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Exercise and diabetes - relevance and causes for response variability

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Exercise and diabetes - relevance and causes for response variability

Anja Böhm^{1,2,3}, Cora Weigert^{1,2,3}, Harald Staiger^{1,2,3}, Hans-Ulrich Häring^{1,2,3}

¹Department of Internal Medicine IV, Division of Endocrinology, Diabetology, Angiology, Nephrology, and Clinical Chemistry, University Hospital Tübingen, Eberhard Karls University Tübingen, Tübingen, Germany,

 Institute for Diabetes Research and Metabolic Diseases of the Helmholtz Center Munich at the Eberhard Karls University Tübingen, Tübingen, Germany,

³German Center for Diabetes Research (Deutsches Zentrum für Diabetesforschung, DZD), Neuherberg, Germany

Correspondence address:

Anja Böhm, MD

Department of Internal Medicine IV

University of Tübingen,

Otfried-Müller-Str. 10

72076 Tübingen, Germany

phone: +49-7071-2980391

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Abstract

 Exercise as key prevention strategy for diabetes and obesity is commonly accepted and recommended throughout the world. Unfortunately, not all individuals profit to the same extent, some exhibit exercise resistance. This phenomenon of non-response to exercise is found for several endpoints, including glucose tolerance and insulin sensitivity. Since these non-responders are of notable quantity, there is the need to understand the underlying mechanisms and to identify predictors of response. This displays the basis to develop personalized training intervention regiments. In this review, we summarize the current knowledge on response variability, with focus on human studies and improvement of insulin sensitivity as outcome.

Main text

Introduction

 The global epidemic of type 2 diabetes burdens humankind. The WHO projects that diabetes will be the 7th leading cause of death in 2030. For prevention, healthy diet and achievement and maintenance of normal body weight are recommended. Furthermore, at least 30 minutes of regular, moderate-intense physical activity are required [1], [2]. Nevertheless, our strategies to prevent type 2 diabetes are still insufficient; since decades, a major purpose of research

 is to develop reasonable prevention strategies and to specify detailed 21 pathomechanisms leading to diabetes.

 There are myriads of intervention studies dealing with the best exercise type, frequency, intensity, and duration, further sophisticated by additional diets [3]– 24 [20]; and the scientific discussion is still ongoing. Indeed, positive effects of regularly performed exercise on cardiorespiratory fitness and metabolic control are without dispute. In most of the well-known diabetes prevention studies as DPS, DDP, HERITAGE, LookAHEAD, STRRIDE, Da Qing Diabetes Study, TULIP, and others, the risk reduction for diabetes, the metabolic syndrome or cardiovascular events ranges around 35% [4], [21]–[35]. Despite this knowledge, less than 40% of European countries developed national recommendations for physical activity [36].

Response variability

 Most of the conducted studies found improvements in metabolic and cardiorespiratory endpoints after training intervention, but also highly variable 35 inter-individual responses [37]–[39]. Maximum oxygen uptake (VO₂max) is the standard parameter of cardiorespiratory fitness and is widely used to document the effectiveness of training. The HERITAGE trial identified low responders and 38 high responders for improvements of VO₂max [40]. For insulin sensitivity, a similar variability was shown [41]. The general distribution of individual changes seem to have a two-sided shape, ranging from high responders to even adverse responders that show a deterioration of the respective endpoint. Notably, the term "non-response to exercise" always needs a clear association with a specific endpoint. It is used with respect to changes in several, different parameters assessed before and after training, e.g. fitness, cardiovascular events, muscle mass, metabolic risk profiles, lipid metabolism, insulin resistance, and others. In

 this review, we focus on the failure to improve whole body insulin sensitivity after training interventions, e.g. the exercise non-response with regard to insulin sensitivity in humans. Physical activity is often included in lifestyle intervention programs combining dietary regimens with exercise, and sometimes we also refer to data based on lifestyle intervention. Since it is not possible to differentiate between exercise-dependent and exercise-independent effects in these studies, this is always clearly stated.

