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123 Abstract

Efforts to limit global warming to below 2°C in relation to the pre-industrial level are 124 under way, in accordance with the 2015 Paris Agreement. However, most impact 125 research on agriculture to date has focused on impacts of warming $>2^{\circ}C$ on mean crop 126 yields. Here we used a multi-crop and multi-climate model ensemble over a global 127 network of sites developed within the Agricultural Model Intercomparison and 128 Improvement Project (AgMIP) Wheat Team to represent major rainfed and irrigated 129 systems. Results show that projected global wheat production will change by -2.3% to 130 7.0% under the 1.5 scenario and -2.4% to 10.5% under the 2.0 scenario, compared to 131 a baseline of 1980-2010, when considering changes in local temperature, rainfall and 132 global atmospheric CO₂ concentration, but no changes in management or wheat 133 cultivars. The projected impact on wheat production varies spatially; a larger increase 134 is projected for temperate high rainfall regions than for moderate hot low rainfall and 135 irrigated regions. Grain yields in warmer regions are more likely to be reduced than in 136 cooler regions. Despite mostly positive impacts on global average grain yields, the 137 138 frequency of extremely low yields (bottom 5 percentile of baseline distribution) will increase under both warming scenarios for the hot growing environments that supply 139 50% of global wheat. The projected global impact of warming $<2^{\circ}$ C on wheat 140 production are therefore not evenly distributed and will affect regional food security 141 across the globe as well as food prices and trade. 142

143 Significance Statement

Agricultural production is vulnerable to climate change. Limiting global warming to 144 below 2°C in relation to the pre-industrial level, has been set as the main goal for 145 global temperature change with the 2015 Paris Agreement. Understanding impacts of 146 up to 2°C warming on global and regional crop production is critical for policy 147 makers, agriculturalists and crop breeders to ensure regional and global food security. 148 We show that despite a global positive grain yield impact, some regions will have 149 wheat yield declines under 1.5 and 2°C warming scenarios. Moreover, the frequency 150 of extremely low yields will increase for half of the wheat producing regions. The 151 projected unevenly distributed global impact on wheat production will affect regional 152

and global food security.

Global warming of 2.0°C above pre-industrial levels has been declared the upper 154 acceptable limit for global mean surface temperature change in the Conference of the 155 156 Parties (COP) to the United Nations Framework Convention on Climate Change in Paris, December 2015 (1). Agricultural production and food security is one of many 157 sectors already affected by climate change (2, 3). Wheat is one of the most important 158 159 food crops, providing a substantial portion of calories for about four billion people (4). Wheat production systems' response to warming can be substantial (5-7), but 160 restricted warming levels of $< 2.0^{\circ}$ C global warming of above pre-industrial are 161 underrepresented in previous assessments (3). Thus, assessing the impact of 1.5 and 162 2.0°C global warming of above pre-industrial conditions on crop productivity levels, 163 including the potential benefits of associated CO₂ fertilization, and the likelihood of 164 extremely low yielding wheat harvests is critical for understanding the challenges of 165 166 global warming for global food security.

Previous impact assessments lacked details for < 2°C of warming and did not 167 focus sufficiently on extreme events (3, 8). In particular, studies on impact of 1.5°C 168 169 global warming on wheat production at a global and regional scale are missing. Process-based crop simulation models, as tools to quantify the complexity of crop 170 growth in its interaction with climate, soil, and management practice, have been 171 widely used in climate change impact assessments at different spatial scales (3, 8-10), 172 including multi-model ensemble approaches (7, 11, 12). Here, we applied a global 173 network of 60 representative wheat production sites (Table S1) and an ensemble of 31 174 crop models (Table S2) developed by the Agricultural Model Intercomparison and 175 Improvement Project (AgMIP) Wheat Team (7, 13) with climate scenarios from five 176 177 Global Climate Models (GCMs) from the Half a degree Additional warming, Prognosis and Projected Impacts (HAPPI) project (14) to evaluate the impacts of the 178 2015 Paris Agreement range of global warming (1.5°C and 2.0°C warming above the 179 pre-industrial period, referred hereafter as '1.5 scenario' and '2.0 scenario') on global 180 181 wheat production.

