

## Advancing Measurement of Diabetes at the Population Level

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### Abstract

**Purpose of review** The measurement and estimation of diabetes in populations guides resource allocation, health priorities, and can influence practice and future research. To provide a critical reflection on current diabetes surveillance, we provide in-depth discussion about how upstream determinants, prevalence, incidence, and downstream impacts of diabetes are measured in the USA, and the challenges in obtaining valid, accurate, and precise estimates.

**Recent findings** Current estimates of the burden of diabetes risk are obtained through national surveys, health systems data, registries, and administrative data. Several methodological nuances influence accurate estimates of the population-level burden of diabetes, including biases in selection and response rates, representation of population subgroups, accuracy of reporting of diabetes status, variation in biochemical testing, and definitions of diabetes used by investigators. Technological innovations and analytical approaches (e.g., data linkage to outcomes data like the National Death Index) may help address some, but not all, of these concerns, and additional methodological advances and validation are still needed.

**Summary** Current surveillance efforts are imperfect, but measures consistently collected and analyzed over several decades enable useful comparisons over time. In addition, we proposed that focused subsampling, use of technology, data linkages, and innovative sensitivity analyses can substantially advance population-level estimation.

**Keywords** Diabetes · Surveillance · Burden estimation · Nutrition · Quality of life

### Introduction

Population-level measurement of chronic cardiometabolic conditions such as diabetes provide valuable data that can guide decision-makers in health systems, communities, workplaces, legislatures, and public and private payers. Epidemiology offers the tools to enumerate how burdensome these conditions are, and determine which characteristics make people most vulnerable to these diseases. Epidemiological research can be applied to prioritize populations at greatest risk and those most likely to benefit

from interventions, and to monitor delivery and impacts of prevention and treatments. However, based on the data sources that are available and/or chosen, as well as the analytical approaches used, epidemiologic analyses can provide widely varying estimates of disease risk and burden.

Disease surveillance has its historical origins in studying infectious, communicable disease epidemics. However, when applied to chronic, non-communicable conditions, there are a number of nuances that influence estimation, interpretation, and subsequent action. For example, the asymptomatic nature

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45 and long latency of many chronic diseases influence the tools  
 46 and approaches we use to measure burden. In this paper, we  
 47 describe the case of diabetes in the USA to enumerate the  
 48 challenges of measuring chronic disease prevalence, risk fac-  
 49 tors, and effects at the population-level and offer suggestions  
 50 that may help advance this area in the future.

51 **Current Diabetes Surveillance**  
 52 **in the USA—How We Measure**

53 Population monitoring of diabetes in the USA [1] relies on a  
 54 diverse set of complementary population surveys, health sys-  
 55 tem datasets, and registries (Figs. 1 and 2). These data are used  
 56 to measure diabetes risk factors, prevalence and incidence,  
 57 morbidity, care, and mortality. Although these datasets are  
 58 most comprehensive for the national level, some may be used  
 59 to quantify diabetes burdens at the state and local levels.

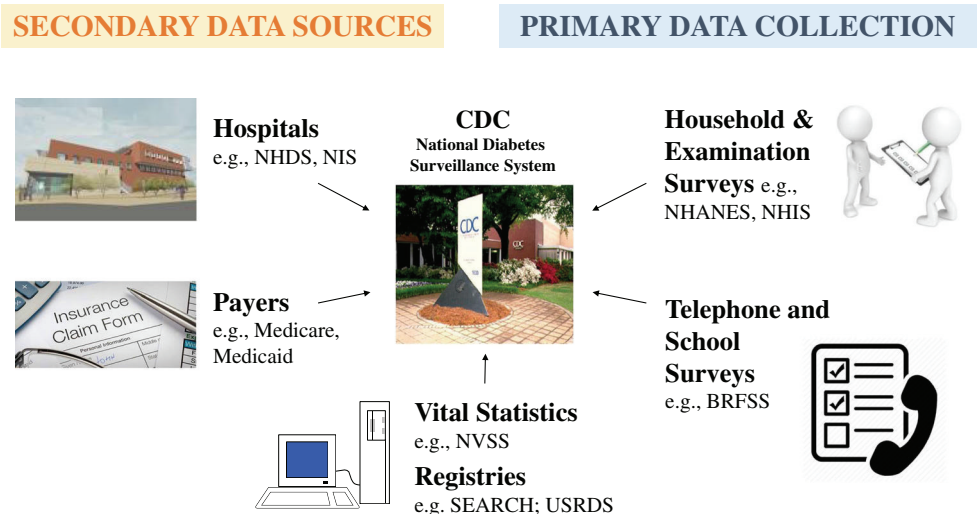
60 Surveillance of risk factors for diabetes is conducted primarily  
 61 via individual-level surveys conducted by the National Center for  
 62 Health Statistics (NCHS) that sample the general population to  
 63 assess health behaviors such as smoking, physical activity levels,  
 64 and dietary intake. These individual-level surveys include the  
 65 National Health and Nutrition Examination Survey (NHANES)  
 66 [2], National Health Interview Survey (NHIS) [3], and Behavior  
 67 Risk Factor Surveillance System (BRFSS) [4] which are also  
 68 used to assess the awareness and treatment of common risk fac-  
 69 tors such as hyperlipidemia and hypertension, as well as the  
 70 degree to which individuals have been advised to change behav-  
 71 iors. These surveys are used to assess prevalence of diagnosed  
 72 diabetes by asking participants if they recall receiving a diagnosis  
 73 from a physician or if they are currently taking glucose-lowering  
 74 medications. By using physical exams and laboratory assess-  
 75 ments, the NHANES surveys also include objective measures  
 76 of blood pressure and glycemia that are used to identify risk  
 77 status among those without prior knowledge of their risk. In

