

1 **Brain Insulin Resistance at the Crossroads of Metabolic and Cognitive Disorders in**
2 **Humans**

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57 **Abstract**

58 Ever since the brain was identified as an insulin-sensitive organ, evidence has rapidly
59 accumulated that insulin action in the brain produces multiple behavioral and metabolic
60 effects, influencing eating behavior, peripheral metabolism, and cognition.

61 Disturbances in brain insulin action can be observed in obesity and type 2 diabetes (T2D), as
62 well as in aging and dementia. Decreases in insulin sensitivity of central nervous pathways,
63 i.e., brain insulin resistance, may therefore constitute a joint pathological feature of metabolic
64 and cognitive dysfunctions.

65 Modern neuroimaging methods have provided new means of probing brain insulin action,
66 revealing the influence of insulin on both global and regional brain function. In this review, we
67 highlight recent findings on brain insulin action in humans and its impact on metabolism and
68 cognition. Furthermore, we elaborate on the most prominent factors associated with brain
69 insulin resistance, i.e., obesity, T2D, genes, maternal metabolism, normal aging,
70 inflammation, and dementia, which could be the cause or consequence of brain insulin
71 resistance. We also describe the beneficial effects of enhanced brain insulin signaling on
72 human eating behavior and cognition, and discuss potential applications in the treatment of
73 metabolic and cognitive disorders.

74

75 **I. Introduction**

76 Insulin resistance is a shared hallmark feature of obesity, type 2 diabetes (T2D) and
77 neuropathological processes underlying cognitive aging and dementia. As the population
78 gets older, age-related chronic diseases become more prevalent and are of increasing public
79 concern. The number of individuals diagnosed with T2D is expected to reach 592 million by
80 the year 2035 (91). By this time, the number of people with dementia will have doubled,
81 reaching 75.6 million (388). In parallel, global obesity rates are on the rise, increasing the risk
82 of T2D, hypertension, coronary heart disease and certain forms of cancer (111, 112).
83 Furthermore, the influence of obesity and T2D on brain structure and function has been well
84 established and shows a higher risk of cognitive decline and even dementia (30, 137, 212),
85 particularly in the elderly population (30, 242). The mechanisms underlying cognitive decline
86 and brain structure changes in obesity and T2D are, however, still unclear. Since chronic and
87 acute dysregulations of blood glucose concentrations have both been linked to compromised
88 neurocognitive functions (96), the majority of prediabetes and T2D research has focused on
89 the effects of glycemia extremes (317). Due to its importance in brain functioning, insulin
90 signaling within the brain has been receiving more attention recently. One reason for this is
91 because significant disturbances in brain insulin action are not only observed in obesity and
92 T2D, but also in brain aging and dementia. It has therefore been proposed that decreases in
93 the sensitivity of central nervous pathways to insulin, i.e., brain insulin resistance, constitute
94 a potential link between metabolic and cognitive dysfunctions (56, 377).

95 **1. The role of insulin in the brain: a historical perspective**

96 Following its discovery in 1921, the vital role of insulin in the periphery was quickly
97 recognized and extensively studied before scientific interest turned to the role of the brain in
98 insulin signaling and vice versa. Peripheral tissue depends on insulin to translocate and
99 activate glucose transporters on cell membranes which, in turn, trigger glucose uptake from
100 the circulation. However, the central nervous system (CNS) can utilize glucose independently

101 of insulin-mediated processes inasmuch as glucose can enter the brain by diffusing across
102 the blood-brain barrier. It is then absorbed by brain cells via a range of insulin-insensitive
103 glucose transporters. However, insulin, being a large peptide hormone, does not passively
104 cross the blood-brain barrier. For a long time, brain function was therefore considered to be
105 insulin-independent. However, studies in the 1970s and early 1980s, in particular by Jesse
106 Roth and colleagues, demonstrated that insulin receptors are abundantly distributed
107 throughout the brain (15, 16, 72, 157, 158, 328, 375) (Figure 1). The seminal work of
108 Stephen Woods and colleagues highlighted the pivotal role of the brain in insulin action,
109 showing that intracerebroventricular infusion of insulin decreases food intake and body
110 weight in baboons (397). Although mostly performed in rats (1, 2, 187, 238), this central
111 catabolic action has been replicated across a number of species including mice (37),
112 chickens (89, 179), sheep (113) and marmots (64). Despite this diversity, not all results are
113 confirmatory (193, 253). Some investigators failed to observe a reduction in food intake after
114 insulin administration. A recent study by McAllister et al. (234) systematically evaluated
115 potential confounds by performing several cross-over designs with insulin versus placebo in
116 mice. The authors proposed that recent experience with intracerebroventricular administration
117 can contribute to the variability in the effect of insulin. When insulin and placebo trials were
118 spaced only two days apart, order-dependent effects were indeed identified. Virtually no
119 significant insulin effect on food intake was observed when insulin was delivered on the first
120 and placebo on the second trial. Thus, environmental cues can alter eating-related
121 responses to insulin, probably due to an associative learning process.

122 Besides its effects on food intake, evidence has been rapidly accumulating that brain insulin
123 action produces multiple behavioral and metabolic effects, influencing eating behavior,
124 peripheral metabolism (166), and cognition, in particular memory formation (266), in animals
125 and humans.

126 Until the 1990's, the mechanisms responsible for the transport of insulin to the central
127 nervous system had not been identified (273). Controversy over the source of central

128 nervous insulin led to the hypothesis that insulin is produced within the central nervous
129 system (159, 243). While local insulin release in the CNS seems to be important in lower
130 organisms (133), its quantitative relevance in comparison to pancreas-derived insulin in
131 higher animals is still under debate (133). However, two recent studies suggest that local
132 production of small quantities of insulin might exist in the CNS (239, 243). With regard to
133 pancreas-derived insulin that enters the brain via the bloodstream, a saturable, insulin
134 receptor-mediated pathway was observed to transport insulin into the brain (12, 17, 18, 208).
135 Once released into the blood stream by pancreatic beta cells, circulating insulin binds to
136 receptors on endothelial cells of the blood-brain barrier, where it is further transported into
137 the brain's interstitial fluid by receptor-mediated transcytosis (18). Here it binds to numerous
138 insulin receptors distributed throughout the olfactory bulb, cerebral cortex, hippocampus,
139 hypothalamus, amygdala and septum (16, 157, 328, 365, 375) (Figure 1). It then induces a
140 number of central nervous and peripheral effects (as discussed in section III). More
141 specifically, as soon as the insulin receptor binds the hormone insulin, it becomes active as a
142 tyrosine kinase. This activation causes autophosphorylation of the receptor as well as
143 phosphorylation of the tyrosine residues of the docking protein known as insulin receptor
144 substrate (IRS). This subsequently activates the downstream signaling cascade (386). Of the
145 six IRS family members identified to date, IRS-1 and IRS-2 are responsible for most of the
146 abundant effects of insulin associated with the activation of two main signaling pathways,
147 namely the phosphatidylinositol 3-kinase (PI3K)-AKT/protein kinase B (PKB) pathway and
148 the Ras-mitogen-activated protein kinase (MAPK) pathways (224, 385). The former is
149 responsible for most of the metabolic actions of insulin (344). With regard to the IRS family
150 expression in the brain, although IRS-1 was identified in parts of the brain, the ventral
151 hypothalamus showed no expression of IRS-1 and knockout mice revealed normal energy
152 homeostasis (278). IRS-2 was abundantly found in the arcuate nucleus of the ventral
153 hypothalamus (354) and knockout mice exemplified an obese phenotype (227). Hence, IRS-
154 2 would appear to play an important role in brain insulin signaling. The specific roles of IRS-
155 1 and IRS-2 in cognitive brain functions are not completely understood. Several studies

156 indicate memory-enhancing effects of insulin in IRS pathways (81). IRS-1 seems to positively
157 regulate memory and is inhibited in Alzheimer's disease brains and animal models (34, 244,
158 342). Reducing IRS-1 inhibition improved cognition in mice (34). IRS-2, on the other hand,
159 acts as a negative modulator of memory impairing for example dendritic spine formation
160 (188). Beneficial effects of IRS-2 deletion are seen on Alzheimer's disease pathology
161 reducing cognitive deficits in transgenic mouse model of AD (34, 206).

162 **2. Evidence for brain insulin resistance**

163 Insulin resistance refers to the reduced ability of insulin to exert its action on target tissues. In
164 the periphery, this has long been considered a hallmark feature of obesity and T2D. Once
165 the central effects of insulin on food intake and body weight in animals and humans were
166 identified, it transpired that the brain is a further important site of insulin resistance. In a
167 pioneering study, the selective disruption of neuronal insulin receptors in mice induced a diet-
168 induced obese phenotype with increased body fat and peripheral insulin resistance (39).
169 Parts of this effect were later attributed to insulin receptors in specific hypothalamic subnuclei
170 (246, 379). The restoration of insulin receptor function in non-canonical insulin target tissue
171 such as the brain prevents diabetes and maintains homeostasis (262). The significance of
172 brain insulin action for peripheral metabolism was first revealed in rodent models, in which an
173 alteration of the CNS-liver circuit was shown to contribute to hyperglycemia. More
174 specifically, insulin signaling in the hypothalamus was discovered to be necessary for
175 controlling hepatic glucose production (258, 259) and the surgical resection of the hepatic
176 branch of the vagus nerve negated brain insulin action (277). Like insulin, numerous other
177 hormonal signals from the periphery, such as GLP-1, CCK or ghrelin, influence the brain by
178 exerting their effects on food intake, thereby establishing a multifactorial signal crosstalk
179 between the periphery and brain (393).

180 In humans, Tschritter and colleagues (362) were the first to show brain unresponsiveness to
181 exogenous insulin in obese adults. This opened up the new field of the study of brain insulin

182 resistance in-vivo in humans using neuroimaging techniques (as discussed under sections III
183 and IV).

184 Interestingly, many of the metabolic disturbances found in prediabetes and T2D can also be
185 observed in Alzheimer's disease (AD). Patients with AD display reduced peripheral insulin
186 sensitivity and are typically hyperinsulinemic in both a state of fasting and in response to an
187 oral glucose tolerance test, (75). Furthermore, prolonged peripheral hyperinsulinemia can
188 decrease insulin receptors at the blood-brain barrier, thereby reducing insulin transport into
189 the brain (315). Notably, in patients with AD and mild-cognitive impairments as well as in
190 patients with whole-body insulin resistance, T2D and obesity, plasma insulin levels are high,
191 whereas cerebrospinal fluid (CSF) insulin levels are decreased (76, 169, 199, 306). Reduced
192 brain insulin uptake has therefore been postulated to lead to impaired brain insulin action.
193 Underlying mechanisms might include insulin resistance at the blood-brain-barrier (377). The
194 number of insulin receptors in the brain decreases with age, particularly in AD (123). This
195 suggests that, in this condition, the development of brain insulin resistance is independent of
196 diabetes. More importantly, recent evidence suggests that insulin directly influences
197 neuropathology and behavioral characteristics of AD by influencing beta-amyloid load and
198 synaptic plasticity that underlie memory formation (56, 377).

199 This review focuses on brain insulin resistance as a shared pathological feature of metabolic
200 and cognitive disturbances in obesity, T2D and dementia patients (for a schematic overview
201 please see Figure 2). We will first provide a brief introduction into cognitive dysfunctions and
202 underlying brain alterations in obesity, T2D and dementia. In detail, we will discuss recent
203 findings on brain insulin action/resistance in humans as assessed with neuroimaging
204 techniques. Moreover, we will illustrate beneficial effects of brain insulin on human eating
205 behavior and cognition and consider potential applications in the treatment of metabolic and
206 cognitive disorders. For an introduction into the methods applied to study brain insulin action,
207 please see supplementary text as well as Tables 1 and 2.

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211 II. Neurocognitive dysfunction in obesity, T2D and dementia

212 Longitudinal studies in mostly middle-aged to older adults (for review, please see (212, 242))
213 have reported a modest cognitive decrement in T2D in comparison to matched control
214 groups over a period of less than 6 years (155, 254, 265, 370). Patients with T2D displayed
215 impaired performance in almost all neuropsychological tests. The greatest decrements were
216 found in memory, information-processing speed and executive function (242). Cross-
217 sectional studies likewise indicate that T2D patients perform worse in several cognitive
218 domains. These include executive function, information-processing speed, memory,
219 psychomotor efficiency, verbal fluency and learning (242). Such signs of cognitive decline
220 are associated with duration of illness, glycemic control and hypoglycemic episodes. Thus,
221 women who suffered from diabetes for more than 15 years showed a 57% to 114% greater
222 risk of cognitive decline (134). They also had a fourfold increased risk of cognitive decline in
223 verbal fluency, which correlated negatively with glycohemoglobin (195). Furthermore, a
224 history of severe hypoglycemic episodes in older type 2 diabetes patients was associated
225 with a greater risk of dementia (387). Obesity has a general negative effect on cognitive
226 function, even when controlling for T2D, hypertension, smoking, and other confounding
227 factors (27), the strongest effect being in early midlife. Midlife obesity was negatively
228 associated with visuospatial performance and executive function over 12 years (396).
229 However, at a later age, an increased body mass index (BMI) can have positive effects on
230 cognitive functions (30). Such a non-linear relationship might suggest that the maintenance
231 of skeletal mass or lean body mass via increased BMI protects cognitive functions, while T2D
232 independently (396) or additively (327) mediates obesity-related cognitive dysfunctions.

233 A) Structural brain alterations in obesity and T2D

234 T2D and obesity, alongside their accompanying risk factors such as dyslipidemia and
235 hypertension, have adverse effects on brain function and structure. Obesity itself is
236 associated with brain atrophy, i.e., a loss of gray matter and reduced integrity of white fiber
237 tracts (222). T2D is also related to vascular damage, which results in white matter
238 hyperintensities, infarcts and microbleeds (38). Brain atrophy can be global as well as region-
239 specific, including loss of neurons, axodendritic pruning and reduced synaptic plasticity, such
240 as is also observed in normal aging and in dementia (309). Using advanced magnetic
241 resonance imaging (MRI), a reduction in gray matter volume and cortical thickness as well as
242 a loss of white matter integrity has been observed to be associated with obesity-related
243 factors and T2D (30, 38, 222). A longitudinal study over 6 years in older adults identified BMI
244 as the strongest predictor of declining gray matter volume, particularly in the frontal lobe and
245 subcortical regions such as the hippocampus (30, 284). The medial temporal lobe, including
246 the hippocampus, seems to be particularly affected by diabetes. Hippocampal atrophy, a
247 marker of neurodegeneration, was identified in individuals with impaired glucose tolerance
248 and insulin resistance (67, 366). Phylogenetically and ontogenetically younger regions, such
249 as the temporal and frontal lobe, are therefore more sensitive to obesity/T2D as well as
250 aging. This may lead to accelerated aging in obese and T2D subjects. More importantly,
251 brain atrophy is associated with behavioral cognitive deficits (212).

252 ***B) Brain alterations in dementia***

253 Since it is a memory disorder without impairments in other cognitive domains, mild-cognitive
254 impairment (MCI) is related to abnormalities in cognitive test performance without qualifying
255 for dementia. However, patients diagnosed with MCI run a higher risk of developing
256 dementia, which is diagnosed when multiple cognitive deficits disrupt social or occupational
257 functioning (212). Several underlying diseases can cause dementia, of which AD is the most
258 common sub-type. The greatest risk factors for AD are advanced age, carrier of the
259 apolipoprotein (APOE) ϵ 4 allele and a family history of the disease (320). Hallmark
260 characteristics include an accumulation of extracellular neuritic plaques and fibrils, i.e.

261 aggregated amyloid-beta ($A\beta$) peptides, intracellular neurofibrillary tangles, accumulation of
262 hyperphosphorylated tau, followed by a widespread loss of neurons and changes in
263 neurotransmitter systems (7, 65, 281). The deposition of $A\beta$ plaques is considered as a
264 central event in AD pathogenesis. Failure to clear this peptide or overproduction leads to
265 amyloid deposition. This, in turn, triggers a plethora of events such as the production of
266 neurofibrillary tangles, cell death and, ultimately, cognitive dysfunctions (150, 151). Imaging
267 biomarkers associated with cognitive decline and dementia are temporal lobe atrophy, mainly
268 in the hippocampus (14), but also in the prefrontal and parietal cortices. In addition to
269 structural markers, positron emission tomography (PET) tracers facilitate the detection of
270 amyloid deposition, using Pittsburgh compound B (PiB), and glucose hypometabolism (^{18}F
271 fluorodeoxyglucose (FDG)) of AD-vulnerable regions as early pathological features (for
272 reviews see (65, 236)). Amyloid PET has a prognostic role in MCI inasmuch as longitudinal
273 studies have shown that high PiB retention in MCI patients makes a conversion to AD more
274 likely (65). Glucose hypometabolism of AD-vulnerable regions such as the lateral parietal
275 cortex, frontal cortex and precuneus/posterior cingulate cortex are well established in AD
276 patients. In individuals at risk for AD due to genetic predisposition (i.e., carriers of the ApoE4
277 allele and subjects with a family history) hypometabolism of these regions has been identified
278 by FDG-PET. Furthermore, hypometabolism has been associated with cognitive decline in
279 otherwise healthy elderly and in type 2 diabetes patients (65). AD-vulnerable brain regions
280 exhibiting hypometabolism overlap with the regional distribution of amyloid deposition. These
281 regions are also termed “default mode network” (DMN), which is a network of interacting
282 brain regions highly active during rest as a person is not focused on a particular task. The
283 DMN is essential for higher cognitive processes like memory and executive function. Patients
284 with dementia show a loss in functional connectivity in the default mode network comprising
285 the precuneus/posterior cingulate, prefrontal cortex, lateral temporal cortex and the
286 hippocampus (324). Interestingly, T2D patients (181, 252) and obese individuals (219) also
287 show diminished functional connectivity within this network. This means that there is an

288 extensive overlap between brain regions affected by AD as well as by T2D and obesity,
289 particularly in regions belonging to the default mode network (Figure 3).

290 T2D and obesity have adverse effects on brain structure and function, affecting several
291 cognitive domains. Midlife obesity in particular is negatively associated with memory and
292 executive function, whereas patients with T2D display impaired performance in almost all
293 neuropsychological tests. At the same time, a reduction in gray matter volume, cortical
294 thickness as well as a loss of white matter integrity are all associated with obesity and T2D.
295 The frontal lobe and subcortical regions such as the hippocampus are particularly
296 susceptible to such a decline. Similarly, imaging biomarkers of dementia include atrophy,
297 amyloid deposition and hypometabolism of the temporal lobe, mainly in the hippocampus,
298 but also in the prefrontal and parietal cortices. These are considered to be early pathological
299 features of AD and overlap with cognitive decline in otherwise healthy obese and T2D
300 patients. Hence, a network of brain regions, termed the “default-mode” network, seems to be
301 compromised in function and structure, which is associated with decreased cerebral blood
302 flow and altered connectivity (Figure 3).

303

304 **III. Brain insulin action in healthy humans**

305 ***a) Insulin action on global brain function***

306 ***Influence of insulin-mediated cortical electrical activity on higher cognitive processes***

307 In accordance with behavioral studies, imaging studies in healthy normal-weight individuals
308 have ascertained that insulin plays a prominent role in brain functions that regulate
309 metabolism and cognition. Since electroencephalography (EEG) is highly sensitive to
310 hypoglycemic states (32, 356), some of the earliest studies on brain insulin action evaluated
311 event-related potentials using both memory tasks and visual and auditory-evoked potentials
312 as indicators of cortical responses to insulin. When euglycemic hyperinsulinemic clamps
313 were used, no effect on visual-evoked potentials was observed (26, 318). This indicates that

314 insulin has no effect on low-level sensory processes. For auditory-evoked responses and
315 event-related potentials during a memory task, a reduction in the amplitude of N100 and
316 P300 component was found in response to intranasal and intravenous insulin (clamp
317 technique) (26, 200). Concomitantly, magnetoencephalography (MEG) studies revealed
318 enhanced amplitudes for auditory and visual evoked responses during a hyperinsulinemic
319 euglycemic clamp, as well as after intranasal insulin in healthy lean individuals (141, 362).
320 The rapid changes in event-related potentials indicated that insulin may be a rapidly acting
321 feedback signal that contributes to the sensation of satiety. Furthermore, these studies
322 provided the first indication that higher cortical areas are particularly reactive to insulin. This
323 is also reflected by the increased amplitude of auditory mismatch responses to increased
324 exogenous insulin (362), the pronounced P300 amplitude reduction over frontal areas to
325 auditory stimuli (200), and the negative shift in frontal direct current potentials after
326 intravenous insulin injection (147). Whereas the latter experiment showed that a surprisingly
327 rapid central nervous effect of increases in circulating insulin manifested itself within 7 min,
328 effects on oscillatory EEG activity were found only when hypoglycemia was not prevented by
329 glucose infusion after insulin administration. In this case, an increase in theta frequency
330 activity accompanied the nadir in blood glucose concentrations (147). Theta rhythms are
331 usually generated within the hippocampus (43, 154), a region with high densities of insulin
332 receptors that plays a prominent role in memory formation. In rodent studies, insulin signaling
333 in the hippocampus has been shown to promote cell survival and synaptic plasticity (13).
334 Insulin binding may, in turn, influence EEG theta activity during complex task paradigms. The
335 strong modulatory effect of insulin on (frontocortical) EEG activity paved the way for research
336 on the effects of insulin on higher cognitive processes. One disadvantage of both EEG and
337 MEG, however, is their limited spatial resolution. For deeper brain structures in particular,
338 they provide only limited insight into the specific target regions involved in healthy insulin
339 brain signaling. Recent advances in high field MRI have given us the opportunity to fill this
340 knowledge gap by using blood-oxygen-level dependent contrast (BOLD) and cerebral blood

341 flow (by either MRI or PET) to indirectly measure neural activity with high spatial resolution
342 (for details, see supplementary text and Table 2).

