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### **Lung function and oral health in adolescents**



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### Lung function and oral health in adolescents

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"Take home message": The association between oral inflammation and reduced lung function exists in adolescents and is not mediated by systemic nor by lung-specific, low-grade inflammation.

Running title: Lung function and oral health

Keywords: gingivitis, sulcus bleeding, oral health, hs-CRP, FeNO, systemic inflammation, lung function, spirometry, adolescence

### **Abstract**

> **Background:** Oral health and lung function are known to be associated in adults. This study aimed to 4 examine whether such links are present already in adolescents, and whether markers of mediation, via 5 low-grade systemic or local inflammation, can be identified.

**Methods:** Data from 1198 adolescents, aged 15, with valid lung function measurements and oral 7 examinations were evaluated. All participants were part of the 15-year follow-up of two German birth 8 cohorts, based at the Munich study centre. Spirometric lung function data were evaluated using 9 absolute values and z-scores derived via the Global Lung Initiative (GLI). The associations between 10 spirometric parameters and periodontal health (simplified sulcus bleeding index (s-SBI); community 11 periodontal index (CPI)) were analysed using linear regression models adjusted for confounding factors. 12 In additional analyses, the potential intermediate roles of hs-CRP and FeNO were explored.

**Results:** Spirometric lung volumes and flow rates were significantly reduced by 71 to 185 ml for 14 participants with the highest s-SBI and CPI indices, regardless of the adjustments for potential 15 confounders. Inclusion of hs-CRP and FeNO, smoking status and asthma did not substantially affect the 16 estimates.

**Conclusion:** We observed an association between the occurrence of gingivitis and reduced lung function 18 in adolescents. This association was unrelated to inflammatory markers or to smoking.

### **Introduction**

21 The literature on determinants of lung function only contains a few studies that examined the 22 association between periodontal diseases (PD), such as gingivitis or periodontitis, and lung health. The 23 latest systematic review [1] identified 14 observational studies and reported a pooled odds ratio of 2.08 24 (95% confidence interval: 1.48 to 2.91) for Chronic Obstructive Pulmonary Disease (COPD) in the 25 presence of PD. Others [2-7], with some notable exceptions [8-10], have also confirmed an association 26 between oral inflammation and lung disease. While these studies were mostly restricted to PD and 27 COPD, a systematic review on PD and asthma also reported a significant association [11]. Smoking is an 28 important co-determinant for both PD and lung diseases, and studies on PD and COPD that adjusted for 29 smoking reported a substantial attenuation of the association [3, 7, 9]. The extent to which smoking 30 explains the association between PD and COPD therefore remains uncertain.

31 Three interventional trials reported positive effects of periodontal therapy in patients with COPD. Two 32 non-randomized [12, 13] and one randomized trial [14] reported improved lung function and reduced 33 exacerbation rates in COPD patients after oral hygiene instruction and periodontal treatment. All these 34 studies on oral inflammation and lung health were restricted to adults, and smoking was suggested as 35 the underlying mechanism and common risk factor for local inflammation and for indirect effects due to 36 systemic inflammation [15, 16]. Linden et al. [16] concluded that the inflammatory status of airways 37 might be affected by aspiration of dental plaque and/or haematogenous dissemination of inflammatory 38 mediators and periodontal bacteria. In addition, a shared pathogenesis between PD and COPD, via 39 shared pathogens, has been suggested [17]. PD are associated with low-grade systemic inflammation 40 [18-20]. Since systemic low-grade inflammation is associated with both PD and lung function impairment 41 [3, 21-24], it may be that PD affects lung function via systemic inflammation.

42 To the best of our knowledge, the role of oral health in lung function at a young age has never been 43 investigated. We therefore analysed the association between spirometric lung function and oral health 44 indicators in adolescents. We also studied the potential mediating role of low-grade systemic and local 45 inflammation, using the high sensitivity serum C-reactive protein (hs-CRP) and the fraction of exhaled 46 nitric oxide (FeNO) as indicators.