addition, diabetes incidence is measured in the USA by asking  
 individuals surveyed in the NHIS about the date of diagnosis,  
 with prior year identification providing the numerator of cases  
 newly diagnosed.

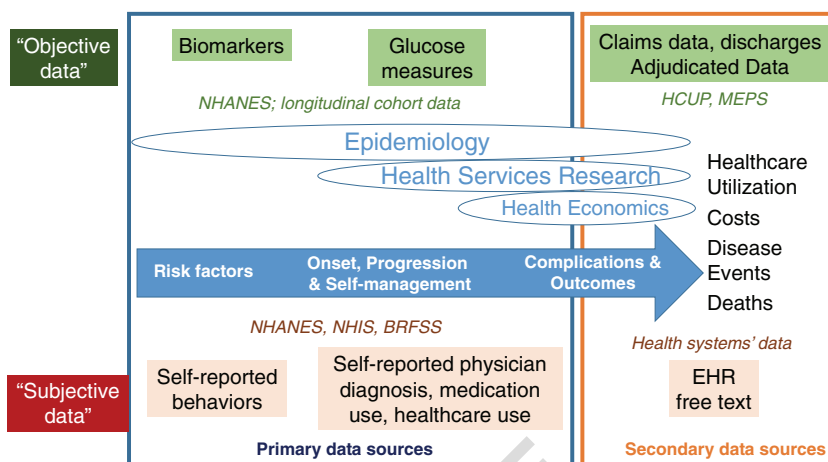
To monitor routine diabetes care, the aforementioned national  
 datasets are often queried to examine what treatments people  
 with diabetes are using and how well they are achieving control  
 of their CVD risk factors [5, 6]. The medical expenditure panel  
 survey (MEPS) [7] or telephone survey data such as the BRFSS  
 can be used to assess whether people with diabetes are receiving  
 medications or preventive screenings (e.g., annual eye, foot, and  
 urine checks) for complications of diabetes. Health system data-  
 sets such as those derived from electronic health records can  
 support monitoring how well people with diabetes are managing  
 specific CVD risk factors.

Surveys are also used to estimate prevalence of selected health  
 conditions associated with diabetes, such as self-reported history  
 of myocardial infarction, stroke, peripheral arterial disease, can-  
 cer, and physical disability. In the NHANES, urine and blood  
 sample collection and measurements are used to assess chronic  
 kidney disease and related severity. Specific physical and labo-  
 ratory measurements are also intermittently integrated into the  
 NHANES surveys to assess the prevalence of specific problems,  
 such as diabetic retinopathy and visual acuity, and limb diseases  
 including peripheral neuropathy and peripheral vascular disease.  
 Data on other morbidities are derived from non-survey or “sec-  
 ondary” data sources. For example, the National Inpatient Sample  
 [8] is a nationally representative sample of hospital discharges  
 used to assess rates of major diabetes-related complications  
 [9]. Claims data from public or private payers for healthcare  
 can be used for similar purposes and are often adjudicated—i.e.,  
 subsamples are reviewed for accuracy as reimbursement and  
 payment are at stake. Emergency department data is also used  
 to assess national and state levels of acute hyperglycemia, in-  
 cluding diabetic ketoacidosis and non-ketotic hyperosmolar hy-  
 perglycemic coma, and hypoglycemia. Some forms of diabetes-

**Fig. 1** Data sources routinely used for national diabetes surveillance by the US Centers for Disease Control and Prevention. NHDS National Hospital Discharge Survey, NIS National Inpatient Sample, NVSS National Vital Statistics System, SEARCH Search for Diabetes in Youth Study, USRDS US Renal Data System, NHANES National Health and Nutrition Examinations Surveys, NHIS National Health Interview Survey, BRFSS Behavioral Risk Factor Surveillance System



