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1 **Amino acid substitutions within HLA-B\*27-restricted T cell epitopes**  
2 **prevent recognition by hepatitis delta virus-specific CD8+ T cells**

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33 **Running Head:** Hepatitis delta virus evades CD8+ T cell response

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43 **Abstract**

44 Virus-specific CD8 T-cell response seems to play a significant role in the outcome of  
45 hepatitis delta virus (HDV) infection. However, the HDV-specific T-cell epitope repertoire  
46 and mechanisms of CD8 T-cell failure in HDV infection have merely been characterized. We  
47 therefore aimed to characterize HDV-specific CD8 T cell epitopes and the impact of viral  
48 mutations on immune escape. In this study, we predicted peptide epitopes binding the most  
49 frequent HLA types and assessed their HLA binding capacity. These epitopes were  
50 characterized in HDV-infected patients by intracellular IFN- $\gamma$  staining. Sequence analysis of  
51 L-HDAg and HLA typing were performed in 104 patients. The impact of substitutions within  
52 epitopes on CD8 T cell response was evaluated experimentally and by *in silico* studies. We  
53 identified two HLA-B\*27-restricted CD8 T-cell epitopes within L-HDAg. These novel  
54 epitopes are located in a relatively conserved region of L-HDAg. However, we detected  
55 molecular footprints within these epitopes in HLA-B\*27-positive patients with chronic HDV  
56 infection. The variant peptides were not cross-recognized in HLA-B\*27-positive patients  
57 with resolved HDV infection, indicating these substitutions represent viral escape mutations.  
58 Molecular modeling of HLA-B\*27 complexes with the L-HDAg epitope and its potential  
59 viral escape mutations indicates that the structural and electrostatic properties of the bound  
60 peptides differ considerably at the T-cell receptor interface, which provides a possible  
61 molecular explanation for the escape mechanism. This viral escape from the HLA-B\*27-  
62 restricted CD8 T-cell response correlates to chronic outcome of hepatitis D infection. T-cell  
63 failure resulting from immune escape may contribute to the high chronicity rate in HDV  
64 infection.

65

**66 Importance**

67 Hepatitis D virus (HDV) causes severe chronic hepatitis which affects 20 million people  
68 worldwide. Only a small number of patients is able to clear the virus, possibly mediated by  
69 virus-specific T cell response. Here we performed a systematic screen to define CD8 epitopes  
70 and investigated the role of CD8 T cells in outcome of hepatitis D and how they fail in  
71 eliminating HDV. Overall the number of epitopes identified was very low as compared to  
72 other hepatotropic viruses. We identified, two HLA-B\*27-restricted epitopes in patients with  
73 resolved infection. In HLA-B\*27 positive patients with chronic HDV infection, however, we  
74 detected escape mutations within these identified epitopes which could lead to viral evasion  
75 from immune responses. These findings support the evidence that HLA-B\*27 is important for  
76 viral-specific CD8 T cell responses, similar to other viral infections. These results have  
77 implications for the clinical prognosis of HDV infection and for vaccine development.

78

## 79 **Introduction**

80 It is estimated that 15 to 20 million patients worldwide carry \HDV, a virus that causes the  
81 most severe form of viral hepatitis including fulminant hepatitis and a high rate of cirrhosis  
82 (1, 2). The HDV virion is composed of a circular single-stranded RNA consisting of around  
83 1,700 bases and depends on hepatitis B virus (HBV) for progeny virus production and spread.  
84 The antigenomic open reading frame of HDV encodes the only viral protein, hepatitis delta  
85 antigen (HDAg) in two forms, the small and the large hepatitis delta antigen (S- and L-  
86 HDAg). L-HDAg contains the protein sequence of S-HDAg but has an additional 19-amino  
87 acid (aa) at the C-terminus (3, 4).

88 Two courses of HDV infection are known (5). HDV and HBV coinfection of patients shows  
89 a course similar to acute HBV mono-infection and the majority of the patients clear both  
90 HBV and HDV. HDV superinfection in chronic HBV infection in contrast results in a high  
91 rate of HDV persistence. Antibodies recognizing both HDV proteins are detected at low titers  
92 during acute infection and reach high levels during chronic infection, but they are not able to  
93 neutralize the virus (6). The efficacy of current therapies against HDV, e.g. with PEGylated  
94 interferon is very limited (7).

95 Liver damage, after superinfection of HBV carriers with HDV, results in transaminase  
96 elevation. This observation as well as the fact that HDV itself is not cytopathic (8) may  
97 indicate that cytotoxic T cells (CTL) are involved in the destruction of hepatocytes. T-cell  
98 responses against HDV antigens seem weak, but very little is known about T-cell responses  
99 controlling HDV infection and T-cell epitopes are poorly characterized. First studies in  
100 animal models have shown that a CD8 T-cell response against S- and L-HDAg can be  
101 generated. In mice, we demonstrated that immunization with a plasmid DNA vaccine  
102 expressing HDAg primed a functional CD4 and CD8 T-cell immune response against both

103 forms of delta antigens (9). These T cells prevented the development of L-HDAg -expressing  
104 tumors in 80–100% of immunized mice (9). Moreover, a DNA prime and adenoviral vector  
105 boost vaccination with L-HDAg protected woodchucks from HDV in the setting of a  
106 simultaneous infection with WHV and HDV (10). Nisini *et al.* demonstrated a polyspecific  
107 but weak CD4 T-cell response to HDAg in patients related to the resolution of HDV-induced  
108 disease activity (11). CD8 T-cell responses against two HLA-A\*02:01 restricted HDAg  
109 epitopes have been described in patients with resolved HDV infection that were absent in  
110 patients with an actively replicating chronic infection (12).

111 In recent years, much has been learned about how human viruses establish chronic and long-  
112 lasting infections by escaping the T-cell immune response. For the clinically most relevant  
113 chronic viral infections with HIV, HBV and hepatitis C virus (HCV), it has been  
114 demonstrated that despite differences in the natural course of infection in humans, failure of  
115 T-cell responses is a typical phenomenon (13-15). Viral immune escape variants may  
116 contribute to the failure of T-cell responses and allow viral persistence and high-level  
117 replication. CD8 T-cell responses are restricted by the host human leukocyte antigen (HLA)  
118 class-I molecules with an enormous allelic variation between individuals and across different  
119 populations. Since the repertoire of the CD8 T-cell response is dictated by the genetic HLA  
120 background of the individual host, diverse peptide epitopes derived from viral proteins maybe  
121 presented.

122 CD8 T cells have been shown to elicit a significant selection pressure in chronic viral  
123 infection. In HBV (14), HCV (16) and HIV (17, 18) infection, selection of viral escape  
124 variants has been reported. For HDV, a previous study suggested evidence for positive  
125 selection within the predicted HDV-epitopes restricted by HLA-A\*02:01 (19); however, no  
126 in vitro experiments have been carried out confirming that immune escape functionally  
127 impair the virus-specific T cell response. The aim of this study therefore was (1) to

128 characterize the variability of the only HDV protein, L-HDAg, in a large cohort of patients,  
129 (2) to identify HDAg-specific CD8 T-cell epitopes for frequent HLA alleles by *in silico* and  
130 *in vitro* analysis, and (3) to evaluate whether an immune escape of HDV from CD8 T-cell  
131 responses by mutation of relevant CD8 epitopes contributes to the persistence of HDV after a  
132 superinfection of HBV carriers.

## 133 **Results**

### 134 **HDV epitope prediction and MHC-binding capability of predicted epitopes in vitro**

135 200 HDV genotype-1 sequences of L-HDAg, retrieved from the GenBank, were submitted to  
136 epitope prediction using two different online tools for the prediction of MHC class-I binding  
137 epitopes (implemented in the IEDB and SYFPEITHI databases). This prediction on the L-  
138 HDAg was done for frequent MHC class-I alleles in the European population (20), as well as  
139 HLA-B\*27 which, despite its low frequency, has been shown to play a protective role against  
140 a number of serious human viral infections such as HCV and HIV (21-23). Two predicted  
141 HLA-B\*27-restricted epitopes, i.e. L-HDAg<sub>99-108</sub> (RRDHRRRKAL) and L-HDAg<sub>103-112</sub>  
142 (RRRKALENKK), showed the best percentile scores (Table 1). Although, for the most of the  
143 common HLA alleles, no peptides reached average prediction scores which were comparable  
144 to the peptide epitopes known from other viruses, we selected 15 peptides with the best  
145 predicted binding scores to HLA-A\*01, A\*02, A\*03, A\*24, HLA-B\*07 and B\*27 to  
146 determine their binding affinity experimentally.

147 HLA-binding was assessed by UV-induced peptide exchange and compared to a known high  
148 affinity ligand of the respective HLA class-I molecules. None of the synthesized peptides  
149 predicted to bind to HLA-A\*01, A\*02, A\*03, A\*24 or HLA-B\*07 bound with an affinity  
150 >25% compared to the respective positive control (Fig. 1). Only the two peptide ligands for  
151 HLA-B\*27, aa 99-108 (RRDHRRRKAL) and 103-112 (RRRKALENKK), showed high  
152 binding affinities of 120 and 111.7%, respectively, relative to that of KRWILGLNK, a well-  
153 known HLA-B\*27-restricted HIV epitope which was used as positive control.

### 154 **Detection of HDV-specific CD8 T cells in patients with resolved HDV infection**

155 To determine if the predicted peptide epitopes would be recognized in HDV infection, we, in  
156 a first set of experiments, analyzed PBMCs of one HLA-B\*27-positive and three HLA-B\*27-

157 negative patients who had resolved HDV infection. Using an overlapping peptide library  
158 spanning the whole L-HDAg (Table 2) divided into 8 peptide pools (A to H), we detected a  
159 T-cell response only in the HLA-B\*27-positive patient A (Fig. 2). The CD8+ T-cell response,  
160 shown by intracellular cytokine staining (ICS), was induced by peptides of pool D (0.53%  
161 IFN- $\gamma$ + CD8+ T cells compared to 0.04% relative to negative control peptides).  
162 Restimulation of the cells with the single peptides of pool D showed that the response was  
163 present only after stimulation with peptide D14. This 16-mer peptide (L-HDAg<sub>98-113</sub>  
164 ERRDHRRRKALENKKK) includes the sequences of two HLA-B\*27:05 peptide ligands, L-  
165 HDAg<sub>99-108</sub> (RRDHRRRKAL) and L-HDAg<sub>103-112</sub> (RRRKALENKK), both of which we had  
166 predicted and proven to bind to this allele (Table 1, Fig. 1). Four HLA-B\*27-negative  
167 patients with resolved HDV infection, five HLA-B\*27-positive patients with chronic HDV  
168 infection (HDV RNA-positive), as well as two HLA-B\*27-positive individuals without HBV,  
169 HCV and HDV infection (anti-HDV and HDV RNA-negative) used as controls showed no  
170 response to the 16-mer or to the single peptide epitopes (Fig. 3).

171 To confirm the CD8 T-cell response observed in patient A, we were able to gain access to  
172 two additional HLA-B\*27-positive individuals (patient B and C) with resolved HDV  
173 infection (Fig. 2B,C), while PBMCs from patient A were no longer available. Stimulation of  
174 PBMCs from both patients B and C with the 16-mer peptide (98-113  
175 ERRDHRRRKALENKKK) resulted in a similar IFN- $\gamma$  response as had been the case with  
176 patient A. Interestingly, patient B recognized the HLA-B\*27:05 ligand L-HDAg<sub>99-108</sub>  
177 (RRDHRRRKAL) (Fig. 2B) and patient C L-HDAg<sub>103-112</sub> (RRRKALENKK) (Fig. 2C)  
178 indicating a differential CD8 T-cell response in HLA-B\*27-positive individuals. Our HLA-  
179 B\*27+ patients were recruited from Germany, Italy and Spain, where the HLA-B\*27  
180 subtypes (alleles) B\*27:05 and B\*27:02 are prevalent. Since these two HLA-B\*27 subtypes  
181 may influence targeting of virus-specific CD8+ T cell epitopes (24), we analyzed

10

182 presentation of the epitope L-HDAg<sub>103-112</sub> by HLA-B\*27:05 and B\*27:02. Of note, the  
183 epitope was presented by both HLA-B\*27 subtypes (Fig. 4).

