

- Letter -

Recovery of trees from drought depends on belowground sink control

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1 Climate projections predict higher precipitation variability with more frequent dry extremes¹. CO₂-
2 assimilation of forests decreases during drought, either by stomatal closure² or by direct
3 environmental control of sink tissue activities³. Ultimately, drought effects on forests depend on
4 the ability of forests to recover, but the mechanisms controlling ecosystem resilience are
5 uncertain⁴. Here, we investigated the effects of drought and drought release on the C balances in
6 beech trees by combining CO₂-flux measurements, metabolomics and ¹³CO₂-pulse labelling. During
7 drought, net-photosynthesis (A_N), soil respiration (R_S) and the allocation of recent assimilates
8 below ground were reduced. Carbohydrates accumulated in metabolically **resting** roots but not in
9 leaves, indicating sink control of the tree C balance. After drought release, R_S recovered faster than
10 A_N and CO₂-fluxes exceeded those in continuously watered trees for months. This stimulation was
11 related to greater assimilate allocation to and metabolization in the rhizosphere. These findings
12 show that trees prioritize the investment of assimilates below ground, probably to regain root
13 functions after drought. We propose that root restoration plays a key role in ecosystem resilience
14 to drought, in that the increased sink activity controls the recovery of C balances.

15 Forests play a crucial role in the global carbon (C) cycle because they hold a large fraction of the
16 global C stock and act as a major sink for atmospheric CO₂⁵. However, drought reduces primary
17 productivity, thereby turning forests from C sinks into C sources⁶. It has generally been assumed that
18 plant and ecosystem C balances under drought are controlled by restricted photosynthetic source
19 activity rather than by changes in the sink activity of plant tissues^{7,8}. Recently, direct environmental
20 control of sink activity with feedbacks to CO₂-assimilation has been proposed⁹, but no unequivocal
21 evidence has been obtained yet. Of comparable importance, but even less understood, are the
22 mechanisms controlling plant and ecosystem C balances after drought release, though the ability of
23 plants to restore CO₂-assimilation and other functions determines the resilience of trees and forest
24 ecosystems. Further, limited knowledge on the principles that control C allocation in trees prevents
25 us from predicting C balances of forests under future environmental conditions characterized by
26 greater variability of precipitation and thus alternating drought and recovery periods.

27 Using two experimental setups, with beech growing either in model ecosystems in open-top
28 chambers (Supplementary Figure 1) or in pots, we studied tree and ecosystem C fluxes during
29 drought and after drought release. By combining measurements of net-photosynthesis (A_N) and soil
30 respiration (R_s) as indicators of source and sink activity, respectively, with $^{13}\text{CO}_2$ -pulse labelling and
31 metabolomic analyses, we followed seasonal C dynamics and tracked assimilate transport through
32 the plant-soil system. Based on a hypothetical framework (Figure 1), we aimed to test if changes in
33 A_N and R_s , as well as shifts in carbohydrate allocation, indicate source or sink control of C balances. If
34 source activity controls C balances under drought, we expected an initial decrease in A_N and leaf
35 carbohydrate concentrations and a delayed depletion of carbohydrates in roots, leading to a
36 reduction in R_s (Figure 1a). A comparable response would occur upon drought release, with an initial
37 recovery of A_N and leaf carbohydrate concentrations followed by a delayed increase of R_s (Figure 1b).
38 If, however, the C balance is sink-controlled, drought would directly reduce R_s , leading to an
39 accumulation of carbohydrates in roots due to reduced C demand. In this case, A_N would acclimate to
40 the reduced sink demand after a delay and leaf carbohydrate concentrations consequently would not
41 change (Figure 1c). Upon drought release, R_s would increase and, with a delay, the increased
42 belowground C demand would positively feed back on A_N (Figure 1d).

43 In our model ecosystems, A_N and R_s decreased by 44% and 28%, respectively, over the entire drought
44 season (Figure 2a,b,c; Supplementary Figure 2a). At the end of the drought, a $^{13}\text{CO}_2$ -pulse label was
45 applied to the canopies to trace the fate of recent assimilates in the plant-soil system. Under
46 drought, the uptake of $^{13}\text{CO}_2$ decreased by 81% and assimilate translocation to belowground sinks
47 was reduced, as shown by lower ^{13}C signals in mycorrhizal roots and soil microbial biomass and
48 reduced $^{13}\text{CO}_2$ soil efflux (Figure 3a,c,e,g). The reduction in $^{13}\text{CO}_2$ soil efflux was 83% and thus
49 comparable to that in $^{13}\text{CO}_2$ uptake. However, the $^{13}\text{CO}_2$ soil efflux showed a stronger reduction (83%)
50 than that observed for R_s (approx. 50%), indicating that other C sources, either related to
51 heterotrophic soil respiration or tree internal C storages¹⁰, contributed to soil respiration but were
52 less sensitive to drought. Under drought, the ^{13}C peak in continuously monitored soil CO_2