**Fig. 2** Data sources that are used to monitor different phases of diabetes risk and burdens, stratified by data collection and subjectivity. NHANES National Health and Nutrition Examinations Surveys, NHIS National Health Interview Survey, BRFSS Behavioral Risk Factor Surveillance System, EHR electronic health record, HCUP, Healthcare Utilization Project, MEPS Medical Expenditure Panel Surveys



114 related morbidity, such as end-stage renal disease, are assessed  
 115 using registries, such as the US Renal Data System [10], which  
 116 tracks cases of end-stage renal disease.

117 Finally, the US vital statistics data system is used to esti-  
 118 mate all-cause and cause-specific death rates. However, for  
 119 conditions like diabetes, in which reporting and attribution  
 120 on death certificates can be subjective and variable [11], mor-  
 121 tality data are often linked with other population-based data  
 122 systems so that death rates can be compared between adults  
 123 with and without diabetes.

124 **Challenges in Estimating Prevalence, Incidence, Mortality**  
 125

126 Several methodological nuances influence our estimation of dia-  
 127 betes prevalence, incidence, and mortality using population sur-  
 128 veys. Sampling frames and response rate determine the represen-  
 129 tativeness of the population recruited. At the national level, re-  
 130 sponse rates vary considerably. The BRFSS, for example, rou-  
 131 tinely achieves 30–40% response rates in its attempts to collect  
 132 survey data telephonically. Similarly, response rates in NHANES  
 133 vary according to component (household interview or exam),  
 134 and response rates have declined over time. To produce estimates  
 135 representative of the US non-institutionalized civilian population,  
 136 to compensate for unequal probabilities of demographic or geo-  
 137 graphic selection into the surveys, and to adjust for participant  
 138 non-response, the NCHS publishes survey weights. With regard  
 139 to representativeness, because of their relative numbers in the  
 140 population, there is the risk of underrepresentation of minority  
 141 racial or ethnic groups such that estimates for these subgroups  
 142 become imprecise. To address this problem, NCHS purposively  
 143 oversamples certain geographic regions and minority racial and  
 144 ethnic groups.

145 To determine diabetes status, surveys ask whether individ-  
 146 uals have been diagnosed as having diabetes by a health pro-  
 147 fessional and whether they are being treated for said condition.

148 Only the NHANES survey collects biological samples for  
 149 laboratory analysis to confirm diabetes status. The likelihood  
 150 of an individual self-reporting his or her diabetes status accu-  
 151 rately depends on several interrelated system-level, healthcare  
 152 provider-level, and individual-level factors. For example, at  
 153 the system level, individuals without financial or physical ac-  
 154 cess to healthcare are less likely to be tested. At the provider  
 155 level, there is substantial variation in practice patterns and how  
 156 health professionals communicate a diagnosis of diabetes. For  
 157 example, health professionals vary in their choice of which  
 158 screening guideline to follow, how adherent they are to the  
 159 guidelines, which biochemical test they choose to use (as there  
 160 may be variations in which tests they are comfortable using),  
 161 which test costs are reimbursed, and the accuracy of the labo-  
 162 ratory estimation [12–14]. In addition, health professionals  
 163 vary in how they interpret and choose to act on test results.  
 164 Needless to say, there is also variation in how a diagnosis of  
 165 diabetes is conveyed, and this influences how it is internalized  
 166 and relayed by the individual concerned. At the patient level,  
 167 personal characteristics and motivations affect how individ-  
 168 uals access care, interact with providers, receive diagnostic  
 169 and prognostic information, and act on and communicate  
 170 these data to others. Recall bias and social desirability, in  
 171 particular, are common in surveys where people are asked to  
 172 remember their health behaviors, status, or treatments.

173 Collection and analysis of biospecimens can address  
 174 some concerns of recall and accuracy of self-report.  
 175 However, here too, there can be biases that affect interpre-  
 176 tation of population diabetes estimates. If participants do  
 177 not adhere to the recommended fasting period before cer-  
 178 tain blood tests, findings can be erroneous. Furthermore,  
 179 the blood glucose measures we have at our disposal reflect  
 180 different phenotypes of elevated glucose—impairment of  
 181 fasting glucose, impairment of 1- or 2-h post-challenge  
 182 glucose tolerance, or elevation of glycosylated hemoglobin in-  
 183 dicating that blood sugar has been elevated persistently  
 184 over the past 2 to 3 months. These tests have different

185 sensitivities, specificities, and positive predictive values in  
 186 terms of their ability to discriminate diabetes status and  
 187 reflect different underlying pathophysiological impair-  
 188 ments in glucose metabolism. Also, because people could  
 189 have one phenotypic defect and not another, these tests can  
 190 give discordant results. The calibration and validation of  
 191 laboratory tests across multiple data collection sites is also  
 192 important [15].

