# Low-frequency and common genetic variation in ischemic stroke

The METASTROKE collaboration

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# ABSTRACT

Objective: To investigate the influence of common and low-frequency genetic variants on the risk of ischemic stroke (all IS) and etiologic stroke subtypes.

Methods: We meta-analyzed 12 individual genome-wide association studies comprising 10,307 cases and 19,326 controls imputed to the 1000 Genomes (1 KG) phase I reference panel. We selected variants showing the highest degree of association ( $p < 1E-5$ ) in the discovery phase for replication in Caucasian (13,435 cases and 29,269 controls) and South Asian (2,385 cases and 5,193 controls) samples followed by a transethnic meta-analysis. We further investigated the p value distribution for different bins of allele frequencies for all IS and stroke subtypes.

Results: We showed genome-wide significance for 4 loci: ABO for all IS, HDAC9 for large vessel disease (LVD), and both PITX2 and ZFHX3 for cardioembolic stroke (CE). We further refined the association peaks for ABO and PITX2. Analyzing different allele frequency bins, we showed significant enrichment in low-frequency variants (allele frequency <5%) for both LVD and small vessel disease, and an enrichment of higher frequency variants (allele frequency 10% and 30%) for CE (all  $p < 1E-5$ ).

Conclusions: Our findings suggest that the missing heritability in IS subtypes can in part be attributed to low-frequency and rare variants. Larger sample sizes are needed to identify the variants associated with all IS and stroke subtypes. Neurology® 2016;86:1217–<sup>1226</sup>

# **GLOSSARY**

 $AF =$  atrial fibrillation; CADISP = Cervical Artery Dissection and Ischemic Stroke Patients;  $CE =$  cardioembolic stroke; FDR = false discovery rate; GWAS = genome-wide association studies;  $IS =$  ischemic stroke;  $LD =$  linkage disequilibrium;  $LVD$  = large vessel disease; MAF = minor allele frequency; MAGENTA = Meta-Analysis Gene-set Enrichment of Variant Associations; NINDS-SiGN = National Institute of Neurological Disorders and Stroke-Stroke Genetics Network; NK = natural killer; NO = nitric oxide; RACE = Risk Assessment of Cardiovascular Events; SNP = single nucleotide polymorphism;  $SVD$  = small vessel disease;  $TOAST$  = Trial of Org 10172 in Acute Stroke Treatment.

Stroke is a leading cause of disability in Western countries and among the most common causes of premature death worldwide.<sup>1,2</sup> Ischemic stroke (IS) accounts for up to 85% of all stroke cases. Evidence for a substantial genetic contribution to IS risk comes from twin and family history studies and the discovery of risk loci for IS through genome-wide association studies (GWAS).<sup>3-7</sup> Most previously identified associations have been confined to etiologic stroke subtypes, which include large vessel disease (LVD), cardioembolic stroke (CE), and small vessel disease (SVD). Despite these discoveries, a significant proportion of heritability remains unexplained.<sup>3,6–8</sup>

Prior GWAS in IS have been based on genetic data imputed to versions of the HapMap panel9 with training sets of up to 2.5 million single nucleotide polymorphisms (SNPs) of which 85% are common variants (minor allele frequency  $[MAF] > 5%$ ). Since then, the 1000 Genomes (1 KG) Project<sup>10</sup> has considerably expanded the coverage of human genetic variation especially for low-frequency (MAF 1%–5%) variants. We thus performed an extended metaanalysis informed by 1 KG including low-frequency variants in the human genome not assessed in the previous METASTROKE collaboration to determine whether these variants mediate risk for ischemic stroke.

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Go to [Neurology.org](http://neurology.org/lookup/doi/10.1212/WNL.0000000000002528) for full disclosures. Funding information and disclosures deemed relevant by the authors, if any, are provided at the end of the article.

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## Supplemental data at [Neurology.org](http://neurology.org/lookup/doi/10.1212/WNL.0000000000002528)

We assembled 10,307 Caucasian cases and 19,326 Caucasian controls from 12 studies for a GWAS meta-analysis of IS based on the 1 KG phase I imputation training set. After quality control, 8.3 million SNPs and 1 million indels were available for analysis. Promising signals were replicated both in Caucasian and non-Caucasian populations.