53 (Supplementary Figure 3a) was delayed by one day and mean residence times (*MRT*) of assimilated
54 ¹³C in the plant-soil system increased (drought 76 h, control 30 h), indicating slower assimilate
55 transport to belowground sinks, as previously reported¹¹. The reduced and delayed assimilate
56 transport might have been the result of either source limitation or sink control. However, non-
57 structural carbohydrates (NSC) were not depleted in source leaves in drought-treated model
58 ecosystems (Supplementary Table 1) and thus source limitation was unlikely, as recently proposed⁹.

59 To explore the mechanisms leading to reduced C fluxes to belowground sinks, we studied the
60 dynamics of metabolites with progressing drought in a pot experiment. Reductions in soil moisture
61 and *A_N* in drought-treated pots were comparable to those in the model ecosystems (Figure 4a,b;
62 Supplementary Figure 2b). In roots, the NSCs fructose, glucose, sucrose and starch, as well as the
63 osmoprotectant proline, increased under drought and sucrose accumulated in the release phloem.
64 The increase in the concentration of NSCs by up to 700% was very strong but still in the range
65 reported in previous studies with trees^{12,13}. In leaves, no NSC increase was observed except for a
66 delayed accumulation of starch and proline as drought progressed. Although NSCs accumulated in
67 roots, sink control of such an increase can only be inferred when the size of carbohydrate pools
68 depends directly on the balance between supply through photosynthesis and demand for growth and
69 respiration¹⁴. Alternatively, accumulation of NSC might serve as osmotic adjustment¹⁵, which is not
70 directly related to changes in C supply and demand. In our study, however, accumulation of NSC was
71 only observed in roots, whilst proline, an indicator of osmotic regulation¹⁶, was enriched in both
72 roots and leaves. It is thus unlikely that osmotic adjustment via an increase in NSC content was
73 achieved only in roots and not in leaves. Instead, active storage of carbohydrates in roots at the
74 expense of metabolic processes might have occurred, as previously suggested¹⁷. Thus, the strong
75 accumulation of NSC in roots and the lack thereof in leaves reflect metabolic activity in sink and
76 source tissues. Transferring this information to our model ecosystems indicates that the reduced C
77 flux to belowground sinks under drought (Figure 3c,e,g) was a consequence of decreased sink activity
78 (Figure 1c). Due to the rather slow build-up of drought over time in our model ecosystems, a clear

79 order of the response of source (A_N) vs. sink (R_s) activities could not be derived directly, especially
80 since changes in R_s might have been only partially due to changes in autotrophic root-rhizosphere
81 respiration.

82 The recovery of plant and ecosystem C fluxes after prolonged drought was examined by re-watering
83 the model ecosystems. R_s responded rapidly, reaching control values [within the first](#) three days and
84 exceeding thereafter values in controls until the end of the growing season (Figure 2b,c;
85 [Supplementary Table 3](#)). This stimulation of R_s nearly compensated for the previous drought
86 reduction, with the flux [integrated](#) over the entire growing season amounting to 98% of that in
87 controls. A_N responded similarly but the recovery was delayed by [approx. one week due to metabolic](#)
88 [limitation, as shown by impaired PSII photochemistry](#) (Figure 2a,c; [Supplementary Table 3](#)). Further,
89 [the stimulation of \$A_N\$ occurred later and compensated for only](#) 82% of the previous drought
90 reduction. A second $^{13}\text{CO}_2$ -pulse label was applied to the tree canopies when R_s exceeded the values
91 in controls but A_N was still slightly below that of controls. The previous drought exposure increased
92 the translocation of recent assimilates to belowground sinks compared to controls, as shown by
93 higher ^{13}C signals in mycorrhizal roots and soil microbial biomass and by an enhanced $^{13}\text{CO}_2$ soil efflux
94 (Figure 3b,d,f,h). The latter signal was enhanced by 50% and thus increased in relation to
95 photosynthetic ^{13}C uptake, which was not affected. This increase represents exclusively autotrophic
96 respiration, and the comparable increase in R_s (68% R_s vs. 50% $^{13}\text{CO}_2$ soil efflux) shows that the plant-
97 driven C flux was primarily responsible for the observed stimulation of R_s . Heterotrophic soil
98 respiration was small in our model ecosystems containing low soil organic content and can therefore
99 be excluded as the cause of stimulated R_s because it only responds transiently to rewetting of dry
100 soils by the so-called “Birch Effect”^{18,19}. Our results thus clearly show that a drought effect is
101 imprinted on plant source and sink tissues, supporting the concept of an ecological stress memory of
102 which the underlying mechanisms are still poorly understood²⁰.

