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## Remediation and Control Technologies

# Microbiome triggered transformations of trace organic chemicals in the presence of effluent organic matter in managed aquifer recharge (MAR) systems

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#### 21 Abstract

It is widely assumed that biodegradation of trace organic chemicals (TOrCs) in managed 22 23 aquifer recharge (MAR) systems occurs via a co-metabolic transformation with dissolved organic carbon serving as primary substrate. Hence, the composition facilitating bioavailability 24 of the organic matter seems to have a great impact on TOrCs transformation in MAR systems. 25 26 The aim of this study was to elucidate the character of effluent organic matter present in the feed water of a simulated sequential MAR system throughout the infiltration by use of FT-ICR-27 MS analyses as well as spectroscopic methods. Furthermore, compositional changes were 28 correlated with TOrCs targeted throughout the system as well as the abundance of different 29 microbial phyla. Based on their behavior throughout the infiltration system in which different 30 redox and substrate conditions prevailed, TOrCs were classified in four groups: easily 31 degradable, redox insensitive, redox sensitive, and persistent. Masses correlating with 32 persistent TOrCs were mainly comprised of CHNO containing molecules, but also of CHO 33 34 which are known as carboxyl-rich alicyclic molecules, while CHOS and CHNOS can be neglected. Easily degradable TOrCs could be associated with CHNO, CHO and CHOS 35 containing compounds. However, a shift of molecular compounds to mostly CHOS was 36 observed for redox insensitive TOrCs. 338 masses correlated with removal of redox sensitive 37 TOrCs, but no distinct clustering was identified. 38

#### **39 Table of content/Abstract Art**



40

#### 41 **1. Introduction**

Trace organic chemicals (TOrCs) usually occur in wastewater treatment plants (WWTP) 42 effluents as well as in the aquatic environment in a concentration range of nanogram to 43 microgram per liter (ng-µg/L), whereas the concentration of the dissolved organic carbon 44 (DOC) is orders of magnitudes higher - typically at several milligrams per liter  $(mg/L)^{1-4}$ . It is 45 widely assumed that ambient concentrations of TOrCs are not sufficient to support microbial 46 growth<sup>5</sup>. Thus, additional organic matter or DOC is needed as a primary growth substrate<sup>5</sup>. 47 This transformation of rarely available substrates without any direct benefit to bacteria in the 48 presence of growth supporting substrates is known as co-metabolism<sup>6</sup>. Recent studies indicated 49 that the removal of TOrCs in the natural environment or engineered biological treatment 50 systems commonly follows such co-metabolic mechanisms<sup>7,8</sup>. A key parameter for co-51 metabolic transformation of TOrCs is the availability of biodegradable DOC (BDOC) as 52 primary substrate, both with respect to its quality and quantity<sup>1,9</sup>. Previous studies revealed that 53 both the composition and the concentration of BDOC affect the total amount of biomass and 54 the structure of the microbial community<sup>10–12</sup> as well as their functionality<sup>13</sup> and, therefore, 55 TOrCs removal<sup>9</sup>. It is well established that the transformation of TOrCs is enhanced under 56 carbon-limited conditions<sup>3,13,14</sup>. Primary substrate comprised of refractory organic compounds, 57 such as humic acid like organic matter, led to an increased TOrCs removal compared to systems 58

using a high fraction of easily degradable compounds like peptone/yeast<sup>9,13</sup>. However, it still 59 remains unclear, which functional characteristics of the natural organic matter serving as 60 primary substrate determine the efficacy of TOrCs biotransformation. To unravel the 61 composition of the dissolved organic matter (DOM), several analytical tools were previously 62 applied<sup>15,16</sup>. DOM can be separated based on operationally defined polarity gradients using 63 XAD-resins fractionation or according to its size using size-exclusion chromatography<sup>17,18</sup>. 64 Furthermore, spectroscopic methods like UV absorbance at 254 nm (UVA<sub>254</sub>) and 3D-65 fluorescence (3D-EEM) were widely used<sup>19-21</sup>. However, applied alone these approaches have 66 67 the disadvantage of deciphering only chromophoric DOM<sup>16</sup>. In addition, analytical tools allowing for the assignment of functional groups, compound classes and molecular formulas 68 were commonly applied<sup>16</sup>. This includes Fourier transform infrared (FT-IR) and nuclear 69 magnetic resonance (NMR) spectroscopy<sup>16-18</sup> as well as more lately Fourier transform ion 70 cyclotron resonance mass spectrometry (FT-ICR-MS)<sup>16,22,23</sup>. Because of its high resolution and 71 mass accuracy, FT-ICR-MS has a great capability to unravel the molecular complexity of DOM 72 and is suitable for assigning molecular formulas for complex structures<sup>24,25</sup>. 73

The aim of this study was to decipher the composition of effluent organic matter (EfOM) 74 potentially serving as primary substrate in a simulated sequential managed aquifer recharge 75 (MAR) system using FT-ICR-MS and spectroscopic methods and to correlate potential 76 changes throughout the infiltration with the transformation of selected TOrCs as well as the 77 abundance of microbial phyla. The sequential MAR technology (SMART) is defined as a 78 combination of two infiltration steps in series with an intermediate aeration step to establish 79 highly controlled oxic and carbon-limited conditions in the second infiltration system which 80 are favorable for the removal of many TOrCs<sup>26</sup>. 81

