# 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68

2 3

# Title: Glucocorticoid Exposure During Hippocampal **Neurogenesis Primes Future Stress Response by** Inducing Changes in DNA Methylation.

Nadine Provençal<sup>\*1,2</sup>, Janine Arloth<sup>\*1,3</sup>, Annamaria Cattaneo<sup>4,5</sup>, Christoph Anacker<sup>6</sup>, Nadia Cattane<sup>4</sup>, Tobias Wiechmann<sup>1</sup>, Simone Röh<sup>1</sup>, Maik Ködel<sup>1</sup>, Torsten Klengel<sup>7,8</sup>, Darina Czamara<sup>1</sup>, Nikola S. Müller<sup>3</sup>, Jari Lahti<sup>9</sup>, PREDO team, Katri Räikkönen<sup>9</sup>, Carmine M. Pariante<sup>5</sup> and Elisabeth B. Binder<sup>1,10</sup>.

<sup>1</sup>Department of Translational Research in Psychiatry, Max Planck Institute of Psychiatry, Munich, Germany,<sup>2</sup>Faculty of Health Sciences, Simon Fraser University, Burnaby, BC, Canada and BC Children's Hospital Research Institute, Vancouver, BC, Canada; <sup>3</sup>Institute of Computational Biology, Helmholtz Zentrum München, Neuherberg, Germany <sup>4</sup>Biological Psychiatric Unit, IRCCS Fatebenefratelli Brescia, Italy; <sup>5</sup>Department of Psychological Medicine, Institute of Psychiatry, Psychology and Neuroscience, Kings's College London, London, UK; <sup>6</sup>Department of Psychiatry, Division of Systems Neuroscience, Columbia University and Research Foundation for Mental Hygiene, New York State Psychiatric Institute, New York, NY, USA; <sup>7</sup>Department of Psychiatry, McLean Hospital, Harvard Medical School, Belmont, MA, USA; <sup>8</sup>Department of Psychiatry and Psychotherapy, University Medical Center Göttingen, Göttingen, Germany; <sup>9</sup>Department of Psychology and Logopedics, University of Helsinki, Helsinki, Finland; <sup>10</sup>Department of Psychiatry and Behavioral Sciences, Emory University Medical School, Atlanta, GA, USA

Submitted to Proceedings of the National Academy of Sciences of the United States of America

Prenatal stress exposure is associated with risk for psychiatric disorders later in life. This may be mediated in partvia enhanced exposure to glucocorticoids (GCs), known to impact neurogenesis. We aimed to identify molecular mediators of these effects, focusing on long-lasting epigenetic changes. In a human hippocampal progenitor cell (HPC) line, we assessed the short- and long-term effects of GC exposure during neurogenesis on mRNA expression and DNA methylation (DNAm) profiles. GC exposure induced changes in DNAm at 27,812 CpGs and in the expression of 3,857 transcripts (FDR≤0.1 and FC expression ≥|1.15|). HPC expression and GC-affected DNAm profiles were enriched for changes observed during human fetal brain development. Differentially methylated sites (DMSs) with GC exposure clustered into four trajectories over HPC-differentiation, with transient as well as long-lasting DNAm changes. Lasting DMSs mapped to distinct functional pathways and were selectively enriched for poised and bivalent enhancer marks. Lasting DMSs had little correlation with lasting expression changes, but were associated with a significantly enhanced transcriptional response to a second acute GC-challenge. A significant subset of lasting DMSs was also responsive to an acute GC-challenge in peripheral blood. These tissue-overlapping DMSs were used to compute a poly-epigenetic score that predicted exposure to conditions associated with altered prenatal GCs in newborn's cord blood DNA. Overall, our data suggest that early exposure to GCs can change the set-point of future transcriptional responses to stress by inducing lasting DNAm changes. Such altered set-points may relate to differential vulnerability to stress exposure later in life.

DNA methylation | gene expression | glucocorticoids | hippocampal neurogenesis | prenatal stress

## Introduction

Early life is one of the most important and sensitive periods during the development of an individual (1). Exposure to stress during this critical period, as early as prenatally, has been associated with a wide range of health problems later in life such as increased reactivity to stress, cognitive deficits, psychiatric and behavioral problems (1). In addition to alterations in fetal growth and neurobehavioral development (2), several studies have linked exposure to prenatal stress to structural and connectivity changes in the offspring brain (3, 4). One of the possible mechanisms mediating the negative effects of prenatal stress could be increased fetal exposure to glucocorticoids (GCs) (5-7). Over the course of normal gestation, there is a physiological rise of 2- to 4-fold in maternal GCs that is important for proper fetal growth and maturation. GC exposure of the fetus is tightly controlled by a number of mechanisms, including the metabolism of GCs in the placenta by the 11<sup>β</sup>-hydroxysteroid dehydrogenase 2 (11<sup>β</sup>-HSD2) (8). Maternal prenatal stress, depression and anxiety have been associated with biological changes that could increase fetal exposure to GCs above the required physiological levels. While a number of studies have reported increased plasma cortisol in women experiencing stress, depression or anxiety during pregnancy, this effect is far from consistent (9). Maternal stress has been proposed to be associated with increased GC exposure of the fetus via reduced placental metabolism of cortisol to inactive metabolites by  $11\beta$ -HSD2 (8). In addition, prenatal stress has also been linked to changes in the offspring's hypothalamicpituitary-adrenal (HPA) axis with increased and prolonged HPAaxis reactivity consistently observed in animal studies with similar effects, although less pronounced, described in humans (6, 9).

