

1 **Design and characterization of dietary assessment in the German National Cohort**

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3 Sven Knüppel^{1*}, Matthias Clemens^{1*}, Johanna Conrad², Sylvia Gastell¹, Karin B. Michels³,
4 Michael Leitzmann⁴, Lilian Krist⁵, Tobias Pischon⁶, Gerard Krause⁷, Wolfgang Ahrens^{8,9}, Nina
5 Ebert¹⁰, Karl-Heinz Jöckel¹¹, Alexander Kluttig¹², Nadia Obi¹³, Rudolf Kaaks¹⁴, Wolfgang
6 Lieb¹⁵, Sabine Schipf^{16,17}, Hermann Brenner^{18,19,20}, Thorsten Heuer²¹, Ulrich Harttig²², Jakob
7 Linseisen^{23,24}, Ute Nöthlings², Heiner Boeing¹

8

9 ¹ Department of Epidemiology, German Institute of Human Nutrition Potsdam-Rehbruecke
10 (DIfE), Nuthetal, Germany

11 ² Institute of Nutrition and Food Sciences, Nutritional Epidemiology, University of Bonn,
12 Bonn, Germany

13 ³ Institute for Prevention and Cancer Epidemiology, Faculty of Medicine and Medical Center,
14 University of Freiburg, Germany

15 ⁴ Department of Epidemiology and Preventive Medicine, University of Regensburg, Germany

16 ⁵ Institute for Social Medicine, Epidemiology and Health Economics, Charité -
17 Universitätsmedizin Berlin, Berlin, Germany

18 ⁶ Molecular Epidemiology Research Group, Max-Delbrück Center for Molecular Medicine in
19 the Helmholtz Association (MDC), Berlin, Germany

20 ⁷ Department for Epidemiology, Helmholtz Centre for Infection Research, Germany

21 ⁸ Leibniz Institute for Prevention Research and Epidemiology - BIPS, Bremen, Germany

22 ⁹ Institute of Statistics, Faculty Mathematics/Computer Science, University Bremen, Germany

23 ¹⁰ Institute for Biometry and Epidemiology, Leibniz Institute for Diabetes Research at Heinrich
24 Heine University Düsseldorf, Düsseldorf, Germany

25 ¹¹ Institute of Medical Informatics, Biometry and Epidemiology (IMIBE), University Hospital
26 Essen, University of Duisburg-Essen, Essen, Germany

27 ¹² Institute of Medical Epidemiology, Biostatistics, and Informatics, Martin Luther University
28 Halle-Wittenberg, Halle (Saale), Germany

29 ¹³ Institute of Medical Biometry and Epidemiology, University Medical Center Hamburg-
30 Eppendorf, Germany

31 ¹⁴ Division of Cancer Epidemiology, German Cancer Research Center (DKFZ), Heidelberg,
32 Germany

33 ¹⁵ Institute of Epidemiology, Christian-Albrechts University of Kiel, Kiel, Germany

34 ¹⁶ Institute for Community Medicine, University Medicine Greifswald, Greifswald, Germany

35 ¹⁷ German Center for Diabetes Research (DZD), Site Greifswald, Germany

36 ¹⁸ Division of Clinical Epidemiology, German Cancer Research Center (DKFZ), Heidelberg,
37 Germany

38 ¹⁹ Division of Preventive Oncology, German Cancer Research Center (DKFZ) and National
39 Center for Tumor Diseases (NCT), Heidelberg, Germany

40 ²⁰ German Cancer Consortium, German Cancer Research Center (DKFZ), Heidelberg,
41 Germany

42 ²¹ Department of Nutritional Behaviour, Max Rubner-Institut (MRI), Federal Research Institute
43 of Nutrition and Food, Karlsruhe, Germany

44 ²² Human Study Center, German Institute of Human Nutrition Potsdam-Rehbruecke (DIfE),
45 Nuthetal, Germany

46 ²³ Clinical Epidemiology, Helmholtz Centre Munich, Neuherberg, Germany

47 ²⁴ Chair of Epidemiology, Ludwig-Maximilians-Universität München, UNIKA-T Augsburg,
48 Germany

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50 * These authors contributed equally to this work.

51

52 **Correspondence:**

53 Dr. Sven Knüppel

54 German Institute of Human Nutrition Potsdam-Rehbruecke

55 Department of Epidemiology

56 Arthur-Scheunert-Allee 114-116

57 14558 Nuthetal

58 Germany

59 Phone: +49(0)33 200/88-2719

60 Email: sven.knueppel@dife.de

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67 assessment, cohort study, large-scale study

68 **Abstract**

69 **Background/objectives:** The aim of the study was to describe a novel dietary
70 assessment strategy based on two instruments complemented by information from
71 an external population applied to estimate usual food intake in the large-scale
72 multicenter German National Cohort (GNC). As proof of concept, we applied the
73 assessment strategy to data from a pretest study (2012-2013) to assess the
74 feasibility of the novel assessment strategy.

75 **Subjects/methods:** First, the consumption probability for each individual was
76 modeled using three 24h food lists (24h-FL) and frequencies from one food
77 frequency questionnaire (FFQ). Second, daily consumed food amounts were
78 estimated from the representative German National Nutrition Survey II (NVS II) taking
79 the characteristics of the participants into account. Usual food intake was estimated
80 using the product of consumption probability and amounts.

81 **Results:** We estimated usual intake of 41 food groups in 318 men and 377 women.
82 The participation proportion was 100%, 84.4%, and 68.5% for the first, second, and
83 third 24h-FL, respectively. We observed no associations between the probability of
84 participating and lifestyle factors. The estimated distributions of usual food intakes
85 were plausible and total energy was estimated to be 2,707 kcal/day for men and
86 2,103 kcal/day for women. The estimated consumption frequencies did not differ
87 substantially between men and women with only few exceptions. The differences in
88 energy intake between men and women were mostly due to differences in estimated
89 daily amounts.

90 **Conclusions:** The combination of repeated 24h-FLs, a FFQ, and consumption-day
91 amounts from a reference population represents a user-friendly dietary assessment
92 approach having generated plausible, but not yet validated, food intake values in the
93 pretest study.

94 Introduction

95 The desire to facilitate dietary measurements in large-scale epidemiologic studies is
96 probably as old as the estimation of diet itself in such studies [1]. Dietary data from
97 large-scale epidemiologic studies are used for investigations of the diet-disease-
98 relations that often form the basis for dietary recommendations. Thus, dietary
99 assessment in such studies needs to provide estimates of an individual's usual food
100 intake with a minimum burden to the participant and should also reflect the intake of
101 the study population [2].