343 ***Postprandial changes influence cerebral blood flow particularly in subcortical regions***

344 Early neuroimaging studies used PET to measure cerebral blood flow in response to hunger
345 and satiation when evaluating brain activity after a meal (86). These studies indicated that,
346 after administration of a meal to hungry subjects, neural activity decreases within the
347 limbic/paralimbic areas including the fusiform gyrus, striatum, thalamus and hypothalamus,
348 but increases in the prefrontal cortex (86). These in-vivo studies provided pivotal evidence for
349 the concept that, when controlling eating behavior, the homeostatic system of the human
350 brain does not act independently, but works in tandem with regions that belong to the
351 decision and reward circuitry for food intake control (for review see (28)). However, a
352 plethora of hormonal postprandial changes and increases in the availability of macronutrients
353 could be the underlying cause of these activation patterns. This is plausible, since the
354 reactivity of these regions correlated with changes in different hormones and metabolites
355 including insulin (86, 127, 345).

356 It is crucial that the role of insulin in the CNS regulation of eating behavior and cognition is
357 selectively studied. For this reason, functional magnetic resonance imaging (fMRI) studies
358 usually investigate brain insulin action by oral glucose ingestion, the clamp technique or
359 intranasal insulin application. To differentiate between metabolic and cognitive/task-specific
360 effects, insulin-stimulated brain activity is evaluated under spontaneous (resting-state)
361 conditions or in response to a particular task, thus recruiting different cognitive domains such
362 as memory. For further methodological details, please see supplementary section or Tables
363 1 and 2.

364 Studies in which global brain function was investigated using EEG and MEG showed that
365 higher cortical areas are particularly responsive to insulin. Moreover, PET studies revealed
366 that hormonal changes after a meal induce a specific activation pattern of the reward and

367 homeostatic system. The next section introduces the functions of target brain regions of
368 insulin action, their involvement in healthy insulin signaling and their relationship to metabolic
369 and cognitive functions (for overview, please see Figure 4).

370 b) ***Brain target regions of insulin action***

371 ***1) Hypothalamus***

372 The hypothalamus has been extensively studied on account of its fundamental role in the
373 physiological processes essential for survival and for controlling vital bodily functions. The
374 latter include sleep, thermoregulation, food and fluid homeostasis, sexual behavior, stress,
375 immune responses, autonomic and various endocrine functions (45, 304). Rodent models
376 have provided us with a particularly detailed blueprint of the hypothalamic insulin-signaling
377 pathway, revealing a profound regulatory influence of hypothalamic subregions in energy
378 intake and feeding behavior (379, 393).

379 ***Hypothalamic responsiveness to peripheral signals, especially to glucose***

380 Glucose-sensing neurons in the hypothalamus, which are usually found in the ventromedial
381 hypothalamic nucleus, respond to local glycopenia by stimulating the release
382 counterregulatory hormones such as growth hormone from the pituitary gland, glucagon from
383 pancreatic islets, as well as epinephrine, and cortisol from the adrenal glands (35, 231, 297).
384 Accordingly, rising glucose levels suppress the release of some of these hormones and parts
385 of this effect are mediated through the hypothalamus. Although the small size and central
386 position of the hypothalamus within the walls of the floor of the third ventricle has proved
387 challenging for in-vivo studies in humans. Recently, fMRI has shed some light on the
388 hypothalamic responsiveness to peripheral signals. As shown by a recent ultra-high field
389 MRS study (241), the hypothalamus, particularly in the hypoglycemic state, responds with a
390 persistent increase in cerebral blood flow (8, 268). This is potentially modulated by a local γ -
391 Aminobutyric acid (GABA) drop (241). An increase in glucose levels during either an oral
392 glucose load or after intravenous glucose administration results in a decrease in the

393 hypothalamic fMRI signal (164, 269, 330, 331). It is important to note that oral glucose
394 ingestion lowers hypothalamic activity more effectively than glucose infusion, suggesting that
395 other hormonal signals like incretins, are involved in this response (331).

396 ***Hypothalamic responsiveness to insulin***

397 The hypothalamic response to insulin has been studied less extensively. For the intranasal
398 route, a hypothalamic decrease of the BOLD signal and cerebral blood flow was observed 15
399 and 30 minutes after insulin application (165, 171, 216, 220). This was associated with
400 whole-body insulin sensitivity (165, 171) and unfavorable fat distribution (220). Although
401 animal models have yielded promising clues, the specific role of different hypothalamic nuclei
402 in insulin signaling in humans remains obscure. Whereas the resolution of anatomical MRI is
403 sufficient to distinguish lateral and medial subregions of the hypothalamus, their functional
404 dissection is much more challenging. Recent efforts to investigate functional connections of
405 the hypothalamus with fMRI suggest that the medial and lateral hypothalamus tap into
406 different parts of the dopaminergic fronto-striatal circuitry of the brain, including projections to
407 and from the striatal regions (218) (Figure 5). Furthermore, Page and coworkers (269)
408 showed that glucose ingestion increases functional connectivity between the hypothalamus
409 and the striatum, presumably via insulin.

410

411 ***2) Frontal cortex***

412 ***The functional role of the frontal cortex in eating behavior***

413 The prefrontal cortex can be divided into three subregions: lateral, orbital and
414 medial/cingulate (124). The function of each prefrontal cortex subregion strongly depends on
415 its connections since these are highly intertwined with the brainstem, hypothalamus,
416 thalamus, striatum and limbic system as well as with each other. By way of its afferent
417 connections, particularly from the hypothalamus and amygdala, the prefrontal cortex receives

418 information about the internal state and the motivational significance of a stimulus, and plays
419 an important role in the enactment of a certain kind of behavior (124). The lateral prefrontal
420 cortex is of the utmost importance in cognitive function, including the inhibitory control of
421 eating behavior (152). The orbitofrontal cortex and anterior cingulate cortex are essential for
422 reward-based decision-making. The orbitofrontal cortex is a critical convergence zone for
423 sensory information containing the secondary gustatory cortex and encoding the value,
424 probability and magnitude of, for example, taste reward (296). The anterior cingulate cortex
425 is involved in the motivational aspect of reward processing, reduces or increases the
426 motivation to obtain rewarding stimuli such as palatable food. Studies investigating dieting
427 revealed lateral prefrontal cortex activation to be a significant predictor for successful weight
428 loss (87, 130, 160, 235). The orbitofrontal cortex and anterior cingulate cortex are particularly
429 sensitive to an individual's internal state (i.e. hunger versus satiated) and the rewarding
430 content of a food stimulus, and therefore respond more strongly to palatable food under
431 fasting conditions (84).

432 ***Frontal cortex response to increasing insulin levels***

433 Using the glucose clamp technique, glucose ingestion, and intranasal insulin administration,
434 all prefrontal regions were shown to be significantly responsive to insulin across all
435 modalities (140, 164, 165, 197, 213, 216, 217, 269, 270). Following oral glucose ingestion,
436 the subject's endogenous serum insulin levels determined the reactivity of the prefrontal
437 cortex and the anterior cingulate cortex to food cues. Individuals with a higher postprandial
438 elevation in insulin showed a more pronounced frontal decrease (164, 213, 269). Similarly,
439 exogenous intranasal insulin induced a decrease in the response of the prefrontal cortex to
440 food cues (140) and a decrease in orbitofrontal cortex resting-state activity (216). This
441 correlated significantly with whole-body insulin sensitivity (165). Insulin also plays an
442 important role in the metabolism of the prefrontal cortex. In a euglycemic-hyperinsulinemic
443 clamp study, neurometabolites were assessed by proton magnetic resonance spectroscopy
444 in healthy young men (197). Interestingly, subjects with a high whole-body insulin sensitivity

445 showed improved neural metabolism in the frontal cortex after insulin stimulation. In
446 particular, the ratio of N-acetylaspartate, a marker of neuronal density and integrity (301),
447 increased after insulin infusion and significantly correlated with whole-body insulin sensitivity.
448 This indicates that individuals with low insulin sensitivity have an impaired neuronal
449 metabolism (197). Moreover, intranasal insulin increased brain energy levels (i.e. ATP)
450 assessed by magnetic resonance spectroscopy to a degree that correlated with the
451 subsequent reduction in food intake (191).

452 These recent findings indicate that the prefrontal cortex is particularly sensitive to increasing
453 peripheral and central insulin levels. Based on the function and connections of the prefrontal
454 cortex, it is tempting to speculate that healthy brain insulin signaling leads to an inhibition of
455 food intake by reducing the rewarding properties of food and motivation for consumption via
456 striato-prefrontal pathways (Figure 5). **3) Striatum**

457 ***The functional role of the striatum in reward-mediated behavior***

458 The striatum is generally associated with reward-motivated behavior, including the drive for
459 food intake as promoted by the neurotransmitter dopamine. Impaired dopamine signaling in
460 the striato-cortico pathways has been postulated to be the greatest overlap between obesity
461 and addiction (352). The cortico-ventral striatal circuit, which includes the orbitofrontal cortex,
462 the anterior cingulate cortex, the ventral striatum and parts of the midbrain, is at the center of
463 the reward network. The cortico-dorsal striatal circuit, on the other hand, is associated with
464 executive function and motor control and includes the dorsal striatum, temporal and
465 prefrontal regions (142). Initially, reward processes in the ventral striatum prompt the
466 motivation to repeat a certain behavior such as drug or food intake (352). The dorsal striatum
467 is of special importance for the actual consumption of the reward (e.g. food) since its output
468 to other cortical areas couples motivation with the motor responses required for goal-directed
469 behavior (352, 381). These circuits work in concert to reach appropriate decisions, and to
470 decide upon goal-directed actions such as, for example, the initiation and termination of a

471 meal. The hypothalamus is embedded in these dopamine-modulated cortico-striatal
472 circuitries (218) that facilitate food reward (Figure 5).

473 ***The role of insulin in the reward circuitry of the brain***

474 Hormones can directly influence dopaminergic striatal activity to stimulate or inhibit feeding.
475 Insulin suppresses dopamine release by clearing the synapses of dopamine, thus reducing
476 the rewarding properties of food (107, 108). Concomitantly, imaging studies revealed that
477 striatal regions are responsive to changes in endogenous insulin (164, 213, 269), induced by
478 both oral glucose ingestion and exogenous insulin (165, 312). Following glucose ingestion,
479 the striatum showed a reduction in spontaneous neural activity and in response to food cue
480 stimulation (213, 269), whereas intranasal insulin increased striatal cerebral blood flow (312).
481 Furthermore, the reward circuitry may well act as a link to peripheral metabolism, as activity
482 in the putamen, orbitofrontal cortex and insula correlated positively with enhanced peripheral
483 insulin sensitivity two hours after intranasal insulin application (165). It is important to note,
484 however, that the rewarding properties of the sweet glucose taste and ingestion itself could
485 also be key players responsible for limbic activation, since the main cortical sensory input to
486 the ventral striatum is via the orbitofrontal cortex and adjacent insula (142). The anterior part
487 of the insula in particular is regarded as the primary taste cortex of the brain, contributing to
488 the gustatory perception represented by taste, smell and the visual input of food. Higher
489 insula activity is observed when more rewarding food items are perceived by an individual
490 (for review see (114)). A number of studies probing insulin action identified the insula cortex
491 as insulin-reactive, eliciting a decrease after glucose ingestion (213) and an increase after
492 nasally applied exogenous insulin (165, 312), as well as during a hypoglycemic condition
493 (270).

494 ***4) Hippocampus and neighboring gyri***

495 The hippocampus and its neighboring gyri, i.e parahippocampal and fusiform gyrus, are
496 regions within the temporal lobe which are particularly important for memory formation.

497 Furthermore both the parahippocampal and the fusiform gyrus are linked to neural pathways
498 of recognition for visual scenes.

499 ***Insulin-mediated activity of the temporal/occipital cortex in response to visual cues***

500 By probing working memory using food cues, insulin modulates regions within the temporal
501 and occipital brain regions in particular. Studies with fMRI showed that the hippocampus and
502 its neighboring gyri respond to food cues by reducing activity after oral glucose ingestion
503 (164, 213) and intranasal insulin application (140). In line with the findings on increases in
504 EEG theta activity during insulin-induced hypoglycemia, there is also growing evidence that
505 insulin mediates hippocampal activity. Thus possibly affecting memory formation. Studies
506 investigating memory processes in obesity have further confirmed the importance of these
507 findings. Reduced memory performance in obese individuals is associated with neural
508 activity in temporal brain regions including the hippocampus (141, 160, 337). (Please see
509 section on brain insulin effects on cognition for more details on insulin's memory improving
510 properties). Furthermore, the fusiform gyrus is the most concurrently activated brain region
511 elicited by visual food cues (371), responding with increased activity to high as opposed to
512 low caloric food (221). Moreover, event-related potentials recorded by MEG in a visual
513 working memory task containing food and non-food images showed a clear categorization
514 effect in primary visual areas (338). Hence, the insulin-mediated effect in the visual system
515 could be specific to visual food-cue elicited brain response, which may in turn lead to
516 reduced visual attention to food cues in the postprandial state when insulin levels are high.
517 Further effects of insulin on visual memory tasks are discussed in the next section on brain
518 insulin resistance in obesity.

519 Neuroimaging studies investigating target brain regions of insulin action in healthy normal-
520 weight individuals identified the hypothalamus as well as the frontal and striatal regions as
521 particularly insulin-sensitive (see Figure 4). In response to complex tasks, mainly probing
522 memory, hippocampal and visual brain regions are additionally modulated by insulin, which
523 could contribute to a reduced attention to food cues. Healthy insulin signaling modulates

524 brain networks involved in homeostatic control, reward processing and cognitive control
525 functions, thus influencing different aspects of human eating behavior. The majority of these
526 studies investigated brain insulin action in healthy young men (approx. 25-30 years old).
527 Possible sex and age effects on brain insulin action therefore still require investigation.

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535 ***c) Effect of brain insulin action on eating behavior***

536 ***Central nervous insulin administration inhibits food intake and reduces body weight in***
537 ***humans***

538 In accordance with animal experiments (226, 246, 278), central nervous effects of insulin on
539 energy homeostasis partly oppose the peripheral impact of the peptide. Following
540 intravenous or subcutaneous administration, insulin promotes gain of body weight in the form
541 of muscle and fat (237, 300), i.e., it has anabolic properties. However, when administered to
542 the brain via the intranasal or intracerebroventricular pathway in humans and animals,
543 respectively, insulin acts in an anorexigenic fashion. At the same time, brain insulin might
544 also promote anabolic processes in peripheral tissues (see next section). The effect on
545 eating behavior in humans is, however, clearly hypophagic. Healthy young men were
546 observed to consume fewer calories when they acutely received 160 U of regular human
547 insulin via the intranasal pathway (25, 145). The same dose, when administered daily over a
548 period of eight weeks, reduced body weight by 1.3 kg and body fat content by 1.4 kg, while

549 also decreasing waist circumference and leptin concentrations, in normal-weight male
550 participants (144) (Figure 6). These findings in humans corroborated respective results in
551 animals shaping the concept that central nervous insulin is a pivotal negative feedback signal
552 in the control of ingestive behavior (37, 63, 179).

553 ***Indicators of sex-specific insulin effects on eating behavior***

554 The anorexigenic effects of intranasal insulin administration were considerably more salient
555 in male in comparison to female subjects (25, 144). Accordingly, in animal experiments, male
556 rats decreased their food intake after intracerebroventricular insulin infusion and lost weight
557 after 24 h of treatment, whereas age- and weight-matched female rats remained unaffected
558 (63). Leptin administration yielded a reverse pattern, i.e. it exerted a stronger impact in
559 female rats (63). These sex differences might be related to respective differences in body fat
560 storage. The amount of visceral fat, which is correlated with whole-body insulin resistance, is
561 by average proportionally higher in men than in women. On the other hand, women have
562 more of the metabolically favorable subcutaneous fat than men (382). However, it remains
563 unclear as to what extent this differential pattern contributes to brain insulin sensitivity and
564 resistance in humans. Animal data also suggest that estrogen signaling modulates the
565 brain's sensitivity to the impact of insulin on food intake (62). However post-menopausal and
566 young women basically show comparable responses to acute intranasal insulin (214).
567 Interestingly, when administered after lunch intake, intranasal insulin intensifies satiety and
568 reduces rated palatability and consumption of chocolate cookies in healthy women (145)
569 (Figure 7). This suggests that (in women) prandial insulin secretion acts as a satiety signal
570 that contributes above all to the regulation of the reward-related ('hedonic') aspect of food
571 intake. It is still unclear as to whether this also holds true for insulin effects in men.
572 Nevertheless, this conclusion is supported by observations that intranasal insulin
573 administration changes activity of reward-processing brain circuitries (assessed in the fasted
574 state) in normal-weight women (216) (for further evidence from neuroimaging studies, see
575 above).

576 ***Insulin and olfactory function***

577 Central nervous insulin effects on eating behavior might also be mediated via changes in
578 olfactory function (185). Both hyperinsulinemia in the presence of fasting glucose levels (204)
579 and intranasal insulin administration (40) impair the performance in a standardized test of
580 olfactory function (“Sniffin’ Sticks” task) in healthy men and women. However, in both
581 studies, the odors presented were not related to foods. It therefore remains to be established
582 whether the compromising effect of insulin on olfactory functions affects ongoing calorie
583 intake.

584 In sum, the preclinical data available on the contribution of brain insulin signaling to eating
585 behavior in humans suggest that intranasal insulin induces a reduction in energy intake and,
586 therefore, a catabolic net effect.

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590 ***d) Brain insulin effects on cognition***

591 ***Insulin administration improves declarative memory function***

592 In parallel to the discovery that central nervous insulin administration has an impact on
593 metabolic control in humans, a number of experimental studies provided evidence that the
594 peptide moreover contributes to memory function. Particularly the formation of hippocampus-
595 dependent memory contents is affected (131). (For more details on probing cognitive
596 functions in humans, see supplementary material). The hippocampal formation is essential
597 for the formation and storage of declarative memory traces, i.e. memory for facts and events
598 that are accessible to conscious recollection (for review see (92)). Beneficial effects of
599 (intranasal) insulin administration to the brain on memory in healthy subjects have been
600 repeatedly reported (23-25, 202). In one of the first experiments, Kern and colleagues (202)
601 found that infusing healthy men with a higher (15 mU/kg*min) rather than a lower dose of

602 insulin (1.5 mU/kg*min) in an euglycemic clamp lasting for 360 min induced a relative
603 improvement in their ability to remember word lists, especially food-related and emotional
604 words. In addition to metabolic parameters, the above-mentioned study on the subchronic
605 effects of intranasal insulin also tested declarative memory in 38 normal-weight, young men
606 and women before and after eight weeks of insulin treatment (160 U/d). Lists of 30 nouns
607 (e.g., tree, father, chocolate) were presented aurally and had to be recalled immediately
608 afterwards and again one week later (23). This delayed recall of words was enhanced by
609 insulin (words recalled, placebo 2.92 ± 1.00 , insulin 6.20 ± 1.03 , Figure 7) whereas
610 immediate recall, non-declarative memory (assessed by a wordstem-priming task) and
611 selective attention (assessed by the Stroop task) were not altered. Respective improving
612 effects on delayed word list recall, albeit at a generally lower performance level, were found
613 in obese men who were also treated with intranasal insulin over a period of eight weeks
614 (143) (Figure 8).

615 When the fast-acting insulin analog insulin aspart was intranasally administered to a group of
616 lean participants for eight weeks, they displayed even an enhanced improvement in delayed
617 word recall in comparison to the group who had received regular insulin (24). This superior
618 effect of insulin aspart might be attributed to the faster dissociation of its molecules from
619 hexamers into monomers and dimers (36). This process accelerates absorption of the
620 compound after subcutaneous delivery (196) and might also bring about improved and/or
621 increased permeation into the central nervous compartment after intranasal uptake.

622 ***Immediate insulin effects on memory function***

623 Intranasal insulin delivery can also induce acute improvements in memory function as
624 illustrated by the observation that healthy young women perform tasks on verbal working
625 memory (digit span) and hippocampus-dependent visuospatial memory (2-D object location)
626 better after receiving 160 U of intranasal insulin than after placebo (25). This improvement in
627 verbal working memory, a capacity mediated by frontal cortical areas (240), was likewise
628 observed in postmenopausal women (214) after the same insulin dose. Acute memory-

629 improving effects of intranasal insulin were also observed in young men who memorized
630 associations between odors and object locations (41). Olfactory pathways directly project to
631 cortical areas and influence emotional processing and memory formation via amygdala and
632 hippocampus, respectively.

633 ***Potential mediators of insulin's memory-improving properties***

634 The observation that both the olfactory bulb and the hippocampal formation express high
635 amounts of insulin receptors (365, 402) provided first clues as to the mechanisms behind
636 insulin's beneficial effect on declarative memory function. Experiments in differentiated
637 cultures of hippocampal neurons harvested in rats indicate a punctuate pattern of the
638 dendritic distribution of insulin receptors that is in accordance with synaptic localization (82,
639 403). Accordingly, insulin modulates synaptic plasticity in the hippocampus by stimulating
640 processes of long-term depression and potentiation, both of which are assumed to confer the
641 strength of a memory representation (103, 184) (e.g., ref. (223); for review see ref. (248)).
642 Moreover, insulin signaling enhances synaptic plasticity by increasing synapse density in
643 those brain regions that process visual input (55). Since sleep plays a crucial role in the
644 formation of memory traces, particularly in the declarative memory domain (286), the role of
645 central nervous insulin in the sleep-related formation of memory contents was investigated in
646 healthy men and women (102). In that study, insulin did not alter the retrieval of memories
647 learned before insulin administration and subsequent sleep, but impaired the acquisition of
648 new contents in both the declarative and procedural memory systems on the evening of the
649 subsequent day. This outcome suggests that sleep-associated memory consolidation is not a
650 primary mediator of insulin's acute memory-improving effect, but that the peptide acts on
651 mechanisms, which diminish the subsequent encoding of novel contents. Thus, insulin might
652 benefit memory function in healthy humans by reducing the interfering influence of newly
653 encoded information.