METHODS Overall study design. The discovery stage consisted of a meta-analysis of 12 case-control studies of IS with previously genotyped data (table e-1 on the Neurology® Web site at [Neurology.org\)](http://neurology.org/lookup/doi/10.1212/WNL.0000000000002528). For each set of cases, populationmatched controls were recruited from studies with existing genotyping data (details of study cohorts and controls are given in the supplementary material). For both sample sets, raw autosomal data were imputed to approximately 9 million SNPs using 1 KG phase I data as a reference panel. Genomewide logistic regression analysis was performed independently in all samples, summary statistics were shared, and metaanalysis was performed centrally for all datasets. Covariates were not considered as they were not equally available over all study sets. Subsequently, the top SNPs ( $p < 1E-5$ ) from the discovery meta-analysis were tested for replication in 3 independent samples (figure 1 and table e-2): (1) 5,137 de novo genotyped stroke samples from Europe and the United States and 2,040 controls; (2) genome-wide data from 8,298 Caucasian stroke patients and 27,229 controls recruited through the Cervical Artery Dissection and Ischemic Stroke Patients (CADISP) and National Institute of Neurological Disorders and Stroke–Stroke Genetics Network (NINDS-SiGN) networks<sup>11,12</sup>; and (3) genome-wide data from South Asian patients recruited through the Risk Assessment of Cardiovascular Events (RACE) study phase 1 and 2.13

Standard protocol approvals, registrations, and patient consents. Written or oral informed consent was obtained from all participants, and the study was approved by the respective research ethics committees.

Discovery-stage genotyping. Genotyping was performed individually for all sites and quality control was performed as described previously.<sup>14</sup>

Replication-stage genotyping. The first part of de novo genotyping was done at the Helmholtz Center Munich using iPlex Gold (Sequenom, San Diego, CA) methodology. Amplification reactions and parameters were based on the manufacturer's instructions. Spectrocaller software supplied by the manufacturer was used for automatic genotype calling. Clusters were checked manually, and all doubtful calls were evaluated. Sex was checked to remove any sample misidentifications.

The second part of de novo genotyping was performed at the Psychiatric & Neurodevelopmental Genetics Unit, Boston, Massachusetts, using the Sequenom iPLEX Gold chemistry and the MassARRAY system. Genotypes were called using Spectro-CHIP array and matrix-assisted laser desorption/ionization– time of flight mass spectrometry. Genotype clusters were checked manually, and all doubtful calls were evaluated.

Imputation. We performed imputation separately for each study (table e-1) using the algorithms IMPUTEv215 and MACH16 with standard parameters. We removed SNPs with an imputation quality (info) score  $<$  0.3, leaving approximately 8 million variants per individual study.

GWAS and meta-analysis. We performed GWAS on the combined phenotype (all ischemic stroke), as well as for etiologic stroke subtypes classified according to Trial of Org 10172 in Acute Stroke Treatment (TOAST) criteria.17 TOAST subtyping was available for all but 2 studies (Heart Protection Studyand Vitamin Intervention for Stroke Prevention; see table e-1). To ensure high quality of the resulting GWAS data, we calculated  $\lambda$  for the overall discovery sample (figure e-1) and for each study individually (figure e-2). We further calculated lambda on sets of SNPs stratified by frequency and imputation quality to determine whether particular bins of SNPs were susceptible to genomic inflation (figure 2). SNPs with frequency  $<$  1%, introduced during imputation, showed high levels of genomic inflation and were thus excluded from the transethnic meta-analysis. 1 KG phase I samples were used to calculate reference frequencies for European samples. SNPs with a frequency difference  $>$  30% from 1 KG in controls or a difference  $>$  30% with any other study in the meta-analysis were removed from the data, as were SNPs with missing  $p$  values and SNPs that only produced  $p$  values in <50% of the studies.

Finally,  $\lambda$  was calculated across the cleaned set of association results, and the results were combined to perform fixed-effects inverse variance weighted meta-analysis using METAL.18 During meta-analysis, SNPs were analyzed across cohorts to ensure that effect alleles were consistent and that alleles matched those reported in the 1 KG phase I. Outliers in these analyses were excluded from further processing. We used genomic control to correct for incidental inflation of test statistics. Upon completion of the meta-analyses, we again confirmed that the genomic inflation was well behaved (all  $\lambda$  <1.03).

All SNPs with  $p < 1E-5$  in any of the performed GWAS (all IS and subtypes) and a median imputation quality  $>0.7$  were selected for downstream replication. This resulted in sets of SNPs analyzed for the following traits: all IS, LVD, SVD, and CE. The replication strategy consisted of 3 parts (figure 1). We first performed replication in the de novo genotyped wet-laboratory studies using Sequenom technology.