102

103 Evidence suggests that self-reported dietary assessment instruments have imperfect
104 validity in estimating an individual's diet [3-5] and could therefore generate
105 underestimated and/or biased diet-disease relations [6-8]. Specifically, validation
106 studies using recovery biomarkers indicate that self-reported intakes of macro- and
107 micronutrients such as total energy, protein, potassium, and sodium are
108 underreported and misspecified [7, 9]. Bias appears to be less severe when intake
109 estimates are derived from short-term dietary assessment instruments such as 24h
110 dietary recalls (24h-DRs) [4-7, 9-11]. Recent statistical developments suggest that
111 the combination of short-term and long-term dietary assessment techniques – such
112 as repeated 24h-DRs and food frequency questionnaires (FFQs) – yield less biased
113 estimates of usual food intake than stand-alone instruments [12-16]. Hence, we
114 combined the information from repeated applications of a recently developed short-
115 term 24h-food list (24h-FL) [17] designed to represent a simplified web-based dietary
116 questionnaire, one FFQ, and information from an external source as reference
117 population to estimate usual food intake.

118

119 The aim of the current study was to present the methodological concept of usual food
120 intake estimation based on the above mentioned combination of information and to
121 apply this concept to food intake data collected in a pretest study for the large-scale
122 multicenter German National Cohort (GNC) [18, 19].

123

124 **Methods**

125 ***Study population***

126 The main phase of the GNC began in 2014 and it comprises a random sample of the
127 general population drawn from population registries in 18 study centers [18, 19]. In
128 accordance with the guidelines and recommendations of the German Society for
129 Epidemiology to assure Good Epidemiologic Practice [20], pretest studies were
130 conducted between 2011 and 2013 to select appropriate methods and instruments,
131 to develop standard operating procedures, and to test the exposure assessment
132 program according to its feasibility, acceptability, and expected duration.

133

134 The pretest study II consisted of a basic program that was mandatory for all study
135 centers. It also included an optional dietary assessment module, which was
136 performed by 16 of 18 study centers. The ethics committees of each local study
137 centers approved the study protocol of the pretest study including the optional
138 modules and written informed consent was obtained from all study participants [18].

139

140 In the pretest study II, participants were asked to complete three 24h-FLs on non-
141 consecutive days over a period of 1.5 months after their visit to the study center, and
142 one FFQ. Participants could complete the first 24h-FL at their visit to the study center
143 and had to complete the FFQ within two weeks thereafter. Participants who were
144 willing to fill in the questionnaires via the internet received an individual access code

145 for a web-based internet-portal during the course of the study and were asked by e-
146 mail to fill in the 24h-FL on a specific day. These days were selected at random by a
147 computer program. Participants without internet access received the questionnaires
148 as paper version, and completion of the 24h-FL on a specific day was organized via
149 phone calls. Completed paper versions of the 24h-FLs were returned by mail with
150 pre-paid envelopes.

151

152 Data collection took place from August 2012 to April 2013. Since the repeated dietary
153 assessment would have exceeded the pretest study period, study centers terminated
154 all reminder activities by the end of April 2013, even though not all participants had
155 completed three 24h-FLs by that time. Of 1010 study participants who took part in the
156 dietary assessment, 999 provided at least one completed 24h-FL or one FFQ. After
157 exclusion of 2 participants with no 24h-FL, 301 participants with no FFQ, and 1
158 participant with missing anthropometric data, a data set including at least one 24h-FL
159 and one FFQ was available for 695 study participants (318 men and 377 women),
160 forming the basis for the present analysis.

161

162 ***Dietary assessment approach***

163 Figure 1 shows the blended dietary assessment strategy in the GNC. Usual food
164 intake is assessed by estimating two components which are subsequently multiplied.
165 The first component consists of the estimated individual consumption probability from
166 repeatedly filled in 24h-FL and one FFQ – estimated by a mixed effects logistic
167 regression model in which the frequency information from the FFQ is used as
168 covariate. The second component consists of the specific consumption-day amount
169 provided from a reference population – estimated by a mixed effects linear
170 regression model.

171

172 The idea of subdividing the assessment process into such components was outlined
173 by Tooze et al. (2006) [16]. Further, the EPIC-Potsdam study showed that self-
174 reported portion sizes from FFQ adds little information to the variance of food intake [21]
175 implying that consumption frequencies has a stronger influence on the variation in
176 food and nutrient intake between persons than portion sizes. Hence, the novel aspect
177 of the current dietary assessment strategy is the probability component derived from
178 the 24h-FL.

179

180 The individual food intake probability is multiplied by the person-specific daily
181 consumption amount to obtain an estimated usual (habitual) intake value for each
182 food item. The consumption day amounts of food intake were derived from a
183 reference population. For nutrient calculations, the food items were linked to the
184 German Nutrient Database (Bundeslebensmittelschlüssel, BLS Version 3.02), the
185 national food composition data base.

186

187 ***Dietary assessment instruments***

188 The 24h-FL was designed for simple and quick application with low burden for study
189 participants and is available as a web application and a print version with the option
190 of optical scanning. The 24h-FL generates binary information (consumption versus
191 no consumption) for pre-specified foods consumed during the previous day. The
192 feasibility of this food list was evaluated in GNC pretest study I (August 2011 to
193 February 2012). In that study, the instrument was found to be acceptable to
194 participants and appeared feasible for application in large multi-center cohort studies,
195 with an average completion time of 8 to 10 minutes [17].

196

197 The 24h-FL was designed to explain at least 75% of variation in nutrient intake of
198 each of the 27 selected nutrients and four major food groups (fruits, vegetables, meat
199 and meat products, and milk and dairy products) based on 24h dietary recall data
200 from the representative German National Nutrition Survey II (NVS II) [17, 22]. In the
201 pretest study, two food items were identified as missing by study participants and
202 were subsequently added to the 24h-FL. After further discussion with leading
203 nutritionists, 10 additional food items were incorporated in the 24h-FL. Thus, the final
204 version of the 24h-FL comprises 258 food items.

205

206 In addition, an FFQ was developed as a web application and as a print version with
207 the option of optical scanning. The FFQ is based on the German version of the
208 multilingual European Food Propensity Questionnaire [13] and it was aligned with the
209 food item list of the 24h-FL. The FFQ inquired about the intake frequencies of 133
210 foods and beverages during the previous 12 months. Portion sizes for food items are
211 graphically displayed with pictograms [13]. The frequency scales have a closed-
212 ended format of discrete categories that range from “never”, “one time per month” to
213 “11 times per day or more frequent”, depending on the food item. Food item
214 frequencies from the FFQ were converted to mean frequencies per day; for example,
215 1 time/week was converted to one-seventh times per day.