82

#### 2. Materials and Methods

#### 83 2.1 Laboratory-scale column experiment

The laboratory-scale column experiment consisted of two sequential infiltration systems 84 operated in downward flow direction under fully saturated conditions (Figure 1) for a period 85 of approximately eight months. Tertiary treated effluent of the WWTP in Garching, Germany 86 was continuously fed into the first column. The influent to the system was stored at ~4°C and 87 88 filled up twice a week without an additional spike of TOrCs due to their immediate presence in the tertiary treated wastewater. Prior to sampling within this study, the column system was 89 continuously operated with tertiary treated WWTP effluent for more than six months. Both 90 systems were connected with an intermediate aeration step using pressurized air to simulate 91 SMART and therefore, providing a series of different redox conditions and substrate 92 availability. The columns of the first infiltration system (B01, B02; height (h): 50 cm, inner 93 diameter (ID): 14 cm) were filled with technical sand (grain size ranged from 0.2 to 1.0 mm; 94 Euroquarz GmbH, Germany), the columns of the second infiltration system (b1-b4; h: 30 cm, 95 ID: 9 cm) with aquifer material ( $d_{50} = 0.8$  mm,  $f_{oc} = 0.003\%$ ), which was taken from from 96 previousl column experiments that were continuously operated for more than 5 years<sup>1,14</sup>. The 97 flow rate of 60 mL/h (B01, B02) and 30 mL/h (b1-b4) resulted in a hydraulic retention time 98 99 (HRT) of 2.1 days/column or 0.9 days/column, respectively an overall HRT of 7.8 days. The HRT was determined based on the C-peak method<sup>27</sup> using the conservative tracer potassium 100 bromide (data not shown). Columns were composed of polymethylmethacrylate and could be 101 opened on top for soil sampling. All columns were equipped with oxygen sensor spots (SP-102 PSt3, PreSens, Germany) for non-invasive oxygen measurements along the length of the 103 columns. Water samples to characterize the bulk organic carbon (DOC; UVA254, 3D-EEM, FT-104 105 ICR-MS) and prevailing redox conditions (dissolved oxygen (DO); ammonium- and nitrate-5

nitrogen) as well as for quantifying TOrCs were taken (bi-)weekly in the influent (0.0 days)

and in the effluent of each column with respect to the HRT (2.1; 4.2; 5.1; 6.0; 6.9 and 7.8 days).



Figure 1: Laboratory-scale column experiment consisting of two infiltration steps (system 1, grey: columns B01, B02; system 2, patterned: columns b1-b4) operated in series with an intermediate aeration (Eff. B02, grey patterned). The system was fed with effluent of the WWTP Garching, Germany (WWTPE) with a flow rate of 60 mL/h (system 1) and 30 mL/h (system 2), respectively.

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2.2 Analytics

110 *Sampling:* Soil samples for 16S rRNA amplicon sequencing were collected once, 111 approximately 1.5 months after the initial start of the experiment, from the top of each column. 112 Water samples for bulk organic carbon and redox characterization as well as for TOrCs 113 quantification were collected in 200 mL amber bottles and were filtered through 0.45  $\mu$ m with 114 cellulose-nitrate filters (Sartorius AG, Germany). To characterize the EfOM, > 50 mL sample 115 were filtered (Whatman GF/F filter, Germany) followed by acidification to pH 2 using 116 hydrochloric acid (32%, Merck KGaA, Germany) and stored at 4 °C pending further analysis.

**DNA extraction and 16S rRNA amplicon sequencing:** DNA was extracted in triplicate by use 117 of the PowerSoil® DNA Isolation Kit (MO BIO Laboratories, USA) according to 118 manufacturer's guidelines with the following exceptions: i) 1.0 g of soil was used as starting 119 material, ii) a FastPrep®-24 cell disrupter (MP Biomedicals, USA) was used at 6 m/s for 40 s 120 for disruption of the cells. The eluted DNA was pooled prior to downstream analyses. To 121 increase the purity of each DNA extract, the Genomic DNA Clean & Concentrator Kit (Zymo 122 123 Research Europe, Germany) was used with an input of 100 µL sample and 200 µL binding buffer. Illumina library preparation was operated following the 16S Metagenomic Library 124 125 Preparation workflow (# 15044223 Rev. B; Illumina, USA) targeting the V3 and V4 region using Nextera XT Index Kit (Illumina, USA) for indexing as previously described by Engel et 126 al. (2017)<sup>28</sup>. Flash v.1.2.11<sup>29</sup> was used to merge MiSeq forward and reverse paired end reads 127 with a maximum overlap of 300 bp. All merged reads were combined to a single file and further 128 processed using USEARCH v9.2.61<sup>30</sup> as provided in the supporting information (SI). 129 Taxonomy assignment of identified operational taxonomic units (OTUs) was obtained by use 130 of Ribosomal Database Project (RDP) classifier<sup>31</sup>. 131

