

Shortwave infrared fluorescence imaging with the clinically approved near-infrared dye indocyanine green

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Fluorescence imaging is a method of real-time molecular tracking in vivo that has enabled many clinical technologies. Imaging in the shortwave IR (SWIR; 1,000–2,000 nm) promises higher contrast, sensitivity, and penetration depths compared with conventional visible and near-IR (NIR) fluorescence imaging. However, adoption of SWIR imaging in clinical settings has been limited, partially due to the absence of US Food and Drug Administration (FDA)-approved fluorophores with peak emission in the SWIR. Here, we show that commercially available NIR dyes, including the FDA-approved contrast agent indocyanine green (ICG), exhibit optical properties suitable for in vivo SWIR fluorescence imaging. Even though their emission spectra peak in the NIR, these dyes outperform commercial SWIR fluorophores and can be imaged in the SWIR, even beyond 1,500 nm. We show real-time fluorescence imaging using ICG at clinically relevant doses, including intravital microscopy, noninvasive imaging in blood and lymph vessels, and imaging of hepatobiliary clearance, and show increased contrast compared with NIR fluorescence imaging. Furthermore, we show tumor-targeted SWIR imaging with IRDye 800CW-labeled trastuzumab, an NIR dye being tested in multiple clinical trials. Our findings suggest that high-contrast SWIR fluorescence imaging can be implemented alongside existing imaging modalities by switching the detection of conventional NIR fluorescence systems from silicon-based NIR cameras to emerging indium gallium arsenide-based SWIR cameras. Using ICG in particular opens the possibility of translating SWIR fluorescence imaging to human clinical applications. Indeed, our findings suggest that emerging SWIR-fluorescent in vivo contrast agents should be benchmarked against the SWIR emission of ICG in blood.

shortwave infrared | biomedical imaging | fluorescence imaging | near infrared | indocyanine green

Fluorescence imaging in the near-IR (NIR; 700–1,000 nm) has enabled new technologies for a large variety of preclinical and clinical applications (1–5). Compared with conventional diagnostic imaging, such as computed tomography, positron emission tomography, or magnetic resonance imaging, NIR fluorescence imaging provides a lower-cost, high-sensitivity method for real-time molecular imaging (2, 3, 5). A variety of NIR fluorophores are commercially available, exhibit high brightness, and can target a range of biological substrates. Moreover, one of these dyes, indocyanine green (ICG), has been approved by the US Food and Drug Administration (FDA) for clinical use since 1959. ICG and other NIR dyes, such as IRDye 800CW, are the subject of over 300 clinical trials for applications like fluorescence angiography and perfusion assessment in reconstructive and bypass surgeries, metastatic lymph node mapping and lymphatic transport tracking in lymphedema, cancer localization and surgical margin assessment, and many others (4–11).

Recent research has shown that extending fluorescence imaging into shortwave IR (SWIR; 1,000–2,000 nm) wavelengths can further enhance the advantages of NIR imaging (12–15). Low levels of background tissue autofluorescence in the SWIR

increase imaging sensitivity to a target fluorophore, and the unique tissue absorption and scattering properties increase contrast of structures at greater penetration depths compared with fluorescence imaging in the NIR (13, 16–21). However, the adoption of SWIR fluorescence imaging into clinical settings has been prevented by the limited availability of SWIR detection technology and the perceived need for FDA-approved fluorophores with peak emission in the SWIR spectral region. The availability of SWIR detectors, controlled in part by national defense-related policies, such as the US International Traffic in Arms Regulations, is rapidly increasing, as over 20 SWIR cameras are now classified for dual (military and commercial) use by the US Department of State (22). In addition, improvements in indium gallium arsenide (InGaAs) sensor fabrication, increasing supply, and growing markets have significantly decreased SWIR detection technology costs (23). As for SWIR-fluorescent probes,

Significance

Imaging in the shortwave IR (SWIR) spectral window allows the observation of processes deep within living animals. Recent studies have shown that SWIR imaging enables unprecedented imaging opportunities, including contact-free monitoring of vital signs, generation of microvasculature blood flow maps, real-time metabolic imaging, and molecularly targeted imaging. Yet, whereas bright SWIR fluorophores have been developed for preclinical research settings, applications in the clinic have been held back by the conventional belief that no clinically approved fluorophore is available. Here, we show that indocyanine green, a clinically approved near-IR dye, exhibits a remarkable amount of SWIR emission, which enables state-of-the-art SWIR imaging with direct translation potential into clinical settings, and even outperforms other commercially available SWIR emitters.

