1 Title page

- 2 Influence of subliminal intragastric fatty acid infusion on subjective and
- 3 physiological responses to positive emotion induction in healthy women: A
- 4 randomized trial
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37 Data availability

Data described in the manuscript and analytic code will be made available uponrequest pending.

- 40 **Conflict of interest**
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53	The numbe	er of tables
54	3	
55	Short runn	ing head
56	Intragastric	fatty acid and positive emotion
57	Abbreviati	ons
58	BGA	brain-gut axis
59	CCK	cholecystokinin
60	ECG	electrocardiograph
61	GLP1	glucagon-like peptide-1
62	HF	high frequency components
63	HRV	heart rate variability
64	LF	low frequency components
65	LF/HF	low frequency to high frequency ratio
66	PMSF	phenylmethansulfonylfluoride
67	ΡΥΥ	peptide YY
68	RMSSD	root mean square of successive differences
69	SAM	self-assessment manikin
70	VAS	visual analogue scale

51

The number of figures

71 Abstract

Background: Subliminal intragastric fatty acid infusion attenuates subjective and brain
 responses to negative emotion induction. However, the underlying gut-brain signaling
 mechanisms remain unclear, and it is unknown whether such effect equally applies to
 positive emotion.

<u>Objective:</u> We aimed to investigate the interaction between fatty acid-induced gutbrain signaling and subjective responses to positive emotion, and the potential
mediational role of gastrointestinal (GI) hormones.

Design: Twelve fasted healthy women underwent intragastric infusion of 2.5g lauric acid or saline, after which either positive or neutral emotion was induced for 30min, in 4 separate visits. Appetite-related sensations, subjective emotional state, and GI hormones were measured at baseline and every 10min after infusion. Heart rate variability was measured at baseline and at t=20–30 min to quantify vagal tone (root mean square of successive differences, RMSSD), and sympathovagal balance (low frequency to high frequency ratio, LF/HF).

86 Results: Fatty acid infusion did not influence appetite-related sensations (as 87 expected), nor emotional state ratings (contrary to expectations). As anticipated, fatty 88 acid stimulated release of CCK at t=20-40min (p<0.001), and GLP1 at t=30-40min 89 (p<0.001), but not PYY. Interestingly, positive emotion induction suppressed plasma 90 octanoylated ghrelin at t=20-40min (p=0.020). Further, both positive emotion and 91 fatty acid attenuated RMSSD (p=0.012 & 0.0073, respectively). Positive emotion 92 attenuated LF/HF after fatty acid (p=0.0006), but raised LF/HF after saline (p=0.004). 93 Conclusions: Subliminal fatty acid did not influence subjective responses to positive

- 95 ghrelin release. Moreover, both positive emotion and subliminal fatty acid decreased
- 96 cardiac vagal tone. Further, the fatty acid reversed the effect of positive emotion on
- 97 sympathovagal balance.

98 Keywords

99 gut-brain axis, gut hormones, positive emotion, ghrelin, heart rate variability

100 Introduction

101 The brain-gut axis (BGA) is part of an integrated interoceptive system which 102 continuously conveys homeostatic information about the physiological state of the 103 body to the brain (1). At the brain level, such information is integrated with 104 information from affective brain circuits, after which appropriate bodily and behavioral 105 responses are generated (1). We previously demonstrated that a purely 106 interoceptive, subliminal 'appetitive' nutritional stimulus interacts with an 107 exteroceptively generated negative emotional state, both at the subjective and the 108 neural level (2). More specifically, intragastric fatty acid infusion attenuated subjective 109 and neural responses to sad emotion induction. However, the neurohumoral gut-110 brain and brain-gut signaling mechanisms underlying this effect remain unclear. 111 Furthermore, it remains unknown whether fatty acid infusion would also have an 112 influence on responses to positive emotion (e.g. happiness) induction. 113 Several peptide hormones are produced in the GI tract in response to chemical

114 stimuli, particularly nutrients, and are crucially involved in appetite regulation (1). 115 Among these peptides, the anorexigenic hormones cholecystokinin (CCK), glucagon-116 like peptide-1 (GLP1), peptide YY (PYY) and the orexigenic hormone ghrelin are key 117 candidate mediators of the attenuating effect of intragastric fatty acid infusion on 118 responses to negative emotion induction. For example, brain responses induced by 119 intragastric fatty acid infusion are abolished by CCK-1 receptor antagonists (3), as 120 well as by intravenous ghrelin infusion (4). Both CCK and ghrelin respond to fatty 121 acid infusion and reach peaks (or nadirs) within 20-30 min (5). GLP1 and particularly 122 PYY, on the other hand, are characterized by a delayed secretion pattern in 123 response to fatty acid intake (6, 7).

