratio and hampering detection of individual absorbers. 2 Subsequently, the pipette tip was filled with India ink (Higgins, Chartpak, optical density 20). In this case, the acquired signals were band-pass filtered between 0.1 and 3 MHz in order to 3 emphasize the super-resolution property of the LOT method by deliberately reducing the 4 5 effective diffraction-limited spatial resolution of the imaging system. The images obtained with standard OAT for the pipette tip filled with ink and those obtained with LOT are displayed in 6 7 Figs. 3a and 3b with their cross-sections and one-dimensional profiles shown in Fig. 3c, Fig. 3d. Note that the original OA images in Fig. 3 exhibit lower resolution than in Fig. 2 since a narrower 8 9 signal filtering bandwidth was employed (3MHz as opposed to the full 8MHz signal bandwidth). The LOT image was formed by considering 3600 points. Specifically, a binary image was 10 formed by assigning a value of 1 to voxels for which at least one particle was localized to 1 and 11 12 0 otherwise. A moving average filter with kernel size 3x3x3 voxels was eventually applied to 13 smoothen the volumetric LOT image. Rotational views of both images are provided in Supplementary Video 1. The actual formation of the super-resolution LOT image from multiple 14 localized positions of the absorbers is further illustrated in Supplementary Video 2. It is shown 15 that no significant differences in the LOT image are rendered for a number of points higher than 16 17 ~500 (~140 frames). For a pulse repetition rate of 10 Hz, such an image can be formed in 14 seconds. Thus, in order to avoid motion artefacts in in vivo applications and achieve real-time 18 19 LOT imaging, the acquisition rate should be significantly accelerated. Note that, while LOT clearly resolves the shape of the knot, this is not possible with the standard diffraction-limited 20 OAT. Apart from attaining significantly better resolution beyond the diffraction limit, LOT is also 21 responsible for the enhanced visibility of the lateral sides of the pipette tip. The lateral sides are 22 obscured in the regular OAT images due to the limited tomographic view of the matrix detection 23 array 25. Thus, superimposition of multiple localized positions also aids in mitigating limited-view 24 25 artefacts and eliminating negative values from the images.

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In the suggested implementation, LOT is especially suited for imaging vascular structures that can support the flow of extrinsically-administered absorbers. The latter can readily be distinguished from static absorbers by considering the image differences in a sequence. Angiographic imaging is of great relevance for many applications, such as visualization of tumor neovasculature, brain function, and peripheral vascular diseases, and LOT can play an important role in the diagnosis, treatment monitoring and fundamental understanding of the mechanisms of alteration in the microcirculation. Importantly, images rendered by LOT are not affected by lack of visibility under limited-view conditions. This is often the case for hand-held OA imaging, which can only be done with a limited tomographic coverage around the imaged area. Much like other methods based on acquisition of a sequence of images of distributed absorbers <sup>19,27</sup>, LOT enables visualizing vascular structures in arbitrary directions. Yet, optimal performance of LOT in living organisms is tightly linked to the development of biocompatible particles capable of generating sufficiently strong signals to be recognized in the presence of a highly-absorbing blood background. Specifically, the dynamic range of the detected OA signals must be sufficiently large to cover both the signals generated by red blood cells (RBCs) within the diffraction-limited resolution volume and the signal corresponding to the absorber to be localized. Localization can be further facilitated if the absorber can be differentiated from blood e.g. via spectral or temporal unmixing <sup>28</sup>. Ideally, red RBCs themselves could potentially be used to achieve label-free LOT. Note, however, that RBCs occupy approximately 50% of the blood volume, thus their density is too high for efficient localization that generally requires relatively sparse distribution of point absorbers.