654 In its capacity as a growth factor, the peptide might also support neuronal survival (225) and
655 trigger the release of neurotrophic factors from glial cells (106, 399). Neurotransmitter

656 systems with relevance for memory function, including norepinephrine and acetylcholine,
657 also receive insulinergic input (128). Although glucose supply of the CNS is generally
658 considered to be insulin-independent (101, 153, 319), insulin may also promote glucose
659 utilization in neuronal networks (e.g., (29)). In rodent experiments, hyperinsulinemia has
660 been observed to yield effects on glucose metabolism in structures such as the anterior
661 hypothalamus and the basolateral amygdala (95). Finally, intranasal insulin attenuates
662 cortisol secretion in normal-weight and obese participants (23, 143) and reduces the
663 response of the hypothalamic-pituitary-adrenal axis to stress (33), the overactivation of which
664 is known to compromise hippocampal function (for review see (261)).

665 ***Central nervous insulin and emotional regulation***

666 Evidence exists that central nervous insulin signaling affects not only cognitive function but
667 also emotional regulation. Lentivirus-mediated downregulation of hypothalamic insulin
668 receptors triggers depression- and anxiety-like behaviors in rats (135). Intranasal insulin
669 administration in mice not only enhances object-memory but also yields anxiolytic effects on
670 behavior (230). Interestingly, animals with diet-induced obesity and impaired glucose
671 tolerance did not show the respective effects (230), thus supporting the assumption that
672 obesity is associated with central nervous insulin resistance (see section VI). In experiments
673 in humans, 8-week intranasal insulin administration improved well-being and self-confidence
674 as rated on an adjective check list in normal-weight participants (23), whereas respective
675 effects in obese men were restricted to a slight reduction in self-rated feelings of anger (143).

676 Behavioral studies on the role of brain insulin action in cognitive function in humans indicate
677 an improving effect on short-term memory as well as on the long-term formation of memory
678 contents. These findings suggest that hippocampus-dependent memory processes benefit
679 particularly from insulin. Preliminary evidence moreover indicates that the peptide modulates
680 central nervous signaling pathways underlying emotional regulation.

681

682 **e) Effect of brain insulin action on peripheral metabolism**

683 The first animal models with genetically disturbed brain insulin signaling revealed that insulin
684 action in the brain has an influence on peripheral metabolism. Neuron-specific knockout of
685 the insulin receptor in mice not only caused obesity but also introduced whole-body insulin
686 resistance and hypertriglyceridemia (39). Over the following years, animal research
687 characterized both the involved neuronal structures and the peripheral tissues that are
688 regulated by insulin action in the brain (210). These include liver, skeletal muscle, and
689 adipose tissue. Insulin action in specific hypothalamic neurons suppresses endogenous
690 glucose production in the liver and leads to lower blood glucose (109, 259, 277). In skeletal
691 muscle, it promotes glucose uptake and storage as glycogen (69, 211, 275), which again
692 reduces blood glucose levels. In adipose tissue, brain insulin action inactivates hormone-
693 sensitive lipase, induces expression of lipogenic proteins, and suppresses lipolysis thereby
694 promoting energy storage in adipocytes (70, 211, 311). However, particularly for the liver, the
695 relevance of these findings for larger organisms has been questioned. In studies in dogs,
696 some of the results obtained in rodents could not be replicated (129, 285).

697 ***Effect of brain insulin action on peripheral insulin sensitivity as assessed in studies***
698 ***applying intranasal insulin***

699 Up to now, brain insulin signaling has not been characterized as thoroughly in humans as in
700 animals. However, accumulating evidence suggests that brain insulin action contributes to
701 the modulation of peripheral metabolism in humans. One study used intranasal insulin to
702 investigate the postprandial situation in 19 healthy young men. When insulin spray was
703 administered before a mixed meal, postprandial blood insulin levels were, despite
704 comparable plasma glucose concentrations, significantly lower after insulin than after
705 placebo spray administration (19). One possible explanation for this unusual observation is
706 that brain insulin action improved peripheral insulin sensitivity. In this case, a smaller amount
707 of circulating insulin would be needed to control blood glucose.

708 The contribution of brain insulin action to peripheral insulin sensitivity was first evaluated in a
709 study with over 100 participants that used the homeostasis model assessment of insulin
710 resistance (HOMA-IR) following intranasal insulin administration (165). HOMA-IR as an
711 estimation of whole-body insulin sensitivity derived from fasting blood glucose and insulin
712 concentrations (233). It thereby depends on plasma insulin concentrations. HOMA-IR is
713 therefore difficult to interpret when measured directly after intranasal insulin administration,
714 since small amounts of intranasally administered insulin are absorbed into the systemic
715 bloodstream (19, 23, 125, 140, 165, 171). However, since insulin has a short biological half-
716 life of less than 10 minutes (353), this index is useful with some delay after nasal insulin
717 administration. At later points of time after nasal insulin application, HOMA-IR indeed
718 indicated improved whole-body insulin sensitivity (165).

719 Moreover, Heni and colleagues (171) used the hyperinsulinemic-euglycemic glucose clamp
720 (85) to assess peripheral insulin sensitivity more precisely. To maintain euglycemia in ten
721 lean males, significantly more glucose had to be infused after intranasal insulin than after
722 placebo spray (171). In this type of clamp experiment, higher glucose infusion rate indicates
723 higher peripheral insulin sensitivity (85). Of note, the insulin-sensitizing effect observed after
724 intranasal insulin administration persisted until the end of the experiment after 2 hours.
725 However, when the experiment was repeated in overweight males, no effect of intranasal
726 insulin was detected (171). This result may indicate that the relative brain insulin resistance
727 in obesity (see section below) disrupts the modulating effects on peripheral insulin sensitivity
728 and might contribute to whole-body insulin resistance such as is often found in obese
729 humans. To address the underlying mechanisms, this study also quantified both brain insulin
730 effects by fMRI and autonomous nervous system activity using heart rate variability. The
731 effect of nasal insulin on the peripheral metabolism was correlated with both hypothalamic
732 insulin effects and parasympathetic nervous system activity (171) (Figure 9). A spillover of
733 small amounts of nasal insulin into the circulation was also reported in this study. However,
734 the results did not change when the measured plasma insulin levels were taken into account
735 by calculating insulin sensitivity indices. Nevertheless, further studies are required to

736 experimentally clarify the contribution of spillover insulin when it acts directly in the body
737 periphery.

738 ***Effects of brain insulin action on glucose metabolism in the fasting state***

739 While the above-mentioned study experimentally increased systemic insulin concentrations
740 to assess whole-body insulin sensitivity, three further studies on this topic were conducted
741 with nasal insulin under fasting insulin concentrations (78, 125, 267). Systemic
742 hyperinsulinemia, which is physiologically present after food intake, was absent in these
743 studies and one study even blocked portal insulin and glucagon by somatostatin infusion
744 (78). To further investigate whether intranasal insulin impacts systemic glucose metabolism,
745 Ott and colleagues studied lean men who underwent three experiments with repeated
746 intranasal spray application (every 15 minutes) for around six hours (267). In one session,
747 participants received placebo spray, whereas in the other sessions they repeatedly received
748 10U or 20U of the insulin analog aspart every 15 minutes, resulting in a total dose of 210U
749 and 420U, respectively. This paradigm of repeated intranasal administration of insulin aspart
750 caused a decline in blood glucose, a decrease in the circulating levels of endogenous insulin,
751 and an elevation of the counter-regulatory hormones cortisol and growth hormone.
752 Exogenous insulin (i.e., insulin aspart) also permeated into the circulation after repeated
753 intranasal administration. When this spillover of intranasal insulin was mimicked by iv
754 administration of insulin aspart, a comparable reduction in blood glucose was observed. This
755 indicated that intranasal insulin delivery to the CNS had no net impact on basal glucose
756 levels in this study (267). Nevertheless, since no clamp was performed in that particular
757 study, subtle alterations in insulin or glucagon secretion might have emerged and
758 superimposed regulatory effects of the brain (97). Dash and coworkers (78) applied what is
759 known as a “pancreatic clamp”, in which both portal insulin and glucagon are blocked by
760 somatostatin and systemically replaced at fasting concentrations (83). This study (78)
761 quantified endogenous glucose production by tracer dilution technique after nasal application
762 of the insulin analog lispro versus placebo in eight lean men. To mimic spillover, small

763 amounts of insulin lispro were administered intravenously concurrently with the placebo
764 spray. Three hours after nasal insulin lispro application, endogenous glucose production was
765 seen to be markedly suppressed and more glucose had to be infused to maintain
766 euglycemia. This reaction was not observed after placebo spray (78). These results show
767 that brain insulin action contributes to the regulation of endogenous glucose production, also
768 in a later postprandial state (79). The interpretation of these two clinical studies is somewhat
769 complicated by the fact that both used insulin analogs. While this approach facilitates the
770 differentiation between exogenous and endogenous insulin in the circulation, insulin analogs
771 might not necessarily induce the same brain responses as human insulin. In fact, previous
772 experiments in humans have yielded evidence that insulin analogs, unlike human insulin, can
773 induce stronger brain effects (for insulin aspart, see (24), for insulin detemir, see (146, 359)).

774 ***Effects of brain insulin action on the liver***

775 Gancheva et al. (125) also assessed endogenous glucose production by tracer dilution
776 technique in ten lean persons and ten overweight patients with type 2 diabetes. This study
777 differed from that of Dash and coworkers (78) in that it did not infuse somatostatin.
778 Endogenous insulin and glucose were therefore not blocked. Furthermore, instead of an
779 insulin analog, Gancheva et al. (125) used human insulin as a nasal spray. The experiments
780 ended three hours after spray administration, which might explain why this work failed to
781 replicate the suppression of endogenous glucose production reported by Dash et al (78).
782 However, the study used MRI techniques to investigate liver metabolism and liver fat content.
783 In the group of lean subjects, intranasal insulin lowered liver fat content significantly, while a
784 bolus of iv insulin to mimic insulin spillover actually increased liver fat. This suggests that
785 peripheral and central insulin effects on liver fat might oppose each other. Further studies are
786 required to clarify which effect predominates under physiological conditions. Furthermore, the
787 study detected an increase in hepatic ATP synthesis after nasal insulin, indicating that higher
788 mitochondrial activity is a possible mechanism underlying the liver fat findings. The effect
789 was found on neither liver fat nor ATP in the group of obese type 2 diabetes patients.

790 ***Effects of brain insulin action on lipolysis***

791 Besides postprandial glucose control, animal research has also identified adipose tissue
792 metabolism as a further target of brain insulin action (70, 211, 311). The peripherally and
793 centrally mediated effects of insulin on adipose tissue appear to converge to play a joint
794 anabolic role. Peripheral insulin enhances fat storage by inducing de novo lipogenesis and
795 by inhibiting lipolysis in white adipose tissue (100, 339). Central nervous insulin similarly
796 reduces lipolysis and increases lipogenesis in animals (100, 211, 310). Accordingly, the
797 intranasal administration of 160 U insulin to healthy young men acutely suppressed the
798 circulating concentrations of free fatty acids and the rate of appearance of deuterated
799 glycerol (an estimate of lipolysis), without altering lipolytic protein expression in
800 subcutaneous adipose tissue (190). The observed anti-lipolytic effect of intranasal insulin
801 was confirmed in an independent sample of subjects, yielding a cumulative group size of 41
802 participants. Although adipose tissue is highly sensitive to even small alterations in insulin
803 levels (192), the slight spillover of intranasal insulin into the bloodstream was not
804 experimentally controlled for in that study. However, the detected reaction was statistically
805 independent of the circulating insulin levels. If and how obesity interacts with effects of insulin
806 on adipocyte metabolism has not yet been studied in humans. Moreover, since neither of the
807 recent studies that mimicked insulin spillover by an iv insulin bolus (78, 125) nor a study
808 under systemic hyperinsulinemia (170) has detected effects of nasal insulin on lipolysis, the
809 physiological contribution of brain insulin action to adipose tissue function requires further
810 investigation.

811 **Effect of brain insulin action on thermogenesis, blood pressure and locomotor activity**

812 Studies in mice have shown that central nervous insulin signaling increases sympathetic
813 nervous system outflow to brown adipose tissue (283) and inhibits warm-sensitive neurons
814 (303). Intranasal insulin, on the other hand, acutely enhances postprandial thermogenesis in
815 healthy men (19). Related studies suggest that insulin-induced sympathoexcitation (80, 251)
816 may also trigger increases in blood pressure (5, 201). Fittingly, acute intranasal

817 administration of 240 U insulin over a period of 120 min to healthy, normal-weight men
818 slightly increased diastolic and mean arterial blood pressure compared to placebo,
819 suggesting transient changes in the baroreflex set point. By contrast, eight weeks of
820 intranasal insulin administration, as described above, had no effect on blood pressure (21).
821 This suggests that potential clinical applications of long-term intranasal insulin delivery are
822 not associated with (adverse) effects on blood pressure. Moreover, murine studies indicate
823 that intracerebroventricular insulin administration enhances locomotor activity (172).
824 Although this effect has not yet been investigated in humans, it might add to the catabolic
825 impact of intranasal/central nervous insulin administration. Interestingly, obese mice did not
826 increase their physical activity after brain administration of insulin whereas their normal-
827 weight counterparts did (172). This is in accordance with the concept that central nervous
828 insulin resistance is a pathophysiological trait in metabolic disorders.

829 Studies on the effect of brain insulin action on peripheral metabolism support the hypothesis
830 that following food intake, insulin from the pancreas reaches the brain via the bloodstream. It
831 then activates specific brain regions including frontal areas and the hypothalamus. By turn,
832 brain-derived signals may use autonomic outflows to improve peripheral insulin sensitivity
833 and to alter metabolic function in peripheral tissues. The latter promotes the postprandial
834 storage of nutrients, suppresses endogenous glucose production, and regulates hepatic
835 energy metabolism. Moreover, postprandial energy expenditure via thermogenesis is
836 increased by insulin. While the brain-derived effects on peripheral insulin sensitivity under
837 systemic hyperinsulinemia (171) and on liver fat (125) might be more rapid, the effect on
838 endogenous glucose production under fasting insulinemia might be considerably delayed
839 (79). Further research is required to account for these differences and to determine the
840 importance of each of these findings for human physiology. Furthermore, animal research
841 postulates that, in addition to peripheral insulin sensitivity, the brain modulates two further
842 crucial mechanisms in the regulation of blood glucose. These are insulin secretion from
843 pancreatic beta cells (49, 52, 272, 293, 398) and glucose effectiveness, i.e. the insulin-
844 independent uptake of glucose into tissues (316). Since neither of these two mechanisms

845 has been investigated in humans to date, it should be addressed in further studies. These
846 physiological effects of insulin action on metabolism, eating behavior and cognition are
847 summarized in Figure 10.

848 **IV. Brain insulin resistance**

849 **a) Brain insulin resistance in obesity**

850 Due to the strong link between obesity and peripheral insulin resistance, the contribution of
851 obesity-associated factors to brain insulin resistance is particularly worthy of investigation. To
852 this end, neural activity in response to insulin is compared between normal-weight and
853 overweight and obese persons. ***Diminished brain insulin action in higher cognitive brain***
854 ***regions in obesity***

855 In addition to alertness and attentiveness, behavioral paradigms capturing the neural
856 signature of memory-related processes revealed enhanced cortical activity in response to
857 increasing insulin levels, with an attenuated or even diminished response in overweight and
858 obese individuals. More specifically, the first study to evaluate brain insulin resistance in
859 obese adults via MEG showed that spontaneous and stimulated cortical activity within the
860 beta and theta frequency band increased during a hyperinsulinemic euglycemic clamp in
861 normal-weight but not in obese participants (362). Increased theta activity was associated
862 with enhanced memory and improved cognitive performance (308), which might partly
863 explain memory-enhancing effects of insulin. Furthermore, when compared to normal-weight
864 individuals, obese patients demonstrated lower memory performance along with enhanced
865 prefrontal cortex activity to achieve a simple one-back memory task (160, 337). Failure to
866 modulate theta activity, together with the increased cognitive effort to perform memory tasks,
867 could be a predictor for cognitive dysfunction in obesity as age increases (138). Again using
868 MEG, increased evoked potentials were observed to food stimuli in higher visual brain areas
869 after intranasal insulin in the fusiform gyrus. Here again, this applied to normal-weight but not
870 overweight individuals (141). Apart from MEG studies, insulin-mediated changes within the

871 visual system were reported using fMRI, indicating that the fusiform gyrus in particular is
872 insulin-resistant in obese individuals (164). On the basis of the intrinsic state of an individual
873 (i.e. hunger versus satiated), a dissociable activity pattern emerges in the fusiform gyrus of
874 normal-weight insulin-sensitive individuals. This pattern showed reduced attention to high
875 caloric foods in the postprandial state with increased insulin levels (213). Similarly, in the
876 fasting state, the fusiform gyrus and surrounding regions are known to track the energy value
877 of food by responding to high caloric foods with an increase in activity and functional
878 connectivity within visual networks (115, 164, 205, 221, 326, 351). The relationship between
879 peripheral and central insulin resistance is such that subjects can also be stratified according
880 to their peripheral insulin sensitivity instead of using a continuous correlative measure. By
881 means of FDG-PET, peripherally insulin-sensitive men showed an increase in prefrontal
882 cortex and striatum glucose metabolism during a hypinsulinemic euglycemic clamp, while the
883 insulin-resistant men displayed a reduced response (6). The same applied to women
884 suffering from polycystic ovary syndrome (PCO), a disease accompanied by peripheral
885 insulin resistance. Only the insulin-sensitive PCOS patients showed a significant prefrontal
886 cortex and striatal inhibition after glucose ingestion in response to food pictures (376). This
887 was also observed for normal-weight as opposed to obese individuals in response to glucose
888 (164) ingestion and intranasal insulin (220).

889 ***Brain insulin resistance is associated with success of life-style intervention***

890 Working memory-related activity of the fusiform gyrus and prefrontal cortex were predictive
891 for the outcome of a lifestyle intervention study (160). Here, individuals who reduced their
892 BMI by approximately 7% after a 6-month dietary intervention showed increased activity in
893 the fusiform gyrus during a working memory paradigm using food stimuli. Non-responders,
894 by contrast, showed an increase in prefrontal cortex activity. In agreement with these results,
895 our group (361) identified a significant relationship between insulin-stimulated theta activity
896 using MEG and the amount of weight lost, as well as a reduction in the metabolically
897 unhealthy visceral adipose tissue (VAT) during lifestyle intervention. The greater the brain

898 insulin response prior to the lifestyle intervention, the more weight and VAT was lost by an
899 individual for up to 2 years after the intervention (Figure 11D). Interestingly, the insulin-
900 stimulated hypothalamic response was also compromised in obese individuals (232), with
901 partial reversibility after massive reduction of body weight (369). Depending on the amount of
902 VAT, intranasal insulin strongly reduced the hypothalamic cerebral blood flow as measured
903 by fMRI (220) (Figure 11E). However, overweight and obese subjects with high VAT failed to
904 show this reduction in neural activity (220), indicating a relationship between brain insulin
905 resistance and metabolically unfavorable abdominal adiposity (336) (Figure 11). It is worth
906 bearing in mind that, independent of visceral and liver fat, high levels of circulating saturated
907 nonesterified free fatty acid were associated with diminished insulin effects on theta band
908 brain activity, suggesting that nonesterified free fatty acids are independent predictors of
909 brain insulin resistance (360). Hence, soluble factors such as fatty acids derived from
910 visceral fat could be one cause of cerebral insulin resistance, which may then aggravate
911 cerebral dysfunction.

912 ***Insulin-mediated brain function in morbidly obese individuals and after bariatric*** 913 ***surgery***

914 In morbidly obese individuals, the dorsal striatal regions in particular react strongly to insulin
915 that has been elevated by a hyperinsulinemic euglycemic clamp (257). This insulin-
916 stimulated increase in glucose metabolism, measured by FDG-PET, is reversed after
917 bariatric surgery (364). Functional connections of the cortico-striatal network, which are
918 important for food reward (369) also showed a normalization of insulin-mediated brain
919 function after bariatric surgery. Failure to activate the lateral prefrontal cortex in response to
920 high caloric foods correlated significantly with the insulin-mediated response of the dorsal
921 striatum, giving the cortico-striatal brain network a prominent role in morbid obesity (257).
922 The prefrontal cortex, the striatum and the hypothalamus are key players in this network.
923 Besides the hypothalamus, the prefrontal cortex seems to be particularly prone to insulin
924 resistance. The insulin-stimulated prefrontal cortex response correlates significantly with

925 peripheral insulin sensitivity assessed by an oral glucose tolerance test (oGTT). A negative
926 correlation between prefrontal cortex activity and insulin levels was identified in insulin-
927 sensitive individuals (220). Furthermore, the insulin-induced activation pattern correlated
928 positively with measures of cognition related to eating behavior. Hence, individuals
929 susceptible to uncontrolled eating, together with a craving for food, showed insulin resistance
930 in the prefrontal cortex. Similarly, as assessed by a hyperinsulinemic euglycemic clamp (362)
931 insulin-stimulated theta activity was positively correlated with peripheral insulin sensitivity.

932 Studies investigating brain insulin action in obesity revealed an attenuated or even
933 diminished response in overweight and obese individuals to both endogenous and
934 exogenous insulin stimulation. The hypothalamus, fusiform gyrus, striatal regions and
935 prefrontal cortex seem to be particularly vulnerable to obesity-associated insulin resistance
936 (see Figure 4 for details on insulin-sensitive regions). At present it is unclear as to whether
937 brain insulin resistance is a cause or consequence of obesity. Nonetheless, these studies
938 show that brain insulin resistance is highly relevant for peripheral metabolism and eating
939 behavior.

940 ***b) Brain insulin resistance and the influence of obesity- and diabetes-related risk***
941 ***genes***

942 ***Insulin receptor substrate (IRS-1)***

943 The first common single nucleotide polymorphism (SNP) found to be associated with the
944 brain responds to insulin is located in the *IRS-1* (insulin receptor substrate-1) locus. Together
945 with its isoforms, this adapter protein couples the insulin receptor to its signaling cascade
946 and is therefore crucial for molecular signal transduction when the insulin receptor is
947 activated (344). One polymorphism in this locus, SNP rs1801278, introduces a Gly927Arg
948 amino-acid exchange, thereby impairing the insulin signaling cascade (4). Initially identified
949 as a diabetes-risk polymorphism (321), this SNP also determines the brain's response to the
950 hyperinsulinemic euglycemic glucose clamp as assessed by MEG (as described in section III

951 on insulin effects on global brain function). While beta-activity in non-risk allele carriers
952 responded to the increased insulin levels, a diminished response was observed in risk-allele
953 carriers. This is indicative of brain insulin resistance (362).