124 In addition, the vagus nerve innervates the stomach and conveys bidirectional gut-125 brain signals, whether or not in interaction with the abovementioned gut peptides (8). 126 Researchers have used heart rate variability (HRV) to investigate the role of the 127 efferent vagus nerve in brain-gut communication (9-11). For example, a standard 128 meal (500kCal) reportedly caused vagal withdraw in healthy volunteers, and 129 therefore rendered the sympathoxagal balance to a sympathetic dominance (12). 130 Moreover, HRV is also sensitive to emotions (13). For example, both sad and happy 131 emotion induction decreased HRV (13).

132 Our primary aim was therefore to test the hypothesis that subliminal interoceptive 133 stimulation induced by fatty acid would interact with positive emotion induction at the 134 subjective level. More specifically, fatty acid infusion would enhance the effect of 135 positive emotion induction without triggering any changes in appetite-related 136 sensations. Moreover, we measured plasma hormone levels including CCK, ghrelin, 137 GLP1 and PYY as secondary outcomes to explore whether hormonal responses to 138 fatty acid would interact with the positive emotion induction in a similar pattern as the 139 subjective responses. Further, we explored whether vagal efferent responses to 140 positive emotion would interact with the fatty acid-induced subliminal interoceptive 141 signals (i.e. hormone responses), using HRV measurements.

142 Subjects and Methods

143 *Eligibility criteria for participants*

Normal weight, healthy, non-pregnant, non-breastfeeding women (18–65 years) were
included, to avoid sex as a potential confounder. Exclusion criteria included alcohol
consumption >7 units/week, smoking, substance abuse, regular intake of
medications with an exception of oral contraception pills, chronic medical illness,
chronic pain, and any psychiatric disorder. The sample size was chosen based on
our previous findings on the effects of fatty acid infusion on subjective and neural
responses to negative emotion induction (2).

151 Ethics

152 This study was approved by the Medical Ethics Committee of the University Hospitals

Leuven, Belgium (ML10475, 08-Apr-2014), registered at ClinicalTrials.gov

154 (NCT02982616) and performed in the University Hospitals Leuven, Belgium, in

accordance with the Declaration of Helsinki, including written informed consent.

156 Study design

In this randomized, placebo-controlled, single-blind, cross-over study, participants
came on 4 separate visits assigned to receive either fatty acid or saline (placebo)
infusion (nutrient conditions) and either positive or neutral emotion induction (emotion
conditions), at least one week apart (2x2 within-subject factorial design, partially
counterbalanced using Latin Square).

162 On each visit, participants came to the lab in the morning, after a 12-hour overnight 163 fast. After a 10 min rest period upon arrival, HRV was measured for 6 min in resting 164 state (sitting). After the HRV measurement, appetite-related sensations and

165 emotional state were rated, and blood samples were taken at fixed time points 166 throughout the procedure (Figure 1). A nasogastric feeding tube was then inserted, 167 with the catheter tip in the fundus of the stomach. After a 10 min adaptation period, 168 either positive or neutral emotion induction was performed for 33 min. Three minutes 169 after the emotion induction started, either 2.5g lauric acid (0.05 mol/L) or 250 mL 170 0.9% saline placebo was infused through the nasogastric tube over 2 min, while the 171 participants were requested to focus on the emotion induction stimuli. Ratings of 172 appetite-related sensations and emotional state as well as blood samples were 173 collected before the start of the emotion induction and every 10 min after the 174 intragastric infusion. The second HRV measurement was performed during the last 175 10 min of the emotion induction. Immediately after the end of the emotion induction, 176 participants were extubated, followed by a 10 min break. Finally, hedonic food intake 177 was measured using an *ad libitum* chocolate milkshake drinking task. *Figure 1* 178 presents an overview of the study procedure for each visit.