193 The main analyst-level factors that influences population  
 194 estimates of diabetes is how researchers chooses to define  
 195 diabetes—both in the indicator they use and the threshold  
 196 used to classify diabetes. For example, studies using HbA1c  
 197 may yield lower prevalence than those using fasting plasma  
 198 glucose or an oral glucose tolerance test; similarly, studies  
 199 using multiple indicators will have higher prevalence than  
 200 those relying on just a single measure. There is less agreement  
 201 around ideal thresholds for prediabetes, and as blood glucose  
 202 levels are continuous measures, the chosen cutoff to define  
 203 diabetes analytically can be very low (making it very sensi-  
 204 tive) or very high (making it highly specific) which can inflate  
 205 or deflate the prevalence, respectively. In addition, imposing  
 206 thresholds without reporting the distributions can result in  
 207 substantial clustering of individuals around the imposed  
 208 thresholds.

209 Furthermore, since surveys collect data at single time-  
 210 points from participants, and glucose measures are variable  
 211 within individuals, the survey estimates only reflect the glu-  
 212 cose on that date. Using measures that are more stable over  
 213 time, such as glycated hemoglobin levels, or potentially doing  
 214 a second confirmatory test, may address this concern.  
 215 Confirmatory testing at the same visit can be effective [16,  
 216 17], while requiring a return visit could lower response rates  
 217 in large population-based studies.

218 Estimates of diabetes burden are also often derived from  
 219 studies of health system datasets which vary widely in how  
 220 they define diabetes. For example, more optimal definitions of  
 221 diabetes may come from integrated health system datasets  
 222 where a composite of inpatient, outpatient, medication, and  
 223 laboratory data can be used [18, 19]. Therefore, systems that  
 224 have ambulatory or hospitalization data provide generally  
 225 more valid estimates, than systems that rely only on a single  
 226 administrative data source (e.g., hospitalization alone; phar-  
 227 macy alone; laboratory alone; outpatient alone) and subject to  
 228 the biases described above (Table 1).

## 229 Challenges in Measuring Upstream Exposures

230 It is also important to measure population-level upstream  
 231 exposures that play a role in the development of disease, such  
 232 as nutritional intake and physical activity in the case of dia-  
 233 betes. This can help guide and establish public health prior-  
 234 ities and goals.

**Table 1** Characteristics that distinguish and influence the interpretation of primary and secondary sources of data for national diabetes surveillance

	Primary data (surveys, etc.)	Secondary data (claims, etc.)	
Representativeness	Representative of respondents who agree to be surveyed	Representative of those insured or having access to a certain system	t1.3
Type of data	Self-reported (and perceived) diagnoses, behaviors, healthcare use, HRQoL, biomarkers	Generation of routine data for non-scientific purposes (patient diagnoses, processes, prescriptions)	t1.4
Strengths	Combination of socio-demographic, behavioral, biomarker data, and patient reported outcomes	Detailed in- and outpatient diagnosis and process codes, large sample sizes, retrospective longitudinal data	t1.5
Limitations/sources of bias	Data collection is expensive, sample size issues with rare complications, recall and/or social desirability bias	Limited information on socio-economic background and patient behavior, provider- or system-level incentives or errors in coding/classification	t1.6
Interpretation	Reflect behaviors or levels at time of survey; not time prior to or after survey. No confirmation of diagnoses or events	Reflect what was billed or recorded; not (always) linked to actual biomarkers or behaviors. Cannot assess disease control/severity	t1.7

## Nutritional Intake

235 Suboptimal diet is a leading risk factor for death and disability  
 236 in the USA [20] and modest dietary changes are associated  
 237 with meaningful modification of type 2 diabetes risk [21, 22].  
 238 However, surveillance of dietary intake can be particularly  
 239 challenging. Two often cited concerns regarding nutrition sci-  
 240 ences are that assessment methods rely too heavily on self-  
 241 reported dietary intake and, because of the observational na-  
 242 ture of the majority of studies, the conclusions may be unreli-  
 243 able and seem to be ever-changing in terms of whether a given  
 244 nutrient or food is harmful or healthy—and which nutrient or  
 245 food is being studied [23].  
 246

247 Unlike tobacco, nutritional intake is not all harmful, and many  
 248 foods have a combination of nutrients that may raise or lower  
 249 risk. Moreover, the health impacts of dietary components can  
 250 take decades to be manifest [24, 25]. As a result, for dietary  
 251 exposures that happened long ago, accurate recall by the individ-  
 252 ual may be difficult. Furthermore, dietary intake measured today  
 253 may or may not be reflective of an individual's general intake



254 across the life-course. Also, we know very little about if and how  
 255 food preparation, processing, and early life habits influence  
 256 pathophysiology.

