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PATHOGENESIS OF TYPE 1 DIABETES (A PUGLIESE AND SJ RICHARDSON, SECTION EDITORS)

### Pancreas Pathology During the Natural History of Type 1 Diabetes

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#### 11 Abstract

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> 12**Purpose of review** We provide an overview of pancreas pathology in type 1 diabetes (T1D) in the context of its clinical stages. Recent findings Recent studies of pancreata from organ donors with T1D and non-diabetic donors expressing T1D-associated 13autoantibodies reveal pathological changes/disease mechanisms beyond the well-known loss of  $\beta$  cells and lymphocytic infil-14 15trates of the islets (insulitis), including  $\beta$ -cell stress, dysfunction, and viral infections. Pancreas pathology evolves through disease stages, is asynchronous, and demonstrates a chronic disease that remains active years after diagnosis. Critically,  $\beta$ -cell loss is not 16

complete at onset, although young age is associated with increased severity. 17

- Summary The recognition of multiple pathogenic alterations and the chronic nature of disease mechanisms during and after the 18
- development of T1D inform improved clinical trial design and reveal additional targets for therapeutic manipulation, in the 19
- 20 context of an expanded time window for intervention.
- 21**Keywords** Type 1 diabetes  $\cdot$  Insulitis  $\cdot \beta$  cell  $\cdot$  Pancreas  $\cdot$  Islet autoimmunity
- 22Abbreviations

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22	Abbreviat	ions
24	AAb+	Autoantibody positive
26	EADB	Exeter Archival Diabetes Biobank
28	ER	Endoplasmic reticulum
30	DiViD	Diabetes Virus Detection Study
33	GAD	Glutamic acid decarboxylase
34	HA	Hyaluronan
36	HLAI	Human leukocyte antigen class I

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IA-2	Islet antigen-2	39
ICI	Insulin-containing islet	40
IDI	Insulin-deficient islet	43
MODY	Maturity onset diabetes of the young	45
NOD	Non-obese diabetic mouse	46
nPOD	Network for Pancreatic Organ Donors with	49
	Diabetes	50
T2D	Type 2 diabetes	53

#### Introduction

Type 1 diabetes (T1D) is a chronic autoimmune disease lead-55ing to severe loss of pancreatic  $\beta$  cells. The disease often 56manifests in children and adolescents, but many patients are 57diagnosed as adults [1, 2]. The prominent pancreas patholog-58ical features of T1D are loss of  $\beta$  cells and islet inflammation. 59The discovery of autoantibodies led to the recognition that 60 autoimmunity may be triggered even in early life, and auto-61antibody conversion precedes clinical symptoms from months 62 to years. All of the above and early pathology studies led to the 63 belief that  $\beta$ -cell destruction is occurring over time, largely 64prior to the clinical onset, and that about 90% of the  $\beta$  cells are 65lost by the time symptoms manifest. Autoreactive T cells are 66 considered the primary mediators of  $\beta$ -cell loss [3]. Since the 67 mid-1980s, the design of clinical trials for preventing or re-68 versing diabetes has been based on these views. 69

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Here, we provide an updated view of pancreas pathology in 70T1D. We revisit earlier and recent studies to describe how our 7172knowledge has evolved. Systematic efforts to provide greater 73access to the T1D pancreas to the scientific community, im-74 proved molecular methods, and collaboration have advanced 75our understanding of T1D pathogenesis and pathology, in-76cluding the discovery of additional disease mechanisms, cellular players, and pathological features, all of which may be 77 78amenable to therapeutic manipulation. We also discuss current 79gaps in knowledge, which are especially critical during the 80 prodromic phases of the disease, for which the characterization of pancreas pathology remains limited. 81

#### 82 Sources of Human Pancreas for T1D Research

Access to the pancreas from patients with T1D has been his-83 torically limited, but it has been possible to obtain pancreata 84 85 from patients through autopsy, biopsy, and organ donation. Currently, three pancreatic biobanks are actively supporting 86 T1D research: the Exeter Archival Diabetes Biobank 87 (EADB) in the UK, the Diabetes Virus Detection study 88 (DiViD) in Norway, and the Network for Pancreatic Organ 89 Donors with Diabetes (nPOD) in the USA. These are de-90 91scribed below:

EADB Studies of autopsy pancreas were first, reflecting the 92higher probability of patients passing away following compli-9394 cations of ketoacidosis, which are now rare with improved 95therapies [4-6]. Established by Foulis in the 1980s, the EADB holds formalin-fixed, paraffin-embedded pancreas 96 97 blocks from nearly 200 patients, of which about half are from young patients (< 20 years old) with recent-onset T1D. Thus, 98 the EADB is the world's largest collection of autopsy pancreas 99100 samples recovered near a diagnosis of T1D.