The resolution of LOT for angiographic applications is ultimately limited by the accuracy in the localized positions of individual absorbers, which could be made very high if sufficiently strong absorbers and sensitive detectors are employed to enable high SNR images with a single laser pulse excitation. In practice, the resolution is further limited by the diameter and separation between the smallest capillaries ( $\sim$ 5  $\mu$ m). While the resolution limit in localization

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fluorescence microscopy is generally associated with the number of photons that a molecule can emit before bleaching occurs <sup>7</sup>, the LOT approach exploits a much more versatile optical absorption contrast, for which highly photostable agents with long circulation time exist <sup>28,29</sup>. Thus, the resolution *in vivo* is expected to be chiefly determined by the OA generation efficiency of the particular absorbing particle employed, the local light fluence and the number of localized points in the time window of interest. The same factors are also expected to affect the maximum 7 reachable depth. The OAT imaging system employed in this work has been shown capable of imaging structures at depths exceeding 2 cm in the human breast <sup>30</sup>. Hence, centimeter-scale penetration is within reach for LOT as well, provided that sufficiently strongly absorbing particles are available. The digital sampling rate of the detected OA response is also of importance as it affects the maximum spatial frequency achievable in the reconstructed images. Furthermore, it is important to take into account that the PSF of the OA imaging system can be distorted due to speed of sound heterogeneities and acoustic attenuation 31,32, and hence further affect the best achievable resolution. The current main limitation of LOT is the time required to form an image, which in turn depends on the frame rate and the number of points localized per image (or the corresponding particle density). It is generally not affected by the flow rate as long as it is fast enough so that absorbers are shifted in two consecutive frames. Although the temporal resolution of LOT can be optimized by increasing the absorbing particle density within the FOV, it will still remain inferior to the standard OAT where images can be acquired using single laser shots. However, considering that OAT can readily provide a higher spatio-temporal resolution in three dimensions as compared to other bio-imaging modalities <sup>28</sup>, it may be still possible to image 22 relatively fast biological events with LOT. Localization of OA signals in the time domain may also be of interest. For example, it has recently been shown that OAT can monitor neuronal activity with unprecedented spatio-temporal resolution by detecting signal variations generated by genetically encoded calcium indicators <sup>17</sup>. By localizing brain activation events in both the

- spatial and temporal dimensions, it may be possible to provide new insights into neuronal
- 2 connectivity at scales and depths not accessible with fluorescence microscopy. The combined
- 3 spatio-temporal information can also be used for tracking individual absorbers and measuring
- 4 flow velocity when using PRFs in the order of tens of Hz <sup>33</sup>.

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### CONCLUSIONS

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The presented results demonstrate that LOT can significantly enhance the well-established advantages of OA imaging by breaking the acoustic diffraction barrier at depths within the diffusive regime of light. LOT can also attain better visibility of vascular structures, thus improve the overall image quality in limited-view tomographic acquisition scenarios. Given the intrinsic sensitivity of OA to multiple hemodynamic and oxygenation parameters, LOT may enable new level of performance in studying functional and anatomical alterations of microcirculation. OA is known for its powerful capability to attain optical absorption contrast at centimeter-scale depths with a much higher resolution than diffuse optical imaging modalities <sup>34</sup>. Thus, LOT can further enhance the spatial resolution of the images, enabling unprecedented capacities for deep-tissue observations, with prospective applications ranging from cancer research and dermatology to neuroscience and cardiovascular diagnostics.

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### **AUTHOR CONTRIBUTIONS**

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2	X. L. D. B and D. R. proposed and designed the project. X. L. D. B performed the experiments.
3	Both authors discussed the results and contributed to writing the manuscript.
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5	FIGURE CAPTIONS
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7	Figure 1. Imaging principle of localization optoacoustic tomography. (a) A spherical array of
8	ultrasound transducers is used to acquire a three-dimensional optoacoustic image of flowing
9	absorbers for each laser pulse. (b) The positions of sparsely distributed absorbers are
10	measured (localized) in a sequence of images. (c) An image is formed by superimposing the
11	localized positions.
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13	Figure 2. Localization accuracy. (a) Maximum intensity projections of the three-dimensional
14	optoacoustic image of a 30 $\mu m$ absorbing microsphere. (b) Equivalent image obtained as the
15	three-dimensional histogram of the localized positions in a sequence of 5000 frames. (c)