257 The 24-h dietary recall (24HR) is the gold standard for  
 258 collecting detailed individual-level dietary intake data in  
 259 national surveys. Through open-ended interviewer (or online)  
 260 prompts, participants are asked for information about foods  
 261 and beverages consumed in the previous 24-h period.  
 262 Information collected may include the types and quantities  
 263 (portion sizes) of foods and beverages (including supple-  
 264 ments) consumed, as well as cooking methods used.  
 265 Together, these data are useful in estimating mean dietary  
 266 intake levels for the population. To capture variation in dietary  
 267 intake, NHANES invites participants to report on typical  
 268 weekday and weekend intake [26]. Although 24HRs cannot  
 269 provide the most precise and accurate portrayal of an individ-  
 270 ual's long-term dietary intake pattern [27], methods exist to  
 271 collect additional 24HR data from subsets of participants to  
 272 estimate longer term patterns.

273 A food frequency questionnaire (FFQ), in contrast, is a  
 274 prespecified checklist of foods and beverages where partici-  
 275 pants report how often each item was consumed during a  
 276 specified period ranging from 1 week to 1 year. The FFQ tends  
 277 to be used for capturing an individual's (habitual) food intake  
 278 patterns but suffers from a number of systematic biases that  
 279 cannot be controlled for or accommodated with analytical  
 280 methods after collection. The FFQ is a retrospective method  
 281 that relies upon the participant's ability and willingness to  
 282 accurately remember and report dietary intake over up to a  
 283 year.

284 Food diaries are typically completed by the participant over  
 285 three consecutive days (two weekdays and one weekend day)  
 286 or over seven consecutive days, and include a complete list of  
 287 all foods and beverages, and portion sizes of each, consumed  
 288 during the period. There is less recall bias because the record-  
 289 ing is done at the time of consumption; however, inaccuracies  
 290 and incomplete reporting, as well as the risk that data collec-  
 291 tion changes behavior all persist as challenges.

292 Each of these nutrition data collection tools relies on self-  
 293 report, which are subjective and prone to challenges in esti-  
 294 mating portion size and can result in both random and system-  
 295 atic errors [28, 29]. To help address this, common household  
 296 measures and food models (two-dimensional or three-dimen-  
 297 sional) or food photographs are often provided to respondents.  
 298 Another challenge is that food composition tables are needed  
 299 to match food consumed to its nutrient contents.

300 In addition to *individual* dietary intake measurement, eco-  
 301 logical population-wide data provide adjunct evidence regard-  
 302 ing nutritional intake. Importantly, these data consider food  
 303 availability (both calories and food groups) at the population  
 304 level and take agricultural production, imports, exports, and  
 305 food losses, into account in estimating overall and per capita  
 306 availability of foods.

**Physical Activity**

307

Physical activity is a key protective factor for type 2 diabetes 308  
 and other cardiometabolic diseases; however, it is challeng- 309  
 ing in terms of valid and precise measurement [30]. When 310  
 measuring physical activity, four dimensions are ideally con- 311  
 sidered: frequency (sessions or days per week), intensity 312  
 (amount of effort required for the activity), duration (length 313  
 of session or accrued length of physical activity during a 314  
 week), and type (other information about the nature of the 315  
 activity or purpose, i.e., leisure-time versus household/ 316  
 gardening versus occupational/school versus active trans- 317  
 portation). These domains of physical activity (and seden- 318  
 tary behavior) can be measured in several different subjective 319  
 (self-reported questionnaire responses) and objective 320  
 ways (accelerometers). 321

The advantages of questionnaires is that they are relatively 322  
 easy to administer to large groups and have a low respondent 323  
 burden, they can assess physical activity across multiple do- 324  
 mains and at both qualitative and quantitative levels, and they 325  
 are relatively cheap. Some disadvantages include inaccuracy 326  
 because of social desirability biases or recall bias. One of the 327  
 most commonly used questionnaires is the International 328  
 Physical Activity Questionnaire (IPAQ), which can be admin- 329  
 istered by either telephone or self-administered methods in 330  
 long form (five activity domains asked independently) or short 331  
 form (four generic items). The IPAQ was developed at the 332  
 World Health Organization following extensive reliability 333  
 and validity testing across 12 countries and is suitable for 334  
 use in many settings and different languages. 335

