

# **Association of generic health-related quality of life (EQ-5D dimensions) and inactivity with lung function in lung-healthy German adults: Results from the KORA studies F4L and Age**

Running title: Association of EQ-5D and inactivity with healthy lung function

Agnes Luzak<sup>1</sup> (agnes.luzak@helmholtz-muenchen.de),  
Stefan Karrasch<sup>1,2</sup> (stefan.karrasch@helmholtz-muenchen.de),  
Margarethe Wacker<sup>3</sup> (margarethe.wacker@helmholtz-muenchen.de),  
Barbara Thorand<sup>4</sup> (thorand@helmholtz-muenchen.de),  
Dennis Nowak<sup>2,5</sup> (dennis.nowak@med.uni-muenchen.de),  
Annette Peters<sup>4</sup> (peters@helmholtz-muenchen.de),  
Holger Schulz<sup>1,5</sup> (schulz@helmholtz-muenchen.de)

<sup>1</sup>Institute of Epidemiology I, Helmholtz Zentrum München - German Research Center for Environmental Health, Ingolstädter Landstr. 1, 85764 Neuherberg, Germany

<sup>2</sup>Institute and Outpatient Clinic for Occupational, Social and Environmental Medicine, University Hospital of Munich (LMU), Ziemssenstr. 1, 80336 Munich, Germany

<sup>3</sup>Institute of Health Economics and Health Care Management, Helmholtz Zentrum München - German Research Center for Environmental Health, Ingolstädter Landstr. 1, 85764 Neuherberg, Germany

<sup>4</sup>Institute of Epidemiology II, Helmholtz Zentrum München - German Research Center for Environmental Health, Ingolstädter Landstr. 1, 85764 Neuherberg, Germany

<sup>5</sup>Comprehensive Pneumology Center Munich (CPC-M), Member of the German Center for Lung Research, Max-Lebsche-Platz 31, 81377 Munich, Germany

Corresponding author:  
Prof. Dr. Holger Schulz  
Institute of Epidemiology I  
Helmholtz Zentrum München  
German Research Center for Environmental Health  
Ingolstädter Landstr. 1, 85764 Neuherberg, Germany  
Tel.: +49 89 3187 4119  
Fax: +49 89 3187 3380  
Email: schulz@helmholtz-muenchen.de

Key words: quality of life, EQ-5D, Physical activity, Spirometry, FEV<sub>1</sub>, FVC

## 1 **Abstract**

### 2 Purpose

3 Among patients with lung disease, decreased lung function is associated with lower health-  
4 related quality of life. However, whether this association is detectable within the  
5 physiological variability of respiratory function in lung-healthy populations is unknown. We  
6 analyzed the association of each EQ-5D-3L dimension (*mobility, self-care, usual activities,*  
7 *pain/discomfort, anxiety/depression*), and self-reported physical inactivity with spirometric  
8 indices in lung-healthy adults. Modulating effects between inactivity and EQ-5D dimensions  
9 were considered.

### 10 Methods

11 1132 non-smoking, apparently lung-healthy participants (48% male, aged 64±12 years) from  
12 the population-based KORA F4L and Age surveys in Southern Germany were analyzed.  
13 Associations of each EQ-5D dimension and inactivity with spirometric indices serving as  
14 outcomes (forced expiratory volume in 1s (FEV<sub>1</sub>), forced vital capacity (FVC), FEV<sub>1</sub>/FVC, and  
15 mid-expiratory flow) were examined by linear regression, considering possible confounders.  
16 Interactions between EQ-5D dimensions (no problems/any problems) and inactivity (four  
17 categories of time spent engaging in exercise: inactive to most active) were assessed.

### 18 Results

19 Amongst all participants 42% reported no problems in any EQ-5D dimension, 24% were  
20 inactive and 32% exercised >2 hours/week. After adjustment, FEV<sub>1</sub> was -99ml (95%CI:-166;-  
21 32) and FVC was -109ml (95%CI:-195;-24) lower among subjects with *mobility* problems.  
22 Comparable estimates were observed for *usual activities*. Inactivity was negatively  
23 associated with FVC ( $\beta$ -coefficient:-83ml, 95%CI:-166;0), but showed no interactions with  
24 EQ-5D.

25 Conclusions

26 Problems with *mobility* or *usual activities*, and inactivity were associated with slightly lower  
27 spirometric parameters in lung-healthy adults, suggesting a relationship between perceived  
28 physical functioning and volumetric lung function. Thus, prevention of inactivity appears to  
29 be reasonable even in lung-healthy adults.

30

## 31 **Introduction**

32 Health-related quality of life (HRQL) is reduced in patients with chronic lung diseases such as  
33 chronic obstructive pulmonary disease (COPD) [1-3]. While various factors, including  
34 impaired lung function, can lead to a decrease in HRQL with increasing disease severity,  
35 physical activity has been found to be associated with better HRQL as well as less hospital  
36 admissions in COPD [2, 4-6]. Among subjects with asthma, the forced expiratory volume in 1  
37 second (FEV<sub>1</sub>) differed between inactive and active participants by -5 ml/year (95%  
38 confidence interval (CI): -13; 3) [7]. Based on these findings in patients with respiratory  
39 diseases, it is possible that HRQL or being physically inactive may already be associated with  
40 lung function among apparently lung-healthy subjects or among those in transition to lung  
41 disease. Physiological variation of lung function is mainly related to age, height, gender and  
42 ethnicity in lung-healthy subjects, but is modulated by the continuous interplay between  
43 adverse and protective biological, environmental and lifestyle factors [8-11]. These factors  
44 contribute to the considerable inter-individual variability of lung function measures  
45 observed during lung development and age-related decline [9-11]. This is also indicated by  
46 the increasing variability of the coefficients of variation for lung function parameters with  
47 age, e.g. for FEV<sub>1</sub> and forced vital capacity (FVC) from about 11% at age 15 to nearly 18% at  
48 age 80 years [12].

49 While the association between lung function and HRQL is well established in patients with  
50 manifest disease [1-3], the relation between respiratory function variability and HRQL in  
51 lung-healthy subjects is less studied.

52 At a population level, two studies from the United Kingdom investigated the association  
53 between FEV<sub>1</sub> and a 36-item questionnaire on general health status (Short Form-36, SF-36)

54 in adults aged 40-79 years and 50-74 years, respectively [13, 14]. In both studies, positive  
55 associations of self-reported physical functioning based on the SF-36 with FEV<sub>1</sub> were found.  
56 Subjects were more likely to report a good functional health if they were among the top  
57 20% of the FEV<sub>1</sub> distribution of the study population [13].

58 To our knowledge, no study so far has examined the relationship between the EuroQol 5  
59 dimensions (EQ-5D) questionnaire as a generic health-related quality of life instrument, and  
60 lung function in a lung-healthy, population-based cohort with a comprehensive set of  
61 spirometric measures.

62 The EQ-5D has been widely used to assess or compare health status across different  
63 populations [2, 15-19]. It covers five dimensions of health: *mobility, self-care, usual*  
64 *activities, pain/discomfort, and anxiety/depression*. As a short and practical preference-  
65 based measure, it offers the possibility to assess each dimension of health separately, or as  
66 an index-based utility score ranging from 0 to 1 (EQ-5D utility) with higher scores meaning  
67 better health [15]. Results from a comprehensive review revealed a range of EQ-5D utility  
68 from 0.42 to 0.93 in asthma and 0.52 to 0.84 in COPD studies, decreasing with increasing  
69 disease severity [2]. As expected, HRQL is higher in the general population; a survey among  
70 1966 German participants reported a mean utility index of 0.94 in males and 0.92 in females  
71 (based on German reference values) [19, 20].

72 In the present study including a lung-healthy, non-smoking, German population derived  
73 from a population-based sample with an expected high overall HRQL, we aimed to  
74 investigate whether specific health dimensions of the EQ-5D are associated with spirometric  
75 indices, also considering possible sex differences. Since physical activity was found to be  
76 associated with better HRQL [4, 5], and further, associations between physical inactivity and

77 decreased lung function have been reported [21, 22], we also assessed whether a direct  
78 association exists between physical inactivity and lung function, and whether inactivity has a  
79 modulating role in the association of EQ-5D dimensions with spirometric indices.