179 Emotion induction

180 To induce positive (happiness) or neutral emotional states, we combined two 181 validated methods of emotion induction. Eleven excerpts of classical music of 1-182 minute duration each (14) were randomly played through headphones for 33 minutes 183 in total, beginning 3 minutes before the start of the intragastric infusion to allow 184 participants to get into the desired emotional state. At the same time, 10 validated 185 facial expressions depicting either positive or neutral emotion (15) were projected 186 onscreen for 5 seconds each and repeated in random order, only to be interrupted 187 briefly for obtaining ratings, as described in the study design and experimental 188 procedure paragraphs. In order to quantify the effect of the emotion induction 189 procedure and the intragastric infusion on emotional state, the Self-Assessment

Manikin (SAM) (16) was used to measure emotional valence, arousal, and
dominance on a 9-point scale, with the anchors: 1-very negative (sad) to 9-very
positive (happy) (valence); 1-very calm to 9-very excited (arousal); and 1-not having
the situation under control to 9-having the situation completely under control
(dominance).

195 Blood sample processing and laboratory analysis

196 Ghrelin blood samples were collected on ice in EDTA tubes (Becton, Dickinson and 197 Company, Franklin Lakes, NJ, USA) supplemented with 500 kIU/mL aprotinin (Roche 198 Applied Science, Penzberg, Germany) and phenylmethylsulfonylfluoride (PMSF; 199 0.57mM; Sigma-Aldrich, Steinheim, Germany). Tubes were centrifuged at 4° C at 200 3000 rpm for 10 min and plasma samples were aliquoted. Plasma samples for ghrelin 201 measurements were immediately acidified (10%) with 1N HCL and extracted on a 202 Sep-Pak C18 cartridge (Waters Corporation, Milford, Massachusetts, USA) and dried 203 in a speedvac (17). The active form of ghrelin, *octanoylated ghrelin* was measured by 204 radioimmunoassay with ¹²⁵I [Tyr²⁴] human ghrelin [1-23] as tracer and a rabbit 205 antibody against human ghrelin [1-8] (final dilution 1/100000), which does not cross-206 react with desoctanoylated ghrelin, as described previously in more detail (17). 207 CCK-8 blood samples were collected in EDTA tubes filled and diluted in 10 fold in 208 RAPID buffer pH 3.6 [0.1 M ammonium acetate, 0.5M NaCl and enzyme inhibitors: 209 diprotinin A, E-64-D, antipain, leupeptin, chymostatin (all 1 µg/ml; Peptide 210 International, Louisville, KY)] as previously described (18). Samples were centrifuged 211 and the supernatant was purified on Sep-Pak C18 cartridges and dried in a 212 speedvac. CCK-8 was measured with a commercially available RIA kit (Euro-213 Diagnostica AB, Malmö, Sweden).

214 GLP-1 samples were collected in EDTA tubes containing 500 kIU/ml aprotinin and

215 dipeptidylpeptidase IV inhibitor (DPP4, 10µl/ml blood; Merck Millipore, Billerica,

216 USA). GLP-1 was measured with an immunoassay kit (ver. 2, Meso Scale Discovery,

- Rockville, USA) which measures the active forms of GLP-1, GLP-1 (7-36) amide andGLP-1 (7-37).
- 219 PYY samples were measured with an enzyme immunoassay (Phoenix
- 220 Pharmaceuticals Inc. Burlingame, CA, USA) which measures PYY₃₋₃₆.
- 221 Plasma was separated by centrifugation at 4℃ for 10 min at 3000 xg and stored at -

222 80°C until analysis.

223 Appetite-related sensations assessment

Validated computer-based visual analog scales (VAS) were used to rate the subjective sensations of hunger, prospective food consumption, fullness, satiety and nausea (19, 20). Subjects were instructed to indicate their subjective sensations at the present time point by clicking the left and right arrows on the keyboard (in 1-point steps). The duration of each VAS was fixed to 7 seconds. In addition, the mark was always reset to the middle of the vertical line at the beginning of each VAS question.

230 Milkshake drinking task

At 40 min post infusion, participants were instructed to drink chocolate milkshake [4 scoops of IJsboerke vanilla ice cream, 355 mL of 2% milk, and 2 tablespoons of Imperial chocolate syrup, 270 kcal, 13.5 g fat, and 28 g sugar per 150 mL; recipe adapted from Burger *et al.* (21) with Belgian brands.] from a 200mL glass, at their own pace until they felt comfortably satiated. The glass was immediately refilled when it was empty. The amount of milkshake before and after the task was weighed on a scale to calculate the amount of milkshake drunk by the volunteer as a measure
of hedonic eating behavior. participants were asked "How much do you like the
milkshake", with anchors "not at all" and "extremely". All subjects liked the milkshake
(VAS liking of milkshake: 83 ± 3).