216

217 The specific consumption-day amounts of food intake were derived from the
218 representative NVS II. Amongst others, dietary intake in the NVS II was assessed
219 from 2005 to 2007 by telephone interviews on two non-consecutive days using the
220 24-hour dietary recall method EPIC-Soft [22, 23] (renamed GloboDiet in 2014).
221 Dietary data of 12,502 NVS II study participants aged 20-80 years were used. A list

222 of concordance was established that link each food consumed at the NVS II with the
223 list of food items of the 24h-FL.

224

225 ***Formation of food groups and nutrient/energy intake***

226 In the pretest data set, the number of applied 24h-FLs and FFQs were low compared
227 to the expected numbers which will be provided within the GNC due to the lower
228 number of participants. In the present data set, statistical modeling of individual usual
229 food intakes on the single food item level was often not possible due to high
230 proportion of nonconsumers in the 24h-FL. Thus, 39 food groups comprising food
231 items with a similar composition or nutrient content (e.g., bread or milk and dairy
232 products) were formed for the current analysis [24]. Two further food groups were
233 also formed that reflected either vegetarian (e.g., vegetarian casserole) or non-
234 vegetarian (e.g., lasagne) mixed dishes (for listing of food groups please see **Tables**
235 **3 and 4**). Information on single food item consumption provided by the 24h-FL was
236 summarized by defining an occurrence variable for each food group with a value of 1,
237 if at least one single 24h-FL food item was covered by the corresponding food group
238 and a value of zero if the corresponding 24h-FL food item was not consumed on that
239 day. FFQ information was also summed up into reported frequencies at the food
240 group level using the same approach as was done with the data from the 24h-FL.
241 Likewise, the daily consumption amounts were summarized taking the 24h-FL food
242 item specific daily amounts, if eaten.

243

244 For each food item of the 24h-FL, nutrient values were also calculated, weighted by
245 the amounts of the detailed corresponding food items eaten in the NVS II. The
246 nutrient values for each food item of the 24h-FL were multiplied by the estimated
247 usual food intakes of that food item, calculated for each individual. Energy intake was

248 calculated for all food groups. Total individual energy intake was calculated by
249 summing the energy intake of the food groups. An additional food group was also
250 formed that comprised foods not covered by the 24h-FL but reported in NVS II. The
251 energy amount of this food group was added to the total energy as a constant.

252

253 ***Missing Data***

254 The FFQs were considered complete if information was provided for at least 80% of
255 core food items. Fats used for food preparation (e.g., butter, plant oils) or additives to
256 hot beverages (e.g., cream, sugar, and sweeteners) were not considered as core
257 food items. Missing data on the FFQ were found for only 44 food items, with a
258 maximum of 12 missing values in one FFQ item. Most food items had only one
259 missing value. To retain all observations in the analyses, missing values on the FFQ
260 were single imputed by applying linear regression models to food item frequencies
261 taking sex, age, body mass index (BMI), and study site into account.

262

263 When a participant was unable to report which kind of fat was typically used for food
264 preparation, information on discretionary fats was single imputed by modeling
265 individual consumption probabilities (p_i) for all fats applying a mixed effects logistic
266 regression, adjusted for sex, age, BMI, and study site. For each imputation, a random
267 number u_j from a uniform distribution (0, 1) was drawn. If u_j was $\leq p_i$ we assumed that
268 this fat item was consumed on the specific assessment day.

269

270 ***Statistical analysis***

271 Descriptive statistics of the study population are shown as frequencies and
272 proportions, or means with standard deviations (SD). Study participants completed
273 up to three 24h-FLs. Hence, nine different reporting scenarios existed for each food

274 group. Study participants with one 24h-FL could report 0 or 1 consumption days for
275 each food group on the available 24h-FL. Study participants with two 24h-FLs could
276 report 0, 1 or 2 consumption days for each food group on the two available 24h-FLs.
277 Study participants with three 24h-FLs could report 0, 1, 2, or 3 consumption days for
278 each food group on the three available 24h-FLs. For simplification, **Table 3**
279 summarizes these 9 possible reporting patterns in the simplified categories of 0, 1, 2,
280 or 3 times of reported food intake.

281

282 The mixed effects logistic regression model with random intercept was applied to
283 estimate individual probabilities of food consumption and used the occurrence
284 variable collected by 24h-FLs as outcome variable and the following regression
285 variables: age, sex, BMI, habitual frequency of food intake taken from the FFQ, and
286 study center. Age and BMI were coded as continuous variables, sex as binary
287 variable, habitual frequency as ranked variable from 0 to several times a day, and
288 study center as indicator variable. Individual consumption probability was calculated
289 for all food groups including the different methods of preparation and fat content
290 (e.g., raw vs. cooked). The mixed effects linear regression model with random
291 intercept was applied to estimate individual daily amounts of food consumption and
292 used the daily amounts collected in the NVS II as outcome variable and age, sex,
293 and BMI as regression variables using the same coding as in the mixed effects
294 logistic regression model [25]. In the food group 'Miscellaneous', consumption-day
295 amounts of negative values were estimated for 9 participants. Those were replaced
296 by half of the lowest standard consumption-day amount with positive value estimated
297 in that food group.

298

299 Especially, BMI is used to estimate resting energy expenditure (REE).
300 Correspondingly, we included BMI as predictor for energy intake. Furthermore, we
301 found in a previous work that the intake of some food groups benefit of using BMI as
302 predictor [25].

303

304 Usual food group intakes, total energy intake, and estimated energy expenditure
305 distributions are shown as percentiles (5th–95th), means, and standard deviations.
306 Usual food intake was not calculated for the food group “offal” since only 15
307 participants consumed foods of that particular group on a single consumption day.

308

309 ***Misreporting***

310 Energy intake (EI) was compared with estimated total energy expenditure (TEE).
311 Estimated energy expenditure was calculated as the product of resting energy
312 expenditure (REE) and physical activity level (PAL), which was assumed to be 1.6 for
313 all study participants because information on individual PAL was unavailable for this
314 study. The REE was estimated according the prediction equations given by Müller et
315 al. [26] (Table 7) taking weight, age, sex, and BMI into account.

316

317 For classifying misreporters the Goldberg method [27] was adopted and the ratio
318 (EI:TEE) of reported energy intake and estimated total energy expenditure and the
319 corresponding standard deviation (SD) was calculated. Study participants who fell
320 below the cut-off of $\text{mean}(\text{EI:TEE}) - 1.5 * \text{SD}$ were classified as under-reporters and
321 those who fell above the cut-off of $\text{mean}(\text{EI:TEE}) + 1.5 * \text{SD}$ as over-reporters. All
322 others were classified as acceptable reporters. Mean bias $(=\text{mean}(\text{EI}) -$
323 $\text{mean}(\text{TEE}))/\text{mean}(\text{TEE})$ was calculated. Spearman partial correlation ϕ between EI
324 and TEE adjusted for age, BMI and education was calculated.