### **Materials and methods**

#### **Study population**

49 The source study populations consist of two German birth cohorts: GINIplus and LISA. The GINIplus 50 study (German Infant Nutritional Intervention Program PLUS Air pollution and Genetics on Allergy 51 development) is an ongoing population-based birth cohort study for which a total of 5991 neonates 52 were recruited between September 1995 and July 1998 in the German cities of Munich and Wesel, in 53 the intervention (n=2252) and observation (n=3739) arms. Only children that had at least one atopic 54 parent or sibling were allocated to the intervention group in which the effect of different types of 55 hydrolysed formula on allergy development was prospectively investigated. In the prospective 56 population-based birth cohort, LISA (Influence of Life-style related factors on the development of the 57 Immune System and Allergies in East and West Germany), 3097 healthy term-born neonates were 58 recruited in the German cities of Munich, Wesel, Leipzig, and Bad Honnef between December 1997 and 59 January 1999. Three of the recruited subjects subsequently withdrew their consent to participate. 60 Details of the study design and subject recruitment have been previously described [25-27].

61 Figure 1 shows the flow chart of the 15-year study population and the populations used for the different 62 analyses. The current analyses are restricted to participants from the Munich study centre, who 63 participated in the 15-year follow-up (N=2515). Of these, 1198 participants underwent the physical 64 examinations with valid lung function testing and dental examination (analysis population I). The 65 analysis population II is comprised of 988 participants with valid data on hs-CRP and FeNO. Lung 66 function tests, blood collection, and dental examinations were performed on the same day. Both studies 67 were approved by the respective local ethics committees, and written informed consent was obtained 68 from all participating families. 

#### **Lung function testing by spirometry**

70 Spirometry prior to bronchodilation was performed in line with ATS/ERS recommendations [28]. 71 Subjects sat while wearing nose clips, and the EasyOne Worldspirometer (ndd, Zurich, Switzerland) was 72 used to obtain optimal flow-volume curves by instructing the participants to perform at least three, but 73 no more than eight, manoeuvres under the guidance of specifically trained and experienced examiners. 74 All tests were visually inspected by experienced physicians according to the ATS/ERS acceptability 75 criteria [28]. Spirometric indices, taken from the manoeuvre, included the largest forced expiratory

76 volume in 1 second (FEV<sub>1</sub>) and the forced vital capacity (FVC). We then determined the ratio of FEV<sub>1</sub> to 77 FVC (FEV<sub>1</sub>/FVC), and the mean flow rate between 25 and 75% of FVC (FEF<sub>25-75</sub>). Standardised z-scores of 78 the lung function parameters FEV<sub>1</sub>, FVC, FEV<sub>1</sub>/FVC, and FEF<sub>25-75</sub> were calculated based on the reference 79 equations for spirometry from the Global Lung Initiative (GLI – http://www.ers-80 education.org/guidelines/global-lung-function-initiative.aspx) [30].

81 After completing baseline spirometry, subjects inhaled bronchodilator medication according to ATS/ERS 82 recommendations [28]. Two puffs (of 100 µg each) of salbutamol were delivered into a spacer 83 (Volumatic) by a metered-dose inhaler, and the participant was asked to take five slow and deep breaths 84 over 5-10 seconds for optimal deposition. Spirometry was repeated 15 min after salbutamol inhalation. 85 This analysis used only post bronchodilator lung function data. A positive response was defined as an 86 increase by >12% and >200 ml in FEV<sub>1</sub> and/or an increase in FVC post-bronchodilation compared to pre-87 bronchodilation, according to ATS/ERS standards [28].

#### **Dental examination**

89 The dental examination methods are described in detail elsewhere [20, 31-34]. Briefly, participants 90 brushed their teeth prior to the dental examination. The oral cavity was illuminated by a halogen lamp 91 (Ri-Magic, Rudolf Riester GmbH, Jungingen, Germany) and cotton rolls were used to dry the surfaces of 92 the teeth for improved visibility. Sulcus bleeding was measured using a blunt CPI-probe (CP-11.5B6, Hu-93 Friedy, Chicago, IL, USA) [20, 35]. Decisions on whether sulcus bleeding was present were made for each 94 sextant. The total number of bleeding sextants (s-SBI) thus ranged from 0 (no sextant bleeding) to 6 (all 95 sextants affected) and was categorized as "no bleeding of any sextant" (category 0), "bleeding in 1-3 96 sextants" (category 1), and "bleeding in >3 sextants" (category 2). Due to the young age of the 97 participants, pocket depths and attachment loss were not recorded. To assess the community 98 periodontal index (CPI), the number of sextants with calculus and the number of sextants with bleeding, 99 were tallied and categorized as "none" (no bleeding and no calculus), "one" (either bleeding or calculus 100 in one segment), or "more than one" (bleeding AND calculus in one segment, or bleeding OR calculus in 101 more than one segment). Caries status was determined based on the decayed, missing, filled teeth 102 (DMFT) index for permanent dentition, using WHO standard methodology [36].