 What about the quantity of these non-, low-, or even adverse responders? As recently reviewed [42], the number of adverse responders with respect to fasting insulin including six exercise training studies (HERITAGE, DREW, INFLAME, STRRIDE, MARYLAND, and JYVASKYLA) averaged 8.3%. Non-response defined as no improvement regarding glucose homeostasis, leads to 7-63% non-responders [41], [43]–[49]. For further details, see table 1. Most of the conducted studies are performed without a control group. Thus, the opinion exists, that exercise might cause adverse metabolic effects for some individuals. However, a study performed with 87 participants including a control group [45], demonstrated clearly a decreased number of an adverse response (41%) versus 76% in control group; the adverse response was defined as increased fasting glucose, 2-h glucose, and triglycerides, as well a decrease for HDL-cholesterol.

 Notably, the failure to improve insulin sensitivity is not necessarily reflected by a 66 non-response in VO₂max, and vice versa [50]. Although there is a clear positive 67 correlation of VO₂max and insulin sensitivity in the general population [51]–[53] 68 and an increase in $VO₂$ max correlates with the improvement in insulin sensitivity in large lifestyle intervention programs [54], [55], this is not true for each individual. In 202 diabetic individuals of the HART-D study, only 37% had a 71 marked increase in $VO₂max$, but all profited regarding metabolic parameters,

72 irrespective of VO₂max response [56]. Furthermore, metabolic parameters like respiratory exchange ratio, maximal heart rate and maximal ventilatory 74 equivalent do not relate to changes in aerobic capacity [57].

 Thus, despite a relevant exercise-related improvement of systolic blood pressure, 76 body weight, VO₂max, lipid profile, etc., one may not have a beneficial effect on insulin sensitivity; this adds even more complexity to this issue.

 If these highly individual responses to exercise might be overcome by different training regimes, is still under debate [11], [16], [42], [43], [58]–[62], and will not be in focus of this review. A recent study gave hint for a combination of low- amount/vigorous-intensity aerobic exercise and resistance training being the best [63]. High-intensity interval training has been practiced by athletes for some time [64], recently it receives much interest as promising part of lifestyle intervention programs [65]. It can be superior to moderate-intense, time-85 consuming continuous training in improving cardiorespiratory fitness [66] and, beneficial effects on insulin sensitivity have been shown after just short training duration [67], [68]. If high-intensity interval training will be advantageously included in lifestyle interventions, and which subpopulation is suitable to that, we will learn more from future randomized, controlled studies.

 To sum up, individual exercise response is known for several years now [11], [37], [57], [69], [70], but shifting the focus on non-response in terms of insulin sensitivity is just beginning [29], [43], [46]–[48], [56], [71], [72].

Prediction of and mechanisms for failure

 Understanding and defining the individual susceptibility for non-response will be a major purpose in the future. This is the basis for the development of personalized training strategies to prevent and treat type 2 diabetes. Regarding

 success-predictive baseline values, our knowledge is limited to few studies and endpoints, as reviewed by [73], and the results are partly complementary. Of course, personal adherence to lifestyle intervention is a major fundament for success [74]; thus, exercise studies should preferably be supervised.

Beyond this, in the HERITAGE study baseline values were found to account for $~10\%$ variability in training-related changes; but only for some traits, such as submaximal heart rate and blood pressure, where high baseline levels were associated with major exercise-driven improvements [37]; but not for baseline $VO₂$ max, HDL, age, nor for sex and race [39], where no relationships were found; contrarily, age was mentioned as a relevant variable in doseresponsiveness to exercise [75], as older adults require higher doses of training. Another study showed, that there are no non-responders in elderly practicing a prolonged exercise training [60]. Notably, insulin sensitivity was not among the endpoints of this study [60]. Additionally, women with low fitness at baseline were shown to have greater exercise-related fitness improvements [76]. $12₁$ 15 103 $20¹$ 22 106 24 107 $27¹$ 29 109 34 111

