

# Resolving Coffee Roasting-Degree Phases Based on the Analysis of Volatile Compounds in the Roasting Off-Gas by Photoionization Time-of-Flight Mass Spectrometry (PI-TOFMS) and Statistical Data Analysis: Toward a PI-TOFMS Roasting Model

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## Supporting Information

**ABSTRACT:** Coffee beans of two cultivars, Arabica (Mexico) and Robusta (Vietnam), were roasted in a small-scale drum roaster at different temperature profiles. Evolving volatile compounds out of the roasting off-gas were analyzed by photoionization mass spectrometry at four different wavelengths, either with single-photon ionization (SPI) or resonance-enhanced multiphoton ionization (REMPI). The different analyte selectivities at the four wavelengths and their relevance for the examination of the roasting process were discussed. Furthermore, intensities of observed  $m/z$  were grouped by non-negative matrix factorization (NMF) to reveal the temporal evolutions of four roasting phases ("evaporation", "early roast", "late roast", and "overroast") from NMF scores and the corresponding molecular composition from the NMF factor loadings, giving chemically sound results concerning the roasting phases. Finally, linear classifiers were constructed from real mass spectra at maximum NMF scores by linear discriminant analysis to obtain quantities which are simple to measure for real-time analysis of the roasting process.

**KEYWORDS:** beverage, single-photon ionization (SPI), resonance-enhanced multiphoton ionization (REMPI), process control, roasting phase

## INTRODUCTION

Coffee is known as a popular and worldwide consumed beverage with an extremely complex flavor. Numerous influences such as the cultivar (Arabica, Robusta), cultivation of the coffee plants, processing of the coffee beans, or brewing contribute to differences in the formation of flavor compounds inside the coffee beans and ultimately the taste of the resulting cup.<sup>1</sup> Green coffee beans contain about 300 volatile compounds and lack in color and characteristic flavor compared to roasted coffee. Both color and flavor are formed during the roasting process through predominantly Strecker and Maillard reactions, leading to more than 500 compounds.<sup>2</sup>

The roasting process can be roughly divided in three phases: (1) an endothermic drying phase characterized by the removal of moisture, (2) the actual roasting phase with a number of complex pyrolytic reactions, a dramatic change in the chemical composition of the beans, and the formation of a large number of substances associated with the flavor and taste of coffee, and finally (3) a rapid cooling phase to stop the exothermic part of the roasting using air or water as cooling agent.<sup>3</sup> The time-

dependent release of volatiles in the roasting off-gas contains valuable information about the status of the roasting process and related flavor-forming reactions.<sup>4–6</sup>

Online and real-time measurement techniques with sufficient time resolution and limits of detection are demanded to monitor the roasting process in terms of roast degree. Direct inlet mass spectrometric techniques with time-of-flight mass spectrometer as mass analyzer and chemical ionization (CI), proton-transfer-reaction (PTR),<sup>6–9</sup> and photoionization (PI)<sup>4,10–12</sup> meet the requirements and were applied to monitor roasting off-gas components. Both PTR and PI are regarded as soft ionization techniques, leading to mainly molecular or quasimolecular ions which facilitate the interpretation of mass spectra of complex VOC and SVOC mixtures. Additionally, the ionization of gaseous bulk components such as nitrogen, 62

**Received:** April 14, 2016

**Revised:** May 23, 2016

**Accepted:** June 6, 2016



63 oxygen, carbon dioxide, or argon is suppressed. However,  
64 analyte selectivities are different due to different ionization  
65 mechanisms.<sup>13–15</sup>

66 PI techniques can be further divided into single-photon  
67 (SPI) and resonance-enhanced multiphoton ionization  
68 (REMPI). REMPI refers to a selective ionization technique  
69 for aromatic compounds, while SPI is regarded as a more  
70 universal technique which ionizes compounds with lower  
71 ionization energy than the photon energy.<sup>13,15</sup> The presented  
72 study involved a PI-TOF-MS with both SPI and REMPI at four  
73 different wavelengths to monitor the roasting process of green  
74 coffee beans in a laboratory scale roaster.

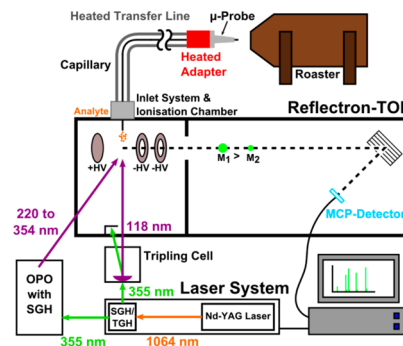
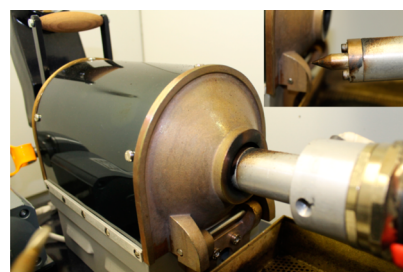
75 Generally, the guarantee of a constant quality and different  
76 properties of a commercial product are major interests of  
77 industry. In particular, coffee roasting companies aim to supply  
78 a constant quality of different discrete roast degrees (e.g., light,  
79 medium, or dark roast) for which a change in the chemical  
80 composition and consequently the taste of the brewed coffee  
81 can be observed. Thus, a method to predict the roast degree  
82 based on indicators analyzed in real-time is desirable.

83 This study ties in with previous studies of Wieland et al.,  
84 Ruosi et al., and Liberto et al. about roast degree control.<sup>9,16,17</sup>

85 A new concept for the identification of roasting phases for  
86 different roasting conditions together with an analysis  
87 technique for online determination of roasting phase transitions  
88 is presented. Photoionization mass spectra at four wavelengths  
89 to cover different analyte selectivity were processed in non-  
90 negative matrix factorization (NMF) to figure out the temporal  
91 evolution of four roasting phases in score data and their  
92 associated  $m/z$  located in the factor loadings. At the maximum  
93 of NMF score contribution,  $m/z$  with the most different  
94 temporal behavior between two subsequent roasting phases  
95 were revealed by calculating Fisher ratios. Finally, data points of  
96 pairs of  $m/z$  with the largest Euclidean distance between their  
97 centers were submitted to linear discriminant analysis (LDA) to  
98 derive simple classifiers for roasting phase transitions in real-  
99 time analysis. This concept aims at a PI-TOFMS data based  
100 roasting model, allowing the real-time determination of the  
101 roasting degree and quality at industrial coffee roasting  
102 processes in the future.

via a capillary union. The  $\mu$ -probe capillary sticks out of the conically  
shaped base body by approximately 0.5 mm.