Bulk organic carbon and redox parameters: For the DOC analysis, samples were acidified 132 with hydrochloric acid to a pH of 2 and stored at 4 °C prior to the measurement in triplicate 133 using a Vario TOC CUBE analyzer (Elementar, Germany). UVA254 was photometrically 134 determined in duplicate using a BioSpec UV-1601 (Shimadzu Europa GmbH, Germany). As 135 the ratio of UVA<sub>254</sub> and the DOC the specific UV absorbance (SUVA<sub>254</sub>) was calculated which 136 could be used as parameter representing the aromatic content of the DOC<sup>32</sup>. To avoid 137 quenching effects of the fluorescence signal, all samples with a DOC > 2 mg/L were diluted to 138 2 mg/L with ultrapure water prior to 3D-fluorescence spectroscopy<sup>33</sup> using a Aqualog® 139 fluorescence spectrometer (Horiba Scientific, USA). More information about the 3D-140

fluorescence spectroscopy measurements are provided in the SI. The dissolved oxygen (DO) concentration was monitored with the Fibox 4.0 (PreSens, Germany) by use of the oxygen sensor spots within the columns as well as flow-through cells (FTC-PSt3, PreSens, Germany) in the influent and the effluents of each column (detection limit = 0.015 mg/L). Hach cuvette tests LCK304 ( $0.015 - 2.0 \text{ mg/L NH}_4^+$  -N) and LCK340 ( $5 - 35 \text{ mg/L NO}_3^-$ -N) (Hach Lange GmbH, Germany) were used to determine the concentration of ammonium- and nitratenitrogen, respectively.

148 *TOrCs quantification:* Liquid chromatography coupled with tandem mass spectrometry (LC-149 MS/MS) was used to quantify TOrCs<sup>2</sup>. Measurements were performed in positive electrospray 150 ionization mode. All investigated TOrCs and their corresponding limits of quantification 151 (LOQ) are listed in Table 1. If a measured TOrC concentration was lower than the stated LOQ, 152 the detected value was set as half of the LOQ for further calculations.

FT-ICR-MS analysis: Prior to FT-ICR-MS, a solid phase extraction (SPE) was performed as 153 previously described by Dittmar et al. (2008)<sup>34</sup>. Therefore, 50 mL of each sample were 154 extracted with 5 mL methanol (LC-MS ChromasolV®, Sigma-Aldrich, Germany) using PPL 155 cartridges (Bond Elut PPL, 1 g, 3 mL; Agilent, USA) followed by a dilution of 1:50 (v/v %) 156 with methanol. The analysis was conducted on a solariX FT-ICR-MS (Bruker Daltonik GmbH, 157 Germany) equipped with a 12 Tesla superconducting magnet (Magnex Scientific Inc., GB) and 158 159 an APOLLO II electrospray ionization (ESI) source (Bruker Daltonik GmbH, Germany) in the negative ionization mode. Further information about the mass spectra acquisition are provided 160 in the SI. To elucidate masses, which were assigned to molecular formulas based on the 161 elements <sup>1</sup>H, <sup>12</sup>C, <sup>16</sup>O, <sup>14</sup>N and <sup>34</sup>S, van Krevelen diagrams<sup>35</sup> are used representing masses by 162 means of their hydrogen to carbon (H/C) and oxygen to carbon (O/C) ratio. Molecules 163

164 comprised of the elements H, C and O are referred as CHO in the following. The same counts165 for CHNO, CHOS as well as CHNOS.

166 2.3 Statistical analyses

*Parallel factor analysis (PARAFAC):* PARAFAC is a statistical method, which is often applied to identify and quantify components of 3D-fluorescence spectroscopy data<sup>36</sup>. Therefore, normalized 3D-EEM data were exported with adjusted excitation wavelengths of 239-599 nm from the Aqualog® software and further processed using the SOLO software (Eigenvector Research Inc., USA)<sup>33</sup>. Details of the PARAFAC analysis are given in the SI.

Multivariate analyses: Multiple co-inertia analysis (MCIA) as well as orthogonal partial least 172 squares (OPLS) regression were used in order to describe the correlation between the masses 173 extracted by use of FT-ICR-MS, the microbiome in terms of OTUs identified by applying 16S 174 rRNA amplicon sequencing and in case of OPLS, metadata (Table S 2). For the correlation of 175 masses (and metadata) with the microbiome, the OTUs from the top of the first column (B01) 176 were assigned to the system's influent B0 and OTUs from the top of each of the following 177 columns (B02, b1-b4) were equalized to the effluent of its previous column (B01, B02-b3). 178 179 Since no distinct differences in microbial community diversity in deeper sediments were observed<sup>12</sup>, OTUs from the top of column b4 were not only accorded to effluent b3 but also to 180 the final effluent of the system. Prior to analyses, all data (masses, microbiome and metadata) 181 182 were stored in one matrix and a unit variance (UV) scaling was applied. The MCIA was calculated with the purpose of integrating the two different datasets: masses and OTUs. 183 Therefore, the MixOmics package (RStudio Version 1.0.136 – © 2009-2016 RStudio, Inc.) 184 185 was used. In order to describe the relation that link the metadata to the masses together with the OTUs an OPLS was calculated in SIMCA 13.0.3.0 (Umetrics, Umeå, Sweden). Therefore, 186

a logarithmic transformation was applied, and the final model was built only with the significant metadata (p<0.05). The model's goodness of fit was tested by the p-values calculated with the CV-ANOVA (Cross Validation ANOVA). The procedure to select masses and OTUs, which were most relevant to describe the experimental design, followed the method previously described in Adrian et al.  $(2017)^{37}$ .