184 According to prediction and binding assay, in our hand, the two previously described HLA-  
185 A\*02-restricted peptides (L-HDAg<sub>26-34</sub> and L-HDAg<sub>43-51</sub>) (12) had low prediction scores and  
186 no binding capacities to the HLA-A\*02 molecule *in vitro*. Nevertheless, we intended to  
187 confirm these two epitopes in 4 HLA-A\*02 positive patients with resolved HDV infection.  
188 We observed no specific T cell response in PBMCs of all 4 patients after 10 days of culture  
189 (Fig. 5).

#### 190 **Molecular footprints for viral immune escape in HLA-B\*27 epitopes L-HDAg<sub>99-108</sub> and** 191 **L-HDAg<sub>103-112</sub>**

192 Several studies have demonstrated that viral mutations leading to sequence variation within  
193 T-cell epitopes are selected as a result of the immune pressure. These mutations can result in  
194 a failure of T-cell recognition and are maybe responsible for viral persistence. We therefore  
195 compared the coding sequence for L-HDAg by direct sequencing in a cohort of 104 patients  
196 with chronic HDV infection who were HLA-typed. Sequence alignment and subsequent  
197 phylogenetic analysis indicated that all isolates were HDV genotype 1 (data not shown).  
198 Within the 214 aa L-HDAg, we observed a high variability, e.g. at aa positions 9, 121 and  
199 191, whereas aa 10, 99 and 115 were conserved in all isolates (data not shown). These  
200 analyses indicate that the candidate HLA-B\*27-restricted epitopes (aa 99-108 and 103-112)  
201 are located in a relatively conserved region of the L-HDAg.

202 When comparing HLA-B\*27-positive and -negative patients, we found a higher rate of  
203 substitutions within the verified HLA-B\*27 epitopes L-HDAg<sub>99-108</sub> and L-HDAg<sub>103-112</sub> in  
204 HDV isolates from the six HLA-B\*27 positive individuals (Fig. 6). Sequence analysis  
205 indicated that two amino acid substitutions, R105K and K106M, were significantly enriched

206 in isolates from HLA-B\*27-positive patients ( $p=0.002$ ). Two additional residues within this  
207 region, L-HDAg 100 and 112, showed some variations in both HLA-B\*27 positive and  
208 negative patients which were not only present in genotype 1, but also in all 8 genotypes of  
209 HDV following comprehensive analysis of 621 available isolates from the GenBank (Fig. 7).  
210 We also analyzed amino acid sequences upstream of the L-HDAg<sub>99-108</sub> and downstream of the  
211 L-HDAg<sub>103-112</sub> in isolates from HLA-B\*27 positive versus HLA-B\*27 negative patients with  
212 chronic HDV infection. These analyses indicated no enrichment of mutations in the flanking  
213 regions of these two epitopes as described elsewhere (25). The L-HDAg sequence analyses  
214 was also extended to other 13 predicted epitopes restricted by HLA-A\*01, A\*02, A\*03,  
215 A\*24 or HLA-B\*07 (Table 1). Importantly, for none of these candidate epitopes, a higher  
216 frequency of viral mutations was observed in isolates from patients positive for the respective  
217 HLA type compared to patients negative for these alleles. These results are consistent with  
218 those of prediction and binding assay.

219 Notably, two of the three HLA-B\*27-positive patients (No.2 and 6 in Fig. 6) with wild type  
220 epitopes, resolved the HDV infection in the follow-up after more than 3 years of persistent  
221 infection.

222 To identify additional minor variants, next-generation sequencing was performed in HDV  
223 isolates from the HLA-B\*27-positive patients and the six randomly selected HLA-B\*27-  
224 negative patients. The analyses of 43,724 validated sequences demonstrated that the  
225 substitutions identified by direct sequencing were present in 100% of the haplotypes 4/5  
226 HLA-B\*27-positive, but 0/6 HLA-B\*27-negative patients. Additional low frequency  
227 substitutions (0.3-0.8% of the sequences) in L-HDAg epitope coding region were observed,  
228 just in HLA-B\*27-positive cases (Table 3). Haplotypes at position 112 showed  
229 a polymorphism K/R which was observed in both HLA-B\*27-positive and negative cases

230 (Fig. 6). In two HLA-B\*27-negative cases a mixture between 112K and R was observed in  
231 minor proportions (Table 3). Thus, distinct molecular footprints were identified in the L-  
232 HDAG coding sequence of HDV isolates in HLA-B\*27-positive patients.

233 **Impact of footprints within HLA-B\*27 epitopes L-HDAG<sub>99-108</sub> and L-HDAG<sub>103-112</sub> on the**  
234 **CD8 T cell response**

235 To investigate whether the amino acid variations detected in our patient cohort have an  
236 impact on the T-cell response, we tested the variant peptides for cross-recognition in the  
237 HLA-B\*27+ patients with resolved HDV infection (Fig. 8). Further characterization of L-  
238 HDAG<sub>103-112</sub> using PBMCs from patient C indicated equal recognition of the 10-mer epitope  
239 and the corresponding 9-mer (L-HDAG<sub>104-112</sub>: RRKALENKK) (Fig. 8A). Variation at the  
240 position 112 (K112R), observed in both groups of HLA-B\*27-positive and -negative patients,  
241 did not influence CD8 T-cell responses. Therefore, we investigated the impact of R105K and  
242 K106M substitutions in both 10-mer and 9-mer epitopes with lysine (K) at position 112.  
243 While R105K substitutions affected the corresponding T-cell response in none of the  
244 epitopes, the K106M substitution completely impaired the specific T-cell response to 10-mer  
245 and 9-mer epitopes. Equivalent results were obtained when IFN- $\gamma$  ELISpot instead of  
246 intracellular cytokine staining was performed as read-out (Fig. 9). This indicated that for  
247 patients recognizing the epitope L-HDAG<sub>103-112</sub> only the variation K106M is able to allow  
248 HDV to escape virus-specific immunity.

249 In addition to the variations R105K and K106M within the L-HDAG<sub>99-108</sub> epitope, we also  
250 observed variations at position 100, the second amino acid in that epitope, throughout all  
251 studied isolates (RRDHRRRKAL, RQDHRRRKAL, REDHRRRKAL and  
252 RKDHRRRKAL) (Fig. 6). To address the impact of these amino acid substitutions on T-cell  
253 recognition, we tested the peptide variants in HLA-B\*27-positive patient B, with resolved

254 HDV infection, for cross-recognition (Fig. 8B). This assay confirmed that the L-HDA<sub>g99-108</sub>  
255 induced CD8 T-cell responses irrespective of aa 100 variations (R[R/Q/E/K]DHRRRKAL)  
256 (Fig. 8B, 4 left panels). However, IFN- $\gamma$  production was not observed when PBMCs were  
257 stimulated with peptides variant either at positions 105 (R105K) or at position 106 (K106M)  
258 (Fig. 8B, 4 right panels). This matched our finding that amino acid substitutions R105K and  
259 K106M were exclusively observed in HLA-B\*27-positive patients with chronic HDV  
260 infection, whereas substitutions at position 100 were observed in patients with diverse HLA  
261 haplotypes (Fig. 6) and are also prevalent in other HDV genotypes (Fig. 7). Taken together,  
262 our data provide strong evidence that two residues, 105 and 106, within the novel HLA-  
263 B\*27-restricted CD8 T cell epitope L-HDA<sub>g99-108</sub> are selected under immune pressure by the  
264 CD8 T cell-response and the variants confer an immune escape to HDV.

265 ***In silico* structural analysis of six representative peptide variants bound to HLA-**  
266 **B\*27:05**

267 To gain a detailed molecular understanding of the HLA-B\*27:05 binding mode of the new-  
268 identified epitopes and their T-cell activation properties, we performed a molecular dynamics  
269 (MD)-based analysis of the binding properties of six representative peptides bound to HLA-  
270 B\*27:05: the epitope L-HDA<sub>g99-108</sub> (RRDHRRRKAL), the naturally occurring L-HDAg  
271 peptide variants mutated at amino acid position 100 (Q/E/K100), and the immune escape  
272 variants R105K and K106M (Table 4, Figs. 10-12).

273 In Table 4 the experimental binding and T-cell activation data are provided for these peptides  
274 together with the calculated interaction energies. The experimental MHC binding data  
275 suggests that R100 is the strongest binder among all peptides varying in position 100 (see  
276 column 3 of Table 4). This is also reflected by the calculated interaction energies for the  
277 Q100 and E100 variants (see column 5 of Table 4), but not for K100. Thus, two further

278 analyses of the theoretical results were performed: first, a visual analysis of the structural  
279 binding properties (see Fig. 10 and Fig. 12), which showed that all variants (Q/E/K100) fit  
280 less well into the binding site compared to R100. Second, a quantitative analysis of the  
281 hydrogen bond network between the peptides and the HLA-B\*27:05-binding site (data not  
282 shown) which substantiated the visual observations. The area of the HLA-B\*27:05 binding  
283 site in which residue 100 is located, consists of a very deep pocket with two hydrogen bond  
284 acceptors/donors, E69 and T48, at its bottom (Fig. 10A, blue inlay). This pocket is optimally  
285 filled by the large R residue, which forms three to four stable hydrogen bonds with E69 and  
286 T48, while K, only containing one charged group, interacts alternatively with E69 or T48, its  
287 side chain flipping back and forth between the two residues during the simulation indicating  
288 instable binding. Q and E are simply too small to form stable interactions within the pocket as  
289 their side chains cannot reach the bottom of the binding site. Therefore, our computational  
290 analyses can provide an explanation for the experimentally observed strong binding of the  
291 R100 wild type and is consistent with the experimental HLA-B\*27:05 binding data.

292 To estimate the influence of the R105K and K106M mutations on the T-cell response we  
293 investigated their effect on the shape and electrostatic potential of the solvent-exposed  
294 surface region of the peptides, as this is the region to which the TCR binds (see Fig. 10 and  
295 Fig. 12). In addition, the same visual and a quantitative hydrogen bond analyses were  
296 performed as for the R100 variants (Fig. 10 and 11). For the L-HDAg<sub>99-108</sub> epitope (Fig. 10C)  
297 the TCR interface is dominated by R105 and R104 forming a very characteristic steric and  
298 electrostatic fingerprint, which seems important for TCR recognition as for both variants,  
299 which do not show any T-cell response, large differences were observed at the TCR  
300 interaction region (Fig. 10D,H). The R105K mutation directly leads to strong changes in the  
301 electrostatic potential of the peptide at the TCR interaction area (Fig. 10D). In the K106M  
302 variant the effects are more complex and are caused indirectly by a different hydrogen bond

303 pattern within the peptide, which leads to considerable changes in the conformation and thus  
304 the shape of the peptide's surface (Fig. 10F,H and 11). The reason behind this different  
305 behavior of the mutant is analogous to the observations made for the variations at position  
306 100 as discussed above, namely that the native residue K106 fits optimally into its MHC  
307 binding pocket, whereas the M mutant does not. Thus K106 forms stable hydrogen bonds  
308 with the pocket residues D101 and D98 (Fig. 10E) leading to an optimal peptide-MHC  
309 hydrogen bond network, which is stable throughout the simulation (Fig. 11A). In contrary  
310 M106 cannot interact via hydrogen bonding with the negatively charged D101/D98 (Fig.  
311 10F) and thus moves to the edge of the binding pocket during the simulation. This leads to  
312 large structural rearrangements within the peptide and a final, alternative peptide  
313 conformation stabilized by strong intra-peptide hydrogen bonds between peptide residues  
314 R103 and D101 (Fig. 10F and 11B). Due to this alternative conformation the peptide-TCR  
315 interaction surface differs considerably from the one of the original K106 epitope (Fig. 10H  
316 versus 10G), providing a potential explanation for the missing T-cell response to the K106M  
317 variant and which is consistent with the effect observed for R105K.