DiViD Percutaneous biopsies were performed in Japan in the 101 1021990s [7, 8]; although safe overall, the approach yielded little 103 material, which limits investigations and their significance given that only a small area of pancreas can be examined. In 104 1052014, the DiViD study reported obtaining specimens via laparoscopic pancreatic tail resection from six living adult pa-106107tients with newly diagnosed T1D (24-35 years old) [9]. A 108 significant amount of tissue was obtained, and samples were 109 shared with many investigators around the world for collaborative studies. However, surgical complications led to the clo-110 111 sure of the study and no additional biopsies were performed [10]. 112

nPOD Established in 2007, nPOD has and continues to obtain
 pancreas and other tissues from organ donors with T1D and
 these are provided to the scientific community [11]. The T1D
 donors recovered cover a wide range of age and T1D duration.

nPOD collects tissues from organ donors without diabetes and 117 screens them to identify those with autoantibodies who might 118 have been developing T1D. Thus, nPOD is attempting to ob-119tain tissues that could inform about the preclinical stage of 120T1D. Samples available include tissues that are fixed, frozen, 121or fresh and are derived from the pancreas, spleen, pancreatic 122and non-pancreatic lymph nodes, blood (whole blood, serum, 123and plasma), duodenum, and thymus. Presently, nPOD is the 124largest biobank dedicated to T1D research; it has collected 125185 non-diabetic donors, 36 autoantibody-positive (AAb+) 126donors, 168 donors with T1D, and donors with other forms 127of diabetes (T2D, MODY, GDM, cystic fibrosis). 128

Overall, these efforts have recovered pancreas from pa-<br/>tients with T1D during the last 80 years, with varying age of<br/>onset and disease duration (Fig. 1a); these biobanks are com-<br/>plementary to each other and tremendously valuable for the<br/>T1D research community.130<br/>131

#### Key Features of Pancreas Pathology in T1D 134

Studies by LeCompte [13], Gepts [4], and others provided 135initial insight onto pancreas pathology in T1D. When Gepts 136described the T1D pancreas pathology in 1965 [4], T1D was 137referred to as "juvenile diabetes" and classified as acute (near 138diagnosis) and chronic (long duration); the role of autoimmu-139nity was unknown, and islet cell antibodies were not discov-140 ered until 1974 [14]. Gepts evaluated the pancreas from 40 141patients, 22 of whom were considered to have acute juvenile 142diabetes as they passed soon after diagnosis (disease duration 143range 3-180 days, <90 days for 21/22 patients); this was a 144cohort of young children (mean age 10.89 years; ten children 145below age 10, nine teens aged 13-17, and only three adults 146aged 21, 22, and 30). Gepts made the following observations 147in these young patients with recent onset diabetes: 1) a drastic 148reduction in the number of  $\beta$  cells, estimated at less than 10% 149of well age-matched individuals without diabetes; 2) residual 150 $\beta$  cells with cytological signs of marked activity, presence of 151large islets, and signs of new islet formation; and 3) peri- and 152intra-insular inflammatory (termed "inflaminatory") infiltrates 153in 68% of the patients. Gepts also evaluated pancreata from 18 154patients with chronic diabetes (disease duration 2-37 years, 155mean 17 years) who were on average 12.5 years old at diag-156nosis. In this cohort, the inflammatory process was not ob-157served but  $\beta$  cells were completely absent, with few excep-158tions. Thus, islet inflammation and  $\beta$ -cell loss have been con-159sidered the main pathological features of T1D. The other ma-160 jor pathological feature reported in the T1D pancreas is the 161expression of elevated levels of HLA class I molecules, both 162in the cytoplasm and on the surface of islet cells, first reported 163by Bottazzo and Foulis in the mid-1980s [15-17]. These are 164 considered the most typical features of T1D pancreas pathol-165ogy and are reviewed below. 166

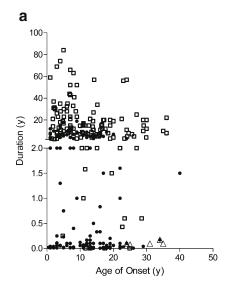
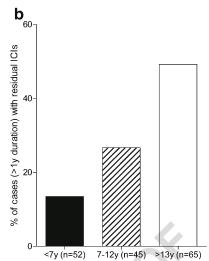


Fig. 1 Key features of the patients in the EADB, DiViD, and nPOD biobanks. **a** Dot plot illustrating the differences in age at onset and disease duration for the different three main pancreas biobanks, EADB (*black circles*), DiViD (*white triangles*), and nPOD (*white squares*). The EADB cohort is enriched for young-onset, short-duration T1D cases, whereas the nPOD cohort contains many donors with older onset and longer disease duration. **b** Age of onset strongly determines the

167 Insulitis This pathognomonic lesion consists of immune cell infiltrates within and around the pancreatic islets [18], and it 168supports the concept that T1D is a T-cell-mediated autoim-169170mune disease. In the mid-1980s, expanding on the studies 171by Gepts, Foulis et al. [6] reported insulitis in 78% of young 172patients with recent onset disease (<1 year). A 2011 meta-173analysis by In't Veld [18] collected information from studies published since 1902 (213 cases with insulitis) and reported 174175that insulitis occurs in 73% of young (< 14 years) patients with T1D who have a short duration of disease (< 1 month), in 60% 176of young patients with disease duration between 1 month and 1771 year, and only in 4% of young patients with a duration of 178disease longer than 1 year. This scenario drastically changes in 179older patients. Only 29% of cases with onset between 15 and 180 40 years of age and disease duration < 1 month showed 181insulitis. Foulis reported that 23% of insulin-containing islets 182(ICIs) and only 1% of insulin-deficient islets (IDIs) had 183184 insulitis in young patients with < 1 year disease duration. Willcox and colleagues [19] examined the pancreas of 29 185186young patients (mean age 11.7 years) with disease duration 187 between 1 day and 18 months; 23.8% of the islets contained insulin, of which 34.8% had insulitis, including 5% of IDIs. In 188 the meta-analysis by In't Veld involving young patients with 189190 recent-onset disease (< 1 month), 34% of the islets stained for 191insulin on average, but only 33.6% of these islets had insulitis; in older patients with recent-onset disease, an average of 63% 192193 of the islets contained  $\beta$  cells, with 18% of the insulin-194containing islets also showing insulitis [18]. In the DiViD study, the proportion of islets with insulitis ranged between 1955 and 58% and only a single patient had insulitis in more than 196