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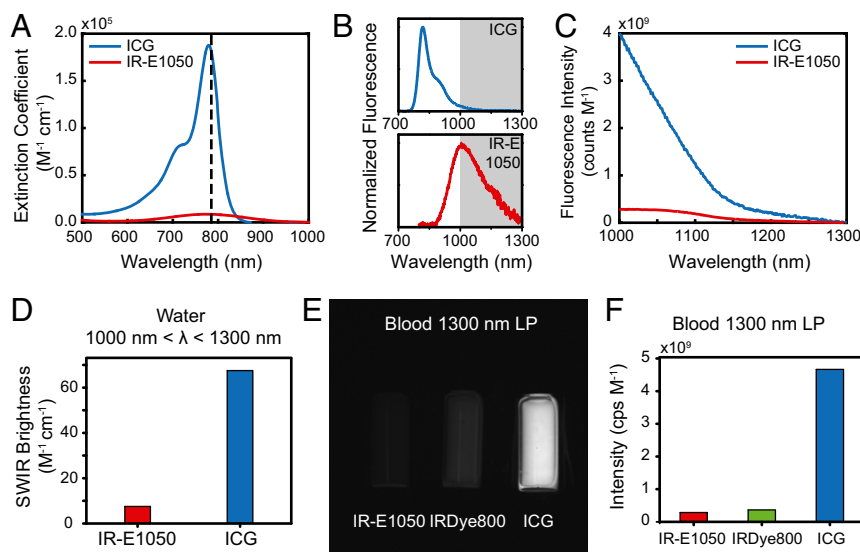


Fig. 2. Comparison of the optical properties of ICG with those of other NIR and SWIR dyes. (A) Normalized to identical molar concentrations, ICG absorbs much stronger at 785-nm excitation (dashed line) than IR-E1050, a commercially available SWIR dye marketed for in vivo SWIR imaging applications. (B) Thus, although the emission peak of ICG is significantly blue shifted compared with IR-E1050, (C) the measured emission intensity between 1,000 and 1,300 nm normalized to equimolar concentration is 8.7 times higher for ICG than for IR-E1050. (D) This finding was confirmed by calculating the SWIR brightness of ICG and IR-E1050 at wavelengths between 1,000 and 1,300 nm. Multiplying the independently measured fluorescence quantum yield, the maximum absorption cross-section, and the ratio of the number of photons emitted between 1,000 and 1,300 nm to the total number of emitted photons of both dyes shows that ICG is roughly nine times brighter than IR-E1050. (E) We further compared the fluorescence intensity of IR-E1050 (0.01 mg/mL) with that of IRDye 800CW PEG (0.01 mg/mL; not accounting for PEG shell of 25–60 kDa) and that of ICG (0.01 mg/mL) in bovine blood on an SWIR camera with a 1,300-nm long-pass (LP) filter. (F) Normalized to equimolar concentrations, the imaged intensity of the NIR dyes is greater than IR-E1050. SDs for signal intensity were found to be less than 5%. Fig. S2 and Movie S1 show comparisons in water and in vivo.

Due to the low quantum yields and low absorption cross-sections of conventional SWIR fluorophores, it is possible for the brightest probe to be one with only tail emission in the SWIR. Any SWIR-fluorescent contrast agent should, therefore, be benchmarked against the SWIR emission of ICG in blood, as it is sufficiently bright for in vivo applications, and it is already FDA approved and clinically used.

High-Contrast SWIR Fluorescence Imaging in Vivo Using ICG. The SWIR emission of commercially available, FDA-approved, and clinically used ICG enables straightforward application to in vivo fluorescence imaging in the SWIR. We present here a selection of in vivo imaging applications in mice using the clinically approved dose of ICG and highlight advantages of imaging ICG using SWIR detection over conventional NIR detection.