318 Importantly, both variants (R105K and K106M) still show strong MHC binding (Table 4).  
319 For R105K this is directly reflected by the MHC-peptide interaction energy (Table 4) and is  
320 not surprising as residue 105 is located outside the MHC binding site and thus does not  
321 contribute to MHC-peptide binding. For variant K106M the calculated interaction energy is  
322 rather low, which is in contrast to the experimental MHC binding data. This, however, can be  
323 explained by the above discussed conformational rearrangement of the peptide due to the  
324 mutation leading to a very strong stabilization of the internal peptide conformation and thus a  
325 very favorable internal energy of the peptide (data not shown), which comes at the cost of  
326 less strong MHC interactions. Interestingly, this alternative binding mode of a very rigid  
327 peptide conformation interacting moderately with the MHC binding site seems to lead also to

328 very stable bound complexes (see experimental data in Table 4). Thus concluding, our  
329 modeling results indicate that the missing T-cell response to the R105K and K106M variants  
330 of the HLA-B\*27:05 epitope L-HDA<sub>g99-108</sub> is caused by structural and electrostatic  
331 differences at the TCR interface, which are not directly correlated to the peptides' HLA-  
332 B\*27:05 binding strength.

### 333 **Discussion**

334 In this study, we identified two overlapping HLA-B\*27-restricted CD8 T-cell epitopes, L-  
335 HDA<sub>g99-108</sub> and L-HDA<sub>g103-112</sub>, in a conserved region of the only HDV antigen. To both  
336 epitopes, a specific CD8 T-cell response was detected in HLA-B\*27-positive patients who  
337 were able to resolve their HDV infection. Molecular footprinting using a collection of HDV  
338 isolates from patients with chronic hepatitis D provided strong evidence that variations at aa  
339 105 and 106, common to both CD8 T-cell epitopes, are selected. Functional T-cell analysis  
340 confirmed that aa 105 and 106 variants confer an immune escape for HDV, and *in silico*  
341 structural modelling of the HLA-B\*27 epitope L-HDA<sub>g99-108</sub> revealed that both the R105K as  
342 well as the K106M variant dramatically alter the TCR interface explaining the immune  
343 escape.

344 Antibodies directed against the HDV nucleoprotein have no neutralizing capacity and are not  
345 effective in controlling HDV infection (6). Therefore, T-cell response plays a major role in  
346 eliminating HDV which occurs in about 10-20% of HBV carriers who have been  
347 superinfected (26). On the other hand, about 80-90% of superinfected patients are not able to  
348 eliminate the virus and develop chronic HDV infection. This may be due to primary or  
349 secondary failure of the T-cell response which in turn may be caused by T-cell exhaustion, an  
350 immune escape of the HDV variants, or simply by an absence of epitopes within HDV  
351 proteins to certain HLA alleles.

352 HDV has a very short genome of 1.7 kb and only one ORF expressing two isoforms of one  
353 protein (S-HDAg and L-HDAg). The 214 aa L-HDAg comprises all potential epitopes that  
354 may be recognized by T-cell responses. In our analysis, the number of peptides predicted to  
355 bind any of the major HLA class-I molecules was significantly lower than that of proteins  
356 from other viruses such as HAV, HBV and HCV (27-29). The lack of epitopes may be  
357 explained by the fact that the HDV protein could have been derived from a host protein (30)  
358 against which self-reacting T cells are deleted during the selection of T cells in the thymus.  
359 Of note is that we did not detect high-affinity HDV epitopes binding to HLA alleles other  
360 than HLA-B\*27, although we used various approaches. In HLA-binding analyses of the  
361 predicted peptide epitopes, we only observed low-affinity binding to e.g. HLA-A\*01:01,  
362 HLA-A\*02 or HLA-B\*07:02 alleles with a high prevalence in western countries. Low-  
363 affinity binding indicates that these peptides will probably be outcompeted by better binding  
364 peptides. A previous study by Huang *et.al* described two HLA-A\*02 epitopes in Asian  
365 patients (12) that we could not confirm these findings in 4 HLA-A\*02-positive patients with  
366 resolved HDV infection in our study. This may be due to differences in the aa sequences of  
367 genotype-1 isolates prevalent in East Asia. Moreover, these HLA-A\*02:01-restricted HDV  
368 epitopes are located in a highly variable region of L-HDAg which is not conserved in most  
369 European HDV isolates. HDV only contains few highly conserved regions in its genome.  
370 Among these is the region around the two identified HLA-B\*27 epitopes which is conserved  
371 throughout all isolates from all genotypes. In fact, our comprehensive analyses of 545  
372 sequences of L-HDAg from all eight HDV genotypes indicated that the region restricted by  
373 HLA-B\*27 is conserved within all genotypes, implying a possible cross-genotypic HDV-  
374 specific T-cell response. This is maybe a unique feature of HDV and stands in contrast with  
375 earlier findings made in studies of HCV-specific T-cell response where the protective  
376 antiviral effect of HLA-B\*27 was shown to be restricted to HCV genotype-1, and not to any

377 other prevalent genotypes such as 3a (31). The low substitution rate in this region may thus  
378 be due to viral fitness costs of mutations in this region.

379 We demonstrated a HLA-B\*27-restricted HDV-specific CD8 T-cell response in some  
380 patients with resolved HDV infection. However, other HDV-infected HLA-B\*27-positive  
381 patients fail to clear HDV and develop a persistent infection. We therefore hypothesized that  
382 viral variants emerged which cannot be targeted by the relevant virus-specific CD8 T cells.  
383 We addressed this possibility by analyzing a multicentric cohort of patients in which we  
384 observed amino acid substitutions in both L-HDAg<sub>99-108</sub> and L-HDAg<sub>103-112</sub> epitopes  
385 abrogating the respective CD8 T-cell response. This sequence variation was absent in HLA-  
386 B\*27-negative patients. These findings were strengthened by deep sequencing, as we  
387 detected minor variations at this location only in the HLA-B\*27-positive patients, in addition  
388 to those mutations found by conventional sequencing. Further longitudinal studies will be of  
389 interest to determine whether such minor species may out-grow the wild-type virus. Classical  
390 escape mutations are known as mutations occurring at anchor positions impairing HLA  
391 binding capacity and have been detected in other viruses, e.g. HBV and HCV. It is  
392 noteworthy that none of the escape mutations described here occur at HLA-B\*27 anchor  
393 residues. Interestingly, one of the described variants of the L-HDAg<sub>103-112</sub> epitope  
394 demonstrated a lysine substitution to methionine at residue 106 (the fourth residue of this  
395 epitope) which was also reported in a HLA-B\*27-restricted HCV epitope abrogating the T-  
396 cell response to HCV (31); this may indicate the role of methionine in the T-cell interface of  
397 HLA-B\*27 epitopes regardless of their origin. Our study is the first reporting of impairment  
398 of HDV-specific CD8 T-cell response due to escape mutation, suggesting that genetic  
399 diversity of HDV, at least in part, is driven by immune pressure.

400 Molecular modeling studies of the bound complexes of the HDV-specific epitopes of L-  
401 HDAg<sub>99-108</sub> and its potential immune escape variants to HLA-B\*27 provide a potential

402 structural explanation why variations at the aa positions 105 and 106 of the peptide have a  
403 strong impact on TCR binding, whereas aa 100 variations do not. Analysis of the naturally  
404 occurring aa 100 variants showed that these mutations do not affect the TCR-binding surface,  
405 but can significantly change the binding pattern with the HLA-B\*27 molecule and thus lead  
406 to less strong interactions. This is reasonable as aa 100 is located in a deep binding pocket of  
407 the MHC, four residues away from the two solvent-exposed arginine residues (R104 and  
408 R105) crucial for TCR binding. These observations are in accordance with our experimental  
409 T-cell analyses, in which aa 100 variant peptides induced a T cell response comparable to  
410 that of wild-type peptides.

411 *In silico* analysis also provided an explanation for the importance of the surface-exposed  
412 peptide residues R104 and R105 for TCR binding. This was experimentally confirmed by the  
413 lacking T-cell response to the R105K and K106M variants, supporting the notion that R104  
414 and R105 are crucial for T-cell recognition. Our computational results indicate that the  
415 escape mechanism behind the corresponding variants is based on mutation-induced structural  
416 (K106M) and electrostatic (R105K) changes in the surface region of the bound peptide,  
417 which is essential for T-cell recognition. Thus, structural integrity of the TCR interface seems  
418 to be more important than the MHC-binding affinity of the variant. This conclusion is  
419 supported by the notion that no correlation between the experimental or calculated MHC-  
420 binding properties of the peptides and their ability to activate T-cell responses could be  
421 found.

422 It is important to point out that viral escape may not be the only mechanisms contributing to  
423 virus-specific CD8<sup>+</sup> T-cell failure during persistent infection. Indeed, Huang et al.  
424 demonstrated HLA\*02-restricted HDV-specific CD8<sup>+</sup> T-cell responses in two patients with  
425 long-term negative HDV RNA (and thus probably recovered HDV infection), but were not  
426 able to demonstrate HDV-specific responses in persistently infected patients, indicating that

427 HDV-specific CD8+ T cells were either not primed in these patients, or had undergone T-cell  
428 exhaustion and deletion (12). In line with these previous results, we also failed to identify  
429 HLA-B\*27-restricted HDV-specific CD8+ T-cells after antigen-specific expansion in five  
430 patients with chronic HDV infection (Fig. 3). Even after performing peptide/MHC multimer  
431 bead-based enrichment of HDV-specific CD8+ T-cells from five patients with chronic HDV  
432 infection, a technique well established in our laboratory for enrichment of low-frequency  
433 populations for virus-specific CD8+ T cells (32, 33), we were not able to detect HDV-  
434 specific CD8+ T cells in chronically infected patients (Fig. 13). These data support the  
435 hypothesis that HDV-specific CD8+ T cells undergo final exhaustion and deletion in patients  
436 with chronic HBV/HDV co-infection. In addition, sequestration of HDV-specific CD8+ T  
437 cells into the liver may be an alternate explanation for their absence in peripheral blood. In  
438 contrast, using this technique, HDV-specific CD8+ T cells were readily detectable in a  
439 patient with cleared HDV infection but persistent low-level HBV viremia. These HDV-  
440 specific CD8+ T cells were antigen-experienced (not naïve, as indicated by CCR7 and  
441 CD45RA costaining), displayed high expression of PD1 but varying expression levels of  
442 CD127.

443 Overall, our results indicate that the number of potential epitopes to the frequent HLA alleles  
444 in the 214aa of the single protein of HDV is very low; only two HLA-B\*27-restricted  
445 epitopes could be defined so far, whereas for all major HLA alleles present in the European  
446 population no epitope was so far identified. The low number of epitopes and T-cell failure  
447 resulting from immune escape may contribute to the high chronicity rate in HDV infection, in  
448 addition to the immunoinhibitory environment established by persistent HBV infection that  
449 persistent HDV infection is tied to. The hypothesis that HLA-B\*27 maybe a protective allele  
450 against HDV, as shown in other infections like HIV and HCV, has to be verified by initiation  
451 of a prospective study in a larger cohort with patients being HLA-B\*27 positive or negative.

452 These data also imply that the development of effective antiviral approaches e.g.  
453 immunotherapy, against HDV will be a challenging task.