954 ***Fat-mass and obesity-associated gene (FTO)***

955 Common variation in the *FTO* gene is the strongest genetic determinant for an increased
956 BMI, explaining differences of up to 3 kg body weight (118). By predisposition to obesity and
957 therefore to peripheral insulin resistance, variation in the gene region also increases the risk
958 for type 2 diabetes (93, 118). In humans, the increased body weight is driven by an increase
959 in food intake rather than in energy expenditure (48, 156, 250).

960 On the cellular level, recent research proposed that *FTO* obesity-risk variation is associated
961 with altered mitochondrial function and thereby with thermogenesis in adipose tissue (59).
962 This seems to be regulated via the functional connection with the distant genes *IRX3/5*. The
963 latter might be responsible for the weight effect rather than the *FTO* gene product itself (59,
964 282, 332). It is still not completely clear how these experimental findings relate to the
965 observations of food intake effects in multiple clinical studies (174, 334). It is possible that
966 adipose-tissue derived signals reach further organs to modulate function there. Specialized
967 neuronal subpopulations might also be affected by alterations of *IRX3/5* in *FTO* risk allele
968 carriers. Indeed, obesity-risk polymorphisms in *FTO* are associated with expression levels of
969 *IRX3* in the human brain; the gene transcript potential responsible for *FTO* associations
970 (332). However, such mechanisms have not yet been conclusively tested beyond the
971 hypothalamus.

972 In humans, carriers of the *FTO* obesity risk allele show an attenuated satiation response after
973 a meal, increased food intake and impulsivity (57) and cognitive restraint (71), indicating
974 distinct differences in eating behavior. *FTO* is highly expressed within the brain, where
975 expression levels are regulated by food intake (119). In terms of anatomy, healthy elderly risk
976 allele carriers show reduced frontal (57) and occipital brain volume (177). Also functionally,

977 the *FTO* risk allele affects brain areas important for reward processing and food-cue
978 reactivity (215, 263, 389). Moreover, brain insulin reactivity is strongly attenuated in *FTO* risk
979 allele carriers (167, 198). In the postprandial state, neural food-cue reactivity showed a
980 pronounced reduction in prefrontal regions (167) and reward-associated brain regions such
981 as the striatum in *FTO* carriers using fMRI (198). While our group (167) found differences in
982 the postprandial state only, Karra et al. (198) also identified changes within the reward
983 system in response to food cues in the fasted and fed state. This indicates that the nutritional
984 status plays an important role in *FTO*-associated brain insulin resistance. The importance of
985 insulin sensitivity in the reward system was underscored by a recent study investigating an
986 interaction between the *FTO* gene and the dopamine D2 receptor gene *ANKK1*. A common
987 polymorphism in this locus determines the D2 receptor density (194). In rodent models, the
988 variation of the *FTO* gene has been shown to influence dopamine signaling such that a loss
989 of *FTO* selectively influences reward sensitivity and food intake in dopamine neurons (175).
990 Risk allele carriers of the *ANKK1* gene polymorphism show reduced D2 receptor density,
991 have an increased risk for substance abuse (66), attenuated neural response to palatable
992 food (104), and difficulties in losing and maintaining body weight (297, 395). Furthermore, the
993 *FTO* risk allele influenced D2 receptor dependent behavior and brain reward responses in
994 interaction with the *ANKK1* variation (322). Regarding brain insulin action, the association of
995 the *FTO* SNP rs8050136 in the striatum depends on dopamine D2 receptor density as
996 determined by the *ANKK1* polymorphism rs1800497. Carriers of both risk alleles have an
997 exaggerated striatal response to intranasal insulin, as well as increased body fat and
998 reduced peripheral insulin sensitivity (168). All in all, this suggests that carriers of both risk
999 alleles have an increased risk for obesity and type 2 diabetes. Moreover, insulin-stimulated
1000 beta activity as assessed by MEG was reduced in *FTO* allele carriers during a
1001 hyperinsulinemic clamp (362, 363). The *FTO* and *IRS1* polymorphisms affected the beta
1002 frequency in particular. Until recently, this was regarded as being related mainly to motor-
1003 related processes. However, several studies have shown that beta band activity is largely
1004 involved in attentional processing (99). Although the reduced insulin induced change for the

1005 risk carriers may point to specific changes in the attentional control system, this assumption
1006 is open to further investigation.

1007 ***Melanocortin receptor 4 (MC4R)***

1008 One important receptor for cell-to-cell signaling in specific hypothalamic neurons is the
1009 melanocortin receptor 4 (MC4R). Following activation of the insulin receptor, the
1010 anorexigenic POMC-neurons release α -MSH, a peptide that activates the MC4R in
1011 secondary neurons. Genome-wide association studies have confirmed that polymorphisms in
1012 the locus encoding for MC4R are associated with increased BMI, affecting energy
1013 homeostasis and peripheral insulin sensitivity (186, 249). One study in humans suggests that
1014 the MC4R polymorphism rs17782313 associates with impaired insulin action on theta brain
1015 activity (357). Notably, the long-term (6-week) intranasal administration of MSH/ACTH₄₋₁₀
1016 reduced body weight and fat mass in normal-weight but not in overweight subjects (148).
1017 This indicates that overweight, in combination with brain insulin resistance, is also associated
1018 with reduced sensitivity to relevant central nervous downstream signals of insulin.

1019 ***Cannabinoid receptor 2 (CNR2)***

1020 The endocannabinoid system is a regulatory network that contributes to the control of body
1021 weight, food intake, and whole-body energy metabolism. This system is also known to
1022 mediate some of insulin's metabolic effects (90). The endocannabinoids are a group of
1023 specialized lipids that usually transmit via two receptors (CNR1 and CNR2). Whereas the
1024 role of CNR1 in the brain was established some time ago (394), the expression of *CNR2* was
1025 only recently identified in different brain cells and regions (264). However, carriers of SNP
1026 rs3123554 in the *CNR2* gene showed reduced brain insulin sensitivity, which was indicated
1027 by attenuated insulin stimulated theta activity (203).

1028 The aforementioned obesity and diabetes risk gene carriers showed an attenuated insulin-
1029 mediated response in beta and theta band brain activity as assessed by MEG and
1030 attenuated food-cue reactivity in the postprandial state in prefrontal and reward associated

1031 brain regions. This indicates that each genetic determinant for brain insulin resistance
1032 encompasses different neuronal systems. Since all these studies were carefully matched for
1033 BMI, sex and age, a genetically determined brain insulin resistance may be proposed. None
1034 of these genetic associations has yet been replicated in another cohort, presumably due to
1035 the complex techniques involved to quantify brain insulin action in humans. Such a
1036 replication is particularly necessary to verify results from hypothesis-free approaches.

1037 **c) Brain insulin resistance in type 2 diabetes**

1038 ***Hypothalamic insulin resistance in T2D patients is normalized after dietary restrictions***

1039 So far, only very few studies have investigated the effect of insulin resistance in T2D on the
1040 homeostatic system of the brain controlling metabolism. By means of oral glucose ingestion,
1041 endogenous stimulated insulin typically induces a profound decrease in the hypothalamus
1042 (232, 330, 331). However, T2D patients fail to show this inhibitory hypothalamic response. In
1043 view of the fundamental role of the hypothalamus in energy homeostasis (378), this may
1044 contribute to the metabolic imbalance in these patients. Nonetheless, a very low caloric diet
1045 over a period of four days normalized the hypothalamic responsiveness to glucose ingestion
1046 in T2D patients (162, 346). This shows that short-term caloric restriction can be beneficial.
1047 The mechanism responsible for this hypothalamic normalization could be based on both
1048 glucose and insulin sensing neurons. Teeuwisse et al. (346) observed no significant
1049 correlation between the peripheral increase in insulin and the hypothalamic response to
1050 glucose ingestion. This could be because the strong reaction of the glucose-sensing neurons
1051 masks the insulin-mediated effects. However, studies using the intranasal approach, which
1052 results in higher cerebral insulin concentrations, showed an insulin-mediated attenuation in
1053 hypothalamic activity in non-diabetic individuals (165, 216, 220). Caloric restriction could
1054 therefore lead to an increased sensitivity to hypothalamic glucose or insulin sensitive
1055 neurons. However, whether or not this normalization can be maintained in T2D patients has
1056 not yet been investigated. T2D patients who manage to adhere to their dietary restrictions on
1057 a more long-term scale show an enhanced response to food cues in reward associated brain

1058 regions. They therefore tend to be more successful in resisting the temptation to eat foods
1059 that are high in carbohydrates and fat (51).

1060 ***Brain insulin resistance in higher cognitive functional networks in T2D***

1061 Functional connectivity is used for the characterization of brain networks in health and
1062 disease. Regions in the brain in which a consistent pattern of synchronous activity is found
1063 reflect a functional network (31, 42). In T2D patients, the disruption of functional connectivity
1064 is related to the severity of peripheral insulin resistance as well as of cognitive performance
1065 (54, 252, 400). Functional connectivity between precuneus/posterior cingulate and frontal
1066 regions (Figure 3) was negatively associated with HOMA-IR (54, 252) and positively
1067 associated with verbal fluency performance (54). The latter reflects semantic memory
1068 performance, which is a prominent characteristic in T2D cognitive dysfunctions (9, 10).
1069 Moreover, in T2D patients, less efficient executive functions, as assessed by the trail-making
1070 tests, and the degree of insulin resistance are related to reduced interhemispheric functional
1071 connectivity in the temporal cortex (400). Interestingly, increasing CSF insulin by the
1072 administration of intranasal insulin normalizes these functional connectivity alterations. A
1073 single dose of 40U of insulin in older T2D patients acutely increased functional connectivity
1074 between the hippocampus and frontal regions, restoring complex neural networking that are
1075 important for higher cognitive functions (132, 401). In addition, verbal fluency and visual
1076 spatial memory tended to be higher after intranasal insulin application (256). In T2D subjects,
1077 who had received intranasal insulin, better cognitive performance correlated with the
1078 enhanced functional connectivity between the hippocampus and frontal regions (401).
1079 Intranasal insulin may therefore restore functional connectivity in higher cognitive regions,
1080 thereby improving memory and executive function.

1081

1082 ***Effect of intranasal insulin on cerebral blood flow in T2D***

1083 Intranasal insulin also enhanced regional perfusion in the insular cortex (256, 312). Similar to
1084 dementia patients, T2D individuals show reduced cerebral blood flow and cerebrovascular
1085 reactivity, particularly with advanced complications (38, 255). However, since the effects do
1086 not remain significant after correction for atrophy, the cerebral blood flow reduction could be
1087 related to brain atrophy (38, 302). Likewise, Rusinek et al. (298) found reduced cerebral
1088 blood flow in insulin resistant subjects but not in treated T2D individuals. This could be
1089 related to the efficient antidiabetic drug treatment. Nonetheless, intranasal insulin was able to
1090 increase cerebral blood flow (256, 312) and to vasodilate the middle cerebral artery, which is
1091 in accordance with the enhanced cognitive performance in T2D subjects (256).

1092 So far, only a small number of studies have investigated brain insulin action in T2D. These
1093 have mainly identified brain insulin resistance in those brain regions that are relevant for
1094 cognitive function. Since communication within and between brain hemispheres is essential
1095 for intact cognitive functions, measures of functional connectivity in T2D are of particular
1096 interest. Indeed, exogenous application of insulin to the brain is able to restore functional
1097 connectivity between the hippocampus and frontal regions. However, further studies are
1098 necessary to comprehend the development of brain insulin resistance in T2D. Currently, little
1099 is known as to whether other target regions of insulin action are prone to insulin-resistance
1100 in T2D and whether obesity-associated brain insulin resistance can be differentiated from
1101 T2D-related brain insulin resistance.

1102 *d) Brain insulin resistance and gestational diabetes*

1103 The developmental aspects of brain insulin resistance are largely unknown. However, it is
1104 well-established that the metabolic and psychological status of the pregnant mother can
1105 strongly influence the metabolic and cognitive development of the offspring. Gestational
1106 diabetes is therefore an interesting model for the study of brain insulin resistance. Typically,
1107 in insulin resistance, mothers with gestational diabetes expose the fetus to a hyperglycemic
1108 state, since glucose is transported to the fetus through the placenta. It is important to note,
1109 however, that maternal insulin does not cross the placenta. Nonetheless, the fetus itself

1110 reacts to the increased glucose levels with increased insulin release. Due to the maternal
1111 hyperglycemic condition, this puts the fetus into a hyperinsulinemic and hyperglycemic state.
1112 This was already proposed by Pedersen (in 1952) to cause fetal macrosomia (274).
1113 Furthermore, the offspring of mothers with gestational diabetes have higher amniotic fluid
1114 insulin levels in utero as well as higher insulin and C-peptide levels in umbilical cord blood at
1115 birth (384). Since increased insulin resistance is present at birth, fetuses probably develop
1116 impaired insulin action while still *in utero* (46).

1117 ***Gestational diabetes and its long-term risks for mother and child***

1118 Although gestational diabetes usually disappears immediately after birth, it is nevertheless
1119 associated with several adverse effects for both mother and child. A mother suffering from
1120 gestational diabetes has a higher risk of developing type 2 diabetes in later life (207). For the
1121 newborn offspring, there are immediate risks such as macrosomia, large for gestational age,
1122 perinatal mortality and cesarean delivery (136). Importantly, the maternal environment during
1123 gestational diabetes also increases the risk of the offspring for obesity and type 2 diabetes in
1124 later life (333). This increased risk is independent of genetic or environmental background
1125 (77). The detailed molecular mechanisms of such metabolic changes in the offspring of
1126 mothers with gestational diabetes have still not been well investigated. However, recent
1127 epidemiological and experimental data suggest that elevated insulin levels during perinatal
1128 life directly program the development of obesity and diabetes (117). Epigenetic mechanisms,
1129 which are labeled as a phenomenon of fetal programming (276) are therefore liable to play a
1130 very important role. As shown in animal studies, the change of insulin action in fetuses of
1131 diabetic mothers affects not only the peripheral tissues, but also the development of the fetal
1132 central nervous system (139, 380). These studies mainly investigated hypothalamic changes
1133 and pointed out the adverse anatomical and functional effects of the hyperinsulinemic state.

1134

1135 ***Investigating the effect of maternal metabolism on the fetal brain using MEG***

1136 Fetal magnetoencephalography (fMEG) and magnetic resonance imaging are the two
1137 methods by which the development of the human fetal brain can currently be assessed. As
1138 already mentioned, MEG is a non-invasive method by which biomagnetic fields can be
1139 assessed in the framework of studies on brain activity. Since the magnetic signals generated
1140 in the fetal brain can be recorded at the abdomen of the mother, this technique can also be
1141 used to record fetal neuronal signals directly (279). In the last trimester of gestation, the fetal
1142 brain is mature enough to respond to external stimuli and show distinctive spontaneous
1143 activity. Auditory stimulation is well suited to the investigation of functional fetal brain
1144 development. From around the 20th week of gestation, the fetus reacts to external sounds. It
1145 is therefore possible to record auditory evoked fields from this point onwards. Since the
1146 latency of the evoked fields decreases over gestation, it is used to assess the functional
1147 maturation (178). In a group of healthy pregnant women, the latency of the fetal auditory
1148 response decreases after a glucose challenge to the mother using an oGTT. In this study,
1149 the fetal auditory responses were recorded before, and 60 and 120 Minutes after glucose
1150 ingestion. A significant latency decrease was observed 60 minutes post ingestion, whereas
1151 baseline levels were reached again after 120 minutes. The group of mothers was split
1152 according to their maternal insulin sensitivity (median split of HOMA-IR) for further analysis.
1153 Interestingly, the insulin-sensitive group showed a stronger decrease in latency (228) (Figure
1154 12). In a follow-up study, fetal responses in pregnant woman with gestational diabetes were
1155 investigated. One hour after a glucose challenge, a decrease in latency was observed in the
1156 fetuses of non-diabetic mothers. However, under baseline conditions, there was no
1157 difference in latency between fetuses of diabetic and non-diabetic mothers (229) (Figure 12).
1158 If the mother had gestational diabetes, no endogenous insulin-induced decrease in latency
1159 was observed in her fetus. This indicates that the metabolic status of the mother interacts
1160 with the functional organization of the fetal brain. Under normal metabolic conditions,
1161 endogenous increase in glucose and insulin induces a latency decrease, which does not
1162 occur in mothers with a hyperinsulinemic and hyperglycemic state. Interestingly, no

1163 difference was observed in the baseline between the two groups until a challenge
1164 corresponding to a postprandial state was performed.

1165

1166 The metabolic and psychological condition of the pregnant mother can strongly influence the
1167 development of the offspring. Mothers with gestational diabetes expose the fetus to a
1168 hyperinsulinemic and hyperglycemic state. This also increases the offspring's risk of
1169 developing obesity and type 2 diabetes in later life. By virtue of non-invasive imaging, and
1170 using latency of auditory evoked responses as a measure of functional maturation, fetal
1171 neural signals can be recorded directly to investigate functional fetal brain development.
1172 Indeed, the latency of the fetal auditory response decreases after glucose challenge in
1173 healthy mothers, while insulin resistance and gestational diabetes diminishes such an
1174 insulin-induced response in the fetus. However, further studies are required to determine the
1175 functional significance of this effect and its possible outcome on long-term brain
1176 development.

1177 **e) Brain insulin resistance in normal aging**

1178 Aging has been associated with peripheral insulin resistance and the maintenance of insulin
1179 sensitivity has been observed in familial human longevity and centenarians (for review see
1180 (3)). As indicated in post-mortem brain studies, both cortical insulin levels as well as insulin
1181 receptor binding decrease as age increases (123). In comparison to healthy controls, AD
1182 brains displayed lower insulin signaling and insulin receptors (294, 335). Besides the direct
1183 effect on brain insulin signaling and receptor expression, the transport of insulin from the
1184 periphery to the brain might be responsible for brain insulin resistance in the elderly. Altered
1185 blood-brain barrier function for carrier-mediated transport systems have been observed in
1186 aging animals and humans (323). The ratio CSF/serum insulin is markedly related to whole-
1187 body sensitivity. A reduced ratio in insulin resistant individuals provides evidence of an
1188 altered transport of insulin across the blood-brain barrier (169, 306). Furthermore, by

1189 administering insulin directly into the ventricles, bypassing the blood-brain barrier, it was
1190 possible to improve its action in aging mice (306).

1191 ***Brain insulin resistance with increased age in response to food-cues***

1192 In middle-aged adults, food-cue elicited brain activity in response to a meal diminishes with
1193 increasing age (50). This may reduce the satiety effect while increasing the risk for obesity in
1194 middle-aged adults. Concomitantly, increasing insulin levels by means of a hyperinsulinemic
1195 clamp failed to modulate beta activity evaluated by MEG with increased age, possibly
1196 resulting in increased attention towards food cues in the postprandial state (358).

1197 ***AD-like brain alterations in aging individuals with insulin resistance***

1198 Even in older individuals without dementia, insulin resistant individuals and T2D patients
1199 showed more medial temporal lobe atrophy particularly in the hippocampus and amygdala
1200 (88). Moreover, the Leiden Longevity study reports microstructural brain changes with insulin
1201 resistance as assessed by oGTT. This is indicative of a loss of homogeneity of brain tissue
1202 with increasing age in insulin resistant individuals. Following glucose ingestion, increasing
1203 plasma glucose and insulin levels appear in an AD-like pattern in cognitively healthy adults
1204 (11, 189). In insulin sensitive participants, a reduction in FDG uptake and cerebral blood flow
1205 (hypometabolism) was observed in AD-vulnerable regions. These regions include the frontal
1206 cortex, lateral parietal cortex and precuneus (189) (Figure 3). Similarly, in older patients with
1207 prediabetes and diabetes, peripheral insulin resistance correlated with the AD like pattern of
1208 reduced FDG uptake in the above-mentioned brain regions (11). Moreover, the Baltimore
1209 Longitudinal Study of Aging revealed that, in impaired glucose tolerance individuals, the
1210 cerebral blood flow declined more quickly with age (349). The population-based Mayo Clinic
1211 Study of Aging showed that an FDG-hypometabolism in AD brain regions was more common
1212 in older diabetes patients (295). These results suggest that high circulating insulin and insulin
1213 resistance are important contributors for neurodegenerative disease.

1214 ***Disrupted functional connectivity in AD-vulnerable regions***

1215 A loss in functional connectivity within the default-mode network has been observed in
1216 patients suffering from dementia, T2D and obesity (Figure 3). Furthermore, as discussed in
1217 the sections above, evidence has accumulated that insulin resistance also affects these
1218 regions. This network is involved in higher cognitive functions including the hippocampus,
1219 posterior cingulate cortex/precuneus and prefrontal regions. A loss in connectivity within the
1220 default mode network could hence explain cognitive dysfunctions in obesity, T2D and
1221 dementia. The posterior cingulate cortex, a central hub of the default mode network, was
1222 also recently shown to be functionally closely connected to both the lateral as well as medial
1223 hypothalamus (218). This hub may therefore constitute a link between the networks
1224 controlling metabolism and those responsible for cognition. Whether the default mode
1225 network can be modulated by centrally administered insulin on account of its hypothalamic
1226 connections remains to be investigated. Studies in healthy young adults have shown that
1227 particularly higher cognitive brain regions are affected by obesity-associated brain insulin
1228 resistance, as discussed in section IV. Hence these regions constitute a potential overlap
1229 between AD-vulnerable and cerebral insulin resistant brain areas (Figure 13).