We show that imaging ICG in the SWIR enables high-contrast mesoscopic imaging of brain and hind-limb vasculature in mice through intact skin (Fig. 3), as has been previously shown with carbon nanotubes (13). For this, we injected an aqueous solution of ICG into the tail vein of mice at a dose of 0.2 mg/kg, which is within the recommended dose for humans (0.2–0.5 mg/kg recommended, 5 mg/kg maximum) (6). We illuminated the mice with 50–70 mW/cm² of 808-nm excitation light, staying below the maximum permissible exposure limit (330 mW/cm² for 808-nm continuous wave light) (51). We noninvasively imaged the resulting fluorescence on a silicon camera at NIR wavelengths and on an InGaAs camera at SWIR wavelengths between 1,300 and 1,620 nm, the detection cutoff of the cooled SWIR camera. We chose the 1,300–1,620-nm wavelength range, since as we show here and others have previously shown, contrast and resolution are maximized at wavelengths greater than 1,300 nm (13, 19, 52). We quantified the contrast within a region of interest in the NIR image and the SWIR image by calculating the coefficient of variation, defined as the standard deviation (SD) of pixel intensity normalized to the mean pixel intensity

(Fig. S3D). We find that the SWIR image contrast for brain vasculature is nearly 50% greater at a value of 0.29 compared with the NIR image with a contrast value of 0.20, and it is more than 58% greater for hind-limb vasculature at a value of 0.19 for SWIR imaging compared with 0.12 for NIR imaging. Furthermore, we calculated the apparent width of a brain vessel by measuring the FWHM of a two-Gaussian fit to the intensity profile across the brain vessel of interest (Fig. S3E). We find that the apparent vessel width in this specific example is over twice as wide in the NIR image as in the SWIR image, with values of 430 and 210 μ m, respectively. These findings are in good agreement with previous studies using other fluorophores (e.g., the contrast and resolution of brain vessels originally shown through noninvasive imaging using SWIR-emissive carbon nanotubes) (13). Thus, contrast and resolution of fine vasculature structures can be greatly improved while using FDA-approved ICG contrast by simply switching the detection wavelength from traditional NIR imaging using a silicon camera to detection beyond 1,300 nm on an InGaAs SWIR camera.

We further show that the contrast improvement of SWIR detection over NIR detection can be enabling for intravital microscopic imaging (Fig. S4). We incorporated ICG into PEG phospholipids (53) to increase its blood half-life, which is typically limited to 3–4 min (54, 55). We injected an aqueous solution of these micelles at a dose of 5 mg ICG/kg mouse into the tail vein of a mouse with an implanted cranial window and used a microscope to image the fluorescence of the ICG phospholipid micelles in the brain vasculature with both NIR and 1,300-nm long-pass SWIR detection. Images of the entire cranial window at 2 $\times$ magnification show the ability to resolve nearly all of the same vessels using either NIR or SWIR imaging. The overall contrast, however, was 1.4 times greater for the SWIR image (SD/mean was 0.24 for NIR vs. 0.33 for SWIR). Higher magnification (6 $\times$) reveals that this contrast improvement using SWIR imaging enables the resolution of vessels, which due to the high