While likely not the sole mechanism explaining the adverse outcomes following exposure to prenatal stress, excessive exposure to GCs above the physiological level may contribute to the

#### Significance

Prenatal stress exposure is associated with a wide range of health problems later in life. This may be mediated in part via glucocorticoid (GC) exposure during fetal development known to impact neurogenesis and induce epigenetic changes. Using a human fetal hippocampal progenitor cell line to assess the effects of GCs, we observe that exposure to GCs early during neurogenesis results in lasting changes in methylation (DNAm). Lasting DNAm alterations are associated with a significantly enhanced transcriptional response to a subsequent GC exposure. Our data suggest that early exposure to GCs changes the set point of future transcriptional responses to stress by inducing lasting DNAm changes. Such altered set points may relate to differential vulnerability to stress expo-sure later in life.

**Reserved for Publication Footnotes** 

136

69 70

71 72 73



Fig. 1. DEX-induced changes in gene expression and DNA methylation across treatments. (A) Schema illustrating the different treatments with Vehicle or DEX (1  $\mu$ M) applied to HPCs across neurogenesis. (B) Number of differentially expressed probes (DEP) and (C) differentially methylated sites (DMS) induced by DEX across treatments. The bar on the left represent the number of significant probes from the array and on the right the number of genes mapped to these probes for each treatment.



Fig. 2. Top DEX-induced differentially methylated sites cluster into four distinct trajectories during neurogenesis. (A) DNAm of the vehicle (dashed line) and DEX treatments across our experimental conditions for DMSs belonging to each trajectory identified by GAPS algorithm. The average DNAm and SEM overall sites within each trajectory appear in bold. (B) Top significantly enriched clusters of GO biological process terms for genes mapped to DMSs within each trajectory. (C) Boxplot of the methylation levels of a representative CpG site for each trajectory and its associated gene expression levels across treatment.

observed neurodevelopmental consequences. Although GCs are essential for fetal brain maturation, the developing brain has been shown to be especially vulnerable to excessive GCs, with lasting effects on cognition and cortical thickness reported (9). Effects of GC on neuronal progenitor cells have been identified as potential mediators of these effects (5, 10). Hippocampal neurogenesis, in particular, is of importance as this brain region plays an essential role in regulating the negative feedback loop of the HPA-axis. In mice, a single dose of dexamethasone (DEX), a synthetic GC, at embryonic day 15.5 decreased hippocampal volume and cell proliferation in the subgranular zone of the dentate gyrus in pups and impaired long-term depression and hippocampal neurogenesis in adult mice (11). In macaques, prenatal DEX



Functionality of the long-lasting differentially methylated sites Fig. 3. induced by glucocorticoid receptor activation. (A) Heatmap of enrichment results for GR-Chip-seq binding sites and predicted ChromHMM states for each treatment (colors display fold enrichment and stars indicate significant permutation p-values <0.05). (B) Violin plot showing the fold change (DEX - vehicles) in gene expression for each treatment condition of the 3,852 closest transcripts that map to CpGs showing long-lasting DNAm changes (Pro-diff+WO, n=6,895 CpGs). Below a schema illustrating the previous Prodiff+WO treatment and the two acute challenge treatments applied. Significant transcripts for each treatment condition are marked in red. (C)Overlap of DEX-responsive DMSs in HPCs (Pro-diff+WO) and human peripheral blood cells of the MPIP cohort. Associations between maternal (D) depression ( $\beta$ =-0.0015, SE=0.00066, p=0.022) and (E) anxiety ( $\beta$ =-0.0011, SE=0.00054, p=0.044) during pregnancy and the poly-epigenetic score computed for 817 newborns' cord blood DNA samples.

exposure as well as prenatal stress reduced hippocampal volume and neurogenesis (12, 13). This is supported by *in vitro* data, where reduced neuronal proliferation and differentiation was observed in human multipotent hippocampal progenitor cells (HPCs) after DEX treatment as well as high doses of cortisol (14).

The molecular mechanisms of how prenatal GC exposure might induce these long-lasting changes on neurogenesis and brain structure are largely unknown. There is accumulating evidence, however, that epigenetic mechanisms are likely to play a major role in mediating these effects (15). At the molecular level, GCs bind to glucocorticoid (GR) and mineralocorticoid receptors (MR), which function as transcription factors (TFs) and regulate gene expression in multiple tissues (16). In addition to altering gene transcription, GR activation can induce changes in DNA methylation (DNAm) (17, 18). Local de-methylation at GC responsive elements (GREs) has been reported following GR stimulation, possibly mediated by activating base excision repair mechanisms (19). This reduction in DNAm likely changes accessibility of the DNA to transcriptional regulators and impacts future transcriptional responses (20).

Exposure to prenatal stress or GCs has been associated with persisting changes in DNAm in neuronal tissues and cells. In animal models of prenatal stress, lasting changes in DNAm in the hypothalamus or hippocampus have been reported in specific candidate genes (21, 22). Another set of studies have reported the impact of chronic administration of GCs on DNAm in adult mouse hippocampus as well as in a rodent primary neuronal cell line, both in candidate genes (17, 23) and at a genome-wide level (18, 24). Here, we extend these previous studies and systematically investigate the impact of GCs on genome-wide DNAm and gene expression in human HPCs undergoing neuronal differentiation (14). We examine how GC exposure at different stages, including proliferation, differentiation and post-

**Footline Author** 

differentiation, affects DNAm, and whether these changes are persistent. A special focus is placed on developmental DNAm and gene expression trajectories and how these mechanisms are altered by GC exposure during different developmental periods as well as the interconnection of DNAm and gene expression changes across time. Finally, we map the observed epigenetic changes in HPCs to measures in developing human tissues and assess their potential as biomarkers for prenatal GC exposure.