 

#### **Blood sampling and serum hs-CRP concentrations**

104 Blood samples were collected during the physical examination. After disinfecting the skin, venous blood 105 was collected using a Multifly-Needle with Multiadapter (21G, Sarstedt, Nümbrecht, Germany) and 106 Serum-Monovette (7.5ml, Sarstedt, Nümbrecht, Germany). Blood samples were centrifuged and stored 107 at -80°C until assayed for hs-CRP. Serum hs-CRP was measured using the Roche (Mannheim, Germany) 108 Tina-quant CRP (latex) high-sensitivity assay. The limit of detection (LOD) was 0.015 mg/dL. Values 109 below the LOD (n=57) were substituted by random values from the uniform distribution between 0.001 110 and the LOD.

#### **FeNO measurements**

112 FeNO was measured by NIOX MINO (Aerocrine, Sweden) from controlled expiration during 10 s at a flow 113 rate of 50 ml/s in accordance with ATS/ERS recommendations [28]. The quality control was performed 114 according to the manufacturer's instructions and complemented with a QC-tester procedure. At least 115 two acceptable and reproducible manoeuvres were required, the values of which were averaged.

#### **Statistical analyses**

117 All analyses were performed using the statistical software R (version 3.3.3) **Error! Reference source not found.**[37]. Linear regression models were used to analyse the association between oral health and 119 spirometric lung function parameters post bronchodilation. Two models with different adjustments of 120 potential confounders were fitted to all spirometric outcome variables. Model 1 was adjusted for study 121 ("GINIplus Intervention", "GINIplus Observational arm", or "LISA"), gender, age, height, weight, and 122 education level ("less than 10 years", "10 years", or "more than 10 years", which in the three-tiered 123 German school system corresponds to attendance at either: Hauptschule (lowest academic level), 124 Realschule (intermediate), or Gymnasium (highest level), respectively). Model 2 was adjusted for all 125 variables in Model 1 and additionally for smoking ("no", "yes: less than once per week", "yes: several 126 times a week or daily"), medication within the last 7 days ("antibiotics or NSAID", "inhaled steroids or 127 beta-adrenergic agonists, leukotriene antagonists, or antihistamines", or "antitussives, mucolytic, or 128 nasal spray", "medication of more than one category"), and current asthma or positive bronchodilation 129 ("yes", "no"). Current asthma was defined, based on questions related to physician-diagnosed asthma, 130 wheezing, and asthma medication during the past 12 months. A participant was classified as asthmatic if 131 at least two of the three questions were answered with "yes". 

 

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### **Results**

139 Table 1 presents the characteristics of the analysis populations, which were used to model the 140 association between oral health indicators and spirometric lung function after bronchodilation (analysis 141 population I) and to explore a potential mediation effect of hs-CRP or FeNO (analysis population II). 142 Mean and standard deviations of the spirometric lung function parameters measured after 143 bronchodilation, as well as, the corresponding GLI z-scores are presented in Table 2.

#### *Oral health and lung function*

145 High sulcus bleeding (s-SBI) and CPI indices were associated with spirometric lung volumes (FEV<sub>1</sub>) and 146 flow rates (FEF<sub>25-75</sub>) that were significantly reduced by 71mL s<sup>-1</sup> and 185 mL s<sup>-1</sup>, respectively, regardless of 147 which adjustment was used for lung function or potential confounders (Table 3). The associations were 148 statistically significant for FEV<sub>1</sub>, FVC, and FEF<sub>25-75</sub>, expressed as z-scores according to GLI (Model 1 in 149 Table 3), but not for the  $FEV_1/FVC$  ratio or the unadjusted values. A more extensive adjustment for 150 smoking, medication within the last 7 days, current asthma, or positive bronchodilator test altered the 151 effect estimates only marginally (Table 3). Neither adjusting for hs-CRP nor FeNO altered the effect 152 estimates for either of the two inflammatory parameters, s-SBI and CPI (Table 4). The analysis of DMFT 153 (as a negative control) and all lung function parameters did not reveal any substantial associations 154 (Table S1 supplement). 

