

BCL11B mutations in patients affected by a neurodevelopmental disorder with reduced type 2 innate lymphoid cells

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The transcription factor *BCL11B* is essential for development of the nervous and the immune system, and *Bcl11b* deficiency results in structural brain defects, reduced learning capacity, and impaired immune cell development in mice. However, the precise role of *BCL11B* in humans is largely unexplored, except for a single patient with a *BCL11B* missense mutation, affected by multisystem anomalies and profound immune deficiency. Using massively parallel sequencing we identified 13 patients bearing heterozygous germline alterations in *BCL11B*. Notably, all of them are affected by global developmental delay with speech impairment and intellectual disability; however, none displayed overt clinical signs of immune deficiency. Six frameshift mutations, two nonsense mutations, one missense mutation, and two chromosomal rearrangements resulting in diminished *BCL11B* expression, arose *de novo*. A further frameshift mutation was transmitted from a similarly affected mother. Interestingly, the most severely affected patient harbours a missense mutation within a zinc-finger domain of *BCL11B*, probably affecting the DNA-binding structural interface, similar to the recently published patient. Furthermore, the most C-terminally located premature termination codon mutation fails to rescue the progenitor cell proliferation defect in hippocampal slice cultures from *Bcl11b*-deficient mice. Concerning the role of *BCL11B* in the immune system, extensive immune phenotyping of our patients revealed alterations in the T cell compartment and lack of peripheral type 2 innate lymphoid cells (ILC2s), consistent with the findings described in *Bcl11b*-deficient mice. Unsupervised analysis of 102 T lymphocyte subpopulations showed that the patients clearly cluster apart from healthy children, further supporting the common aetiology of the disorder. Taken together, we show here that mutations leading either to *BCL11B* haploinsufficiency or to a truncated *BCL11B* protein clinically cause a non-syndromic neurodevelopmental delay. In addition, we suggest that missense mutations affecting specific sites within zinc-finger domains might result in distinct and more severe clinical outcomes.

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Abbreviations: ILC2 = type 2 innate lymphoid cells; WES = whole-exome sequencing

Introduction

BCL11B (RefSeq NM_138576.3, MIM 606558) is a lineage-specific, Krüppel-like C2H2 zinc-finger-containing transcriptional regulator of different physiological processes

including apoptosis, cell proliferation, and differentiation (Lennon *et al.*, 2017). Biallelic loss of *Bcl11b* leads to perinatal lethality in mice, accompanied by defects in the development of the CNS (Arlotta *et al.*, 2005; Simon *et al.*, 2012), the epidermis (Golonzhka *et al.*, 2009a), the teeth

(Golonzhka *et al.*, 2009b), as well as in the development and maintenance of the T cell lineage (Wakabayashi *et al.*, 2003). Indeed, *Bcl11b*-deficient mice show an arrest at the CD4–CD8– double-negative stage of thymocyte development, resulting in a loss of T $\alpha\beta$ (but not T $\gamma\delta$ cells) and reprogramming to natural killer (NK)-like cells (Wakabayashi *et al.*, 2003; Li *et al.*, 2010). Recently, BCL11B has been shown to control lineage specification and function of type 2 innate lymphoid cells (ILC2) in mice (Califano *et al.*, 2015; Walker *et al.*, 2015; Yu *et al.*, 2015, 2016), an innate counterpart of Th2 lymphocytes. In addition to its function in the immune system, BCL11B plays a pivotal role in murine neurogenesis, i.e. in the development of corticospinal motor neurons (Arlotta *et al.*, 2005), differentiation of striatal medium spiny neurons (Arlotta *et al.*, 2008), and the development and maintenance of the dentate gyrus by regulation of progenitor cell proliferation (Simon *et al.*, 2012, 2016). Notably, loss of *Bcl11b* in neurons of the murine dentate gyrus results in impaired spatial learning and memory and the formation of hippocampal circuitry (Simon *et al.*, 2012, 2016). Given these pleiotropic functions in mice, *BCL11B* constitutes an excellent candidate gene for human neurodevelopmental and/or immunological disorders. Indeed, a recent study reported severe combined immunodeficiency (SCID), severe developmental delay, craniofacial abnormalities, absence of corpus callosum, and erythematous psoriasiform dermatitis in a single individual with a *de novo* BCL11B missense alteration (Punwani *et al.*, 2016). Moreover, genetic alterations in *BCL11A*, another member of the BCL11 family, have been associated with monogenic intellectual disability and persistency of foetal haemoglobin (Basak *et al.*, 2015; Dias *et al.*, 2016). Further, *Bcl11a* deficiency results in the lack of B lymphocytes and plasmacytoid dendritic cells (Liu *et al.*, 2003; Ippolito *et al.*, 2014). Interestingly, recent studies have shown that neurons in mucosal tissues react to environmental changes by producing the neuropeptide neuromedin U, which upon interaction with its receptor on ILC2s promotes type 2 cytokine production and tissue inflammation (Cardoso *et al.*, 2017; Klose *et al.*, 2017; Wallrapp *et al.*, 2017). These findings indicate that neuroimmune sensory units act in concert to regulate mucosal tissue homeostasis and may have evolved together.

Here we report developmental delay and intellectual disability in 13 individuals bearing heterozygous alterations in *BCL11B*. Remarkably, all analysed individuals exhibited a severe reduction of peripheral ILC2s and impaired T cell development, but no overt immune deficiency.

Materials and methods

Patients and samples

All biological samples and images were obtained following written informed consent from the parents of the affected

individuals. The study was performed in accordance with the Declaration of Helsinki protocols and approved by the ethics committees of the respective institutions. Some of the investigators presenting patients in this study were connected through GeneMatcher, a web-based tool for researchers and clinicians working on identical genes (Sobreira *et al.*, 2015).