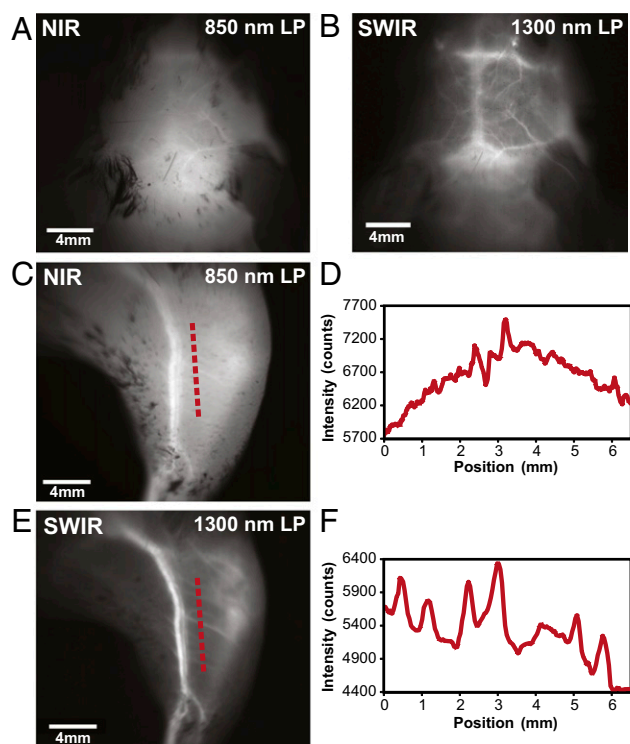


Fig. 3. High contrast in vivo SWIR fluorescence imaging using ICG. (A) We noninvasively imaged the brain vasculature of a mouse using ICG contrast and find that the vessels are difficult to resolve through skin and skull using 850-nm long-pass (LP) NIR detection on a silicon camera. (B) Switching to 1,300-nm long-pass SWIR detection on an InGaAs camera greatly improves vessel contrast (Fig. S3 shows contrast quantification). (C) Similarly, only large hind-limb vessels are imaged with good contrast through the skin using NIR detection. (D) The intensity across a line of interest shows insufficient contrast to resolve smaller vessels from background signal. (E) Using 1,300-nm long-pass SWIR detection greatly improves image contrast and (F) resolution of vessels. All images were scaled to the maximum displayable intensities.

label density of surrounding vessels, are difficult to distinguish from background signal in the NIR image.

These findings could improve clinical use of ICG in fluorescence angiography. Clinical imaging of ICG in the NIR has already shown value for angiography in ophthalmology, intraoperative assessment of blood vessel patency in tissue graft, bypass surgeries, and intracranial aneurism surgeries (1, 5, 56–59). Non-invasive imaging of lymphatic vasculature using ICG has also been described for lymphatic mapping and intraoperative identification of sentinel nodes, imaging nodes following surgical excision, and evaluation and monitoring of lymphedema (1, 5, 60, 61). Increasingly, ICG fluorescence imaging is also being used in robot-assisted surgery, in which the surgeon relies on visual cues instead of tactile feedback (7, 11, 61). However, full clinical implementation has been partially limited by insufficient image quality in deep operating fields. The higher contrast of ICG SWIR fluorescence imaging over NIR imaging could benefit these applications and enable resolution of finer vessels, especially in applications with high label density or interfering background signal. Importantly, the implementation of this contrast improvement would be straightforward, requiring only a switch from cameras with NIR sensitivity to those with SWIR sensitivity while continuing the familiar surgical setup and use of ICG.

Real-Time SWIR Fluorescence Imaging Using ICG. An essential component of fluorescence-guided surgery is the ability to perform real-time imaging. We show that the SWIR emission of ICG is sufficiently

bright for real-time imaging at high frame rates using clinically approved doses of ICG. In one example, we performed heart angiography in mice. Using diffuse 808-nm excitation and a 1,300-nm long-pass emission filter, we were able to image the vasculature of a beating heart intravitaly at a speed of 9.17 frames per second (Movie S2) while resolving fine vessels on the exterior surface against the underlying contrast (Fig. S5E). The acquisition speed was sufficiently fast to capture the anesthetized mouse heart rate of 207 beats per minute determined by tracking the intensity fluctuations of the heart (Fig. 4). Furthermore, the fluorescence of the ICG bolus was tracked as it reached the heart, lungs, peripheral veins, and finally, liver (Fig. S5A–D).

In a second example, we show real-time SWIR imaging of ICG in the liver and small intestine of a mouse and further show imaging of ICG fluorescence beyond 1,500 nm. After ICG injection into the tail vein of a mouse, we noninvasively imaged through the skin the hepatobiliary excretion of ICG into the small intestine (6). ICG emitted sufficient signal for near-video rate imaging (19.7 frames per second, 1,200-nm long-pass filter), enabling capture of the peristaltic movements of the small intestine (Movie S3). Although at the cost of speed (2.0 frames per second), it was even feasible to image the ICG clearance using a 1,500-nm long-pass filter, capturing wavelengths between roughly 1,500 and 1,620 nm (Fig. S64).

In a third example, we noninvasively imaged lymphatic flow in mice. We injected an aqueous solution of ICG s.c. in the hind feet and the tail and then imaged (at 9.17 frames per second through intact skin) the flow of lymphatic clearance (Movies S4 and S5).