**Photoionization Time-of-Flight Mass Spectrometer (PI-TOFMS).** The self-built instrumental setup used in this work has already been described in detail elsewhere,<sup>19,20</sup> so only a short explanation is given here. The PI-TOFMS can be operated in two modes: SPI and REMPI. In the first instance, 355 nm photons were initially generated by frequency tripling of the fundamental radiation of 1064 nm of a Nd:YAG-laser (Continuum, Santa Clara, CA, USA, repetition rate 10 Hz, pulse width 3–5 ns, 22.5 mJ at 355 nm), Nd:YAG laser pulses. For REMPI, about 90% of the 355 nm output is guided to an optical parametric oscillator (OPO, VISIR 2 + SHG, GWU-Lasertechnik GmbH, Erfstadt, Germany) by a beam splitter with a thermally stabilized  $\beta$ -barium borate ( $\beta$ -BBO) crystal to create UV-photons for REMPI. Because of the OPO, a UV-photon range from 220 to 355 nm is accessible (Figure 1).



**Figure 1.** Experimental setup of drum roaster with  $\mu$ -probe sampling (top) and instrumental setup of PI-TOFMS in SPI and REMPI mode (bottom).

## 103 ■ MATERIALS AND METHODS

### 104 Green Coffee Beans, Roaster, and Roasting Procedure.

105 Green Arabica coffee beans (*Coffea arabica*) from Mexico and green  
106 Robusta coffee beans (*Coffea canephora*) from Vietnam were kindly  
107 supplied by the J.M. Smucker Company. The raw coffee beans were  
108 roasted by electrical heated single drum sample roaster PRE 1Z  
109 (Probat Burns, Vernon Hills, IL, USA) for the roasting of small  
110 batches (up to 3.5 oz per coffee batch). The roaster was equipped with  
111 temperature readout to monitor the temperature inside the roaster  
112 every minute.

113 Every experiment was started at an initial temperature of 200 °C by  
114 filling 100 g of green coffee beans into the drum. By choosing heating  
115 steps and times, three different roasting profiles were applied (“slow  
116 roast”, step 3.5, about 20 min; “medium roast”, step 4, about 11 min;  
117 “fast roast”, step 6, about 6 min). In all experiments, the coffee beans  
118 were intentionally overroasted to identify a possible boundary between  
119 dark roasted and overroasted coffee beans.

120 **Sampling with  $\mu$ -Probe.** A  $\mu$ -probe sampling setup developed by  
121 Hertz et al.<sup>18</sup> was used as sampling interface between the roaster and a  
122 flexible heated transfer line of a PI-TOF-MS.<sup>4,12</sup> In brief, the  $\mu$ -probe is  
123 composed of a conical shaped heated aluminum base body which is  
124 coupled to the heated transfer line by a heated adapter. The  
125 centerpiece of the  $\mu$ -probe is made of a small stainless steel capillary  
126 (ID 0.2 mm/OD 0.4 mm), which is connected to the transfer capillary

143 carried out at 266, 248, and 227 nm to cover a broad range of analyte  
144 selectivities. In particular, 266 and 248 nm were easily available by the  
145 fourth harmonic generation of a Nd:YAG laser and a KrF laser,  
146 respectively, so a tunable laser was not categorically necessary.  
147 Additionally, measurements at 227 nm were also carried out because of  
148 its higher cross sections for some potential analytes, especially  
149 nitrogen-containing compounds. To enable single photon ionization  
150 (SPI) at 118 nm, laser pulses were generated by pumping a third  
151 harmonic generation (THG) gas cell with the remaining 10% radiation  
152 of 355 nm.

153 The laser beam was focused underneath the inlet needle, which was  
154 connected to a heated sampling line (250 °C) to generate an effusive  
155 molecular beam in the ion source. Ions were guided into the flight tube  
156 of a reflectron TOF-MS (Kaesdorf Instrumente für Forschung und  
157 Industrie, Munich, Germany) and detected by a microchannel plate  
158 (MCP, Chevron Plate, Burle Electro-Optics Inc.). Two PC cards with  
159 a linear intensity range covering 5 orders of magnitude (Acquiris,  
160 Agilent Technologies, Basel, Switzerland, 250 MHz, 1 GS/s, 128 kb)  
161 enabled acquisition of 10 mass spectra per second.<sup>21</sup> The raw data was  
162 finally processed by in-house software based on Labview programming  
163 environment (National Instruments, Austin, TX, USA). For data  
164 evaluation, 10 consecutive mass spectra were averaged, leading to a  
165 final time resolution of 1 s.

167 **Experiments, Data Treatment, and Statistical Analysis.** In  
168 total, 87 roast experiments were carried out, allocated to roast  
169 conditions, and applied photoionization wavelengths as shown in  
170 Table 1. A scheme of the overall data treatment and statistical

**Table 1. Number of Experiments Carried out for Roasting Conditions, Cultivars, and Photoionization Wavelengths**

	Robusta (Vietnam)			Arabica (Mexico)		
	fast	medium	slow	fast	medium	slow
118 nm	4	6	6	5	5	2
227 nm	6			3		
248 nm	9			5		
266 nm	5	5	6	7	7	6

171 workflow can be found in the section Supporting Information (Figure  
172 S1). Before running statistical analysis, the parent ion of caffeine ( $m/z$   
173 194), parent ion with H-loss by ionization ( $m/z$  193), and first two  
174  $^{13}\text{C}$ -peaks ( $m/z$  195 and 196) were removed from the mass spectra for  
175 two reasons: (1) On the basis of our data, the evaporation of caffeine  
176 gives little information about the roast phase because of too high  
177 variances between the single roasting experiments. (2) SPI and REMPI  
178 are very sensitive ionization techniques for the very abundant caffeine,  
179 resulting in high abundances of its respective  $m/z$  which hamper  
180 statistical analyses. The mass spectra without caffeine-related  $m/z$  were  
181 subsequently normalized to their total intensity at each point of time  
182 to be independent from fluctuations in laser performance. Moreover,  
183 overestimation of “overroasting” with significantly higher amounts of  
184 roasting off-gas components is avoided.