325

326 All analyses were carried out using SAS, version 9.4, and SAS Enterprise Guide,
327 version 6.1 (SAS Institute, Cary, NC).

328

329 **Code availability**

330 Computer code is not available.

331

332 **Results**

333 In phase II of the GNC pretest studies, the dietary assessment included 996 out of
334 1010 participants who completed at least one 24h-FL. Participants who did not
335 additionally complete an FFQ also tended to not complete a second or third 24h-FL.
336 Of subjects who did not complete an FFQ, only 15.3% completed a second 24h-FL
337 and 6.0% completed a third 24h-FL. On the other hand, among participants who
338 completed an FFQ, 84.6% completed a second 24h-FL and 68.6% completed a third
339 24h-FL. However, completion status of an FFQ did not vary according to sex, age,
340 BMI, or school level (**Table 1**). In this context, we like to remind readers that a
341 common and frequently practiced system of reminding participants to fill in the
342 questionnaires did not exist in the pretest study. The mean time period between
343 completion of the first and second 24h-FL was 26.3 days (median=21 days, P5=15
344 days, P95=56 days). A similar time span was noted between the second and third
345 24h-FL (mean=25.8 days, median=20.5 days, P5=15 days, P95=51 days). Because
346 estimation of usual food intake in the current study was based on the combination of
347 24h-FL data and FFQ, all further analyses were restricted to the 695 (69.8%) study
348 participants who completed at least one 24h-FL and one FFQ. The mean age of
349 those participants was 52 years (minimum=20 years, maximum=71 years), 54.2%
350 were female, and the mean BMI was 26.3 kg/m² (**Table 1**).

351

352 The study population of this pretest study well reflected educated adult population in
353 Germany with respect to basic socioeconomic variables including BMI. **Table 2**
354 shows that participants with different numbers of repeated 24h-FLs did not differ
355 substantially regarding sex, age, BMI or school level.

356

357 **Table 3** shows the observed frequencies of intake per food group for men and
358 women. In the first four columns, the distribution of the number of days with
359 consumption is shown as percentage across the number of repeated 24h-FL. There
360 are substantial differences between food groups, ranging from foods with a high
361 percentage of being eaten at all three 24h-FL such as bread, and rarely eaten foods
362 with a high percentage of zero consumption on all days such as offal. A further
363 column shows the proportion of 24h-FL with consumption, taking all days into
364 account. Among solid foods, the most frequently consumed food groups were bread,
365 sugar and confectionary, processed meat, milk and dairy products, and fresh fruits,
366 and among beverages, coffee and non-alcoholic beverages. Spirits were only rarely
367 consumed. Overall, the observed proportions of consumption were similar in men
368 and women, with some exceptions. The largest differences in the proportions
369 between sexes were seen for processed meat (75.0% in men vs. 60.8% in women
370 with 24h-FL of consumption), meat (35.9% in men vs. 26.0% in women), fruiting
371 vegetables (42.8% in men vs. 51.8% in women), and beer (28.1% in men vs. 6.9% in
372 women). We were further interested in whether our observed proportions of 24h-FL
373 with consumption fit with proportions found in the NVS II. When comparing the
374 proportions of 24h-FL with consumption in the current study with the proportions of
375 the 24h-DR in the NVS II, the proportions in the current study appeared to be slightly
376 higher than in the NVS II. Differences of greater than 10% in absolute values were

377 found for certain food groups, including eggs, vegetable fats, fresh fruits, milk and
378 dairy products, nuts, other vegetables, and root vegetables.

379

380 The results of the modeling of the individual probabilities multiplied by the
381 consumption-day amounts for each food group are shown in **Table 4**. Overall, the
382 approach generated mean energy intakes that amounted to 2,707 kcal/d in men and
383 to 2,103 kcal/d in women. It seems as the food intake of the study population was
384 estimated well if compared to the estimated energy expenditure as a surrogate for
385 energy needs.

386

387 The ratio of EI:TEE was 0.96 (95% CI: 0.95; 0.97), 0.95 (0.94; 0.97) and 0.96 (0.95;
388 0.98) for all, men and women, respectively. The mean bias was -4.0% (-5.2%; -
389 2.8%), -4.6% (-6.1%; -3.1%) and -3.5% (95% CI: -5.3%; -1.8%) for all, men and
390 women, respectively. The Spearman partial correlation was 0.70 (95% CI: 0.66;
391 0.74), 0.05 (-0.06; 0.16) and 0.10 (0.001; 0.20) for all, men and women, respectively.

392

393 The Goldberg limits to classify misreporters were (0.75, 1.15) for men and (0.70,
394 1.22) for women as shown in **Figure 2**. 24 (7.6%) and 20 (6.3%) of men were
395 classified as under-reporters and over-reporters, respectively. 21 (5.6%) and 16
396 (4.2%) of women were classified as under-reporters and over-reporters, respectively.

397

398 Mean daily usual intakes of beverages were higher in men than in women, including
399 beer, wine, juice, and soft drinks. In contrast, the estimated consumption of tea and
400 non-alcoholic drinks per day were higher for women than men. Usual intakes of
401 coffee, spirits and other alcoholic drinks did not substantially differ between sexes.
402 Similar to beverage consumption, usual intakes of solid food items were generally

403 higher in men than women. The most profound differences were observed for bread,
404 red meat, processed meat, milk and dairy products, non-vegetarian dishes, pasta
405 and rice, potatoes, and soup. Furthermore, the estimated consumption of fats such
406 as butter was higher for men than for women but it was equal for vegetable oils and
407 other fats. Estimated consumption of cake and cookies and sugar and confectionary
408 were slightly higher in men than women. On the other hand, women tended to
409 consume slightly more fresh fruits, fruiting vegetables, root vegetables, and other
410 fruits than men. Differences in estimated usual food intakes between men and
411 women were mostly due to differences in estimated person-specific daily
412 consumption amounts (**Supplemental Table 1**).

413

414 The percentiles show a wide range of usual individual intakes across food groups,
415 suggesting that the method was able to differentiate between individuals regarding
416 their intakes.

417

418 **Discussion**

419 This article describes the concept and statistical background of a blended
420 assessment strategy to estimate usual food intake of individuals in population-based
421 studies that had been piloted for large scale application in the pretest study phase of
422 the GNC. The results of the pilot study indicate that the estimated dietary intake
423 reflects plausible food intake. Further, individual usual intakes across food groups
424 showed wide variation, suggesting that the assessment strategy was able to
425 differentiate between individuals regarding their food intakes. The novelty of the
426 assessment strategy is based on the statistical approach of separating the probability
427 of intake from daily consumption amounts. Since the participant had to provide easy
428 to obtain information only for estimating the individual probability, participant burden

429 was reduced compared to traditional methods aimed at similar precision in
430 quantifying dietary data.