## Results

273

274

275

276

277

278

279

280

281

282

283

284

285

286

287

288

289

290

291

292

293

294

295

296

297

298

299

300

301

302

303

304

305

306

307

308

309

310

311

312

313

314

315

316

317

318

319

320

321

322

323

324

325

326

327

328

329

330

331

332

333

334

335

336

337

338

339

340

## Effects of DEX treatment during neurogenesis.

To assess the immediate and long-lasting effects of GR activation on gene expression and DNAm during neurogenesis, DEX treatment was applied at four different experimental time points in HPCs (Fig. 1A and SI Appendix, SI Methods) followed by mRNA and DNA hybridization onto Illumina arrays. Cells were first treated with DEX (1 µM) or vehicle (ETOH) only during the proliferation phase (Pro, 3 days) or during both the proliferation and neuronal differentiation phases (Pro-diff, 10 days). To assess long-lasting effects of DEX, cells treated during proliferation and differentiation stages were cultured for an additional 20 days without DEX (Pro-diff + washout (WO)). To compare DEX effects pre- and post- differentiation, cells were also treated with DEX or vehicle post-differentiation for 10 days followed by 20 days of WO (Post+WO). Clustering the HPCs gene expression profiles from the vehicle treatments with hippocampal gene expression data from embryonic to adult post-mortem brains of the Human Brain Transcriptome atlas (25) we observed that these cells most resemble second trimester pregnancy hippocampal gene expression (SI Appendix, SI Results and Fig. S1).

Using immunohistochemistry, we previously reported that DEX treatment (1 µM) in HPCs decreases proliferation and differentiation of progenitor cells (14). Here, we predicted the proportion of neuronal, glial and doublecortin-positive (DCX) cells across treatments using the CellCODE algorithm (26). As previously described (14), DEX significantly decreased neuronal and DCX-positive cell proportions during the proliferation and/or differentiation phases as compared to the vehicle condition. However, the decrease in neuron, glial and DCX+ cell proportions did not persist after washout, indicating that these immediate effects are reversed within 20 days of further culture (SI Appendix, SI Results, Fig. S2A and S2B).

DEX-induced changes in gene expression and DNAm during neurogenesis

We identified significant gene expression changes in 3,512 unique transcripts (FDR≤0.1 and absolute FC≥1.15; SI Appendix, Table S1) following DEX treatment across the four different time points. The majority of the changes were observed during proliferation (Pro, n=2389 transcripts or 68%) and differentiation (Pro-diff, n=1409 or 40%) (Fig. 1B). Only a small number of differentially expressed probes (DEPs) showed long-lasting DEX effects following washout, both in pre- and post-differentiation treatments (Pro-diff+WO, n=348 or 6% and Post+WO, n=212 or 0.2%, respectively) indicating that for the majority of the transcripts, changes were not maintained after the removal of DEX. Even though a much smaller number of DEPs was identified following washout (Pro-diff+WO), significant overlaps were observed with DEPs from the earlier time points (Pro vs. Prodiff+WO n=80 and fisher exact p-value= $7.79 \times 10^{-5}$ ; Pro-diff vs. Pro-diff+WO, n=70 and fisher exact p-value= $6.17 \times 10^{-11}$ ), but not with the post-differentiation time point (SI Appendix, Fig. S3A). The same pattern was observed for analyses on the probeas well as at the gene level (Fig. 1B and SI Appendix, Fig. S3B).

Significant DEX-induced DNAm changes were identified in 27,812 unique CpGs (FDR≤0.1; SI Appendix, Table S2) across all time points. As for gene expression, the majority of differentially methylated sites (DMSs) were identified in cells treated in the proliferation stage (Pro, 65.5% of total DMSs) and min-341 342 imal effects of DEX were seen when cells were treated postdifferentiation (Post+WO, 1.1% of total DMSs, Fig. 1C). In contrast to the effects on gene expression, a significantly larger proportion of CpG sites (24.4% of the total DMSs) showed longlasting DNAm changes after washout (p-value<2.2x10<sup>-16</sup> based on test for equality of proportions). This was not the case when the cells were treated after differentiation, here a significantly lower proportion of DMSs (1.1%) was observed (Post+WO, proportion test p-value<2.2x10<sup>-16</sup>). DMSs identified following washout (Pro-diff+WO) shared a significant overlap with DMSs identified at the earlier time points, especially when mapped to genes (at the gene level: Pro-diff vs. Pro-diff+WO n=874 and fisher exact p-value= $7.26 \times 10^{-99}$ , and Pro vs. Pro-diff+WO n=3,194 and fisher exact p-value<2.2x10<sup>-16</sup>; SI Appendix, Fig. S3C and S3D).

DEX-induced DMSs have distinct trajectories during neurogenesis.