Pedometers are worn and assess the number of steps a 336  
 person takes by responding to vertical forces. Pedometers 337  
 are relatively inexpensive and non-invasive, and easy to use 338  
 for large groups. The disadvantages of pedometers are that 339  
 they only measure one domain of physical activity (i.e., they 340  
 do not measure frequency, intensity, or duration), and they 341  
 cannot be used for activities such as swimming. In addition, 342  
 at least one study has shown that device data feeds can be 343  
 manipulated [31]. Similarly, accelerometers are worn at the 344  
 waist or on the wrist and record body motion over time, pro- 345  
 viding information about intensity, frequency, and duration of 346  
 physical activity. They have very low subject burden and pro- 347  
 vide simple, quick data collection. However, estimation of 348  
 physical activity units based on acceleration data is a complex 349  
 science. 350

Direct observation involves watching people and recording 351  
 specific behaviors. Such methods are commonly used for chil- 352  
 dren, when the activity is restricted to a delineated space (e.g., 353  
 a classroom). The method can result in accurate, contextual 354  
 data, but disadvantages include the time burden, potential re- 355  
 activity (having the observed individual change their behavior 356  
 because of being observed), and challenges related to 357  
 obtaining ethical approval. 358

359 **Challenges in Measuring Outcomes**

360 Measuring outcomes relevant to the individual and to society  
 361 such as quality of life, healthcare resource utilization, and cost  
 362 are all important for policy makers. Data from health and  
 363 examination surveys or claims data are predominantly used  
 364 to measure these.

365 Claims data comprise the billing codes that healthcare pro-  
 366 viders submit to payers for the purpose of reimbursement. The  
 367 advantages of these data are their relatively consistent format  
 368 with established codes for diagnoses, procedures, and drugs  
 369 and related reimbursement values; the volume of data avail-  
 370 able; the longitudinal data structure; and the great level of  
 371 detail offered. The shortcomings of claims data are the sus-  
 372 ceptibility of coding to incentives set by systems and payers,  
 373 the unavailability of clinical information such as diabetes du-  
 374 ration or glycemic control, and the fact that except for univer-  
 375 sial health systems, claims data only comprise data of certain  
 376 subgroups of people that have access to care. In the USA, only  
 377 data from Medicare and Medicaid beneficiaries are available  
 378 openly for analysis [32].

379 Some health surveys collect data regarding participants’  
 380 healthcare utilization, i.e., the frequency of inpatient and out-  
 381 patient contacts and the type and volume of utilized rehabili-  
 382 tation and medication. The great advantage of survey data for  
 383 burden of disease analyses is that clinical information and  
 384 health behavior can be linked with healthcare utilization.  
 385 The problems related to survey data are representativeness  
 386 and that information on healthcare utilization in some surveys  
 387 is prone to recall bias and misclassification. Furthermore,  
 388 since the estimation of healthcare costs requires the use of unit  
 389 cost values, and sample size does often not allow studying less  
 390 prevalent complications such as amputations or ESRD.

391 **Healthcare Costs**

392 Direct costs consist of healthcare costs, such as medical ex-  
 393 penditures for diagnosis, treatment, and rehabilitation, and  
 394 non-healthcare costs, such as expenditures for transportation,  
 395 relocating or informal care. Indirect costs refer to productivity  
 396 losses caused by morbidity and mortality. In general, the esti-  
 397 mation of costs includes two parts: (1) quantification of  
 398 healthcare utilization, absenteeism, and premature mortality,  
 399 and (2) the monetary valuation of these components.  
 400 Although valuation is mostly straightforward for healthcare  
 401 costs, the valuation of direct non-medical costs and indirect  
 402 costs is methodologically and philosophically challenging.

403 To analyze the burden or impacts of diabetes, researchers  
 404 often apply bottom up studies using individual-level data, i.e.,  
 405 they apply econometric methods to compare utilization and  
 406 costs between comparable individuals with and without the  
 407 disease over a predefined time horizon, typically a year [33,  
 408 34]. Other cost of illness studies also often apply top-down

approaches that use aggregated data along with population- 409  
 attributable fractions to estimate attributable costs [35]. Some 410  
 economic analyses, such as studies of the American Diabetes 411  
 Association or the International Diabetes Federation, combine 412  
 cost ratios and prevalence data to estimate the total US nation- 413  
 al (\$176 billion) or global (\$612–1099 billion) healthcare ex- 414  
 penditures attributable to diabetes [36, 37]. Given the meth- 415  
 odological problems in valuation of productivity losses, stud- 416  
 ies that assess indirect cost burdens are less frequent and often 417  
 highly heterogeneous in their results. 418

419 One conceptual problem of many of these cost studies is  
 420 that the resulting cost estimates represent associations more  
 421 than causality. Data show that, compared to people who do not  
 422 develop diabetes, people who develop diabetes have increased  
 423 healthcare costs years before the onset of diabetes. This sug-  
 424 gests that diabetes prevention may not result in cost savings at  
 425 the magnitude of those estimated excess costs [38]. Estimated  
 426 costs attributable to diabetes are also highly dependent on the  
 427 chosen analytical method and underlying data source.  
 428 Moreover, changes in excess cost or healthcare utilization  
 429 for diabetes over time may actually reflect changes in the  
 430 underlying population, changes in policy or reimbursement  
 431 schemes that make certain procedures more attractive, or  
 432 changes in the volume or price of utilized resources such as  
 433 medications or emergency visits.