431

432 The blended dietary assessment strategy was motivated by the need for rapid
433 completion time and low participant burden in the GNC and it built on the previous
434 development of a 24h-FL dietary assessment instrument for assessing an individuals'
435 consumption probability. The average time needed to complete the 24h-FL was 9
436 minutes, with high acceptability by participants [17]. Although the instrument is easy
437 to complete, the participation proportion dropped with subsequent applications and it
438 reached a participation proportion of 68.5% when the 24h-FL was applied a third
439 time. This drop was also caused by the termination of all reminder activities before
440 the end of the pilot study phase. Furthermore, around 30% of FFQs were not
441 completed. The non-completion of the questionnaires could not be explained by
442 socioeconomic variables. Recently, a reminder system was developed to maintain a
443 high participation proportion for both instruments, the 24h-FLs and the FFQ.

444

445 Recent statistical developments suggest that the combination of short-term and long-
446 term dietary assessment techniques to estimate usual food intake reduces biases
447 compared to stand-alone instruments [12, 13, 15]. Thus, further thoughts are needed
448 to define the minimum set of information needed to calculate usual intakes. Currently,
449 we calculated intakes if one 24h-FL and one FFQ were available. The statistical
450 procedure cannot deal with the situation, if only a FFQ is available since the
451 information of the FFQ is considered covariate information. In addition, the FFQ
452 information is not directly comparable with information from a 24h-FL and the use of
453 only one FFQ would generate different types of information with different bias within
454 a study. Previous methodologic studies were able to show that FFQ information

455 improves the estimation from 24h information, resulting in greater precision of the
456 estimated individuals' usual food intakes and of the parameter estimation in a diet-
457 health outcome model compared to 24h information only [12, 15]. FFQ information
458 can also help distinguish between usual consumers, never consumers, irregular
459 consumers, and ever consumers. The number of repetitions of the 24h-FL affects the
460 precision of the estimate of the consumption probability of an individual but not the
461 population mean.

462

463 One challenge of our approach is the need for an adequate reference population for
464 estimating person-specific consumption-day amounts. The reference population can
465 be derived from an external source or by conducting a sub-study within the main
466 study. In our study, information on person-specific consumption-day amounts was
467 obtained from 24h-DRs of the NVS II, a representative nutrition survey for Germany
468 [22]. The NVS II was conducted more than 10 years ago, but is the most
469 comprehensive source of nutritional data for the entire Germany. A third German
470 nutrition survey is currently being planned. These future data may be used to update
471 the derived individual usual food intakes to more present food intake in Germany.

472

473 The use of an appropriate dietary assessment instrument as reference and as a
474 guide for the development of study specific dietary assessment instruments
475 generates dietary intake estimates in a study that are close to intake values of the
476 source population. Less biased dietary data in terms of absolute estimates ease their
477 use for recommendations and dietary guidelines.

478

479 An unbiased estimate of the variance of dietary intake in a study population is only
480 attainable if both the individual probability of consumption and the individual day

481 amounts are estimated. The latter requires an estimate of the daily consumption for
482 each individual, which can be challenging and time consuming in view of the low
483 proportion of the variance that a portion size contributes to the overall variance of
484 food intake between subjects [21]. Thus, we chose a compromise in that expected
485 values of daily amounts obtained by a statistical model were used instead of
486 individual values. This decision also affected our ability to establish the exact
487 distribution of the daily amounts between individuals and generated slightly lower
488 variances due to the use of expected values instead of individual values. However,
489 the loss of variance may have been minimal since we use a mixed linear model that
490 considered covariates (sex, age, BMI). In future studies, the intake distributions will
491 be compared to those obtained in the NVS II and the loss of variance will be further
492 investigated.

493

494 Furthermore, the exact reproduction of the distributions of intake values within the
495 source population may also depend on whether the estimation of intake probability is
496 based on a 24h-FL or a full 24h-DR. However, the observed differences between
497 GNC and NVS II in frequencies of consumption might not originate solely from the
498 type of assessment instrument (24h-FL was repeated up to three times, the NVS II
499 are based on two 24h-DRs) but by differences in time trends of consumption,
500 characteristics of the study population, and local dietary practices.

501

502 The novel dietary assessment strategy showed low mean bias but weak Spearman
503 partial correlations for energy intake compared to total energy expenditure. The
504 mean bias is lower than for example in the pooled results from five validation studies
505 [7] where the mean relative bias was -13% for men and -18% for women. The
506 smaller mean bias in our study could be based on the fact that in the current study

507 higher consumption probabilities were estimated and thus the individuals' energy
508 intake was estimated to be higher. This could have led to lower mean bias compared
509 to the five validation studies [7]. On the other hand, the Spearman partial correlations
510 were smaller in comparison to five validation studies [7] where the correlation was
511 0.29 for men and 0.34 for women based on three 24h-DRs. This indicates that further
512 evaluation of the proposed dietary assessment strategy is needed. But the low
513 proportion of under- and over-reporter suggests that overall the estimated individuals'
514 energy intakes are in the acceptable range and therefore appears plausible.

515

516 Biomarker data was not available for the present study; hence, the predicted energy
517 expenditure was used as a rough proxy to evaluate the relative validity in terms of
518 plausibility. Further studies are required to evaluate the (relative) validity of the
519 proposed dietary assessment strategy using Biomarkers.

520

521 Even with a large sample size as being expected in the GNC, convergence problems
522 in modeling-based probability calculations can occur. This could arise when the
523 number of study participants reporting non-consumption is high on all 24h-FLs or the
524 number of subjects with at least one consumption day is low. For example, in the
525 current study, we observed that only 15 of 1,760 24h-FLs included offal consumption.
526 Thus, in the future even with the availability of the full GNC data we may only be able
527 to calculate the individual probabilities for foods that are eaten frequently or regularly.
528 Such foods usually form the basis of the diet in a study population. Foods that are
529 less regularly consumed will nevertheless provide valuable information on individual
530 diet because they increase the variation between subjects but they may be less
531 relevant for estimating overall consumption or overall nutrient intake in the
532 population.

533

534 *Conclusion*

535 We presented a novel concept of dietary assessment in the GNC and showed that
536 the application of repeated 24h-FLs, a FFQ, and data from a reference population
537 represents a promising dietary assessment strategy in large-scale studies. However,
538 there is a need for further investigation with regard to the (relative) validity of the
539 usual intake estimates.