103 The increased C demand of belowground sinks resulted in only a slight feedback on the velocity of C
104 transport. While the peak time of $^{13}\text{CO}_2$ soil efflux was comparable, the *MRT* of ^{13}C in the plant-soil

105 system was somewhat lower for the previously drought-exposed trees, as calculated from
106 continuously monitored soil CO₂ (post-drought 36 h, control 41 h; Supplementary Figure 3b).
107 However, the mass flow of assimilates to belowground sinks can additionally be increased if less C is
108 unloaded from the transport pathway for storage or growth in aboveground tree organs²¹. Indeed,
109 the C allocation to growth in twigs, stem and roots did not fully recover after re-watering, indicating
110 that growth-related sink activity along the transport pathway was still reduced (Supplementary Table
111 4). The greater allocation and use of recent assimilates in belowground sinks after re-watering shows
112 that trees give high priority to investing into their roots upon recovery from drought. The likely
113 reason for this response is the metabolic need for root and mycorrhizal restoration in order to
114 restore trees' capability to acquire water and nutrients after an extended drought^{22,23}. Effects on root
115 growth can be excluded, as demographic root characteristics were not affected during or after
116 drought (Supplementary Figure 4a-c). Thus, root and mycorrhizal restoration relied mainly on
117 increased metabolic activity, which explains the fast recovery and stimulation of R_s . Since A_N showed
118 a delayed recovery and later stimulation than R_s , the latter was clearly not source driven and instead
119 reflects the metabolic need for root and mycorrhizal restoration. On the contrary, we postulate that
120 increased belowground sink activity upon drought release feeds back on A_N , triggering the delayed
121 recovery and stimulation of CO₂-assimilation (cf. Figure 1d). Furthermore, our findings support sink
122 control of the C balance under previous drought conditions, as a drought-induced depletion of
123 belowground C reserves should delay the recovery of R_s compared to A_N if the recovery is source
124 controlled.

125 There is increasing evidence that drought not only influences ecosystem C balances concurrently but
126 also triggers delayed responses that involve multiple mechanisms operating at different scales of
127 time, plant function and ecosystem organization^{4,24}. To date, such mechanisms are poorly
128 understood and thus constitute a large uncertainty in projections of ecosystem C balances and
129 resilience. Here, we show that tree C fluxes not only recover but even increase after drought to
130 compensate for previous stress impacts. This compensation is sink-driven, leading to a greater

131 belowground allocation of recent assimilates upon drought release. The observed response has
132 important consequences for ecosystem C cycling, as it increases the input of plant-derived labile C
133 into soils, thereby fueling soil microbial communities²⁵. We suggest that the ability of trees to
134 reactivate root metabolism is vital for ecosystem resilience to drought. However, the extent of this
135 effect very likely depends on the severity and duration of drought and may vary with tree age, as
136 adult trees have larger C storage compartments. Taken together, our findings suggest a resilience
137 mechanism that attenuates drought disturbances of seasonal tree C balances and needs to be
138 considered when estimating the impact of climate change on the C balances of forest ecosystems.

139 **MATERIAL AND METHODS**

140 **Plant material and growth conditions**

141 The model ecosystem experiment was conducted in 16 field-based open top chambers. In each
142 chamber, a model ecosystem was established with young beech trees (*Fagus sylvatica* L.) growing on
143 lysimeters filled with forest soil of low soil organic C content (Supplementary Figure 1;
144 Supplementary Methods; Supplementary Table 2). A summer drought was simulated by reducing the
145 water supply from 22 May to 1 August by 78%. After the trees had developed the critical water
146 deficit for leaf physiological function (predawn water potentials below -2 MPa^{27,28}), the lysimeters
147 were intensely re-watered and afterwards regularly irrigated until the end of the vegetation season
148 (Supplementary Figure 2a).

149 The pot experiment was carried out with beech saplings (*Fagus sylvatica* L.) in a greenhouse
150 environment (Supplementary Methods). During the drought treatment lasting 4 weeks, the control
151 pots were watered to field capacity while pots with the drought treatment received no water at all.
152 Fine root and leaf samples were taken weekly.

153 **Measurements of net-photosynthesis and soil respiration**

154 Net-photosynthesis (A_N) was measured on 3-4 trees per lysimeter between 11:00 and 16:00 CET
155 using a photosynthesis system (LI-COR 6400, Lincoln, USA) equipped with a broadleaf cuvette. The
156 conditions inside the cuvette were kept constant at 400 ppm CO₂ and a photon flux of 1000 $\mu\text{mol m}^{-2}$
157 s^{-1} . [Metabolic constraints on \$A_N\$ were tested by chlorophyll fluorescence analysis using the](#)
158 [performance index \$PI_{\text{total}}\$ of PSII²⁹](#). Soil respiration (R_S) was measured with a custom-made static
159 chamber³⁰ equipped with a diffusion-aspirated non-dispersive infrared analyser connected to a
160 humidity/temperature sensor (GMP343 CO₂ probe, HMP75 rH/T probe; Vaisala, Vantaa, Finland). The
161 increase in CO₂-concentrations in the chambers was measured in permanently installed PVC collars (5
162 cm height, two per lysimeter).