182 **Results and Discussion**

Impacts of 2015 Paris Agreement compliant warming. Compared with the present 183 baseline period (1980 to 2010; 0.67 °C above pre-industrial) used in the wheat model 184 configuration, the HAPPI scenarios gave projected temperature increases of 1.1°C to 185 1.4°C [25% to 75% range of 60 locations] for the 60 wheat-growing locations spread 186 over the globe under the 1.5 scenario, and 1.6°C to 2.0°C under the 2.0 scenario (Fig. 187 S1). Atmospheric CO₂ concentration will be 63 and 127 ppm higher under the 1.5 and 188 2.0 scenario compared to the baseline (360 ppm), respectively. Wheat growing 189 190 seasons (that is, simulated sowing to maturity periods) typically warm about 0.5°C less than the annual mean under the HAPPI scenarios: 0.7°C to 1.0°C [25% to 75% 191 range of 60 locations] under the 1.5 scenario, and 1.0°C to 1.5°C under 2.0 scenario 192 (Fig. S2). In the HAPPI scenarios, annual rainfall is projected to increase in most of 193 the 60 locations under both warming scenarios (Fig. S3) (15). 194

Based on baseline climate conditions (1980 to 2010), we categorized the 60 195 wheat production sites into three environment types (temperate high rainfall, 196 moderately hot low rainfall, and hot irrigated) (Fig. S5). Across these environments, 197 198 increasing temperatures reduce wheat crop duration due to accelerated phenology. As a consequence, the reference crop duration declines with future climate change 199 scenarios. For temperate high rainfall and moderately hot low rainfall regions, 200 simulated cumulative crop duration (sowing to maturity) evapotranspiration and 201 growing season rainfall decreased slightly under the 1.5 and 2.0 scenario (Fig. S6). In 202 hot irrigated regions, simulated cumulative rainfall decreased (in average by -2.0 and -203 5.4 mm under the 1.5 and 2.0 scenario) and evapotranspiration decreased (in average 204 205 by -16 and -25 mm) under both warming scenarios during the crop duration. The 206 decrease in cumulative rainfall and evapotranspiration was mostly due to shorter crop 207 duration (in average by -4.9 and -7.2 days) due to warming (Fig. S6). Heat stress days (daily maximum air temperature $> 32^{\circ}$ C) (16) during grain filling already occurs in 208 209 almost all regions, but their frequency increases under the HAPPI scenarios, 210 particularly in moderately hot low rainfall (in average by 1.0 and 1.6 days) and hot irrigated regions (in average by 1.8 and 2.5 days; Fig. S6). Similar projected increases 211 in heat stress events during wheat grain filling have been found in southern low 212

rainfall European sites (17), but using a different scenario framework and a moresevere temperature increase.

215 Simulated impacts on wheat yields of the 1.5 and 2.0 scenario are negatively 216 correlated with crop season mean temperature (Fig.1A), suggesting that cooler regions will benefit more from moderate warming. For example, most regions with crop 217 218 growing-season mean temperature (sowing to maturity) $< 15^{\circ}$ C will have mostly positive yield changes, while for growing-season mean temperature $> 15^{\circ}$ C, any 219 220 increase in temperature will reduce grain yields (Fig.1A) despite the growthstimulation from elevated atmospheric CO_2 concentration (18). Generally, regions 221 which produce a largest proportion of global wheat are projected to have small 222 positive yield changes under the 1.5 and 2.0 scenario, but there are exceptions such as 223 India, the second largest wheat producer (Fig. 1). 224

225 Recent studies have shown that local point-based (as in our study), global gridded based, and statistical regression based methods, give similar estimates of impacts of 226 temperature increase on crop yield, both at the regional and global scales (5, 19), 227 228 suggesting that the local point-based method is robust to upscale from the local point simulations to regional and global responses. When scaling up from the 60 locations, 229 we found that wheat yields in about 80% of wheat production areas will increase 230 231 under 1.5 scenario, but usually by less than 5% (Fig. 2). Largest positive impacts under 1.5 scenario are projected for USA (6.4%), the third largest wheat producer in 232 the world. Loss in wheat yields under the 1.5 scenario is suggested mostly in central 233 Asia, Africa and southern America (Fig. 2), countries with generally high growing 234 235 season temperature, shorter crop duration, and more heat-stress days during grain 236 filling (Fig. S7). Further yield declines in these countries are expected with the 2.0 scenario, including in large wheat producing countries like India (-2.9%; Fig. 2). 237

Analysis for the three environment types projects a larger yield increase for temperate high rainfall regions (3.2% and 5.5% under 1.5 and 2.0 scenario, respectively) than for moderately hot low rainfall (2.1% and 2.4%) but a decline in hot irrigated regions (-0.7% and 0.02%; Fig. S8). These positive values contrast with the negative trend found across a meta-analysis, with a large uncertainty range, with

local temperature change of 1.5 to 2.0°C, despite positive effects from elevated
atmospheric CO₂ concentration (8).