To follow up on our observation that changes in DNAm seem to be coordinated to some degree across developmental stages, we sought to determine whether these changes cluster in different DNAm trajectories across neurogenesis. We applied the Gene Activity in Patterns Sets (GAPS) algorithm (27) to identify the main trajectories by clustering the DNAm profiles of the top DMSs (FDR $\leq 0.1$  and absolute DNAm change  $\geq 5\%$ , n=792; SI Appendix, Table S3). We identified four trajectories across our experimental conditions where 566 CpG sites were found to be uniquely associated with a specific trajectory (Fig. 2A, left panel). Across differentiation, DNAm levels at these DEXresponsive sites either decrease (green trajectory, n=71 CpGs), increase (red trajectory, n=127 CpGs) or remain relatively stable (blue and beige trajectories, n=330 and 38 CpGs, respectively). The effects of DEX on DNAm, while significant for each single CpG for at least one time point, often showed differences in the direction (more or less methylated). In the blue and the beige trajectories, but not the two other trajectories, significant DEXinduced differences in average DNAm levels were observed (SI Appendix, Fig. S4). For 24% of the sites across all 4 trajectories, DEX-induced significant methylation changes that occur early in neurogenesis are maintained following the washout of DEX. At this time point (Pro-diff+WO), CpGs in the beige trajectory show the largest effects. We next mapped these 566 sites to their closest genes and performed enrichment analysis in Gene Ontology (GO) categories. Overall, genes mapped to these DNAm trajectories are involved in cellular and organ development, transcription, neurogenesis and neuronal differentiation (Fig. 2B). For the majority of the genes, we observe the expected inverse correlation between DNAm and mRNA expression profiles during differentiation. For 142 of the transcripts mapped to the individual DNAm trajectories, DEX induced significant changes in mRNA expression during the proliferation and/or differentiation stages (see Fig. 2C for examples) but this was only observed for 18 transcripts following washout (Pro-diff+WO). The lack of concomitant mRNA expression and DNAm changes following the washout of DEX is also evident for all DMSs showing lasting DNAm changes (6,895 CpGs), where only 2.6% of the associated transcripts (4,368 transcripts) show long-lasting expression changes. The top DMS showing the largest long-lasting demethylation change (-20.1%, cg14284211) from the beige trajectory is located in the FK506 binding protein 5 (FKBP5) locus. Fine mapping of additional CpGs in this locus using targeted bisulfite sequencing show similar long-lasting demethylation across multiple GREs of this locus (SI Appendix, SI Results, Table S4 and Fig. S5). 405

To better understand what may drive these changes in DNAm, 406 we tested if gene expression of enzymes involved in DNAm 407 processes are affected by DEX at the different time points. qRT-408 409 410 PCR results show that TET1 and UHRF1, but not other enzymes,

are significantly upregulated by DEX in the Pro-diff treatment

stage after correcting for relative changes in neuron, glial and

changes observed, we performed multi-level ontology analysis

(MONA) combining the results from DNAm and mRNA ex-

pression to identify common Gene Ontology biological processes

between the two datasets. This analysis revealed an enrichment

in pathways involved in neurogenesis as well as in the regulation

of transcription across our time points (SI Appendix, SI Results

and Fig. S7A). Interestingly, a set of pathways was exclusively

associated with DNAm changes occurring in the Pro-diff+WO

condition with associated gene expression changes at this time

point as well as in the earlier time points. These were axon devel-

opment, actin filament organization, negative regulation of cell

proliferation, small GTPase mediated signal transduction, and

neuropeptide signaling pathways. This indicates that biological

functions associated with lasting DNAm changes show earlier

differential mRNA expression after DEX during proliferation

the genomic locations of our DEX responsive DMSs. Using

GR ChIP-Seq peaks from ENCODE lymphoblastoid cell lines

exposed to DEX, we observed significant enrichment within

GREs for DMSs in Pro-diff and Pro-diff+WO (p-values<0.001,

OR=1.59 and 1.25, respectively) while Pro and Post+WO treat-

ments were not enriched for GR binding sites (Fig. 3A). Using the

15-states ChromHMM annotation of the Roadmap Epigenomics

project for hippocampal tissue (28), we observed that DMSs of

Pro, Pro-diff and Pro-diff+WO treatments are enriched within

enhancers and flanking active transcription start site (Fig. 3A).

Interestingly, an overrepresentation of multiple bivalent and/or

poised states characterized by the presence of both activating and

repressive histone marks was exclusively observed for the long-

neurogenesis are associated with enhanced responsivity of target

sure only leads to minimal lasting gene expression changes, but

to substantial changes in DNAm within regulatory regions. Such

changes in DNAm may poise the target transcripts to a more

exaggerated transcriptional response to a subsequent activation

of the GR. To test this hypothesis, we used a combination of treat-

ments with the early 10 days exposure to 1 µM DEX, followed

by the 20 days of washout and a single acute challenge of DEX

at a lower concentration (100 nM) for 4 hours and compared it

to a single acute challenge of DEX in cells treated with vehicle

during the early 10 days exposure (see schema Fig. 3B and SI Ap-

pendix, SI Results). We focused this analysis on all transcripts that

mapped to a CpG showing long-lasting DNAm changes (n=3,852)

transcripts nearby 6,895 Pro-diff + WO CpGs). We identified 702

transcripts (18.2%) with significant changes in gene expression

after the additional acute challenge of DEX in comparison to

cells treated with vehicle (Pro-diff+WO+acute, FDR≤0.1 and

 $FC \ge |1.15|$ ; SI Appendix, Table S5). This fraction was substan-

tially higher than the one previously observed in Pro-diff+WO

without the acute stimulation (n=86 transcripts or 2.4%), or in

cells exposed to the same acute challenge but treated with vehicle

during proliferation and differentiation (n=254 transcripts or)

7.1%; SI Appendix, Table S6). In addition, these transcripts ex-

hibited an overall larger magnitude of change in gene expression

following the second acute challenge (mean absolute FC = |1.29|

 $\pm$  0.19, range from -3.25 to 2.86) as compared to minimal non-

significant changes observed in the Pro-diff+WO treatment with-

DNAm changes induced by prolonged GC exposure during

The above presented analyses showed that early DEX expo-

lasting DMSs (Fig. 3A and SI Appendix, Fig. S7B).

transcripts to a subsequent acute GC challenge.

We next aimed to characterize the regulatory function of

To annotate the biological functions of the DEX-induced

DCX+ cell proportions (SI Appendix, Fig. S6).

Functional annotation of DEX-induced DMSs.

#### 411 412

413

414 415 416

417 418 419

420 421 422

423 424 425

426 427

428 429

430

431

and differentiation.