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proportion of cases with residual ICIs > 1 year post-diagnosis. Bar graph shows the % of cases with > 1 year duration of disease with residual ICIs divided based on the age at diagnosis: <7 years (13.5%, *black bars*), 7–12 years (26.7%, *hatched bars*), and > 13 years (49.2%, *white bars*). Sources: http://foulis.vub.ac.be/; https://www.jdrfnpod.org/for-investigators/online-pathology-information/; Krogvold et al. 2014 [12•]

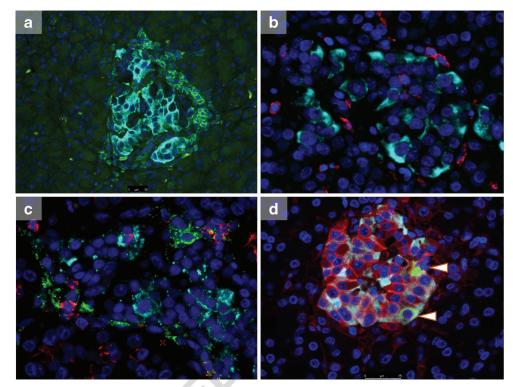
50% of the islets [9, 12•]; in these adult patients, an average of 19711% of the islets examined showed insulitis. In a study of 198nPOD organ donors with variable duration of T1D, 17 had 199insulitis with a broad range of disease duration (0–12 years) 200and age of onset (4-28 years); importantly, the frequency of 201insulitis had limited inverse correlation with diabetes duration 202 and no correlation with age, whether at diagnosis or passing. 203Thus, the proportion of islets showing insulitis in the T1D 204pancreas is, overall, moderate to low; however, it varies sig-205nificantly with age and disease duration. It is evident from the 206above that insulitis can be observed in many patients many 207years after diagnosis [20•]. 208

According to the 2013 consensus [21], insulitis is defined 209 by a predominantly lymphocytic infiltration of the islets 210 consisting of at least 15 CD45<sup>+</sup> cells/islet (Fig. 2a) in a min-211imum of three islets, and the pancreas should also contain 212presence of IDIs or pseudoatrophic islets. Inflammatory infil-213trates are more commonly detected in the islet periphery (peri-214insulitis) or within the islet, with peri-insulitis representing the 215predominant form. Insulitis in the human pancreas is therefore 216much less severe than in experimental mouse models, in 217which a large number of infiltrating cells can be found in the 218majority of the islets. Insulitis is typically found in ICIs and 219less commonly in pseudoatrophic islets. Both T and B lym-220 phocytes are present. Cytotoxic CD8<sup>+</sup> T cells represent the 221predominant lymphocyte populations; nPOD studies demon-222strated that at least a proportion of the CD8<sup>+</sup> T cells are 223autoreactive and target  $\beta$ -cell autoantigens; the diversity in 224 the antigen specificity of the infiltrating CD8<sup>+</sup> T cells was 225higher in patients with longer disease duration, suggesting that 226

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Fig. 2 Key features of islets from type 1 diabetes donors. a A representative T1D donor islet with insulitis (DiViD3); insulin (light blue), CD45 (green), and DAPI (dark blue). Representative islet from a CD20Lo case (DiViD2) (b) and a CD20Hi donor (nPOD 6209) (c); insulin (light blue), CD20 (green), CD8 (red), and DAPI (dark blue). Images courtesy of P. Leete (University of Exeter). d Expression of HLA class I and Enteroviral VP1 in an ICI from a T1D donor (EADB E560); insulin (light blue), VP1 (green, arrows), HLAI (red), and DAPI (dark blue)



227 autoimmunity evolves even after diagnosis [22...]. Other cell types commonly detected in the insulitis lesion are B lympho-228cytes, macrophages, and CD4<sup>+</sup> T cells [19]. The analysis of 21 229patients (1 day-6 months' duration, median age 12 years) 230231demonstrated two distinct patterns of infiltration: one charac-232terized by large numbers of infiltrating cells, especially CD20<sup>+</sup> B lymphocytes, defined as CD20 high (CD20hi; 233234Fig. 2b); the second pattern was characterized by infiltrates with fewer cells, including less CD20<sup>+</sup>, defined as CD20 low 235(CD20lo; Fig. 2c) [23•]. CD20hi subjects had a lower number 236237of ICIs and they were younger (mean of 7.8 years) when 238compared to CD20lo subjects (mean of 13 years). The asso-239ciation of insulitis lesions containing higher proportions of B lymphocytes with younger age at diagnosis suggests that these 240241cells may contribute to a more aggressive form of autoimmunity [23•, 24]. Of importance is also the fact that all of the 242243inflamed islets within a given patient display the same insulitic profile but that this profile differed significantly between in-244245dividuals [23•].