80

## 81 **Methods**

### 82 **Study Population**

83 The KORA (Cooperative Health Research in the Region of Augsburg) research platform  
84 comprises several population-based cohort studies established in 1996. Regular follow-up  
85 examinations are conducted within KORA as described previously [23, 24]. The present  
86 analysis was based on the KORA F4L survey, which is the three-year follow-up of the KORA  
87 F4 study including participants with lung function measurements, and the KORA Age survey.  
88 The KORA F4L and KORA Age studies were approved by the responsible ethics committee of  
89 the Bavarian Medical Association. Written informed consent was obtained from all  
90 participants, and all investigations were carried out in accordance with the Declaration of  
91 Helsinki.

92 Spirometric measurements were obtained from 1051 adults aged 45–65 years of the KORA  
93 F4L follow-up, examined in 2010, and from 935 participants aged 65–90 years of the KORA  
94 Age study, examined in 2009. All the participants provided information on physical  
95 inactivity. For two participants from KORA Age no information on the EQ-5D was available.  
96 29 (1.5%) participants did not report on all health dimensions; of those, 25 (86%) had one  
97 missing only. Information on self-reported physician diagnoses of common diseases  
98 including asthma, hay fever, stroke or myocardial infarction, current medication intake up to

99 seven days before examination, as well as sociodemographic variables, was obtained from  
100 standardized interviews and questionnaires.

101 Since the present study focusses on apparently lung-healthy subjects, from the participants  
102 with valid spirometry who provided information on EQ-5D and inactivity (N=1972), those  
103 reporting (i) a doctor's diagnosis of emphysema, asthma, chronic bronchitis or COPD, or (ii)  
104 the current use of pulmonary medication including inhaled sympathomimetics,  
105 anticholinergics, and steroids, or oral leukotriene antagonists, or (iii) respiratory symptoms,  
106 i.e. cough or phlegm lasting more than 3 month a year, or (IV) subjects with a measured  
107 FEV<sub>1</sub>/FVC <0.7, were excluded from all analyses (N=692). Additionally, current smokers were  
108 excluded in order to avoid potential modification of underlying associations caused by  
109 smoking (N=140). Subjects with Parkinson's disease (N=8) were also excluded.

110

### 111 **EQ-5D and physical inactivity**

112 The EQ-5D-3L questionnaire was used for the assessment of HRQL. The EQ-5D-3L is a  
113 generic, preference-based HRQL instrument, which collects information on the health state  
114 of five health dimensions: *mobility, self-care, usual activities, pain/discomfort, and*  
115 *anxiety/depression* [15]. One of three levels of severity (no problems, some problems, and  
116 extreme problems) can be chosen for each of the five dimensions. The German time-trade-  
117 off tariff proposed by Greiner et al. was used to calculate an index-based utility score (EQ-  
118 5D utility) ranging from 0 to 1 [20] with higher values indicating better health. The utility  
119 score was used in the present study for descriptive analyses only.

120 Physical inactivity, defined in terms of the amount of performed exercise, was categorized  
121 according to a combination of answers derived by two questions: (1) How often do you do

122 exercise in summer? and (2) How often do you do exercise in winter? Possible answers were  
123 (a) regularly, >2 hours/week, (b) regularly, 1-2 hours/week, (c) less than 1 hour/week, (d)  
124 not at all. Subjects were categorized as *active* (a in both questions), *moderately active* (one a  
125 in combination with b or c; or b in both questions), *slightly active* (one d in combination with  
126 a or b), or *inactive* (c or d in both questions). Subjects engaging regularly in >2 hours of  
127 physical activity per week, i.e those categorized as *active*, who nearly meet the WHO  
128 recommended threshold of 2.5 hours of physical activity per week [25], were used as the  
129 reference category to determine if less activity is associated with lower lung function.

130

### 131 **Lung function assessment**

132 Standardized spirometry was performed in line with the American Thoracic Society and  
133 European Respiratory Society recommendations [26] by the same study nurse in both  
134 studies. Flow-volume curves were obtained using a pneumotachograph-type spirometer  
135 (MasterScope, Jaeger, Hoechberg, Germany). Under guidance of the trained examiner,  
136 subjects performed 3 to 8 spirometric maneuvers per test. A detailed description has been  
137 published previously [27]. Spirometric parameters included FEV<sub>1</sub>, FVC, FEV<sub>1</sub>/FVC, and forced  
138 expiratory flow rate between 25% and 75% of exhaled FVC (FEF<sub>2575</sub>). Standardized z-scores  
139 for each parameter were calculated using reference equations for spirometry from the  
140 Global Lung Function Initiative (GLI) [8].

141

### 142 **Statistical analyses**

143 Data from KORA F4L and KORA Age were pooled since study protocols and lung function  
144 assessment were assessed equally and were also performed in the same study center.

145 Population characteristics were described by means and corresponding standard deviations  
146 or percentages (% , N). Differences between males and females were assessed using chi-  
147 squared test (categorical variables) and t-test for lung function parameters. Cramér's V was  
148 calculated to assess correlations between categorical variables. The number of subjects  
149 reporting extreme problems in any health dimension was low, therefore the answers  
150 "having some problems" (range 2-48% for the five dimensions) and "having extreme  
151 problems" (range 0-2%) were combined, resulting in a dichotomous variable for each EQ-5D  
152 dimension (no problems vs. any problems). In sensitivity analyses, subjects reporting  
153 extreme problems in the investigated dimension were excluded from the analyses.

154 Separate adjusted linear regression models were calculated to examine the  
155 relationships between each EQ-5D health dimension or physical inactivity as exposure with  
156 each spirometric parameter serving as outcome. For EQ-5D dimensions showing a  
157 significant association with lung function, regression models were performed adjusting for  
158 both, the EQ-5D dimension of interest and physical inactivity. Further, interaction effects  
159 between the specific EQ-5D dimension and physical inactivity were tested. To account for  
160 sex differences occurring in the distribution of inactivity levels as well as anxiety/depression,  
161 and pain/discomfort, we assessed interaction effects between the analyzed exposures and  
162 sex and further report stratified analyses for females and males.

163 The main model was adjusted only for those variables mainly accounting for inter-individual  
164 variability of lung function (i.e. sex, age, height), and for weight as a possible confounding  
165 factor. To address other possible confounding factors we additionally adjusted the main  
166 model for the following covariates separately: (A) study (KORA F4L vs. KORA Age), (B)  
167 education level categorized as low (<10 years of school), medium (10 years of school) and

168 high (>10 years of school), (C) doctor`s diagnosis of hay fever (ever), (D) season categorized  
169 as autumn/winter (spirometry obtained in September to February) or spring/summer  
170 (March to August), (E) a history of smoking (yes vs. no), (F) self-reported acute respiratory  
171 infections in the three weeks prior to lung function testing, and for common comorbidities:  
172 (G) hypertension, (H) diabetes, (I) cancer, (J) stroke, (K) myocardial infarction, or (L)  
173 multimorbidity, defined as the presence of at least two diseases (N=228) (G-K). Diabetes  
174 was based on self-reported physician diagnosis or use of antidiabetic agents. Subjects with  
175 self-reported hypertension, the use of antihypertensive medication, or a measured blood  
176 pressure  $\geq 140/90$  mmHg were defined as having hypertension.

177 Models in which GLI z-scores for spirometric parameters (already adjusted for sex, age and  
178 height) served as outcome were adjusted for additional variables only. Outliers were  
179 defined as greater/less than the mean plus/minus 4 times the standard deviation in lung  
180 function parameters (separately for males and females). Subjects meeting this definition for  
181 any spirometric parameter (N=2) were excluded from analyses for the relevant parameter  
182 only. All analyses were performed using the statistical software R, version 3.2.0 [30]. P-  
183 values below 0.05 were considered statistically significant.