Summary statistics for each replication sample were produced using logistic regression with the phenotype of interest as outcome. Model 1 was calculated without covariates; model 2 included sex as a covariate. Since the results did not differ between the 2 models and to ensure consistency between the discovery and replication phase, results are reported only for model 1. Cases from Leuven were folded into the German sample using the German controls. Results were summarized using fixed-effects inverse-variance meta-analysis. We next performed in silico replication in the CADISP<sup>11</sup> and NINDS-SiGN<sup>12</sup> sample. Overlapping cases and controls between the NINDS-SiGN sample and METASTROKE were identified and removed and summary statistics from NINDS-SiGN were recalculated for the replication SNPs.

For both meta-analyses, fixed-effects inverse variance models were used. The first and second replication steps were combined to form the Caucasian replication set. Third, multiethnic metaanalysis was performed by integrating in silico lookup data from RACE1 and RACE2 (forming the South Asian replication set) using METASOFT. We used Han and Eskin's <sup>19</sup> random effects model to maximize power under heterogeneity. Combination of the discovery, the Caucasian replication set, and the South Asian replication set using multiethnic analysis formed the final results (figure 1). Any SNPs with  $p < 5E-8$  were considered to be genome-wide significant. Any SNPs with  $5E-8 < p < 1E-5$  were considered to have suggestive evidence for association.

Pathway analysis. Pathway analysis was performed using Meta-Analysis Gene-set Enrichment of Variant Associations (MAGENTA).20 We used all available databases and 10,000

## Figure 1 Study profile



Study profile summarizing the study samples and analytical strategy.

permutations to select statistically significant pathways and processes. We deemed a false discovery rate (FDR) q value of  $q$  < 0.05 or a Bonferroni corrected p value of  $p$  < 0.05 as significant. Bonferroni correction was performed on the number of gene sets in a pathway.

RESULTS A total of 10,307 cases and 19,326 controls from 12 studies were investigated in the discovery analysis. Data on etiologic stroke subtypes were available for 10 of the 12 studies (table e-1). A set of 15,820 IS cases (3,808 LVD, 3,697 CE, and 2,206 SVD) and 34,462 controls was available for replication (see Methods and table e-2). The overall genomic inflation factors  $(\lambda)$  for the meta-analyses of IS, LVD, CE, and SVD were 1.015, 1.028, 1.029, and 1.029, respectively, indicating minimal inflation due to population stratification or due to cases and controls who had undergone separate genotyping (figure 2). Manhattan plots for the discovery sample are shown in figures e3-e6. QQ plots for all IS and subtypes are depicted in figure e-1 and for individual studies in figure e-2.

Established risk loci for ischemic stroke. We first examined the lead SNPs of established risk loci for IS and subtypes derived from GWAS in our discovery metaanalysis (table 1). The previously identified lead signal for  $HDAC9$  (LVD)<sup>5</sup> was associated on a genome-wide level in the discovery analysis. We also observed p values  $\lt$  1E-3 for association at chr12q24<sup>21</sup> (all IS,  $p = 1.13E-5$ ,  $ABO<sup>22</sup>$  (all IS,  $p = 5.40E-4$ ), chr6p21<sup>6</sup> (LVD,  $p = 5.83E-5$ ), chr9p21<sup>23</sup> (LVD,  $p = 1.09E-4$ , PITX2<sup>24</sup> (CE,  $p = 2.51E-6$ ), and ZFHX3<sup>25</sup> (CE,  $p = 6.73E-5$ ). In contrast, we found no association of  $N\frac{N}{2^{26}}$  (all IS,  $p = 0.4196$ ). For full results, see table 1 and figure e-7.

Novel risk loci for ischemic stroke. Our discovery analysis yielded 4 new and independent loci that exceeded the threshold for genome-wide significance of 5E-8 (table 2) as well as 25 additional loci with association  $p$  values  $\lt$  1E-5 (table e-3). This list included 7 loci (13 variants) for all IS, 8 loci (13 variants) for LVD, 7 loci (11 variants) for CE, and 7 loci (13 variants) for SVD, all of which were selected for replication (figure 1). In the Caucasian replication, 3 SNPs for 3 loci (ABO, PITX2, and ZFHX3) were nominally associated after Bonferroni correction ( $p \le 6.3E-3$ , table 2). The results of a transethnic meta-analysis yielded 4 loci genomewide significant, all of which have been reported previously. The lead SNPs for these loci were rs532436 for ABO (all IS, overall  $p = 4.28E-8$ ), rs2107595 for *HDAC9* (LVD,  $p = 2.99E-11$ ), rs2723334 for *PITX2* (CE,  $p = 8.37E-24$ ), and rs12932445 for *ZFHX3* (CE,  $p = 1.20E-8$ ). Association signals for the indels did not achieve genome-wide or near genome-wide significance.