For insulin sensitivity, there is less data. Risk factors for non-response are speculated, but far from being comprehensively understood. But recognizing these individuals that fail to profit from exercise is of major importance. In a nine months exercise study, long duration of type 2 diabetes and increases in serum free fatty acids (FFA) were positively associated with HbA1c changes, whereas serum adiponectin levels and muscle protein content of peroxisome proliferatoractivated receptor γ coactivator 1 α (PGC1 α) correlated inversely with changes in HbA1c [77]. In plasma, reduction of ceramides was correlated with exerciserelated improvements in insulin sensitivity [78]. A whole blood gene expression analysis after 12 weeks of lifestyle intervention in Latino adolescents showed upregulated genes, e.g., for insulin signaling, glucose uptake, and glycogen storage 39.113 44 115 49 117

 as well as down-regulation of genes involved in inflammatory pathways, and exhibited five times the number of regulated transcripts responders compared to non-responders [79]. From the Diabetes Prevention Program we know that low insulin secretion and low insulin sensitivity at baseline generally predict higher diabetes risk regardless of the treatment regime [80]. Our own data from the TULIP study showed low insulin secretion and sensitivity, low cardiorespiratory fitness, high liver and visceral fat, as well as high fetuin A predictive for nonresponse regarding glucose homeostasis [55], [72], [81], whereas age, sex, and BMI at baseline were not predictive. Notably, this was a lifestyle intervention study, and conclusions on exercise-specific changes can only be speculated. Indeed, exercise-driven improvement of insulin sensitivity was only shown in insulin-resistant individuals with adequate insulin secretion [82]. 14 1 2 9 19 P 21 1 3 2 $23₁$ $24⁻¹$ 26 134

Thus, is insulin-resistance per se a risk factor for non-response? There is some evidence given by several exercise [45], [83]–[85] and lifestyle intervention studies [29], [46], [86], that individuals with higher metabolic burden seem to profit more. Contrarily, in another study responders were more insulin-sensitive at the beginning than non-responders [47]; additionally, women at lower genetic risk for obesity (calculated by a risk score dependent on 21 SNPs associated with BMI variation) showed more favorable responses regarding resistance trainingassociated changes of body fat composition [87]. These partly conflicting results might be explained by a ceiling-effect for some variables, different populations and study settings. Alternatively, there might be a threshold in any metabolic parameter – perhaps insulin secretion – beyond which the benefit suddenly converts to the opposite. 29 135 36 138 43 141 48 143 55 146

However, for better characterization of responders and non-responders, further studies in well-defined populations under controlled conditions are required. 58 147

149 **Genetic aspects of non-response**

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Already in the 1980s, the relevance of heredity in exercise-induced adaptations was shown [88]. For exercise-related improvements of VO₂max, the heritability is reported to be about 47% [40], [89]. Single nucleotide polymorphisms (SNPs) are found to play a role in the training-induced changes in VO₂max [90]; also for the endpoint muscle strength this was shown [91]. A combination of several SNPs contributes to \sim 50% of the inter-individual variance in changes of VO₂max [92], [93], pointing to a multifactorial inheritance of general non-response. A genetic variant in NDUFB6, encoding for complex I of the respiratory chain, can modify the individual response of the ATP synthase flux, even independently from exercise-related improvements of insulin sensitivity [94]. For metabolic syndrome in general, risk allele carriers of IL6R had more profit from a lifestyle modification including diet and exercise [95]. In genome-wide linkage-scans, a genomic region close to the leptin locus emerged to contribute to the fasting insulin response to exercise training [96]. And in 180 Brazilians, the FTO T/A polymorphism was associated with decreased fasting plasma glucose after 9month lifestyle intervention [97]. Additionally, polymorphisms in ADIPOR1 [98], PPARG [49], PPARD [99], PPARGC1A (encoding PGC1a) [100], TCF7L2 [101] and SIRT1 [102] were shown to impact the glucose homeostasis response to lifestyle intervention [71]. $\sqrt{2}$ 150 3 $4₁$ 5 6 7 8 9153 10 11 12 13 14 155 15 16 156 17 18 19 P 20 21 158 22 23 159 24 $25₄$ $26¹$ 27 28 161 29 30162 31 32 33 163 34 35 1 64 36 $37₁$ 38 39 40 166 41 42 167 43 44 45⁻