1230

1231

1232

1233

1234 ***f) Brain insulin resistance in dementia and AD***

1235 ***1) The role of insulin in A β metabolism***

1236 Human and animal cell culture and in-vivo animal studies indicate that insulin and insulin
1237 resistance play a prominent role in A β metabolism and, conversely, that A β affects brain
1238 insulin signaling (for review (56, 377)). Insulin regulates beta amyloid by reducing the
1239 phosphorylation of the amyloid precursor protein. It also increases anti-amyloidogenic

1240 proteins, such as the insulin degrading enzyme, a metalloprotease that catabolizes insulin. In
1241 addition to regulating peripheral insulin levels, insulin degrading enzyme is highly expressed
1242 in the brain and fosters A β clearance and intracellular degradation (56, 377). Reduced insulin
1243 degrading enzyme activity levels have been reported in AD patients as well as in the
1244 postmortem brain tissue of deceased AD patients (68). During the progression from MCI to
1245 AD, levels of insulin degrading enzyme continue to decrease, correlating inversely with A β
1246 levels. This endorses the notion that insulin degrading enzyme dysfunction is a prodromal
1247 phenomenon of AD (404). Low CSF insulin levels and high peripheral insulin levels in AD
1248 (76) result in reduced A β clearance in the brain and the periphery. High insulin levels in the
1249 periphery may result in competitive inhibition of insulin degrading enzyme, thus preventing
1250 A β degradation. As a result, A β accumulated in the periphery would cross the blood-brain
1251 barrier and access the brain (126, 377).

1252 Insulin counteracts the reduction in insulin receptors on dendritic surfaces (82) as well as on
1253 the serine phosphorylation of insulin receptor substrate-1 as induced by A β oligomers (34).
1254 The latter is known to inhibit downstream insulin signaling and induces peripheral insulin
1255 resistance (305, 403). Moreover, the peptide protects synapses against A β oligomers by
1256 decreasing respective binding sites (82), thereby attenuating the detrimental effect of A β
1257 oligomers on neuronal survival (355). It is not surprising that in turn, insulin resistance
1258 accelerates A β production and facilitates its accumulation (for review see (81, 377)). Vice
1259 versa, A β oligomers impair insulin action by binding to insulin receptors, thereby disrupting
1260 the signaling capacity and down-regulating insulin receptors in the hippocampus (355, 403).

1261 ***2) Association between peripheral insulin resistance and AD pathology***

1262 Only a small number of studies have used modern imaging techniques to investigate the
1263 relationship between peripheral insulin resistance and amyloid load in humans. In healthy
1264 late middle-aged adults with normoglycemia, higher peripheral insulin resistance correlated
1265 with higher PiB uptake. This is indicative of increased amyloid deposition (390). However, in
1266 a longitudinal study, which included PiB-PET data, multiple oGTTs, and postmortem brain

1267 slices, amyloid load was not found to be associated with either glucose intolerance or insulin
1268 resistance (350). Moreover, although hypometabolism of AD related regions was observed,
1269 there was no increase in amyloid load in T2D patients (295). This led to the conclusion that
1270 there is no coherent pattern between insulin resistance/T2D and amyloid load, which is
1271 supported by a cross-sectional study in subjects with AD or MCI (245). In these subjects T2D
1272 was associated with decreased frontal and parietal cortical thickness, but not with A β
1273 concentrations in CSF. Remarkably, however, T2D was linked to increases in total and
1274 phosphorylated microtubule-associated tau protein in CSF. As outlined above,
1275 hyperphosphorylation of tau, a hallmark and biomarker of AD pathology, leads to the
1276 accumulation of neurofibrillary tangles and, consequently, to neuronal demise (281). Notably,
1277 insulin administration appears to counteract taupathy in AD (see below).

1278 In cognitively intact adults with pre-diabetes or T2D, peripheral insulin resistance is
1279 associated with reduced cerebral glucose metabolism in frontal, temporo-parietal, and
1280 cingulate areas (11) (brain regions displayed in Figure 3). Moreover, decreased peripheral
1281 insulin sensitivity has frequently been observed to be associated with MCI- and AD-related
1282 brain glucose hypometabolism, evaluated by FDG-PET (247, 260, 291, 349, 350, 377, 391).
1283 Interestingly, within the medial temporal lobe there appears to be a shift from hyper- to
1284 hypometabolism in MCI progressors. This is predicted by peripheral insulin resistance, while
1285 those patients who have a stable MCI show no such shift (391).

1286 Evidence has accumulated that measures of peripheral insulin resistance correspond to
1287 lower hippocampal volume in middle-aged to elderly individuals who are healthy (20) or who
1288 suffer from T2D (343), as well as in individuals at risk for AD (287, 392), due to genetic
1289 predisposition or family history. Concomitantly, peripheral insulin resistance has been
1290 associated with compromised cognitive function in these studies, i.e. verbal fluency (20),
1291 verbal learning (392) and executive function (343).

1292 ***3. Impact of central nervous insulin administration on AD pathology***

1293 Craft and colleagues (74) were the first to show that acute and chronic exposure of a low
1294 dose of intranasal insulin (20 and 40 U) provides promising results in reducing
1295 neuropathological changes in AD (further discussed in section V). These behavioral studies
1296 provide encouraging findings that increasing CSF insulin by intranasal application benefits
1297 cognitive function in MCI and early to moderate AD patients (60, 61, 74, 288-290). In an
1298 acute setting, verbal memory was tested 15 minutes after application of intranasal insulin.
1299 Insulin improved verbal memory in patients without the APOE ϵ 4 mutation, with the most
1300 pronounced effect being observed for the relatively low dose of 20U, whereas 60U were not
1301 effective (288, 289). Notably, in carriers of the APOE ϵ 4 allele insulin remained without effect
1302 or even impaired performance. It is not known if this difference is related to the stronger
1303 association between insulin resistance and AD observed in patients without risk allele in
1304 comparison to risk allele carriers (76). Insulin might even aggravate impairments in central
1305 nervous glucose metabolism, such as is the case in carriers of the APOE ϵ 4-positive
1306 genotype (292).

1307 In a pilot study with 24 patients, 21 days of 20U insulin administration modulated A β levels in
1308 the CSF, and improved attention and verbal memory (290). For the latter measurement,
1309 participants were requested to recall a story containing 44 informational bits immediately and
1310 again after a 20-minute delay. In a follow-up study, 104 patients with MCI or moderate AD
1311 underwent 4 months of treatment with either 20 or 40U of intranasal insulin or placebo (74).
1312 Both insulin doses preserved caregiver-rated functional ability (such as orientation,
1313 judgement, social interaction, home activities etc.) and preserved general cognition as
1314 assessed by the Alzheimer's Assessment Scale. The improvement in episodic memory due
1315 to 20U-insulin treatment was still present 2 months after the intervention had been
1316 completed. Of note, changes in memory and functional ability were related to changes in A β
1317 and tau protein (74). Remarkably, 4 months treatment with intranasal insulin minimized the
1318 progression of reduced FDG-uptake in AD-vulnerable brain regions such as the precuneus
1319 and frontal and parietal areas (74). So far, this is the only study using brain-imaging
1320 techniques to evaluate brain insulin action in AD patients. Craft and colleagues further

1321 analyzed the insulin-induced behavioral improvements of this cohort on the basis of sex and
1322 APOE ϵ 4 genotype (61). Only men showed improvements in delayed story recall after being
1323 administered 20U of insulin for 4 months. This sex difference was most pronounced in the
1324 group of APOE ϵ 4 non-carriers. In accordance with the effects of acute intranasal insulin
1325 administration (288, 289), performance of APOE ϵ 4 female carriers who had received the
1326 40U-insulin treatment even deteriorated (61). Interestingly, in APOE ϵ 4 carriers, Claxton and
1327 coworkers found beneficial effects on verbal and spatial memory using 40U of insulin detemir
1328 (a long-acting human insulin analogue) but also an improvement in peripheral insulin
1329 sensitivity (see also below) (60).

1330 Besides insulin's role in A β clearance and production, insulin resistance also exacerbates
1331 neurodegeneration by hyperphosphorylation of tau protein to form neurofibrillary tangles
1332 (245). Four months of intranasal insulin application changed the tau protein to A β ratio in
1333 CSF (74). Furthermore, when intranasally applied to mice, insulin attenuated
1334 hyperphosphorylation of tau-promoting brain insulin signaling (53). Such effects might be
1335 mediated by changes in glycogen-synthase kinase-3-beta, which inhibits tau phosphorylation
1336 (180).

1337 ***g) Inflammation as a potential shared pathophysiology of metabolic and cognitive***
1338 ***disorders***

1339 ***Role of inflammation in brain insulin resistance***

1340 Inflammation occurs in the brain and the periphery to defend the body against multiple
1341 threats. It involves soluble factors and specialized cells that are mobilized to restore normal
1342 body physiology. Chronic inflammation, however, is detrimental as it leads to tissue damage
1343 and degenerative diseases. In the brain, glial cells (including the insulin-responsive
1344 astrocytes (161) and microglia) become activated, thereby increasing the production of
1345 inflammatory cytokines such as tumor necrosis factor-alpha (TNF- α), interleukin (IL) 6 and IL-
1346 1 β . In AD patients, these inflammatory mediators are significantly higher in the blood and

1347 CSF (105, 340). Hyperinsulinemia facilitates brain inflammatory responses and influences
1348 AD pathology by increasing A β oligomers (73, 110). These, in turn, inhibit insulin receptor
1349 substrate by activating TNF- α (105). Conversely, β oligomers can also activate microglia,
1350 secreting pro-inflammatory cytokines (377). Chronic low-grade inflammation of the periphery
1351 is also a key characteristic of obesity and T2D and originates from increased adipose tissue.
1352 Pro-inflammatory cytokines, such as TNF- α , are upregulated in adipose tissue in obese
1353 individuals and can cause peripheral insulin resistance (182, 183). Elevated TNF- α can
1354 interfere with insulin signaling by hindering intracellular actions of insulin. Blocking TNF- α in
1355 the obese mouse ameliorates insulin sensitivity and glucose homeostasis (for review see
1356 (105)). In the “obese brain”, hypothalamic inflammation and gliosis are particularly prevalent
1357 during a high-fat diet. While these salient findings were mainly revealed in diet-induced
1358 obesity rodent models, a few modern imaging studies also detected gliosis in humans (for
1359 review see (94)). With increasing BMI, gliosis was observed in the mediobasal hypothalamus
1360 (348). In response to intranasal insulin, hypothalamic insulin signaling was impaired in young
1361 adults with high amounts of visceral adipose tissue (220) (Figure 11E), which is considered a
1362 highly inflamed adipose tissue. Furthermore, measures of hypothalamic structural integrity
1363 were inversely correlated with systemic inflammation (47, 280). Even more importantly,
1364 increased damage was correlated with impaired cognitive performance (280). At the same
1365 time, chronic inflammation in AD and older patients with T2D is related to cognitive decline
1366 and brain atrophy and vasoregulation (44, 58, 271).

1367 All in all, inflammation has the potential to be a shared mechanism between metabolic
1368 disorders and AD (for recent review see (105)).

1369 With increasing age, both peripheral as well as central insulin sensitivity declines. Older
1370 individuals with peripheral insulin resistance are more prone to show an AD-like brain pattern
1371 (Figure 3). This is characterized by reduced cerebral blood flow (i.e. hypometabolism) and a
1372 reduction in brain tissue, mainly in the frontal, temporal, lateral parietal cortices and
1373 precuneus. In young adults, obesity-associated brain insulin resistance displays an overlap

1374 with AD affected regions mainly in the prefrontal cortex and hippocampus (Figure 13). Higher
1375 circulating insulin levels and insulin resistance could therefore be a possible mediator of
1376 neurodegenerative ailments. Indeed, cell culture studies have shown that insulin plays a
1377 prominent role in beta amyloid metabolism. However, no consistent pattern was observed
1378 between amyloid load and peripheral insulin resistance. Other hallmarks of AD, such as
1379 glucose hypometabolism and a loss in brain tissue are nevertheless strongly associated with
1380 peripheral insulin resistance. Furthermore, first evidence exists that intranasal insulin can
1381 minimize the progression of glucose hypometabolism in AD-vulnerable regions.

1382

1383 **V. Therapeutic advances in treating brain insulin resistance**

1384 ***a) Effect of lifestyle intervention on brain insulin sensitivity***

1385 There are no studies available on the effects of lifestyle intervention specifically on human
1386 brain insulin sensitivity. However, it can be assumed that interventions which improve
1387 peripheral insulin sensitivity of muscle and liver also enhance insulin action in the brain.
1388 Exercise and weight reduction are known to influence whole body insulin sensitivity. Thus,
1389 diabetes prevention studies, such as the Diabetes Prevention Program, have conclusively
1390 shown that an increase in the amount of physical activity and a reduction of body weight by
1391 caloric restriction improves whole body insulin sensitivity (209). However, it remains to be
1392 seen whether weight loss and increased physical activity by lifestyle intervention can also
1393 improve or even reverse brain insulin resistance. As outlined above, we propose that brain
1394 insulin resistance is imprinted on the fetal brain. Such brain insulin resistance, if developed in
1395 early life, might not be treatable by lifestyle intervention. By contrast, brain insulin sensitivity
1396 may determine the success of a lifestyle intervention. Indeed, individuals with high cerebral
1397 insulin sensitivity were able to reduce their body fat, in particular visceral fat, more effectively
1398 during a lifestyle intervention than individuals with a low cerebral insulin sensitivity (361).
1399 Cerebral insulin sensitivity is liable to influence cognitive control of food intake, i.e., the ability

1400 to intentionally restrain eating behavior and successfully lose weight (160). In addition,
1401 cerebral insulin sensitivity might not only affect the behavioral aspects that determine the
1402 success of lifestyle intervention, but also directly modulate metabolic processes. In this
1403 respect, it is important to note that hypothalamic insulin sensitivity is associated with the
1404 brain-derived modulation of peripheral metabolism (171) as well as the amount of visceral fat
1405 (220), and may therefore directly influence visceral fat.

1406 Since bariatric surgery has the most dramatic weight-lowering effect, it is interesting to study
1407 brain function in individuals before and after such interventions. Evidence exists that obesity-
1408 associated alterations in brain activity can be reversed by bariatric surgery (116, 314).
1409 However, at present there is no evidence to suggest that these beneficial effects lead to an
1410 increased central nervous insulin sensitivity. So far, only one study has shown that massive
1411 weight reduction in obese humans at least partially corrects the dysfunctional activity in
1412 response to glucose in specific brain areas such as the hypothalamus (369). One might
1413 speculate that this outcome is related to improved insulin sensitivity in the respective central
1414 nervous areas after weight loss.

1415 **b) Therapeutic advances in treating brain insulin resistance**

1416 ***Brain effects of anti-diabetic drugs in T2D***

1417 Whole body insulin resistance is improved by insulin sensitizers such as metformin or PPAR
1418 gamma agonists. Hyperglycemia is also known to induce insulin resistance. Agents such as
1419 sulfonylureas, GLP-1 agonists, DPP-4 inhibitors and SGLT2 inhibitors therefore improve
1420 whole body insulin sensitivity by lowering glycemia. One might speculate that agents that
1421 improve whole body insulin resistance also improve brain insulin resistance thereby
1422 secondarily further improving systemic glucose metabolism. Specific brain imaging studies to
1423 assess the direct impact of anti-diabetic drugs on the brain will be necessary to confirm this
1424 assumption. However, the influence of antihyperglycemic agents specifically on brain insulin
1425 sensitivity has not yet been investigated, and only very few of the few human studies available

1426 show that antidiabetic drugs have a direct influence on brain function. Several of these
1427 studies have examined GLP-1 agonists. GLP-1 receptors in the brain, in particular in the
1428 arcuate nucleus, are known from animal studies to be a prerequisite for the anorectic effect
1429 of GLP-1 agonist treatment (329). The influence of peripherally injected GLP-1 agonist on
1430 brain function in humans, in particular on brain areas involved in the regulation of hunger and
1431 satiety, has been demonstrated using fMRI and PET brain imaging techniques (368). GLP-1
1432 agonist exenatide decreased food intake and food-related brain responses in T2D patients
1433 and obese subjects in the insula, amygdala, putamen, and orbitofrontal cortex. Interestingly,
1434 these effects could be blocked by exenidin 9-39, a pharmacological GLP-1 receptor
1435 antagonist (367). In addition, in patients with type 2 diabetes, CNS activation in response to
1436 food pictures was reduced after meal intake in patients with type 2 diabetes (347). This
1437 postprandial reduction in brain activation was prevented by exenidin 9-39 infusion. In
1438 accordance with these findings, our group recently showed that postprandially elevated
1439 endogenous GLP-1 levels are associated with a suppression of activity in the orbitofrontal
1440 cortex, a brain area that regulates hunger and satiety. Notably, some of these endogenous
1441 GLP-1 effects appear to be independent of brain insulin action (163).

1442 ***Brain effects of insulin-sensitizing diabetes drugs in AD***

1443 As discussed above, patients with AD show impaired insulin signaling in specific brain areas
1444 like the hippocampus (342). Since brain insulin resistance is believed to be an early and
1445 common feature of Alzheimer's disease, the treatment of AD-related brain insulin resistance
1446 with insulin sensitizers or with insulin itself seems to be a worthwhile approach. Small pilot
1447 studies in humans suggest that the insulin-sensitizing PPAR gamma agonists may preserve
1448 or improve cognitive function in Alzheimer's disease (307, 383), probably via improved
1449 insulin signalling in the brain. Animal studies have provided evidence that neuronal
1450 pathologies induced by impaired central nervous insulin signalling can be prevented by the
1451 use of the GLP-1 receptor agonist exendin-4 (34). Likewise, the GLP-1 receptor agonist
1452 liraglutide has been found to ameliorate neuropathology and improve cognitive function in an

1453 AD mouse model (149). Its efficiency in patients with AD is currently under investigation (98).
1454 Against the background of these pilot studies, which indicate that activation of GLP-1
1455 receptors enhances brain insulin signalling and counteract memory impairments, boosting
1456 GLP-1 signalling might be considered a helpful tool in the treatment of AD (341).

1457 ***Intranasal insulin as a treatment***

1458 ***Overcoming peripheral insulin resistance by intranasal insulin***

1459 In peripheral tissues, insulin itself can overcome insulin resistance when administered in
1460 appropriate doses. In the brain, proof-of-concept studies indicate that intranasal insulin might
1461 also hold some potential in the clinical setting. Eight weeks of intranasal insulin treatment
1462 reduced body weight and body fat content in healthy men but not in women (144), whereas
1463 obese men did not lose body fat during intranasal insulin treatment but still showed improved
1464 declarative memory performance (Figure 8) (143). Short-term studies show that a single
1465 dose of intranasal insulin reduces free fatty acid levels (190) and improves peripheral insulin
1466 sensitivity as measured by the hyperinsulinemic-euglycemic clamp technique (171). In
1467 addition, increases in hypothalamic and parasympathetic output in normal-weight but not
1468 obese men have been recorded (171). More specifically, intranasal insulin improved
1469 peripheral insulin sensitivity in this study. This effect was correlated with the high frequency
1470 band of heart rate variability, an estimate of the parasympathetic output, and insulin-
1471 stimulated hypothalamic activity (171). This provides further evidence that peripheral and
1472 central insulin sensitivity are highly linked processes. Notably, these effects were found in
1473 normal-weight participants whereas in accordance with the concept of central nervous insulin
1474 resistance, overweight and obese subjects did not show respective effects (143, 171). It is
1475 therefore unclear at present as to whether or not improved paradigms of intranasal insulin
1476 administration constitute a suitable means of overcoming central nervous insulin resistance
1477 in the obese or diabetic state.

1478 ***Beneficial effects of intranasal insulin in developmental delayed children***

1479 The 22q13 deletion syndrome (Phelan-McDermid syndrome) is characterized by global
1480 developmental delay, absent or delayed speech, generalized hypotonia, autistic behavior
1481 and a characteristic phenotype. In an exploratory clinical trial (313), children suffering from
1482 this syndrome received intranasal insulin (maximal dose of 0.5-1.5 U/kg*day) for twelve
1483 months, which is, to our knowledge, the longest duration of intranasal insulin delivery tested
1484 up to now. These children appeared to benefit from insulin delivery in their parent-assessed
1485 motor development, cognitive function and spontaneous activity. The beneficial effect of
1486 intranasal insulin on cognitive function has been discussed in detail in a recent review (325).
1487 Results supporting the notion that intranasal insulin could become an effective means of
1488 treating Alzheimer's disease have been described above and moreover have been
1489 extensively reviewed elsewhere (120).

1490 ***Effect of the insulin analogue detemir***

1491 Due to its pharmacokinetic properties, the long-acting insulin analogue detemir may have a
1492 more pronounced effect on the brain than on the rest of the body. Detemir has a weight-
1493 sparing role in the treatment of diabetes compared to other insulins (122). It has been
1494 proposed that this is the consequence of detemir action on the central nervous system,
1495 where it mediates reduced energy intake (299). Animal studies indicate that insulin detemir
1496 has a tissue-selective action, with a relative preference for brain (173). The time course and
1497 extent of insulin signaling in peripheral tissues were similar following the treatment with
1498 insulin detemir and with human insulin. However, insulin signaling in hypothalamic and
1499 cerebrocortical tissue occurred more quickly and was enhanced on account of a higher
1500 insulin detemir concentration in the brain. This was accompanied by an increased cortical
1501 activity, as measured by epidural EEG in mice with detemir treatment (173). In humans,
1502 acute euglycemic infusion with detemir rather than human insulin exerts a stronger EEG-
1503 assessed brain effect and reduces food intake while inducing similar systemic effects (146).
1504 Importantly, in obese humans, the somewhat weaker impact of systemic, euglycemic insulin
1505 infusion on the brain in comparison to lean individuals can be restored by insulin detemir

1506 (359). In two studies in patients with type 1 diabetes, the effects of chronic detemir therapy
1507 on the brain were studied by means of PET and fMRI (372-374). In comparison to NPH
1508 insulin, detemir showed a weight-sparing effect (121) and increased activation in appetite-
1509 regulating brain regions. One recent study investigated intranasal administration of insulin
1510 detemir over a period of 3 weeks in older subjects with cognitive impairment. It reported
1511 improved peripheral insulin resistance in subjects with one or two APOE-4 alleles, a genetic
1512 variant associated with Alzheimer's disease. Surprisingly, however, participants without the
1513 APOE-4 allele showed a deterioration in peripheral insulin sensitivity (60). Taken together,
1514 these results provide the first promising evidence that insulin analogues with brain-affine
1515 action profiles such as insulin detemir may be able to at least temporarily overcome insulin
1516 resistance associated with metabolic and cognitive impairments. In addition, when other
1517 insulin analogues such as insulin aspart were used, brain responses were different than for
1518 human insulin, which is reported earlier in this review (24).

