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Four-dimensional optoacoustic monitoring of tissue heating with medium intensity focused ultrasound

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Abstract

Medium-intensity focused ultrasound (MIFU) concerns therapeutic ultrasound interventions aimed at stimulating physiological mechanisms to reinforce healing responses without reaching temperatures that can cause permanent tissue damage. The therapeutic outcome is strongly affected by the temperature distribution in the treated region and its accurate monitoring represents an unmet clinical need. In this work, we investigate on the capacities of four-dimensional optoacoustic tomography to monitor tissue heating with MIFU. Calibration experiments in a tissue-mimicking phantom have confirmed that the optoacousticallyestimated temperature variations accurately match the simultaneously acquired thermocouple readings. The performance of the suggested approach in real tissues was further shown with bovine muscle samples. Volumetric temperature maps were rendered in real time, allowing for dynamic monitoring of the ultrasound focal region, estimation of the peak temperature and the size of the heat-affected volume.

1. Introduction

Therapeutic ultrasound (US) comprises a myriad of techniques routinely used in the clinics to treat pathological tissues, such as tumors, kidney stones, neurological disorders, blood clots, hemorrhages or injured muscles and tendons^{1,2}. The majority of US-based treatments involve thermal effects produced via tissue heating with average intensity levels exceeding those permitted for diagnostic US imaging purposes (>0.1 W/cm²). High-intensity focused ultrasound (HIFU) $(100 - 10000 \text{ W/cm}^2)$, is used to selectively destroy abnormal tissues, such as malignant neoplastic lesions, via local temperature elevations exceeding the coagulation thresholds ^{3,4}. HIFU has been also used at power levels below the thresholds required for ablation, such as in intense therapy ultrasound (ITU), e.g. by creating thermal injury zones in the tissue, initiating tissue repair cascade, promoting collagen generation and thus a healing response ^{5,6}. Low intensity pulsed ultrasound stimulation (LIPUS) has also shown beneficious effects in tissue healing with typical US intensities lower than 0.1 W/cm² and a temperature rise less than $1^{\circ}C^{7}$. In addition, non-thermal physical effects, such as cavitation and acoustic streaming, have shown to influence cell membrane permeability and increase cellular activity⁸. Medium-intensity focused ultrasound (MIFU), with acoustic intensity levels between those used in echography and HIFU (typically 5-300 W/cm²), represents a largely unexplored scientific niche that can lead to combined and cooperative thermal and non-thermal physical effects in soft tissues for performing physio or cancer therapy, either directly or via local drug delivery. Dedicated and flexible electronics with programmable parameters -voltage, signal shape, frequency and number of active elementsas well as specialized US array transducers need to be studied and developed to investigate the outcome of US physiotherapy treatments. In our case, using special array transducers and electronics capable to focalize in 3D, temperature increases in phantoms up to 10°C in less than 30 seconds have been achieved with intensities of tens of W/cm². Tissue heating results

from absorption of US waves, while other effects, such as cavitation or acoustic streaming, may additionally take place. Effective application of US requires accurate monitoring of the spatio-temporal temperature distribution during the procedure, control over the delivered US intensity levels and duration of the treatment as well as precise spatial targeting in the body⁹. Considerable inconsistencies in the clinical outcomes for different therapeutic US protocols have been reported ¹⁰. Thereby, the development of efficient monitoring methods is paramount for improving the therapeutic efficacy while avoiding unnecessary tissue damage. Of particular importance is the feasibility to render real-time feedback on the spatio-temporal temperature distribution in the treated tissue. Heat-driven cell deterioration typically starts at temperatures above 50°C, while cell death strongly depends on the exposure duration to a certain temperature ⁴. For instance, coagulative necrosis and immediate cell death is typically produced in HIFU when reaching temperatures above 55°C for 1 second or longer ¹¹. Temperature monitoring is also essential in MIFU for preventing overheating. Also, the outcome of a MIFU procedure highly depends on the temperature values and the exposure time. Then, controlling the spatio-temporal distribution of temperature is generally essential in MIFU. Intrusive temperature monitoring methods based on thermocouples, thermistors or fiber-optic detectors can be used for temperature control ¹². However, those are invasive approaches that can only retrieve temperature readings from a limited number of discrete locations. Mapping of the temperature distribution was further attempted using non-invasive imaging approaches, including infrared thermometry ¹³, analysis of US backscattered signals ^{14,15}, X-ray computed tomography (CT) ¹⁶ or magnetic resonance imaging (MRI) ¹⁷. However, all these methods have limitations for real-time volumetric temperature mapping associated to the achievable penetration depth, contrast or spatio-temporal resolution. Optoacoustic (OA) imaging constitutes an advantageous approach for monitoring thermal treatments as it provides high sensitivity to changes in temperature and can further detect tissue composition changes associated with coagulation $^{18-21}$. OA can reach depths of ~1-2 cm