432

433 434

435 436

437 438 439

440 441 442

443 444

445

446

447 448

449 450 451

452 453 454

455

456 457 458

459 460

461 462 463

464

465

466

467

468

469

470

471

472

473

474

475

476

4 | www.pnas.org --- ---

out acute challenge (mean FC= $|1.06| \pm 0.07$ , range from -1.42 to 477 1.17) or the acute challenge alone (mean FC= $|1.22| \pm 0.1$ , range 478 from -1.93 to 1.74), Fig. 3B). Together these results indicate that 479 at least a subset of the long-lasting DMSs prime neighboring loci 480 to be more responsive to subsequent GR activation. 481

482 Interestingly, the lasting DMSs associated genes with an in-483 creased response to subsequent DEX exposure (702 transcripts 484 mapping to 1,282 CpGs) showed stronger enrichment among pre-485 viously reported DMSs regulated during fetal development (29) 486 as compared to all the long-lasting DMSs (Pro-diff+WO+acute permutation p-value=0.004 OR=1.3 compared to Pro-diff+WO 487 488 permutation p-value<0.001, OR=1.23; SI Appendix, SI Results). 489

Cross-tissues relevance of DEX induced differential DNAm and potential as biomarker.

490

491

492

493

494

495

496

497

498

499

500

501

502

503

504

505

506

507

508

509

510

511

512

513

514

515

516

517

518

519

520

521

522

523

524

525

526

527

528

529

530

531

532

533

534

535

Although GR-responsive changes in DNAm are likely to be largely tissue-specific (20), overlapping DNAm changes have been reported in specific loci and may serve as biomarkers of exposure in peripheral tissues as observed in mice (23). To test this, we performed an enrichment analysis between the lasting DMSs in HPCs and DEX associated DNAm changes in human blood cells from the MPIP cohort (n=113). In this dataset, we identified 26,264 CpGs with significant changes in DNAm (FDR≤0.01 and absolute change in DNAm  $\geq 2\%$ ) after correcting for confounders including cell type proportions. We observed a significant overlap of 496 sites between these DEX-responsive CpGs (permutation p-value<0.001 and OR=1.1976; Fig. 3C and SI Appendix, SI Results, Fig. S8 and Table S7).

We next wanted to test whether the lasting DNAm changes in HPCs with common DEX-induced changes in peripheral blood could serve as biomarker for prenatal GC exposure in newborns. For this purpose, we used data from 817 newborns and their mothers within the Preeclampsia and Intrauterine Growth Restriction (PREDO) longitudinal cohort (30). We focused our analyses on pregnancy conditions related to higher prenatal GC levels: prenatal treatment with betamethasone, a synthetic GC, as well as the cumulative severity of maternal depression and anxiety symptoms throughout pregnancy. Using the overlapping 496 GC-responsive CpGs in blood and HPCs, we computed a weighted poly-epigenetic score using an elastic-net regression which selected 24 CpG sites within 24 distinct loci. The weights were determined from the DEX-associated changes in peripheral blood (MPIP cohort) with the majority displaying reduced methylation after DEX (SI Appendix, SI Results, Fig. S8 and Table S8). Lower weights were associated with higher de-methylation in blood following DEX exposure ( $\beta$ =0.077, p=0.04; SI Appendix, Fig. S9). Applying this combined GC-responsive polyepigenetic score to DNAm measured in cord blood, we observed a significant association of this score with maternal anxiety ( $\beta$ =-0.0011, SE=0.00054, p=0.044, Fig. 3D) and maternal depression  $(\beta = -0.0015, SE = 0.00066, p = 0.022, Fig. 3E)$ , with a lower polyepigenetic score observed in newborns exposed to higher depressive or anxiety symptoms. No significant association was seen with betamethasone treatment ( $\beta$ =-0.0039, SE=0.019, p=0.84), but here, only a small number of newborns (n=35) were exposed to pre-delivery betamethasone treatment. However, as expected, the direction of the associations of betamethasone exposure, maternal depression and anxiety with the score was the same.

# Discussion

536 Using a human fetal HPC line, we observed that exposure to 537 GCs during proliferation and differentiation, but not once the 538 cells are differentiated, results in lasting changes in DNAm (Fig. 539 1C). These lasting DNAm changes are not correlated with strong 540 baseline changes in gene transcription, but with an enhanced 541 responsivity of the target transcripts to a second GC challenge 542 (Fig. 3B). This suggests that early exposure to GCs may have 543 a lasting impact on nervous system development not only by 544 altering proliferation and neuronal differentiation rates as previously reported (5), but also by priming relevant transcripts to an altered transcriptional response upon subsequent GR activation. The induction of such poised or metaplastic states could then contribute to the increased risk for behavioral problems and psychiatric disorders observed with prenatal GC exposure (9). In fact, the level of DNAm of these lasting DMSs is regulated during human fetal brain development especially for those linked to altered gene expression to a subsequent GC exposure. Moreover, when we used a subset of the DMSs showing lasting effects in HPCs and acute effects in blood to compute a GC-responsive poly-epigenetic score in newborns' cord blood DNA, this score showed significant associations with maternal depression and anxiety (Fig. 3D and 3E). This could suggest that the findings of our in vitro model may translate to human pregnancy and that DMSs with cross-tissue effects could serve as biomarkers for conditions associated with prenatal GC exposure.