540

541 **Contribution of the authors**

542 HBo, UN, and JL designed this project; SK, MC, and JC analyzed the data; SK, MC,
543 and HBo drafted the first version of the manuscript, SG, KBM, ML, LK, TP, GK, WA,
544 NE, KJ, AK, NO, RK, WL, SaS, and HBr were responsible for implementing the
545 procedures and the acquisition of data in each study center including accuracy and
546 integrity of the data; TH was responsible for the acquisition and preparation of the
547 NVS II data; UH had responsibility for programming the web-based dietary
548 questionnaires; All authors critically reviewed and revised the manuscript.

549

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559 Protection.

560

561 **Conflict of interest**

562 The authors declare that they have no conflict of interest.

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- 670

671 **Table 1:** Number of questionnaires and characteristics of participants with and without
672 completed FFQ in phase II of the GNC pretest studies (2012-2013)^a

673

674 **Table 2:** Number of completed 24h food lists of participants with completed FFQ (n=695) in
675 phase II of the GNC pretest studies (2012-2013)

676

677 **Table 3:** Observed relative consumption frequencies in phase II of the GNC pretest studies
678 (2012-2013) and in NVS II (2005-2007)

679

680 **Table 4:** Distribution of estimated individual usual food intakes (gram/day), total energy
681 intakes (kcal), and total energy expenditure (kcal) in phase II of the GNC pretest studies
682 (2012-2013)

683

684

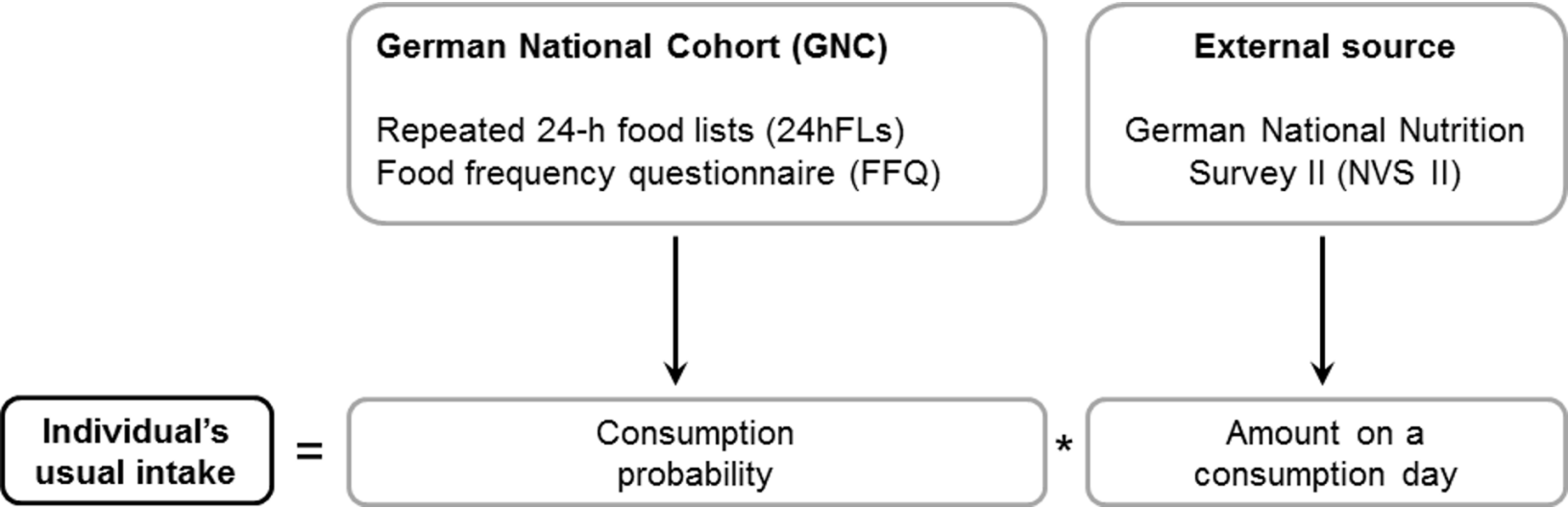
685

686 **Figure 1:** Dietary assessment strategy of the novel blended approach applied in the German
687 National Cohort (GNC).

688

689 **Figure 2:** Ratio of EI to TEE by participants stratified by sex and ranked by ratio within each
690 strata. Gray lines represents mean bias and Goldberg limits of mean $\pm 1.5 \cdot SD$.