185 **Non-Negative Matrix Factorization (NMF).** In previous studies,  
186 different temporal evolutions were observed for evolving compounds  
187 during coffee roasting.<sup>5,8,11,12,22</sup> Non-negative matrix factorization  
188 (NMF) was applied to pool those compounds into classes. Generally,  
189 NMF partitions iteratively a non-negative  $m$ -by- $t$  matrix  $\mathbf{M}$  into a  
190  $m$ -by- $k$  matrix  $\mathbf{W}$  (hereinafter referred to as factor loadings) and a  
191  $k$ -by- $t$  matrix  $\mathbf{H}$  (hereinafter referred to as scores). The variable  $k$  refers to  
192 the rank of the NMF solution but can be practically regarded as the  
193 number of processes to identify, i.e., roasting phases, and has to be  
194 predefined. In this context, the matrix dimension  $m$  stands for  $m/z$  and  
195  $t$  for the total roasting time. A rank of four was the highest-rank NMF  
196 result which does not only cover a mathematical but also a physically  
197 meaningful solution. In the following, the four roasting phases are  
198 called “evaporation”, “early roast”, “late roast”, and “overroast”.

199  $\mathbf{W}$  and  $\mathbf{H}$  are computed by an alternating least-squares (ALS)  
200 algorithm to minimize the cost function  $f(\mathbf{W}, \mathbf{H})_k$

$$f(\mathbf{W}, \mathbf{H})_k = \frac{1}{2} \|\mathbf{M} - \mathbf{WH}\|_F^2 \quad (1)$$

202 where  $\|\mathbf{X}\|_F$  computes the Frobenius-norm of a non-negative matrix  $\mathbf{X}$ :

$$\|\mathbf{X}\|_F = \sqrt{\sum_{i=1}^m \sum_{j=1}^t |X_{ij}|^2} \quad (2)$$

204 Consequently, the product of  $\mathbf{W}$  and  $\mathbf{H}$  is an approximation of the  
205 original data matrix  $\mathbf{M}$ . Because the iteration starts with random initial  
206 values  $\mathbf{W}_0$  and  $\mathbf{H}_0$  for  $\mathbf{W}$  and  $\mathbf{H}$ , the NMF may lead to different  
207 solutions when repeated if the algorithm converges in a local minima  
208 for  $f(\mathbf{W}, \mathbf{H})_k$ . To improve reproducibility of NMF, initial values for  $\mathbf{W}_0$   
209 and  $\mathbf{H}_0$  are optimized by a multiplicative update algorithm,<sup>23</sup> which is  
210 slower, but more sensitive for initial value optimization, before running  
211 the ALS algorithm.<sup>24</sup> The temporal evolution of the roasting stages are  
212 illustrated by calculating the relative proportions  $h_t$  of the absolute  
213 score values  $\mathbf{H}_t$  for each of the  $k$  element at any point of time  $t_i$ .

$$h_{t_i} = \frac{H_t}{\sum_{i=1}^k H_i} \quad (3)$$

Subsequently, the duration of the roasting was converted in percent of  
215 total roasting time to ensure comparability between the different  
216 temperature profiles of roasting.

In short, relative NMF scores  $h_t$  refer to roasting phase  
218 contributions and NMF factor loadings  $\mathbf{W}_i$  to representative  
219 photoionization mass spectra for the respective roasting phase. The  
220 intraclass consistency of the roasting phase determination at each  
221 wavelength was proved by principal component analysis (PCA) of  
222 temporarily normalized relative score  $h_{t_i}$  and factor loadings  $\mathbf{W}_i$ .

**Fisher Ratio, Euclidean Distance Optimization, and LDA.** Real  
224 mass spectra at the time of maximum NMF score contribution were  
225 extracted from the data matrix of each roasting experiment except for  
226 “evaporation”. Because of low overall intensities, mean spectra over the  
227 whole phase “evaporation” instead of spectra from maximum relative  
228 NMF scores were chosen. Thereby, four  $m$ -by- $n$  matrices  $\mathbf{P}_j$  ( $m$ ,  $m/z$ ;  
229  $n$ , number of roasting experiments at one roasting condition and  
230 photoionization wavelength;  $j$ , roasting phase) containing real mass  
231 spectra of maximum roasting phase contribution were obtained for  
232 each set of roasting condition and photoionization wavelength. Single  
233 and double outliers of  $m/z$  for every matrix  $\mathbf{P}_j$  were removed by  
234 Grubbs’ Test<sup>25</sup> at a significant level  $\alpha = 0.05$ . Subsequently, Fisher  
235 ratios  $F_{m/z}$ <sup>26</sup> for every  $m/z$  were calculated

$$F_{m/z} = \frac{(\bar{m}_i - \bar{m}_{j+1})^2}{\text{var}_j + \text{var}_{j+1}} \quad (4)$$

(where  $\bar{m}_i$  and  $\bar{m}_{j+1}$  correspond to the mean intensities of  $m/z$ , and  $\text{var}_j$   
238 and  $\text{var}_{j+1}$  to the variances of consecutive roasting phases  $j$  and  $j + 1$  in  
239  $\mathbf{P}_j$ , respectively) to figure out  $m/z$  with most different behavior in two  
240 consecutive roasting phases. According to eq 4, the Fisher ratio  $F_{m/z}$   
241 becomes large if the difference of the means between two phases is  
242 high and the intraphase variance is small. Only  $m/z$  with abundances  
243 above the detection limit in more than 50% of the experiments were  
244 considered. Plotting the intensities of two  $m/z$  of roasting phase  $j$   
245 versus roasting phase  $j + 1$ , two point clouds were obtained (not  
246 shown) which cover a Euclidean distance  $d$  between its centers.  
247 Distances  $d_i$  were calculated for every possible combination of the five  
248 pairs of  $m/z$  with highest  $F_{m/z}$  for a roasting phase transition; pairs of  
249 equal  $m/z$  were not considered. For linear discriminant analysis  
250 (LDA), the combination of  $m/z$  with the maximum sum of  $d_i$  was  
251 chosen. The linear classifier function was further used to calculate  
252 dynamic upper or lower limits of one  $m/z$  based on the intensity of a  
253 second  $m/z$ . The exceedance or deceedance of that limit determines a  
254 transition and the beginning of the next roasting phase  $j + 1$ . A  
255 roasting phase transition is defined if three classifier functions have  
256 been crossed.