545

546

547

548

549

550

551

552

553

554

555

556

557

558

559

560

561

562

563

564

565

566

567

568

569

570

571

572

573

574

575

576

577

578

579

580

581

582

583

584

#### Unique functional role of lasting DNAm changes.

The lasting DMSs identified were distinct from the other DMSs with only a limited overlap on the CpG level with DMSs following treatment during proliferation and differentiation (n=180 overlapping CpGs or 2.6%; SI Appendix, Fig. S3C). Indeed, unique GO terms relevant for the function of differentiated neurons were identified for genes mapped to these DMSs (SI Appendix, Fig. S7A). This suggests that within the lasting DMSs, there could be at least two major categories, one related to differences in neurodevelopment and the other to functional differences in mature cells. Prenatal GCs could thus not only impact on neuronal proliferation and differentiation as such, but also change the sensitivity of more mature cells or tissues to stress exposure later in life. Indeed, an altered sensitivity to postnatal stressors following prenatal stress exposure termed metaplasticity has been proposed as model for how prenatal environments may impact long-term risk trajectories (9, 31). This model suggests that different adaptive responses to stress in individuals could be poised by prenatal stress (here we suggest via epigenetic mechanisms) but triggered by various postnatal environments giving rise to the observed variety of short- and long-term phenotypic outcomes (see SI Appendix, SI Discussion for a detailed description of this adaptive model).

585 In line with this model, the lasting DMSs were also enriched 586 for a specific subset of chromatin marks (see Fig. 3A) including 587 bivalent/poised TSS, flanking bivalent and bivalent enhancers. 588 Bivalent/poised chromatin states are characterized by the pres-589 ence of both activating and repressive chromatin marks and are 590 associated with paused RNA polymerase II (RNA PolII) that 591 592 can be quickly released into productive transcription, a common feature of stress-responsive genes in yeast but also observed in 593 humans (32). Previous work investigating chromatin accessibility 594 induced by GR activation identified a subset for which heightened 595 sensitivity was retained as a "memory" of the hormone induction 596 following withdrawal (33). In line with these observations, our re-597 sults of enhanced gene expression changes following a subsequent 598 GCs exposure for a subset of these long-lasting DMSs would 599 suggest that these sites allow the cell to adjust its transcriptional 600 response dependent on previous exposure. Although bivalency 601 has been observed in differentiated tissues, it is important to 602 note that the Roadmap data used for the enrichment analysis 603 originate from bulk hippocampal tissue and that our DNAm 604 profiles in HPCs are also from a cell mixture. Therefore, we 605 cannot differentiate whether these sites are indeed localized at 606 bivalent/poised state of the same nucleosome or in different 607 cells harboring one or the other chromatin marks. Nevertheless, 608 the fact that the long-lasting DMSs are enriched among these 609 regulatory marks and associate with altered expression following 610 a subsequent exposure to GCs suggest a role for these sites in 611 regulating or priming future gene expression responses to GCs, 612

Footline Author

be it in a cell type specific manner or within the context of a mixed tissue, with distinct GC-sensitivities. These effects could thus alter the set-point of ensembles of cells to future stress exposure.

## Molecular mechanisms inducing DNAm changes.

What could be the mechanisms driving these lasting DNAm changes? GC-induced changes in DNAm may be direct downstream effects of GC-action at the respective enhancer elements, but may in part also be secondary to altered proliferation and differentiation observed following DEX treatment. In our previous work using a GR antagonist (14) as well as an inhibitor of SGK1 (34), an activator of GR, we have shown that both treatments block DEX-induced reduction in proliferation, providing evidence that at least some of these changes maybe more directly downstream of GR activation. From our data we observed that lasting DNAm were enriched in GREs and for these sites only, we observed a larger fraction of de-methylation vs. hypermethylation after DEX (SI Appendix, Fig. S7B). This is concordant with prior studies that have described local DNA demethylation at GREs with GR activation (18), likely mediated by induction of base excision repair mechanisms (19). However, DNA de-methylation was not the rule for the lasting DNAm changes across all sites, with enhancers, bivalent/poised sites and TSS flanking sites showing similar proportions of hyperas well as de-methylation (SI Appendix, Fig. S7B), similar to previous observations (18, 24). The fact that changes of DNAm were observed in both directions is also in line with our data showing that mRNA levels of both enzymes associated with demethylation (TET1) as well as re-methylation (UHRF1) were affected by DEX following treatment during proliferation and differentiation (SI Appendix, Fig. S6). In contrast, changes in mRNA expression of TET1 and UHRF1 as well as differences in cell type proportions were not observed anymore following the 20 days of washout although differences in DNAm are observed. These results suggest that long-lasting DNAm changes are not the result of strong and sustained global expression changes in epigenetic writers. However, they might result from a locusspecific recruitment and/or activation of these enzymes in response to GCs initiated in a small number of cells/alleles during proliferation and differentiation and continue to spread after the removal of DEX. Indeed, although not significant, we observed the same direction of changes in DNAm at the earlier time points (Pro and/or Pro-diff) for 54% of the long-lasting DMSs.

#### Cross-tissues GC-responsive CpGs as biomarker for prenatal exposure.

Lastly, we wanted to understand whether lasting changes in DNAm in our in vitro model would also be observed in human blood. While previous studies in mice have shown that GCinduced DNAm changes are mostly tissue specific (18), overlapping changes have been reported and may be aggregated in those GR-responsive enhancers with common functionality across tissues (23). We also identified a subset of lasting HPC DMSs that were also acutely responsive to DEX in peripheral blood (n=496 CpGs, Fig. 3C). In addition to be predictive of maternal stress exposures when combined into a GC-responsive poly-epigenetic score, these cross-tissues CpGs were also significantly enriched in DNAm changes observed in cord blood of newborns exposed to pre-delivery administration of the synthetic GC, betamethasone, as well as maternal anxiety and depression (SI Appendix, SI Results and Table S7). A number of studies have reported that the two latter conditions might also be accompanied by increased fetal GC exposure, by either increasing maternal GC, decreasing placental GC metabolism or activating the offspring's HPA-axis (5, 6). Although not directly tested in our newborn cohort, maternal prenatal stress may also impact the newborn's DNAm profiles via other systems, such as immune activation with reciprocal interactions of the immune and the stress systems (35, 36).