184

185

## 186 **Results**

187 The population characteristics and lung function measurements of the 1132 analyzed  
188 apparently lung-healthy participants (male 48%, mean age  $64 \pm 12$  years, with mean GLI z-  
189 scores for FEV<sub>1</sub> and FVC of 0.71 and 0.61) are shown in Table 1. The mean EQ-5D utility  
190 score was 0.91. 42% reported no problems for all dimensions and this percentage was

191 higher in males compared to females (46% vs. 38%, respectively). Only 2.8% reported  
192 extreme problems for any health dimension, with the highest prevalence in the health  
193 dimension *pain/discomfort* (2%). Between the different EQ-5D dimensions, the highest  
194 correlation was present between having problems with *mobility* and problems with *usual*  
195 *activities* (Cramér's  $V= 0.49$ ).

196 Data on self-reported time spent in exercise revealed that 32% of the participants engaged  
197 regularly (>2 hours/week) in physical activity, while 24% were categorized as inactive, i.e.  
198 engaging in physical activity <1 hour/week or not at all.

199

#### 200 **Self-reported physical inactivity and lung function**

201 In adjusted regression models, associations of physical inactivity with FVC and GLI z-scores  
202 for FEV<sub>1</sub> and FVC were found (Table 2, Table A1 in S1 Tables). Less activity was associated  
203 with lower FVC, e.g. inactive subjects had an estimated difference in FVC of -83 ml (95%CI: -  
204 166; -0.1) compared to the most active subjects. Estimates for physical inactivity were  
205 negative, although not significant, with FEV<sub>1</sub> (-49 ml, 95% CI: -115; 16) (Table 2), whereas  
206 significant associations were seen for the GLI z-score for FEV<sub>1</sub> (-0.23, 95% CI: -0.39; -0.08,  
207  $p<0.01$ ) and for FVC (-0.28, 95% CI: -0.43; -0.14;  $p<0.01$ ) (Table A1 in S1 Tables). Adjustment  
208 for further covariates, such as hay fever or multimorbidity, did not substantially change the  
209 aforementioned results. No interaction effects ( $p>0.05$ ) between sex and physical activity  
210 levels were present in the main model. When stratified by sex, associations remained  
211 significant in females, whereas comparable tendencies, but no significant associations, were  
212 present among males, regardless of whether absolute values or GLI z-scores were assessed  
213 (Tables A2 to A5 in S1 Tables). Inactive females had a -98 ml (95%CI: -177;-19) lower FEV<sub>1</sub>

214 and a -140 ml (95% CI: - 240; -40) lower FVC compared to the most active females (S1 Table  
215 A2). No associations were observed for FEV<sub>1</sub>/FVC or FEF<sub>2575</sub>.

216

### 217 **Associations of EQ-5D dimensions with lung function**

218 After adjusting for sex, age, height and weight, having problems with *mobility*, and with  
219 *usual activities* were associated with lower FEV<sub>1</sub> and FVC (Table 2). FEV<sub>1</sub> was -99 ml (95% CI:  
220 -166; -32) and FVC was -109 ml (95% CI:-195; -24) lower among subjects with *mobility*  
221 problems. Subjects reporting problems with performing *usual activities* had a -97 ml (95%  
222 CI: -169; -26) lower FEV<sub>1</sub> and a -124 ml (95% CI: -214; -33) lower FVC, respectively. A  
223 borderline negative association was found for being *anxious/depressed* with FVC and for  
224 problems with *mobility* with FEF<sub>2575</sub>, but no associations were found for the dimensions *self-*  
225 *care* and *pain/discomfort* or with FEV<sub>1</sub>/FVC. Results were comparable when applying GLI z-  
226 scores instead of absolute lung function values, except for being *anxious/depressed*, which  
227 showed an association with z-scores of FEV<sub>1</sub> and FVC (Table A1 in S1 Tables). Further,  
228 adjustment for potential confounding covariates, e.g. hay fever or season, or the exclusion  
229 of subjects reporting extreme problems in the investigated dimension led to similar results.  
230 After adjustment for stroke, myocardial infarction, or multimorbidity the effect estimates  
231 decreased by about 6-22%, but were still statistically significant (p<0.05). For example,  
232 subjects who had problems with *usual activities* showed a decrease in FEV<sub>1</sub> by -80 ml (95%  
233 CI: -153; -8), instead of -97 ml, and FVC by -105 ml (95% CI: -197; -13), instead of -124 ml,  
234 after additional adjustment for multimorbidity. The effect estimates for subjects with  
235 *mobility problems* were -85 ml (95% CI: -153; -17), instead of -97 ml for FEV<sub>1</sub>, and -94 ml  
236 (95% CI: -180; -7), instead of 124 ml for FVC.

237 EQ-5D dimensions showed no interaction effect with sex in the main regression models,  
238 except for interactions between mobility problems and sex in the FEV<sub>1</sub> and FEF<sub>2575</sub> models.  
239 In females, associations found in the total population for *mobility* and *usual activities* with  
240 FEV<sub>1</sub> and FVC remained significant (*mobility*: FEV<sub>1</sub>:  $\beta$ = -81 ml; FVC:  $\beta$ = -109 ml; *usual*  
241 *activities*: FEV<sub>1</sub>:  $\beta$ = -86 ml; FVC:  $\beta$ =-106 ml) (S1 Table A2). Results for males showed similar  
242 estimates, but were significant only for the association between FEV<sub>1</sub> and *mobility* (*mobility*:  
243 FEV<sub>1</sub>:  $\beta$ = -118 ml, p=0.04; FVC:  $\beta$ = -111 ml, p =0.12; *usual activities*: FEV<sub>1</sub>:  $\beta$ = -119 ml, p  
244 =0.05; FVC:  $\beta$ = -151 ml, p=0.05) (Table A3 in S1 Tables). No associations were observed with  
245 FEV<sub>1</sub>/FVC and FEF<sub>2575</sub>. Having problems with self-care, having pain/discomfort or being  
246 anxious/depressed were not associated with any spirometric indice. Comparable results  
247 were obtained when applying GLI z-scores (Tables A4 and A5 in S1 Tables).

248

#### 249 **Effect modification between EQ-5D dimensions and physical inactivity**

250 Physical inactivity showed weak correlations with the EQ-5D dimensions, with the highest  
251 correlations observed with *anxiety/depression* and *mobility* problems (Cramér's V 0.16 and  
252 0.15, respectively). No interaction effects were seen in the main linear regression models.  
253 The observed associations between the EQ-5D dimensions *mobility* and *usual activities* with  
254 FEV<sub>1</sub> or FVC, were not affected by further adjustment for physical activity (Tables A6 and A7  
255 in S1 Tables).

256

#### 257 **Discussion**

258 Volumetric lung function indices were negatively associated with having problems with  
259 *mobility* and *usual activities* in an apparently lung-healthy study population, despite almost

260 half of the examined subjects reporting no problems in any EQ-5D dimension. After  
261 stratification by sex, associations were more pronounced in females than in males although  
262 the prevalence of problems in *mobility* or in *usual activities* was comparable between sexes.  
263 The physical activity level did not modulate the associations observed with these EQ-5D  
264 dimensions. However, being physically inactive showed a similar tendency as EQ-5D to be  
265 associated with lower volumetric indices. About half of the population reported to have  
266 *pain/discomfort*, but no associations with lung function were present.

267 The frequency distribution of reporting problems in the EQ-5D dimensions was comparable  
268 to those observed in a population-based survey among 1966 German adults in 2006, which  
269 also revealed the highest prevalence for the dimension *pain/discomfort* (33.8%). Only 3.1%  
270 reported extreme problems in any of the 5 dimensions [19] compared to 2.8% in the  
271 present study population.

272 Being physically inactive was associated with lower FEV<sub>1</sub> and FVC, remaining significant  
273 among females only when performing sex-stratified analyses. This may be due to the fact  
274 that men were more often categorized as active (37.8% vs. 26.4% for men and women,  
275 respectively) and less often as inactive (22.2% vs. 25.6%, respectively). A similar pattern was  
276 also demonstrated in the German National Health survey [28]. 33.7% of the participants  
277 aged 18-79 years reported no sports activity; with lower inactivity in males than in females  
278 (33.0 % vs. 34.3%, respectively). Further, men engaged more often in regular (>2  
279 hours/week) sports activity compared to females (29.3% vs. 21.6%) [28]. Investigations on  
280 self-reported physical activity in adults have shown that physically active subjects have  
281 higher volumetric lung function parameters and a slower lung function decline compared to  
282 inactive participants [21, 22]. Depending on the level of inactivity, FEV<sub>1</sub> was reduced

283 between 20 and 170 ml [22]. The magnitude and direction of findings correspond to our  
284 results, which indicated about 100 ml lower FEV<sub>1</sub> in inactive subjects compared to active  
285 ones.