163 **¹³C pulse labelling**

164 Allocation of assimilates was followed by ¹³C-pulse labelling in six randomly selected lysimeters (n = 3
165 per treatment) at the end of the drought and in eight lysimeters (n = 4 per treatment) two weeks
166 after re-watering. Before labelling, the soil was covered with plastic foil to minimize diffusion of ¹³CO₂
167 into the soil. All trees in a given lysimeter were covered with a tall tent made of transparent plastic
168 foil. The CO₂-concentration inside was reduced to 200 ppm by flushing the tent with CO₂-free air. The
169 labelling lasted 2 h, during which time we added 100% CO₂ with a 50:50 ratio of ¹³CO₂ and ¹²CO₂. The
170 CO₂-concentration was kept constant at about 1500 ppm, which is above the saturation point for
171 CO₂-uptake.

172 **¹³C analysis in leaves, mycorrhizal root tips, soil microbial biomass and soil-respired CO₂**

173 Leaves from 3-4 trees per lysimeter were oven-dried at 60°C, milled and weighed into tin capsules for
174 ¹³C analyses. Mycorrhizal root tips and soil microbial biomass were randomly sampled in each
175 lysimeter in the upper 10 cm soil depth by taking three soil cores with a diameter of 2 cm. Additional
176 roots were taken directly from 3-4 trees. Vital mycorrhizal root tips were immediately collected
177 under a stereomicroscope and kept at -70°C until processing. They were pooled per lysimeter, oven-
178 dried at 80°C, milled and weighed into tin capsules for ¹³C analyses. Soil microbial biomass was
179 determined using the chloroform fumigation extraction method, whereby the concentration and
180 isotopic signature of extracted organic C from non-fumigated and fumigated samples were
181 determined by oxidizing extractable C to CO₂³¹. The ¹³C of microbial biomass was calculated as
182 described previously³². The ¹³C signature of soil-respired CO₂ was determined by the closed chamber
183 method³³. For each sample, the collars were closed with 7 cm tall PVC lids with cellular rubber and
184 gas samples were taken after 15 min. In addition, ambient air close to the soil surface was collected
185 at each sampling occasion.

186 In gas samples, the δ¹³C values and the CO₂-concentration were analysed with a GasBench II coupled
187 to a Delta V Plus mass spectrometer (ThermoFinnigan, Bremen, Germany). The ¹³C signatures in solid
188 samples were measured with an Elemental Analyzer (Euro EA, Eurovector, Milano, Italy) coupled to

189 the mass spectrometer. The $\delta^{13}\text{C}$ value of soil-respired CO_2 was calculated as a mixture of ambient
190 and soil-respired CO_2 sampled in the chamber³⁴. The ^{13}C signal ($\Delta^{13}\text{C}$) in mycorrhizal roots was the
191 difference between $\delta^{13}\text{C}$ values during and before labelling. The amount of ^{13}C assimilated by plants,
192 in soil microbial biomass and in soil-respired CO_2 was estimated by first expressing the δ notations in
193 atom% and then calculating the excess ^{13}C values considering each pool and flux size¹¹
194 (Supplementary Methods). The mean residence time (*MRT*) for the ^{13}C soil efflux was calculated as
195 described previously¹¹.

196 **Analysis of metabolites**

197 Metabolites were analysed according to previous studies^{35,36}. In brief, frozen tissue was homogenized
198 and extracted with 87% methanol. Phloem exudates were obtained as previously described³⁷, dried
199 and re-dissolved in 87% methanol. Aliquots were derivatized and injected into a GC-quadrupole MS
200 system (GC: 7890A; MS: 5975C; Agilent Technologies, Waldbronn, Germany). GC-MS data were then
201 deconvoluted, peak areas quantified and mass spectra identified according to³⁵. Relative
202 concentration changes were calculated as \log_{10} ratios between drought and control treatments.