434 **Quality of Life**

435 Health-related quality of life (HRQoL) is a multi-dimensional 436  
 concept representing a composite of physical functioning, 437  
 psychological, and social well-being assessed through 438  
 disease-specific or generic questionnaires [39]. There are var- 439  
 ious disease-specific quality of life questionnaires such as the 440  
 Diabetes Quality of Life (DQOL) and the Diabetes-Specific 441  
 Quality of Life Scale (DSQOLS), the Problem Areas in 442  
 Diabetes scale (PAID), and many more, that measure 443  
 diabetes-specific dimensions such as symptoms, worries, 444  
 self-care, functional ability, social support, and sexual func- 445  
 tioning [40–42]. In contrast, more generic instruments, such as 446  
 the 12-item Short Form Health Survey (SF-12), 36-item Short 447  
 Form Health Survey (SF-36), the 5-dimension EuroQol (EQ- 448  
 5D), or the Health Utilities Index Mark 3 (HUI3), are less 449  
 sensitive, but allow comparisons across different diseases 450  
 and are therefore used for burden of disease estimations. The 451  
 latter two are index-based generic instruments that consist of 452  
 multi-attribute descriptive systems, which can be converted 453  
 into a single preference-based utility value. These utility 454  
 values can subsequently be used to weight life years to derive 455  
 quality-adjusted life years (QALYs).

456 There are several challenges in measuring and interpreting  
 457 HRQoL. First, people describe the influence of similar symp-  
 458 toms with wide-ranging impacts on their HRQoL. Further,  
 459 studies with longitudinal follow-up show that the within-

460 subject variation is much smaller than cross-sectional between-  
 461 subject variation. This indicates that cross-sectional studies do  
 462 not accurately depict the influence of diabetes on HRQoL [43].  
 463 On the other hand, quality of life assessments are subjective  
 464 judgments and subject to adaptation processes leading to a po-  
 465 tential underestimation of quality of life deteriorations related to  
 466 severe complications. HRQoL assessment is also sensitive to  
 467 the mode of administration and to language and culture aspects.  
 468 This means that a myocardial infarction of the same severity  
 469 might be judged differently on HRQoL dimensions depending  
 470 on the environmental and social context of a person, or the  
 471 setting in which the questions are administered [44, 45].

472 As exemplified for costs, changes and differences in  
 473 HRQoL decrements related to diabetes could have manifold  
 474 reasons; therefore, analyses over time and space are difficult.  
 475 To overcome these problems, the consistent use of generic and  
 476 diabetes-specific quality of life measures in national represen-  
 477 tative samples and longitudinal cohort studies is desirable.  
 478 Special attention to heterogeneity in assessment and underly-  
 479 ing patient characteristics may enhance the validity and reli-  
 480 ability of the findings.

481 **What Can Improve Estimates?**

482 Though imperfect, the quantity and quality of US surveillance  
 483 data available are substantial and the envy of many countries.  
 484 Innovative data collection, linkage, and analytical approaches  
 485 can appreciably improve our estimation of diabetes in  
 486 populations.

487 **Focused Sampling and Analytics**

488 Geographical information is important to identify areas for  
 489 action and to be able to evaluate the effectiveness of interven-  
 490 tions and policies on community level. Some national surveil-  
 491 lance systems offer geographic information, often at the level  
 492 of counties and states. Since there is wide variation within  
 493 states and even within counties, the possibility for small area  
 494 estimation, even within zip codes, is an area of major interest.  
 495 Most of this work involves using existing data and applying  
 496 innovative analytical methods.

497 There are also some populations that are underrepresented and  
 498 require focused sampling to be able to estimate national-level  
 499 findings. For example, there is ongoing survey and validation  
 500 work underway related to differentiating type 1 from type 2 di-  
 501 abetes to be able to provide a more granular estimate of type 1  
 502 diabetes burdens. Furthermore, there are still some groups that are  
 503 underrepresented in national surveys—for example, young adults,  
 504 immigrants, and certain racial or ethnic groups. This may require  
 505 focused oversampling of these populations in specific years.