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## 3. Results and Discussion

#### *3.1 Long-term performance characterization of column systems*

Bulk organic and redox parameters: The initial DOC concentration of  $7.6 \pm 1.9$  mg/L was reduced to  $4.6 \pm 0.9$  mg/L within the first 4.2 days of infiltration with the majority of removal occurring within the first column (Figure 2). After reaeration, the DOC was further depleted in the second infiltration system resulting in a final concentration of  $3.6 \pm 0.6$  mg/L after 7.8 days.



208Figure 2: DOC concentration  $(n \ge 12)$  and SUVA254  $(n \ge 11)$  throughout the system with respect to the HRT. The<br/>box represents the 25 - 75 percentiles, the whiskers<br/>indicate the maximum and minimum values.

A significant increase of SUVA<sub>254</sub> in the first system (student's *t*-test, two-tailed, paired,  $\alpha < 0.05$ ) indicates a preferred removal of easily degradable aliphatic structures changing the character of the DOC to more aromatic. No significant change in SUVA<sub>254</sub> was observed after reaeration (Figure 2). Results of UVA<sub>254</sub> measurements are presented in Figure S 1.

Based on the 3D-fluorescence measurements and the subsequent PARAFAC analysis, two characteristic components were identified

(Figure S 2). The accuracy of the PARAFAC model was confirmed by i) a core consistency of 210 100 %, ii) 98 % total variance explained, and iii) 99 % as a result of the split half analysis. The 211 first component had a maximum fluorescence intensity at 239/451 nm ( $\lambda_{Ex}/\lambda_{Em}$ ) and the second 212 component at 239/376 nm, respectively. Based on previously published studies, Chen et al. 213 (2003)<sup>38</sup> classified five characteristic regions for organic compounds. Following this approach, 214 component 1 was assigned to region III, fulvic acid-like substances and component 2 to region 215 II, aromatic protein II (tryptophan-like substances). The intensity of these two components 216 decreased throughout the infiltration, while a strong decline was observed in the first column. 217 This is in accordance to the removal of DOC and the reduction of UVA<sub>254</sub>, which were also 218 mainly removed or rather declined during the first two days. After reaeration, a further decrease 219 of both components was detected in the second infiltration system showing a similar trend as 220 the UVA<sub>254</sub> measurements (cf. Figures S 1 and S 3). Due to the high amount of easily 221 degradable DOC in the influent, the oxygen ( $DO_{Influent} = 5.9 \pm 1.0 \text{ mg/L}$ ) was rapidly consumed 222 during subsurface treatment (Figure S 4). In addition, NO<sub>3</sub>-N concentration decreased 223 insignificantly from  $13.3 \pm 2.4$  mg/L to  $12.0 \pm 2.2$  mg/L indicating the prevalence of suboxic 224 to anoxic conditions within 4.2 days of infiltration. An electron balance assuming average 225 oxidation number of zero for organic carbon resulted in a consumption of 2.2 mg/L DOC from 226 influent oxygen (2.7 mg O<sub>2</sub>/mg C). A decrease of NO<sub>3</sub>-N by 0.7 mg/L could be further 227 explained due to the oxidation of 0.8 mg/L DOC to CO<sub>2</sub> (0.9 mg N/mg C) which confirms 228 prevailing anoxic conditions in the first infiltration system. After reaeration, the DO 229 immediately decreased within the first centimeters of infiltration which could not solely be 230 explained by residues of easily degradable DOC in the influent to the second system. Therefore, 231 redox conditions changed from oxic (DO (5.1 days) =  $1.1 \pm 1.3$  mg/L) to suboxic defined by 232 DO < 1.0 mg/L and  $\Delta NO_3^-$  -N < 0.5 mg/L<sup>14</sup>. Ammonium (NH<sub>4</sub><sup>+</sup> -N<sub>Influent</sub> = 0.10 ± 0.12 mg/L) 233 11

was removed mainly below the LOQ (0.015 mg/L) after the first two days of infiltration (datanot shown).

Trace organic chemicals: TOrCs were classified into four groups based on their behavior 236 throughout the system (Table 1), namely i) persistent compounds exhibiting less than 10% 237 removal throughout the system; ii) easily degradable compounds being removed below LOQ 238 within 4.2 days, even under anoxic redox conditions; iii) redox insensitive compounds 239 exhibiting removal in both systems before and after aeration, and iv) redox sensitive 240 compounds being persistent in the first infiltration system (4.2 days) but efficiently 241 transformed after reaeration. Relative removal of all targeted TOrCs throughout the system are 242 given in Figure S 5. Since limited contribution of sorption has been reported previously for 243 similar column systems after long-term operation by Alidina et al. (2014), biotransformation 244 can be assumed as major mechanism for TOrCs removal in this study<sup>9</sup>. 245