203 **Statistical analysis**

204 Data were analysed by fitting linear mixed effects models using maximum likelihood (lme function;
205 nlme package, R version 3.1.2.)³⁸ (Supplementary Table 3). For the entire measurement period,
206 season (dry: 22 May to 1 Aug vs. wet: 2 Aug to 31 Oct), treatment (drought/post-drought vs. control)
207 and date of measurement were used as fixed effects and lysimeter and individual tree were included
208 as random effects. The corAR1 function was included in the model to account for repeated
209 measurements with a first-order autoregressive covariate structure. Treatment effects were
210 additionally analysed for dry and wet season. To account for the varying ^{13}C signal in the
211 consecutively labelled lysimeters, we included a co-variate as a fixed effect, thereby normalizing the
212 ^{13}C tree uptake in each lysimeter to the treatment mean of the wet and dry season, respectively,
213 which allowed us to consider the treatment-specific ^{13}C uptake by trees. In all final models, normality

214 and homoscedasticity of the residuals were verified with diagnostic plots and the dependent
215 variables were all log or square root transformed.

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312 **AUTHOR CONTRIBUTIONS**

313 M.A., R.S., F.H., M.S. and A.G. designed the experiments; R.S., F.H., J.J. and M.A. performed the ¹³C-
314 pulse labelling; F.H., K.S. and M.A. measured seasonal CO₂-fluxes and chlorophyll fluorescence; M.A.,
315 M.P., K.P., U.G., R.K., V.M., S.E. J.L., J.J., M.W., R.S. and F.H. analysed ¹³C allocation patterns; A.G., J-
316 F.L. and M.L. analysed metabolites; J.J. and F.H. performed statistical analysis; A.G., J.J., F.H. and
317 M.A. wrote the manuscript.

318 **COMPETING INTERESTS**

319 The authors declare no competing financial interests.

320 **FIGURE LEGENDS**

321 **Figure 1: Hypothetical trajectories of metabolic activity and metabolite concentration in leaves and**
322 **roots as a consequence of drought onset and drought release.** For both drought (**a, c**) and drought
323 release (**b, d**), the scenarios for full source (**a, b**) and full sink (**c, d**) control of the tree carbon balance
324 after the change in soil moisture conditions are shown. Effects in source (leaves/green) and sink
325 tissues (root/brown) are provided for each scenario. We refer to net-photosynthesis (A_N) as a source
326 metabolic activity and to soil respiration (R_S) as an integrator of sink metabolic activity in the roots.
327 Metabolite content refers to the most abundant carbohydrates (i.e. the non-structural carbohydrates
328 (NSC) glucose, fructose, sucrose and starch; see also Figure 4b). Under source control (**a, b**), source
329 metabolic activity in leaves (A_N) reacts first to changing conditions and induces changes in assimilate
330 (i.e. sugar) availability for sinks and thus affects sink metabolic activity in roots (R_S). Under sink
331 control (**c, d**), sink metabolic activity is directly affected by the environmental conditions, leading to
332 changes in sink metabolite levels. After a delay, source metabolic activity is impacted in response to
333 the altered sink demand.

334 **Figure 2: Reduction of net-photosynthesis (A_N) and soil respiration (R_S) in the model ecosystem**
335 **experiment during drought (dry season), and during recovery and stimulation after drought release**
336 **(wet season).** **a**, and **b**, show effects on A_N and R_S , respectively. Numerical values provide
337 quantitative measures of the [drought limitation](#) and the stimulation after full recovery ($P < 0.05^*$,
338 0.01^{**} , 0.001^{***} ; means \pm SE; A_N : $n = 8$, R_S : $n = 3-8$). In **c**, the development of drought and post-
339 drought effects on A_N (green) and R_S (brown) are shown, [together with the release of metabolic](#)
340 [limitation of \$A_N\$ after re-watering \(PS II chlorophyll fluorescence; \$n = 8\$ \)](#). Responses are shown as
341 relative deviations from control [values](#).

342 **Figure 3: Suppressed uptake and allocation of ^{13}C assimilates in the model ecosystem experiment**
343 **under drought (dry season) and increased transfer to and metabolization in the belowground**
344 **compartment after drought release (wet season). a, and b, leaf photosynthetic uptake of ^{13}C . c, and**
345 **d, incorporation of ^{13}C into mycorrhizal root tips. e, and f, transfer of ^{13}C to soil microbial biomass. g,**
346 **and h, respiratory ^{13}C release from the soil including mean residence times of recent assimilates**
347 **calculated from the $\delta^{13}\text{C}$ of continuously measured soil CO_2 (Supplementary Figure 3). P values < 0.05**
348 **indicate statistically significant treatment effects (means \pm SE, $n = 3$ for dry season and $n = 4$ for wet**
349 **season).**

350 **Figure 4: Decreased net-photosynthesis (A_N) in the pot experiment during drought but unchanged**
351 **metabolite concentrations in leaves and increased concentrations in roots. a, changes in A_N during**
352 **the course of drought development ($P < 0.05^*$, 0.01^{**} , 0.001^{***} ; means \pm SE, $n = 5$) b, changes in**
353 **metabolite concentrations. Effects on metabolites are shown as \log_{10} ratio of the drought treatment**
354 **to the control treatment 1, 2, 3 and 4 weeks after the onset of drought. Analysed metabolites**
355 **comprise the most abundant carbon compounds, as well as proline as an osmoprotectant, occurring**
356 **in leaves and roots and sucrose as the main transport sugar in the collection and release phloem²⁶.**
357 **Data shown are means of 5 replicates.**