Genetic analysis

Whole-exome sequencing (WES) experiments were performed in seven different centres with slightly different procedures. Briefly, trio-WES in Families A and B and single-WES in Patient D:I-1 was performed with a SureSelect Human All Exon 50 Mb V5 Kit (Agilent Technologies), and sequenced on a HiSeq2500 system (Illumina), as described before (Hempel *et al.*, 2015; Lessel *et al.*, 2017). Trio-WES in Family C was performed using the SureSelect XT Human All Exon V5 kit (Agilent Technologies) and sequenced in rapid run mode on the HiSeq2500 sequencing system (Illumina), as described before (Hempel *et al.*, 2015). Trio-WES in Family E was performed by Genomics Platform at the Broad Institute of Harvard and MIT (Broad Institute, Cambridge, MA, USA), as previously described (Lek *et al.*, 2016). Trio-WES in Family F was performed using the SureSelect Target Enrichment System (Agilent) and sequenced on the Illumina HiSeq 2500 platform, as described before (de Bruin *et al.*, 2016). Single-WES sequencing in Patient J:II-1 was performed at the CHU Sainte-Justine CIGCP genomics platform using SureSelect V4 (XT) exome capture kit (Agilent) and paired-end sequencing on a HiSeq2500 (Illumina), as described before (Gauthier *et al.*, 2018). Trio-WES in Family K was performed using Agilent Clinical Research Exome kit (Agilent Technologies), as described previously (Tanaka *et al.*, 2015). Trio-WES in Family L was performed on a NextSeq 500 Sequencing System (Illumina), with a 2 × 150 bp high output sequencing kit after enrichment with Seq Cap EZ MedExome kit (Roche), according to manufacturer's specifications. All putative *de novo* variants were validated and confirmed by Sanger sequencing, as described previously (Hempel *et al.*, 2015). For Family G, duo-WES was performed using Agilent SureSelectXT Clinical Research Exome capture kit (Agilent Technologies) and sequenced on a NexSeq500 system (Illumina) as described previously (Louie *et al.*, 2017). Further, a phenotype-driven analysis did not yield any variants of clinical significance. To identify rare variants in uncharacterized genes, a phenotype-independent inheritance-driven analysis was performed to identify rare variants (below 0.01 allele frequency in the public SNP databases) that were shared between the affected proband and her mother, resulting in 56 variants. Of the 56 variants, two were deemed potentially causative based on pathway analysis and/or animal studies. Sanger sequencing was performed under standard PCR conditions to confirm the findings. Primer pairs for the amplification are available on request. Karyotyping was performed by standard procedures.

Genomic regulatory blocks

Genomic regulatory blocks were called using human:mouse conserved non-coding elements, with minimal conservation of 96%, over a minimum of 50 bp, as described previously (Harmston *et al.*, 2017).

FANTOM5 permissive enhancers were obtained from http://fantom.gsc.riken.jp/5/datafiles/latest/extra/Enhancers/human_permissive_enhancers_phase_1_and_2.bed.gz.

Breakpoint mapping by whole genome sequencing

Blood DNA was extracted with the QIAamp® DNA Blood Midikit (Qiagen) according to the manufacturer's instructions. Whole genome libraries were prepared following the Illumina TruSeq protocol (Illumina) with 3 µg DNA. Libraries of 350-bp fragments were sequenced on an Illumina NextSeq 500 as paired-end 101-bp reads. The sequencing depth was $7.85 \times$ and $10.47 \times$. For each sample, an alignment of the reads against the hg19 version of the human genome hg19 was done using BWA-MEM v.0.7.10. The reads were then sorted using Samtools v.1.3.1 (Li et al., 2009), and the duplicates removed by PicardTools v.1.138 (picard.sourceforge.net). Then, the structural variants were detected using BreakDancer v.1.4.5 (Chen et al., 2009), and annotated using an in-house script, mainly for the purpose of filtering them on the basis of their occurrence in a local database. Integrative Genomics Viewer v.2.3 (Thorvaldsdottir et al., 2013) was used for structural variant visualization. Primer pairs were selected on each side of the breakpoint region delimited by WGS (primers sequence available on request). Junction fragments were amplified using the Taq DNA Core kit 25 (MP Biomedicals). DNA from a control not carrier of chromosomal rearrangement was amplified as a negative control. PCR products were verified on LabChip GX (PerkinElmer). Then specific products corresponding to the junction fragment were sequenced by the Sanger method (Genoscreen).

Quantitative real-time PCR

Total RNA was extracted from blood cells collected on PAXgene tubes according to the manufacturer's instructions (Qiagen). Reverse transcription was performed with 0.5 µg RNA from patients and sex-matched controls using random primers and SuperScript® II Reverse Transcriptase (Invitrogen). Quantitative PCR for the *BCL11B* gene was performed in triplicate with 2 µl of cDNA diluted to 1/20 using the QuantiTect® SYBR® Green PCR kit (Qiagen) on a LightCycler 2000 (Roche). *ACTB* was used for normalization. Relative quantification was performed according to the $2^{-\Delta\Delta Ct}$ method (Livak and Schmittgen, 2001).

Ex utero electroporation and hippocampal slice culture

All mouse experiments were carried out in compliance with German law and approved by the respective government offices in Tübingen. *Ex utero* electroporation and organotypic slice cultures of *Bcl11b*^{flox/flox}; *Emx-1Cre* as well as control embryonic brains were carried out as described previously (Simon et al., 2012, 2016; Venkataramanappa et al., 2015). Briefly, up to 9 µg DNA was electroporated into the prospective dentate gyrus area of embryonic brains at embryonic Day 15.5 using five pulses at 50 V, brains were cut into 250 µm slices and kept in culture up to *in vitro* Day 11. To determine the proliferation rate of dentate gyrus cells, BrdU was added to the culture

medium for the first 20 h after electroporation. For the rescue experiments, brains were electroporated with either empty vector pIRES2 EGFP or pIRES2 EGFP *Bcl11b*-hu (containing wild-type human *BCL11B* cDNA), pIRES2 *Bcl11b*-hu dup (human *BCL11B* cDNA containing the c.2449_2456dupAGCCACAC variant), or with the corresponding mouse cDNA constructs.

Immunophenotyping

Fifty microlitres of blood were stained with specific antibodies (BioLegend or BD Biosciences), lysed with BD FACS Lysing Solution, fixed in 1% paraformaldehyde and measured on a LSR Fortessa (BD Biosciences). ILC2s were identified in the lymphocyte gate as CD45+, lin− (CD3, CD19, CD14, CD34 and CD94), HLA-DR−, CD127+ CD161+ CRTH2+ and c-kit+/- . Recent thymic emigrants were defined as CD45RA+ CD31+ cells in the CD4+ subset. Tγδ cells were positively identified with antibodies against CD3 and pan-Tγδ (clone 11F2), Vd1 (TS-1), Vd2 (123R3) and Vg9 (IMMU_360). Other markers used for immune profiling of T cells were: CD4, CD8a, CD8b, Va7.2, CCR7, CD45RA, CD45RO, CD28, CD27, CD95, CD57, HLA-DR, CD69, CD38, CD39, CD73, CD25, CD127, CCR4, CCR6, CD161, CXCR3, CCR10 and CRTH2, CXCR5. For intracytoplasmic staining, peripheral blood mononuclear cells were first isolated by Ficoll gradient and subsequently stimulated with PMA/ionomycin in the presence of Brefeldin A for 5 h. After stimulation, cells were incubated with surface markers for CD3, CD4, CD8 and Tγδ, permeabilized and stained for intracellular cytokines IFNγ, TNFα, IL-17, IL-4, IL-10, IL-8 and IL-2. All other immune cell populations were identified according to commonly-used immune cell surface markers. Data were analysed using the software FlowJo 10.0.8 (TreeStar).