**β-Cell Destruction** The most striking pathological feature in 246the T1D pancreas is loss of  $\beta$  cells. Lack of insulin stain-247ing is the predominant feature, and it is severe in the 248 249pancreas from patients who had T1D for many years. There is also substantial loss by the time of diagnosis, 250251yet the long-held belief that 90% of the  $\beta$ -cell mass is 252universally lost at diagnosis is no longer supported. Consistent with the findings of Gepts, studies from the 253EADB, nPOD, DiViD cohorts, and other collections [25, 254

26] support that younger children have more severe loss 255of  $\beta$  cells (Table 1); however, patients who develop T1D 256when teenagers or older may still have 40-60% of their 257islets containing  $\beta$  cells and staining positive for insulin 258at diagnosis [23•, 26]. Accordingly, the DiViD biopsies of 259six adult patients demonstrated insulin staining, on aver-260age, in 36% of the islets (range 18–66%) [12•]. Among 80 261nPOD donors with T1D, of whom only a few had disease 262duration less than 1 year [20•], residual  $\beta$  cells were ob-263served in all T1D donors with insulitis, who had a 10-fold 264higher  $\beta$ -cell mass compared to those without insulitis. 265By contrast, the analysis by Leete et al. [23•] of 20 young 266patients (mean age 10.5 years) who died within 3 months 267of diagnosis showed much more severe β-cell loss; more-268over, this varied according to the insulitis pattern, CD20lo 269and CD20hi; the ratio CD20 to CD4 also varied consis-270tently with the two phenotypes (>1 in CD20hi and <1 in 271CD20lo). This ratio led to the separation of all individuals 272into three different groups: 1) <7 years (CD20hi), 2) 7-27312 years, and 3)  $\geq$  13 years (CD20lo). Group 1 retained 274less ICIs than groups 2 and 3. Strikingly, the proportion of 275residual ICIs in those diagnosed early in life was around 27614% while those diagnosed from their teens or beyond 277 had higher number of insulin-positive islets (39% ICIs). 278Combination of all the available data for the EADB and 279nPOD cases with >1 year duration of disease (Fig. 1b) 280demonstrates that ICIs are preferentially retained in the 281older onset, group 3 cases. Thus, the emerging evidence 282suggests that  $\beta$ -cell destruction is quite heterogeneous but 283

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#### t1.1 Table 1 Key pathology features of the T1D pancreas in the EADB, nPOD, and DiViD cohorts

1.2	Type 1 diabetes cohorts	EADB*	nPOD*	DiViD*				
1.3	Tissue source	Postmortem organ donors [2]	Organ donors	Live donor pancreatic biopsy				
1.4	Total number of cases	169	133	6				
1.5	Geographical location	UK	USA	Norway				
1.6	Collection period	1935–1991	2007 onwards	Feb 2011–Dec 2012				
1.7	Sample types collected	FFPE	Multiple [11]	Multiple [9]				
1.8	Median age (years) of onset (IQR) Range of age (years) of onset*	11 (5–16) 0.5–40	11.5 (6.2–18.4) 1–82	28 (24.25–33.25) 24–35				
1.9	Median disease duration (years) (IQR) Range of disease duration (IQR)	0.14 (0.04–3.75) 0–19	12 (5.5–23.0) 0–84	0.1 (0.08–0.1) 0.05–0.17				
1.10	Number of cases with $\leq 1$ year duration Median age of onset (years) (IQR)	85 12 (6.0–17.0)	9 17.4 (11.6–23.4)	6 28 (24.25–33.25)				
1.11	Hallmark features of type 1 diabetes: insulitis, loss of insulin-containing islets (ICIs), and hyperexpression of HLA class I							
1.12	% of cases with residual ICIs at <1 year duration*	97.6%	100%	100%				
1.13	% of cases with insulitis < 1 year duration ( $N$ )							
1.14	<7 years	100% [16]	100% [1]	N/A				
	7–12 years	100% [16]	N/A	N/A				
	$\geq$ 13 years	100% [15] [6] †	100% [2] [20•]	100% [6] [9]				
	Average % of ICIs with insulitis/case							
1.16	<1 year duration ( <i>N</i> ) <7 years	39.6% [16] 33.2% [16]	54% [1] N/A	N/A N/A				
	7–12 years	18.3% [15] [6]†	66.5% [2] [20•]††	30.5% [6] [12•]‡				
	$\geq$ 13 years							
1.17	% of cases with residual ICIs at > 1 year duration ( $N$ )*							
1.18	<7 years	10% [20]	15.6% [27]	N/A				
	7-12 years	28.6% [15]	25.8% [28] 46.9% [29]					
1.19	$\geq$ 13 years Hyperexpression of HLA class I in residual ICIs	56.3% [17] All recent-onset cases [15]	All recent-onset cases, reduces with disease duration [28•]	All cases [28•, 30•]				
1.20	Median % of ICIs with hyperexpression of HLA class I (IQR) (N)							
1.21	< 7 years' duration $\geq$ 7 years' duration	100% (87–100) [31] 14.3% (0–52) [14] [15, 28•]		N/A				