241 Heart rate variability (HRV)

242 HRV is a commonly used and well established method to measure efferent vagal 243 activity (22). Heart rate data were collected with the standard Electrocardiograph 244 (ECG) electrodes attached to the anterior chest wall (MediFit Instruments Ltd, 245 London, UK). The signal was sampled at 1 kHz and transduced, amplified and filtered 246 through a Coulbourn S75-04 Isolated Bioamplifier. A low pass filter at 10 Hz and a 247 high pass filter at 1 kHz were applied on sampling data. The raw heart rate sampling 248 data was processed in Artiifact (23). The root mean square of successive differences 249 (RMSSD) in inter-beat intervals were calculated in the time domain as indicators of 250 the vagal tone. The ratio between low-frequency (0.04 – 0.15 Hz, LF) component and 251 high-frequency (0.15 – 0.50 Hz, HF) component was calculated (LF/HF) in the 252 frequency domain as an indicator of sympathovagal balance (22, 24).

253 Statistics

Analyses were performed in SAS 9.4 (SAS institute, Cary, NC, USA). Data are
reported as mean ± SE. Significance was set at p≤0.05.

256 Changes from baseline in appetite-related sensations, emotional state ratings, and 257 plasma hormone levels were calculated by subtracting the baseline value from the 258 values at each post-infusion timepoint, resulting in delta values, which were then 259 boxcox-transformed if needed to fulfill the assumption of normally distributed 260 residuals. Linear mixed models, and generalized linear mixed models when a normal residual distribution could not be achieved after transformation, were performed on
the aforementioned preprocessed data, with main effects of time, emotion and
nutrient, their second order interaction effects, and with baseline measurements and
visit number as covariates. Significant interaction effects with time (nutrient-by-time,
or emotion-by-time) were followed by *post hoc* contrasts at each time point, with
stepdown Bonferroni (Holm) correction for multiple testing.

267 Delta values were calculated on HRV data (RMSSD and LF/HF) in the same way, 268 boxcox-transformation was applied if needed, and the resulting data were then 269 analyzed using linear mixed models with main effects of emotion and nutrient, and 270 their two-way interaction effect, with baseline measurements and visit number as 271 covariates.

Hedonic eating (amount of milkshake drunk) was compared in a linear mixed model
with main effects of emotion and nutrient and their two-way interaction effect, and
with visit number as covariate.

The emotion-by-nutrient interaction effect in all the aforementioned models, which
constitutes the principal effect of interest together with the main effect of emotion,
was followed up by *post hoc* contrasts using two-tailed paired t-tests testing the effect
of both factors at each level of the other factor, with stepdown Bonferroni (Holm)
correction for multiple testing.

280 Results

281 Study participants

282 Fourteen eligible female volunteers with a mean age of 23 ± 2 years and mean BMI 283 of 21.1 ± 1.2 kg/m² were recruited (August 2015 – March 2016). Two volunteers did 284 not receive the allocated intervention because they could not tolerate the nasogastric tube. Twelve volunteers (n = 12) completed all the allocated interventions and were 285 286 included in the analysis. One volunteer was excluded for HRV analysis due to 287 frequent premature beats. However, the volunteer was still included in other analyses 288 because the volunteer's heart rate was still within the normal range, and the 289 volunteer did not report any adverse sensations during the measurements. Minimal 290 nausea scores (zero-inflated with very limited variability between conditions, time 291 points and participants, not permitting formal statistical analysis) were reported. No 292 adverse events occurred.

293 Appetite-related sensations

Hunger and prospective food consumption (*Figure 2A & 2B*)

Hunger and prospective food consumption ratings did not differ between fatty acid and placebo, nor between positive and neutral emotion. There were no emotion-bynutrient interaction effects. The results of the mixed model analysis are summarized in *Table 1*.

299 Satiety and Fullness (*Figure 2C & 2D*)

Satiety and fullness ratings did not differ between fatty acid and placebo, nor
between positive and neutral emotions. There were no emotion-by-nutrient

302 interaction effects. A significant time-by-nutrient interaction effect was found on

303 fullness ratings (F_{4,135}=3.49, p=0.018). However, the *post hoc* contrasts did not show

304 any significant differences between fatty acid and placebo at any time point (all

p_{Holm}>0.10). The results of the mixed model analysis are summarized in *Table 1*.