T cell receptor repertoire analysis by next generation sequencing

Peripheral blood mononuclear cells were stained with following antibodies: dead/alive (DAPI), hCD45 (APC-Vio770), hCD3 (PE-Cy7), hTCR αβ (FITC), hTCRγδ (PE), hVγ9 (PC5), hCD4 (PerCP), hCD8 (VioGreen), hCD25 (BrilliantViolet605) and hCD127 (APC). They were sorted into CD8+ and CD4+ conventional T cells, as well as CD4+ CD25+ CD127− regulatory T cells using a FACS Aria Fusion flow cytometer. Messenger RNA was extracted using the RNeasy® Plus Micro Kit (Qiagen) and then reverse-transcribed into cDNA according to the SMARTer® RACE 5'-3' PCR Kit (Clontech) manual. Combined amplification of the T-cell receptor β CDR3 region and Illumina adaptor sequences was performed with the Advantage 2 PCR Kit (Clontech). After amplicon DNA size confirmation by gel electrophoresis, bands were extracted using the Gel Extraction Kit (QIAGEN). Indexing of the samples was performed with Nextera Primer Kit (Illumina) in an additional Advantage 2 PCR reaction and the product was purified with the Agencourt AMPure® XP Kit. DNA concentration was measured via the Qubit 2.0 fluorometer, samples were pooled and the pool concentration was set to 4 nM. Denaturation and dilution of the pool was performed according to the Illumina MiSeq Dilution and Denaturation Guide. Finally, next generation sequencing was completed using the Illumina

MiSeq System. Finally, FastQ files were annotated at IMG/HighV-Quest database and processed with tcR-package and VDJtools.

Statistics

Analysis was performed using Prism 6 (GraphPad). Significance was determined with two-tailed unpaired Student's *t*-test. *P*-values of <0.05 were considered significant. Data represent mean ± standard error of the mean (SEM) unless otherwise indicated.

Data availability

The raw whole-exome sequencing data that support the findings in patients cannot be made publicly available for confidentiality reasons. Qualified researchers may apply for access to these data, pending institutional review board approval. All other data generated or analysed during this study are included herein and in the Supplementary material.

Results

Clinical characteristics of patients

We studied 13 patients affected by global developmental delay and intellectual disability with speech impairment. Autistic features were observed in four patients. Partially overlapping facial dysmorphisms were observed in all patients. Brain MRI gave normal results in all patients except for Patient H:II-1, who had a moderate ectopia of amygdala, and Patient J:II-1, who had hypoplasia of the globus pallidus. Refractive error was observed in five patients. Small teeth, oligodontia and/or enamel defects were present in five patients. One patient presented with congenital erosive dermatitis, and one with multiple *café au lait* spots. Concerning the immune system, the individual bearing a missense mutation (Patient E:II-1) had low T cell receptor excision circles at birth, though T cell measurements at later time points revealed T cell counts close to standard values. Eight patients showed exacerbated type 2 responses, namely eosinophilia (4/10) and allergies or asthma (7/12) (Fig. 1, Table 1 and Supplementary material).

Genetic studies

Using WES, we identified heterozygous germline mutations in *BCL11B* in nine unrelated patients, namely six frameshift, two nonsense and one missense mutation (Fig. 2A, Table 1 and Supplementary Table 1), none of which was present in either of the parents of a given patient, thus proving that these mutations occurred *de novo*. In addition, we identified an inherited heterozygous frameshift mutation, p.(Asp534Thrfs*29), transmitted from an affected mother with intellectual disability, who did not consent to further detailed clinical or laboratory investigations in this study, to her similarly affected daughter. One of the frameshift mutations [p.(Cys81Leufs*76)] is located in exon 2, predicted to

activate the nonsense-mediated mRNA decay and to result in haploinsufficiency. Seven mutations [p.(Tyr455*), p.(Glu499*), p.(Thr502Hisfs*15), p.(Arg518Alafs*45), p.(Asp534Thrfs*29), p.(Gly649Alafs*67) and p.(Gly820Alafs*27)] are located in the last exon (exon 4) and are thus predicted to escape nonsense-mediated mRNA decay and probably result in a protein with loss of, at least, the last two C-terminal DNA-binding zinc-finger domains. One further single base-pair deletion is predicted to change the reading frame and to remove the physiological stop codon, with a concomitant extension of the protein for 103 further erroneous amino acids [p.(Ala891Profs*106)], probably triggering non-stop mRNA decay (Hamby *et al.*, 2011). The missense alteration, c.2421C>G, p.(Asn807Lys), affects the alpha-helix containing DNA recognition site within the zinc finger domain ZnF4_C2H2. This domain—including the mutated asparagine residue—is perfectly conserved in *BCL11B* and *BCL11A* vertebrate orthologues (Supplementary Fig. 1A). Recently, an independent deleterious *de novo* *BCL11B* missense mutation, c.1323T>G, p.(Asn441Lys), was identified in a single patient affected by syndromic immunodeficiency (Punwani *et al.*, 2016). Notably, both missense mutations affect one of the four ZnF_C2H2 ‘specificity residues’ (Wolfe *et al.*, 2000) of the DNA-contacting alpha-helix within the ZnF2_C2H2 and ZnF4_C2H2, respectively. Using ChIP-seq analysis, Punwani *et al.* (2016) demonstrated that the p.(Asn441Lys) mutation results not only in impaired *BCL11B* binding to known target DNA sites, but also promotes binding to novel DNA binding sites. Given that the prediction algorithm ‘Zinc Finger Recognition Code’ (Najafabadi *et al.*, 2015) suggests that both missense mutations are predicted to bind to different alternative genomic sequences (Supplementary Fig. 1B), we hypothesize that the here-identified missense mutation may have comparable effects, and thus may cause the severe clinical phenotype by additional gain-of-function mechanism.

None of the here-identified *BCL11B* mutations were present in dbSNP, ExAC or gnomAD browsers, precluding that they represent rare polymorphisms. In addition, the residual variation intolerance (RVI) score of *BCL11B*, which is based on the ExAC sequencing data and quantifies gene intolerance to functional mutations (Petrovski *et al.*, 2013), lies on the 11th percentile, which is even lower than the average RVI score for genes known to be involved in developmental disorders (20th percentile). Further, the probability of loss-of-function intolerance (pLI) for *BCL11B* is 0.93, suggesting strong intolerance, and *BCL11B* is ranked 48 of 18 000 analysed genes by its missense Z-score of 6.42 (Lek *et al.*, 2016), which is even higher than the average Z-score for genes involved in developmental disorders (Samocha *et al.*, 2014). Taken together, these findings corroborate the deleterious effect of the identified germline mutations.