## 454 **Materials and Methods**

### 455 **Patient cohorts and samples**

456 Chronically HDV infected patients (n=104, anti-HDV+, HDV-RNA±) were recruited from 8  
457 different medical centers located in Germany (Essen, Freiburg, Hannover and Munich),  
458 Spain, Italy and Iran. HLA backgrounds as well as L-HDAg sequences were determined.  
459 HLA class-I typing was performed by a Luminex™ Polymerase Chain Reaction-Sequence  
460 Specific Oligonucleotide Probe (PCR-SSO) using the LABType™ SSO Kits (One Lambda,  
461 Canoga Park, CA, USA) (20) from genomic DNA extracted from blood samples, using a  
462 column purification kit (QIAGEN, Hilden, Germany). Two-digit HLA-typing and, in selected  
463 patients, high-resolution typing was done to define four-digit HLA types or subgroups.

### 464 **HDV phylogenetic analysis and epitope prediction**

465 Total RNA was extracted from the patient's serum samples and was reverse transcribed into  
466 cDNA by reverse transcriptase from the Moloney Murine Leukemia Virus (Promega,  
467 Madison, Wisconsin, USA) at 37°C for 1 hour using HDV-specific primer, 771R (Table 5).  
468 In order to obtain sequences of the L-HDAg encoding region, cDNA products from the  
469 reverse transcription step was amplified by *Pfu* DNA polymerases (Promega, USA) in a two-  
470 step nested PCR. PCR steps using HDV-specific primers 891-F, 339-R, 912-F and 1674-R  
471 were performed under the following thermal profile: 94°C for 10 minutes and a 35 cycle of  
472 94°C for 30 seconds, 54°C for 45 seconds, and 72°C for 90 seconds and then an elongation  
473 step at 72°C for 7 minutes. Finally, PCR products were purified via QIAquick® Gel  
474 Extraction (QIAGEN) and were directly sequenced on an ABI 3730xl DNA Analyzer using  
475 internal primers, 912-F and 1674-R. All sequences were submitted to the GenBank  
476 (accession numbers: MF175257- MF175360)

477 HDV sequences from this study and NCBI GenBank were aligned using ClustalX2 software  
478 (34). A maximum-likelihood phylogenetic tree was constructed under the Tamura-Nei  
479 substitution model using MEGA software v6 (35). Reliability of pairwise comparison and  
480 phylogenetic tree analysis was assessed by 1,000 replicates bootstrapping. Genotypes of  
481 HDV strains were determined by comparison to full-length L-HDAg reference sequences  
482 from GenBank.

483 Next generation sequencing was performed in 11 selected samples to identify variations at a  
484 detection level of 0.1% and to pin down variations in the region flanked from nt position 956  
485 to 1,360 by ultra-deep pyrosequencing (UDPS) (primer sequences are listed in Table 5). The  
486 amplicons had a length of 515bp and were isolated from 0.9% agarose gel with the QIAquick  
487 Extraction Kit (QIAquick Spin Handbook, QIAGEN, Hilden, Germany) and quantified using  
488 Quan-iTPicogreens DNA reagent (Invitrogen). UDPS was performed with the 454/GS-Junior  
489 platform (Roche, Branford, CT 06405, USA), using titanium chemistry (GS-Junior Titanium  
490 Sequencing Kit). The FastA file from the GS-Junior was demultiplexed and filtered as  
491 recently described (36). The specific region analyzed by UDPS (after discarding primer  
492 sequences) covered the region from nt 977 to 1,338 of the HDV genome (362 nt analyzed).  
493 All sequences were submitted as a new bioproject to the GenBank (accession number:  
494 PRJNA434630). FastA reads were filtered and analyzed as recently described (37).

495 Two hundred L-HDAg sequences of HDV genotype 1 from GenBank were applied for  
496 epitope prediction using Immune Epitope database (IEDB; <http://www.iedb.org>) and  
497 SYFPEITHI database (<http://www.syfpeithi.de>) algorithms (38-40) to predict potential  
498 binders to the most common alleles in Europeans (41): HLA-A\*02, \*01, \*03, \*11, \*24 and  
499 HLA-B\*35, \*51, \*07, \*18, \*08, \*27.

**500 Analysis of HLA-binding capability of candidate peptides**

501 Binding affinity of the predicted epitopes was analyzed with the UV-mediated peptide  
502 exchange assay using PeliChange™ p\*HLA-A\*01:01, A\*02:01, A\*03:01, A\*24:02, B\*07:02  
503 and B\*27:05 kits (Sanquin, Amsterdam, The Netherlands) as described before (27, 42).  
504 Briefly, peptide-exchange reactions were performed by the exposure during 30 minutes of  
505 conditional pHLA complexes (0.53 μM) to long wavelength UV using a 366-nm UV lamp  
506 (CAMAG, Muttenz, Switzerland), in the presence or absence of the indicated peptide (50  
507 μM). Subsequently, peptide-exchange efficiency was analyzed using the HLA class I ELISA  
508 which detects beta-2 microglobulin of peptide-stabilized HLA class I complexes in a proper  
509 diluted exchange–reaction mixture. To this end streptavidin (2 μg/mL) was bound onto  
510 polystyrene microtiter wells (Nunc Maxisorp). After washing and blocking, HLA complex  
511 present in exchange reaction mixtures or controls was captured by the streptavidin on the  
512 microtiter plate via its biotinylated heavy chain (incubation for 1h at 37°C). Non-bound  
513 material was removed by washing. Subsequently, horseradish peroxidase (HRP)-conjugated  
514 antibody to human beta2-microglobulin (0.6 μg/mL) was added (incubation for 1h at 37°C).  
515 After removal of non-bound HRP conjugate by washing, an ABTS substrate solution was  
516 added to the wells. The reaction was stopped after 8 minutes (incubation at room  
517 temperature) by the addition of a stop solution and read in an ELISA reader at 414 nm. Every  
518 peptide was independently exchanged twice. Every exchange mixture was measured in duplo  
519 in the HLA class I ELISA. The absorbances of all peptides were normalized to the  
520 absorbance of a known HLA allele-specific ligand with high affinity for each corresponding  
521 allele (represents 100%). Negative controls included an HLA allele-specific non-binder and  
522 UV-irradiation of the conditional HLA class I complex in the absence of a rescue peptide.

**523 Polyclonal antigen-specific expansion of T-cells**

524 Patient PBMCs were obtained by standard Ficoll density centrifugation (Biocoll; Biochrom,  
525 Germany). Freshly isolated PBMCs ( $2 \times 10^6$ ) were cultured in 1ml complete medium (RPMI  
526 1640 supplemented with 10% fetal calf serum, 100 U/ml penicillin, 100 g/ml streptomycin)  
527 containing anti-CD28 antibody (0.5  $\mu\text{g/ml}$ ; BD Biosciences). Cells were stimulated with 31  
528 synthetic overlapping 16-mer peptides (EMC Microcollections, Tübingen, Germany; final  
529 concentration 10 $\mu\text{g/ml}$  per peptide) corresponding to the complete sequence of L-HDAg  
530 divided into 8 pools (pool A-H) or individual 10-mer or 11-mer peptides. On Day 2, 1ml  
531 complete medium and interleukin-2 (10 U/ml; Roche, Basel, Switzerland) was added. On  
532 Day 10 and 12, the cells were tested for IFN- $\gamma$  secretion by intracellular cytokine staining  
533 after re-stimulation with the peptide pools (Day 10) or individual peptides from the positive  
534 pool (Day 12) as described (43). Prior to staining, cells were cultured for 5h in the presence  
535 of peptides (10 $\mu\text{g/ml}$ ), and 5 $\mu\text{g/ml}$  of Brefeldin A (Sigma-Aldrich, St. Louis, Missouri,  
536 United States). Cells were stained using allophycocyanin (APC)-conjugated anti-CD8 and  
537 phycoerythrin (PE)-conjugated anti-CD4 and at 4°C for 15 min. After permeabilization, cells  
538 were stained with fluorescein isothiocyanate (FITC)-conjugated anti-IFN- $\gamma$  mAb (all reagents  
539 from BD Biosciences) and analyzed by flow cytometry on a FACS Calibur instrument (BD  
540 Biosciences). Dead cells were stained using Viaprobe reagent and excluded from analyses.  
541 Data files were analyzed with FlowJo 7.6.5 software (Tree Star, Ashland, Oregon).

**542 Computational modeling of HLA-B\*27 binding**

543 To investigate the binding properties of selected L-HDAg-derived peptides to HLA-B\*27:05  
544 on the structural level, *in silico* modeling studies were performed. The investigated  
545 peptide/HLA-B\*27:05 complexes were modeled on the basis of the crystal structure of the  
546 peptide/HLA-B\*27:05 complex with the PDB ID 4G9D (44). Only the  $\alpha 1$  and  $\alpha 2$

547 subdomains of the HLA-B\*27:05 protein (residues 25 to 206), forming the peptide-binding  
548 cleft, were considered during modeling. The peptide sequence was mutated using the IRECS  
549 algorithm (45, 46). To allow the HLA-B\*27:05 peptide-binding cleft to adapt to the mutated  
550 peptide, HLA-B\*27:05 side chains were subsequently also remodeled with IRECS. The  
551 modeled peptide/HLA-B\*27:05 complexes were energy minimized in a neutralized,  
552 rectangular box of TIP3P (47) water molecules with a minimum solute distance of 12 Å to  
553 the box boundary. The general system setup and the simulations were conducted with  
554 AmberTools 14 and the Amber 14 software package (48). The ILDN-corrected Amber  
555 ff99SB force field potential energy function and parameter set (49) were chosen as  
556 interaction potential. MD simulations were performed using a time step of 1 fs. The SHAKE  
557 algorithm (50) was applied to all bonds involving hydrogen atoms. Long-range electrostatic  
558 interactions were computed using the Particle Mesh Ewald (PME) method  
559 (pmemd.cuda\_SPFP) (51). A cutoff of 14 Å was used for the computation of non-bonded  
560 interactions. Temperature and pressure were controlled applying the Berendsen thermostat  
561 and barostat with default settings (52). The systems were stepwise heated up to 300 K, while  
562 gradually decreasing solute atom restraints, using the NVT ensemble. Afterwards NPT-based  
563 molecular dynamics simulations were performed for 20 ns for each system.

564 Trajectory processing and analysis was done with cpptraj (53). MD frames for analysis were  
565 extracted every 20 ps from the last 5 ns of the simulations. Representative conformations  
566 were obtained by conformational clustering using the average-linkage method with a cutoff  
567 of 2 Å, hydrogen bonds and peptide/HLA-B\*27:05 interaction energies were calculated  
568 applying default settings of cpptraj. Electrostatic potentials were calculated using the  
569 PDB2PQR server (Version 2.1.1) and APBS (Version 1.2.1) (54, 55), keeping default  
570 settings. Mapping of electrostatic potentials to the solvent accessible surface areas of the

571 peptide ligands was performed with VMD (Version 1.9) (56). Figures of peptide/HLA-  
572 B\*27:05 complexes were also generated with VMD (Version 1.9).

### 573 **Study approval**

574 Local ethics committees had approved this study according to the 1975 Declaration of  
575 Helsinki guidelines. The main approaches for “analyzing the HDV-specific T cell immune  
576 responses” in HDV infected patients were approved by the Ethics Committee of the faculty  
577 of Medicine of the Duisburg-Essen University (10-4472). The protocol of isolating and  
578 analyzing the Genomic DNA (genome-wide association study) was also approved by the  
579 Ethics Committee of Medical School of Hannover (OE 9515/No. 3388 and No. 5292M). And  
580 the Ethics Committee of the Albert-Ludwigs-Universität Freiburg, Nr. 369-15, approved the  
581 protocol for investigation of “Viral escape from dominant virus-specific CD8+ T cell  
582 response in HBV mono-infection and HBV/hepatitis D virus co-infection and strategies to  
583 restore antiviral CD8+ T cell response”. All the patients participated in this study were  
584 interviewed and informed about the concept of the study and possible side effects before they  
585 accept to participate by giving a written, informed consent.