NMF, LDA, and PCA were performed with Matlab 2014b Statistic  
258 Toolbox (The MathWorks, Natick, MA, USA).

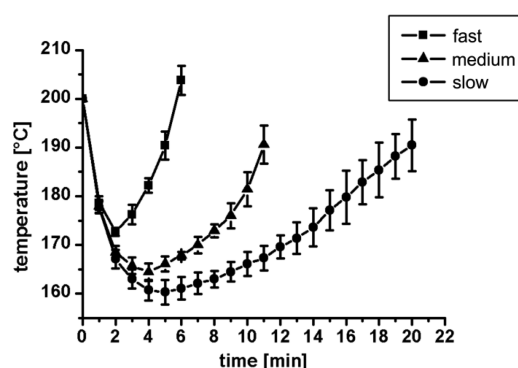
## RESULTS AND DISCUSSION

**Temperature–Time Profiles.** The temperature was  
261 recorded for each roast experiment. Figure 2 (bottom left)  
262 displays the averaged values together with the corresponding  
263 standard deviation for the three roasting profiles.

Starting from 200 °C, the temperature declined after filling  
265 the beans into the roaster because of a heat uptake by the  
266 beans. Depending on the chosen heating step, the temperature  
267 starts to reincrease for “fast roast” 2 min after filling (highest  
268 heating step), followed by “medium” and “slow roast” (lowest  
269 heating step).

These temperature trends agree well with previous studies of  
271 Wieland et al. and Gloess et al.<sup>8,9</sup>

**Qualitative Detection of Volatile Species in Roasting  
Off-Gas at Different Wavelengths.** The assignments of the  
274  $m/z$  values are based on previous studies with photo-  
275 ionization<sup>4,10–12</sup> (and references therein) and only described  
276 briefly at this point. Although the ionization energies and



**Figure 2.** Corresponding temperatures and roasting time for “fast”, “medium”, and “slow roast” with dots representing mean values and error bars the standard deviation ( $\pm\sigma$ ).

photoionization cross sections (PICS) of a certain compound shrink the number of possible analytes, it is not possible to distinctly identify compounds. Thus, for example, gas chromatography mass spectrometry (GC-MS) studies were used in the mentioned references to assign molecular structures. In cases where more than one substance could be assigned to one  $m/z$ , the tentative most likely structure was chosen (Table 2).

All spectra (Figure 3) cover intensities for caffeine ( $m/z$  194), one of the most abundant nonprotein nitrogen-containing compounds in coffee beans. Apart from caffeine, the SPI spectrum contains many compound classes such as carbonyls ( $m/z$  44 acetaldehyde,  $m/z$  86 butanedione,  $m/z$  96 furfural), aromatic, and aliphatic amines ( $m/z$  79 pyridine,  $m/z$

59  $C_3$ -amine), alcohols ( $m/z$  74 pyruvic alcohol,  $m/z$  98 furfuryl alcohol), and thiols ( $m/z$  48 methanethiol).

The REMPI spectra for 266 and 248 nm are dominated by phenolic compounds ( $m/z$  94,  $m/z$  110,  $m/z$  124,  $m/z$  150, and  $m/z$  164) due to the optical selectivity of REMPI for this kind of species. Moreover, heterocyclic compounds like furfural ( $m/z$  96) and indole ( $m/z$  117) were detected as well. Both REMPI spectra at 266 and 248 nm revealed mainly the same substances but with different intensities due to different PIC.

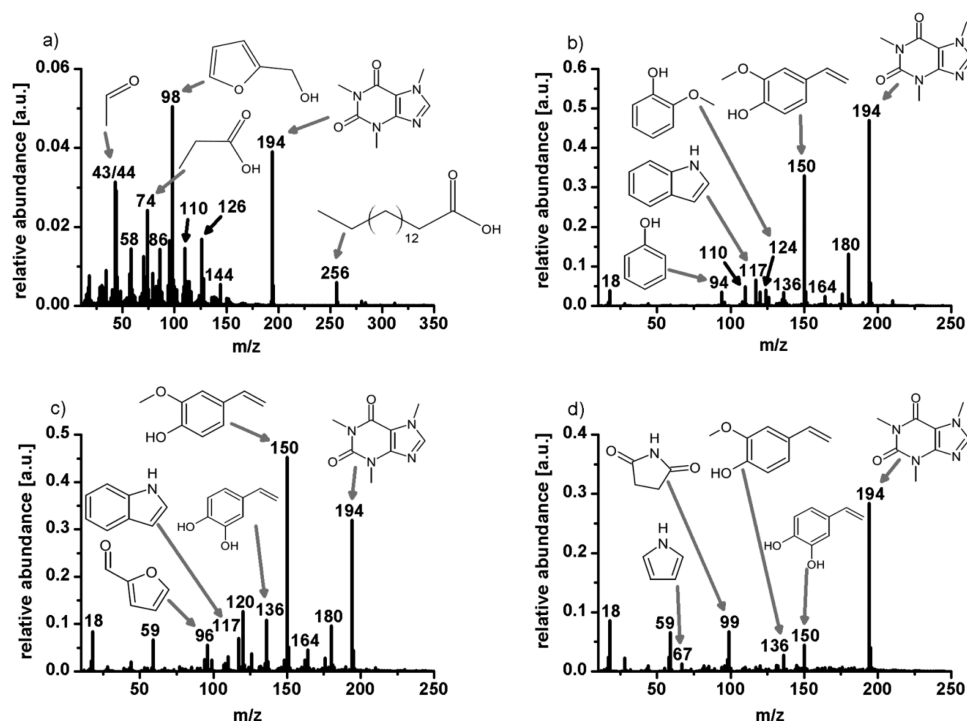
With REMPI at 227 nm, clear spectra are generated with main signals at  $m/z$  59 ( $C_3$ -alkylated amine),  $m/z$  99 (succinimide),  $m/z$  136 (vinyl-1,2-benzenediol),  $m/z$  150 (4-vinylguaiaicol), and  $m/z$  194 (caffeine). The signal for water ( $m/z$  18) is caused by photon-induced electron ionization, which unintentionally occurs when the laser beam hits a metallic surface. Secondary electrons become accelerated in the electrostatic extraction field and lead to the ionization of water molecules that are usually not detectable due to its high ionization energy.