In Patients H:II-1 and I:II-2, karyotype analysis revealed *de novo* novel balanced translocations (Supplementary Fig. 2) which were further characterized by WGS. For Patient H:II-1, the breakpoints were mapped to chr4:24,332,309–



Figure 1 Images of patients with *BCL11B* associated disorder. Facial images of Patient A:II-3 at age of 2 11/12 and 3 11/12 years (A); Patient B:II-2 at the age of 8 11/12 and 15 1/12 years (B); Patient C:II-2 at the age of 1 8/12 years (C); Patient D:II-1 at the age of 1 6/12 years (D); Patient E:II-1 at the age of 1 month and 2 3/12 years (E); Patient F:II-2 at the age of 5, 7 and 17 years (F); Patient H:II-1 at 11 years (G); and Patient J:II-1 at 6 2/12 years (H).

24,332,315 (hg19) and chr14: 98,758,657–98,758,653 (hg19). For Patient I:II-2, the breakpoints were mapped to chr4:148,252,852–148,252,854 (hg19) and chr14: 99,097,976–99,097,980 (hg19). None of the rearrangements disrupted a gene, but the breakpoint in chromosome 14 was localized in both cases in close vicinity to the 3' end of *BCL11B*, at a distance of 877kb and 538kb, respectively (Fig. 2B). Both breakpoints are located within a genomic regulatory block of *BCL11B* that covers a 2.95 Mb region around the gene. FANTOM5 defines 70 permissive enhancers within this genomic regulatory block; the ones involved in long-range regulation in the region, i.e. not targeting the closest gene, are predicted to regulate the activity of *BCL11B* through looping events. Moreover, both breakpoints are located between *BCL11B* and its previously reported T cell specific enhancer (Li *et al.*, 2013). Therefore, it is likely that, by moving *BCL11B* out of its native regulatory landscape, the spatiotemporal precision of gene expression might be disrupted. We therefore assessed the relative amount of *BCL11B* mRNA in blood cells and indeed found approximately half the amount of *BCL11B* mRNA in both patients as compared to controls, thus confirming a positional regulatory effect (Supplementary Fig. 2C).

Pathogenic nature of the C-terminally located frameshift mutations

To gain further insight into the molecular effects of the four premature termination codon mutations likely escaping NMD [i.e. p.(Tyr455*), p.(Glu499*), p.(Thr502Hisfs*15), p.(Arg518Alafs*45), p.(Asp534Thrfs*29), p.(Gly649Alafs*67) and p.(Gly820Alafs*27)] we turned to a previously-established mouse model (Simon *et al.*, 2012; Venkataramanappa *et al.*, 2015) and analysed the influence of the most C-terminally located frameshift mutation p.(Gly820Alafs*27) on hippocampal neurogenesis. As expected, hippocampal slice cultures derived from *Bcl11b* homozygous mutant mice displayed severely reduced progenitor cell proliferation at 11 days *in vitro* (Simon *et al.*, 2012). This phenotype was completely rescued upon re-introduction of a cDNA construct (pIRES2-EGFP) into the *Bcl11b* mutant hippocampus containing either wild-type *BCL11B* (human) or *Bcl11b* (murine), but not by an equimolar amount of cDNA bearing the mutation as identified in Patient A:II-3 (Fig. 3 and Supplementary Fig. 3). Moreover, immunohistological analysis demonstrated that, in contrast to the wild-type constructs, no stable BCL11B

Table 1 Clinical characteristics of patients with BCL11B alterations

Patient													
Clinical findings		A:II-3	B:II-2	C:II-2	D:II-1	E:II-1	F:II-2	G:III-1	H:II-1	I:II-2	J:II-1	K:II-1	L:II-2
Sex		Female	Male	Male	Female	Male	Male	Female	Male	Male	Female	Male	Male
Ethnicity		Caucasian	Caucasian	Caucasian	Arab	Caucasian	Brazilian	Caucasian	Caucasian	Caucasian	Caucasian	Caucasian	North Africa
Age at last examination (years)		3 11/12	15 1/12	1 8/12	1 10/12	2 3/12	17 7/12	13	11	29	6 6/12	9 11/12	9 9/12
Cognitive and motor development													
Intellectual disability		+	+	+	+	+	+	+	+	+	+	+	+
Speech impairment		+	+	+	+	+	+	+	+	+	+	+	+
Delay in motor development		+	+	+	+	+	+	+	+	−	+	+	+
Autistic features		+	−	+	−	−	−	+	+	−	−	−	−
Dysmorphic features													
Myopathic facial appearance		+	−	+	+	+	−	+	−	−	+	−	−
Thin eyebrows		+	+	+	−	−	−	+	−	−	−	−	+
Small palpebral fissures		+	+	+	−	+	−	+	−	+	+	−	−
Hypertelorism		+	−	+	+	+	−	+	+	−	−	+	+
Prominent nose		+	+	+	−	+	+	+	+	+	−	−	+
Long philtrum		+	+	+	+	−	−	+	−	+	+	+	+
Thin upper lip vermillion		+	+	+	+	+	+	+	+	+	−	+	+
Other													
Refractive error		Hyperopia	−	Hyperopia	−	−	Myopia	−	−	−	Myopia	Exotropia	−
Dental anomalies		+	+	−	−	+	+	−	−	−	−	−	+
Feeding difficulties		−	−	+	−	+	−	−	−	−	+	−	−
Immune system function													
Immune response		−	Frequent infections ^a	Frequent infections ^a	−	Low TREC at birth	Frequent/atypical infections	−	−	−	−	−	Frequent infections ^a
Allergy/asthma		−	−	+	−	+	+	−	+	−	+	+	+
BCL11B alteration													
	p.Gly820 AlaΔ5*27	p.Gly649Ala Δ5*67	p.Ala891Pro Δ5*106	p.Thr502His Δ5*15	p.Asn807Lys	p.Cys81Leu Δ5*76	p.Asp534Thr Δ5*29	46,X,Y,t(4;14)(p15;q32.1)	46,X,Y,t(4;14)(q31.1;q32.2)	p.Glu499*	p.Tyr455*	p.Arg518AlaΔ5*45	

+ = present; – = absent; TREC = T cell receptor excision circles.

^aReported by the family.