Fine mapping of risk loci for ischemic stroke. In order to refine the association signals at confirmed, previously published loci, we took advantage of the denser imputation panel provided by the 1 KG consortium to produce association signals for an enlarged set of low-frequency variants (figure e-7). We discovered a new peak association for PITX2 (rs2723334), which is only in moderate linkage disequilibrium (LD) with the previously published lead signal for CE (rs2200733,  $r^2 = 0.45$ , figure e-7C) and with the previously published lead signal for atrial fibrillation  $(AF)^{27}$  (rs6817105,  $r^2 = 0.46$ ). Furthermore, our novel lead SNP (rs532436) for ABO is only in



Shown is the distribution of p values for different allele frequency bins: (A) large vessel disease, (B) cardioembolic stroke, (C) small vessel disease. The red line displays the expected (null) distribution of the statistic. The black line shows the observed distribution of all variants studied. Frequency bins are depicted in different colors: green (>30% minor allele frequency [MAF]), orange (10%-30% MAF), blue (5%–10% MAF), and gray (1%–5% MAF). The number in parentheses shows the

moderate LD with the previously published variant  $(rs505922, r^2 = 0.53, figure e-7A)$ . In contrast, we found the lead SNPs for HDAC9 and ZHFX to be identical or in high LD ( $r^2 > 0.9$ ) with the lead SNPs reported by prior studies (rs2107595 for HDAC9, and rs879324 for ZFHX3, figure e-7, B and D; table 1). Functional annotation of all lead SNPs is presented in table e-4.

Role of allele frequency bins. To study the contribution of low-frequency alleles and common alleles to individual stroke subtypes, we further investigated the  $p$  value distribution across bins of variants categorized according to their minor allele frequencies  $(>\!\!30\%; 10\!\!-\!\!30\%; 5\!\!-\!\!10\%; <\!\!5\%)$  for all IS and stroke subtypes (figure 2). There was no enrichment of specific bins of allele frequencies for all IS. However, we found an enrichment in lowfrequency variants  $(<5\%)$  for both LVD and SVD. In contrast, CE showed an enrichment of variants between 10% and 30%. The enrichment of these specific variant bins was significant when compared to all SNPs or any other frequency bin using a 2 sample Kolmogorov-Smirnov test (all  $p < 1E-5$ ).<sup>28</sup> Of note, the distribution of observed vs expected  $p$  values was well-behaved in all analyses (figure 2) and we did not observe a systematic bias towards low-frequency variants that could have been introduced through imputation artifacts.

Pathway analysis. Applying a Bonferroni corrected threshold of  $p < 0.05$  we found several pathways for all IS and IS subtypes (table e-5). In total, there were 136 nominally associated pathways for CE, 84 for all IS, 86 for LVD, and 55 for SVD. The following terms showed the highest degree of association: germ cell development (CE), microtubule (IS), mitochondrial envelope (LVD), and SH3 domain binding (SVD). When using a predefined FDR cutoff of  $q <$ 0.05, we observed a single association of natural killer (NK) cell signaling with all IS.

DISCUSSION Adopting a classical GWAS approach based on 1 KG imputed data with replication in both de novo and in silico genotype data, we found no novel locus reaching genome-wide significance for ischemic stroke or its subtypes. However, for the first time, we report genome-wide significance for association of the ABO locus with all IS and were able to fine-map 2 known stroke loci (PITX2 and ABO) by making use of the expanded 1 KG panel. We further found enrichment of association of

number of SNPs that were included in the respective bins. Statistical significance was tested using a 2-sample Kolmogorov-Smirnov test.<sup>28</sup>

## Table 1 Results for previously established risk loci for IS



Abbreviations: CE = cardioembolic stroke; CI = confidence interval; IS = ischemic stroke; LVD = large vessel disease;  $SNP =$  single nucleotide polymorphism.

Results for the METASTROKE discovery meta-analysis are shown for the lead SNPs reported by previous studies. The effect direction of the odds ratio is given in the direction of the minor allele.

low-frequency alleles with both LVD and SVD, and of higher frequency variants (10%–30%) with CE. This finding has important implications for future studies, as design and analysis strategies may differ for low-frequency and common variants.