Exercise also regulates epigenetic modifications [103], in CpG-islands [104], enhancer sites [105], [106], as well as on histones [107]; furthermore, micro-RNA expression changes due to exercise were shown, in plasma [108] and skeletal muscle [109]. There is evidence that different doses of exercise reveal different inflammatory miRNA responses [110]. Notably, insulin sensitivity might influence the epigenetic response to exercise $[111]$. But investigating the 48 169 50 $52₁$ $53⁻¹$ 55 172 57 59 60

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175 relevance of differences in epigenetic regulation for the variability in exercise response has just started. One study reported highly variable responses in 177 muscle mass upon resistance training and deciphers differentially expressed microRNAs [112]. 2176 7178

Impact and interplay of multifactorial genetic factors for non-response will be specified in the future. Additionally, if the genetic influence might be overcome by higher training intensities/volumes/types is not clear yet and requires future research. 10 179 15 181 17182

Muscle

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Skeletal muscle displays one of the most important target tissues of insulin. It accounts for more than 85% of insulin-dependent glucose uptake [113]; thus, mechanistic studies to elucidate the metabolic adaptation to exercise and its 187 regulation mostly focus on skeletal muscle. The training-induced improvement in glucose disposal has been attributed among other non-muscle adaptations to increases in muscle mass, muscle fiber type switching, mitochondrial biogenesis, and enhanced capillarization [114]–[116]. On a molecular level, increased abundance and altered posttranslational modifications of proteins important in uptake and oxidation of glucose and fatty acids have been shown [117]–[120]. Together, enhanced fuel oxidation in muscle appears to be one major key mechanism of improved glucose control after training[24]. 25 185 30 187 32188 37190 39 191 44 193

Given the relevance of oxidative metabolism in the prevention of insulin resistance, it was speculated that differences in mitochondrial content and mitochondrial fuel oxidation in response to training might play a role in exercise non-response [43]. In a subgroup of the HART-D study, non-responders were defined as diabetic individuals with constant HbA1c, percent body fat, and BMI, and reduced muscle mitochondria content after exercise [48]. A microarray 52 196 54 197 59 199

201 analysis of muscle biopsies of these non-responders at baseline revealed 186 differentially regulated mRNAs compared with responders, mostly affecting substrate metabolism and mitochondrial biogenesis/function [48]. Increased mRNA levels of genes encoding for mitochondrial proteins were also found in 205 prediabetic responders vs. non-responders [47]. Higher muscle concentrations of the tricarboxylic acid cycle intermediates were found to correlate best with exercised-induced change in insulin sensitivity [63], at least in a vigorousintensity exercise group. In 66 untrained participants of a resistance training $\frac{18}{19}$ 209 intervention, a proinflammatory transcript profile was associated with the failure to induce muscle hypertrophy, whereas genes involved in muscle development were uniquely expressed in responders at baseline [121]. 1 2 2 0 2 3 4 $5²$ 6 7204 8 9205 10 11 $12²$ 13 14 207 15 $16 -$ 17 18 19 20 21210 22 $23 24²$

To conclude, the data on specific adaptations in the muscle of responders and non-responders highlight the relevance of mitochondrial pathways for the improvement of metabolic control, independent of different biopsy timing, 215 training regimes, heterogeneous cohorts, and different definitions of metabolic 216 non-response among studies. Notably, for detailed pathomechanisms we have to differentiate thoroughly between mitochondrial content, OXPHOS capacity, and fat oxidation. An important issue here is to understand the individual variability in these mitochondrial adaptations and the molecular basis for the susceptibility to resist to training intervention. 29 213 36 216 41218 43 219

Adipose Tissue 48 49

Adipose tissue contributes relevantly to whole body metabolism, both as metabolic sink as well as an endocrine organ [122]. Notably, being obese implies a greater risk for development of type 2 diabetes than being inactive [123]. Improvement of insulin sensitivity after one year of combined lifestyle intervention in 104 viscerally obese men was not independently associated with 51 2 2 2 $53 -$ 54 55 56 58 225 60 61