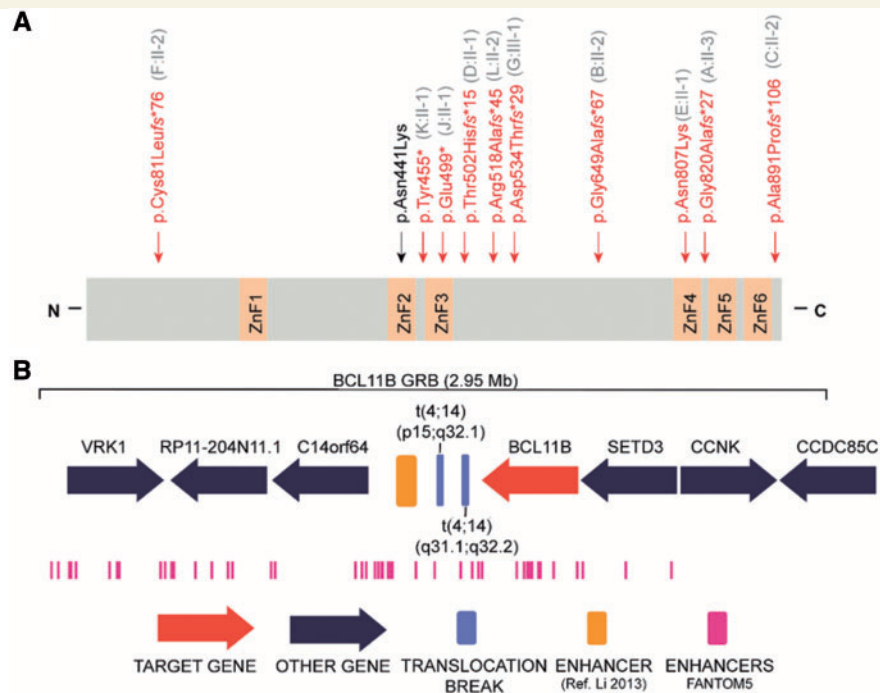


Figure 2 Genetic data of patients with *BCL11B* associated disorder. (A) Schematic protein structure of *BCL11B*: the position of the mutations identified in this study are marked with vertical arrows and shown in red, and the recently identified missense mutation (Punwani *et al.*, 2016) is shown in black. C = C terminus; N = N terminus; ZnF = zinc-finger C2H2 domain. (B) Genomic context of the translocation breakpoints in Patients H:II-1 and I:II-2. *Top*: *BCL11B* genomic regulatory block (GRB) model. *Middle*: The position of the breakpoints in chromosome 14 is shown in blue. The yellow bar shows the position of the T cell-specific enhancer (Li *et al.*, 2013). *Bottom* (in pink): Location of permissive enhancers according to the FANTOM5 algorithm. The legend at the *bottom* describes the symbols used.

protein was expressed from the mutation-containing cDNAs in dentate neurons (Fig. 3 and Supplementary Fig. 3). These data suggest that these premature termination codon mutations in *BCL11B* result in a functional null allele and recapitulate the hippocampal phenotype observed in *Bcl11b* mutant mice (Simon *et al.*, 2012).

Patients with *BCL11B* mutations show impaired T cell development and a severe reduction in peripheral type 2 innate lymphoid cells

Given the importance of *BCL11B* in T cell development and in the specification of the ILC2 lineage in mice (Wakabayashi *et al.*, 2003; Li *et al.*, 2010; Califano *et al.*, 2015; Walker *et al.*, 2015; Yu *et al.*, 2015), and the findings in the previously published patient (Punwani *et al.*, 2016), we evaluated the immune compartment of eight available patients, including six bearing frameshift mutations, one with a balanced translocation and the patient with the novel missense mutation (Supplementary Table 2). Haematocrit and differential blood counts were normal in all individuals, except for the eosinophil counts, which were high in three cases. The percentage of T cells was low in two individuals, who in turn showed higher relative frequencies of B cells. NK cells were present in

normal numbers in all patients. We next performed exhaustive immune profiling of the T cell compartment, encompassing the usage of T cell receptor chains, frequencies of classical and innate-like T lymphocytes and regulatory cells, frequencies of naïve, memory and effector subsets, expression of activation markers, and production of inflammatory cytokines. In a 2D t-distributed stochastic neighbour embedding (t-SNE) plot that analyses frequencies of 102 T cell subpopulations, patients with alterations in *BCL11B* clearly cluster apart from healthy children (Fig. 4A). The traits accounting for the differences in the T cell compartment between healthy donors and patients with mutations in *BCL11B* can be traced to: (i) an abnormally low percentage of CD4⁺ recent thymic emigrants (Fig. 4B); (ii) an overrepresentation of cells of the T $\gamma\delta$ lineage and TCR V δ 1 bias in detriment of the most common V δ 2 γ 9 chains (Fig. 4D); and (iii) changes in the frequency of effector and cytokine producing T cells (Supplementary Table 2).

The involvement of murine *Bcl11b* in the lineage specification of ILC2s prompted us to analyse the ILC compartment in these patients. We found that the frequency and absolute number of ILCs in peripheral blood of our patients were similar to healthy donors. However, in line with the previously reported findings in mice (Califano *et al.*, 2015; Walker *et al.*, 2015; Yu *et al.*, 2015, 2016)