ABO has previously been shown to be genomewide associated with circulating levels of von Willebrand factor and factor VIII.<sup>22</sup> An assessment of these signals in IS cohorts showed a nominal replication for the lead SNP rs505922 in LVD and CE, but not for SVD.<sup>22</sup> Our findings extend this observation by demonstrating that a different variant in the ABO gene (rs532436,  $p = 4.30E-8$ ) is genome-wide associated with all IS and that the association with ABO is strictly confined to LVD ( $p = 0.0029$ ) and CE ( $p = 0.0011$ ) with no signal with SVD ( $p = 0.53$ ). Together, these findings emphasize a role of ABO in thrombosis and associated stroke phenotypes.

Although not reaching genome-wide significance, there are 3 novel loci that deserve attention. GUCY1A3, which showed suggestive association with LVD ( $p = 8.25E-6$ ) in the current study, has recently been reported as a risk gene for early-onset myocardial infarction in a family-based study.<sup>29</sup> The allele frequency of the lead SNP in our study was 1.5%. Thus, the low-frequency nature of this variant together with the lower power for association detection in LVD might have hindered our ability to detect a genetic association.

The second locus is *TNFSF11* (RANKL), which showed suggestive evidence for association with CE  $(p = 1.03E-7)$ . The allele frequency of the lead SNP in our study was 24%. TNFSF11, a major player in bone remodeling and part of the RANK/RANKL/ OPG pathway, has repeatedly been reported in the pathogenesis of AF and as a predictor of IS in patients with nonvalvular AF.<sup>30-32</sup> Of note, however, variants in or near this gene have not emerged from prior GWAS of AF, thus highlighting the need for sample expansion in future GWAS.

The third locus is  $GCH1$ , which showed a p value of 3.31E-5 for association with SVD. The allele frequency of the lead SNP in our study was 1.5%. GCH1 encodes for GTP cyclohydrolase 1, a ratelimiting factor in the tetrahydrobiopterin (BH4) biosynthesis.33 BH4 is an essential cofactor for nitric oxide (NO) synthases in endothelial cells and has been shown to enhance NO bioavailability.<sup>34</sup> Supplementation with a synthetic BH4 analog has previously been tested in a trial in monogenic SVD.35

Aside from GUCY1A3 and GCH1, we found other low-frequency variants with  $p$  values  $\lt$  1E-4 for association and consistent effect directions across all samples. These include NACC2 as well as an intergenic locus near KCNN2 (both LVD), TMEM108 (SVD), and CBFA2T3 (CE). More work is needed to determine the potential role of these low-frequency variants in stroke subtypes. Our findings on lowfrequency variants together with the observed enrichment of association of low-frequency alleles with both LVD and SVD supports the notion that parts of the missing heritability in IS are explained by rare and low-frequency variation. Next-generation sequencing studies and targeted resequencing efforts of known risk loci together with larger sample sizes for stroke subtypes are needed to capture this missing heritability and to depict the heritability of ischemic stroke more precisely.

Previous GWAS have revealed that associations with ischemic stroke are largely confined to etiologic



Results are shown for the discovery sample, the Caucasian and South Asian replication samples, and the final transethnic meta-analysis. Results are sorted by stroke phenotype and meta-analysis p value. NA value. NA meta-analysis p phenotype and stroke  $\frac{1}{\alpha}$ sorted Results are transethnic meta-analysis. final eq. and Results are shown for the discovery sample, the Caucasian and South Asian replication samples, signifies that no information on that SNP was available for quality control reasons. signifies that no information on that SNP was available for quality control reasons. stroke subtypes. We found the ABO locus to be associated with all IS on a genome-wide level, which is primarily due to its association with LVD and CE. Another major locus that has been reported to be associated with multiple stroke subtypes is the chr12q24 region, which has recently been shown to be implicated in LVD, CE, and SVD.<sup>21</sup> New results, however, show association restricted to SVD without evidence for association in any other subtype.12 Conceivably, shared associations in conjunction with subtype-specific signals may provide insights into stroke mechanisms.