## 613 614 615 616 617 618 619

620

621

622

623

624

625

These DNAm changes in newborn may be markers for risk, 681 682 as betamethasone exposure has been shown to be associated with mental health problems in children (44) and conditions 683 684 associated with altered fetal GC exposure, including maternal depression and anxiety but also infections have been associated with 685 a number of neurodevelopmental abnormalities (6, 9, 35). The 686 fact that our cross-tissues GC-responsive poly-epigenetic score 687 significantly predicted both the severity of maternal prenatal 688 depression as well as anxiety suggests that these sites could serve 689 as biomarkers for prenatal GC exposure (Fig. 3D and 3E). Lower 690 691 scores reflecting more de-methylation following GC exposure (SI Appendix, Fig. S9B) were associated with exposure to higher 692 maternal depressive and anxiety symptoms over pregnancy. The 693 694 direction of association, together with the overlapping findings 695 from prenatal betamethasone treatment, would be in line with higher GC exposure in offspring of mothers with prenatal anxiety 696 and depression. Given that prenatal GC levels were not measured 697 in the PREDO cohort, we cannot directly test this proposition. It 698 is also important to note that although we observed significant 699 associations, the small effect sizes ( $\beta$ =-0.0011 for maternal anx-700 iety and  $\beta$ =-0.0015 for maternal depression) are indicative that 701 only a very small portion of the variance in symptoms is explained 702 by the cross-tissues GC-responsive poly-epigenetic score and 703 would likely have small, clinically not relevant predictive power. 704 Additional work is needed to further develop the score as well 705 as replicate these associations in additional longitudinal cohorts 706 with measure of GCs as well as early intervention studies to assess 707 its ability to predict change in postnatal stress exposure. 708 709

#### Conclusions

710

711

712

713

714

715

716

717

718

719

720

721

722

723

724

725

. 726

727

728

729

730

731

732

733

734

735

736

737

738

739

740

741

742

743

744

745

746

747

748

Overall, our data suggest that GC-induced DNAm reflects a complex pattern of changes likely related to effects on proliferation

- 1. Lupien SJ, McEwen BS, Gunnar MR, Heim C (2009) Effects of stress throughout the lifespan on the brain, behaviour and cognition. Nat Rev Neurosci 10(6):434-445.
- Michael T. Kinsella CM (2009) Impact of Maternal Stress, Depression & Anxiety on Fetal Neurobehavioral Development. Clin Obstet Gynecol 52(3):425.
- Sandman CA, Buss C, Head K, Davis EP (2015) Fetal exposure to maternal depressive symptoms is associated with cortical thickness in late childhood. Biol Psychiatry 77(4):324-334.
- 4. Rifkin-Graboi A, et al. (2015) Antenatal maternal anxiety predicts variations in neural structures implicated in anxiety disorders in newborns. J Am Acad Child Adolesc Psychiatry 54(4):313-21.e2.
- Odaka H, Adachi N, Numakawa T (2017) Impact of glucocorticoid on neurogenesis. Neural 5. Regeneration Res 12(7):1028-1035.
- Reynolds RM (2013) Glucocorticoid excess and the developmental origins of disease: two decades of testing the hypothesis--2012 Curt Richter Award Winner. Psychoneuroendocrinology 38(1):1-11.
- 7. Wolford E, et al. (2019) Associations of antenatal glucocorticoid exposure with mental health in children. Psychol Med:1-11.
- Wyrwoll CS, Holmes MC, Seckl JR (2011) 11β-hydroxysteroid dehydrogenases and the brain: from zero to hero, a decade of progress. Front Neuroendocrinol 32(3):265-286.
- 9. O'Donnell KJ, Meaney MJ (2017) Fetal Origins of Mental Health: The Developmental Origins of Health and Disease Hypothesis. Am J Psychiatry 174(4):319-328.
- 10. Koutmani Y, Karalis KP (2015) Neural stem cells respond to stress hormones: distinguishing beneficial from detrimental stress. Front Physiol 6:77.
- 11. Noorlander CW, et al. (2014) Antenatal glucocorticoid treatment affects hippocampal development in mice. PLoS One 9(1):e85671.
- 12. Pryce CR, Aubert Y, Maier C, Pearce PC, Fuchs E (2011) The developmental impact of prenatal stress, prenatal dexamethasone and postnatal social stress on physiology, behaviour and neuroanatomy of primate offspring: studies in rhesus macaque and common marmoset. Psychopharmacology 214(1):33-53.
- 13. Coe CL, et al. (2003) Prenatal stress diminishes neurogenesis in the dentate gyrus of juvenile rhesus monkeys. Biol Psychiatry 54(10):1025-1034.
- Anacker C, et al. (2013) Glucocorticoid-related molecular signaling pathways regulating 14. hippocampal neurogenesis. Neuropsychopharmacology 38(5):872-883.
- 15. Provençal N, Binder EB (2015) The effects of early life stress on the epigenome: From the womb to adulthood and even before. Exp Neurol 268:10-20.
- 16. Johnson TA, et al. (2018) Conventional and pioneer modes of glucocorticoid receptor interaction with enhancer chromatin in vivo. Nucleic Acids Res 46(1):203-214.
- 17. Lee RS, et al. (2010) Chronic corticosterone exposure increases expression and decreases deoxyribonucleic acid methylation of Fkbp5 in mice. Endocrinology 151(9):4332-4343. 18
- Seifuddin F, et al. (2017) Genome-wide Methyl-Seq analysis of blood-brain targets of glucocorticoid exposure. *Epigenetics* 12(8):637–652. Kress C, Thomassin H, Grange T (2006) Active cytosine demethylation triggered by a nuclear
- 19.