Aggregated to the globe, wheat production on current wheat-producing areas is 245 projected to increase by 1.9% (-2.3% to 7.0%, 25th percentile to 75th percentile) under 246 the 1.5 and by 3.3% (-2.4% to 10.5%) under 2.0 scenario (Fig. 3A). Under the 247 248 Representative Concentration Pathway 8.5 (RCP8.5) for the 2050s, with a global mean temperature increase of 2.6°C above pre-industrial, global production grain 249 250 yields are suggested to increase by 2.7% (20), highlighting the non-linear nature of climate change impact. Using four independent methods (5, 19), global wheat yields 251 had been previously projected to decline by an average of -5.0% for each increase in 252 1.0°C global warming, but in the absence of concomitant atmospheric CO₂ 253 concentration increase. Similar findings have been reported for various typical wheat 254 cultivation regions in Europe when applying systematic climate sensitivity analysis 255 (21). Here, most of the increases in global wheat production under the 1.5 and 2.0 256 scenario are attributed to a CO₂ fertilization effect (Fig. 3B), consistent with field 257 258 observations in a range of growing environments (18, 22), and with a rate of 0.06% yield increase per ppm CO_2 derived from a meta-analysis of simulation results (8). 259 The CO₂ fertilization effect is often found to dominate model-based projections of 260 future global wheat productivity (6, 23, 24), but with substantial uncertainties and 261 regional differences (25-27). The relatively low warming levels of the HAPPI 262 scenarios (0.6 and 1.1°C above 1980-2010 global mean temperature) but high 263 increases in CO_2 mixing ratios suggests that CO_2 fertilization effects also dominate 264 here (18, 22), but could be less, if nitrogen is limiting growth. 265 More variable yields in hot and dry areas. While the 30-year average yield is 266 projected to increase under the 1.5 and 2.0 scenario across many regions, the risk of 267 extremely low yields may increase, especially in hot-dry environments. Extreme low 268 269 wheat yielding seasons can impact the livelihood of many farmers (28), but also 270 disturb global markets (e.g. Russian Heat Wave in 2010) (29), or even destabilize entire regions of the world (e.g. Arab Spring) (30). The probability of extreme low 271 yields (yields lower than the bottom 5-percentile of the 1981-2010 distribution) will 272

increase in more than half of the moderately hot low rainfall regions under the 1.5 and 273 2.0 scenario (Fig. 4). For hot irrigated environments, the probability of extreme low 274 yields will increase in 65% of the regions. In some regions, the likelihood of extreme 275 low yields will increase up to 5-times, that is from 5% to 31%, e.g. in Sudan and parts 276 of Canada, Kazakhstan and India. Climate scenarios used for this study included 277 278 monthly mean changes and shifts in the distribution of daily events within a season but did not include changes in interannual variability; these changes are therefore 279 280 largely the result of warmer average conditions pushing wheat closer to damaging biophysical thresholds. A recent study based on the HAPPI 1.5 and 2.0 scenario also 281 identified an increased frequency of interannual drought conditions in regions with 282 declining or level precipitation totals (14), although skewness toward drought in the 283 interannual distribution was small and highly geographically variable. Hence, despite 284 mostly positive impacts on average yields, projections suggest that the frequency of 285 extreme low yields will increase under either scenario for hot growing environments 286 (including low rainfall and irrigated regions), that currently supply 50% of global 287 288 wheat (31). Similarly, an increase in the frequency of crop failures has been shown with 1.5°C global warming above the pre-industrial period for maize, millet and 289 sorghum in West Africa (32). 290

The probability of extreme low yields for the three environment types is 291 correlated with the inter-annual variability of wheat yields (coefficient of variability; 292 Fig. S9). Even moderate warming of 1.5 to 2.0°C above pre-industrial is projected to 293 increase the inter-annual variability of simulated grain yields in most hot irrigated 294 295 regions, but to reduce the inter-annual variability of simulated grain yields in most of 296 the temperate high rainfall regions. For example, inter-annual variability of simulated 297 grain yields is projected to increase by 61% to 92% in some parts of India, but to decline by 34% to 44% in parts of Kazakhstan, under 1.5 and 2.0 scenario, 298 respectively. A similar increase in grain yield variability for higher warming scenarios 299 300 for wheat, rice and maize has been suggested in a previous meta-analysis (8). Global warming will also affect weeds, pests and diseases, which are not 301 considered in our analysis, but could significantly impact crop production (33-35). 302