691



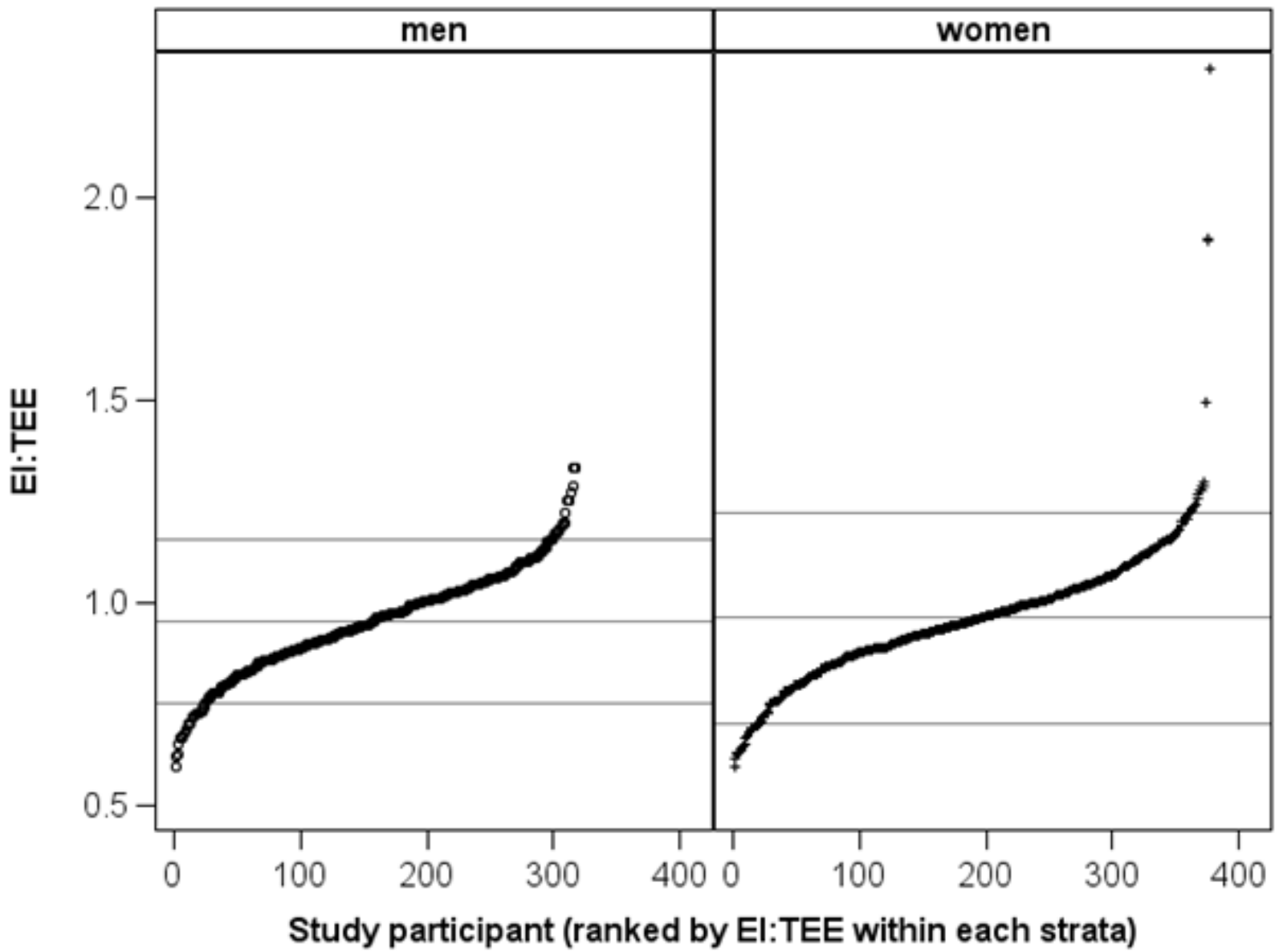


Table 1: Number of questionnaires and characteristics of participants with and without completed FFQ in phase II of the GNC pretest studies (2012-2013)^a

	with FFQ	no FFQ
Number of participants, n	695	301
Number of 24h-FL completed, n (%)	1760	365
repeat 1	695 (100.0)	301 (100.0)
repeat 2	589 (84.6)	46 (15.3)
repeat 3	476 (68.5)	18 (6.0)
Women, n (%)	377 (54.2)	161 (53.5)
Age (years), mean (SD)	51.5 (11.8)	50.8 (12.4)
Body mass index (kg/m²), mean (SD)	26.3 (4.6)	26.4 (4.3)
School level^b, n (%)		
Higher education entrance qualification	290 (47.7)	130 (44.2)
Secondary school qualification	310 (51.0)	160 (54.4)
None	8 (1.3)	4 (1.4)

^a A total of 1010 participants took part in the dietary assessment.

^b 94 values are missing.

Table 2: Number of completed 24h food lists of participants with completed FFQ (n=695) in phase II of the GNC pretest studies (2012-2013)

	Number of completed 24h-FLs		
	1	2	3
N	106	113	476
Sex, n (%)			213
Men	54 (50.9)	51 (45.1)	(44.7)
Women	52 (49.1)	62 (54.9)	(55.3)
	50.2	49.5	52.3
Age (years), mean (SD)	(12.3)	(11.7)	(11.6)
Body mass index (kg/m²), mean (SD)	25.9 (4.0)	26.7 (5.0)	26.2 (4.7)
School level^a, n (%)			185
Higher education and university entrance qualification	51 (49.5)	54 (50.0)	(46.6)
Secondary school qualification	51 (49.5)	54 (50.0)	(51.6)
None of the two above	1 (1.0)	0 (0)	7 (1.8)

^a 87 values are missing.

Table 3: Observed relative consumption frequencies in phase II of the GNC pretest studies (2012-2013) and in NVS II (2005-2007)

Food group	Men, n = 318						Women, n = 377					
	Percentage of 24h-FLs with consumption (%) ^a				Total proportion of	Total proportion of	Percentage of 24h-FLs with consumption (%) ^a				Total proportion of	Total proportion of
	Number of 24h-FL = 1 (maximum percentage)				24h-FL= 1	24h-DR>0	Number of 24h-FL = 1 (maximum percentage)				24h-FL= 1	24h-DR>0
	0	1	2	3	GNC	NVS II	0	1	2	3	GNC	NVS II
(100)	(100)	(83)	(67)			(100)	(100)	(86)	(70)			
Bread	2.8	18.2	19.5	59.4	94.2	94.3	2.7	15.1	21.2	61.0	94.0	93.9
Butter	33.0	24.5	17.0	25.5	54.0	46.8	28.4	25.2	21.8	24.7	55.8	45.1
Cabbage	57.6	31.5	8.8	2.2	22.3	12.6	54.1	35.3	8.5	2.1	22.9	12.9
Cake and cookies	32.7	28.0	21.7	17.6	49.7	39.9	21.8	35.0	27.6	15.7	53.6	44.9
Cheese	19.5	28.9	23.6	28.0	64.0	56.1	17.8	26.5	29.2	26.5	64.3	59.0
Eggs	50.9	32.7	12.9	3.5	27.6	13.7	48.5	37.4	10.1	4.0	27.2	14.3
Other fats	90.3	9.8	0.0	0.0	3.9	1.1	91.3	7.4	1.1	0.3	4.0	0.9
Vegetable fats	16.4	33.3	30.2	20.1	61.6	28.5	18.3	30.8	27.3	23.6	61.0	27.8
Fish	62.0	28.6	7.2	2.2	19.9	15.3	64.5	25.2	9.3	1.1	18.3	14.4
Fresh fruits	16.7	25.8	21.4	36.2	71.0	50.6	10.3	22.0	26.5	41.1	77.6	64.9
Other fruits	83.7	11.3	3.5	1.6	9.2	6.8	72.2	17.0	7.2	3.7	16.6	9.1
Legumes	89.0	9.8	1.3	0.0	4.9	5.6	86.5	10.3	3.2	0.0	6.5	6.0
Margarine	60.1	13.2	12.9	13.8	32.2	38.7	64.5	14.1	9.0	12.5	27.2	36.6
Meat	37.4	39.9	18.2	4.4	35.9	33.9	51.2	33.7	12.7	2.4	26.0	27.8
Processed meat	10.1	29.6	23.3	37.1	75.0	74.3	19.1	30.0	27.1	23.9	60.8	58.5
Milk and dairy products	15.7	26.4	28.6	29.3	68.6	46.2	9.3	25.7	28.1	36.9	75.2	55.2
Miscellaneous	67.0	19.5	7.6	6.0	21.0	19.6	67.1	16.2	10.6	6.1	21.8	21.0
Non-vegetarian dishes	70.8	23.3	5.0	0.9	14.5	11.3	78.3	17.5	4.0	0.3	10.3	9.3
Vegetarian dishes	88.1	10.4	0.9	0.6	5.7	1.9	84.4	11.4	2.9	1.3	8.3	2.6
Nuts	55.0	24.2	13.8	6.9	29.1	7.0	41.9	31.8	13.5	12.7	38.0	7.6
Offal	98.7	1.3	0.0	0.0	0.5	0.6	97.1	2.9	0.0	0.0	1.1	0.7
Other cereals	62.0	25.8	9.4	2.8	21.3	16.3	54.1	28.7	10.1	7.2	27.5	17.5
Pasta and rice	42.1	34.9	19.2	3.8	33.9	29.1	40.6	35.0	17.5	6.9	35.5	30.3
Potatoes	29.9	39.0	21.7	9.4	44.3	42.3	35.5	36.1	20.2	8.2	39.5	39.6
Poultry	70.8	24.8	4.4	0.0	13.5	11.4	71.1	22.8	5.8	0.3	13.8	11.0
Sauces	43.4	31.8	20.4	4.4	34.3	36.2	34.5	42.2	18.3	5.0	36.7	37.3
Soup	66.0	26.7	6.3	0.9	16.9	15.7	63.4	29.4	6.4	0.8	17.4	15.6
Sugar and confectionary	13.5	23.3	20.1	43.1	77.1	69.0	8.0	24.9	26.3	40.9	78.1	72.3
Fruiting vegetables	33.7	36.8	18.6	11.0	42.8	34.3	26.5	30.0	27.9	15.7	51.8	42.2
Leafy vegetables	58.2	29.9	7.9	4.1	23.1	27.0	51.5	33.2	11.7	3.7	26.4	31.2
Other vegetables	24.5	34.6	27.0	13.8	52.1	28.6	20.4	32.1	29.7	17.8	56.6	30.2
Root vegetables	57.9	28.3	10.7	3.1	23.7	7.4	50.9	30.8	12.2	6.1	28.7	9.7
Beer	56.3	24.8	11.3	7.6	28.1	33.1	88.1	7.7	2.9	1.3	6.9	7.1
Coffee	11.6	18.6	19.8	50.0	83.3	78.3	8.8	15.7	20.4	55.2	86.8	81.3
Juice	46.2	24.5	16.4	12.9	38.4	36.5	51.2	27.1	11.7	10.1	31.5	40.2
Other non-alcoholic drinks	8.5	20.4	23.3	47.8	84.2	82.2	3.7	15.9	21.8	58.6	91.9	92.4
Other alcoholic drinks	81.1	16.0	2.2	0.6	8.9	9.5	74.8	17.2	6.4	1.6	13.6	10.6
Soft drinks	54.4	27.0	10.1	8.5	29.1	22.5	54.4	27.3	12.7	5.6	27.2	13.6
Spirits	89.0	8.2	2.8	0.0	5.5	2.6	93.1	6.1	0.8	0.0	3.0	1.2
Tea	74.5	13.5	5.0	6.9	17.7	20.0	63.7	16.2	10.1	10.1	26.0	20.5
Wine	70.1	17.9	7.6	4.4	18.5	14.4	67.1	21.0	9.3	2.7	18.6	14.8