**Rapid Discrimination between Arabica and Robusta.** In the upper mass range, the pentacyclic diterpenes kahweol ( $m/z$  314), cafestol ( $m/z$  316), and 16-*O*-methylcafestol ( $m/z$  330) can be found, which simplify the discrimination between the cultivars Arabica and Robusta (Supporting Information, Figure S2). Both cultivars contain cafestol, whereas 16-*O*-methylcafestol is only found in Robusta beans. By contrast, the amount of kahweol in Robusta is negligible compared to Arabica.<sup>27</sup> Although kahweol and cafestol are difficult to sample because of their low volatility and stability, they both eliminate water and form anhydrous kahweol ( $m/z$  296) and anhydrous cafestol ( $m/z$  298), which can be observed in SPI mass

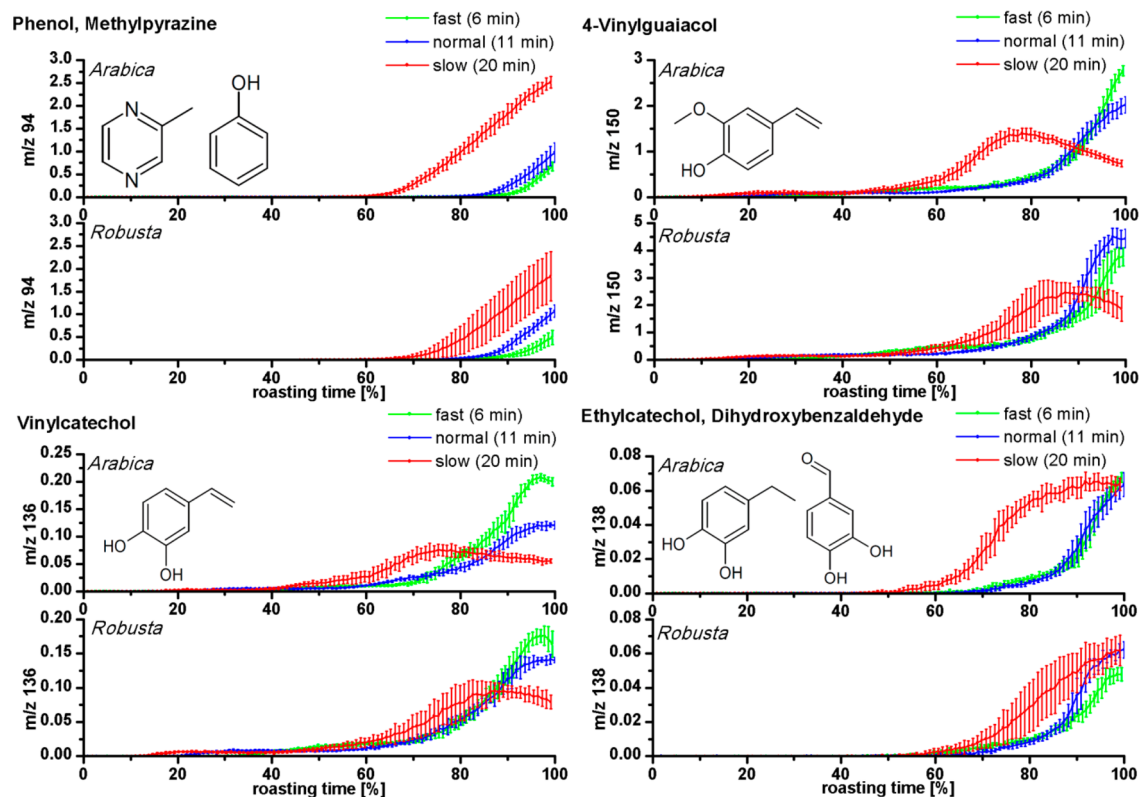
**Table 2.** Assignments of Detected  $m/z$  Values for SPI and REMPI during the Whole Roasting Procedure Based on Previous Studies of Photoionization<sup>4,10–12a</sup>

SPI at 118 nm	REMPI at 266 nm	REMPI at 248 nm	REMPI at 227 nm
34 hydrogen sulfide	66 fragment from phenol	59 $C_3$ -alkylated amines	59 $C_3$ -alkylated amines*
43 $C_2H_3O^+$	77 fragment from phenolic derivatives	94 phenol, methylpyrazine	67 pyrrole*
44 acetaldehyde	94 phenol, methylpyrazine	96 furfural	99 succinimide*
58 acetone, propanal, ethanedial	109 fragment from guaiacol (M- $CH_3$ )	110 dihydroxybenzenes, 1,2-benzenediol, methylfurfural	136 vinyl-1,2-benzenediol*
70 pentene, butenal	110 dihydroxybenzenes, 1,2-benzenediol, methylfurfural	117 Indole	150 vinylguaiaicol*
74 butanol, propionic acid, pyruvic alcohol	117 indole	120 2-phenylacetaldehyde	156 $C_2$ -naphthalene*
79 pyridine	120 2-phenylacetaldehyde	126 hydroxymethylfurfural, benzenetriole	170 $C_3$ -naphthalene*
86 2,3-butadione, pentanone, pentanal, butanedione, methyl-butanol, butyrolactone	124 guaiacol, methylbenzenediol	136 vinyl-1,2-benzenediol	184 $C_4$ -naphthalene*
95 formylpyrrole, $C_2$ -alkylpyrrole	126 hydroxymethylfurfural, benzenetriole	150 vinylguaiaicol	194 caffeine*
98 furfuryl alcohol, octene	136 vinyl-1,2-benzenediol	164 dimethoxystyrene*	198 $C_5$ -naphthalene*
110 dihydroxybenzenes, 1,2-benzenediol, methylfurfural, acetylfuran	150 vinylguaiaicol	176 2,2'-methylenebis(5-methylfuran)*	212 $C_6$ -naphthalene*
126 hydroxymethylfurfural, benzenetriole, maltol	152 vanillin	180 caffeic acid*	
128 furaneol			
144 octanoic acid, dihydrohydroxymaltol, phenylfuran	164 3,4-dimethoxystyrene	194 caffeine	
194 caffeine	176 2,2'-methylenebis(5-methylfuran)*		
256 hexadecanoic acid	180 caffeic acid*		
280 linoleic acid	194 caffeine		
284 octadecanoic acid			
312 eicosanoic acid			
340 docosanoic acid			

<sup>a</sup>Please note that for 227 nm, all molecules were (\*) tentatively assigned due to the absence of comparable literature data.