306 Emotional state ratings (Figure 3)

307 Emotional valence, arousal and dominance responses were significantly higher in

308 positive emotion compared to neutral emotion (main effect of emotion F_{1,30}=16.49,

309 7.70 & 6.22, p<0.001, p=0.006 & 0.018, respectively), thereby confirming efficacy of

310 the emotion induction procedure. Furthermore, there was no significant main effect of

nutrient on any of the emotional ratings (all p>0.05). Moreover, there was no

312 emotion-by-nutrient interaction effect (contrary to our hypothesis) on valence,

arousal, nor dominance ratings. The results of the mixed model analysis are

314 summarized in *Table 2*.

315 Hormone responses

316 Plasma octanoylated ghrelin (*Figure 4A*)

317 Positive emotion significantly suppressed plasma octanoylated ghrelin compared to 318 neutral emotion (main effect of emotion F_{1,40}=5.91, p=0.020). Furthermore, the time-319 by-emotion interaction was significant (F_{3,134}=3.51, p=0.017). *Post hoc* contrasts 320 indicated significantly stronger decreases in octanoylated ghrelin level in positive 321 emotion compared to neutral emotion at t=20, 30 & 40min (t_{134} =-2.76, -2.40, & -2.73, 322 p_{Holm}=0.020, 0.020, & 0.020, respectively). However, plasma octanoylated ghrelin 323 levels did not differ between fatty acid and placebo, nor was there an emotion-by-324 nutrient or time-by-nutrient interaction effect. The results of the mixed model analysis 325 are summarized in **Table 3**.

326 Plasma CCK (*Figure 4B*)

Plasma CCK was analyzed in a generalized linear mixed model, because a normal
residual distribution could not be achieved after box-cox transformation. Plasma CCK
levels increased after fatty acid compared to placebo (F_{1,133}=69.30, p<0.001).
However, there was no main effect of emotion, nor was there an emotion-by-nutrient
interaction. There was also no time-by-emotion or time-by-nutrient interactions. The
results of the generalized linear mixed model analysis are summarized in *Table 3.*

333 Plasma GLP1 (Figure 4C)

334 Plasma GLP1 levels increased significantly after fatty acid compared to placebo

335 (F_{1,40}=8.90, p=0.0048). Further, there was no main effect of emotion induction, nor
336 was there an emotion-by-nutrient interaction effect. There was also a significant time-

by-nutrient interaction effect ($F_{3,135}$ =4.04, p=0.0087). *Post hoc* contrasts revealed that

338 the plasma GLP1 levels increased after fatty acid compared to placebo at t= 30 &

339 40min (t₁₃₅=3.16 & 3.84, p_{Holm}=0.0057 & 0.0007, respectively). The results of the

340 mixed model analysis are summarized in *Table 3*.

341 Plasma PYY (Figure 4D)

Plasma PYY levels did not differ after fatty acid compared to placebo. There was alsono main effect of emotion induction, nor was there a nutrient-by-emotion interaction.

344 Furthermore, there was a significant time-by-emotion interaction ($F_{3,132}$ =2.82,

p=0.041). However, *post hoc* contrasts did not show significant differences between

emotion conditions at any time point (all p_{Holm}>0.10). The results of the mixed model

analysis are summarized in *Table 3*.

348

349 Heart rate variability (HRV)

350 *Time domain* (*Figure 5A*)

351 RMSSD was lower in positive emotion compared to neutral emotion (F_{1,10}=9.33,

352 p=0.012). Moreover, RMSSD significantly decreased after fatty acid compared to

353 placebo ($F_{1,10}$ =11.27, p=0.0073). However, there was no emotion-by-nutrient

354 interaction ($F_{1,10}=2.00$, p=0.19).

355 Frequency domain (Figure 5B)

We did not find a main effect of nutrient nor emotion (F_{1,10}=0.31 & 0.22, p=0.59 &

357 0.65, respectively) on the LF/HF ratio, but the nutrient-by-emotion interaction effect

358 was significant (F_{1,10}=59.99, p=0.046). Specifically, *post hoc* contrasts indicated that

359 the LF/HF ratio was significantly lower in positive emotion compared to neutral

360 emotion after placebo (t_{10} =-6.18, p_{Holm} =0.004), whereas the difference between

361 emotion conditions was reversed after fatty acid (t_{10} =5.66, p_{Holm} =0.0006).