Our pathway analysis revealed several novel pathways for all IS and etiologic stroke subtypes. The strongest association was seen for all IS and NK cell signaling. It was recently shown that NK cells promote neuronal death in experimental stroke.<sup>36</sup> However, additional work is needed to fully explore the role of this and other candidate pathways in IS. Combining pathway analysis with more detailed phenotyping may provide further insight into specific stroke subtypes. It is well-known that genes do not act in isolation, but rather in complex molecular networks that are often involved in disease susceptibility and progression. Pathway analysis has promise in other diseases like coronary artery disease<sup>37</sup> where canonical pathways like inflammation and lipid metabolism had been identified as key players in disease development. This information is highly valuable in a context of mechanistic and functional studies to elucidate the biological processes in disease development. Further, it also provides potential mechanisms in gene–environment interactions, which are mostly unexplored in the cardiovascular disease context. An additional point to consider is the potential use of such pathways in the discovery of biomarkers. Finally, it may provide researchers with therapeutic targets that could ultimately prove to be of high relevance.

A methodologic strength of our approach is the replication of signals in a wet laboratory environment with de novo genotyping in addition to in silico replication. Prior results have shown that signals confirmed in an in silico setting may not necessarily replicate in a de novo genotyping environment.<sup>38,39</sup> Hence, we have minimized the risk of false-positive reporting by our study design. By integrating genome-wide data from non-Caucasian populations and performing a transethnic meta-analysis, we maximized the chance of detecting association signals across different ethnicities while preserving the power in our dataset. Thus for example, we saw nominally significant replication  $p$  values for  $GUCY1A3$  in LVD in the South Asian samples ( $p = 0.012$ ), pointing towards shared risk in Caucasian and South Asian populations. Discoveries of both shared and ethnicity-specific genetic risk factors will be further facilitated by recently completed GWAS studies in non-Caucasian populations.40 In the pathway analysis, we made use of the MAGENTA software, which is tailored towards elucidating pathways in a GWAS setting. MAGENTA has been shown to be superior to other pathway analysis tools; for one, MAGENTA accounts for inherent difficulties in the assignment of SNP data to gene/gene products. It ensures that the results are comparable and that there is no inherent bias in the final outcome. Second, it accounts for important confounders on the association scores of genes and gene sets, which cannot be performed by other pathway analysis tools. Our study was limited by the relatively low power for detecting associations in stroke subtypes, especially for low-frequency variants and the heterogeneity of the imputation accuracy, particularly for lower frequency variants, which may have been introduced by decentralized imputation.

Aside from providing new insights into the genetic architecture of IS, this large meta-analysis of 1 KG imputed data provides a valuable resource for even larger meta-analyses with recently published GWAS<sup>12</sup> and provides additional insight into the genetic architecture of ischemic stroke. The complete summary statistics of this analysis are available upon request through the METASTROKE Web site [\(www.strokegenetics.org\)](http://www.strokegenetics.org/).

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# ACKNOWLEDGMENT

Australian Stroke Genetics Collaboration (ASGC) Australian population control data were derived from the Hunter Community Study. The authors thank the University of Newcastle for funding and the men and women of the Hunter region who participated in this study.