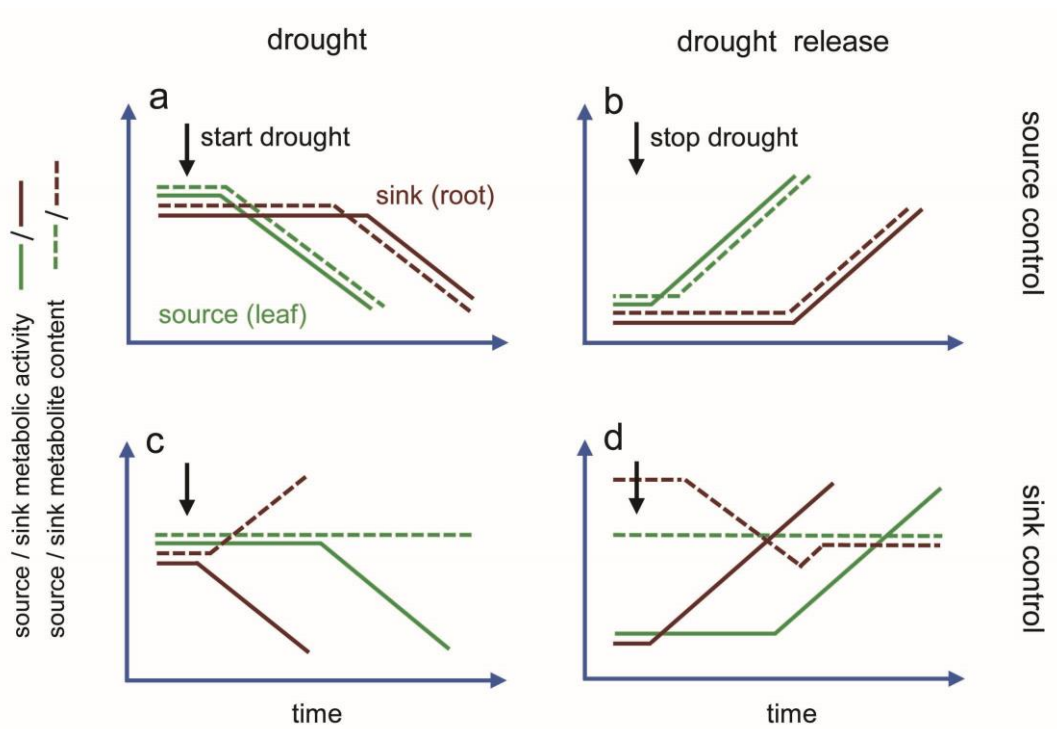


Figure 1