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603 VO, ESA, JYM, MN, JG, AO, AH, MHom and FRF conducted various aspects of  
604 experimental work; BB and DH prepared statistical analysis; MG and IA performed the  
605 molecular modeling studies; HKa, ADK, MHof, CNH, FRF, DT, WJEvE, AS, TB, BR, HKe,  
606 JSzW, MB, SMA HW, UP and MRo analysed and interpreted the data; HKa, ADK, MHom,  
607 IA, UP and MRo wrote the manuscript; CNH, MF, MB, TB, BR, DH, HW, MRi, MF, UP  
608 and JT provided critical revision for intellectual content; MF, HW, MH and MB facilitated

609 recovered patients recruitments; MF, HKa, JG, MRo, HKe, JSzW and SMA handled ethics

610 and sample recruitment; CNH, UP, IA and MRo supervised the study.

611

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808 **Figures and Figure legends**

809

810 **Fig. 1: MHC binding assay of the highest ranking predicted L-HDAg epitopes.** Binding  
 811 to the indicated HLA molecule was assessed by UV-induced ligand exchange. A total of 15  
 812 different predicted epitopes were tested for binding HLA-A\*01:01 (n=2), HLA-  
 813 A\*02:01(n=3), HLA-A\*03:01(n=2), HLA-A\*24:02(n=3), HLA-B\*07:02 (n=3) and HLA-  
 814 B\*27:05(n=2). L-HDAg<sub>198-206</sub> was tested with HLA-A\*2:01 and \*24:02. Binding of predicted  
 815 peptide epitopes is given as % binding of indicated epitopes with high binding-affinities for  
 816 the respective HLA molecule. Mean±SD is shown. Negative controls included exchange of a  
 817 non-binder and UV illumination in the absence of any peptide.

818 **Fig. 2: Peptide recognition by T cells from patients with resolved HDV infection.**

819 PBMCs were stimulated with indicated peptides after *in vitro* expansion and surface staining  
 820 for T-cell markers. ICS was performed and analyzed by flow cytometry. (A) CD8 and IFN- $\gamma$   
 821 staining after stimulation with pools A to H (upper and middle panel) of overlapping 16-mer  
 822 peptides spanning the whole L-HDAg and indicated single peptides from pool D (lower  
 823 panel). Peptide D14 was L-HDAg<sub>98-113</sub> (ERRDHRRRKALENKKK). (B,C) Representative  
 824 dot plots of CD8 T-cell response of patient B (B) and patient C (C) to the 16-mer peptide  
 825 D14 as well as the two indicated 10-mer candidate epitopes L-HDAg<sub>99-108</sub> (RRDHRRRKAL)  
 826 and L-HDAg<sub>103-112</sub> (RRRKALENKK) within the 16-mer peptide. Negative controls have  
 827 been treated exactly as the other samples from the respective patients, but were not stimulated  
 828 with peptide prior to intracellular interferon gamma staining.

829 **Fig. 3: HLA-B\*27-restricted epitopes recognition by HLA-B\*27 negative patients with**  
 830 **resolved infection, HLA-B\*27 positive patients with chronic HDV infection and HLA-**  
 831 **B\*27 positive healthy individuals.** (A) PBMCs from four HLA-B\*27 negative patients with

832 resolved HDV infection (rHDV) were stimulated with the pool of peptides including the 16-  
833 mer peptide (D14) spanning both identified HLA-B\*27-restricted epitopes and evaluated for  
834 interferon-gamma production by CD8 T cells. **(B)** PBMCs from five HLA-B\*27 positive  
835 patients with chronic HDV infection (cHDV) were expanded with 16-mer peptide spanning  
836 both HLA-B\*27-restricted epitopes as well as single 10-mer epitopes (cHDV 1-3) or only 10-  
837 mers (cHDV4-5). Interferon-gamma production was evaluated by surface and intracellular  
838 staining after stimulation. **(C)** The same was performed on PBMC samples from 2 HLA-  
839 B\*27 positive individuals without HBV, HCV and HDV infection (Healthy 1-2). Negative  
840 controls have been treated exactly as the other samples from the respective patients, but were  
841 not stimulated with peptide prior to intracellular interferon gamma staining. Positive controls  
842 were stimulated with PMA/ionomycin prior to intracellular interferon gamma staining.

843 **Fig. 4: The epitope HLA-B\*27 L-HDAg<sub>103-112</sub> is restricted by the HLA-B\*27 subtypes**  
844 **HLA-B\*27:05 (HLA-B\*27 prototype) as well as HLA-B\*27:02 (minor Mediterranean**  
845 **HLA-B\*27 subtype).** PBMC were expanded in the presence of peptide RRRKALENKK for  
846 10 days. Then, intracellular interferon-gamma staining was performed after direct stimulation  
847 with peptide (left column) or stimulation with EBV-immortalized B cell lines that were  
848 positive for HLA-B\*27:05, HLA-B\*27:02, or negative for HLA-B\*27, and were loaded with  
849 peptide overnight and then washed extensively (lower line) or unloaded with peptide (upper  
850 line). Cells were also stimulated with PMA/ionomycin as positive controls.

851 **Fig. 5: T cell response analysis of HLA-A\*02 positive patients to previously described**  
852 **HLA-A\*02-restricted HDV epitopes:** PBMCs from 4 HLA-A\*02 positive patients with  
853 resolved HDV infection were stimulated with previously described HLA-A\*02-restricted  
854 HDV epitopes, L-HDAg<sub>43-51</sub> (KLEDENPWL) and L-HDAg<sub>26-34</sub> (KLEDLERDL), in a 10 day  
855 culture. T-cell surface marker staining as well as ICS were performed and analyzed by flow  
856 cytometry. Percentage of IFN- $\gamma$ + /CD8+ T cells are indicate in each panel. Patient number 4

857 was not stimulated with L-HDAg<sub>26-34</sub> (KLEDLERDL). Negative controls have been treated  
858 exactly as the other samples from the respective patients, but were not stimulated with  
859 peptide prior to intracellular interferon gamma staining. Positive controls were stimulated  
860 with PMA/ionomycin prior to intracellular interferon gamma staining.

861 **Fig. 6: Viral sequence variations within the HLA-B\*27-restricted epitopes.** Amino acid  
862 sequences spanning the two overlapping HLA-B\*27-restricted epitopes L-HDAg<sub>99-108</sub>  
863 (RRDHRRRKAL) and L-HDAg<sub>103-112</sub> (RRRKALENKK) were deducted from HDV-isolate  
864 sequence analyses. Amino acid sequence alignments were sorted by HLA-B\*27 haplotype.  
865 The first six lines show the sequence data from individual HLA-B\*27-positive patients. The  
866 lower part shows the frequency of respective amino acid variations found in 98 HLA-B\*27-  
867 negative patients. Apart from variability at aa 100 and 112 present in both HLA-B\*27  
868 positive and negative patients, variations within the two epitopes was observed in 6 out of 98  
869 HLA-B\*27 negative patients versus 3 out of 6 in HLA-B\*27 positive patients. Fisher's exact  
870 test was used to make contingency tables and calculate the *p* values for each amino acid-  
871 HLA-B\*27 correlation. *P* values smaller than 0.05 were considered as statistical significant.  
872 Substitutions at residues 105 and 106, reflecting immune escape mutations inside these  
873 epitopes, are indicated by a darker color.

874 **Fig. 7: Amino acid substitutions at aa 100 of L-HDAg in between HDV genotype 1-8.**  
875 List of the most frequent viral protein sequence variations in the region binding to HLA-  
876 B\*27 within HDV genotype 1 and other HDV genotypes (2-8).

877 **Fig. 8: Functional impact of aa variations in L- HDAg<sub>103-112</sub> and L- HDAg<sub>99-108</sub> on HDV-  
878 specific CD8 T-cell response.** (A) 10-mer L-HDAg<sub>103-112</sub> (RRRKALENKK) or 9-mer L-  
879 HDAg<sub>104-112</sub> (RRKALENKK) peptide were used to stimulate IFN- $\gamma$  responses of CD8+ T  
880 cells from a donor with resolved HDV infection (patient C). The functional impact of K112R,  
881 R105K and K106M amino acid substitutions on the T-cell response was analyzed by flow

882 cytometry using respective 10-mer (left) and 9-mer (right) peptides for stimulation. **(B)** Either  
883 highly prevalent L-HDAg<sub>99-108</sub> RQDHRRRKAL and RRDHRRRKAL variants of HDV or  
884 rare Q/R100K, Q/R100E variants were used to stimulate IFN- $\gamma$  responses of CD8<sup>+</sup> T cells  
885 from a donor with resolved HDV infection (patient B) (4 left panels). The functional impact  
886 of potential immune escape variants R105K and K106M (indicated in red) on the T-cell  
887 response was analyzed by flow cytometry (4 right panels). The negative control has been  
888 treated exactly as the other samples from the respective patients, but was not stimulated with  
889 peptide prior to intracellular interferon gamma staining.

890 **Fig. 9: Mutation K106M leads to viral escape.**

891 PBMCs from patient C two and three years after HDV clearance (upper panels) were  
892 expanded in the presence of peptide L-HDAg<sub>104-112</sub> for 10 days and were then analyzed by  
893 intracellular interferon-gamma staining after stimulation with peptide L-HDAg<sub>104-112</sub> as well  
894 as the two viral variants K106M (■) and R105K (▲) in increasing concentrations.

895 The ELISpot was also performed after stimulation with wild-type or variant peptide in  
896 increasing peptide concentrations (lower panel). Tests two years after HDV clearance were  
897 performed in unicates due to limitation of cell numbers; tests three years after HDV clearance  
898 were performed in triplicates (data are presented as mean  $\pm$  standard deviation). For statistical  
899 analysis of responses against variant *versus* consensus, 2-tailed Student's t test was  
900 performed. A p value less than 0.05 was considered significant. \* p<0.05; \*\*\* p<0.001.

901 **Fig. 10: Representative structures of L-HDAg<sub>99-108</sub> peptide epitopes carrying natural**  
902 **variants R/Q100 or immune escape variants R105K and K106M bound to HLA-**  
903 **B\*27:05.** For better visualization, all bound peptide ligands are oriented from C- to N-  
904 terminus (left to right side of the pictures). The HLA-B\*27:05 molecule is shown in cartoon  
905 representation in gray and important residues are given in atom type colored licorice  
906 representation (cyan carbon atoms). The peptides are shown in licorice (orange)

907 representation or alternatively as a solvent-accessible surface area colored according to the  
908 electrostatic potential (i.e. blue represents positively charged, red negatively charged and  
909 white neutral areas of the surface). Hydrogen bonds are shown in magenta. **(A,B)** Structures  
910 of the bound R100 **(A)** and Q100 **(B)** L-HDA<sub>g99-108</sub> peptides undergoing alternative binding  
911 site interactions; blue inlays show a magnification. **(C,D)** Structures of **(C)** the bound wild-  
912 type L-HDA<sub>g99-108</sub> peptide and **(D)** its variant R105K. The residues R105 and R104 are  
913 highlighted in a yellow box. **(E-H)** Structures of the bound wild-type **(E,G)** and variant  
914 K106M **(F,H)** peptide that loses its interaction to one of the HLA-B\*27:05  $\alpha$ -helices. The red  
915 inlay provides a magnification of the binding site interactions. **(G)** and **(H)** give a structural  
916 representation of the MHC binding site with the bound peptide shown as surface  
917 representation.

918 **Fig. 11: Inter- and intramolecular hydrogen bonds of the L-HDA<sub>g99-108</sub> epitope (A) and**  
919 **the K106M variant of the L-HDA<sub>g99-108</sub> epitope (B) monitored over the simulation time.**

920 A vertical black line indicates that at least one hydrogen bond is formed at a specific  
921 timeframe during the simulation between the functional groups of the two amino acid  
922 residues given at the Y-axis. The percentage of simulation time during which a hydrogen  
923 bond exists is given on the right side. L-HDA<sub>g99-108</sub> epitope and K106M variant amino acid  
924 residues are depicted in orange, MHC binding pocket amino acid residues are shown in cyan.