24h-FL 24h food list, GNC German National Cohort, NVS II German National Nutrition Survey II

^a The nine possible reporting patterns (see section statistical analysis) are summarized in the simplified categories of 0, 1, 2, or 3 times of reported food group intake.

Table 4: Distribution of estimated individual usual food intakes (gram/day), total energy intakes (kcal), and total energy expenditure (kcal) in phase II of the GNC pretest studies (2012-2013)

Food group ^a	Men, n = 318					Women, n = 377				
	Mean	SD	P5	P50	P95	Mean	SD	P5	P50	P95
Bread	161	17	124	166	170	117	12	93	120	124
Butter	15	10	2	17	28	10	6	1	11	18
Cabbage	23	9	12	22	40	22	10	10	20	40
Cake and cookies	69	31	26	69	125	62	23	26	62	101
Cheese	28	9	11	30	40	25	8	10	26	35
Eggs	24	12	10	21	49	19	9	9	18	36
Other fats	0.5	0.5	0.0	0.3	1.5	0.4	0.4	0.0	0.3	1.1
Vegetable fats	7	2	4	8	10	8	2	4	8	11
Fish	25	16	9	21	60	19	11	7	15	42
Fresh fruits	205	74	57	232	288	221	65	81	248	286
Other fruits	11	21	1	4	56	19	27	1	7	91
Legumes	3	4	0	2	11	4	4	0	2	13
Margarine	8	10	0	1	26	4	5	0	1	15
Meat	58	19	30	54	92	28	12	14	25	50
Processed meat	79	20	40	83	105	39	15	15	41	62
Milk and dairy products	191	66	68	205	280	173	46	86	183	240
Miscellaneous	4	6	0	1	17	6	8	0	2	25
Non-vegetarian dishes	34	27	10	24	99	16	12	5	12	41
Vegetarian dishes	13	23	2	6	57	15	25	2	6	69
Nuts	12	10	3	8	35	13	9	3	10	31
Other cereals	13	13	3	7	43	13	11	2	8	39
Pasta and rice	59	27	23	57	109	50	23	19	46	91
Potatoes	74	26	39	71	121	56	21	27	54	93
Poultry	21	11	10	19	44	16	10	7	14	34
Sauces	20	7	9	19	34	19	7	9	18	32
Soup	67	37	29	57	136	58	29	27	52	110
Sugar and confectionary	53	16	20	57	74	49	13	21	51	66
Fruiting vegetables	50	23	19	46	90	57	21	24	59	89
Leafy vegetables	21	13	4	18	47	22	12	8	19	45
Other vegetables	38	13	13	38	57	39	12	18	40	57
Root vegetables	13	9	5	10	32	18	13	6	14	48
Beer	230	244	29	120	756	24	54	5	9	111
Coffee	558	185	70	637	676	528	147	101	584	615
Juice	204	156	36	154	492	140	125	29	96	387
Other non-alcoholic drinks	1069	276	363	1139	1385	1286	206	898	1315	1517
Other alcoholic drinks	30	43	6	14	108	32	39	7	15	113
Soft drinks	173	175	28	95	571	123	114	26	80	362
Spirits	3	7	0	1	11	1	2	0	1	3
Tea	106	182	2	17	590	147	196	7	31	586
Wine	57	75	10	21	269	42	52	7	19	176
Total energy intake	2707	322	2222	2696	3225	2103	247	1706	2092	2525
Total energy expenditure ^b	2856	249	2521	2814	3297	2210	241	1958	2183	2665

^a Usual food intake was not calculated for the food group offal.

^b Total energy expenditure = REE * PAL; estimation of resting energy expenditure (REE) according to Müller et al. (2004) and physical activity level (PAL) assumed to be equal to 1.6 for all study participants. Individual information about PAL was not available for our study.