#### *Sensitivity analyses*

156 We performed several sensitivity analyses excluding smokers (Table S2 supplement), excluding subjects 157 with asthma assessed by questionnaire or positive bronchodilation (Table S3 supplement), and excluding 158 subjects with anti-inflammatory medication intake during the last 7 days prior to medical examination 159 (Table S4 supplement). None of these potential influencing factors significantly changed the effect 160 estimates reported in Tables 3 and 4. 

# **Discussion**

#### **Summary of results**

164 This study investigated the association between spirometric lung function measured after 165 bronchodilation and gingival/periodontal health in 15 year old adolescents. All oral inflammatory 166 markers studied, particularly s-SBI and CPI, were linked to a reduction in lung volume and flow rates. 167 Most associations were statistically significant. The magnitude of the effects extended to a 4% lower 168 lung function, depending on the oral inflammatory category and lung function parameter considered. 169 Additional adjustments for hs-CRP and FeNO did not change the effect estimates. Moreover, effect sizes 170 were almost unchanged after exclusion of smokers, asthmatics, and participants with anti-inflammatory 171 treatment in the sensitivity analyses.

### **Novelty and interpretation of the study results**

173 This study had two major novel aspects: (i) to the best of our knowledge, no previous study had 174 explored the association between markers of oral cavity inflammation and lung function in adolescents; 175 (ii) this study comprised a large sample of participants who had never been smokers, were not exposed 176 to any occupational toxins, nor presenting any common chronic diseases. As there are no similar studies 177 among adolescents in the literature, a comparative analysis of our findings is not possible. Nevertheless, 178 our results are in good agreement with the conclusions of the systematic review by Zheng XT et al. [1] 179 and also with other studies on adults, published after 2011 [2-7], which reported an association 180 between periodontal inflammation or periodontal disease and decreased lung function.

181 Our findings indicate that this association is already present in adolescence. This result is important, 182 because a high proportion of participants in our study had never been smokers, while studies on adults 183 typically included both current and former smokers [3, 7]. Since smoking is a strong determinant of 184 periodontal disease in adults [3, 9, 38], and both active and passive smoking are related to poor lung 185 function in adults [39, 40] and children [39, 41], it is difficult to disentangle the effect of smoking from 186 other confounders in adults. Those studies, which provided results for both smokers and non-smokers, 187 showed that the association of oral inflammation with impaired lung function was largely due to 188 smoking [3, 7, 42]. Others concluded that induced inflammation, rather than smoking exposure, were 189 the principal cause of the association between oral inflammation and lung function [9]. 

190 Thus, the evidence for smoking being the major cause of the association between periodontal 191 inflammation and lung function impairment is still ambiguous and inconclusive. Possible reasons for 192 these mixed findings include (one or a combination of): methodological limitations due to the lack of 193 prospective study designs, inappropriate inflammatory markers, incomplete adjustment for smoking, 194 inconsistencies in the definitions of oral inflammation, PD, and lung health including COPD. There is only 195 one randomized trial [14] and few intervention studies [12, 13] that support the assumption that 196 induced inflammation is the major cause underlying the association between oral inflammation and lung 197 function. Our results on adolescents who had never been smokers support this interpretation. A recent 198 study revealed differences in the salivary microbiome [43], indicating a potential role of the oral 199 microbiome in oral inflammation and possibly also in lung function. Moreover, the results of the 200 sensitivity analyses, particularly when excluding participants with asthma or participants on current anti-201 inflammatory medication, suggest that the association between oral inflammation and lung function is 202 affected neither by asthma nor by medication intake. 