925 **Fig. 12: Representative structures of L-HDA<sub>g99-108</sub> peptide epitopes carrying the natural**

926 **variants K/E100 bound to HLA-B\*27:05.** For better visualization, all bound peptide  
927 ligands are oriented from the C- to N-terminus (left to right side of the pictures). The MHC  
928 molecule is shown in cartoon representation in gray and important residues are given in atom  
929 type colored licorice representation (cyan carbon atoms). The peptides are shown in licorice  
930 (orange) representation. Hydrogen bonds are shown in magenta. The blue inlays provide a

931 magnification of the binding site interactions. (A) Structure of the bound K100 peptide  
932 variant. (B) Structure of the bound E100 peptide variant.

933 **Fig. 13: *Ex vivo* peptide/tetramer bead-based enrichment of L-HDAg104-112-specific**  
934 **CD8+ T cells in patients with resolved versus chronic HDV infection**

935 (A) L-HDAg104-112 peptide/HLA-B\*27:05 tetramer bead-based *ex vivo* enrichment of  
936 HDV-specific CD8+ T cells was performed from five patients chronic HBV/HDV co-  
937 infection as well as one patient with chronic HBV infection and resolved HDV infection  
938 (methods, see Schmidt et al., J Virol 2011;85:5232-6). Using this extremely sensitive method,  
939 we were able to detect HDV-specific CD8+ T cells from a patient with chronic HBV  
940 infection and resolved HDV infection. In contrast, we were not able to enrich HDV-specific  
941 CD8+ T cells from the five patients with chronic HBV/HDV co-infection. The autologous  
942 sequences are also displayed (ND: viral sequencing not done due to low viral load).

943 (B) *Ex vivo* enriched HDV-specific CD8+ T cells from the patient with chronic HBV  
944 infection and resolved HDV infection were further analyzed for expression of PD1 and  
945 CD127. Naïve CD8+ T cells were excluded by co-staining for CCR7 and CD45RA.

946

947 **Tables**

948 **Table 1. List of best predicted epitopes on L-HDAg for the selected HLA alleles.** The  
 949 predicted epitopes are determined for HLA-A\*01, A\*02, A\*03, A\*24, B\*07, and B\*27 using  
 950 two different algorithms.

HLA:	Position:	Sequence:	Length	Score (IEDB)*	Score SYFPEITHI*
A*01	75-83	RTDQMEVDS	9	3.2	17
	94-102	FTDKERQHD	9	1.3	17
A*02	43-51	KLEEDNPWL	9	1.3	23
	143-152	RVAGPPVGGV	10	4.2	23
	198-206	ILFPSDPPF	9	0.8	16
A*03	53-61	NIKGILGKK	9	2.7	22
	89-97	PLRGGFTDK	9	2.1	28
A*24	49-57	PWLGNIKGI	9	3.9	13
	192-200	RGFPWDILF	9	1.1	13
	198-206	ILFPSDPPF	9	2.4	11
B*07	68-76	APPAKRART	9	1.9	21
	71-79	AKRARTDQM	9	3.1	12
	168-176	VPSMQGVPE	9	3.0	16
B*27	99-108	RRDHRRRKAL	10	0.35	24
	103-112	RRRKALENKK	10	0.20	29

951 \* The two algorithms (IEDB recommended and SYFPEITHI) are described in detail elsewhere (39, 57)

952

953 **Table 2. Library of HDV peptides.** Overlapping 16-mer peptides spanning the whole  
 954 HDAg open reading frame of 214 amino acids which were used for T cell stimulation.

Pool	Peptide name	Position	Sequences
A	A1	1-16	MSRSES RKNRGGREEL
A	A2	2-17	SRSES RKNRGGREELL
A	A3	10-23	RGREELLEQWVAGRK
A	A4	18-33	EQWVAGRKKLEELERD
B	B5	26-41	KLEELERDLRKTKKKL
B	B6	34-49	LRKTKKKLKKIEDENP
B	B7	42-57	KKIEDENPWLGNIKGI
B	B8	50-65	WLGNIKILGKKDKDGD
C	C9	58-73	LGKKDKDGEGAPPAKR
C	C10	66-81	EGAPPAKRARTDQMEV
C	C10a	66-81	EGAPPAKRARTDRMEV
C	C11	74-89	ARTDQMEVDSGPGKRP
D	D11a	74-89	ARTDRMEVDSGPGKRP
D	D12	82-97	DSGPGKRPLRGGFTDK
D	D13	90-105	LRGGFTDKERRDHRRR
D	D14	98-113	ERRDHRRRKALENKKK
E	E15	106-121	KALVNKKKQLSAGGKN
E	E16	114-129	QLSAGGKNLSKEEEEE
E	E17	122-137	LSKEEEEEELRRLTEED
E	E18	130-145	LRLTEEDERRERRVA
F	F19	138-153	ERRERRVAGPPVGGVN
F	F20	146-161	GPPVGGVNPLEGGSRG
F	F21	154-169	PLEGGSRGAPGGGFVP
F	F22	162-177	APGGGFVPNLQGVPE
G	G23	170-185	NLQGVPEPFSRTGEG
G	G23a	170-185	NLQGVPEPFSRTGEG
G	G24	178-193	PFSRTGEGDIRGNQG
G	G24a	178-193	PFARTGEGDIRGNQG
H	H25	186-201	LDIRGNQGFQDTLFP
H	H26	194-209	FPQDTLFPADPPLSPQ
H	H27	199-214	LFPADPPLSPQSCRQP

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957 **Table 3. Deep sequencing analysis of 11 samples of patients (5 HLA-B\*27 positive and 6**  
 958 **HLA-B\*27 negative) using UDPS.** The master sequence corresponding to epitope region  
 959 (HDAg codons 99 to 112) and the corresponding polymorphisms and their proportions are  
 960 indicated in bold. The amino acid position affected by polymorphism, underlined the  
 961 substitution in relation to the canonical epitope sequence observed in master sequence.

	Patients	99-112 seq.	Epitope polymorphism	# of Haplotypes	# of read
HLA-B*27 Pos.	H09	RQDHRRRKALEN <u><b>K</b></u> <u><b>S</b></u>	K 111R 0.8%; K 112S 100%	14	3428
	H20	RQDHRRRKALENKR		26	3346
	S17	RQDHRR <u><b>K</b></u> KALEN <u><b>K</b></u> <u><b>K</b></u>	R105K 100%; K111R 0.8%	29	4244
	H36	RQDHRRRKALEN <u><b>K</b></u> <u><b>E</b></u>	Q100R 0.8%; K112E 100%	17	3959
	It06	RQDHRRR <u><b>M</b></u> ALENKK	K106M 100%; R105G 0.3%	15	2341
HLA-B*27 Neg.	H26	RQDHRRRKALENKR		9	3447
	H40	RQDHRRRKALENKR		41	2422
	It04	RQDHRRRKALENKR		22	4457
	It20	RQDHRRRKALENKK	K112R 18.7%	46	2487
	S10	RQDHRRRKALENKK	K112R 2%	12	3397
	E18	RQDHRRRKALENKK		19	3277

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964 **Table 4. Experimental and calculated MHC binding and TCR activity data.**

Peptide	Abbreviation	MHC Binding	T-Cell Activity	$E_{\text{int}}$ [kcal/mol] <sup>d</sup>
RRDHRRRKAL	WT	127.2% <sup>a</sup> ±13.3 <sup>b</sup>	0.59% <sup>c</sup>	-686.96±15.39 <sup>e</sup>
RQDHRRRKAL	R100Q	61.0% <sup>a</sup> ±13.8 <sup>b</sup>	0.57% <sup>c</sup>	-668.75±16.85 <sup>e</sup>
REDHRRRKAL	R100E	62.6% <sup>a</sup> ±12.4 <sup>b</sup>	0.52% <sup>c</sup>	-570,54±41.39 <sup>e</sup>
RKDHRRRKAL	R100K	40.2% <sup>a</sup> ±5.3 <sup>b</sup>	0.50% <sup>c</sup>	-727,40±3.17 <sup>e</sup>
RRDHRRKAL	R105K	92.7% <sup>a</sup> ±3.7 <sup>b</sup>	0.08% <sup>c</sup>	-678.31±14.93 <sup>e</sup>
RRDHRRRMAL	K106M	133.0% <sup>a</sup> ±2.7 <sup>b</sup>	0.18% <sup>c</sup>	-484.66±24.61 <sup>e</sup>

<sup>a</sup> relative to positive control KRWILGLNK

<sup>b</sup> standard deviation of MHC binding of two replicates

<sup>c</sup> cf. Fig. 10

<sup>d</sup> average of the interaction energies of two independent MD simulations

<sup>e</sup> standard deviation of the interaction energies of two independent MD simulations