**Figure 3.** PI mass spectra with (a) SPI at 118 nm, (b) REMPI at 227 nm, (c) REMPI at 248 nm, and (d) REMPI and 266 nm of representative “fast roast” experiments with Arabica beans are depicted. For all mass spectra, the intensities were averaged over the whole roasting time (about 6 min). The most likely chemical structures are assigned to the peaks, illustrating the dependence between analyte selectivity and wavelength.



**Figure 4.** Temporal evolution of phenol/methylpyrazine (top left), 4-vinylguaiacol (top right), vinylcatechol (bottom left), and ethylcatechol/dihydroxybenzaldehyde (bottom right) depending on roasting conditions for both cultivars analyzed by REMPI at 266 nm.

spectra.<sup>4</sup> Therefore, only in the SPI spectrum of Arabica beans  
 324 both  $m/z$  appeared whereas in the Robusta spectrum only  $m/z$   
 325 298 was present, so anhydrous kahweol was considered to be a

potential marker for Arabica derived from single bean roasting.<sup>4</sup> 326  
 The REMPI spectra reveal no striking differences at the first 327  
 sight, but by a closer look at higher mass values (>200 amu), 328

329 signals of higher  $m/z$  can be observed for Arabica beans at 266  
330 and 248 nm, which was proposed to originate from flavonoids  
331 and polyphenols.<sup>12</sup> Small amounts of coffee beans can test for  
332 the presences of kahweol and cafestol in a coupled system of a  
333 thermal balance and SPI-TOFMS.<sup>28</sup>

334 **Temporal Evolutions of Single Species during Different**  
335 **Roasting Conditions.** The high time resolution of online  
336 direct mass spectrometric techniques enable the investigation of  
337 chemical reactions involved in the coffee roasting process.

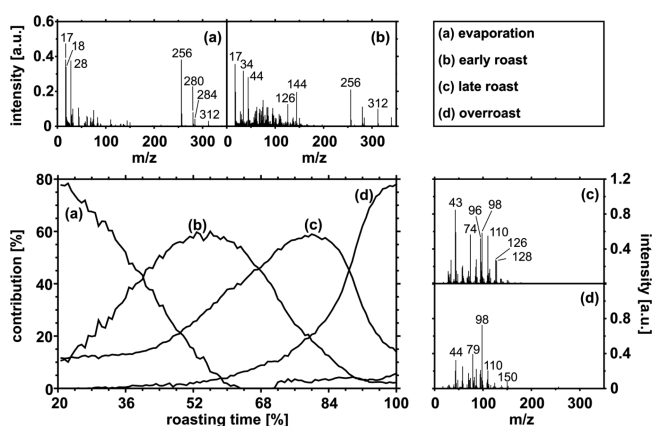
338 **Degradation Products of Chlorogenic Acids.** Decarbox-  
339 ylation and degradation of chlorogenic acids are important  
340 reaction pathways during the roasting of coffee beans. In  
341 particular, the degradation of the chlorogenic acid 5-  
342 feruloylquinic acid (5-FQA) leads to the formation of phenolic  
343 compounds, which can be well monitored by REMPI-TOFMS.  
344 On the basis of REMPI at 266 nm, Dorfner et al.<sup>5,10</sup> developed  
345 two possible reaction pathways. The “low activation energy”  
346 pathway occurs at temperatures below 120 °C at the beginning  
347 of the roasting process. First, 5-FQA-lactone is hydrolyzed to  
348 ferulic acid, followed by the formation of 4-vinylguaiacol  
349 through decarboxylation. Finally, the polymerization at the  
350 vinyl groups takes place to form melanoidins. The “high  
351 activation energy” reaction pathway occurs later in the  
352 advanced roasting process when the water content of the  
353 beans has been reduced and the temperature has increased.  
354 Under these conditions, the oxidation of 4-vinylguaiacol to  
355 vanillin leads to the enhanced formation of guaiacol and phenol  
356 detected at the end of one roasting experiment. The different  
357 time intensity profiles for vinylguaiacol, phenol, and guaiacol  
358 are illustrated in Figure 4. In particular, the slow roast condition  
359 led to considerably different profiles than the fast and medium  
360 roasting conditions. On the one hand, the intensities of fast and  
361 medium roast conditions for both substances increase at the  
362 end of the roasting process, on the other hand, the slow  
363 conditions lead to profiles that are more in conjunction with  
364 the described reaction pathways: the intensity of vinylguaiacol  
365 increased due to the decarboxylation of ferulic acid, followed by  
366 a decay because of further thermal degradation to phenol and  
367 guaiacol.

368 The time intensity profiles of vinylcatechol and ethylcatechol  
369 (Figure 4, bottom) reveal similar trends when comparing the  
370 roasting conditions. Slow roast conditions led to noticeable  
371 different temporal profiles, whereas medium and fast roasting  
372 conditions result in almost similar curves. The profiles of some  
373 species for slow roast conditions are in good compliance with  
374 findings from Müller et al., who investigated the formation of  
375 vinylcatechol by the degradation of caffeoyl quinic acid.  
376 Moreover, 4-ethylcatechol and 4-methylcatechol are solely  
377 generated by the thermal breakdown of vinylcatechol whereas  
378 catechol is formed by the degradation of quinic acid and 4-  
379 vinyl-1,2-benzenediol as well.<sup>29</sup>

380 Either compounds in the coffee roasting off-gas are  
381 ingredients of the green coffee bean and evaporate during  
382 roasting or originate from chemical conversions. Although not  
383 every  $m/z$  can be assigned to at least one chemical structure,  
384 mass traces can be divided into groups depending on their  
385 temporal evolution to identify different stages of the coffee  
386 roast.