362 Hedonic food intake

363 The amount of milkshake drunk was not significantly different between emotion or

nutrient conditions (main effect of nutrient, F_{1,11}=0.18 p=0.68, main effect of emotion,

365 F_{1,11}=0.37 p=0.56), nor was there an interaction effect between emotion and nutrient

366 (F_{1,10}=0.01, p=0.91).

367 **Discussion**

368 In the current study, fatty acid did not influence emotion ratings, nor was there any 369 interaction between fatty acid infusion and emotion induction. Although this is at 370 variance with the previous study indicating that fatty acid attenuated the effect of 371 negative emotion induction and, hence, our hypothesis, it is noteworthy that positive 372 emotion is not merely the opposite end of the spectrum as negative emotion. 373 According to Ekman as well as more recent emotion theorists (15, 25), positive 374 emotions and negative emotions are emotions in different 'themes', rather than 375 simply two ends of one dimension. Indeed, the valence hypothesis suggests that the 376 right hemisphere is dominant in negative emotion, whereas the left hemisphere is 377 dominant in positive emotion (26). Recent studies suggest that the salience network, 378 which plays a key role in emotion generation, consists of brain regions that respond 379 to emotions regardless of valence, as well as brain regions that response 380 differentially depending on valence (26-28). Further investigation on the valence-381 general and valence-dependent brain regions will be necessary to understand the 382 mechanism underlying the difference between the previous and our findings.

383 Moreover, we found that positive emotion induction significantly suppressed plasma 384 octanoylated ghrelin, which is the active form of ghrelin, at t=20-40min. Based on the 385 current literature, the relationship between ghrelin and emotions is ambiguous (1). 386 The evidence of ghrelin's role on emotions in human is especially limited (29). A 387 recent study in healthy human indicated that overnight fasting state (with high plasma 388 ghrelin levels) prior to fear extinction prevented the return of fear (30). In animal 389 models, stress/negative emotion enhanced the release of ghrelin (31), thereby 390 increasing food intake. On the other hand, increased plasma ghrelin levels had 391 anxiolytic- and antidepressant-like effects in a rodent model of depression (32).

392 Ghrelin may induce anxiolytic effects by interfering with amygdala function (33). 393 Interestingly, the amygdala is a crucial brain region that enables humans to rapidly 394 detect and recognize positive or negative emotionally salient stimuli, especially face 395 expressions (34). Further investigation using brain imaging techniques will be 396 necessary to address the role of amygdala in the interaction between (positive and/or 397 negative) emotions and ghrelin in humans. Finally, the intragastric fatty acid did not 398 suppress octanoylated ghrelin levels in the current study. As food intake suppresses 399 plasma active ghrelin levels in a calorie-dependent manner (35), the caloric content 400 provided in the current study (22.5kCal) may not have been enough to suppress 401 plasma octanoylated ghrelin levels.

402 Furthermore, we found that RMSSD was significantly lower in positive emotion 403 compared to neutral emotion. RMSSD is considered as a reliable parameter 404 reflecting efferent vagal tone (10), and is less sensitive to other factors such as 405 breathing or baroreflex sensitivity. Therefore, the decrease of RMSSD indicated 406 vagal withdrawal. Our finding is in line with previous findings showing vagal 407 withdrawal and decreases of HRV parameters during happiness (13). Moreover, 408 subliminal fatty acid attenuated RMSSD, but there was no nutrient-by-emotion 409 interaction. Our findings indicate that the effect of subliminal intragastric fatty acid on 410 the gastrointestinal vagal afferent nerves might trigger a vagal efferent response in 411 another branch (cardiac branches). Further investigations on animal models will be 412 necessary to address the exact mechanism of the nutrient induced vagal efferent 413 responses.