286 In our population, associations of EQ-5D with lung function were mainly seen for dimensions  
287 related to physical functioning, *mobility* and *usual activities*. Notably, the level of physical  
288 activity did not modify these associations, suggesting that regular activity and these two  
289 perceived EQ-5D dimensions may exert different pathways of functioning in lung-healthy  
290 subjects, which might also be supported by the low correlation detected between these  
291 entities. Despite the fact that a different measure, the SF-36, was applied to assess HRQL,  
292 two studies from the UK also found positive associations of self-reported physical  
293 functioning with FEV<sub>1</sub> and inconclusive results for the mental component in the general  
294 population [13, 14]. Thus, taken together, these and our current findings suggest that  
295 volumetric lung function indices are associated with physical functioning in lung-healthy  
296 adults.

297 Corresponding results were shown among subjects with COPD, where impairments of  
298 respiratory function are reflected by the increasing GOLD grades 1-4. While subjects with  
299 COPD grade 1 presented no significant effects for the mental or physical score obtained by  
300 the SF-12 in comparison to healthy controls of the KORA F4 study, subjects with higher  
301 grades of COPD showed a lower physical functioning score, but no associations with the  
302 mental component [17]. Further, in a population-based survey across 17 countries lower  
303 physical and mental scores were found in subjects with COPD in comparison to those  
304 without COPD; confirming stronger effects for the physical than for the mental score [3].

305 Data from the German COPD cohort COSYCONET showed a decrease in mean EQ-5D utility  
306 with increasing COPD grade, i.e. from 0.85 in COPD grade 1 to 0.74 in COPD grade 4 [29].  
307 Our mean EQ-5D utility of 0.91 in lung-healthy subjects fits to the lower results reported for  
308 the COPD cohort. Interestingly, despite the high overall utility score of EQ-5D in our study  
309 population, associations were still detectable for problems in *mobility or usual activities*,  
310 and being inactive. This is further supported by a carefully extended analysis considering the  
311 findings of the COPD cohort by Wacker et al. [29]. According to the observed utility score in  
312 GOLD grade I, we categorized the EQ-5D utility in our population in three groups: (1) those  
313 with best health (0.999, used as the reference group), (2) those with a still slightly greater  
314 utility ( $\geq 0.887$ ) than the mean of COPD grade 1 in Wacker et al. [29], and those with  
315 comparable EQ-5D utility ( $< 0.887$ ). In a linear regression analysis adjusting for sex, age,  
316 height and weight, subjects in the lower EQ-5D category had a -87 ml (95% CI: -160; -15)  
317 lower FEV<sub>1</sub> and -96 (95% CI: -187; -4) lower FVC compared to those with the best possible  
318 utility. This explorative analysis related to findings observed in early COPD extends the  
319 findings by Wacker et al. (11) and suggests a negative association of EQ-5D utility and lung  
320 function already in apparently lung-healthy adults. Nevertheless, as 48% had the best  
321 possible health utility score, categorization is limited in our study population. Therefore, we  
322 did not include this approach in our main analysis, rather, provide an appeal to further  
323 explore this first finding.

324

### 325 **Strength and limitations**

326 A major strength of the present study is the standardized assessment of lung function and  
327 the possibility to investigate a range of spirometric indices in an apparently lung-healthy

328 general adult population. While HRQL is commonly investigated in lung disease, to our  
329 knowledge no evidence exists for the association between EQ-5D dimensions and lung  
330 function in the general population without chronic lung diseases.

331 The cross-sectional design of our analysis does not allow us to draw conclusions about long-  
332 term effects or causal relations. All information on lung diseases, stroke or myocardial  
333 infarction was assessed via self-reports and was not verified by a physician. Similarly,  
334 physical activity assessment was questionnaire-based only. We analyzed a preselected lung-  
335 healthy adult population with an age range of 45 to 89 years, of whom 20% had at least 2  
336 chronic health conditions not directly related to lung function impairment. Further, our  
337 results should be interpreted with caution due to the small effect sizes with partially  
338 borderline significance resulting in an arguable clinical relevance. Intentionally, our study  
339 was population-based and therefore the addressed population is not comparable to a  
340 clinical cohort or narrower age ranges. However, problems in *mobility or usual activities* and  
341 inactivity were associated with slightly lower lung function indices after adjustment for  
342 other common chronic diseases or being inactive.

343

#### 344 **Conclusion**

345 Having problems with *mobility or usual activities* was associated with slightly lower lung  
346 function in lung-healthy, non-smoking, German adults. Physical activity levels did not modify  
347 the associations with EQ-5D dimensions. Associations found were more pronounced among  
348 females than in males. Other health-related EQ-5D dimensions, e.g. problems with *self-care*,  
349 having *pain/discomfort* or being *anxious/depressed*, showed no (or unstable) associations  
350 with spirometric indices. Our results suggest that, comparable to observations in subjects

351 with chronic lung diseases, the health dimensions which are directly related to movement  
352 may be associated with volumetric lung function already in lung-healthy subjects. Lung-  
353 healthy subjects with mobility problems and those with no regular exercise might benefit  
354 from prevention programs designed to reduce inactivity, as seen for subjects with chronic  
355 lung diseases who show better health outcomes e.g. reduced lung function decline or less  
356 hospital admissions, by being physically active or becoming more active.

357

### 358 **Acknowledgments**

359 The authors thank the study personnel for their excellent work and all attendees for their  
360 participation in the KORA surveys. They thank Carla Harris (Institute of Epidemiology I,  
361 Helmholtz Zentrum München, Germany) for editorial assistance in preparation of this  
362 manuscript.

363

### 364 **Competing interests**

365 The authors declare that they have no competing interests.

366

### 367 **Funding**

368 The KORA study was initiated and financed by the Helmholtz Zentrum München – German  
369 Research Center for Environmental Health, which is funded by the German Federal Ministry  
370 of Education and Research (BMBF) and by the State of Bavaria. The KORA Age project was  
371 financed by the German Federal Ministry of Education and Research (BMBF FKZ 01ET0713  
372 and 01ET1003A). This work was further supported by the Comprehensive Pneumology  
373 Center Munich (CPC-M) as member of the German Center for Lung Research and by the

374 Competence Network Asthma and COPD (ASCONET), network COSYCONET (subproject 2,  
375 BMBF FKZ 01GI0882) funded by the German Federal Ministry of Education and Research.

376

377 **Data availability statement**

378 For approved reasons, access restrictions apply to the data underlying the findings. The  
379 informed consent given by KORA study participants does not cover data posting in public  
380 databases. However, data are available upon request from KORA-gen ([http://epi.helmholtz-](http://epi.helmholtz-muenchen.de/kora-gen/)  
381 [muenchen.de/kora-gen/](http://epi.helmholtz-muenchen.de/kora-gen/)) by means of a project agreement. Requests should be sent to  
382 [kora.passt@helmholtz-muenchen.de](mailto:kora.passt@helmholtz-muenchen.de) and are subject to approval by the KORA Board.