387 **Systematic Grouping of  $m/z$  Traces by NMF.** By perform-  
388 ing NMF on the time-resolved mass spectrometric data for each  
389 wavelength as described in a previous section, the evolution of  
390 four roasting phases were identified by sorting of the relative  
391 NMF score according to the temporal appearance of the

392 respective maxima (example SPI at 118 nm in Figure 5, bottom  
393 left). The roasting seems to be an interaction between different



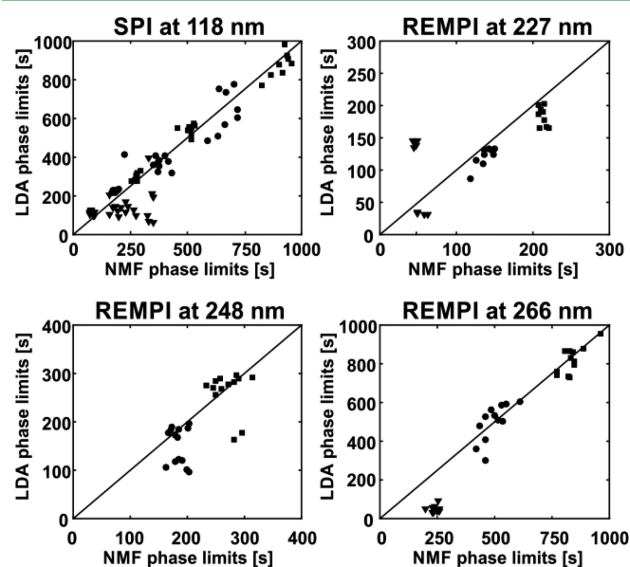
394 **Figure 5.** Temporal evolution of four roasting phases of one  
395 representative “medium roast” derived from relative NMF scores  $h_t$   
396 (bottom left) with corresponding NMF factor loadings for each  
397 roasting phase “evaporation” (a), “early roast” (b), “late roast” (c),  
398 and “overroast” (d), analyzed at 118 nm. Time axis was normalized to  
399 the reduced total roasting time. The first 20% of the total roasting  
400 time were ignored due to absence of detected compounds.

401 subprocesses whereby one subprocess dominates. To verify the  
402 consistency of the obtained temporal evolutions for every roast  
403 condition and coffee type, PCA based on correlation was  
404 performed on all time-normalized relative NMF scores  $h_t$ , i.e.,  
405 temporal evolutions of the roasting phases, for each wavelength  
406 (Figure S3). In the four PCA score subplots, the four roasting  
407 phases are clearly separated, whereby different separation  
408 performances exist for each wavelength due to different analyte  
409 selectivities: for example, “early roast” appears earlier for  
410 REMPI at 227 nm than for REMPI at 248 nm. In the PCA  
411 score plot of SPI, data at 118 nm overlaps between the point  
412 clouds of the phases occur. SPI analyses included not only “fast  
413 roast” but also “medium” and “slow roast”. In particular, “slow  
414 roast” led to another type of time profile for some compounds  
415 compared to almost similar time profiles for “fast” and  
416 “medium roast” (Figure 4), which lead to different trends of  
417 the relative scores and deteriorates the separation power.  
418 Interestingly, NMF solution of rank four was not appropriate  
419 for “fast” and “medium roast” with REMPI at 266 nm, which is  
420 known to be specifically selective for phenolic species  
421 originating from the degradation of chlorogenic acids.<sup>10</sup>  
422 Hence, solely the degradation of chlorogenic acid is deficient  
423 to define limits for the roasting phases because the relative  
424 NMF scores  $h_{t,2}$  and  $h_{t,3}$ , which were intended to represent  
425 “early roast” and “late roast”, showed no interpretable temporal  
426 evolution. However, a NMF solution of rank three generated  
427 reasonable results including the phases “evaporation”, “medium  
428 roast”, and “overroast” (not shown). Finally, we regard SPI data  
429 as valuable for further investigations, whereas REMPI can be  
430 carried out with less technical effort and fewer signals in the  
431 mass spectra for simple monitoring the roasting process.

432 The NMF factor loadings (SPI at 118 nm in Figure 5, top  
433 and right-hand side) can be regarded as representative mass  
434 spectra for each roasting phase and were examined by grouping  
435 in a PCA to recover known roasting phase indicators and  
436 identify possible new markers. In the Supporting Information  
437 (Figure S4), PCA biplots of NMF factor loadings from SPI and