#### STUDY FUNDING

This research was funded by grants from the Australian National and Medical Health Research Council (NHMRC project grant ID: 569257), the Australian National Heart Foundation (NHF project grant ID: G 04S 1623), the University of Newcastle, the Gladys M. Brawn Fellowship scheme, and the Vincent Fairfax Family Foundation in Australia. Elizabeth G. Holliday was supported by a Fellowship from the National Heart Foundation and National Stroke Foundation of Australia (ID: 100071). Bio-Repository of DNA in Stroke (BRAINS) is partly funded by a Senior Fellowship from the Department of Health (UK) to P. Sharma, the Henry Smith Charity, and the UK-India Education Research Institutive (UKIERI) from the British Council. Genetics of Early Onset Stroke (GEOS) Study, Baltimore, Maryland, USA, was supported by the NIH Genes, Environment and Health Initiative (GEI) grant U01 HG004436, as part of the GENEVA consortium under GEI, with additional support provided by the Mid-Atlantic Nutrition and Obesity Research Center (P30 DK072488), the Office of Research and Development, Medical Research Service, and the Baltimore Geriatrics Research, Education, and Clinical Center of the Department of Veterans Affairs. Genotyping services were provided by the Johns Hopkins University Center for Inherited Disease Research (CIDR), which is fully funded through a federal contract from the NIH to the Johns Hopkins University (contract number HHSN268200782096C). Assistance with data cleaning was provided by the GENEVA Coordinating Center (U01 HG 004446; PI Bruce S. Weir). Study recruitment and assembly of datasets were supported by a cooperative agreement with the Division of Adult and Community Health, Centers for Disease Control and Prevention, and by grants from NINDS and the NIH Office of Research on Women's Health (R01 NS45012, U01 NS069208- 01). Heart Protection Study (HPS) (ISRCTN48489393) was supported by the UK Medical Research Council (MRC), British Heart Foundation, Merck and Co. (manufacturers of simvastatin), and Roche Vitamins Ltd. (manufacturers of vitamins). Genotyping was supported by a grant to Oxford University and CNG from Merck and Co. Jemma C. Hopewell acknowledges support from the British Heart Foundation (FS/14/55/ 30806). Ischemic Stroke Genetics Study (ISGS)/Siblings With Ischemic Stroke Study (SWISS) was supported in part by the Intramural Research Program of the NIA, NIH project Z01 AG-000954-06. ISGS/SWISS used samples and clinical data from the NIH-NINDS Human Genetics Resource Center DNA and Cell Line Repository ([http://ccr.coriell.org/](http://ccr.coriell.org/ninds) [ninds\)](http://ccr.coriell.org/ninds), human subjects protocol numbers 2003-081 and 2004-147. ISGS/SWISS used stroke-free participants from the Baltimore Longitudinal Study of Aging (BLSA) as controls. The inclusion of BLSA samples was supported in part by the Intramural Research Program of the NIA, NIH project Z01 AG-000015-50, human subjects protocol number 2003-078. The ISGS study was funded by NIH-NINDS grant R01 NS-42733 (J.F. Meschia). The SWISS study was funded by NIH-NINDS grant R01 NS-39987 (J.F. Meschia). This study used the high-performance computational capabilities of the Biowulf Linux cluster at the NIH [\(http://hpc.nih.gov\)](http://hpc.nih.gov). MGH Genes Affecting Stroke Risk and Outcome Study (MGH-GASROS) was supported by NINDS (U01 NS069208), the American Heart Association/Bugher Foundation Centers for Stroke Prevention Research 0775010N, the NIH and NHLBI's STAMPEED genomics research program (R01 HL087676), and a grant from the National Center for Research Resources. The Broad Institute Center for Genotyping and Analysis is supported by grant U54 RR020278 from the National Center for Research resources. Milano–Besta Stroke Register Collection and genotyping of the Milan cases within CEDIR were supported by annual research funding of the Italian Ministry of Health (grant numbers: RC 2007/LR6, RC 2008/LR6, RC 2009/LR8, RC 2010/LR8), and FP6 LSHM-CT-2007-037273 for the PROCARDIS control samples. Wellcome Trust Case-Control Consortium 2 (WTCCC2) was principally funded by the Wellcome Trust, as part of the Wellcome Trust Case Control Consortium 2 project (085475/B/08/Z and 085475/Z/08/Z and WT084724MA). The Stroke Association provided additional support for collection of some of the St George's, London cases. The Oxford cases were collected as part of the Oxford Vascular Study, which is funded by the MRC, Stroke Association, Dunhill Medical Trust, National Institute of Health Research (NIHR), and the NIHR Biomedical Research Centre, Oxford. The Edinburgh Stroke Study was supported by the Wellcome Trust (clinician scientist award to C. Sudlow) and the Binks Trust. Sample processing occurred in the Genetics Core Laboratory of the Wellcome Trust Clinical Research Facility, Western General Hospital, Edinburgh. Much of the neuroimaging occurred in the Scottish Funding Council Brain Imaging Research Centre [\(www.sbirc.ed.ac.uk\)](http://www.sbirc.ed.ac.uk), Division of Clinical Neurosciences, University of Edinburgh, a core area of the Wellcome Trust Clinical Research Facility and part of the SINAPSE (Scottish Imaging Network–A Platform for Scientific Excellence) collaboration [\(www.sinapse.ac.uk\)](http://www.sinapse.ac.uk), funded by the Scottish Funding Council and the Chief Scientist Office. Collection of the Munich cases and data analysis was supported by the Vascular Dementia Research Foundation. M. Farrall and A. Helgadottir acknowledge support from the BHF Centre of Research Excellence in Oxford and the Wellcome Trust core award (090532/Z/09/Z). Leuven Stroke Study is funded by personal research funds from the Department of Neurology, University Hospital Leuven, Belgium. V. Thijs and R. Lemmens are supported by Fundamental Clinical Investigatorships from FWO. Flanders Lund Stroke Register (LSR) was supported by the Swedish Research Council (K2007-61X-20378-01-3, K2010-61X-20378-04-3), the Swedish Heart and Lung Foundation, Skåne University Hospital, Region Skåne, the Freemasons Lodge of Instruction EOS in Lund, King Gustaf V and Queen Victoria's Foundation, Lund University, and the Swedish Stroke Association. DNA extraction and preparation for LSR was performed by the SWEGENE Resource Center for Profiling Polygenic Disease, Skåne University Hospital, Malmö, Sweden. Biobank services were provided by Region Skåne Competence Centre (RSKC Malmö), Skåne University Hospital, Malmö, Sweden, and Biobank, Labmedicin, Skåne University and Regional Laboratories Region Skåne, Sweden. Munster (Westphalian Stroke Cases and Controls from the Dortmund Health Study, Germany): Case ascertainment in the Westphalian Stroke Register was part of the German Competence Net Stroke, supported by the German Federal Ministry of Education and Research (01GI9909/3). Blood collection in the Dortmund Health Study was done through funds from the Institute of Epidemiology and Social Medicine University of Muenster. The collection of sociodemographic and clinical data in the Dortmund Health Study was supported by the German Migraine and Headache Society (DMKG) and by unrestricted grants of equal share from Almirall, Astra Zeneca, Berlin Chemie, Boehringer, Boots Health Care, Glaxo-Smith-Kline, Janssen Cilag, McNeil Pharma, MSD Sharp & Dohme, and Pfizer to the University of Muenster. Portugal: S.A.O., J.M.F., and A.M.V. thank the Portuguese study participants, the genotyping unit at the Instituto Gulbenkian de Ciência, and the Portuguese study neurologists and nurses for their contributions. This work was supported by the PTDC/SAU-GMG/64426/2006 grant, a Cilência 2008 contract (S.A.O.), doctoral fellowships, and an Investigator-FCT contract (S.A.O.) from the Portuguese Fundaçaão para a Ciência e a Tecnologia. Poland: The study was supported by a grant from the Jagiellonian University, Krakow, Poland: K/ZDS/002848. VISP: The GWAS component of the VISP study was supported by the United States National Human Genome Research Institute (NHGRI), grant U01 HG005160 (PI Michèle Sale and Bradford Worrall), as part of the Genomics and Randomized Trials Network (GARNET). Genotyping services were provided by the Johns Hopkins University Center for Inherited Disease Research (CIDR), which is fully funded through a federal contract from the NIH to the Johns Hopkins University. Assistance with data cleaning was provided by the GARNET Coordinating Center (U01 HG005157; PI Bruce S. Weir). Study recruitment and collection of datasets for the VISP clinical trial were supported by an investigator-initiated research grant (R01 NS34447; PI James Toole) from the United States Public Health Service, NINDS, Bethesda, Maryland. Control data were obtained through the database of genotypes and phenotypes (dbGAP) maintained and supported by the United States National Center for Biotechnology Information, US National Library of Medicine. WHI Funding support for WHI-GARNET was provided through the NHGRI GARNET (grant number U01