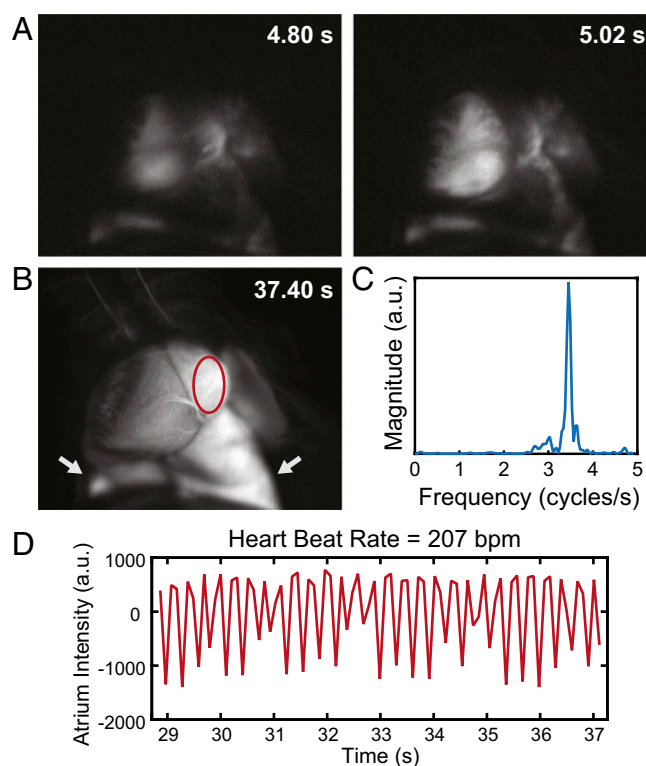


Fig. 4. High temporal resolution shown through ICG SWIR fluorescence angiography. Intravital SWIR fluorescence angiography was performed in a mouse heart at 9.17 frames per second using ICG for contrast, diffuse 808-nm excitation, and a 1,300-nm long-pass emission filter on an InGaAs SWIR camera (Movie S2). (A) Temporal resolution was sufficiently high to resolve the heartbeat of the mouse. (B) By tracking a region of interest within the atrium of the heart (red circle; lungs are also pictured and indicated with white arrows) and (C) taking the Fourier transform of (D) the intensity fluctuations, the heart rate was determined to be 207 beats per minute for the anesthetized mouse. Fluorescence tracking details and assignment of anatomical structures are in Fig. S5.

Using various long-pass filters across the SWIR, we find that lymph vessels and nodes are visible with ICG contrast up to ~1,400 nm, at which point only the vessels and superficial nodes are visible and the signal of deeper lymph nodes becomes attenuated (Fig. S6B). Thus, for applications that are not contrast-limited, such as those with low background signal and/or high label specificity, it may be preferable to image ICG in the NIR or the shorter wavelengths of the SWIR, where signal attenuation is minimized.

These examples show the applicability of ICG SWIR imaging to fluorescence-guided surgery. ICG is bright enough to image in the SWIR at speeds sufficiently high for intraoperative imaging of dynamic or moving features, shown here in the heart, small intestine, and lymphatic system of a mouse. We show that the required speed for a given application can be balanced with the desired contrast by selecting the imaging wavelength; using the full SWIR regime enables the highest frame rates due to maximized signal from ICG, while imaging at the longest SWIR wavelengths can be used to improve contrast at the cost of speed. Thus, using an SWIR camera for detecting ICG provides a tunable platform for optimizing both contrast and speed in fluorescence-guided surgery.

Targeted SWIR Fluorescence Imaging in Vivo Using IRDye 800CW. The ease of molecular targeting is one of the major strengths of fluorescence imaging over other imaging modalities. We show here that straightforward conjugation chemistry can be used to perform targeted imaging in the SWIR using the NIR dye IRDye 800CW. We used a commercially available labeling kit to conjugate IRDye 800CW to the tumor-targeting antibody trastuzumab (62). We injected the dye–antibody conjugate into mouse models implanted with human BT474 breast cancer cells in the brain and noninvasively imaged the SWIR fluorescence emitted from the IRDye 800CW-labeled tumor through intact skin and skull. Subsequently, we injected IRDye 800CW conjugated to PEG, highlighting brain vasculature surrounding the tumor. A multicolor functional image of the brain was then generated by temporally resolving the two labels (i.e., by assigning different colors to the fluorescence signal before and after the addition of IRDye 800CW PEG) (Fig. S7) (63).

While targeted SWIR imaging has previously been hindered by the challenging preparation of targeted nanomaterials, the size-dependent delivery effects, and the unavailability of commercial solutions, the use of NIR dye labeling kits overcomes these barriers. NIR imaging of these readily available, targeted dyes has already shown promise for aiding cancer localization and intraoperative surgical margin assessment (64). Our results suggest that SWIR imaging of these NIR dyes could further benefit these applications by increasing the resolution of both fine and large structures, which may be overlapping, as this is a common occurrence in highly vascular malignant lesions.