as reported by several groups ²²⁻²⁵, which can be sufficient for many MIFU applications e.g. in physical rehabilitation or pain management ^{26,27}. To this end, OA monitoring and guidance has been used to control thermal therapies involving radio-frequency ablation ²⁸, laser-induced thermotherapy ^{29,30}, cryoablation ³¹, therapeutic US procedures including HIFU ³² or nanoparticle-based targeted therapies ³³. One of the advantages of the OA method is the possibility to integrate it with MIFU or HIFU using the same transducer array ³⁴. Yet, real-time volumetric mapping of the temperature distribution during US thermotherapy, particularly for intensity levels below the thermal damage thresholds, has never been attempted. Herein, using a novel MIFU multi pulse electronic system and a two dimensional piezoelectric spherical matrix array, we demonstrate the feasibility of four dimensional (real-time three-dimensional) optoacoustic mapping of the induced spatio-temporal temperature distribution in a tissue-mimicking phantom and in an ex-vivo bovine tissue sample.

2. Materials and methods

2.1. Experimental set-up and signal processing

A lay-out of the experimental set-up is depicted in Figure 1a. MIFU heating was induced with a self-developed annular array probe consisting of 8 equal-area coaxial rings, which allowed focusing the US beam along the axial direction. This allows concentrating the energy in a region that resembles an elongated rice grain with approximate dimensions of 1.5-2 mm in width and 1-1.5 cm in length, i.e., the sound beam maximum intensity is confined within a $\sim 0.04 \text{ cm}^3$ volume. The excitation signals in each element were delayed in order to focus the US beam by constructive interference at a distance of 5 cm from the active surface. Each element of the array has an area of 0.88 cm² and a central frequency of 2 MHz. The array has a total diameter of 3 cm. The elements were excited with a custom-made multichannel electronic driving system (SITAU, Dasel Sistemas, Madrid, Spain) controlled with a

MATLAB® code. When driven with a negative square pulse of 150 V, a peak pressure of 3 MPa is generated at the focus, which corresponds to $I_{SPTP} = 300 \text{ W/cm}^2$ of acoustic intensity ⁵. The pressure was measured with a needle hydrophone (DAPCO 54389) with 0.6 mm active diameter.

OA volumetric temperature monitoring was performed with a custom-made spherical matrix array consisting of a 256 piezoelectric-elements with 90° (0.59 π solid angle) angular coverage ³⁵. Each element of the array has an area of 3x3 mm², central frequency of 4 MHz and >80% detection bandwidth, providing a nearly isotropic imaging resolution of $\sim 200 \ \mu m$ around the geometrical center of the sphere. The OA field of view is in the order of 1 cm³, which can be covered by the depth of field of the MIFU array. The OA detection array was positioned orthogonally with respect to the MIFU array and acoustic coupling was guaranteed by immersing the entire set-up in water (Figure 1a). Optoacoustic responses were excited with a short-pulsed (<10 ns) tunable laser source (Innolas Laser GmbH, Krailling, Germany) guided via a custom-made fiber bundle (CeramOptec GmbH, Bonn, Germany) through a cylindrical cavity in the center of the array. Optical fluence of ~11 mJcm⁻² was measured at the surface of the sample at 720 nm illumination wavelength, corresponding to the maximum energy of the laser. The pulse repetition frequency of the laser source was set to 10 Hz. The 256 optoacoustic signals corresponding to all elements of the array were simultaneously acquired at 40 mega-samples per second (MSPS) by a custom-made data acquisition (DAQ) system (Falkenstein Mikrosysteme GmbH, Taufkirchen, Germany) triggered with the Qswitch output of the laser. Synchronization between OA imaging and MIFU excitation was achieved by delaying the US excitation tone-bursts by 100 µs with respect to the laser trigger used for the OA signal acquisition, as depicted in the timing diagram in Figure 1b. Specifically, the employed MIFU excitation protocol consisted of emitting 50 tone-bursts of 125 ($I_{SPTA} = 9.4 \text{ W/cm}^2$) or 255 ($I_{SPTA} = 19.1 \text{ W/cm}^2$) cycles with 150 V negative square amplitude and carrier frequency of 2 MHz, at a pulse repetition frequency (PRF) of 1 KHz

following each laser pulse. OA images were reconstructed with a graphics processing unit (GPU)-based three-dimensional back-projection algorithm ³⁶. Prior to reconstruction, the acquired raw signals were deconvolved with the known impulse response of the array elements and band-pass filtered with cut-off frequencies between 0.1 MHz and 6 MHz.