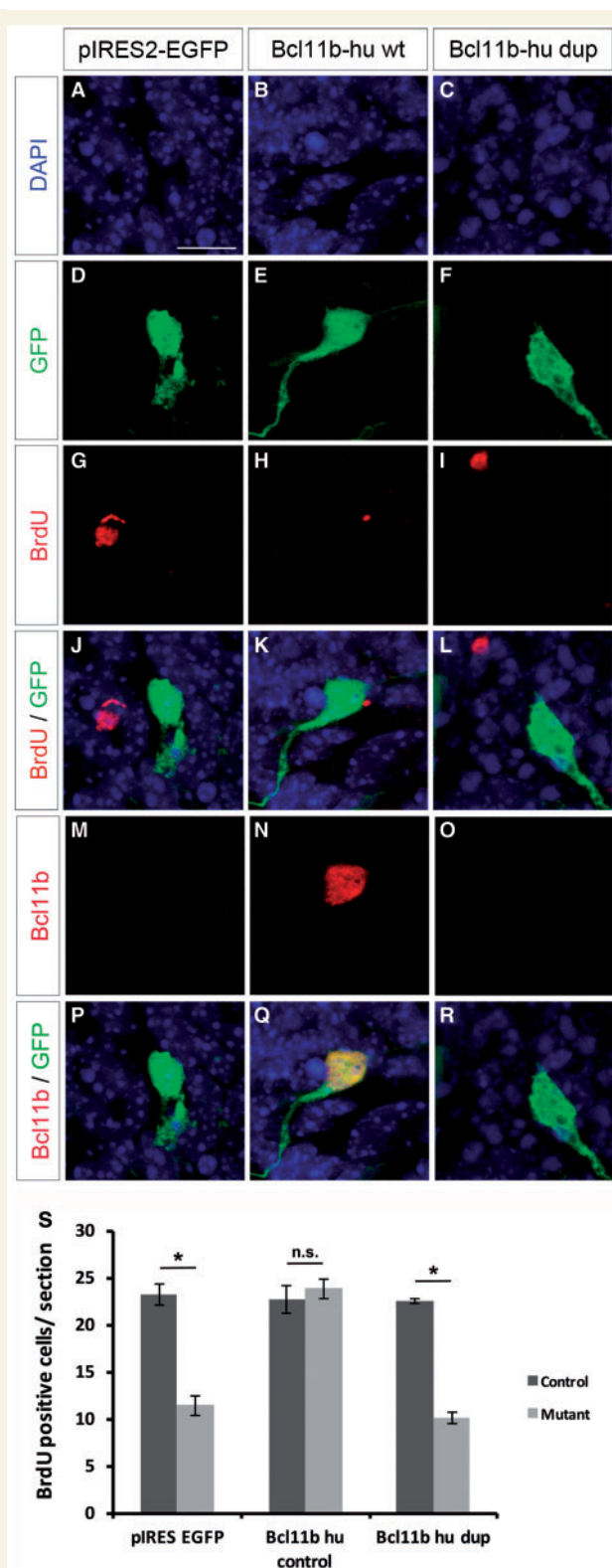


Figure 3 Functional analysis of the human p.Gly820Alafs*27 BCL11B mutation. (A–R) Immunohistological analysis of *Bcl11b*^{flox/flox};Emx1-Cre hippocampal slice cultures after *in vitro* Day 11 electroporation. Animals were electroporated with pIRES-EGFP (A, D, G, J, M and P), pIRES-EGFP Bcl11b-hu wt (wild-type human BCL11B cDNA) (B, E, H, K, N and Q) as well as pIRES2-EGFP Bcl11b-hu dup (human BCL11B cDNA containing the c.2449_2456dupAGCCACAC,

we observed a severe reduction, both in frequency and absolute numbers, of ILC2s in the peripheral innate lymphoid cell compartment (Fig. 5). In contrast to *Bcl11b*-deficient mice (Walker, 2016), however, ILC3 cells were not elevated. Therefore, our findings rather support a role for BCL11B in the development of ILC2s (Walker, 2015; Yu, 2015), and not merely in lineage maintenance and function.

In agreement with the previously reported repressive function of BCL11B on Th2 differentiation (Califano *et al.*, 2014), exacerbated Th2 responses were clinically recognized in five patients, including two with asthma (Table 1 and Supplementary Table 2). Although several studies suggested that ILC2s may be crucial players in allergic airway responses (van Rijt *et al.*, 2016), these cells are also required for restoring epithelial integrity and airway remodelling upon lung inflammation (Monticelli *et al.*, 2011; Kubo, 2017). Thus, a combination of exacerbated Th2 immunity and the lack of ILC2 may contribute to development of asthma in our patients.

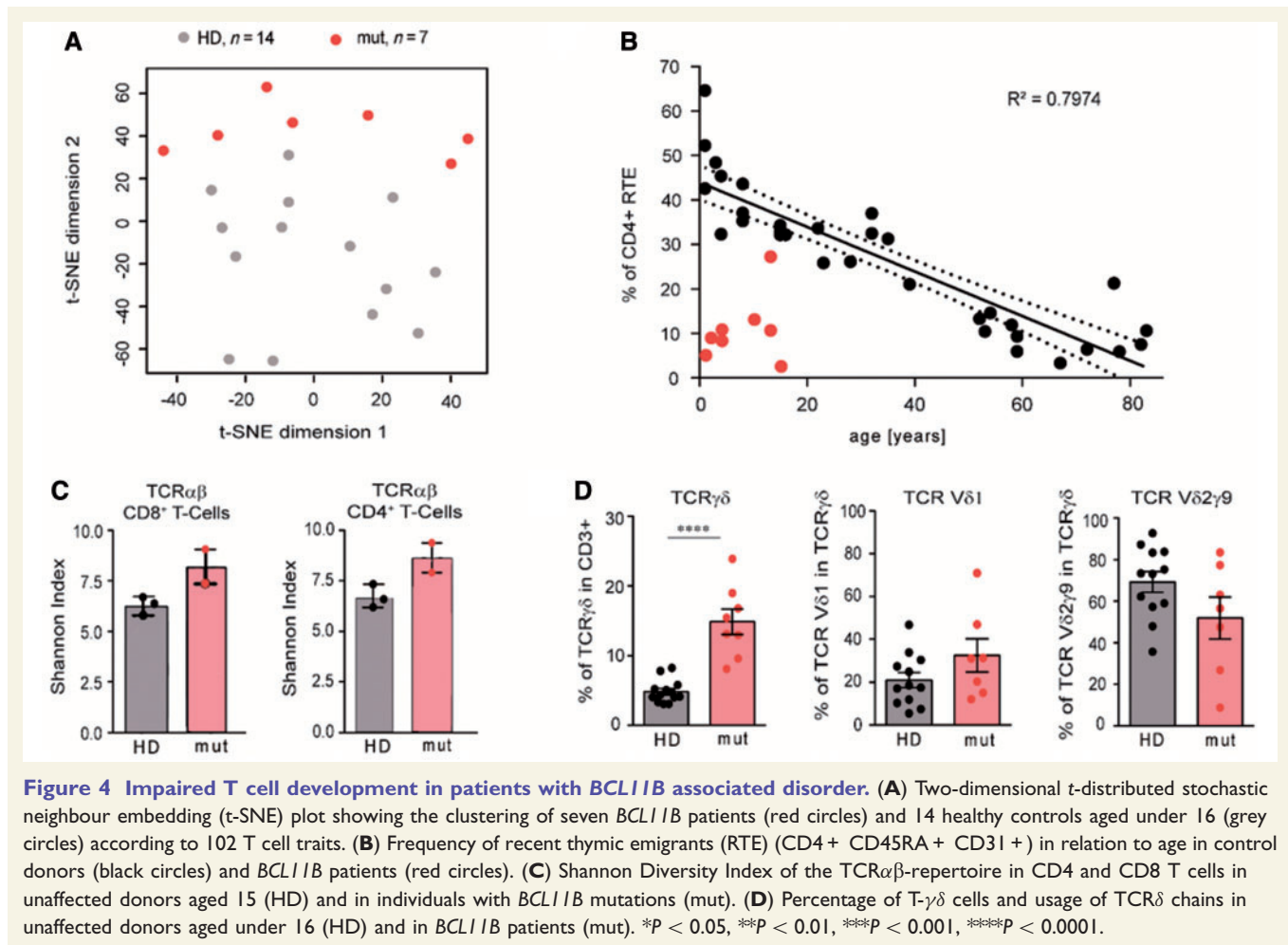
Discussion

A *de novo* missense mutation in BCL11B has recently been reported in a single patient affected by a leaky form of severe combined immunodeficiency (SCID), severe developmental delay, craniofacial abnormalities, absence of corpus callosum and erythematous psoriasiform dermatitis (Punwani *et al.*, 2016). Here we describe 13 patients bearing heterozygous BCL11B mutations, all of whom are affected by global developmental delay, with speech impairment and mild to moderate intellectual disability, mild facial dysmorphisms, accompanied by impaired development of the immune system, but without overt signs of immunodeficiency. These findings add this zinc-finger transcription factor to the rapidly growing list of monogenic disease genes for human developmental disorders.