#### **Strengths and limitations**

204 Our study has several strengths. First, the directionality of the association is plausible, because it is 205 unlikely that lung function affects oral inflammation. Moreover, the results of a randomized trial [14] 206 and a two intervention studies [10, 12] support the assumption that oral inflammation affects lung 207 function and that periodontal treatment lowers the CRP level [44]. Secondly, the reported effect 208 estimates are consistent across several oral inflammatory parameters. Thirdly, the magnitude of the 209 effect on  $FEV_1$  and FVC reached reductions of up to 4%, depending on oral characteristics and lung 210 function parameters, and was thus larger than reductions associated with other determinants of 211 reduced lung function in adolescents, such as exposure to traffic-related pollutants [45, 46] and passive-212 smoke exposure [41]. Fourthly, no increased risk for reduced lung function was found for DMFT, an 213 indicator for caries rather than oral inflammation. DMFT served as a negative control in this study, 214 because carious or restored teeth are unlikely to have an impact on oral inflammation. Fifth, we 215 considered a population that largely consisted of individual who had never smoked, which further 216 strengthens our results, as smoking affects both oral inflammation and lung function. Sixth, the size of 217 our study population was larger compared to most studies performed among adults, with the exception 218 of the U.S. [47] and Korean [6] National Health and Nutritional Surveys, and the study by Holtfreter et 219 al. [3], which had a comparable study size. Finally, the additional availability of hs-CRP and FeNO data 220 adds further strength to our study. 

 

 

221 Nonetheless, several limitations of our study need to be considered before drawing any far-reaching 222 conclusions. Firstly, this was a cross-sectional study, which did not allow us to establish a temporal 223 sequence between the occurrence of oral inflammation and lung function deficits. Secondly, while a 224 decrease in lung function is highly relevant from a public health perspective, its clinical meaning is 225 uncertain. In addition, tracking poor lung function from a young to an advanced age is already well 226 established [39]. Thirdly, this study did not explore any common ground hypotheses for oral 227 inflammation and lung function, such as a genetically defined susceptibility to inflammatory processes 228 or, more speculatively, to epigenetic factors. Fourthly, hs-CRP is a general marker of systemic 229 inflammation which depends on many other influencing factors. Moreover, hs-CRP was measured just 230 once at the time of lung function testing and does not necessarily reflect low-grade inflammation over a 231 longer time period. Fifthly, FeNO is not an ideal local inflammatory marker, because its main use is in 232 asthmatics. Based in these caveats, we cannot rule out that the observed association between markers 233 of gingivitis and lung function is not mediated by inflammatory processes. Finally, the role of smoking 234 cannot be investigated properly due to insufficient power.

#### **Conclusion**

236 An association between indicators of gingivitis and a reduction in spirometric lung function indices was 237 observed in adolescents, who predominantly had never been smokers. Neither the diagnosis of asthma 238 nor the presence of anti-inflammatory medication affected this association. Moreover, the association 239 was not related to serum hs-CRP and FeNO as markers of systemic or local inflammation. It remains to 240 be assessed whether lung function can be improved by improving oral hygiene in adolescents.





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### **Table 1: Study population characteristics**



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### **Table 2: Descriptive characteristics of the lung function parameters in 1198 adolescents (Analysis population 1)**



**Table 3: Results of linear regression of gingivitis/periodontitis with lung function in two different sets of adjustment (Analysis population I)** 



\* Model 1: adjusted for study, gender, age, height, weight, and education level

<sup>+</sup>Model 2: additionally adjusted for smoking, medication within the last 7 days, and current asthma or positive bronchodilation

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**Table 4: Results of linear regression of gingivitis/periodontitis with lung function with additional adjustment for hs-CRP and FeNO (Analysis population II)** 





# **Lung function and oral health in adolescents**

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**Table S1: Results of linear regression caries (DMFT) with lung function in two different sets of adjustment (Analysis population I)** 



\* Model 1: adjusted for study, gender, age, height, weight, and education level 

<sup>+</sup>Model 2: additionally adjusted for smoking, medication within the last 7 days, and current asthma or positive bronchodilation 

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\* Model 1: adjusted for study, gender, age, height, weight, and education level 

<sup>+</sup>Model 2: additionally adjusted for medication within the last 7 days, and current asthma or positive 

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**Table S3: Sensitivity analysis: results of linear regression of gingivitis/periodontitis with lung function in non-asthmatics/ no positive bronchodilation (Analysis population II)** 



\* Model 1: adjusted for study, gender, age, height, weight, and education level 

<sup>+</sup>Model 2: additionally adjusted for smoking and medication within the last 7 days 

 

 

 

 

**Table S4: Sensitivity analysis: results of linear regression of gingivitis/periodontitis with lung function in participants without medication in the last 7 days prior lung function testing (Analysis population II)** 



\* Model 1: adjusted for study, gender, age, height, weight, and education level 

<sup>+</sup>Model 2: additionally adjusted for smoking and current asthma or positive bronchodilation