2.2. Temperature estimation method

The OA temperature estimation method is based on the temperature dependence of the Grüneisen parameter, corresponding to the thermoelastic conversion efficiency. For short-pulsed laser excitation under thermal and stress-confinement conditions ³⁷, the optoacoustically-induced pressure amplitude is approximately given by $p_0 = \Gamma \mu_a \Phi$, being Γ the (dimensionless) Grüneisen parameter, μa the optical absorption coefficient and Φ the light fluence. For water and diluted aqueous solutions, the temperature dependence of the Grüneisen parameter can be approximated via ³⁷

$$\Gamma(T) = 0.0043 + 0.0053T,\tag{1}$$

where T is expressed in °C. Note that Equation 1 has been previously verified with empirical measurements across a wide range of temperatures ³⁸. According to Equation 1, the relative change of the OA signal as a function of temperature increase ΔT can be then expressed as ³⁰ $\frac{\Delta p_0}{p_{0,0}} = \frac{0.0053 \, \Delta T}{0.0043 + 0.0053 \cdot T_0}$ (2)

being $p_{0,0}$ and T_0 the initial (baseline) OA signal and the initial temperature, respectively, before the application of MIFU heating. Δp_0 is the increase in OA signal with respect to $p_{0,0}$. According to Equation 2, the amplitude of the OA signals is expected to increase by approximately 2.7% per degree for temperature levels around 36°C in living biological tissues. The temperature increment can then be estimated from the relative OA signal increase as

$$\Delta T = \Delta p_0 (0.8113 + T_0) / p_{0,0} \tag{3}$$

2.3. Phantom validation experiment

A 8.5 mm wide square-shaped tissue-mimicking phantom was first measured to experimentally test the validity of Equation 3. The phantom consisted of an agar matrix (1.3% w/w agar powder solved in water) mixed with 7.8% w/w of Aluminum Oxide (Al₂O₃) and India ink to create an US attenuation coefficient of 3.6 dBcm⁻¹ at 2 MHz frequency and an optical absorption coefficient of $\mu_a \sim 3.5$ cm⁻¹ at 720 nm illumination wavelength. The attenuation coefficient was measured using a typical through-transmission approach with two Panametrics A305 2.25 MHz transducers in a water tank. Different samples with various thicknesses were used for comparing the transmitted amplitude. The optical absorption of a water solution with the same concentration of ink and the acoustic attenuation of the phantom material were estimated with an optical spectrometer (OceanOptics, FL, USA) and from the amplitude of multiple echoes of a sample with 1 cm thickness, respectively. The speed of sound in the phantom material was taken as 1490 m/s, corresponding to the speed of sound in water for the initial temperature. A thermocouple (Physitemp Instruments Inc., Clifton, New Jersey) was inserted at the front (illuminated) edge of the phantom. The temperature readings were digitized with an integrated NI 9213 DAQ (National Instruments Corporation, Austin, Texas, U.S.). The OA-based temperature estimation method, as described in Equation 1, 2 and 3, was used to estimate the temperature at a region of interest (ROI) matching the known location of the thermocouple. This corresponds to the region for which a maximum relative increase in the OA signal was measured. Likewise, the US focus was positioned on the thermocouple tip by raster-scanning the MIFU array until the maximum temperature elevation was measured.

2.4. Ex-vivo bovine tissue experiments

The effectiveness of the proposed approach for temperature mapping in real tissues was subsequently examined in an ex-vivo bovine sample. In a first step, the capability to localize

the US focus in a three-dimensional region was tested. For this, the MIFU array was scanned along the axial direction of the OA imaging probe. For each position, the MIFU array was driven as previously described. Considering that no significant thermal diffusion occurs for the protocol duration (15 s), the temperature raise within this time period is assumed to be mainly due to US absorption and so the focus position can be localized. In addition, the spatio-temporal distribution of temperature was estimated during MIFU heating for a fixed position of the US focus. The baseline tissue temperature was $T_0 = 22^{\circ}$ C. MIFU heating was stopped after 15 s while the OA monitoring continued for 40 s to cover the cooling period. The expected optical absorption coefficient in this type of tissue is approximately 0.2 cm^{-1 39}. The ultrasound attenuation at 2 MHz was estimated as that of muscle tissue (1.09 dB cm⁻¹)⁴⁰. This is in agreement with lower temperature increase observed as compared to that of the phantom.