Of the seven identified frameshift mutations, [p.(Cys81Leufs*76) and p.(Ala891Profs*106)] are predicted to result in haploinsufficiency, as we have shown for both chromosomal rearrangements in Patients H:II-1 and I:II-2. On the other hand, [p.(Tyr455*), p.(Glu499*), p.(Thr502Hisfs*15), p.(Arg518Alafs*45), p.(Asp534Thrfs*29), p.(Gly649Alafs*67) and p.(Gly820Alafs*27)] are predicted to result in a protein with loss of the last C-terminal DNA-binding zinc-finger domains. To evaluate the pathogenicity of the latter seven, we analysed the impact of the most C-terminal

Figure 3 Continued

p.Gly820Alafs*27) (C, F, I, L, O and R). DAPI (blue) as morphological marker, GFP (green) and BrdU (red) as marker for cell proliferation as well as Bcl11b (red). Images were taken at 63 × magnification, 2 × zoom. (S) Statistical analysis of BrdU-positive cells in *Bcl11b*^{flox/flox};Emx1-Cre and control hippocampal slice cultures. [t-test, **P* < 0.0005; control *n* = 4, mutant (*Bcl11b*^{flox/flox};Emx1-Cre *n* = 3)]. Scale bar = 10 μm (A).



one, [p.(Gly820Alafs*27)], on hippocampal neurogenesis. Indeed, we could show that the introduction of this mutation fails to rescue the severe progenitor cell proliferation defect in hippocampal slice cultures of *Bcl11b*-deficient mice.

The neurodevelopmental findings, impaired T cell development and drastic reduction in ILC2s recapitulate the previously published mouse phenotype (Wakabayashi *et al.*, 2003; Simon *et al.*, 2012; Walker *et al.*, 2015; Yu *et al.*, 2015). Moreover, all seven analysed patients cluster clearly apart from healthy control subjects in an unsupervised analysis based on over 100 T-cell subpopulations, altogether strongly supporting the causality of the here-identified mutations. Further evidence for their pathogenic nature comes from large-scale sequencing studies suggesting high intolerance of *BCL11B* to germline mutations (Lek *et al.*, 2016).

However, although the majority of the patients are affected by a non-syndromic neurodevelopmental disorder (we do not regard the common ILC2 reduction, which is just identified by a specific laboratory investigation, as an additional clinically overt symptom), it is worth noting that two of the here-identified patients, Patients D:II-1 and E:II-1, developed symptoms not observed in the others. Patient

D:II-1 additionally suffered epileptic seizures, requiring combined anticonvulsive therapy. In addition to a *de novo* frameshift mutation in *BCL11B*, this patient however bears a *de novo* nonsense mutation affecting the C-terminus of *RELN*. Heterozygous *RELN* mutations have been previously associated with isolated lateral temporal lobe epilepsy (Dazzo *et al.*, 2015), and we therefore suggest that the dysfunction of both genes contribute to her condition. Noteworthy, besides *de novo* mutations in *BCL11B*, in some of the here presented patients (Patients A:II-3, B:II-3, F:II-2, G:III-1 and L:II-2) we additionally identified further *de novo* alterations in various other genes (Supplementary Table 1), which is not unexpected according to the mean number of *de novo* coding variants in any individual. However, as (i) none of these genes has been associated with a monogenic human disease before; (ii) all these genes either have a low pLI (*CAMSAP1*, pLI = 0.75, and *DMAPI1*, pLI = 0.34) or a low missense Z-score (*BAI3*, *z* = 1.22, and *NYAP1*, *z* = 0.85), or the exact alteration is found in gnomAD [*CHD5*: p.(Lys68Thr)]; and (iii) we did not observe major phenotypic differences between the patients, we do not believe that variants in these genes have