**Adding Longitudinal Perspectives**

506

507 As stated previously, single time-point measures only represent  
 508 what the participant was reporting or experienced biochemically  
 509 at the time they were surveyed. Longitudinal data offer the op-  
 510 portunity to confirm the stability of self-reported, biochemical,  
 511 and measured estimates. Furthermore, longitudinal data can help  
 512 quantify the changing costs and utilization patterns associated  
 513 with chronic diseases that evolve over time and to move from  
 514 estimations of pure correlations to causal links. There is currently  
 515 an ongoing pilot of a longitudinal follow-up of some NHANES  
 516 participants [46], but the costs to do this repeatedly and on a scale  
 517 where the sample is nationally representative may be cost-pro-  
 518 hibitive. A more modest effort is an ongoing demonstration pro-  
 519 ject of using routine electronic health record data for prospective  
 520 epidemiological studies; results are awaited.

**Use of Technology**

521

522 To help address challenges in measurement of daily health be-  
 523 haviors, such as dietary intake and physical activity, incorporat-  
 524 ing technology may help to yield more accurate responses. For  
 525 example, computerized data entry and Web-based questionnaires  
 526 can help to minimize data recording errors and ease participant  
 527 burden. Similarly, digital photography may be used to more ac-  
 528 curately and efficiently determine portion sizes consumed, espe-  
 529 cially if artificial intelligence tools can be programmed to esti-  
 530 mate portion size and can be linked to nutrient databases [47].

**Data Linkages, Analytics, and Novel Data Sources**

531

532 Much can be done with the extensive data we are already  
 533 collecting routinely. Linking representative survey data to  
 534 existing secondary administratively collected data (e.g., vital  
 535 statistics registries or healthcare records) can help triangulate  
 536 what was reported and observed in surveys [48, 49]. This has  
 537 been achieved through linking NHANES and NHIS with  
 538 claims data from the Centers for Medicaid and Medicare  
 539 Services, with the National Death Index, and with Social  
 540 Security. Beyond classical data sources such as surveys, EHR,  
 541 and claims data, geographical or commercial data are increas-  
 542 ingly being used to add another layer of surveillance that de-  
 543 scribes and maps upstream environmental determinants for car-  
 544 diometabolic risk factors such as the walkability and the food  
 545 environment of neighborhoods. New analytical approaches,  
 546 such as machine learning algorithms will be helpful to make  
 547 sense of these large datasets [50]. Furthermore, where there is  
 548 concern that surveillance findings are subject to data collection  
 549 or analysis nuances, sensitivity analyses should be used liber-  
 550 ally. There are good examples supporting this approach. In a  
 551 recent analysis using national data, a variety of definitions for  
 552 prediabetes were used to characterize different risk groups in  
 553 the population [6]. In other study, diabetes prevalence was



554 estimated using a more specific definition of two different glu-  
 555 cose tests (from the same set of standard biochemical measure-  
 556 ments) [17].

557 **Conclusions**

558 No epidemiologic studies are perfect, and this is true of the ap-  
 559 plication of epidemiology to surveillance of cardiometabolic dis-  
 560 eases. As we have described, to improve surveillance efforts,  
 561 authors, and editors should do more with what the data offer,  
 562 by using triangulation, innovative methods, and sensitivity anal-  
 563 yses to help produce valid and reliable estimates. Additional data  
 564 collection such as subsampling or linkage to existing data sources  
 565 can also offer efficient ways to answer specific questions.  
 566 Harmonization and integration of various—so far—non-compat-  
 567 ible IT formats of different health systems data will also improve  
 568 the quality and representativeness of usable data. Lastly, one  
 569 could envisage incorporating some repeated measures to existing  
 570 surveys, longitudinal in nature, and include a vast array of re-  
 571 sponses and testing; this is expensive but if used selectively and  
 572 intelligently will provide valuable added information. While we  
 573 encourage discourse and thought into ways to improve surveil-  
 574 lance, we want to continue to encourage the endeavor to collect  
 575 survey data for population-level estimation of cardiometabolic  
 576 diseases and recommend that improvements are possible where  
 577 resources and needs emerge.

578 **Compliance with Ethical Standards**

579 **Conflict of Interest** Mohammed K. Ali, Karen R. Siegel, Michael Laxy,  
 580 and Edward W. Gregg declare that they have no conflict of interest.

581 **Human and Animal Rights and Informed Consent** This article does not  
 582 contain any studies with human or animal subjects performed by any of  
 583 the authors.

584 **Disclaimer** The findings and conclusions in this report are those of the  
 585 authors and do not necessarily represent the official position of the US  
 586 Centers for Disease Control and Prevention.

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