Outlook. We show that established, commercially available NIR dyes, including the FDA-approved dye ICG, can be used to perform state of the art SWIR in vivo imaging, including intravital microscopy, noninvasive real-time imaging in blood and lymph vessels, imaging of hepatobiliary clearance, and molecularly targeted in vivo imaging. The advantages of SWIR imaging over NIR techniques, such as increased sensitivity, contrast, and resolution of fine anatomical structures, are, therefore, more readily available for increased adoption in preclinical and clinical imaging systems simply by switching the detection from conventional silicon-based NIR cameras to emerging, high-performance InGaAs SWIR cameras. While no FDA-approved fluorophores with peak emission in the SWIR yet exist, we show here that detecting the off-peak fluorescence of clinically accessible NIR dyes on SWIR detectors bears the potential for rapid translation of SWIR fluorescence imaging to humans in clinical applications.

Materials and Methods

Optical Characterization. *SI Materials and Methods* discusses characterization of dye absorption and emission spectra and quantum yield measurements as well as the dye brightness comparison.

In Vivo Imaging with ICG. All animal experiments were conducted in accordance with Public Health Service Policy on Humane Care of Laboratory Animals guidelines and were approved by the Institutional Animal Care and Use Committees of the Massachusetts Institute of Technology and Massachusetts General Hospital. No blinding or randomization was required for the animal studies. Before each imaging experiment, mice were anesthetized via i.p. injection of a ketamine/xylazine mixture (100 mg/kg ketamine and 10 mg/kg xylazine in saline), and sufficient depth of anesthesia was maintained, redosing with one-third the original dose in ketamine only as necessary. All tail vein injections were performed using a home-built catheter. The catheter was assembled by breaking the shaft of a 30-gauge needle into two pieces of equal length and reconnecting these pieces using plastic tubing. The hub of the needle was filled with saline solution and connected to a 1-mL syringe containing the desired contrast agent. Subsequently, the bevel of the needle was injected into the tail vein and fixed using tissue adhesive.

The in vivo imaging setup uses 50–70 mW/cm² of light from a 10-W, 808-nm laser (MLL-N-808; Opto Engine) coupled into a 910-μm core metal-clad multimode fiber (MHP910L02; Thorlabs) and diffused through a ground glass plate (DG10-220-MD; Thorlabs). Fluorescence signal is directed from the imaging stage to the InGaAs SWIR camera (NIRvana 640; Princeton Instruments) using a 4-in. square first surface silver mirror (part no. 84448; Edmund Optics) and then filtered through various bandpass and long-pass emission filters incorporated into an exchangeable filter holder. Table S1 details the filters used for each image presented in this manuscript. Different objectives were used depending on the requirements of each application as detailed below. The InGaAs camera (NIRvana 640; Princeton Instruments) was cooled to –80 °C, the analog to digital (AD) conversion rate was set to 2 or 10 MHz, the gain was set to high, and different exposure times were used to achieve sufficient signal and/or frame rates (also detailed in Table S1). All images were background and blemish corrected within the Light-Field imaging software. The silicon camera (PIXIS 1024BR; Princeton Instruments) was cooled to –70 °C, the AD conversion rate was set to 2 MHz, the gain was set to high, and the exposure time was adjusted to achieve sufficient signal and/or frame rates (Table S1). ImageJ was used to average 10 frames for NIRvana and PIXIS images. Frame averaging was not used in videos unless otherwise noted. ImageJ was also used for all image measurements (pixel intensity average, SD, etc.).

SI Materials and Methods has detailed in vivo imaging procedures, including brain vasculature imaging, heart angiography, intravital brain imaging with ICG phospholipid micelles, ICG hepatobiliary clearance imaging, lymph node imaging, and IRDye 800CW tumor labeling and imaging.

Data Availability. The authors declare that all data supporting the findings of this study are available within the paper and in *SI Materials and Methods*.

Note. The results reported in this manuscript were initially posted by the authors on April 28, 2017, in the online archive, bioRxiv (65). During the manuscript review process, the authors became aware that ICG fluorescence in the SWIR was independently reported by another group in *PLoS One* on November 9, 2017 (66).

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