1519 In summary, treating brain insulin resistance with pharmacologic agents that – directly or
1520 indirectly - improve brain insulin signaling would seem to be a promising approach in the
1521 prevention and/or treatment of both metabolic diseases and cognitive impairments. Current
1522 evidence for respective effects in humans is strongest for insulin and insulin analogs when
1523 administered specifically to the brain via the intranasal pathway.

1524 **VI. Concluding remarks**

1525 As outlined in this review, there are strong indications that brain insulin resistance is a shared
1526 pathological feature of the metabolic and cognitive disturbances found in obesity, T2D and
1527 dementia (for overview see Figure 2). Recent neuroimaging studies using exogenous and
1528 endogenous insulin stimulation have probed the sensitivity of central nervous pathways to
1529 insulin and have revealed remarkable effects on hypothalamus, frontal cortex, limbic regions
1530 and the hippocampus including its surrounding gyri (Figure 4). Accordingly, brain insulin
1531 action can be assumed to influence homeostatic, reward-related and higher cognitive brain
1532 functions, as reflected by multiple behavioral and metabolic effects. Studies on eating

1533 behavior show that central insulin administration inhibits food intake and reduces body
1534 weight particularly in men, which suggests a sex-specific component to insulin effects on
1535 eating behavior. Olfaction, emotional regulation and cognition are similarly affected by brain
1536 insulin action, with particularly relevant beneficial effects on memory function. Brain insulin
1537 action mediates whole-body metabolism with immediate effects on blood glucose and FFAs
1538 and long-term effects on energy storage in multiple organs presumably by altering the
1539 autonomic nervous system function (summarized in Figure 10) (for recent review see (166)).

1540 Brain insulin resistance in humans was first described in obese individuals. Unlike normal-
1541 weight subjects, obese show a diminished neural response to insulin in higher cognitive brain
1542 regions, with the severity of brain insulin resistance determining the success of life-style
1543 intervention. Recent findings suggest that especially metabolically unfavorable abdominal
1544 adipose tissue is particularly associated with brain insulin resistance in the hypothalamus
1545 and higher cognitive brain regions (Figure 11). Similarly, T2D patients show brain insulin
1546 resistance in the above-mentioned brain regions, with normalization after dietary restriction.
1547 However, it is currently unclear whether brain insulin resistance is a cause or consequence
1548 of obesity/T2D. Some studies point to a genetic predisposition for brain insulin resistance,
1549 with the obesity-associated *FTO* variants being the most extensively studied genetic
1550 determinant. Other factors known to influence brain insulin sensitivity/resistance are age and
1551 inflammation. Considering that it is a key characteristic of both metabolic disorders and AD,
1552 chronic inflammation in particular might represent a mechanism shared by these two
1553 afflictions. Inflammatory mediators have adverse effects on beta amyloid metabolism and
1554 some studies in humans point to hypothalamic inflammation in obesity. With increasing age,
1555 insulin signaling in the periphery and the central nervous system decreases. Individuals with
1556 relatively diminished brain insulin sensitivity have a particularly high risk for an AD-like brain
1557 pattern (Figure 3), and so insulin resistance is more liable to be a mediator of
1558 neurodegeneration. In AD, insulin plays a prominent role in beta amyloid metabolism, albeit
1559 no coherent pattern has been observed between peripheral insulin resistance and amyloid
1560 load in the brain. Nonetheless, brain glucose hypometabolism and lower hippocampal

1561 volume, hallmarks of AD, are strongly associated with peripheral insulin resistance.
1562 Moreover, first evidence of alterations in functional connectivity point to network-related
1563 rather than merely localized changes due to brain insulin resistance. In their capacity as a
1564 common neural signature linking metabolic and cognitive dysfunctions, connections between
1565 the default mode network and hypothalamus network should receive more attention in future
1566 studies.

1567 Increasing insulin signaling in the brain by intranasal administration of the hormone reduces
1568 neuropathological changes in AD and induces beneficial effects on cognitive function in
1569 patients with MCI and early to moderate AD, with the APOE ϵ 4 mutation as a potential
1570 genetic determinant of cognitive improvements. Hence, counteracting brain insulin resistance
1571 by improving insulin signaling could be promising in treating or preventing cognitive
1572 dysfunctions. Moreover, intranasal administration of insulin and insulin analogues might be
1573 an efficient means of overcoming brain insulin resistance in the obese or diabetic state.
1574 Short-term studies have shown an improvement in peripheral insulin sensitivity and a
1575 reduction in FFAs. Up to now, only a small number of studies have investigated the effects of
1576 long-term intranasal insulin administration. These indicated weight-loss in normal-weight, but
1577 not obese men. Longitudinal studies on brain insulin action in humans are therefore urgently
1578 required. There are, notably, already first indications that maternal metabolism significantly
1579 influences brain insulin signaling in the fetus, suggesting that the metabolic status of the
1580 mother interacts with the functional organization of the fetal brain. These results show that
1581 central nervous insulin signaling is relevant – and malleable – even at very early stages of
1582 development. They also suggest that brain insulin signaling is a promising target for
1583 interventions aiming at the prevention and treatment of metabolic and cognitive disorders.

1584 **VII. Future perspectives**

1585 While brain insulin resistance can certainly be considered a common trait or potential link
1586 between obesity, T2D, and dementia, there is still no evidence on the trajectory of brain
1587 insulin resistance. Whereas dementia usually affects cognitive target regions of insulin

1588 action, obesity-associated brain insulin resistance has been predominantly traced in
1589 homeostatic and reward-processing areas. Hypothalamic insulin resistance may therefore be
1590 a precursor of brain insulin resistance in regions relevant for cognitive function. Alternatively,
1591 obesity and dementia/aging-associated brain insulin resistance may be independent
1592 processes. In the light of the plethora of functional connections in the brain and the loss of
1593 functional connectivity in T2D and dementia, insulin resistance in one region possibly alters
1594 other target regions over time. To tie up such loose ends, studies investigating brain insulin
1595 action need to cover the patients' whole life span. Preliminary findings in the human fetus
1596 suggest that brain insulin resistance plays a role in prenatal development. Hence, it is
1597 conceivable that brain insulin resistance is a cause rather than a consequence of
1598 obesity/T2D and that it is perhaps even a precursor to dementia. Recent therapeutic
1599 progress in treating brain insulin resistance with intranasal insulin sparks hope that the
1600 related decline in cognitive functions can be halted. Whether metabolic consequences of
1601 insulin resistance can also be treated by boosting brain insulin action is currently unclear.
1602 However, the findings summarized in this review clearly call for a thorough investigation of
1603 this question in the near future.

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1639 Figure legends

1640 **Figure 1.** Insulin receptor distribution in the rat brain as assessed using ^{125}I -labeled insulin.

1641 Circulating insulin, released into the blood stream by pancreatic beta cells, is transported into
1642 the brain via a saturable receptor-mediated pathway. As seen on the color-coded
1643 reconstruction of the autoradiographs (left), insulin binds to its abundantly distributed
1644 receptors in the olfactory bulb, cerebral cortex, hippocampus, hypothalamus, amygdala and
1645 septum, thereby inducing a number of central nervous as well as peripheral effects (see
1646 section III). Abbreviations on line drawings of brain section (right): CA1, CA1 of the
1647 hippocampus; Cg, cingulate cortex; CG, central grey; DG, dentate gyrus; ENT, entorhinal
1648 cortex; SNR, substantia nigra; Str, striate cortex; SU, subiculum (adapted from (176)).

1649 **Figure 2.** Overview of the central role of brain insulin resistance in cognitive and metabolic
1650 disturbances. Brain insulin resistance has been identified in obesity and T2D as well as in
1651 normal aging and dementia. Brain insulin resistance is associated with peripheral metabolic
1652 dysfunctions and with cognitive decline particularly with regard to memory function (as
1653 discussed in section IV). Factors such as adipose tissue, hormones, nutrition, certain genetic
1654 mutations, overnutrition and age are associated with brain insulin resistance.

1655 **Figure 3. Overview of brain regions mainly affected by dementia.** Brain regions within the
1656 default mode network are particularly vulnerable to aging and dementia. They are the first to
1657 develop amyloid deposition, show decreased glucose metabolism and a loss in functional

1658 connectivity. The disruption of functional connectivity and reduced cerebral glucose
1659 metabolism in these regions is related to the severity of peripheral insulin resistance and
1660 cognitive impairment. Hence, a potential overlap between brain regions associated with
1661 peripheral insulin resistance and AD has been proposed. Moreover, a loss in functional
1662 connectivity within this network has been observed in T2D and obesity. The default mode
1663 network is essential for higher cognitive functions like memory-related processes including
1664 the precuneus/posterior cingulate cortex, lateral temporal cortex, prefrontal regions and the
1665 hippocampus. The displayed brain regions associated with AD are a schematic overview of
1666 the default mode network on a standard anatomical image.

1667 **Figure 4. Insulin-sensitive brain regions** and their functions displayed on a standard
1668 anatomical template. Modern neuroimaging studies have revealed a significant insulin-
1669 induced brain response, mainly in the fusiform gyrus (white), prefrontal cortex (green and
1670 cyan), hippocampus (red), striatum (yellow), insular cortex (blue) and the hypothalamus
1671 (violet). For this purpose, oral glucose ingestion, the clamp technique or intranasal insulin
1672 application were used. To distinguish task-specific effects, insulin-stimulated brain activity is
1673 evaluated under spontaneous (resting-state) conditions or in response to particular tasks
1674 recruiting different cognitive domains such as memory. The hypothalamus, fusiform gyrus,
1675 striatal regions and the prefrontal cortex appear to be particularly vulnerable to obesity-
1676 associated insulin resistance. General functions of the displayed areas include:
1677 hypothalamus (violet), control of vital bodily functions such as food and fluid homeostasis;
1678 striatum (yellow), reward-related behavior including food reward; insular cortex (blue), the
1679 anterior part of the insula is the primary taste cortex of the brain, contributing to the gustatory
1680 perception represented by taste, smell and the visual input of food; fusiform gyrus (blue),
1681 visual attention, recognition of visual stimuli including food cues; hippocampus (red), memory
1682 function, spatial navigation; lateral prefrontal cortex (bright green), cognitive function
1683 including inhibitory control of eating behavior; orbitofrontal cortex and anterior cingulate
1684 cortex (cyan), reward/motivation-based decision-making.

1685 **Figure 5. Hypothalamus functional connectivity network.** The hypothalamus has been
1686 extensively studied on account of its its fundamental role in physiological processes essential
1687 for survival and the control of vital bodily functions. Rodent models have provided us with a
1688 particularly detailed blueprint of the hypothalamic insulin-signaling pathway, revealing a
1689 profound regulatory influence of hypothalamic subregions in energy intake and feeding
1690 behavior. However, in humans, the specific role of different hypothalamic nuclei in insulin
1691 signaling in humans so far has remained obscure. While the resolution of anatomical MRI is
1692 sufficient to distinguish lateral and medial subregions of the hypothalamus, their functional
1693 dissection is much more challenging. Recent efforts to investigate the functional connections
1694 of the hypothalamus suggest that the medial and lateral hypothalamus tap into different parts
1695 of the dopaminergic fronto-striatal circuitry. This figure shows that both the lateral and medial
1696 hypothalamus (LH and MH), in humans, are functionally connected with reward-processing
1697 regions as well as higher cognitive brain regions such as the posterior cingulate
1698 cortex/precuneus, prefrontal cortex and hippocampus. The hypothalamus network is overlaid
1699 on a standard anatomical template (adapted from (218)).

1700 **Figure 6. Intranasal insulin administration reduces body weight and fat in healthy,**
1701 **normal-weight men.** Average body weight (\pm SEM) during 8 weeks of intranasal insulin or
1702 placebo administration and in a follow-up examination (**left panel**) and body fat (\pm SEM) after
1703 8 weeks of treatment (**right panel**). One group of subjects received 160 U of insulin per day
1704 (black circles/bar), the other group received placebo (white circles/bar). Values are baseline-
1705 adjusted as derived from analyses of covariance. $n=12$ for each group, * $p \leq 0.05$. (adapted
1706 from (144)).

1707 **Figure 7. Postprandial intranasal insulin administration reduces appetite and snack**
1708 **intake in healthy women. (A)** Mean (\pm SEM) appetite ratings on visual analog scales
1709 anchored at 0 and 100 given by female participants who received insulin (160 U; black dots
1710 and solid lines; $n=15$) at 1300 h (nose symbol) and control subjects (placebo administration;
1711 white dots and dashed lines; $n=15$). Standard lunch (~400 kcal) was consumed at 1230 h

1712 and snacks were offered at 1505 h. **(B)** Mean (\pm SEM) snack intake (kcal) assessed at 1505
1713 h under the pretext of a taste rating session in the placebo group (white bars) and the insulin
1714 group (black bars). Three different types of cookies were offered. **(C)** Mean (\pm SEM) snack
1715 palatability rated on visual analog scales anchored at 0 (not palatable) and 100 (highly
1716 palatable) during the snack test at 1505 h. * $p < 0.05$ for comparisons between groups (t-
1717 tests). Adapted from (145).

1718 Figure 8. **Intranasal insulin improves declarative memory in normal-weight and obese**
1719 **subjects.** Mean sum scores in a delayed recall of words (e.g., car, tree, chocolate) learned
1720 one week earlier in **(A)** normal-weight (both groups, $n = 19$) and **(B)** obese subjects (both
1721 groups, $n = 15$) assessed after eight weeks of intranasal administration of regular human
1722 insulin (160 U/day, black bars) or placebo (white bars). Baseline-adjusted means \pm SEM are
1723 indicated. * $P \leq 0.05$, for pairwise comparisons between groups. Adapted from (A) (22) and
1724 (B) (143).

1725 Figure 9. **Intranasal insulin administration improves whole-body insulin sensitivity.** In
1726 this experiment, peripheral insulin sensitivity was assessed by hyperinsulinemic-euglycemic
1727 glucose clamp in combination with intranasal insulin administration. A) Change in peripheral
1728 insulin sensitivity after intranasal insulin application is associated with hypothalamic activity in
1729 response to intranasal insulin. The hypothalamus is marked in red on a standardized brain.
1730 The scatter plot shows the change in insulin sensitivity index in eight lean and three obese
1731 participants from before to after intranasal insulin application. This is plotted against
1732 hypothalamic activity after intranasal insulin adjusted for baseline. B) Change in peripheral
1733 insulin sensitivity after intranasal insulin application. In lean participants, insulin sensitivity
1734 improved more significantly after application of insulin than after placebo spray application. In
1735 obese participants, no difference was detected between insulin and placebo spray.
1736 Improvement in the insulin sensitivity index different significantly between lean and obese
1737 participants. Data are mean \pm SEM. Adapted from (171)

1738 Figure 10. **Summary of physiological and behavioral effects of brain insulin action.**

1739 Mainly by means of intranasal insulin, numerous advantages effects of brain insulin on
1740 metabolism and cognition have been identified. Most notably, brain insulin action inhibits
1741 food intake, reduces body weight, increases peripheral insulin sensitivity, decreases
1742 gluconeogenesis and lipolysis in the fasting state and increases postprandial thermogenesis.
1743 Furthermore, brain insulin action has been identified as a predictor for successful weight loss
1744 success. With respect to cognitive function, brain insulin action improves visual and spatial
1745 episodic memory, working memory as well as declarative memory. Brain insulin action has
1746 also been shown to improve mood and counteract cognitive dysfunction in dementia. More
1747 details on these effects can be found in section III.

1748 Figure 11. **Brain insulin sensitivity is associated with visceral adipose tissue.** A)

1749 Segmentation of visceral (VAT) and subcutaneous fat using magnetic resonance imaging
1750 (MRI) by means of a T1-weighted contrast. B) Sketch of a magnetoencephalograph (MEG)
1751 depicting the superconducting sensors that measure the magnetic field generated by the
1752 electric activity of neurons. C) Hypothalamic brain activity in response to intranasal insulin
1753 compared to placebo in 25 lean and 23 overweight/obese adults overlaid on a standardized
1754 brain (adapted from (220)). D) High brain insulin sensitivity before lifestyle intervention is
1755 associated with loss in visceral adipose tissue after 9 months of lifestyle intervention. Scatter
1756 plot shows that changes in VAT in 28 participants correlated negatively with insulin-
1757 stimulated cortical theta activity at baseline, as measured by MEG during a
1758 hyperinsulinaemic-euglycaemic clamp (adapted from (361)). E) Scatter plot shows a
1759 significant positive correlation between the change in hypothalamic activity 15 min after
1760 insulin application and VAT adjusted for other fat compartments in 25 lean and 23
1761 overweight/obese participants (adapted from (220)).

1762 Figure 12. **Maternal insulin sensitivity is associated with oral glucose-induced changes**

1763 **in fetal brain activity.** A) Schematic of fetal biomagnetic field recording. Magnetic fields
1764 generated by electrical currents in the fetus are recorded by highly sensitive magnetic

1765 sensors (SQUID) (for further details please see supplements) B) Pregnant mother seated on
1766 the fetal MEG device. Auditory stimulation is delivered by an air-filled balloon positioned
1767 between mother and recording device, which is well suited to the investigation of fetal brain
1768 development. Since it decreases over gestation, the latency of the evoked fields is used to
1769 assess the functional maturation. C) Graphs show maternal glucose and insulin levels and
1770 fetal response latencies during an oral glucose tolerance test in insulin-sensitive and insulin-
1771 resistant mothers as well as in mothers with gestational diabetes (GDM). The latency of the
1772 fetal auditory response decreases after a glucose challenge to the healthy mother. The
1773 endogenous insulin-induced decrease in latency was not observed in the mothers of fetuses
1774 with gestational diabetes indicating that the metabolic status of the mother interacts with the
1775 functional organization of the fetal brain. Data are shown as mean \pm SEM (adapted from (228,
1776 229)).

1777 **Figure 13.** An overview of brain regions particularly affected by cerebral insulin resistance in
1778 response to endogenous or exogenous insulin stimulation (in blue). These regions include
1779 the prefrontal cortex, fusiform gyrus, hippocampus, striatum, insular cortex and the
1780 hypothalamus. The functional details of these regions are explained in figure 4. There is an
1781 overlap between regions affected by cerebral insulin resistance and AD especially in the
1782 prefrontal cortex and hippocampus (indicated by dashed circles). The displayed brain regions
1783 associated with cerebral insulin resistance are a schematic overview on a standard
1784 anatomical image.