3. Results

3.1. Phantom validation experiment

Figure 2a shows a schematic representation of the measurement arrangement. The maximum intensity projection (MIP) along the depth direction of the volumetric OA images taken before the MIFU heating is displayed in Figure 2b. The temperature increase in the phantom at the thermocouple location was then estimated with Equation 3. Specifically, two measurements were performed. First, a series of 150 V tone-bursts consisting of 255 cycles ($I_{SPTA} = 19.1 \text{ W/cm}^2$) were used to excite the MIFU array for 15 s. In Figure 2c, the time dependence of temperature measured with the thermocouple versus the temperature estimated from the OA image sequence are represented by the solid and dashed blue lines, respectively. In a second measurement, a series of tone-bursts of 150 V and 125 cycles ($I_{SPTA} = 9.4 \text{ W/}$

cm²) were used to drive the MIFU array for 20 s. The corresponding temperature values are shown by solid and dashed red lines in Figure 2c. The relative OA signal increases were estimated with a reference OA image (the baseline signal) taken as the average of 30 frames immediately preceding the MIFU procedure. It is shown that the optoacoustically-estimated temperature acceptably matches the thermocouple readings. Specifically, the standard deviations of the differences between the corresponding time profiles in Figure. 2C are 0.65°C and 0.88°C for tone-bursts of 125 and 255 cycles, respectively. The good agreement is probably a consequence of the fact that the phantom consists of an aqueous solution, for which Equation 3 is expected to be valid ³⁰. Inaccuracies may originate from the discrepancy between the actual location of the thermocouple tip and the analyzed OA traces.

3.2. Ultrasonic focus monitoring

The difference of the OA images of the bovine tissue taken after and before MIFU heating (150 V tone-bursts, 255 cycles, $I_{SPTA} = 19.1 \text{ W/cm}^2$) are displayed in Figure 3. The tissue surface is indicated with a dashed line. No significant heat diffusion was produced during the heating period, so that the differential images reliably represent the heated region, namely, the US focus. The difference in the signal amplitude for the different US focus depths is due to light attenuation, which also results in a lower signal to noise ratio (SNR) at deeper locations. This in turn limits the maximum applicable depth for OA monitoring of the MIFU treatments.

3.3. Temperature mapping in ex-vivo bovine tissue

The results of the temperature mapping experiment in an *ex-vivo* bovine tissue sample are shown in Figure 4. Specifically, the spatio-temporal maps of the temperature elevation estimated according to Equation 3 are displayed in Figure 4a. Note that no thermocouple was included in this case. Indeed, it was found that it generates a significantly larger optoacoustic signal than the tissue. This leads to streak-type artefacts in the reconstructed images that also

affect the estimated temperature maps. It can be seen that the temperature map estimated for the time point of peak temperature elevation (Figure 4a, 20 s) is tightly centered around the ultrasonic focus location. On the other hand, the heated region spreads over a larger region at later time points during the cooling period (Figure 4a, 24 s), which indicates that thermal diffusion plays a dominant role in carrying the heat further away from the ultrasonic focus. This is further corroborated in the time traces in Figure 4b, corresponding to the estimated temperatures at three locations indicated in Figure 4a at 2.5 s. The incremental heating and thermal diffusion processes can be best identified in a video displaying real-time volumetric monitoring of the temperature map distribution in bovine tissue (Visualization 1). It can be appreciated that voxels closer to the ultrasonic focus experience greater temperature increases and reach the maximum value when the MIFU transducer is turned off. Yet, the generated heat continues diffusing outside the focal region also at later time points. Since the estimated temperature rise was below 15°C during the entire procedure, no tissue damage should be produced. This was confirmed by visual inspection of the sample after the experiment.

4. Discussion and conclusion

Medium-intensity focused ultrasound (MIFU) therapy aims to provide significant improvements in the efficacy, safety, reliability and metrological traceability of US treatments in both physiotherapy and drug delivery. Here, four-dimensional OA tomography was used to non-invasive measure the temperature increase induced in tissues by a newly designed MIFU prototype system. Of particular importance is the feasibility to provide real-time volumetric temperature feed-back, which can greatly impact the outcome of MIFU-based medical treatments by 1) enabling effective control of the exposure time of the target tissue at a given temperature and 2) preventing tissue overheating above the damage threshold. OA is