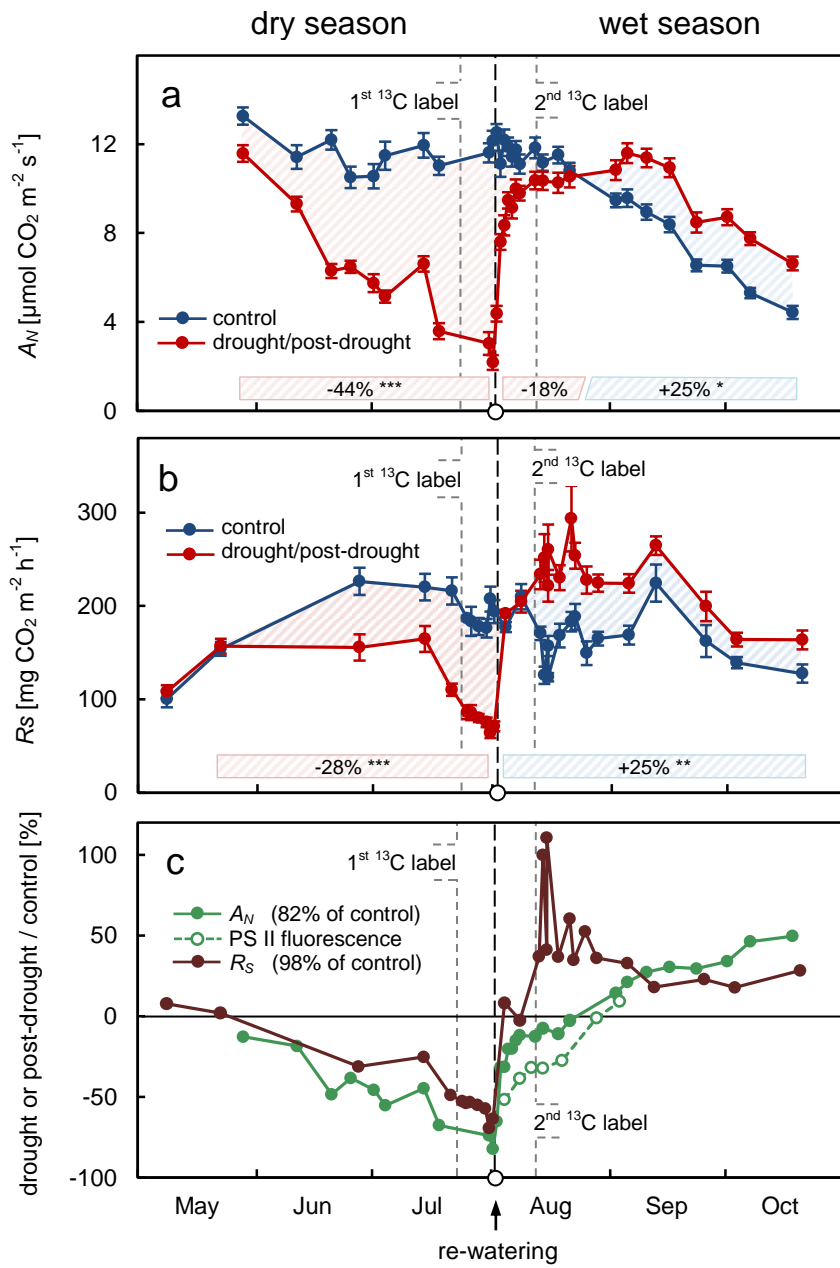


Figure 2

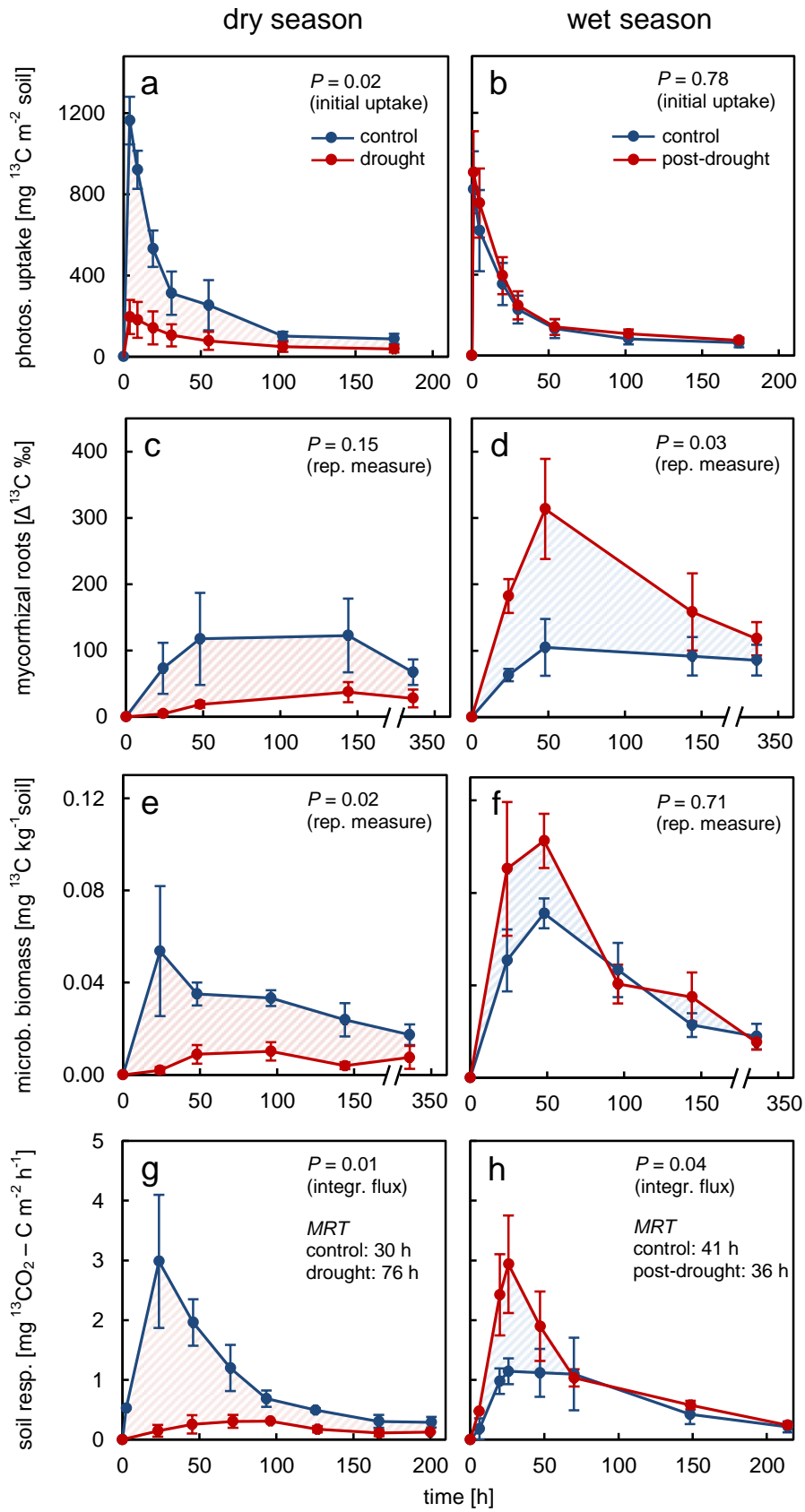