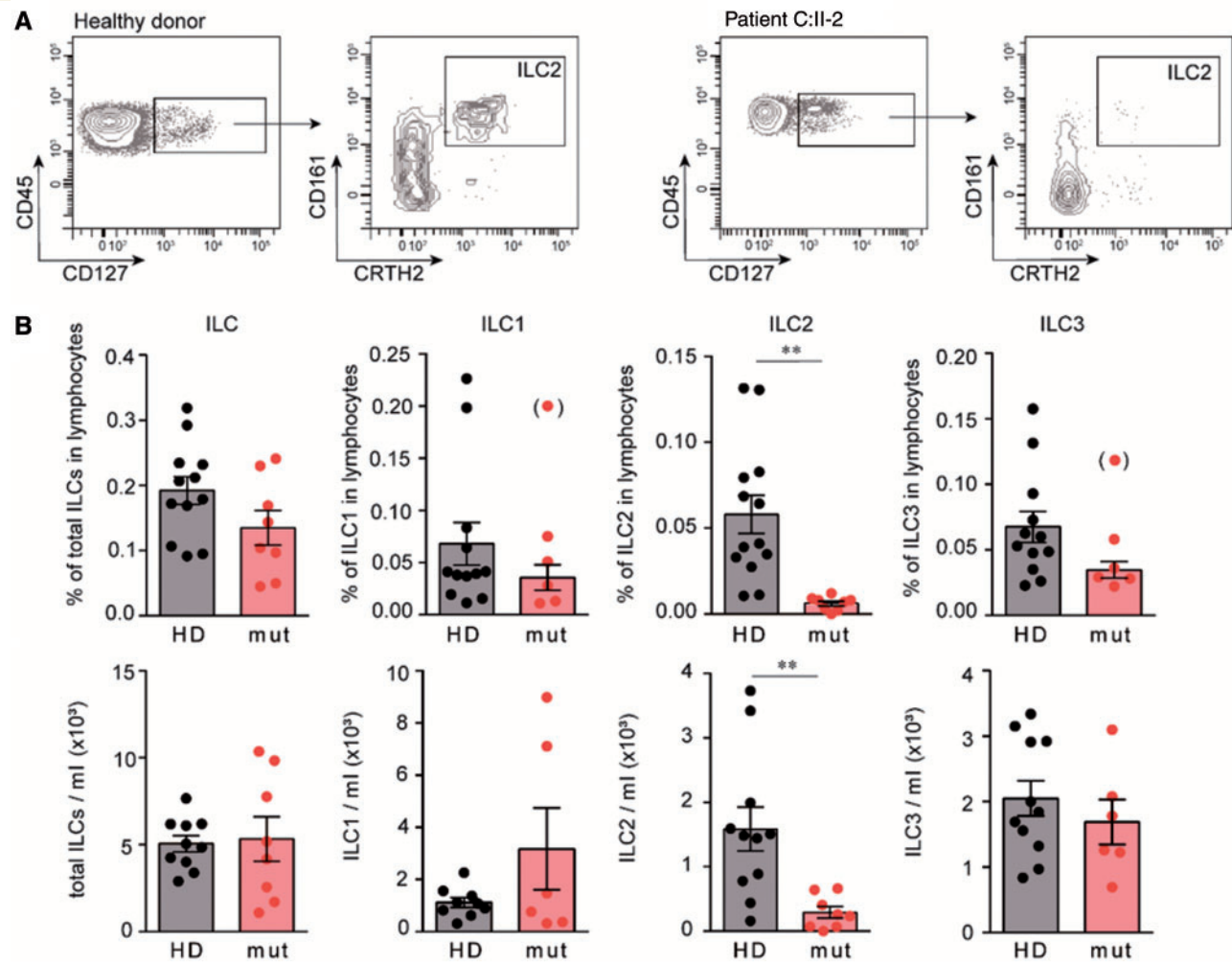


Figure 5 Drastic reduction in peripheral ILC2 in patients with *BCL11B* associated disorder. (A) Dot plots corresponding to the gating of ILC2s in an unaffected individual (left) and in Patient C:II-2 (right). The plots show events in the CD45⁺ lineage—HLA-DR⁺ gate (left plots) and after selection of the CD127⁺ cells (right plots). (B) Frequency and absolute numbers of ILCs in unaffected individuals and in individuals with *BCL11B* mutations. * $P < 0.05$, ** $P < 0.01$. Significant outliers (Grubb's test $P < 0.05$) are displayed in parentheses, but were excluded from statistical analysis.

significantly contributed to the observed phenotype, although a minor modifying contribution cannot be excluded. Strikingly, and in contrast to many other Mendelian disorders, the recently published patient affected by a syndromic immunodeficiency (Punwani *et al.*, 2016) and Patient E:II-1, both bearing *BCL11B* missense mutations, were more severely affected than any of the other patients with frameshift/nonsense mutations and translocations. Indeed, Patient E:II-1 was the only patient with suspected immunodeficiency diagnosed upon newborn screening, and this patient also developed severe congenital erosive dermatitis, consistent with the role of *BCL11B* in epidermal development and homeostasis (Golonzhka *et al.*, 2009a) and dermatitis pathogenesis (Wang *et al.*, 2012). Notably, both missense mutations affect one of the four ZnF_C2H2 'specificity residues' of the DNA-contacting alpha-helix within the ZnF2 and ZnF4, respectively. Using ChIP-seq analysis, Punwani *et al.* (2016) demonstrated that the p.(Asn441Lys)

mutation results not only impaired *BCL11B* binding to known target DNA sites, but also promotes binding to novel DNA binding sites. We hypothesize that unlike the other here-identified mutations, which are predicted to result in a loss of DNA-binding, the here-identified missense mutation may also result in acquisition of novel DNA-binding regions. As *BCL11B* acts as both transcriptional activator and repressor (Kominami, 2012), the differential binding to novel genomic regions might thus explain the observed clinical differences. Similarly, we propose that other missense mutations affecting the DNA-recognition interface within ZnF_C2H2 domains might also result in distinct, probably more severe clinical outcomes either through the change of affinity for genomic regions, or altered DNA binding kinetics due to steric effects of the mutated amino acid in the alpha-helix containing DNA recognition site. Distinguishing between the differential outcomes of *BCL11B* mutations, especially the elucidation of

putative novel target genes induced by p.(Asn807Lys) will require further work and will be the focus of future studies. However, the possibility that these two patients bear additional pathogenic mutations in regions that were either not properly covered by WES or are located within deep intronic or even intragenic regions cannot be completely excluded. Identification of further patients bearing missense mutations in ZnF_C2H2 domains is necessary to delineate both the phenotypic spectrum and their underlying mechanisms.

Taken together, we show that mutations resulting in haploinsufficiency or a truncation of the BCL11B protein mainly cause a non-syndromic neurodevelopmental disorder (NS-NDD). This also means that there are probably no clinical reasons to opt for a specific testing of *BCL11B* (unless one would know about a concomitant ILC2 reduction), but that rather diagnostic tests using massively parallel sequencing, i.e. either gene panels, WES or WGS, are essential for clinical diagnosis of a *BCL11B*-associated disorder. The latter especially holds true for identifying patients with missense mutations that may actually present with a multitude of additional symptoms depending on the way the ZnF_C2H2 domain is affected. Additionally, in light of the balanced translocations identified in our study, it is tempting to speculate that alterations affecting *BCL11B* enhancers may also result in an NS-NDD. WGS studies in previously WES-negative NS-NDD patients might therefore concentrate also on this region on chromosome 14. Interestingly, the extremely low frequency of recent thymic emigrants, together with the high frequencies of $T\gamma\delta$ cells suggests that, in addition to Patient E:II-1, other patients might also have had low $T\alpha\beta$ cell counts at birth. Homeostatic proliferation driven by lymphopaenia, expansion of $T\alpha\beta$ cells, and possibly antigen-driven clonal proliferation—as indicated by the high frequencies of effector cells in four patients—have probably contributed to the normal T cell counts in most patients at the time of analysis. Even though the families subjectively reported more infections than usual in four of the cases, none of the patients was considered immune deficient at the time of analysis, indicating a functionally sufficient diversity of the T cell receptor repertoire, as we could show in the two cases available for testing (Fig. 4C). Notably, we did not find increased frequencies of NK cells or of NK markers on T cells, as has been reported for *Bcl11b*-deficient mice (Wakabayashi *et al.*, 2003; Li *et al.*, 2010).

In summary, our data establish disruptions of *BCL11B* as a monogenic cause of a neurodevelopmental disorder, underscoring a central role for BCL11B in the development of the human neural systems. Moreover, our data provide first direct evidence that BCL11B plays a role in ILC2 development in humans. Finally, we propose that missense mutations affecting the DNA-recognition interfaces of *BCL11B* may result in more severe clinical outcomes than mutations resulting in haploinsufficiency or a truncation of the BCL11B protein.

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Supplementary material

Supplementary material is available at *Brain* online.

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