\*Onset and duration data available on 128 EADB cases, 133 nPOD, and 6 DiViD cases (IQR interquartile range)

†The inclusion of later data from an additional 30 EADB patients confirms the original observation (< 7 years—40.3% [12•]; 7–12 years—27.3% [21]; >13 years—13.8% [32])

††Data provided by Martha Campbell-Thompson from a published study [20•]

<sup>‡</sup>The published data [12•] were used to calculate the average % of ICIs with insulitis/case

greater loss is associated with younger onset of disease,
the autoimmune process affects only a moderate proportion of islets at any given time, and it continues for several years after diagnosis.

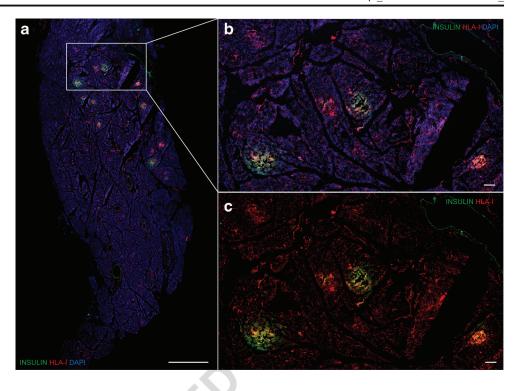
Hyperexpression of HLA-Class I Molecules by Islet Cells The 288 289elevated levels of HLA class I molecules (Figs. 2d and 3) in islet cells highlight an inflammatory state and it is often asso-290291ciated with insulitis [33]. Like insulitis, hyperexpression of 292HLA class I molecules is typically found in ICIs, and it is 293 often associated with CD8<sup>+</sup> T-cell infiltrates. It is possible that 294 β cells hyperexpressing HLA class I molecules present their 295self-antigens to autoreactive T cells. Hyperexpression of class

I molecules may result from viral infections associated with 296T1D [30•, 34], but it is unknown whether infiltrating  $CD8^+T$ 297cells target viral epitopes presented by infected  $\beta$  cells [35]. 298Like insulitis, this phenomenon continues to be present for 299 several years after diagnosis, and it has been validated with 300 multiple approaches at the protein and RNA levels [28•]. A 3012018 study classified patients based on a urinary C-peptide/ 302 creatinine ratio regression model and revealed that C-peptide 303 loss continues for the first 7 years post-diagnosis but C-304 peptide levels stabilize afterwards, suggesting that from then 305on residual  $\beta$  cells are no longer being actively destroyed 306 [27•]. To ascertain if the decline in hyperexpression of HLA 307 class I correlates with this phenomenon, we combined data 308

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Fig. 3 Immunofluorescence analysis of HLA-I expression in frozen pancreas from a patient with type 1 diabetes (disease duration, 7 years) from the nPOD cohort. **a** Hyperexpression of HLA-I (*red*) can be predominantly seen in ICIs islets (*green*). Scale in whole tissue image = 2000  $\mu$ m. **b**, **c** Higher magnification of the inset from (**a**), with (**b**) or without DAPI counterstain (**c**); scale in zoomed image = 200  $\mu$ m



309 from the EADB [15] and Richardson et al. [28•] and found that HLA class I hyperexpression was not restricted only to 310recent-onset patients but also in those with longer disease 311312 duration who had residual ICIs. However, the proportion of 313residual ICIs hyperexpressing HLA class I clearly decreased 314 over time. In patients with T1D for <7 years, almost all ICIs 315hyperexpressed HLA class I molecules in contrast to only a median of 14% (0.0–52.3) among those who had T1D for > 316 317 7 years (Table 1). In summary, HLA class I hyperexpression persists on the majority of residual ICIs within the first 7 years 318 post-diagnosis and this may contribute to  $\beta$ -cell demise by 319320 facilitating the presentation of self-peptides to infiltrating 321 autoreactive CD8<sup>++</sup> T cells. As this hyperexpression declines 322 in long-standing disease,  $\beta$ -cell antigen presentation would be attenuated, potentially leading to a reduction in the rate of 323 324 destruction, even in the face of low-level, persistent insulitis.