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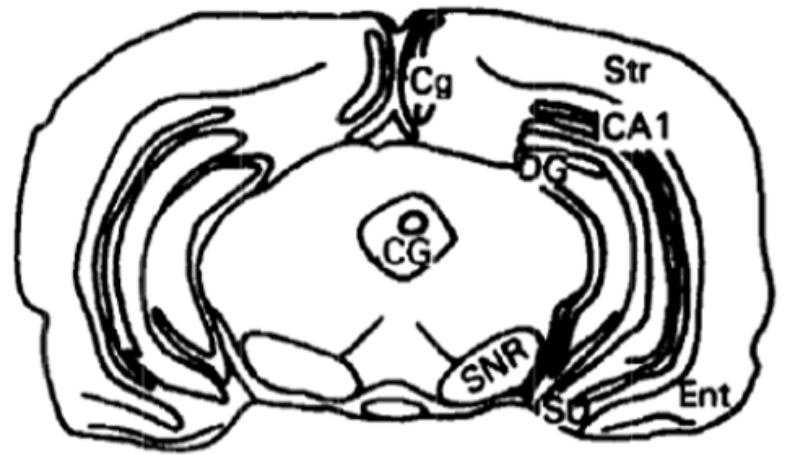
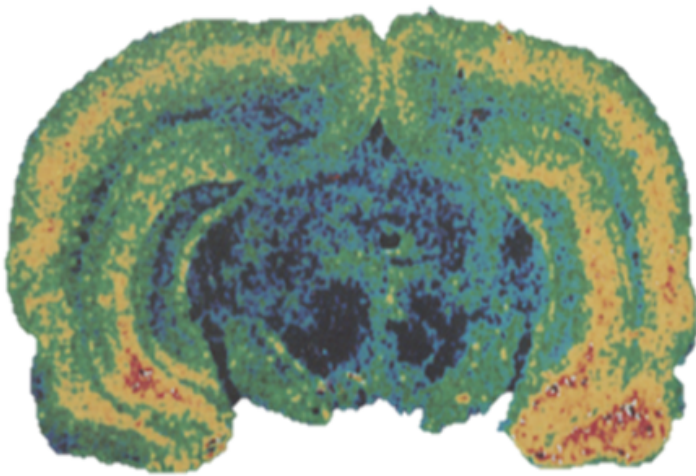
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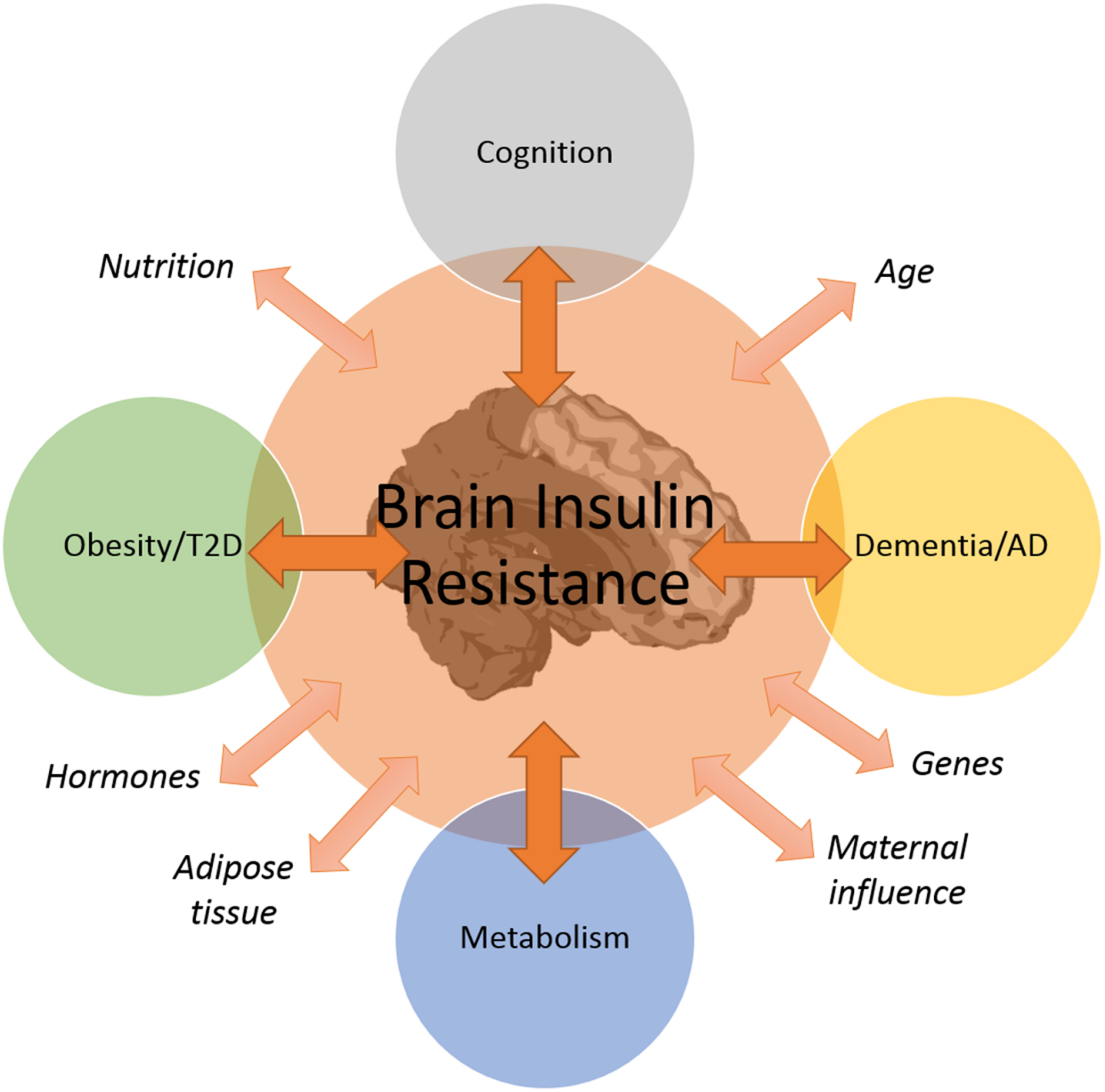
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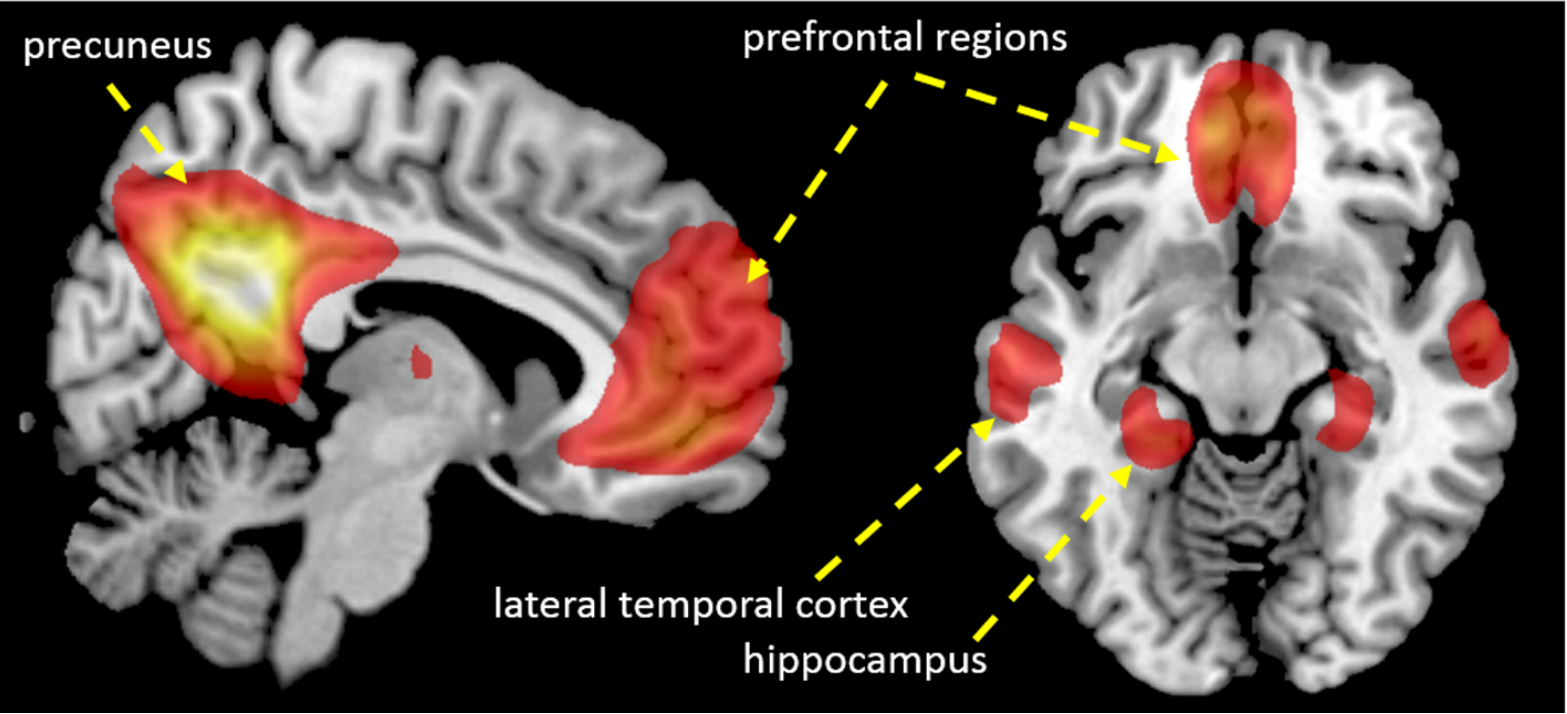
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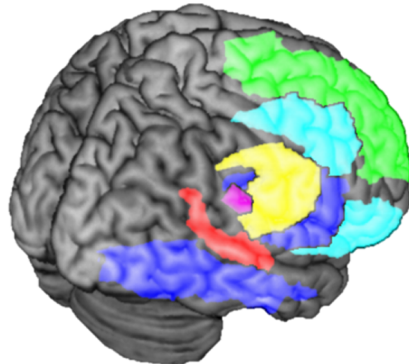
^{125}I -labeled insulin receptor distribution of rat brain



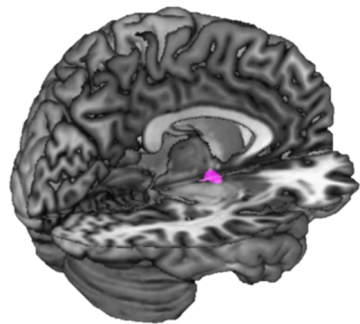


Brain regions associated with Alzheimers Disease

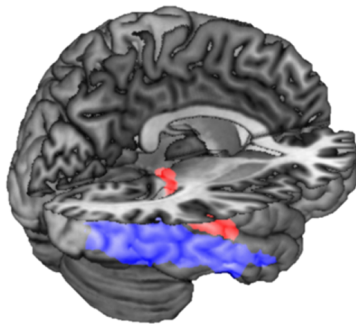




Insulin sensitive brain regions

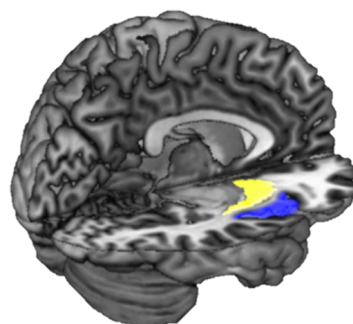


Homeostasis
Hypothalamus



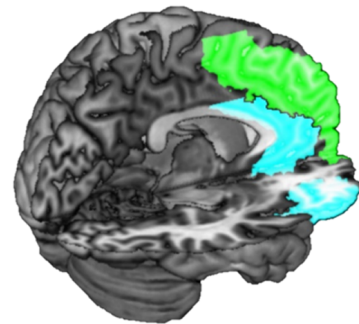
Memory
Hippocampus

Attention
Fusiform gyrus



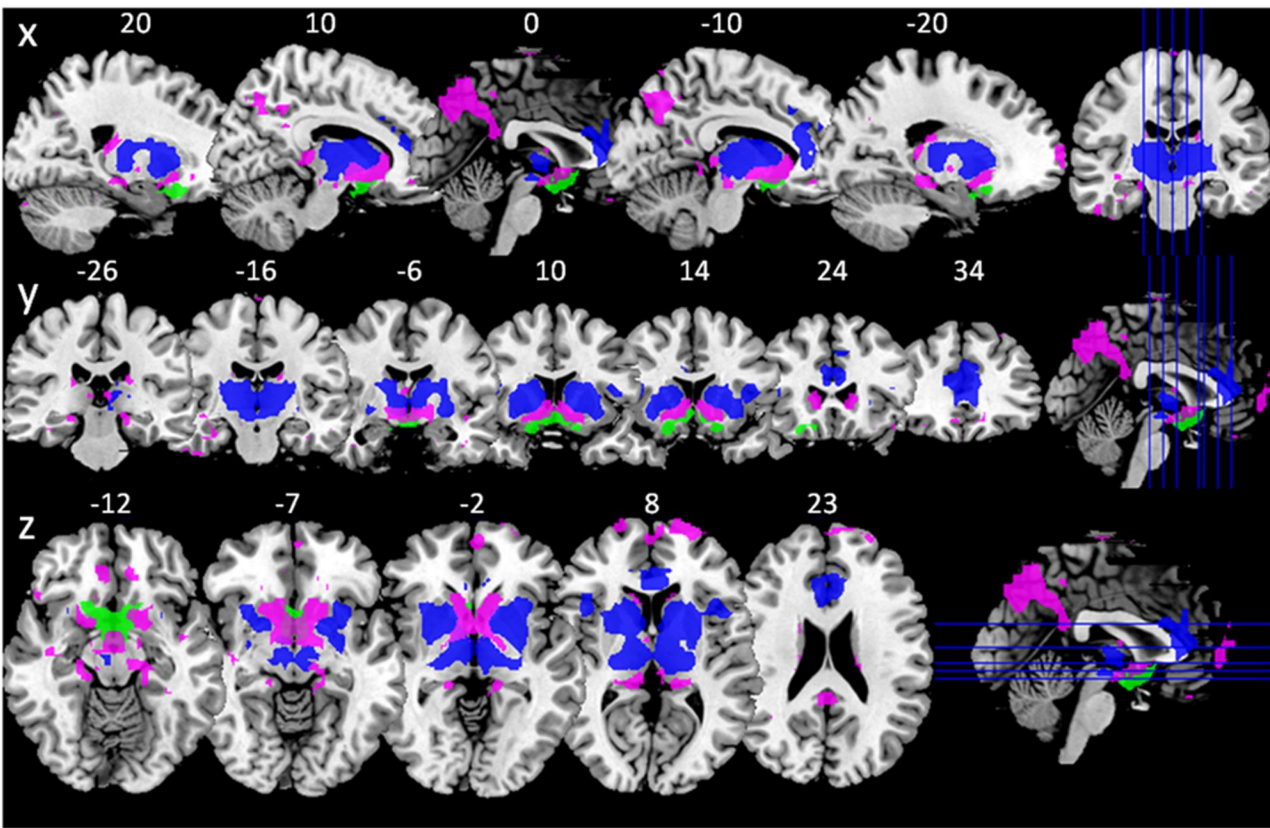
Reward
Striatum

Sensory perception
Insular cortex

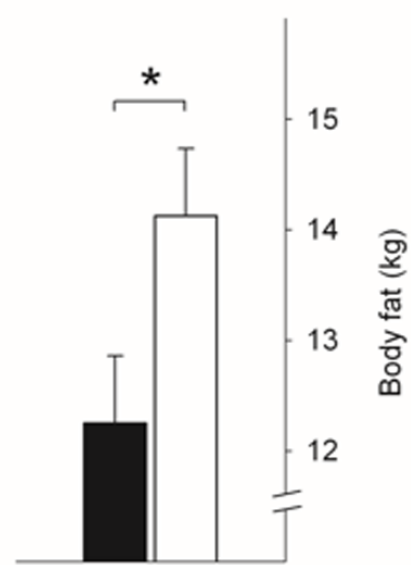
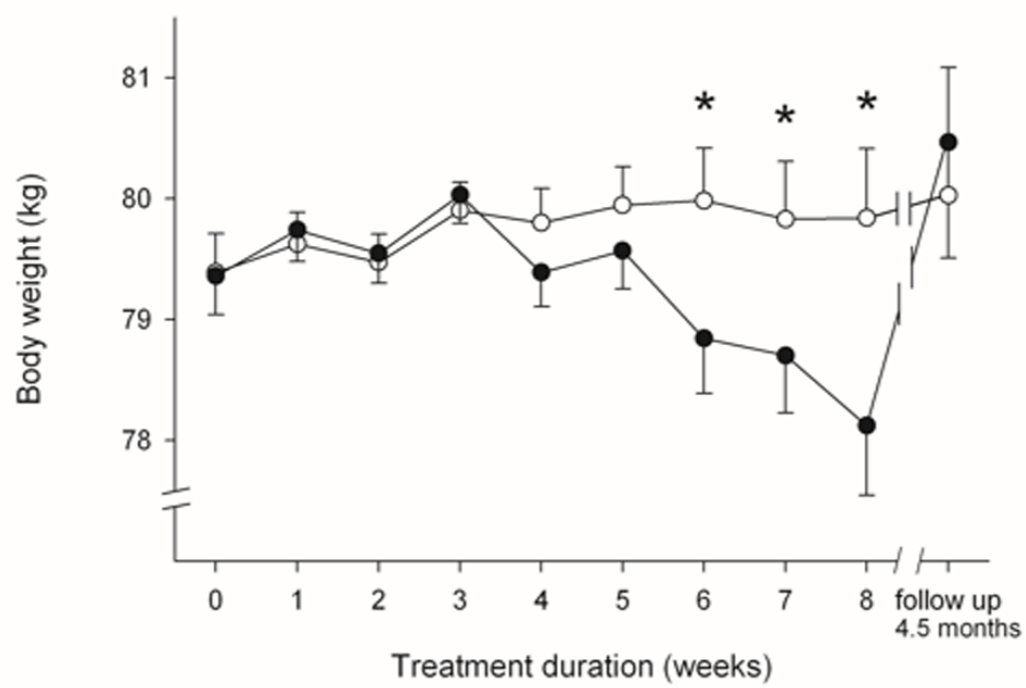


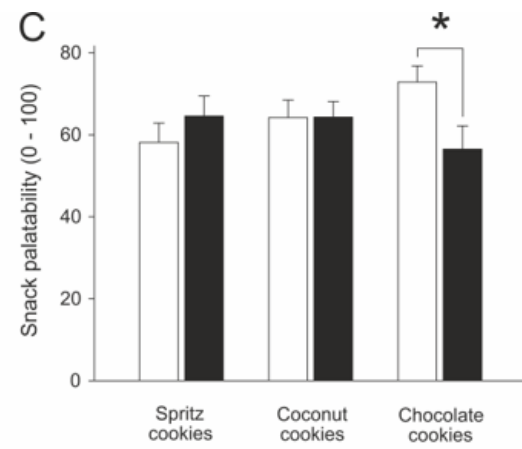
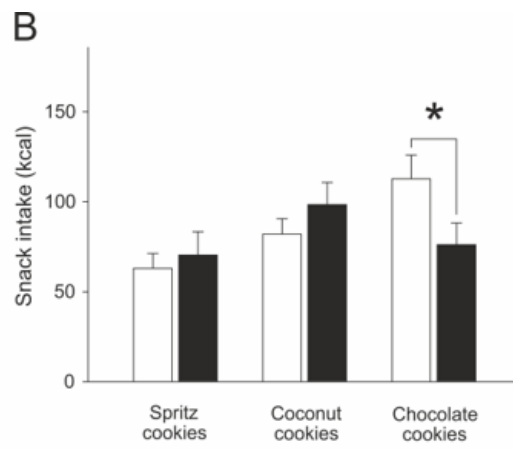
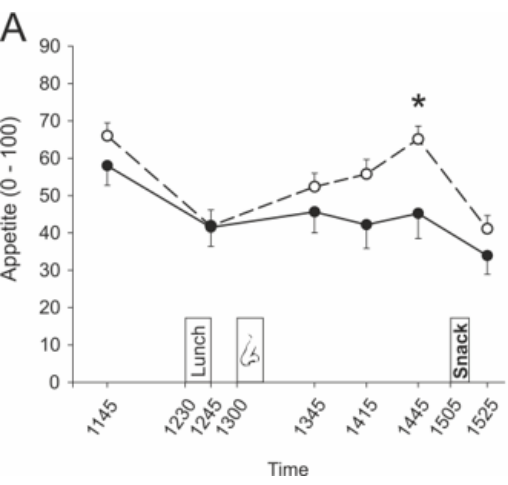
Inhibition
Lateral prefrontal cortex

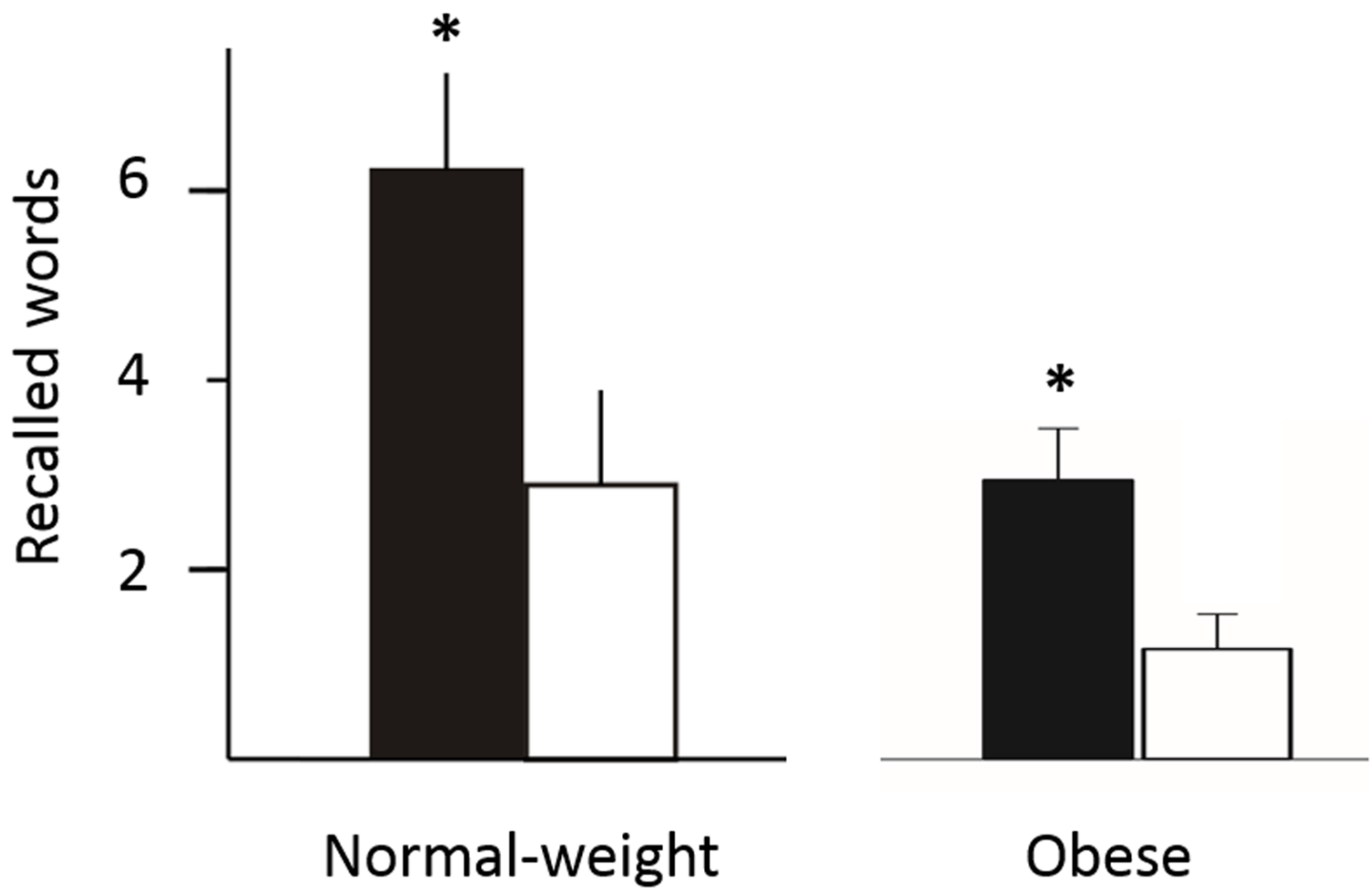
Motivation
Orbitofrontal cortex
Anterior cingulate cortex