431 REMPI analyses are depicted in which known marker  
 432 substances can be found for every roasting phase. For example,  
 433 in SPI spectra, hexadecanoic acid ( $m/z$  256), as one of the most  
 434 abundant fatty acids in green and roasted coffee,<sup>30</sup> appears  
 435 together with water ( $m/z$  18, ionized by photoinduced  
 436 electrons) in “evaporation” due to drying and distillation of  
 437 the beans while they take up thermal energy in the first 2 to 5  
 438 min. A degradation product of carbohydrates is hydroxyme-  
 439 thylfurfural ( $m/z$  126), which has its highest contribution  
 440 between “early” and “late roast” and decays toward “overroast”,  
 441 in agreement with “light roast” in the study of ref 31.  
 442 Furthermore, catechol ( $m/z$  110) and phenol ( $m/z$  94), which  
 443 have been identified as degradation products of quinic and  
 444 caffeic acid,<sup>32,33</sup> occur with their highest abundances during  
 445 “late roast” and “overroast”, similar to the darker roast  
 446 experiments called “city roast” or “French roast” by Moon et  
 447 al.<sup>31</sup> Pyridine ( $m/z$  79) is a well-known marker for overroasted  
 448 coffee beans from the ongoing decomposition of 1-methyl-3-  
 449 pyridiniumcarboxylate (trigonelline).<sup>34</sup> Because REMPI ionizes  
 450 only aromatic compounds and to a lower extent aliphatic  
 451 amines, mainly decomposition products of chlorogenic acids  
 452 can be observed. With REMPI at 266 nm, our PCA result for  
 453 “slow roast” in terms of roasting phases (Supporting  
 454 Information, Figure S4, bottom right) showed a similar picture  
 455 to Dorfner et al., who derived possible reaction pathways of the  
 456 most prominent compounds (vinyl-guaiacol ( $m/z$  150), indole  
 457 ( $m/z$  117), caffeic acid ( $m/z$  180), guaiacol ( $m/z$  124), and  
 458 phenol ( $m/z$  94)) over the roast.<sup>10</sup> Taking all these indicators  
 459 into account, we concluded that the NMF of PI-TOFMS data  
 460 gives chemically sound results concerning the roasting phases.  
 461 Similar to REMPI at 266 nm, REMPI at 248 nm favors the  
 462 ionization of monocyclic aromatic compounds. In contrast to  
 463 the distinct roasting phase separation by the NMF scores  $h_t$   
 464 (Supporting Information, Figure S3), no divergence between  
 465 “early roast” and “late roast” in the space of the first three  
 466 principal components was obtained by performing PCA on  
 467 NMF factor loadings (not shown). Hence, slight changes in  
 468 ratios between  $m/z$  determine the roasting phases rather than  
 469 uniquely appearing  $m/z$  (Supporting Information, Figure S4).  
 470 When shortening the REMPI-wavelength one step further to  
 471 227 nm, the ionization selectivity is shifted toward aliphatic and  
 472 aromatic amines as well as two-ring aromatic hydrocarbons and  
 473 low-substituted furans. In particular, amines have been  
 474 increasingly focused due to their association with enhanced  
 475 amino acid concentrations in defected coffee beans.<sup>35</sup> The  
 476 identification of the first two roasting phases was strongly  
 477 driven by the homologue series of alkylated naphthalenes ( $m/z$   
 478 156  $C_2$ - to  $m/z$  212  $C_6$ -naphthalenes), which might originate  
 479 from pyrolysis of coffee oils or related thermolabile substances  
 480 on heating elements inside the roaster. The third roasting phase  
 481 “late roast” was characterized by oxygenated species such as  
 482 vinylguaiacol ( $m/z$  150), methylfuran ( $m/z$  82), and 4-vinyl-  
 483 1,2-benzenediol ( $m/z$  136), whereby “overroast” altered the  
 484 molecular signature of the roasting off-gas to  $C_3$ -amines ( $m/z$   
 485 59), pyrrole ( $m/z$  67), and methylthiazole ( $m/z$  99).  
 486 **Construction of Linear Classifiers for Real-Time**  
 487 **Roasting Phase Transitions: Toward a PI-TOFMS**  
 488 **Roasting Degree Model.** The breakdown of NMF results  
 489 into five pairs of  $m/z$  for every phase transition reduces  
 490 computing time and enables online and real-time roasting  
 491 phase identification. The  $m/z$  of one pair exist in a relation  
 492 whose limit is described by the linear classifier function. If three  
 493 of five relations of  $m/z$  are exceeded or deceeded, a roasting

phase transition is determined. The ascertained roasting phase  
 limits calculated by NMF and LDA are depicted in Figure 6.

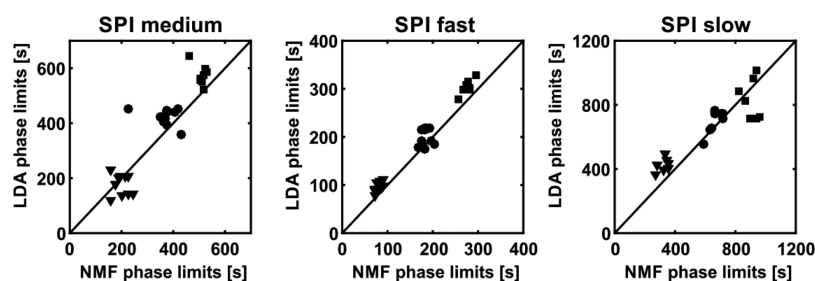


**Figure 6.** Comparison of the phase limits of “evaporation”: “early roast” (triangle), “early roast–late roast” (circle), and “late roast–overroast” (square) determined by NMF and LDA.

At the beginning of the roasting process, the rate of evolving  
 roasting products is small. Because of very low and fluctuating  
 intensities at early roasting times, the residuals for the roasting  
 phase transition between “evaporation” and “early roast” (in  
 blue) becomes relatively high, which was compensated by  
 consideration of an empirical offset of 30 s to prevent a  
 transition determination far away from limits calculated by  
 NMF. For all REMPI analyses, differentiation between the first  
 two phases failed because no  $m/z$ , which have distinct higher  
 abundances at the beginning of the roasting or solely appear in  
 the first phase, could be observed. However, all REMPI  
 analyses ended up with results comparable to SPI for the other  
 two roasting phase transitions.

All three roasting conditions were treated the same  
 submitted to LDA, but especially for the transition between the  
 first two phases large differences occur. In contrast to REMPI,  
 SPI can detect compounds which appear with their highest  
 abundance during the start of roasting, such as fatty acids.  
 Taking the different overall intensities for the three roasting  
 conditions into consideration, the large variances for the  
 transition determination between “evaporation” and “early  
 roast” become reasonable. However, when treating all three  
 roasting conditions as single data sets, LDA results become  
 closer to NMF results (Figure 7).

Although the variables submitted to LDA, i.e., the pairs of  $m/z$ ,  
 have been assigned to most likely compounds in a previous  
 chapter of this study, they must be regarded as signals from  
 photoionization mass spectrometry because possible isobaric  
 compounds as well as the PICs and isotopes affect the observed  
 intensities and consequently the result from the LDA. In  
 addition to this technique, the concept presented in this study  
 can be simply applied to other suitable analytical online  
 techniques, such as repetitive ultrafast gas chromatography–  
 mass spectrometry,<sup>36</sup> and further advanced by quasisimulta-  
 neous ionization with SPI and REMPI.<sup>20</sup>



**Figure 7.** Comparison of the phase limits of “evaporation”: “early roast” (triangle), “early roast–late roast” (circle), and “late roast–overroast” (square) determined by NMF and LDA only for SPI at 118 nm and single roasting conditions.

## 531 ■ ASSOCIATED CONTENT

### 532 ● Supporting Information

533 The Supporting Information is available free of charge on the  
534 ACS Publications website at DOI: 10.1021/acs.jafc.6b01683.

535 Statistical workflow and most suitable  $m/z$  for classi-  
536 fication and corresponding molecular assignments (PDF)

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### 542 Notes

543 The authors declare no competing financial interest.

## 544 ■ ACKNOWLEDGMENTS

545 We acknowledge Christian Scholz and Romy Hertz-Schüne-  
546 mann (University of Rostock) for their technical support in  
547 coffee roasting and mass spectrometric analyses.

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