Figure 3

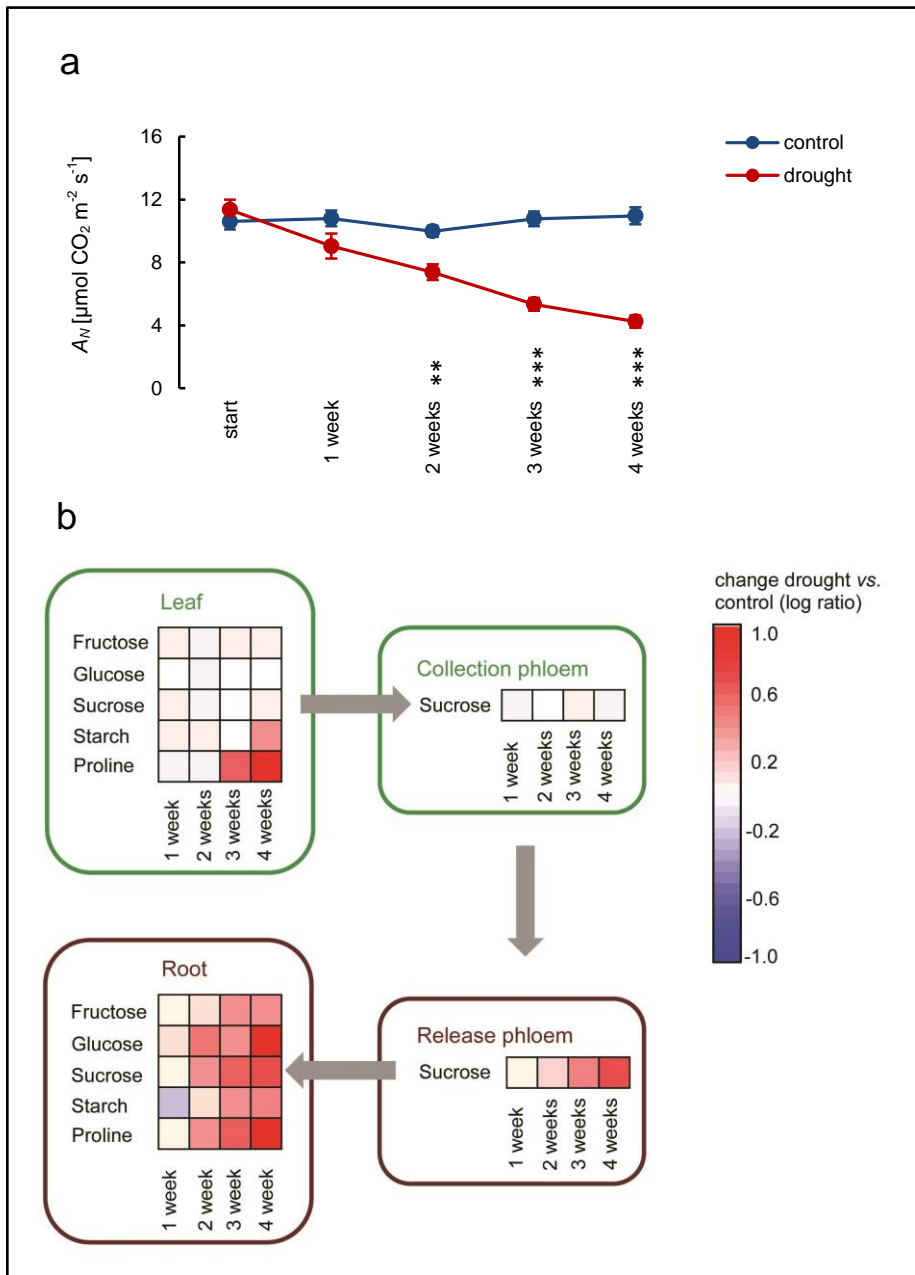


Figure 4