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Figure 3. Resolution enhancement in localization optoacoustic tomography. (a) Maximum intensity projections of the three-dimensional optoacoustic image of a ~220 μm diameter pipette tip bent to form a knot and filled with ink. (b) Equivalent images obtained by localizing the positions of 3600 flowing 30 µm absorbing microspheres. (c) Comparison of the cross-sections marked in (a) for the standard optoacoustic image (left) and the localization optoacoustic image

Normalized histograms of the localized positions in the three Cartesian coordinates (fitted

Gaussian curves are shown in blue) along with the corresponding profiles (red curves) of the

optoacoustic image in (a). Scalebar 400 μm.

- 1 (right). (d) Comparison of the profiles marked in (c) for the standard optoacoustic image (red)
- 2 and the localization optoacoustic image (blue). Scalebar 600 μm.

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#### SUPPLEMENTARY MOVIE CAPTIONS

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- 6 **Supplementary\_Video\_1.** Comparison of the three-dimensional image obtained with LOT (left)
- 7 and the image obtained with standard OAT when the tubing is filled with ink (right).

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- 9 Supplementary\_Video\_2. LOT image formation as a function of the number of absorbers
- 10 (points) being localized.

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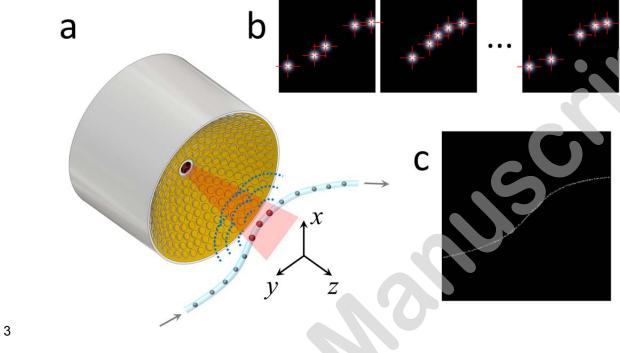
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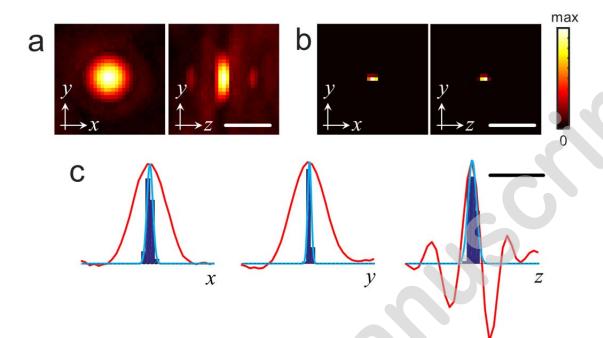
### 1 **FIGURES**

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4 Figure 1

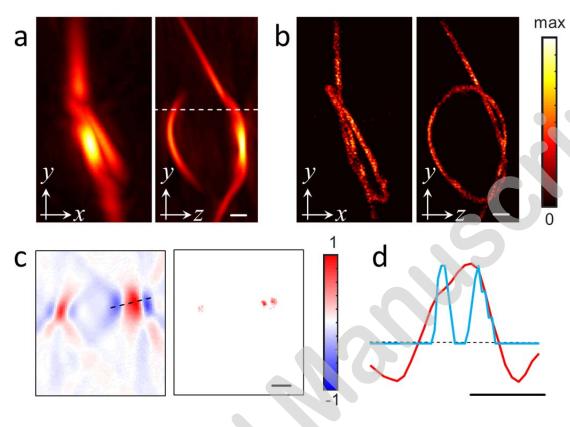
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