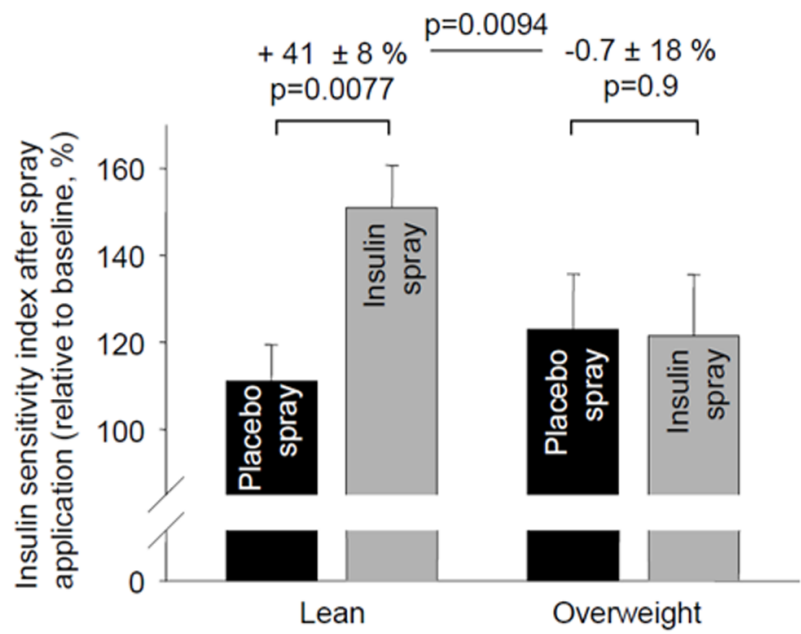
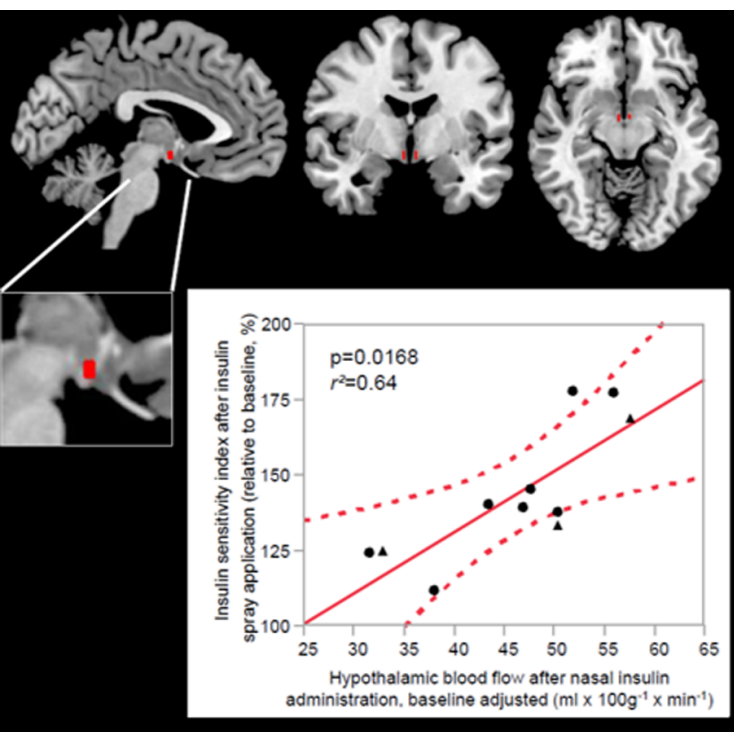


■ LH ■ MH ■ LH&MH









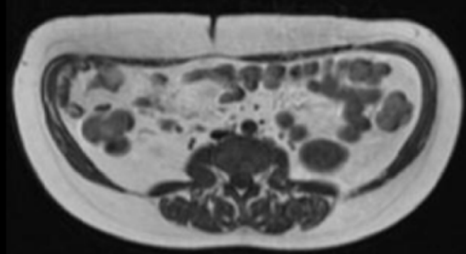


- **Memory**
- **Mood**
- **Peripheral insulin sensitivity**
- **Postprandial thermogenesis**
- **Blood pressure**

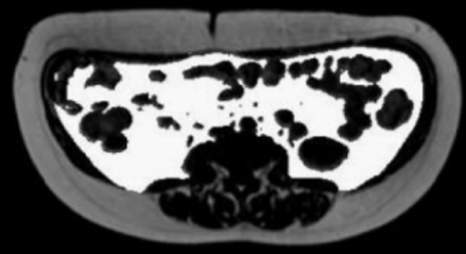


- **Food intake**
- **Body weight**
- **Gluconeogenesis**
- **Lipolysis**
- **Olfaction**

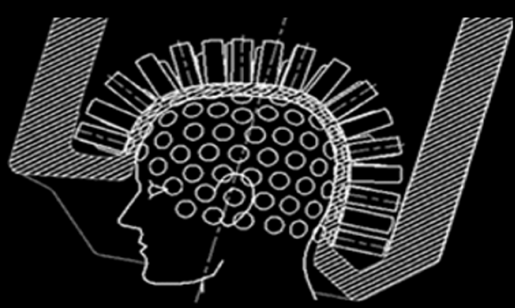
A MRI: T1-weighted contrast



Visceral fat highlighted



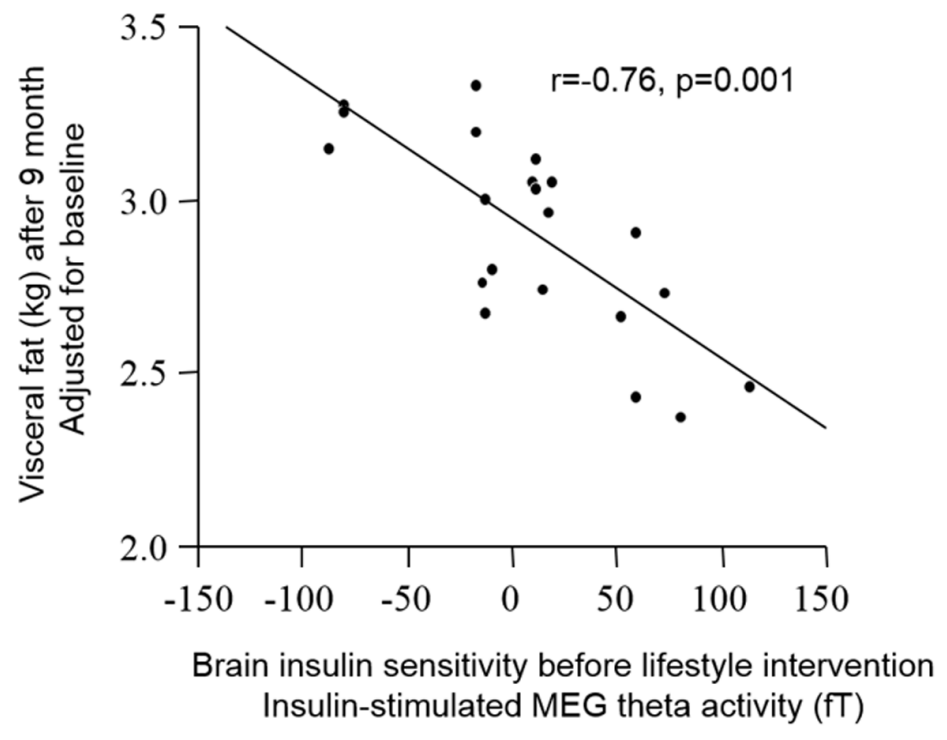
B MEG



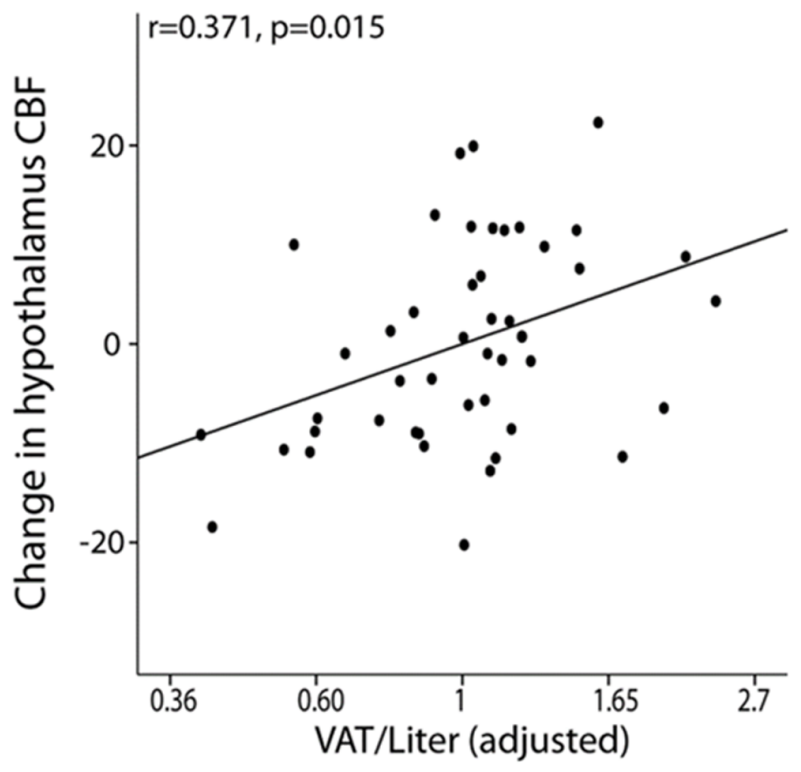
C fMRI

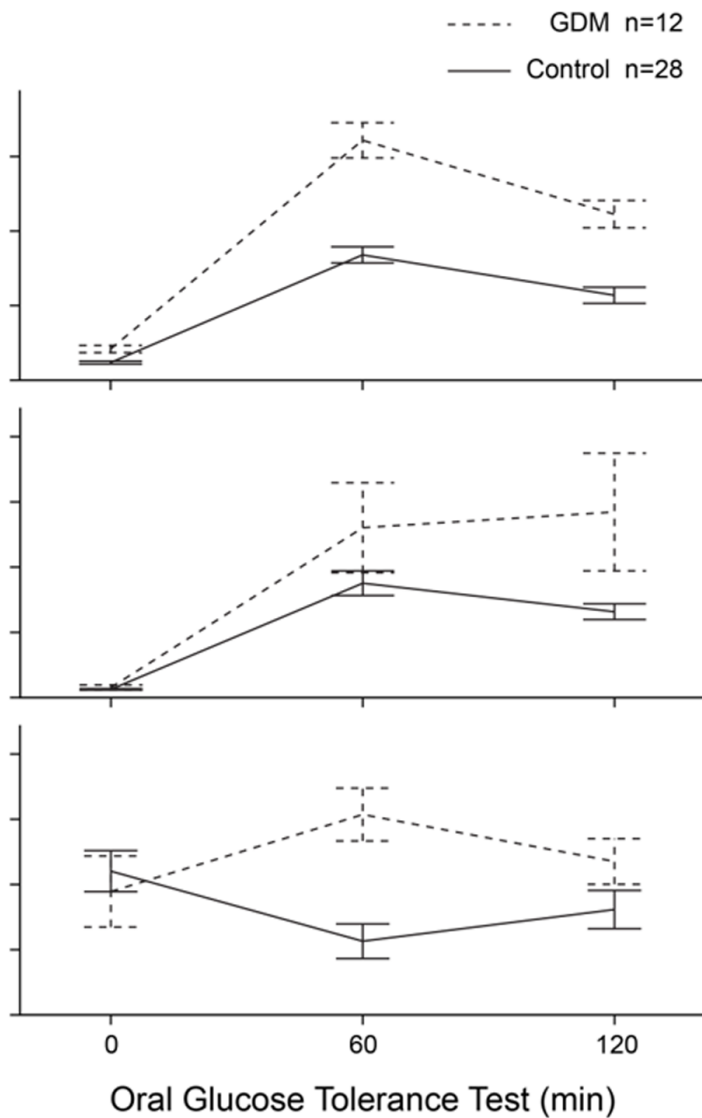
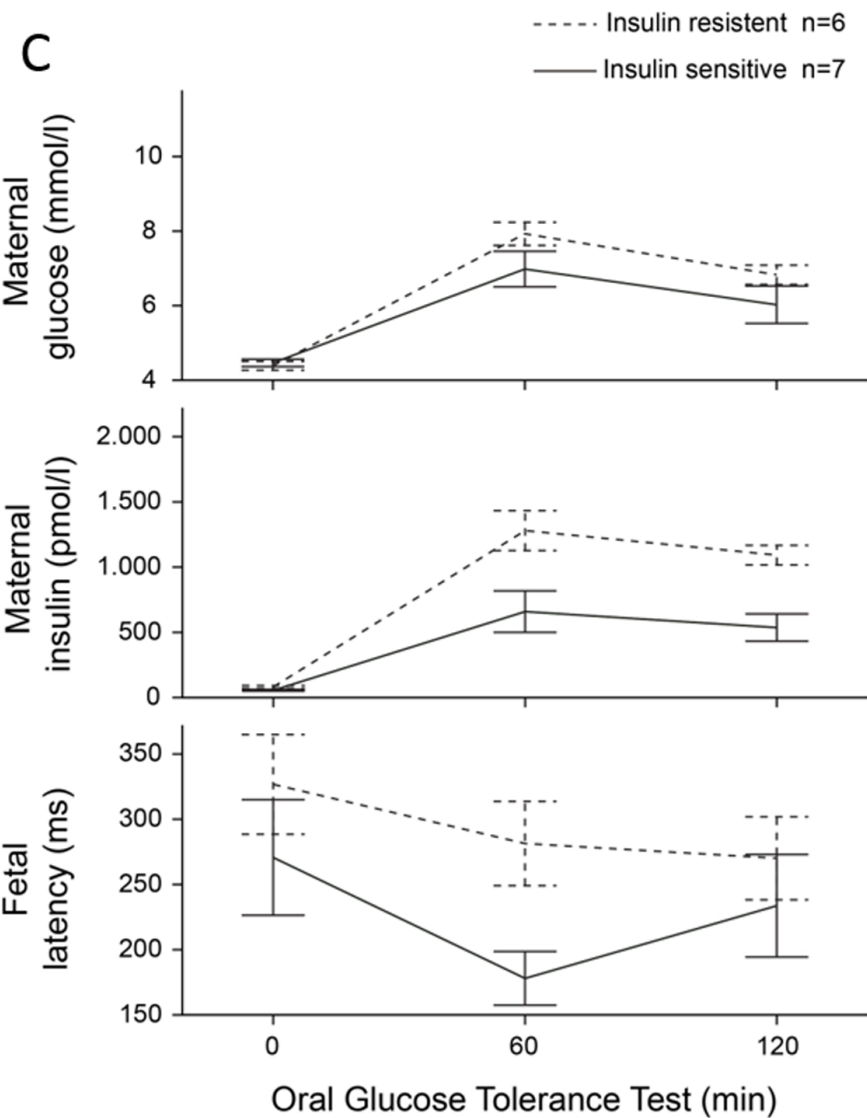
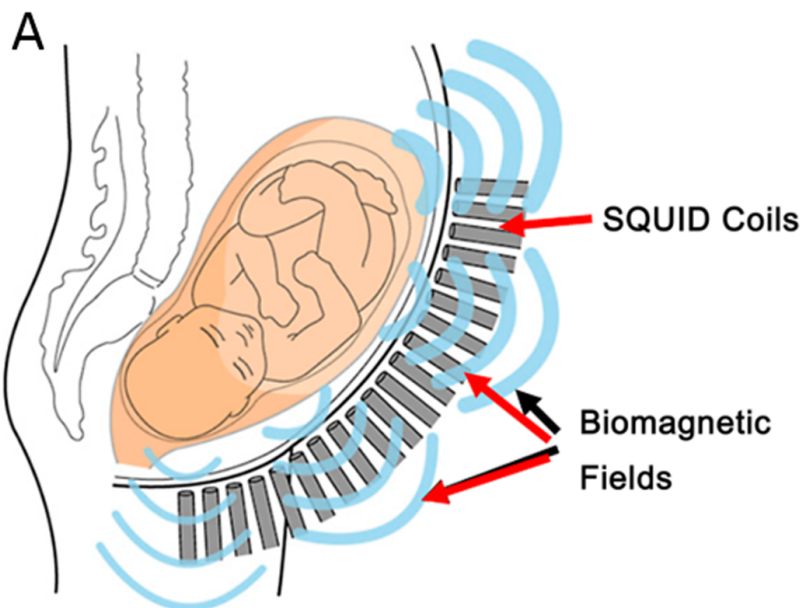


D



E





Overlap between regions affected by cerebral insulin resistance and AD (red dashed circles)

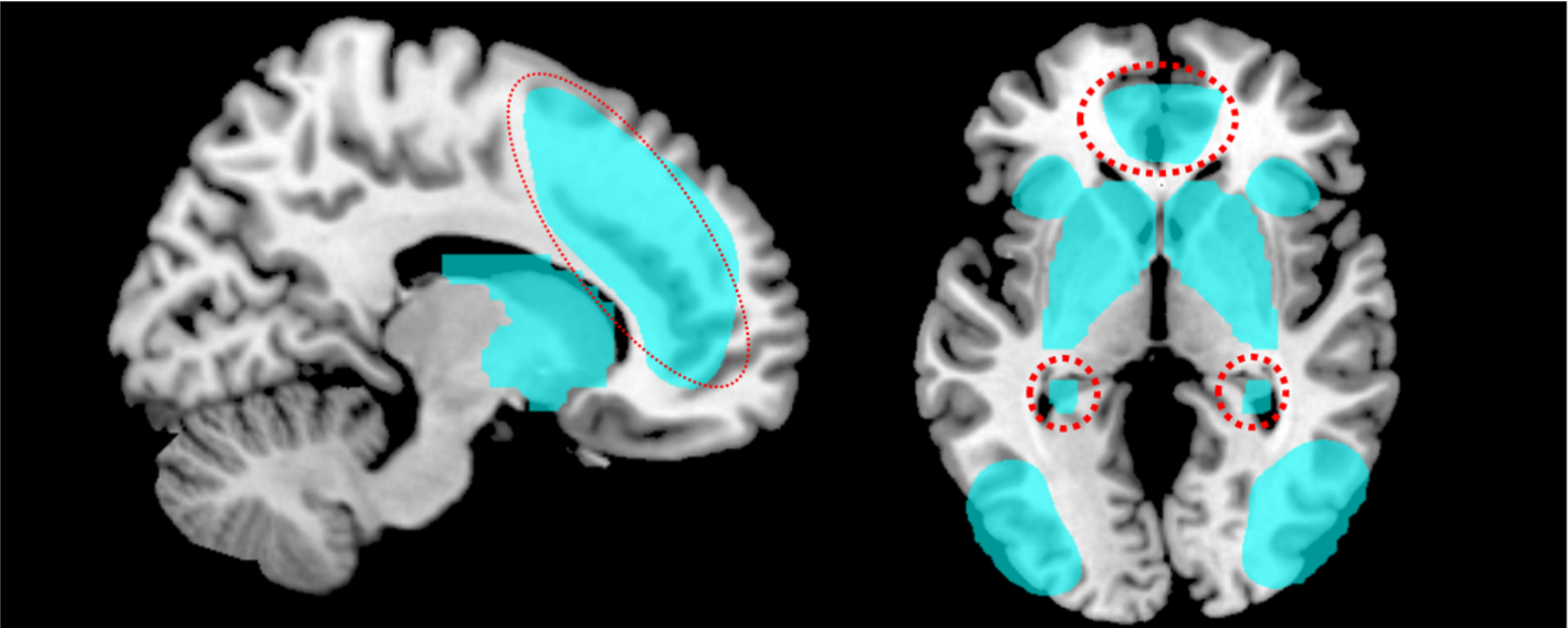


Table 1. Insulin administration techniques for the investigation of brain insulin action		
Method	Advantage(s)	Limitation(s)
Endogenous stimulation of insulin release		
Mixed-meal tolerance test	The intake of a defined meal stimulates endogenous insulin secretion. The mixture of different nutrients in one meal resembles real-life situations.	A large number of physiological reactions are triggered that might modify insulin secretion or act directly on the brain due to the rewarding properties of food.
Oral glucose tolerance test (oGTT)	The oGTT is a more standardized way of energy intake. After oral ingestion of a 75g glucose solution, blood glucose rises and a number of endocrine factors are released into the circulation, including insulin.	Glucose, insulin and other circulating factors act directly on peripheral tissues, making it difficult to differentiate these peripheral effects from central actions.
Intravenous glucose tolerance test	Insulin secretion is stimulated by an intravenous glucose bolus. This has the advantage of stimulating insulin release without major effects on a number of other endocrine systems.	The route of administration does not reflect the physiological situation. This technique introduces a sharp non-physiological rise in circulating insulin levels.
Exogenous insulin administration		
Hyperinsulinemic euglycemic clamp	Iv. infused insulin continuously reaches the brain, while glucose is kept constant at e.g. normal fasting levels.	Insulin effects are not limited to the brain but occur in most tissues throughout the body, rendering the dissection of peripheral and central effects difficult.
Intranasal Insulin	Insulin enters the nasal cavity and is transported to the CNS, bypassing the blood brain barrier. Hence, systemic exposure is minimized compared to other administration paradigms, disentangling peripheral from central insulin effects.	Very small amounts of the intranasally administered insulin are absorbed into circulation. The route of administration does not reflect the physiological situation.

Table 2. Neuroimaging methods for the investigation of brain insulin action in vivo in humans		
Technique	Measured signal/resolution	Methodological details
Functional MRI	Indirect measures of neuronal activity: Cerebral blood flow (CBF) Blood oxygen level dependent signal (BOLD) <i>Time resolution: seconds</i> <i>Spatial resolution: at 3 Tesla approx. 2-3 mm</i>	BOLD relies on the different magnetic properties of oxygenated and deoxygenated hemoglobin. Due to increases in brain activity, the ratio between oxy- to deoxyhemoglobin changes after an enhanced release of oxygen and increased local CBF. The subsequent decrease in the concentration of deoxygenated hemoglobin, which is paramagnetic, attenuates the local distortion of the magnetic field. Using arterial spin labelling, the direct change in CBF can be measured, providing an absolute quantification of the neural signal (ml/100g brain tissue/min). Arterial blood water flowing into the brain is marked (magnetically 'labeled') by a radiofrequency pulse. The decay of that signal is then measured as a proxy for neural activity.
PET	Probe tissue concentrations of particular molecules of interest using radioactive tracers <i>Time resolution: seconds</i> <i>Spatial resolution: approx. 0.5-1 cm</i>	Uses the application of radioactive tracers and measures signals by detection of gamma rays. PET typically uses isotopes with a short half-life, which are incorporated either into compounds normally used by the body as glucose or water or into molecules that bind to receptors. Examples: cerebral blood flow by H ₂ ¹⁵ O; glucose metabolism by ¹⁸ F fluorodeoxyglucose (FDG)
EEG	Neuronal activity <i>Time resolution: <1ms</i> <i>Spatial resolution: >1mm</i>	Measures electric activity of neurons, mostly originating within the cortex, using electrodes attached to the head.
MEG	Neuronal activity <i>Time resolution: <1ms</i> <i>Spatial resolution: >1mm</i>	Measures the magnetic field generated by electric activity, mostly originating within the cortex, by superconducting sensors distributed in a helmet covering the whole head.

Abbreviations: EEG, electroencephalography; MEG, magnetoencephalography; MRI, magnetic resonance imaging; PET, position emission tomography