and differentiation as well as lasting changes in more mature 749 tissues. These lasting changes may specifically target pathways 750 important for neuronal transmission and prime target genes to an 751 altered responsivity to subsequent GC exposure. By this, prenatal 752 exposure to GCs could not only alter neurodevelopmental trajec-753 tories but also change the set-point of stress-reactivity of adult 754 tissues. Together these two factors could influence and increase 755 the risk for psychiatric disorders. 756 757

#### **Materials and Methods**

Materials, experimental procedures and data analysis for the culture and gene expression and methylation profiling of immortalized, multipotent human fetal HPC line HPC03A/07 as well as methylation profiling in blood samples of the Max Planck Institute of Psychiatry (MPIP, n=113) and PREDO (n=817) cohorts are described in SI Appendix, SI Materials and Methods. The MPIP cohort study protocol was approved by the local ethics committee and all individuals gave written informed consent. The PREDO study protocol was approved by the Ethical Committees of the Helsinki and Uusimaa Hospital District and by the participating hospitals. A written informed consent was obtained from all women. Data from the HPC gene expression microarray experiments were deposited at the GEO repository, GSE119842 and GSE119843 and HPC methylation data at GSE119846.

#### Acknowledgment

The authors would like to thank all individuals who agreed and provided blood samples for this study. This study was funded by the BMBF grant Berlin-LCS (FKZ 01KR1301B) to EBB and an ERC starting grant (GxE molmech, grant # 281338) within the FP7 funding scheme of the EU to EBB. NP was funded by a research fellowship from the Canadian Institute of Health Research (CIHR). CA was funded by a K99/R00 Pathway to Independence Award from the National Institutes of Health (K99 MH108719). AC was funded by the Italian Ministry of Health (Ricerca Corrente). TK received funding from the Brain and Behavior Research Foundation (YI 20895) and the Eunice Kennedy Shriver National Institute of Child Health and Human Development (1R21HD088931). The PREDO cohort was funded by Academy of Finland (1284859, 12848591, 1312670) and Signe and Ane Gyllenberg Foundation grants to KR.

#### receptor involves DNA strand breaks. Proc Natl Acad Sci U S A 103(30):11112-11117.

- Wiench M, et al. (2011) DNA methylation status predicts cell type-specific enhancer activity. 20. EMBO J 30(15):3028-3039.
- Schroeder M, et al. (2017) A Methyl-Balanced Diet Prevents CRF-Induced Prenatal Stress-21. Triggered Predisposition to Binge Eating-like Phenotype. Cell Metab 25(6):1269-1281.e6.
- 22. Zheng Y, Fan W, Zhang X, Dong E (2016) Gestational stress induces depressive-like and anxiety-like phenotypes through epigenetic regulation of BDNF expression in offspring hippocampus. Epigenetics 11(2):150-162.
- 23. Ewald ER, et al. (2014) Alterations in DNA methylation of Fkbp5 as a determinant of bloodbrain correlation of glucocorticoid exposure. Psychoneuroendocrinology 44:112-122.
- Bose R, et al. (2015) Tet3 mediates stable glucocorticoid-induced alterations in DNA 24. methylation and Dnmt3a/Dkk1 expression in neural progenitors. Cell Death Dis 6:e1793.
- 25. Kang HJ, et al. (2011) Spatio-temporal transcriptome of the human brain. Nature 478(7370):483-489.
- 26. Chikina M, Zaslavsky E, Sealfon SC (2015) CellCODE: a robust latent variable approach to differential expression analysis for heterogeneous cell populations. Bioinformatics 31(10):1584-1591.
- Fertig EJ, Ding J, Favorov AV, Parmigiani G, Ochs MF (2010) CoGAPS: an R/C++ package to identify patterns and biological process activity in transcriptomic data. Bioinformatics 26(21):2792-2793.
- 28 Ernst J, Kellis M (2012) ChromHMM: automating chromatin-state discovery and characterization. Nat Methods 9(3):215-216.
- 29 Spiers H, et al. (2015) Methylomic trajectories across human fetal brain development. Genome Res 25(3):338-352. 30.
  - Girchenko P, et al. (2017) Cohort Profile: Prediction and prevention of preeclampsia and intrauterine growth restriction (PREDO) study. Int J Epidemiol 46(5):1380-1381g.
- 31 Thomas Boyce W, Ellis BJ (2005) Biological sensitivity to context: I. An evolutionary-developmental theory of the origins and functions of stress reactivity. Dev Psychopathol 17(2):271-301.
- 32. D'Urso A, et al. (2016) Set1/COMPASS and Mediator are repurposed to promote epigenetic transcriptional memory. Elife 5. doi:10.7554/elife.16691.
- Stavreva DA, Hager GL (2015) Chromatin structure and gene regulation: a dynamic view of 33. enhancer function. Nucleus 6(6):442-448.
- 34. Anacker C, et al. (2013) Role for the kinase SGK1 in stress, depression, and glucocorticoid effects on hippocampal neurogenesis. Proc Natl Acad Sci U S A 110(21):8708-8713.
- Howerton CL, Bale TL (2012) Prenatal programing: at the intersection of maternal stress 35. and immune activation. Horm Behav 62(3):237-242.
- 812 Wu W-L, Hsiao EY, Yan Z, Mazmanian SK, Patterson PH (2017) The placental interleukin-6 813 signaling controls fetal brain development and behavior. Brain Behav Immun 62:11-23.
  - 814 815 816

758

759

760

761

762

763

764

765

766

767

768

769

770

771

772

773

774

775

776

777

778

779

780

781

782

783

784

785

786

787

788

789

790

791

792

793

794

795

796

797

798

799

800

801

802

803

804

805

806

807

808

809

810

811

Please review all the figures in this paginated PDF and check if the figure size is appropriate to allow reading of the text in the figure.

If readability needs to be improved then resize the figure again in 'Figure sizing' interface of Article Sizing Tool.