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227 improvement of cardiorespiratory fitness, but with changes in visceral and subcutaneous adipose tissue [46]. Thus, beneficial metabolic improvements 229 seem to be mediated by adipose tissue [46], [72], [124], [125]. That is in line with an observation, that there are no weight-independent exercise effects on adipokines [126]. Recent studies in mice affirmed a role for subcutaneous adipose tissue in exercise-induced improvements in glucose homeostasis [127], [128]. On the other hand, anti-inflammatory effects of exercise on adipose tissue are reported to be weight-loss-independent [129]. 2 2 2 8 7230 9231 11 $12²$ 14 2 3 3 $16 -$ 17

Effects of exercise affect all fat compartments. General exercise-related changes 236 on adipose tissue comprise fat loss per se, beneficial shifts in body fat composition, altered mitochondrial function, and secretary responses [123], [129]-[132]. It seems to be established that exercise leads to increased subcutaneous adiponectin mRNA levels, while other adipokines and their systemic relevance are under discussion [130]. In a 6-month supervised exercise intervention in 47 healthy sedentary men [133], genes encoding the respiratory 242 chain, histone subunits, small nucleolar RNAs, ribosomal proteins, and pathways like oxidative phosphorylation were up-regulated, whereas Wnt and mitogenactivated protein kinase (MAPK) signaling pathways were down-regulated due to exercise. 19 $20²$ 2236 24 237 29 239 36 242 41244 43 245

Elevated adipose tissue peroxisome proliferator-activated receptor gamma (PPARg) and PGC1 α were early supposed to mediate the beneficial effects of exercise on insulin sensitivity [134]. Also suppressed angiogenesis in white adipose tissue after exercise was brought in context with insulin resistance[135]. Additionally, endothelial nitric oxide synthase (eNOS) seems to be a major control point in the fragile energy metabolism balance [131], as it gained attention as an inductor of mitochondrial biogenesis [136]. 49 247 51 248 56 250 58

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253 Conversion of white adipocytes to more energy-dissipating brown-like adipocytes is known as browning. This effect might also play a role in adipocytes' response 255 to exercise [137]. There is further evidence that high physical activity leads to increased brown adipose tissue activity [138]. If browning in humans is of relevant impact, is currently under discussion $[139]$ – $[141]$. In this respect, the role of a PGC-1a-dependent exercise-induced myokine and browning factor identified in mice [142], named irisin, was recently very controversially discussed in humans [143]-[146]. 2 2 5 4 7256 9257 14 259

In conclusion, there is good evidence that not only muscle, but also altered adipose tissue metabolism can contribute to non-response. 2262

Liver 25 263

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Long-term lifestyle intervention leads to reduction of intrahepatic lipids [29], $[72]$, $[147]$ – $[149]$; this reduction in liver fat mediates a relevant part of the beneficial effects on insulin resistance, more than reduction of other fat 267 compartments does [72]. Furthermore, we and others have shown that liver fat is the most reactive fat compartment in response to a lifestyle intervention [72], [150]. Notably, after 2h of aerobic exercise, intrahepatic lipids in 18 healthy lean volunteers increased about 35% from baseline, pointing to intrahepatic lipids as a very flexible fuel store $[151]$, serving as a buffer for excess free fatty acids. Data on molecular alterations in the liver upon exercise are very limited, but exercise studies in mice point to a pronounced regulation of signal transduction and gene expression in the liver $[152]$, $[153]$. Recent data obtained from liver vein samples verified the hepatic release of FGF21 during exercise in humans [154]. This exercise-dependent regulation of FGF21, a liver-derived factor with 277 possibly beneficial effects on glucose control and body weight regulation [155], $27 28²$ 30 265 32 266 34 $35²$ 37268 ³⁹ 269 44 271 46 272 51274 58 277

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278 opens a further perspective for the individual regulation of exercise response on the level of hepatokines. 2 2 7 9

Brain

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> Exercise enhances functional brain capabilities [156]. Furthermore, exercise was shown to improve whole-body metabolism via the regulation of central control mechanisms: reduced appetite and food intake were reported [157], [158].