HG005152). Assistance with phenotype harmonization and genotype cleaning, as well as with general study coordination, was provided by the GARNET Coordinating Center (U01 HG005157). Funding support for genotyping, which was performed at the Broad Institute of MIT and Harvard, was provided by the NIH Genes, Environment, and Health Initiative (GEI; U01 HG004424). The Stroke Genetics Network (SiGN) study was funded by a cooperative agreement grant from the National Institute of Neurological Disorders and Stroke (NINDS) U01 NS069208. Genotyping services were provided by the Johns Hopkins University Center for Inherited Disease Research (CIDR), which is fully funded through a federal contract from the NIH to the Johns Hopkins University (contract no. HHSN268200782096C). The Biostatistics Department Genetics Coordinating Center at the University of Washington, Seattle, provided more extensive quality control of the genotype data through a subcontract with CIDR. Additional support to the Administrative Core of SiGN was provided by the Dean's Office, University of Maryland School of Medicine. This work was supported by grants received from the German Federal Ministry of Education and Research (BMBF) in the context of the e:Med program (e:AtheroSysMed), the FP7 European Union project [CVgenes@target](mailto:CVgenes@target) (261123), the DFG as part of the CRC 1123 (B3), the Corona Foundation, and the Fondation Leducq (Transatlantic Network of Excellence on the Pathogenesis of Small Vessel Disease of the Brain).

## **DISCLOSURE**

The authors report no disclosures relevant to the manuscript. Go to [Neurology.org](http://neurology.org/lookup/doi/10.1212/WNL.0000000000002528) for full disclosures.

Received July 28, 2015. Accepted in final form December 14, 2015.

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