383

384 **Supplementary Material**

385 Supplement S1: Tables A1 to A7

386 S1\_Tables\_A1\_to\_A7\_20170612.pdf

## References

1. Wacker ME, Jorres RA, Karch A, Koch A, Heinrich J, Karrasch S, et al: Relative impact of COPD and comorbidities on generic health-related quality of life: a pooled analysis of the COSYCONET patient cohort and control subjects from the KORA and SHIP studies. *Respir Res.* 2016;17(1):81.
2. Pickard AS, Wilke C, Jung E, Patel S, Stavem K, Lee TA: Use of a preference-based measure of health (EQ-5D) in COPD and asthma. *Respir Med.* 2008;102(4):519-536.
3. Janson C, Marks G, Buist S, Gnatiuc L, Gislason T, McBurnie MA, et al: The impact of COPD on health status: findings from the BOLD study. *European Respiratory Journal.* 2013;42(6):1472.
4. Anokye NK, Trueman P, Green C, Pavey TG, Taylor RS: Physical activity and health related quality of life. *BMC Public Health.* 2012;12(1):624.
5. Choi M, Prieto-Merino D, Dale C, Nüesch E, Amuzu A, Bowling A, et al: Effect of changes in moderate or vigorous physical activity on changes in health-related quality of life of elderly British women over seven years. *Quality of Life Research.* 2013;22(8):2011-2020.
6. Garcia-Aymerich J, Lange P, Benet M, Schnohr P, Anto JM: Regular physical activity reduces hospital admission and mortality in chronic obstructive pulmonary disease: a population based cohort study. *Thorax.* 2006;61(9):772-778.
7. Brumpton BM, Langhammer A, Henriksen AH, Camargo CA, Jr., Chen Y, Romundstad PR, et al: Physical activity and lung function decline in adults with asthma: The HUNT Study. *Respirology.* 2017;22(2):278-283.
8. Quanjer PH, Stanojevic S, Cole TJ, Baur X, Hall GL, Culver BH, et al: Multi-ethnic reference values for spirometry for the 3-95-yr age range: the global lung function 2012 equations. *Eur Respir J.* 2012;40(6):1324-1343.
9. Dyer C: The interaction of ageing and lung disease. *Chron Respir Dis.* 2012;9(1):63-67.
10. Merkus PJ: Effects of childhood respiratory diseases on the anatomical and functional development of the respiratory system. *Paediatr Respir Rev.* 2003;4(1):28-39.
11. Weiss ST: Lung function and airway diseases. *Nat Genet.* 2010;42(1):14-16.
12. Stanojevic S, Wade A, Stocks J, Hankinson J, Coates AL, Pan H, et al: Reference ranges for spirometry across all ages: a new approach. *Am J Respir Crit Care Med.* 2008;177(3):253-260.
13. Myint PK, Luben RN, Surtees PG, Wainwright NWJ, Welch AA, Bingham SA, et al: Respiratory function and self-reported functional health: EPIC-Norfolk population study. *European Respiratory Journal.* 2005;26(3):494-502.
14. Singh-Manoux A, Dugravot A, Kauffmann F, Elbaz A, Ankri J, Nabi H, et al: Association of lung function with physical, mental and cognitive function in early old age. *Age (Dordr).* 2011;33(3):385-392.
15. Rabin R, de Charro F: EQ-5D: a measure of health status from the EuroQol Group. *Ann Med.* 2001;33(5):337-343.
16. König HH, Heider D, Lehnert T, Riedel-Heller SG, Angermeyer MC, Matschinger H, et al: Health status of the advanced elderly in six European countries: results from a representative survey using EQ-5D and SF-12. *Health Qual Life Outcomes.* 2010;8:143.
17. Wacker ME, Hunger M, Karrasch S, Heinrich J, Peters A, Schulz H, et al: Health-related quality of life and chronic obstructive pulmonary disease in early stages - longitudinal results from the population-based KORA cohort in a working age population. *BMC Pulm Med.* 2014;14:134.
18. König HH, Bernert S, Angermeyer MC, Matschinger H, Martinez M, Vilagut G, et al: Comparison of population health status in six European countries: results of a representative survey using the EQ-5D questionnaire. *Med Care.* 2009;47(2):255-261.

19. Mielck A, Vogelmann M, Schweikert B, Leidl R: [Health status of adults in Germany: results from a representative survey using the EuroQol 5D (EQ-5D)]. *Gesundheitswesen*. 2010;72(8-9):476-486.
20. Greiner W, Claes C, Busschbach JJ, von der Schulenburg JM: Validating the EQ-5D with time trade off for the German population. *Eur J Health Econ*. 2005;6(2):124-130.
21. Jakes RW, Day NE, Patel B, Khaw K-T, Oakes S, Luben R, et al: Physical Inactivity Is Associated with Lower Forced Expiratory Volume in 1 Second: European Prospective Investigation into Cancer-Norfolk Prospective Population Study. *American Journal of Epidemiology*. 2002;156(2):139-147.
22. Nystad W, Samuelsen SO, Nafstad P, Langhammer A: Association between level of physical activity and lung function among Norwegian men and women: the HUNT study. *Int J Tuberc Lung Dis*. 2006;10(12):1399-1405.
23. Holle R, Happich M, Lowel H, Wichmann HE: KORA--a research platform for population based health research. *Gesundheitswesen*. 2005;67 Suppl 1:S19-25.
24. Peters A, Doring A, Ladwig KH, Meisinger C, Linkohr B, Autenrieth C, et al: [Multimorbidity and successful aging: the population-based KORA-Age study]. *Z Gerontol Geriatr*. 2011;44 Suppl 2:41-54.
25. World Health Organization. *Global Recommendations on Physical Activity for Health*. Geneva, World Health Organization, 2010.  
[http://www.who.int/dietphysicalactivity/factsheet\\_recommendations/en/](http://www.who.int/dietphysicalactivity/factsheet_recommendations/en/). Accessed 13 Oct 2016.
26. Miller MR, Hankinson J, Brusasco V, Burgos F, Casaburi R, Coates A, et al: Standardisation of spirometry. *Eur Respir J*. 2005;26(2):319-338.
27. Karrasch S, Flexeder C, Behr J, Holle R, Huber RM, Jorres RA, et al: Spirometric reference values for advanced age from a South german population. *Respiration*. 2013;85(3):210-219.
28. Krug S JS, Mensink GBM, Müters S, Finger JD, Lampert T: English version of "Körperliche Aktivität. Ergebnisse der Studie zur Gesundheit Erwachsener in Deutschland (DEGS1)" *Bundesgesundheitsblatt*. 2013;56:765-771.
29. Wacker ME, Jorres RA, Karch A, Wilke S, Heinrich J, Karrasch S, et al: Assessing health-related quality of life in COPD: comparing generic and disease-specific instruments with focus on comorbidities. *BMC Pulm Med*. 2016;16(1):70.

Table 1 Population characteristics

	Male (n=545)	Female (n=587)	Total (n=1132)
	Mean (SD) or % (N)		
<b>Age, years</b>	65 (12)	64 (12)	64 (12)
<b>Height, cm*</b>	174 (7)	161 (6)	167 (10)
<b>Weight, kg*</b>	87 (15)	72 (13)	79 (16)
<b>Education*</b>			
Low (< 10 years of school)	53.0 (289)	58.3 (342)	55.7 (631)
Medium (= 10 years of school)	18.3 (100)	26.4 (155)	22.5 (255)
High (> 10 years of school)	28.6 (156)	15.3 (90)	21.7 (246)
<b>Smoking status*</b>			
Never smoker	43.3 (236)	66.3 (389)	55.2 (625)
Ever smoker	56.7 (309)	33.7 (198)	44.8 (507)
<b>Hypertension, yes</b>	59.4 (323)	54.0 (317)	56.6 (640)
<b>Myocardial infarction, yes*</b>	7.2 (39)	2.9 (17)	5 (56)
<b>Stroke, yes*</b>	5.9 (32)	2.9 (17)	4.3 (49)
<b>Diabetes, yes</b>	11.6 (63)	11.3 (66)	11.4 (129)
<b>Cancer, yes</b>	10.8 (59)	8.7 (51)	9.7 (110)
<b>Hay fever ever, yes</b>	10.8 (59)	12.9 (76)	11.9 (135)
<b>Lung function - Spirometric outcomes</b>			
FEV <sub>1</sub> , l*	3.61 (0.73)	2.56 (0.57)	3.07 (0.84)
FVC, l*	4.65 (0.92)	3.25 (0.72)	3.92 (1.08)
FEV <sub>1</sub> /FVC*	0.78 (0.04)	0.79 (0.05)	0.78 (0.05)
FEF <sub>2575</sub> , l/s*	3.17 (1.01)	2.34 (0.78)	2.74 (0.99)
Z-score FEV <sub>1</sub>	0.72 (0.98)	0.70 (1.00)	0.71 (0.99)
Z-score FVC	0.62 (0.95)	0.60 (0.93)	0.61 (0.94)
Z-score FEV <sub>1</sub> /FVC*	0.10 (0.59)	0.01 (0.65)	0.05 (0.63)
Z-score FEF <sub>2575</sub> *	0.41 (0.74)	0.25 (0.82)	0.33 (0.79)
<b>Generic health-related quality of life</b>			
EQ-5D - Utility score (range 0.110 to 0.999)	0.91 (0.13)	0.91 (0.12)	0.91 (0.13)
No problems in any EQ-5D-dimension*	45.9 (249)	37.8 (221)	41.7 (470)
<b>EQ-5D – Health dimensions<sup>a</sup></b>			
Problems with:			
<b>Mobility</b>			
no	83.1 (453)	82.6 (485)	82.9 (938)
some	16.9 (92)	17.4 (102)	17.1 (194)
extreme	-	-	-
<b>Self-care</b>			
no	96.5 (525)	98.3 (576)	97.4 (1101)
some	3.5 (19)	1.4 (8)	2.4 (27)
extreme	0 (0)	0.3 (2)	0.2 (2)
<b>Usual activities</b>			
no	87.9 (478)	85.8 (502)	86.8 (980)
some	11.9 (65)	14.0 (82)	13.0 (147)
extreme	0.2 (1)	0.2 (1)	0.2 (2)