 $\frac{15}{16}$ 284 Vice versa, high cerebral insulin sensitivity in humans at baseline was associated with higher loss of body fat during lifestyle intervention [159]. Unfortunately, the cohort was too small to find direct effects on insulin sensitivity, independent of fat loss. Since cerebral insulin sensitivity was found to affect peripheral insulin sensitivity $[160]$, $[161]$ and other brain functions as reviewed in $[162]$, it is conceivable that individual differences of central insulin action are relevant for 290 the variability in exercise response. For further understanding the exercise-brainmetabolism axis we will need more human studies.

Inflammation

A role of subclinical inflammation in the development of obesity and diabetes is widely accepted. This linkage between inflammation and insulin resistance was extensively shown in various organs, like adipose tissue [163], skeletal muscle $[164]$, and liver $[165]$. As the issue is very complex, and most of the molecules have both pro- and anti-inflammatory effects, the relevance of exerciseregulated cytokines and chemokines for the prevention or treatment of metabolic 299 diseases is still under debate. Exercise-induced beneficial effects on metabolic control have been linked to several cytokines and chemokines with known functions in inflammatory processes [164]. Additionally, anti-inflammatory influences of regular exercise has been shown in several studies [166], [167]. In brain, anti-inflammatory exercise-effects were reported, at least in mice [168].

304 Thus, although exercise acutely can induce inflammatory processes, predominantly after an unadjusted work load and eccentric exercise [169], it can help to reduce subclinical inflammation in the long run. 2 3 0 5

307 For exercise non-response, a role of a differential regulation of pro-/ and antiinflammatory cytokines can only be speculated; recently, this was supposed for skeletal muscle [121].

310 **Conclusion**

In this review we discussed individual responses to exercise training in terms of insulin sensitivity; current ideas for underlying pathomechanisms for the lack of improvement in humans were summarized, as illustrated in figure 1.

In general, we should clearly encourage our patients to increase their physical activity. There are many aspects, e.g., socio-economic, quality of life etc., beyond specific metabolic endpoints, which are worth being an active individual. Nevertheless, personalized adjustments of exercise recommendations are inevitable, different training strategies for individual subgroups may be necessary. Despite the very complex issue (different endpoints, training types, nutrition, populations and highly individual participants etc.), we hopefully will promote our knowledge to tackle the non-response. Therefore, we do need further studies to unravel detailed mechanisms for insufficient responses to exercise training. Additionally, we have to establish valid and easy-to-use parameters predicting the non-response; subsequently, we should perform interventional studies to find ways fighting the non-response. Furthermore, we have to assess the new approaches with respect to other endpoints beyond insulin resistance. Last but not least, our proposals should be feasible for our patients' daily routine far from a controlled supervised study setting.

329 **Disclosure**

There is nothing to disclose by the authors.

331 **Author Contributions**

332 Wrote, read, and edited the manuscript: AB CW HS HUH

333 **Author Contributions**

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- 63 64

62

₁₇ 18

23 247 $25²$

30 31 P

م 37 $38²$

43 44 $45⁵$

 $50₅$ $51²$

 $56₆$ 577 58

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Figure and table 44038 49

- Figure 1: *hypothetical* and **observed** contribution to exercise non-response with respect to glucose homeostasis. For details, see text. 51039 54040
- Table 1: Quantity of non-responders 54_f 55

62 63 64

65

1037

 $4\bar{3}$ $44'$ $45⁴$ 46 47

50

53

 $12¹$

 $17₆$ 18

 247 $25¹$

 $3\overline{q}$ 31

- **PPARγ**
- **FFAs**
- *inflammation?*
- *angiogenesis?*
- *browning?*

whole body:

- **diabetes duration**
- *insulin sensitivity?*

- *inflammation?*

Table: Quantity of non-responders with respect to glucose homeostasis; *meaning no improvement, unless stated otherwise; # adverse response; § estimated from graph