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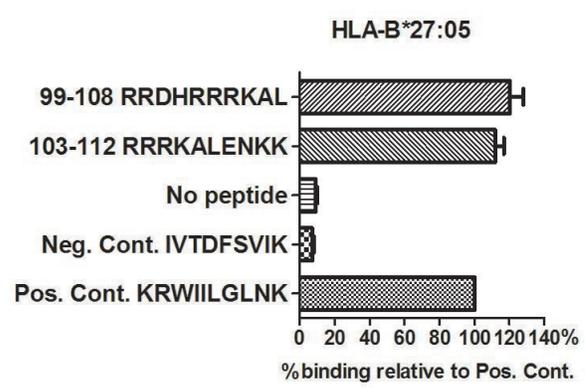
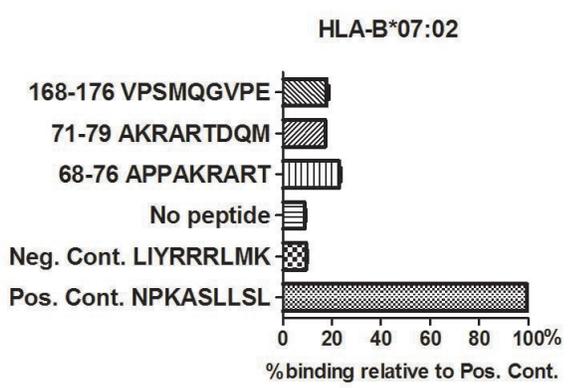
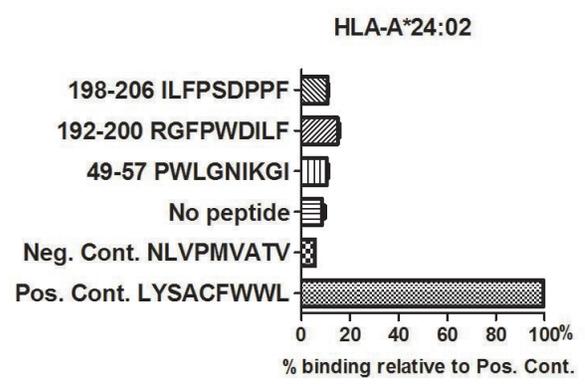
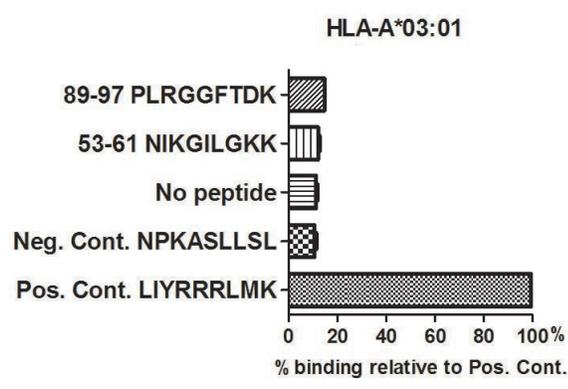
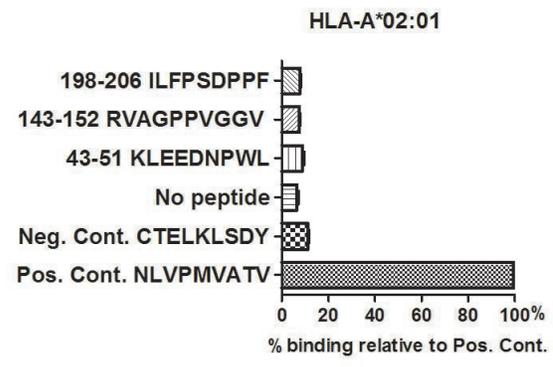
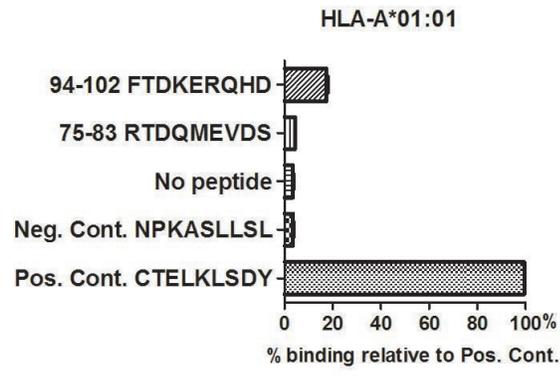
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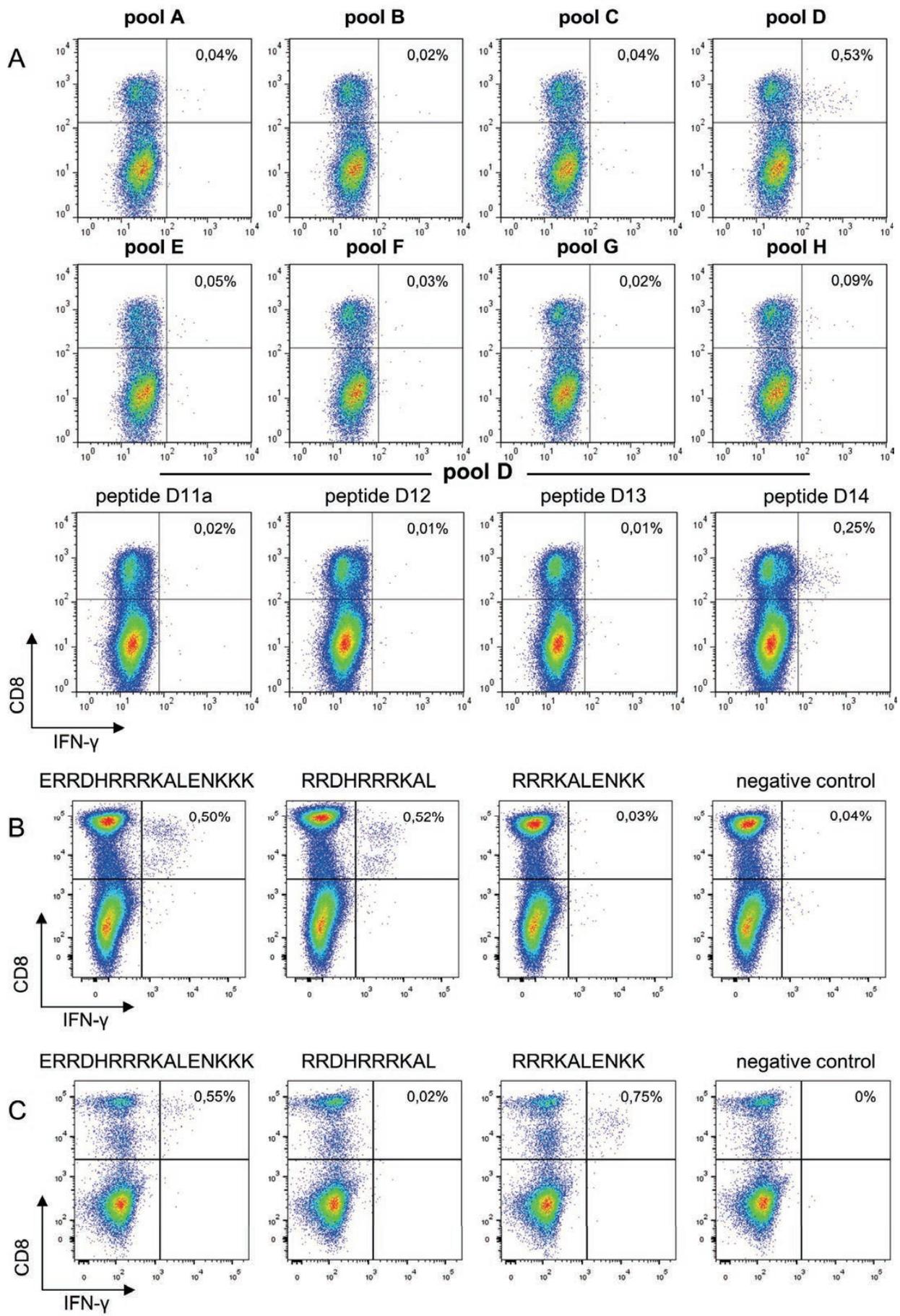
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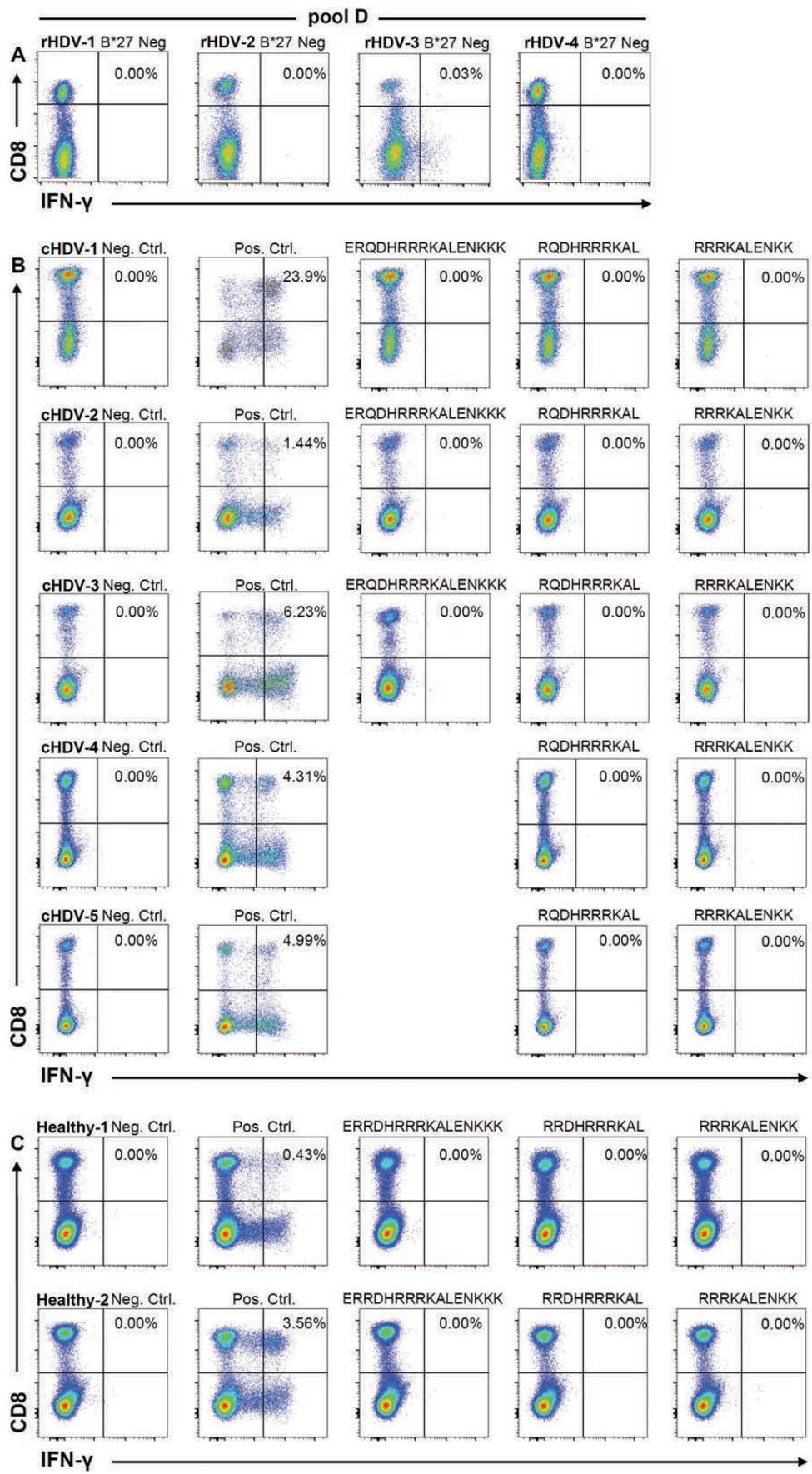
967 **Table 5. List of primers used in L-HDAg amplification for direct and deep sequencing.**

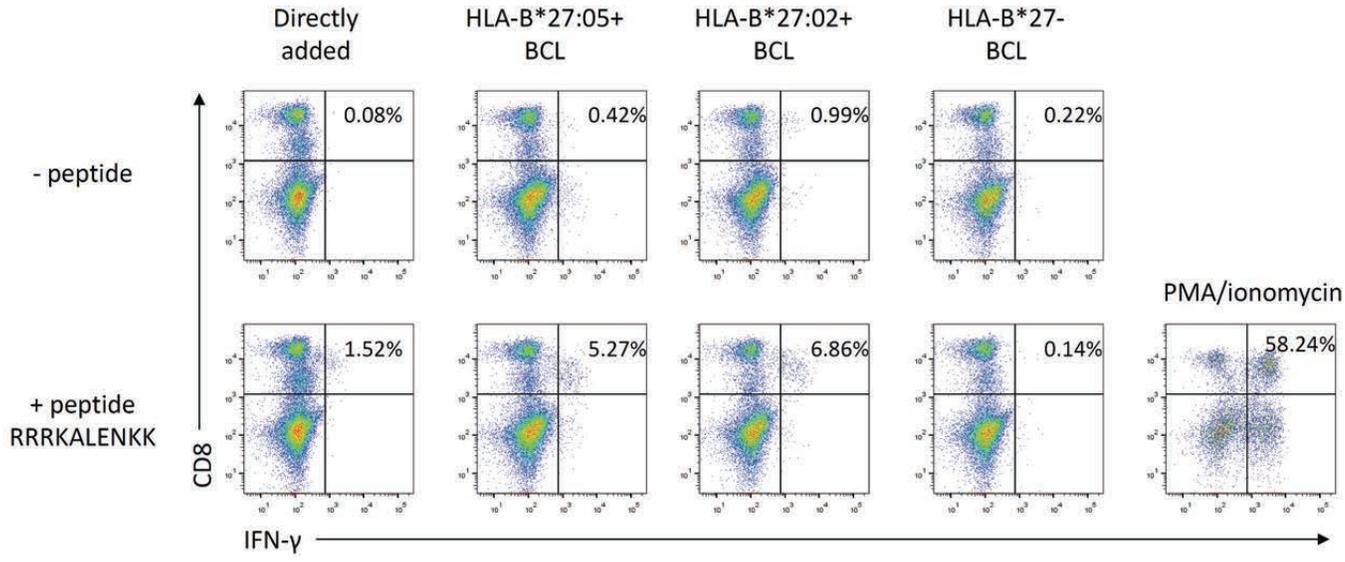
Primers	Sequence	Location
771R	5'-CGGTCCCCTCGGAATGTTG-3'	753-771 nt
339R	5'- GCTGAAGGGGTCTCTGGAGGTG-3'	319-341 nt
1674R	5'-AGAAAAGAGTAAGAGYACTGAGG-3'	1652-1674 nt
912F	5'-GAGATGCCATGCCGACCCGAAGAG-3'	912-936 nt
891F	5'-AGGTTCGGACCGCGAGGAGGT-3'	891-910 nt
M13 HDV 956	5'-GTTGTAAAACGACGGCCAGT <u>TCACTGGGGTCGACA</u> ACTCTG-3'	
M13 HDV 1360	5'-CACAGGAAACAGCTATGACC <u>GTAGACTCCGGACCTAGGAAGA</u> -3'	
OligoAMIDM13fw	5'-CGTATCGCCTCCCTCGCGCCATCAG MIDGTTGTAAAACGACGGCCAGT-3'	
OligoBMIDM13rv	5'-CTATGCGCCTTGCCAGCCCGCTCAG MIDCACAGGAAACAGCTATGACC-3'	