#### 325 Novel Pathology Findings in the T1D Pancreas

326 Studies are demonstrating additional pancreatic pathological abnormalities. Among these are changes in extracel-327 328 lular matrix components. Accumulation of hyaluronan 329 (HA), a key constituent of the extracellular matrix, and HA binding proteins is found around islet cells and infil-330trating lymphocytes in islets affected by insulitis [36]. 331332 HA deposits occur along the edge capillaries of diabetic 333 islets, where leukocyte infiltrates in insulitis are frequently observed, and along intra-islet microvessels. HA depo-334sition is more pronounced in islets from younger donors 335

with T1D and those examined within the first year from 336 diagnosis, confirming a more aggressive pathology in 337 these patients. Conversely, the morphological pattern of 338 HA in insulitis-free pancreas from donors with long-339 standing diabetes is similar to normal islets [36]. These 340studies indicate that HA and proteins associated with it 341form a matrix that interacts with infiltrating cells and it is 342directly related to pancreatic  $\beta$ -cell loss and insulitis [32]. 343 HA might create a permissive environment that favors 344autoimmunity by restricting regulatory T-cell differentia-345tion [37, 38], thus favoring effector T cells. Treatment of 346NOD mice with an inhibitor of HA synthesis, 4-347 methylumbelliferone (4-MU), inhibited progression to di-348 abetes and increased the ratio of regulatory T cells to T 349effector cells [38]. Immunohistochemistry for laminin, 350perlecan, and collagen shows that components of the 351peri-islet basal membrane are lost at sites of leukocyte 352infiltration of the islets [39]. This indicates that removal 353of the basal membrane takes place during leukocyte entry 354into the islets. Moreover, cathepsins were found in the 355insulitis lesion near areas of disruption of the peri-islet 356 basement membrane and may favor the penetration of 357 lymphocytes inside the islets [39]. Alterations of extra-358 cellular matrix components also impact  $\beta$ -cell function 359 and survival [40], including the loss of heparan sulfate 360which is associated with  $\beta$ -cell apoptosis [41]. 361

Emerging studies are providing growing support for a viral 362 contribution to the disease pathogenesis, particularly by enteroviruses [42]. Enterovirus proteins, enterovirus RNA, and 364 an active anti-viral host response have been demonstrated in 365

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the pancreata of T1D donors from each of the three biobanks [43]. Viral capsid protein is detected in a small number of  $\beta$ cells, typically only in ICIs, often in association with hyperexpression of HLA class I molecules and insulitis (Fig. 2d) [34, 44]. Enterovirus infections can severely impair insulin secretion [45], impact gene expression and microRNA regulation [46], and induce inflammation.

373 In turn, inflammation promotes  $\beta$ -cell stress, protein 374misfolding, dysfunction, and apoptosis [31, 47-49]. Indeed, 375there is growing evidence, also at the pathology level, that 376 residual  $\beta$  cells in the T1D pancreas exhibit multiple signs 377 of cellular stress, including an increased expression of ER 378stress markers [29, 50], especially in infiltrated ICIs [29, 51], which may contribute to insulin secretion abnormalities dur-379ing the prediabetic phase [52].  $\beta$ -Cell dysfunction may be an 380 381 important contributor to insulin deficiency also at onset, when, as discussed above, many patients would be likely to have a 382 significant residual  $\beta$ -cell mass [29, 53–56]. Moreover, islet 383 384function may be recoverable [53]; in the DiViD study, islets isolated from pancreas biopsies from newly diagnosed pa-385tients recovered function in culture. There is also evidence 386387for dysregulated sphingolipid metabolism [57] and altered 388 proteomic profiles that involve inflammatory, immune, and metabolic pathways [58]. B-Cell inflammation and stress 389 may favor the formation of post-translationally modified and 390hybrid autoantigen peptides which may have a critical role in 391breaking self-tolerance and triggering islet autoimmunity [59]. 392393For example, endoplasmic reticulum stress alters the 394 endomembrane distribution of GAD65 autoantigen, resulting 395in accumulation of a more immunogenic, palmitoylated form 396of this molecule in trans-Golgi membranes, as demonstrated 397 by the pathological examination of nPOD donors [60].

The exocrine pancreas is also impacted in T1D: the pan-398creas of donors with T1D is only 55% the weight of that of 399400 donors without diabetes [61]; this reduction in weight primar-401 ily affects the pancreatic dorsal lobe, which includes the ma-402 jority of the head and the entire body and tail. Such a reduction is observed close to onset, and donors with T1D and long 403404 disease duration have almost normal pancreatic weights. There is initial evidence that non-diabetic, autoantibody-405406 positive nPOD donors with insulitis have a small decrease in pancreas weight [62]. Pancreas volume, volume normalized 407 408 by body weight, volume normalized by body mass index, and 409 volume normalized by body surface area were all lower in patients with T1D compared to controls according to imaging 410 studies [63]. As the islets constitute only 1-2% of the pancreas 411 412 volume, these findings suggest loss of exocrine tissue during 413the development of T1D. This is consistent with impaired exocrine function, which is reported at T1D diagnosis (low 414415 levels of elastase in stools) but not at the time of seroconver-416 sion to islet autoantibody positivity [64]. Morphometric studies show that T1D donors have a higher non-exocrine-non-417endocrine tissue area to total pancreas area than non-diabetic 418

controls regardless of age, suggesting that T1D affects the 419entire pancreas [65]. In addition, large numbers of infiltrating 420 cells have been found in the exocrine pancreas; CD8<sup>+</sup> and 421 CD4<sup>+</sup> T cells, and CD11c<sup>+</sup> cells, were present in high numbers 422 in the exocrine pancreas of AAb+ and recent-onset T1D do-423nors, with a predominance of CD8<sup>+</sup> T cells and no reported 424differences between donors with or without pancreatitis [66]. 425 The phenotype and function of these cells remains unclear. 426Mohapatra et al. created the term "diabetic exocrine 427 pancreatopathy" to define the moderate-to-severe subclinical 428 pancreatic fibrosis and modest exocrine dysfunction in the 429 absence of clinical or histopathological evidence of chronic 430pancreatitis that affects individuals with T1D [67]. This in-431 cludes (1) markedly decreased pancreatic weight, size, and 432volume; (2) increased inter-acinar fibrosis and acinar atrophy 433with minimal inflammation and no pancreatic ductal changes; 434(3) reduced exocrine enzyme output and fecal elastase con-435centrations; (4) normal to minimal decrease in coefficient of 436fat absorption; and (5) lack of progression of exocrine dys-437 function over time. 438