	Male (n=545)	Female (n=587)	Total (n=1132)
	Mean (SD) or % (N)		
<b>Having pain/discomfort*</b>			
no	53.1 (288)	46.2 (270)	49.6 (558)
moderately	44.7 (242)	51.9 (303)	48.4 (545)
extremely	2.2 (12)	1.9 (11)	2.0 (23)
<b>Being anxious/depressed*</b>			
no	82.9 (450)	72.7 (426)	77.6 (876)
moderately	16.6 (90)	26.3 (154)	21.6 (244)
extremely	0.6 (3)	1.0 (6)	0.8 (9)
<b>Physical activity*</b>			
Inactive	22.2 (121)	25.6 (150)	23.9 (271)
Slightly active	13.4 (73)	14 (82)	13.7 (155)
Moderately active	26.6 (145)	34.1 (200)	30.5 (345)
Active	37.8 (206)	26.4 (155)	31.9 (361)

\*p-value<0.05 in t-test or chi-square test (males vs. females). <sup>a</sup>comparisons between sexes were performed using dichotomous variables (no vs. any)

FEV<sub>1</sub>: forced expiratory volume in 1 second. FVC: forced vital capacity. FEF<sub>2575</sub>: mean flow rate between 25 and 75% of FVC.

Table 2 Results of multiple linear regression analyses

	FEV <sub>1</sub> , ml		FVC, ml		FEV <sub>1</sub> /FVC, %		FEF <sub>2575</sub> , ml/s	
	β (95% CI)	p-value	β (95% CI)	p-value	β (95% CI)	p-value	β (95% CI)	p-value
<b>EQ-5D</b>								
<i>Problems with Mobility</i>								
no	ref.		ref.		ref.		ref.	
some	<b>-99 (-166; -32)</b>	<b>&lt;0.01</b>	<b>-109 (-195; -24)</b>	<b>0.01</b>	-0.33 (-1.05; 0.40)	0.37	<b>-123 (-246; -1)</b>	<b>0.05</b>
<i>Self-care</i>								
no	ref.		ref.		ref.		ref.	
some/extreme	-9 (-162; 143)	0.90	-19 (-212; 174)	0.85	-0.74 (-2.40; 0.92)	0.38	45 (-231; 321)	0.75
<i>Usual activities</i>								
no	ref.		ref.		ref.		ref.	
some/extreme	<b>-97 (-169; -26)</b>	<b>0.01</b>	<b>-124 (-214; -33)</b>	<b>0.01</b>	-0.26 (-1.03; 0.51)	0.51	-106 (-236; 23)	0.11
<i>Having pain/discomfort</i>								
no	ref.		ref.		ref.		ref.	
moderately/extremely	-5 (-54; 45)	0.85	-10 (-73; 53)	0.75	0.02 (-0.51; 0.55)	0.94	6 (-84; 96)	0.89
<i>Being anxious/depressed</i>								
no	ref.		ref.		ref.		ref.	
moderately/extremely	-49 (-107; 8)	0.09	-72 (-145; 1)	0.05	0.13 (-0.48; 0.75)	0.67	-19 (-124; 85)	0.71
<b>Physical activity</b>								
Active	ref.		ref.		ref.		ref.	
Moderately active	-51 (-111; 10)	0.10	<b>-81 (-158; -5)</b>	<b>0.04</b>	0.30 (-0.35; 0.95)	0.36	-2 (-111; 108)	0.98
Slightly active	-58 (-135; 19)	0.14	<b>-111 (-209; -14)</b>	<b>0.02</b>	0.73 (-0.09; 1.55)	0.08	23 (-117; 163)	0.75
Inactive	-49 (-115; 16)	0.14	<b>-83 (-166; 0)</b>	<b>0.05</b>	0.23 (-0.47; 0.93)	0.51	-8 (-127; 110)	0.89

The linear regression model included one EQ-5D dimension variable or physical activity and sex, age, height, and weight.

FEV<sub>1</sub>: forced expiratory volume in 1 second. FVC: forced vital capacity. FEF<sub>2575</sub>: mean flow rate between 25 and 75% of FVC.

## Supplement S1 Tables A1 to A7

Table A1 Results of multiple linear regression models applying GLI z-scores – Total population

EQ-5D	Z-score FEV <sub>1</sub>		Z-score FVC		Z-score FEV <sub>1</sub> /FVC		Z-score FEF <sub>2575</sub>	
	β (95% CI)	p	β (95% CI)	p	β (95% CI)	p	β (95% CI)	p
<i>Problems with</i>								
<i>Mobility</i>								
no	ref.		ref.		ref.		ref.	
some	<b>-0.23 (-0.38; -0.08)</b>	<b>&lt;0.01</b>	<b>-0.27 (-0.41; -0.12)</b>	<b>&lt;0.01</b>	0.06 (-0.04; 0.16)	0.24	-0.05 (-0.18; 0.07)	0.39
<i>Self-care</i>								
no	ref.		ref.		ref.		ref.	
some/extreme	0.01 (-0.35; 0.37)	0.98	-0.09 (-0.42; 0.25)	0.62	0.04 (-0.19; 0.28)	0.72	0.14 (-0.15; 0.43)	0.34
<i>Usual activities</i>								
no	ref.		ref.		ref.		ref.	
some/extreme	<b>-0.27 (-0.43; -0.10)</b>	<b>&lt;0.01</b>	<b>-0.30 (-0.46; -0.14)</b>	<b>&lt;0.01</b>	0.02 (-0.09; 0.13)	0.68	-0.11 (-0.24; 0.03)	0.13
<i>Having pain/discomfort</i>								
no	ref.		ref.		ref.		ref.	
moderately/extremely	-0.04 (-0.16; 0.07)	0.47	-0.09 (-0.2; 0.01)	0.09	0.07 (-0.01; 0.14)	0.07	0.03 (-0.06; 0.13)	0.48
<i>Being anxious/depressed</i>								
no	ref.		ref.		ref.		ref.	
moderately/extremely	<b>-0.14 (-0.28; 0)</b>	<b>0.04</b>	<b>-0.16 (-0.29; -0.03)</b>	<b>0.02</b>	0.02 (-0.06; 0.11)	0.59	-0.02 (-0.13; 0.09)	0.70
<i>Physical activity</i>								
Active	ref.		ref.		ref.		ref.	
Moderately active	-0.15 (-0.29; 0)	0.05	<b>-0.16 (-0.30; -0.03)</b>	<b>0.02</b>	0.01 (-0.08; 0.10)	0.80	-0.04 (-0.16; 0.07)	0.48
Slightly active	-0.17 (-0.35; 0.01)	0.07	<b>-0.24 (-0.41; -0.07)</b>	<b>0.01</b>	0.11 (-0.01; 0.23)	0.06	-0.02 (-0.17; 0.13)	0.78
Inactive	<b>-0.23 (-0.39; -0.08)</b>	<b>&lt;0.01</b>	<b>-0.28 (-0.43; -0.14)</b>	<b>&lt;0.01</b>	0.05 (-0.05; 0.15)	0.29	-0.08 (-0.21; 0.04)	0.19

Regression models for standardized Global Lung Function Initiative z-scores that are already adjusted for ethnicity, sex, age, and height were adjusted only for weight and one EQ-5D dimension or physical activity variable at a time.