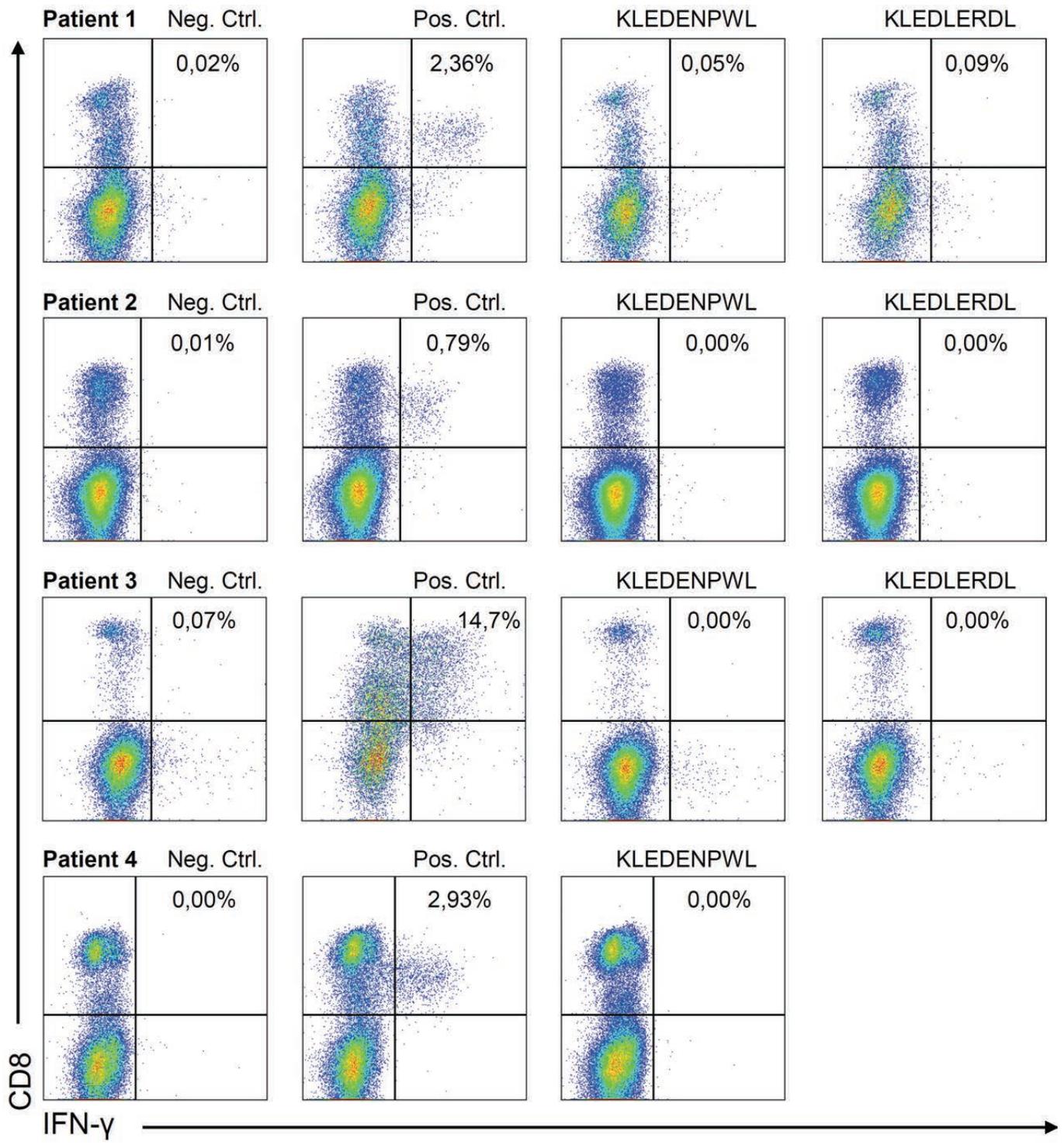
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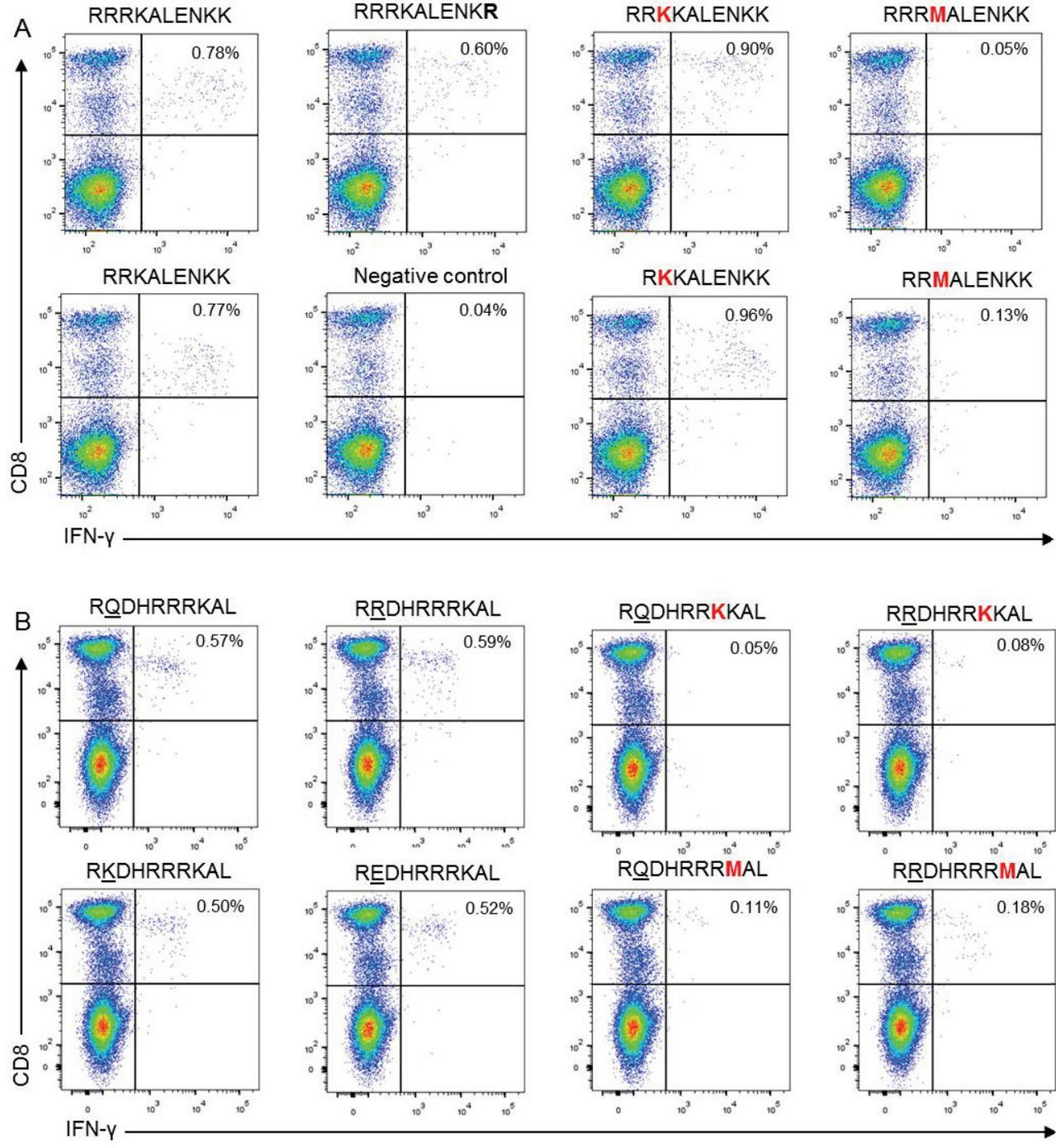




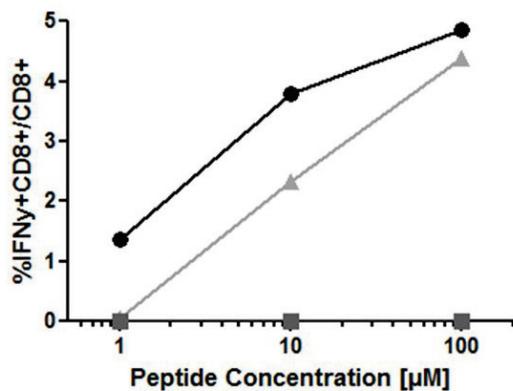




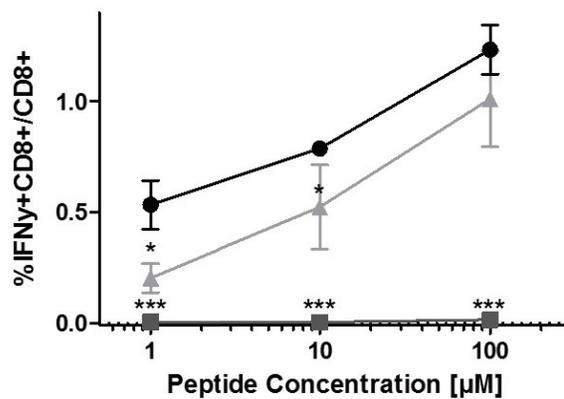
Consensus	E	R	R	D	H	R	R	R	K	A	L	E	N	K	K	K
<b>Genotype 1</b>	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.
	.	.	Q	.	.	.	.	.	.	.	.	.	.	.	.	.
	.	.	K	.	.	.	.	.	.	.	.	.	.	.	.	.
	.	.	E	.	.	.	.	.	.	.	.	.	.	.	.	.
<b>Genotype 2</b>	.	.	E	.	.	.	.	.	.	.	.	.	.	.	.	.
	.	.	Q	.	.	.	.	.	.	.	.	Q	.	.	.	.
<b>Genotype 3</b>	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.
	.	.	Q	.	.	.	.	.	.	.	.	.	.	.	.	.
<b>Genotype 4</b>	.	.	.	.	.	.	.	.	.	.	.	.	.	.	R	.
	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.
<b>Genotype 5</b>	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.
	.	.	Q	.	.	.	.	.	.	.	.	.	.	.	.	.
<b>Genotype 6</b>	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.
<b>Genotype 7,8</b>	.	.	.	A	.	.	.	.	.	.	.	.	.	.	.	.
	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.



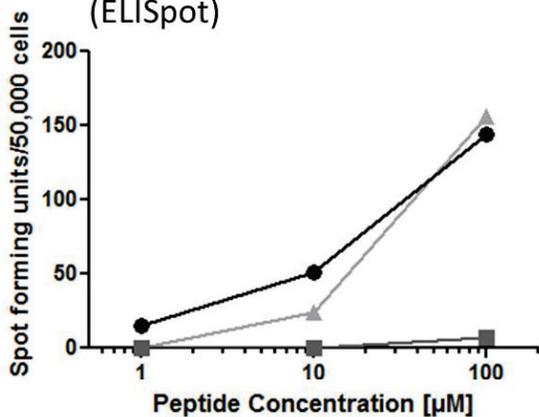
2 years after HDV clearance (ICS)



3 years after HDV clearance (ICS)



2 years after HDV clearance (ELISpot)



- 104-112 Con (RRKALENKK)
- 104-112 Var (RRMALENKK)
- ▲ 104-112 Var (RKKALENKK)