Possible agricultural land use changes were not considered here, which could increase 303 production (36), but also accelerate further greenhouse gas emissions (37), adding to 304 305 the uncertainty of future impact projections. Wheat yields have been stagnating in many agricultural regions (38-40), and investments in agricultural research and 306 development are desperately needed to keep up with growing food demand (41), 307 including the development of breeding and agronomic adaptation strategies to combat 308 negative impacts from climate change (42, 43). Fertilizer-driven intensification is also 309 projected to increase inter-annual yield variability in many regions of the world 310 (unpublished data), which would amplify the climate-driven increase in yield 311 variability projected here. Shifting agriculture pole-wards has been considered, but 312 might not be always possible or feasible for adapting to increasing temperature due to 313 land use and land suitability constrains. Measures like change in sowing date and 314 315 irrigation management, improved heat- and drought-resistant cultivars, reduced trade barriers, and increased storage capacity (44) will be necessary to adapt to changes in 316 temperature and precipitation for improving food security. However, since the largest 317 318 estimated yield losses and increased probability of extreme low yields occur in tropical areas (hot with low temperature seasonality) and under irrigated systems, the 319 above mentioned measures would probably not be sufficient, therefore challenging to 320 find effective incremental solutions, and pushing for a deeper transformation of the 321 agricultural systems (8, 11). 322

The mean impact of 1.5 and 2.0°C warming above preindustrial on global wheat production is projected to be small but positive. However, the uneven distribution of impacts across regions, including projected yield reductions in regions with rapid population growth (e.g. India), the increased probability of extreme low yields and a higher inter-annual yield variability, will be challenging for food security and markets, particularly in hot growing regions.

329

330 Materials and Methods

Climate change impact assessments were conducted using an ensemble of 31 wheatcrop models, each calibrated with local cultivars and considering region-specific soils

and crop management for 60 representative wheat growing locations developed by the 333 AgMIP-Wheat team (7). Climate scenarios here are consistent with the AgMIP 334 Coordinated Global and Regional Assessments (CGRA) 1.5 and 2.0 °C World study 335 (15, 45, 46). Climate changes from 100-member climate model ensemble mean 336 projections of the +1.5 and +2.0°C Worlds from the Half a Degree Additional 337 338 Warming, Prognosis and Projected Impacts project (HAPPI) (14) are combined with local weather information (provided by AgMERRA) (47) to generate driving climate 339 scenarios from five GCMs for each location (48). This large climate \times crop model 340 setup enabled a robust multi-model ensemble estimate (49) as well as analysis of 341 spatial heterogeneity (5) and inter-model uncertainty. HAPPI anticipates atmospheric 342 CO₂ mixing ratios for 1.5 scenario (1.5°C above the 1860-1880 pre-industrial period 343 = $\sim 0.6^{\circ}$ C above current global mean temperature) (50) and 2.0 scenario (2.0°C above 344 pre-industrial = ~ 1.1 °C above current global mean temperature) at 423 ppm and 487 345 ppm (CO₂ in the center of the 1980-2010 current period is 360 ppm). Uncertainty 346 around these CO₂ levels from climate models' transient and equilibrium climate 347 348 sensitivity is not explored here, although the CO₂ concentration for 2.0°C warming may be slightly overestimated (15). 349

As in previous AgMIP Wheat assessments, changes in mean solar radiation were not considered here other than small effects as the number of precipitation days changes (48). Model simulations were carried out by individual modelling groups. Hierarchical clustering on principal components based on climate variables for 1981 to 2010 was applied to classify the 60 representative global wheat growing locations, into environment types (Fig. S5).

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- 412 model simulations and discussed the results, B.L. S.A., P.M., F.E., J.R.P., A.J.C., and
- 413 C.M. wrote the paper.