FEV<sub>1</sub>: forced expiratory volume in 1 second. FVC: forced vital capacity. FEF<sub>2575</sub>: mean flow rate between 25 and 75% of FVC.

Table A2 Results of multiple linear regression models – Females

EQ-5D	FEV <sub>1</sub> , ml		FVC, ml		FEV <sub>1</sub> /FVC, %		FEF <sub>2575</sub> , ml/s	
	β (95% CI)	p	β (95% CI)	p	β (95% CI)	p	β (95% CI)	p
<i>Problems with</i>								
<i>Mobility</i>								
no	ref.		ref.		ref.		ref.	
some	-81 (-160; -2)	0.04	-109 (-210; -9)	0.03	0.14 (-0.90; 1.18)	0.79	-61 (-210; 89)	0.43
<i>Self-care</i>								
no	ref.		ref.		ref.		ref.	
some/extreme	41 (-176; 258)	0.71	34 (-242; 310)	0.81	-0.06 (-2.90; 2.78)	0.97	213 (-197; 623)	0.31
<i>Usual activities</i>								
no	ref.		ref.		ref.		ref.	
some/extreme	-86 (-167; -5)	0.04	-106 (-209; -3)	0.04	-0.34 (-1.40; 0.73)	0.54	-101 (-254; 53)	0.20
<i>Having pain/discomfort</i>								
no	ref.		ref.		ref.		ref.	
moderately/extremely	-4 (-63; 54)	0.89	-13 (-87; 61)	0.73	0.23 (-0.54; 0.99)	0.56	0 (-110; 111)	0.99
<i>Being anxious/depressed</i>								
no	ref.		ref.		ref.		ref.	
moderately/extremely	-41 (-103; 22)	0.20	-58 (-137; 22)	0.15	0.13 (-0.69; 0.95)	0.75	-14 (-132; 104)	0.81
<i>Physical activity</i>								
Active	ref.		ref.		ref.		ref.	
Moderately active	-45 (-117; 27)	0.22	-62 (-153; 29)	0.18	0.18 (-0.76; 1.13)	0.7	9 (-127; 145)	0.90
Slightly active	-84 (-176; 8)	0.07	-126 (-242; -9)	0.04	0.55 (-0.66; 1.76)	0.37	-37 (-211; 138)	0.68
Inactive	-98 (-177; -19)	0.02	-140 (-240; -40)	0.01	0.21 (-0.83; 1.25)	0.69	-33 (-183; 117)	0.67

The model was adjusted for age, height, weight and one EQ-5D dimension or physical activity variable at a time.

FEV<sub>1</sub>: forced expiratory volume in 1 second. FVC: forced vital capacity. FEF<sub>2575</sub>: mean flow rate between 25 and 75% of FVC.

Table A3 Results of multiple linear regression models – Males

EQ-5D	FEV <sub>1</sub> , ml		FVC, ml		FEV <sub>1</sub> /FVC, %		FEF <sub>2575</sub> , ml/s	
	β (95% CI)	p	β (95% CI)	p	β (95% CI)	p	β (95% CI)	p
<i>Problems with</i>								
<i>Mobility</i>								
no	ref.		ref.		ref.		ref.	
some	-118 (-227; -8)	0.04	-111 (-250; 27)	0.12	-0.82 (-1.82; 0.19)	0.11	-184 (-378; 11)	0.07
<i>Self-care</i>								
no	ref.		ref.		ref.		ref.	
some/extreme	-5 (-219; 209)	0.96	-13 (-284; 257)	0.92	-1.02 (-3.03; 0.98)	0.32	-2 (-382; 378)	0.99
<i>Usual activities</i>								
no	ref.		ref.		ref.		ref.	
some/extreme	-119 (-240; 2)	0.05	-151 (-304; 1)	0.05	-0.24 (-1.35; 0.88)	0.68	-130 (-345; 84)	0.23
<i>Having pain/discomfort</i>								
no	ref.		ref.		ref.		ref.	
moderately/extreme	-12 (-92; 69)	0.78	-13 (-115; 88)	0.80	-0.25 (-0.98; 0.49)	0.51	3 (-139; 146)	0.96
<i>Being anxious/depressed</i>								
no	ref.		ref.		ref.		ref.	
Moderately/extreme	-56 (-160; 47)	0.28	-86 (-216; 44)	0.19	0.14 (-0.81; 1.08)	0.77	-23 (-206; 161)	0.81
<i>Physical activity</i>								
Active	ref.		ref.		ref.		ref.	
Moderately active	-65 (-163; 32)	0.19	-112 (-235; 12)	0.08	0.41 (-0.48; 1.31)	0.36	-21 (-195; 153)	0.82
Slightly active	-47 (-170; 76)	0.46	-111 (-267; 44)	0.16	0.87 (-0.26; 1.99)	0.13	64 (-155; 283)	0.57
Inactive	-36 (-141; 70)	0.51	-61 (-194; 72)	0.37	0.10 (-0.87; 1.06)	0.84	-40 (-228; 147)	0.67

The model was adjusted for age, height, weight and one EQ-5D dimension or physical activity variable at a time.

FEV<sub>1</sub>: forced expiratory volume in 1 second. FVC: forced vital capacity. FEF<sub>2575</sub>: mean flow rate between 25 and 75% of FVC.

Table A4 Results of multiple linear regression models applying GLI z-scores – Females

EQ-5D	Z-score FEV <sub>1</sub>		Z-score FVC		Z-score FEV <sub>1</sub> /FVC		Z-score FEF <sub>2575</sub>	
	β (95% CI)	p	β (95% CI)	p	β (95% CI)	p	β (95% CI)	p
<i>Problems with</i>								
<i>Mobility</i>								
no	ref.		ref.		ref.		ref.	
some	-0.20 (-0.42; 0.01)	0.07	-0.28 (-0.48; -0.08)	0.01	0.14 (0; 0.28)	0.05	0.01 (-0.17; 0.18)	0.95
<i>Self-care</i>								
no	ref.		ref.		ref.		ref.	
some/extreme	0.04 (-0.57; 0.66)	0.89	-0.11 (-0.68; 0.47)	0.71	0.14 (-0.27; 0.55)	0.50	0.29 (-0.22; 0.80)	0.27
<i>Usual activities</i>								
no	ref.		ref.		ref.		ref.	
some/extreme	-0.23 (-0.46; 0)	0.05	-0.26 (-0.47; -0.05)	0.02	0.04 (-0.12; 0.19)	0.65	-0.08 (-0.27; 0.11)	0.42
<i>Having pain/discomfort</i>								
no	ref.		ref.		ref.		ref.	
moderately/extremely	-0.02 (-0.18; 0.14)	0.82	-0.10 (-0.25; 0.05)	0.21	0.11 (0.01; 0.22)	0.04	0.06 (-0.07; 0.20)	0.36
<i>Being anxious/depressed</i>								
no	ref.		ref.		ref.		ref.	
moderately/extremely	-0.12 (-0.30; 0.06)	0.20	-0.12 (-0.29; 0.04)	0.14	0.02 (-0.10; 0.14)	0.70	-0.01 (-0.16; 0.14)	0.93
<i>Physical activity</i>								
Active	ref.		ref.		ref.		ref.	
Moderately active	-0.09 (-0.30; 0.11)	0.37	-0.10 (-0.29; 0.09)	0.32	0.01 (-0.13; 0.15)	0.89	0 (-0.17; 0.17)	0.99
Slightly active	-0.19 (-0.45; 0.07)	0.16	-0.25 (-0.49; -0.01)	0.05	0.11 (-0.07; 0.28)	0.23	-0.03 (-0.25; 0.19)	0.82
Inactive	-0.25 (-0.48; -0.03)	0.03	-0.33 (-0.53; -0.12)	<0.01	0.11 (-0.04; 0.26)	0.15	-0.03 (-0.21; 0.16)	0.77

Regression models for standardized Global Lung Function Initiative z-scores that are already adjusted for ethnicity, sex, age, and height were adjusted only for weight and one EQ-5D dimension or physical activity variable at a time.