	LOO	Molecular	cular Log P		Removal [%]						
TOrCs	[ng/L] <sup>a</sup>	formula	(log D pH 7.4) <sup>d</sup>	c <sub>0</sub> [ng/L]	4.2 days	7.8 days					
Persistent ( $\leq 10\%$ after 7.8 days)											
Carbamazepine	10; 5	$C_{15}H_{12}N_2O$	2.77 (2.77)	$393~\pm~98$	< 10	< 10					
Primidone	25; 25	$C_{12}H_{14}N_2O_2$	1.12 (1.12)	72 ± 26	< 10	< 10					
Easily degradable	Easily degradable (removal below LOQ within 4.2 days)										
Citalopram <sup>b</sup>	5; 5	$C_{20}H_{21}FN_2O$	3.76 (1.41)	$154 \pm 48$	>98	-					
Redox insensitive (continuous removal within 7.8 days)											
Climbazole <sup>c</sup>	5; 5	$C_{15}H_{17}ClN_2O_2$	4.34 (4.30)	111 ± 35	78 ± 21	> 98					
Metoprolol <sup>c</sup>	2.5; 2.5	$C_{15}H_{25}NO_3$	1.76 (-0.47)	$309 \pm 104$	$80 \pm 16$	> 99					
Diclofenac	25; 5	$C_{14}H_{11}Cl_2NO_2$	4.26 (1.10)	$1326 \pm 534$	47 ± 19	94 ± 5					
Gabapentin	10; 2.5	$C_9H_{17}NO_2$	-1.27 (-1.27)	2246 ± 727	58 ± 15	92 ± 4					
Sotalol <sup>c</sup>	5; 5	$C_{12}H_{20}N_2O_3S$	-0.40 (-2.12)	$41 \ \pm \ 41$	$65 \pm 46$	> 95					

Table 1: List o	of TOrCs	investigated	during th	is study (	(n > 7)
I able I. List	1 10103	mresugateu	uur mg u	no ocuuy (	

Sulfamethoxazole	10; 5	$C_{10}H_{11}N_3O_3S$	0.79 (0.00)	131	±	72	29	±	41	55	±	36
Redox sensitive (persistent in first infiltration system, removal after reaeration)												
Benzotriazole	50; 50	$C_6H_5N_3$	1.30 (1.28)	4302	±	1187		< 10	)	35	±	33
Tramadol	5; 5	$C_{16}H_{25}NO_2$	2.45 (0.62)	265	±	66		< 10	)	71	±	17
Venlafaxine <sup>c</sup>	2.5; 2.5	$C_{17}H_{27}NO_2$	2.74 (1.22)	343	±	74		< 10	)	> 99		)
<sup>a</sup> The LOO was adjusted during the experimental period: the first number gives the LOO of the												

<sup>a</sup>The LOQ was adjusted during the experimental period, the first number gives the LOQ of the first five months, the second number of the last two months of operation.
<sup>b</sup>Removed < LOQ after 2.1 days of infiltration for most samples</li>
<sup>c</sup>Removed < LOQ after 7.8 days of infiltration for most samples</li>
<sup>d</sup>Calculated by use of Chemicalize (https://chemicalize.com/; accessed on 13.10.2018)
developed by ChemAxon (http://www.chemaxon.com)

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The persistent behavior of carbamazepine and primidone in MAR systems is well documented 247 from field- as well as laboratory-scale investigations<sup>3,39–41</sup>. Removal up to 70 % of citalopram 248 in a carbon-rich environment has recently been shown from batch experiments using activated 249 sludge<sup>42</sup>. Climbazole as well as metoprolol were removed > 75 % even under anoxic redox 250 conditions within 4.2 days of infiltration. A removal of > 75 % (HRT  $\approx$  12 days) under anoxic 251 redox conditions for metoprolol was also observed in laboratory-scale column experiments<sup>41</sup>. 252 Muntau et al. (2017)<sup>43</sup> reported a removal below the LOQ (5 ng/L) via soil-aquifer treatment 253 (HRT < 1 day) for climbazole confirming the results of this study. Diclofenac, gabapentin, and 254 255 sotalol were removed < 70 % in the first, but > 90 % in total after 7.8 days of infiltration. However, for sotalol 7 out of 22 measured influent concentrations were below the LOO and 256 therefore, due to the low influent concentrations, further discussions about its removal 257 efficiency are not expedient. Diclofenac is known to be biodegradable in MAR systems and 258 particularly under oxic and carbon-limited conditions<sup>14,26,41</sup>. In addition, a significantly 259 enhanced transformation using the SMART approach in comparison to a conventional MAR 260 treatment was previously reported for gabapentin<sup>3</sup>. In case of the antibiotic drug 261 sulfamethoxazole, moderate to poor transformations of approximately 30 % were detected 262 13

after 4.2 and approximately 55 % after 7.8 days of infiltration. In the literature, several studies 263 focusing on sulfamethoxazole and its behavior in MAR systems are reporting ranges of 264 biotransformation from partially and slowly removable during bank filtration and artificial 265 recharge<sup>4</sup> to resistant to microbial biotransformation in a pilot-scale riverbank filtration 266 system<sup>44</sup>. Three out of 12 investigated TOrCs, namely benzotriazole, tramadol and venlafaxine, 267 persisted in the first infiltration system under mostly anoxic redox conditions but were 268 269 transformed throughout the system at approximately 35 % (benzotriazole), 70 % (tramadol), and below the LOQ (venlafaxine) after reaeration with air under prevailing oxic to suboxic 270 271 redox conditions. The high redox sensitivity and favored degradation under carbon-limited conditions were previously reported for benzotriazole<sup>2,3,41</sup>. However, removal up to 35% is not 272 very efficient and therefore it is postulated that oxic to suboxic redox conditions are not 273 sufficient. Tramadol was not degraded in the first 0.9 days after reaeration, however, 274 interestingly, a steady transformation up to approximately 70 % could be detected from 5.1 to 275 7.8 days of infiltration. It seems that the HRT had a significant influence on tramadol removal 276 as it was previously shown to be persistent in a single-stage as well as sequential biofiltration 277 system with an empty bed contact time of 290 to 2,090 minutes<sup>2</sup>. A transformation of > 99 % 278 after reaeration was observed for venlafaxine emphasizing the benefit of predominant oxic and 279 carbon-limited conditions for its removal. Previous results on venlafaxine degradation varied 280 from persistent behavior in single stage as well as sequential biofiltration<sup>2</sup> to degradation of 281 > 50 % even under anoxic redox conditions<sup>41</sup>. However, removal seemed most efficient under 282 oxic conditions<sup>41</sup>. 283