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539 Fig. 1. Projected Impact of the 1.5 and 2.0 scenario on wheat grain yield and crop duration. Simulated change in grain yield versus (A) growing season mean 540 temperature and (B) mean growing season duration (sowing to maturity) for the 1.5 541 (orange) and 2.0 (dark cyan) scenario (HAPPI). (C) Differences in relative change in 542 grain yield between the 1.5 and 2.0 scenario versus growing season mean temperature 543 544 for 60 representative wheat producing global locations. Relative changes of grain yield were the median across 31 crop models and five GCMs, calculated with 545 simulated 30-year (1981-2010) mean grain yields for baseline, the 1.5 and 2.0 546 scenario (including changes in temperature, rainfall and atmospheric CO₂ 547 concentration) using region-specific soils, cultivars and crop management. The size of 548 symbols indicates the production represented by each location (using 2014 FAO 549 country wheat production statistics). The vertical and horizontal range crosses 550 indicate the median 25-75% uncertainty range of relative change in grain yields, 551 growing season mean temperature, crop duration across the 31 crop models and five 552 GCMs, respectively. In (A), r^2 of linear regressions were 0.32 and 0.33 under 1.5 and 553 2.0 scenario, respectively (P < 0.001). 554 555 Fig. 2. Simulated multi-model ensemble projection of global wheat grain production 556 by country under the 1.5 and 2.0 scenario (HAPPI). Relative climate change impacts 557 on grain production under (A) the 1.5 and (B) 2.0 scenario (including changes in 558 temperature, rainfall and atmospheric CO₂ concentration) compared with the 1981-559 560 2010 baseline. Impacts were calculated using the average over 30 years of yields and the medians across 31 models and five GCMs, using region-specific soils, current 561 cultivars and crop management. Impacts from 60 global locations were aggregated to 562 impacts on country production by weighting the irrigated, high rainfall, and low 563 564 rainfall production, based on FAO wheat production statistics.

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Fig. 3. Simulated global impacts of climate change scenarios on wheat production. 566 Relative impact on global wheat grain production for (A) 1.5 and 2.0 warming 567 scenarios (HAPPI) with changes in temperature, rainfall and atmospheric CO₂ 568 concentration. Atmospheric CO₂ concentration for the 1.5 and 2.0 scenario were 423 569 and 487 ppm, respectively. (B) $+2^{\circ}C$ (360 ppm CO₂ $+2^{\circ}C$) and $+4^{\circ}C$ (360 ppm CO₂ 570 +4°C) temperature increase for the baseline period with historical atmospheric CO₂ 571 concentration (360 ppm CO_2) and elevated CO_2 (550 ppm CO_2) for no temperature 572 change (Baseline), $+2^{\circ}$ C (550 ppm CO₂ $+2^{\circ}$ C) and $+4^{\circ}$ C (550 ppm CO₂ $+4^{\circ}$ C). 573 Impacts were weighted by production area (based on FAO statistics). Relative change 574 in grain yields were calculated from the mean of 30 years projected yields and the 575 ensemble medians of 31 crop models (plus five GCMs for HAPPI scenarios) using 576 region-specific soils, cultivars, and crop management. Error bars are the 25th and 75th 577 percentiles across 31 crop models (plus five GCMs for HAPPI scenarios). 578

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Fig. 4. Projected impacts of the 1.5 and 2.0 scenario on the probability of extreme low 580 581 wheat yields. (A) Grain yield distribution at three locations representative of the three main types of environments (see below) for the 1981-2010 baseline and for the 1.5 582 and 2.0 scenario (HAPPI; including changes in temperature, rainfall and atmospheric 583 CO_2 concentration). The yield distribution at the 60 global sites is given in Fig. S10, 584 Fig. S11, and Fig. S12. The vertical dashed lines indicate the value of extreme low 585 yields (defined as the lower 5% of the distribution) for the baseline. (B) Probability of 586 extreme low yield (\leq 5% of the baseline distribution) for the 1.5 (circles) and 2.0 587 (triangles) scenario at 60 representative global wheat growing locations for clusters of 588 589 temperate high rainfall or irrigated locations (green; 26 locations), moderately hot low rainfall locations (yellow; 20 locations), and hot irrigated locations (red; 14 locations). 590 (C) and (D) Probability of extreme low yields for each type of environment for the 1.5 591 592 and 2.0 scenario, respectively. Horizontal dashed lines are the probability of extreme low yield for the baseline (defined as the bottom 5% of the baseline distribution). 593 Horizontal thick solid lines are the median probability of extreme low yield. The 594 circles are the 60 global locations shown in (B), their size indicates the production 595

- represented at each location (using FAO country wheat production statistics) and their
- color the growing season mean temperature at each location for the 1.5 and 2.0
- scenario. Within each environment type, the circles have been jiggled along the
- 599 horizontal axis to make it easier to see locations with similar probability values. The
- shaded areas show the distribution of the data. Numbers above each box are the mean
- yields for the baseline period and in parenthesis the average yield impacts of the 1.5
- and 2.0 scenario compared with the 1981-2010 baseline yield. See Supplementary
- 603 Material and Methods for more details on clustering of wheat growing environments.