FEV<sub>1</sub>: forced expiratory volume in 1 second. FVC: forced vital capacity. FEF<sub>2575</sub>: mean flow rate between 25 and 75% of FVC.

Table A5 Results of multiple linear regression models applying GLI z-scores – Males

EQ-5D	Z-score FEV <sub>1</sub>		Z-score FVC		Z-score FEV <sub>1</sub> /FVC		Z-score FEF <sub>2575</sub>	
	β (95% CI)	p	β (95% CI)	p	β (95% CI)	p	β (95% CI)	p
<i>Problems with Mobility</i>								
no	ref.		ref.		ref.		ref.	
some	-0.23 (-0.44; -0.01)	0.04	-0.22 (-0.43; -0.02)	0.03	-0.02 (-0.15; 0.12)	0.80	-0.08 (-0.25; 0.09)	0.34
<i>Self-care</i>								
no	ref.		ref.		ref.		ref.	
some/extreme	-0.05 (-0.49; 0.38)	0.82	-0.11 (-0.53; 0.30)	0.60	-0.01 (-0.29; 0.26)	0.93	0.03 (-0.31; 0.38)	0.84
<i>Usual activities</i>								
no	ref.		ref.		ref.		ref.	
some/extreme	-0.26 (-0.51; -0.02)	0.04	-0.29 (-0.53; -0.06)	0.01	0.01 (-0.14; 0.17)	0.86	-0.10 (-0.29; 0.10)	0.33
<i>Having pain/discomfort</i>								
no	ref.		ref.		ref.		ref.	
moderately/extremely	-0.02 (-0.18; 0.14)	0.79	-0.04 (-0.20; 0.11)	0.57	0.03 (-0.07; 0.13)	0.59	0.04 (-0.09; 0.17)	0.54
<i>Being anxious/depressed</i>								
no	ref.		ref.		ref.		ref.	
moderately/extremely	-0.10 (-0.31; 0.12)	0.37	-0.13 (-0.33; 0.07)	0.21	0.04 (-0.09; 0.17)	0.55	0.02 (-0.14; 0.19)	0.80
<i>Physical activity</i>								
Active	ref.		ref.		ref.		ref.	
Moderately active	-0.15 (-0.35; 0.05)	0.14	-0.18 (-0.38; 0.01)	0.06	0.04 (-0.09; 0.16)	0.58	-0.03 (-0.19; 0.13)	0.71
Slightly active	-0.10 (-0.35; 0.16)	0.46	-0.18 (-0.42; 0.07)	0.15	0.13 (-0.03; 0.29)	0.11	0.04 (-0.16; 0.24)	0.71
Inactive	-0.12 (-0.33; 0.10)	0.29	-0.14 (-0.35; 0.07)	0.19	0.01 (-0.13; 0.14)	0.94	-0.07 (-0.24; 0.10)	0.43

Regression models for standardized Global Lung Function Initiative z-scores that are already adjusted for sex, age, and height were adjusted only for weight and one EQ-5D dimension or physical activity variable at a time.

FEV<sub>1</sub>: forced expiratory volume in 1 second. FVC: forced vital capacity. FEF<sub>2575</sub>: mean flow rate between 25 and 75% of FVC.

Table A6 Results of multiple linear regression models with further adjustment for problems with mobility and physical activity

	Models adjusted for both, <i>mobility</i> and physical inactivity					
	Total population <sup>a</sup>		Males <sup>b</sup>		Females <sup>b</sup>	
<b>FEV<sub>1</sub>, ml</b>	$\beta$ (95% CI)	p	$\beta$ (95% CI)	p	$\beta$ (95% CI)	p
<b>EQ-5D</b>						
Problems with						
<i>Mobility</i>						
no	ref.		ref.		ref.	
some	<b>-96 (-164; -18)</b>	<b>0.01</b>	<b>-114 (-225; -3)</b>	<b>0.04</b>	-74 (-154; 5)	0.07
Physical activity						
Active	ref.		ref.		ref.	
Moderately active	-46 (-107; 14)	0.13	-56 (-154; 42)	0.26	-43 (-115; 28)	0.24
Slightly active	-58 (-135; 19)	0.14	-49 (-172; 73)	0.43	-82 (-174; 10)	0.08
Inactive	-41 (-106; 25)	0.23	-24 (-130; 82)	0.66	<b>-92 (-171; -13)</b>	<b>0.02</b>
<b>FVC, ml</b>						
Problems with						
<i>Mobility</i>						
no	ref.		ref.		ref.	
some	<b>-104 (-189; -18)</b>	<b>0.02</b>	-105 (-245; 35)	0.14	-100 (-200; 1)	0.05
Physical activity						
Active	ref.		ref.		ref.	
Moderately active	-77 (-153; 0)	0.05	-103 (-227; 20)	0.10	-60 (-151; 31)	0.20
Slightly active	<b>-111 (-208, -14)</b>	<b>0.03</b>	-114 (-269; 42)	0.15	<b>-123 (-239; -7)</b>	<b>0.04</b>
Inactive	-74 (-157; 9)	0.08	-50 (-183; 84)	0.47	<b>-133 (-233; -32)</b>	<b>0.01</b>

<sup>a</sup>Model was adjusted for sex, age, height, weight, *mobility* and physical activity.

<sup>b</sup>Model was adjusted for age, height, weight, *mobility* and physical activity.

FEV<sub>1</sub>: forced expiratory volume in 1 second. FVC: forced vital capacity.

Table A7 Results of multiple linear regression models with further adjustment for problems with usual activity and physical activity

	Models adjusted for both, <i>usual activity</i> and physical inactivity					
	Total population <sup>a</sup>		Males <sup>b</sup>		Females <sup>b</sup>	
<b>FEV<sub>1</sub>, ml</b>	$\beta$ (95% CI)	p	$\beta$ (95% CI)	p	$\beta$ (95% CI)	p
<b>EQ-5D</b>						
Problems with						
<i>Usual activities</i>						
no	ref.		ref.		ref.	
some/extreme	<b>-91 (-163; -20)</b>	<b>0.01</b>	-114 (-236; 8)	0.07	-79 (-160; 2)	0.06
Physical activity						
Active	ref.		ref.		ref.	
Moderately active	-43 (-103; 18)	0.17	-56 (-155; 42)	0.26	-38 (-110; 34)	0.30
Slightly active	-50 (-127; 28)	0.21	-39 (-163; 84)	0.53	-74 (-166; 18)	0.12
Inactive	-39 (-104; 27)	0.25	-25 (-131; 81)	0.64	<b>-86 (-165; -7)</b>	<b>0.03</b>
<b>FVC, ml</b>						
Problems with						
<i>Usual activities</i>						
no	ref.		ref.		ref.	
some/extreme	<b>-113 (-203; -22)</b>	<b>0.02</b>	-141 (-295; 12)	0.07	-95 (-198; 8)	0.07
Physical activity						
Active	ref.		ref.		ref.	
Moderately active	-70 (-147; 6)	0.07	-101 (-225; 23)	0.11	-52 (-143; 40)	0.27
Slightly active	<b>-100 (-197; -3)</b>	<b>0.04</b>	-102 (-257; 54)	0.20	-112 (-228; 5)	0.06
Inactive	-69 (-152; 14)	0.10	-48 (-181; 86)	0.49	<b>-124 (-225; -24)</b>	<b>0.02</b>

<sup>a</sup>Model was adjusted for sex, age, height, weight, *usual activity* and physical activity.

<sup>b</sup>Model was adjusted for age, height, weight, *usual activity* and physical activity.

FEV<sub>1</sub>: forced expiratory volume in 1 second. FVC: forced vital capacity.