284

3.2 Microbial community structure

The microbiome was elucidated throughout the system based on 16S rRNA amplicon 285 sequencing resulting in a median sequencing depth of approximately 60,000 reads. Based on 286 this analysis, the most dominant phyla were Proteobacteria (40 - 48 %), Planctomycetes (5 -287 13%), Acidobacteria (12 - 22%), and Bacteroidetes (1 - 11%) (Figure S 6). This is 288 confirming previous studies reporting that these organisms are, amongst others, highly 289 abundant in soil<sup>45</sup>. *Proteobacteria* were detected in all depths with the strongest contribution 290 291 to the overall microbial community structure. Whereas in the top of the first column  $\alpha$ - and  $\gamma$ -Proteobacteria dominated, after six days of infiltration 6-Proteobacteria mainly occurred. 292 Planctomycetes as well as Bacteroidetes were also enriched in the shallow sediments and in 293 contrast, Acidobacteria and Nitrospirae primarily prevailed in the second system characterized 294 by carbon-limited ( $\Delta DOC = 1.1 \pm 1.2 \text{ mg/L}$ ) and oxic (DO > 1 mg/L) to suboxic 295 (DO <1 mg/L;  $\Delta NO_3^-$  -N < 0.5 mg/L) conditions. This characterization focused at the phyla 296 and class level in order to provide a general overview of the microbial community structure in 297 MAR systems. 298

299

3.3 Characterization of effluent organic matter (EfOM)

Based on the (-)ESI FT-ICR-MS measurements, masses were mainly detected up to 600 m/zwith a resolution of > 450,000 at 320 m/z. The EfOM was characterized by more than 1,000



Figure 3: Van Krevelen plot of the effluent organic matter (EfOM) measured in column feed water showing the H/C and O/C ratio of each mass assigned to a specific elemental composition (CHO, CHNO, CHOS, CHNOS). Bubble sizes

depict the absolute intensity of each mass.

CHNO containing molecules and a lower number (n = 572) of masses assigned to CHOS molecular composition with approximately 20 high intense signals (Figure 3). The high number of nitrogen containing compounds could be linked to the peak of protein-like substances identified by the 3D-fluorescence measurements (Figure S 2), which are

known to be specific for EfOM in comparison to natural organic matter (NOM)<sup>18,46</sup>. CHNO 311 containing molecules assigned to proteins and lignin-like substances from WWTP effluents 312 have been identified previously<sup>47</sup>. However, based on compound classes and their location 313 within van Krevelen plots<sup>48</sup> most intense signals of CHNO containing molecules were in the 314 area of lignin-like substances and only few masses were detected in the area of proteins. 315 Molecules containing a sulfur atom (CHOS) were previously shown to be dominant in EfOM, 316 which could partly be attributed to NOM reaction products of sulforeduction and substances 317 from anthropogenic origin like anionic surfactants such as linear alkyl benzene sulfonates and 318 their transformation products<sup>22,49</sup>. Well known surfactants, which may be present in water and 319 wastewater<sup>22,50</sup>, were plotted in Figure S 7 and those which were detected in the EfOM of this 320 study were highlighted. The most detected masses in EfOM could be assigned to 321 transformation products of surfactants pointing to a highly efficient removal of surfactants in 322 the WWTP Garching. Throughout the infiltration, the relative abundance of masses assigned 323

to CHO containing molecules increased and a decrease of molecules comprised of CHNOS
was observed (Figures S 8 and S 9). However, even if shifts regarding the number of molecular
formulae comprised of different elements were observed, the general pattern of elemental
compositions elucidated from van Krevelen plots did not clearly change showing that only a
small fraction of the EfOM was affected by the process.

329 *3.4 Fate of the EfOM and correlation with microbial community* 

Multiple co-inertia analysis (MCIA): To elucidate the co-inertia between two datasets, masses 330 331 assigned using FT-ICR-MS and OTUs identified via 16S rRNA amplicon sequencing, a MCIA was performed. Thereby, the influence of the organic matter on the microbial community 332 composition at the phyla level could be revealed. A distinct separation between the influent, 333 334 the first and the second infiltration system was shown by a clear clustering of masses and OTUs (Figure 4). Masses which could be detected throughout the system or even increased in the 335 second infiltration system are further assigned as persistent (Figure S 10, persistent). More than 336 50% of these persistent masses were composed of CHO containing molecules, followed by 337 CHNO (34 %) and only some CHOS and CHNOS compounds. CHO and CHNO containing 338 molecules seem to be more resistant against microbial degradation than molecules containing 339 sulfur atoms. Based on the van Krevelen diagram of such persistent CHO containing molecules, 340 compounds could be designated as carboxyl-rich alicyclic molecules (CRAM), which are 341 known as highly abundant and refractory dissolved organic matter<sup>51</sup>. Furthermore, the regions 342 in van Krevelen diagrams in which persistent CHO and CHNO containing molecules were 343 observed are characteristic for lignin- and tannin-like substances<sup>48</sup>. Some of the high intense 344 CHOS peaks could be assigned to biodegradation intermediates of dialklyltetralin sulfonates 345 and additionally, persistent compounds comprised of C, H, O and S were also located in the 346