Grüneisen parameter, representing the OA conversion efficiency, to temperature variations ^{37,41}. Note that in our current experiments the temperature distribution was estimated by assuming the Grüneisen parameter to be equivalent to that of water. Considering that muscle tissues contain approximately 75% water, 20% protein, 1-10% fat and 1% glycogen, this seems a reasonable approximation 42 . However, accurate calibration of the temperature dependence of the Grüneisen parameter is required to assess the error in such approximation and to improve the accuracy of the estimated temperature values. Such calibration may be achieved with optoacoustic methods, for which the light fluence and the absorption coefficient of the tissue of interest need to be determined ⁴³. This turns particularly challenging *in vivo*, while the optical properties *ex vivo* can significantly change. The high spatio-temporal resolution of the state-of-the-art OA tomography system employed further represents an important advantage. In this work, we have attained spatial resolution of 200 µm at 10 Hz volumetric frame rates, which covers well the spatio-temporal range of the induced temperature variations. Higher spatial and temporal resolutions are also possible by using a similar configuration, which however would come to the detriment of the field of view ⁴⁴. It has further been shown that four-dimensional OA tomography enables dynamic localization of the position of the US focus. In this way, more accurate identification of the target tissues can be facilitated prior to applying the therapy. Naturally, the SNR of the differential OA images declines with depth due to light attenuation, the latter dominating over acoustic attenuation for the applicable US frequency range ⁴⁵. In order to improve on the achievable monitoring depth, other light delivery methods, e.g. based on endoscopic or intravascular probes, may be additionally considered ^{46,47}. The regions accessible with OA imaging are confined within ~1-2 cm from the surface where light is delivered. This is sufficient for many relevant potential applications of MIFU, such as treatment of active myofascial pain trigger points, stimulation of abdominal or paraspinal muscles or even for neurostimulation of cortical areas in the brain. Registration of OA and US images can further provide enhanced

anatomical information and some efficient techniques for hybrid optoacoustic-ultrasound (OPUS) imaging have been recently reported ⁴⁸.

The monitoring approach introduced in this work can also be potentially applied in treatments based on selective tissue destruction with HIFU^{49,50}. These procedures can particularly benefit from augmented information acquired by means of multispectral optoacoustic tomography (MSOT) analysis ⁵¹. The most recently developed OA systems effectively provide five-dimensional (real-time three-dimensional multispectral) imaging capabilities ⁵², thus enabling tracking of spectroscopic variations in the target tissue, e.g. due to coagulation. It should be noted that the temperature dependence of the OA signals in biological tissues is significantly altered at temperatures exceeding 50° C¹⁹, so that more accurate calibration are needed for temperature monitoring in coagulated tissue volumes. The temperature maps in vivo are also expected to be affected by induced changes in blood flow, which have a cooling effect. The development of proper models accounting for different effects occurring in living organisms thus represent an important next step. Also, a temperature rise induces changes in the speed of sound of the heat affected zone, which may affect the reconstructed images obtained by assuming a uniform speed of sound ⁵³, particularly if high-resolution is required. In conclusion, it has been shown that tissue heating with US can be monitored in three dimensions and in real time with OA tomography. The achieved high sensitivity to temperature variations, high spatial resolution and fast imaging rates anticipate applicability of the suggested approach in various procedures involving low and medium intensity therapeutic US, such as physical rehabilitation ^{26,54,55}, pain management ²⁷ or neurostimulation ⁵⁶.

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Figure Captions

Figure 1: Lay-out of the experimental setup. (a) Schematic representation of the OA transducer array and the MIFU transducer. (b) Schematic timing diagram of MIFU excitation and OA acquisition.

Figure 2: Validation of the OA temperature monitoring method. (a) Thermocouple location in the tissue mimicking phantom. (b) MIP of the 3D OA image along the z direction, showing the front side of the phantom. Scalebar – 5 mm. (c) OA temperature estimations (dashed lines) along with the corresponding thermocouple readings (continuous lines). The data corresponds to two different MIFU heating procedures using 255 (blue) and 125 (red) cycles.

Figure 3. MIPs of the differential 3D OA images along the z and y directions from ex-vivo bovine tissue for the US focus located at a reference position right underneath the tissue surface (a) and at 1.5 mm (b) and 3 mm (c) points deeper than the reference position, respectively. Scalebar – 5 mm.

Figure 4. Volumetric OA monitoring of temperature during MIFU therapy performed in an ex-vivo bovine tissue. (a) MIPs of the estimated 3D temperature increase maps at different time points along the procedure. Scalebar – 5 mm. (b) Temporal profiles of the estimated temperature increase for the 3 points labeled in (a) with the same color.

We highlight the following points in our article

- Real-time temperature monitoring during ultrasound heating was achieved with optoacoustics
- A dedicated set-up and electronics was presented for a new range of ultrasound intensity levels
- Optoacoustic temperature readings matched those reference values obtained with thermocouples
- Tissue heating in the ultrasound focus as well as thermal diffusion was observed

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