### Pancreas Pathology During Preclinical Disease Stages

Progress has been made toward understanding the natural his-441 tory of islet autoimmunity from the longitudinal evaluation of 442 relatives or individuals carrying HLA alleles associated with 443increased T1D risk. The best predictor of future T1D is the 444 detection of circulating autoantibodies to islet autoantigens. 445 Autoantibodies are found in almost 95% of those who develop 446 clinical symptoms of T1D [68]. Longitudinal studies of large 447 birth cohorts at increased genetic risk of T1D have shown that 448 there is a peak in islet autoimmunity at 2-5 years of age; in 449these young children, progression to clinical disease is faster 450than those who convert at older age [69–71]. The highest risk 451is observed in those with autoantibodies against multiple islet 452autoantigens, in whom risk of T1D is about 40% at 5 years, 45370% at 10 years, and 85% at 15 years [70]; however, those 454with a single autoantibody have much lower risk, around 5-45510%, even with long follow-up. Studies have shown that met-456abolic abnormalities and defects in insulin secretion (assessed 457by C-peptide levels during an oral glucose tolerance test) be-458come evident late in the progression to clinical disease, typi-459cally 18 to 6 months before diagnosis [72]. Based on the 460 above, the JDRF, the Endocrine Society, and the American 461Diabetes Association have recognized three different stages 462 in the progression of islet autoimmunity toward clinical T1D 463 [73], which are 1) stage 1, defined by the presence of two or 464more autoantibodies; 2) stage 2, in which glucose intolerance 465or dysglycemia are also present; and 3) stage 3, which repre-466 sents clinically manifest diabetes, when classical symptoms 467 (polyuria, polydipsia, fatigue, and diabetic ketoacidosis) and 468

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laboratory evidence of severe, fasting hyperglycemia are present. However, an earlier stage not formally recognized by this
classification is characterized by the presence of a single autoantibody. We have discussed the pathology of stage 3 in the
preceding sections; here, we will review what is known about

474 pancreas pathology in the preclinical stages of T1D.

Pathology Findings at Stages 1/2 and in Single Versus 475476 Multiple AAb Positivity There is little information as to 477whether pathological alterations are different at stages 1 478 and 2 of the clinical classification because too few donors have been studied so far. Despite the autoantibody screen-479480 ing of organ donors instituted by nPOD, the number of 481 autoantibody-positive donors, especially those with multi-482ple autoantibodies, and more so those who also have elevat-483ed HbA1c levels, is quite low in the general population. Moreover, only a fraction of the autoantibody-positive do-484nors is recovered, as many of these pancreata are allocated 485486 to transplantation instead of research; we advocate that these rare donors should be allocated to research [74••]. 487Furthermore, not all AAb+ donors, especially those with a 488489 single autoantibody, may represent true prediabetic individ-490uals who would have developed T1D. Functional assessment of donor pancreas is just beginning through the study 491492 of isolated islets from donors with T1D [75, 76], pioneered by nPOD, which will be applied in the future to the pancreas 493494from autoantibody-positive donors. Functional assessment 495of islet function in pancreas slices [77], which allows exam-496 ining islet function in the natural tissue environment, is pre-497dicted to reveal novel information in the next few years. 498Sustained efforts may allow the identification of patholog-499 ical features that define stage 1 and stage 2 T1D.

However, it is possible to examine pancreas pathology and 500contrast findings in donors with single versus multiple auto-501502antibodies, with single autoantibody positivity representing 503the earlier phase in the natural history of islet autoimmunity 504and those with multiple autoantibodies representing donors at stage 1, or 2, if they had elevated HbA1c. Gianani et al. [78] 505506identified a donor with a single autoantibody with no reduction in  $\beta$ -cell area and no insulitis. One of the largest studies 507508identified AAb+ donors [79] by screening donors whose pancreata were used for islet isolation. A total of 1507 donors 509510(25-60 years old) were identified; 55 of these had a single 511autoantibody, 4 donors had two, 2 donors had three, and 1 donor had four autoantibodies. Of these, only two of the triple 512autoantibody-positive donors had insulitis and carried high-513514risk HLA types; a small percentage of islets (9 and 3%, re-515spectively) had insulitis. In both, at least one insulin-negative islet could be found. However, there was no decrease in β-cell 516517mass. Another screening identified 32 autoantibody-positive donors among 969 tested (3.3%): nine expressed multiple au-518toantibodies but none carried high-risk HLA types and 519insulitis was not observed [80]. In both studies, the amount 520

of tissue available in this study was limited to a small tissue 521 block, and thus sampling issues cannot be excluded. 522