region of lignins. These refractory substances have previously been found in soils and 347 sediments<sup>52</sup> but also in WWTP effluents<sup>53</sup>. Hence, the persistent backbone after natural 348 treatment seem to share similarities with organic matter found in the environment regarding 349 elemental compositions which confirms results of a study previously performed by Drewes et 350 al. (2006)<sup>18</sup>. Masses which were generally detected in the influent but with less intense signals 351 in different effluents throughout the system (Figure 4: Influent B0; Figure S 10: easily 352 353 degradable to redox insensitive), were characterized by a large proportion of sulfur containing molecules (CHOS). Three of the most intense signals (H/C  $\approx$  0.3-0.4) derived from sulfophenyl 354 355 carboxylate compounds, also known as degradation products of linear alkylbenzenesulfonates<sup>54</sup>. CHNO and CHO appeared similarly abundant, whilst most intense 356 signals of CHNO were obtained in the region of lignin-like substances, but CHO in the area of 357 proteins and cellulose. Masses of the third group representing molecules, which had the highest 358 intensities in the effluents of columns B01 and B02 coupled with efficient removal in the 359 second system, were strongly dominated by sulfur containing compounds (Figure 4: B01, B02; 360 Figure S 10: redox sensitive). The relative contribution of CHNO remained constant in 361 comparison to easily degradable and redox insensitive compounds, CHNOS slightly increased 362 but the number of CHO became negligible. Hence, we assume compounds comprised of C, H 363 and O do not respond to changing redox conditions, whereby CHOS containing molecules 364 seemed to be sensitive with respect to oxygen availability in MAR systems. 365

Microbial taxa such as *Acidobacteria, Proteobacteria (a-, 6-, y-Proteobacteria), Planctomycetes* and *Verrucomicrobia* were dominant (relative abundance > 1 %) in all three groups obtained by elaborating MCIA (Figure 4). This suggests that these phyla do not essentially need specific growth substrates in MAR as they grow with any carbon source as primary substrate available within the system. *Bacteroidetes, Chloroflexi* but also *Candidatus* 18 371 Saccharibacteria and candidate division WPS-1 were primarily correlated with masses showing an immediate removal in the first infiltration system which may indicate that those 372 bacterial phyla prefer easily degradable organic matter as growth substrate. Firmicutes had a 373 relative abundance of > 1 % within the cluster of persistent masses or those which have an 374 increased intensity in the second system, but they were less abundant (< 1 %) in the other two 375 groups (easily degradable/redox insensitive and redox sensitive). Thus, the majority of species 376 belonging to Firmicutes seem to preferentially settle in MAR systems if the organic matter is 377 characterized by a high refractory content. 378



Figure 4: Based on multiple co-inertia analysis three distinct clusters of masses (diamonds) and OTUs (triangles) were identified according to the influent (Influent B0), the first (B01, B02) and the second (b1-b4) infiltration system. Corresponding OTUs (B01 – b4) and masses are connected by a line, which length is proportional to the divergence between the data from the same sample. Van Krevelen diagrams, absolute numbers of masses and their elemental compositions as well as taxonomy assignments representative for each cluster are shown. The total number of masses are given within each circle; the abundance of microbial phyla or classes, respectively, are shown as relative values.

Orthogonal partial least squares analysis (OPLS): As a co-metabolic transformation of 381 TOrCs in MAR systems is widely assumed<sup>7</sup>, a correlation between masses assigned by use of 382 FT-ICR-MS and detected TOrCs may be evident. The establishment of the microbial 383 community on phyla level at different depths based on receiving feed water should be 384 elucidated. In addition, the microbiome which could be involved in the transformation 385 processes of the primary substrate and therefore, the TOrCs had to be considered. Hence, an 386 387 OPLS was performed to emphasize masses as well as OTUs, which correlate with targeted TOrCs. Bulk organic parameters (DOC, UVA<sub>254</sub>) as well as redox parameters (DO, NO<sub>3</sub><sup>-</sup> -N) 388 389 were also included in the model. However, NO<sub>3</sub>-N will not be considered in further discussions as its actual concentration will be biased especially after reaeration. As shown in Figure 5, 390 masses and OTUs were successfully clustered with respect to the four distinct groups: easily 391 degradable, redox insensitive, redox sensitive and persistent compounds (Table 1), which 392 indicates there is a relation between the behavior of TOrCs in MAR and primary substrate. The 393 persistent compounds primidone and carbamazepine strongly correlated with masses 394 dominated by CHNO and CHO containing molecules, whilst CHOS and CHNOS ones can be 395 neglected (Figure S 11). In accordance to results obtained by applying MCIA, CHO and CHNO 396 containing molecules could be assigned to refractory dissolved organic matter such as 397 CRAM<sup>51-53</sup>. It could be assumed, that TOrCs correlating with such refractory organic matter 398 may also be incorporated in the environmental organic backbone. With respect to the easily 399 degradable TOrC citalopram, relative abundances of CHO and CHOS were approximately 400 30 %, whilst CHNO containing molecules were most frequently detected (38 %; Figure 5). For 401 CHO, most intense signals were observed from oxidized compounds (O/C > 0.5) within a H/C 402 range of 1.0 - 1.5. In case of CHNO, signals with O/C < 0.4 and H/C = 0.75 - 1 but also with 403 O/C > 0.5 and H/C 1.0 - 1.5 were detected. Single highly intense CHOS signals could be 404