So far, nPOD [20•] has reported 21 donors with a single 523autoantibody, usually in the absence of T1D-associated HLA 524genes in whom insulitis was absent. However, 2/6 donors with 525multiple autoantibodies and 1 donor with a single autoanti-526body had insulitis and T1D-associated HLA types. Another 527study of nPOD donors reported the CD8<sup>+</sup> T cells trended 528higher in both islet and exocrine areas in some AAb+ donors 529than controls; the AAb+ group was the only one in addition to 530T1D donors with remaining ICIs in which the ratio between 531endocrine and exocrine infiltration was elevated, suggesting a 532polarization of CD8<sup>+</sup> T cells toward the islets [66]. In these 533two studies, there were no statistically significant differences 534in β-cell area or mass between non-diabetic and AAb+ indi-535viduals. However, AAb+ individuals with insulitis showed 536higher  $\beta$ -cell area than their non-insulitic counterparts and a 537slight increase in islet area compared to non-diabetic donors 538[81] was also reported. Perhaps these findings are consistent 539with the enlarged islets and features of hyperactivity originally 540reported by Gepts [4]. 541

As noted, hyperexpression of HLA class I molecules in 542ICIs is a feature of T1D and has been observed in the 543EADB, nPOD, and DiViD cohorts. It was also observed in 544double AAb+ donors [82]; around 13% of the islets showed 545HLA class I hyperexpression in head, body, and tail of the 546pancreas with no particular distribution. Areas of islets with 547normal HLA class I expression were frequently contiguous to 548areas with hyperexpression. CD8+ T-cell infiltration, although 549mild, was on average higher in islets with high HLA class I 550compared to islets with normal expression, consistent with the 551hypothesis that HLA class I expression could attract cytotoxic 552T cells to the islets. Ongoing studies by the nPOD-Virus group 553are screening non-diabetic, single, double AAb+, and T1D 554donors for the expression of HLA class I molecules together 555with other markers of viral infection in an attempt to study a 556possible association with enterovirus infections. 557

Despite the low number of AAb+ donors analyzed, these 558studies demonstrate islet pathological changes at stage 1 since 559insulitis can be found in less than half of the donors with 560multiple autoantibodies; however, so far it appears that only 561a limited proportion of islets may show concomitant  $\beta$ -cell 562loss. Insulitis does not appear in donors with a single autoan-563tibody. While the number of subjects examined cannot be 564considered sufficient to draw firm conclusions, it appears that 565the single autoantibody stage may not be associated with the 566key features of the T1D pancreas pathology. 567

Stage 2: Multiple AAb and Impaired Glucose Tolerance As 568 noted, at present there is no published study that specifically 569 examines pancreas pathology in individuals with multiple autoantibodies and elevated HbA1c. We speculate that at this 571 stage insulitis and  $\beta$ -cell loss may become more prominent; 572

moreover, the increase in insulin demand may exceed the 573574ability of  $\beta$  cells to process newly translated proteins, leading to the accumulation of unfolded proteins [52, 83]. As 575576discussed above, this promotes ER stress and apoptosis [29, 577 51], which precedes clinical onset. A key sign of  $\beta$ -cell ER 578dysfunction is the accumulation of unprocessed proinsulin 579[51], which is released to the circulation. This produces an increase in the proinsulin to C-peptide ratio in the serum of 580581at-risk individuals months prior to diagnosis [52, 84]. At the 582pancreas pathology level, there is an increase in proinsulin and 583in the proinsulin/insulin ratio in the pancreas of double AAb+ nPOD donors and, importantly, in some with a single autoan-584585tibody [81].

#### 586 Conclusions

587 The major features of T1D pancreas pathology highlight the chronicity of the disease, as its key pathological features are 588589demonstrated for several years after diagnosis, and to some extent before diagnosis. Critically, insulitis, β-cell loss, and 590hyperexpression of HLA class I molecules do not affect all 591592islets at the same time. Metabolic testing of living patients at 593diagnosis demonstrates severe but not complete impairment of 594stimulated C-peptide responses [85-89], with further decline in the following years; typically, decline is more severe in 595596younger children. When examining the pancreas, the severity of β-cell loss at diagnosis is variable but not as high as previ-597598ously believed, and several studies have demonstrated low amount of C-peptide and a response to stimulation in patients 599600 who had T1D for decades [90–94]. The persistence of  $\beta$  cells 601 even decades after diagnosis with evidence of low-level replication [91], and the growing evidence for inflammation and 602 ER stress [50, 95] imply that  $\beta$ -cell dysfunction plays a sig-603 nificant role in causing the symptoms at the time of diagnosis 604 and probably for a few years thereafter. Recent pathology 605 studies have shown that  $\beta$ -cell destruction is often incomplete 606 607 at onset and continues after diagnosis for several years; besides T-cell-mediated autoimmunity, there are additional path-608 ological alterations for which therapeutic manipulation is pos-609610 sible. Overall, the therapeutic time window for intervention 611 may be longer than previously thought, and intervention strat-612 egies should have broader scope to simultaneously target multiple disease pathways that pathology studies show to be asyn-613614 chronously active at any given time.

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