assigned to sulfophenyl carboxylates and intermediates of dialklyltetralin sulfonates. The 405 largest group of TOrCs was those showing a redox independent behavior, namely, 406 transformation throughout the system under anoxic as well as oxic to suboxic redox conditions 407 (Table 1, Figure S 5). From easily degradable to redox insensitive compounds a shift from 38 % 408 to 50 % regarding the relative abundance of CHOS was observed. In contrast, the number of 409 CHO and CHNO containing molecules decreased from approximately 30% (easily 410 degradable) to approximately 20 % (redox insensitive). While CHO containing molecules were 411 mainly characterized by most intense signals in the area of O/C > 0.5 and H/C > 1.0, CHNO 412 413 exhibited intensive signals in the range of O/C < 0.5 and H/C < 1.0. The fourth group of major interest within this study contained the TOrCs benzotriazole, tramadol and venlafaxine due to 414 their redox sensitive behavior (Table 1). There are 338 masses in total which could be 415 unambiguously assigned to molecular formulas containing C, H, O and/or N and/or S following 416 this trend. In this group, molecules comprised of CHO were less abundant (17 %), CHOS and 417 CHNOS occurred to approximately 25 % each. CHNO containing molecules were the most 418 abundant group with more than 30%. In all four molecular groups, no distinct clustering was 419 obtained in the van Krevelen plots, they were all detected in a range of O/C 0.2 - 1.0 and H/C 420 of 0.5 - 2.0. 421

Microbial phyla imply highly diverse characteristics regarding metabolic functions as they are comprised of a variety of species having specialized strategies to utilize growth substrate. In accordance to the results observed by use of MCIA, the microbiome which seems to not prefer a specific growth substrate was mainly comprised of  $\alpha$ -Proteobacteria, Acidobacteria and Planctomycetes (relative abundance > 1 % at all four groups; Figure 5). Firmicutes and Gemmatimonadetes could be correlated with persistent masses having a relative abundance of > 1 %, but < 1 % in correlation with easily degradable, redox insensitive or redox sensitive 23

compounds. A higher abundance of *Firmicutes* in simulated MAR receiving primary substrate 429 mainly shaped by refractory humic acid in comparison to easily degradable peptone/yeast has 430 previously been reported<sup>55</sup>. A relative abundance of > 1 % in correlation with easily degradable 431 and redox insensitive masses, TOrCs as well as the DOC and UVA<sub>254</sub> but also with redox 432 sensitive masses, TOrCs and DO was observed for *Bacteroidetes* (Figure 5). As this phylum 433 was also correlated to easily degradable masses using MCIA it may be suggested that 434 435 Bacteroidetes remarkably contribute to degradation of organic matter and therefore, cometabolic transformation of TOrCs. Similar results were observed by Li et al. (2013) who 436 437 proposed a link between *Bacteroidetes* abundance and BDOC reduction<sup>12</sup>. Microbial phyla with an abundance of > 1 % solely correlated to either easily degradable, redox insensitive or 438 redox-sensitive compounds could not be identified. However, based on phylum level, it will 439 not be possible to derive a deeper understanding of metabolic pathways and their associated 440 metabolites. Nevertheless, a correlation between compounds comprised of CHOS with easily 441 degradable and redox insensitive masses was figured out, while persistent organic matter 442 mainly correlated with highly refractory CRAM. To further elucidate the correlation between 443 TOrCs transformation and the composition of organic matter, NMR analysis would be 444 expedient to emphasize not only compound classes but also functional groups which correlate 445 between organic matter and TOrCs. 446



Figure 5: Based on orthogonal partial least squares (OPLS) regression clusters of masses and OTUs were identified according to the four categories of TOrCs and their behavior in MAR systems: i) persistent, ii) easily degradable, iii) redox insensitive, iv) redox sensitive. Van Krevelen diagrams, absolute numbers of masses and their elemental compositions as well as taxonomy assignments representative for each cluster are shown. The total number of masses are given within each circle; the abundance of microbial phyla or classes,

respectively, are shown as relative values; consider that the microbiome of column B01 corresponds to the influent B0 and each column (B02-b4) to the effluent of the previous column (B01-b3). The OPLS model gave the following values for the goodness of its fit and the goodness of the prediction:  $R^2Y(cum) = 0.9$  and  $Q^2(cum) = 0.9$ . \*Not considered for further discussions.

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#### 458 **Conflict of Interests**

459 The authors declare no competing financial interest.

#### 460 Supporting Information

The SI provides further information regarding material and methods (Tables S 1 and S 2) as well as results and discussion (Figures S 1 - S 11).

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candidate division WPS-1

Candidatus Saccharibacteria

Verrucomicrobia



Gemmatimonadetes

Firmicutes

others

![](_page_38_Figure_2.jpeg)