In the frequency domain of HRV, we found an interaction effect between nutrient and
emotion on the LF/HF ratio. An increased LF/HF indicates that sympathetic activity
dominates the effects, and *vice versa (12, 36)*. Lu *et al.* (12) found that healthy

417 volunteers' LF/HF ratio increased 30 min after a 500 kcal meal, whereas in another 418 study (36) the LF/HF ratio had an insignificant increase after a 250 kcal meal. 419 Another recent study replicated the results from Lu *et al.* and furthermore, they found 420 a weak but significant *negative* correlation between active ghrelin levels and the 421 LF/HF ratio (11). In the current study, we applied a very small amount of fatty acid 422 (22.5 kcal), which was not enough to trigger major changes in the LF/HF ratio. 423 However, LF/HF ratio decreased in positive emotion after placebo, whereas it 424 increased in positive emotion after fatty acid. McCraty et al. (37) reported that healthy 425 male and female volunteers had increased LF/HF ratio during positive emotion 426 induction. However, they did not report participants' nutritional state. It is also 427 noteworthy that the major component of the LF/HF ratio, the high frequency 428 component (HF), is sensitive to breathing (22), and healthy volunteers reportedly had 429 decreased respiratory activities during positive emotion induction, and therefore 430 increased HF (13). Moreover, as described above, the fatty acid induced vagal 431 withdrawal. This effect may have overtaken the effect of breathing on HF during 432 positive emotion induction, and furthermore, increased the LF/HF ratio during positive 433 emotion after fatty acid. Unfortunately, we did not measure breathing rate in the 434 current study. Therefore, we were not able to address the role of respiration on the 435 emotion-by-nutrient interaction effect on LF/HF ratio in the current study. It is 436 noteworthy that we performed the HRV measurement in the current study for 437 explorative purposes. Further investigation in animal models will be necessary.

We did not find any effect of nutrient or emotion, nor their interaction, on food intake (21). The milkshake drinking task was performed at the end of each visit, when the effects of the nutrient infusion and/or emotion induction may already have had faded away. It is also noteworthy that the nutrient signal (2.5g lauric acid) in the current study was very subtle. Although we have observed an increase in anorexigenic hormones after the fatty acid infusion, the effect may not have been strong enough toimpact on appetite related sensations, nor on hedonic food intake.

445 There were a few limitations to our study. First, we have studied a relatively small 446 sample size, albeit identical to our previous study with negative emotion (2). Besides, 447 a within-subject design with Latin-Square was applied to further reduce variance, and 448 for the same purpose, only women were recruited to avoid confounding sex 449 differences although this comes at the expense of compromising generalizability of 450 our findings to both sexes. Third, we induced either positive or neutral emotion in an 451 experimental environment, which does not necessarily apply to a real world situation. 452 In conclusion, we found that the subliminal nutrient signal triggered by intragastric 453 fatty acid infusion did not influence participants' appetite-related sensations or 454 emotional state ratings. However, positive emotion induction suppressed 455 octanoylated ghrelin release. The anorexigenic hormones, including CCK and GLP1 456 responded to the nutrient, but not to the emotion inductions. Moreover, both positive 457 emotion and subliminal fatty acid decreased cardiac vagal efferent tone. Further, the 458 fatty acid reversed the effect of positive emotion on sympathovagal balance. We 459 provided, for the first time to our knowledge, evidence that positive emotion induction 460 inhibits release of the orexigenic hormone, ghrelin, in its activated form, in healthy 461 women. Ghrelin is the most important or exigenic hormone in humans, with clinical 462 significance in obesity and eating disorders. Our novel findings linking positive 463 emotion and ghrelin secretion, although in need of confirmation, may provide first 464 new insights in the intricate link between feeding and emotions in health as well as 465 the abovementioned disorders, and, more broadly, affective disorders.

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- 473 Conflict of Interests
- 474 The authors have no conflict of interests to declare for this article.
- 475 Authors' Contributions

DZ, NW, JT and LVO designed the research; LB generated the random allocation
sequence; LB enrolled participants; LB assigned participants to interventions; DZ, LB,
JB and JI conducted research; DZ and LVO analyzed data and performed statistical
analysis; DZ and LVO wrote the manuscript. LVO had primary responsibility for the
final content. All authors read and approved the final manuscript.

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604

605 Tables

Table 1. Results of linear mixed model analysis on the effects of nutrient

infusion and emotion induction on appetite-related sensations.

	Hunger			Prospective food consumption			Satiety			Fullness		
	df	F	р	df	F	р	Df	F	р	df	F	р
nutrient	1,40	0.59	.45	1,40	0.13	.72	1,40	0.02	.89	1,40	0.00	.80
emotion	1,40	1.03	.32	1,40	2.16	.15	1,40	0.23	.64	1,40	1.02	.21
emotion-by- nutrient	1,40	2.24	.14	1,40	1.82	.18	1,40	0.05	.83	1,40	0.53	.88
time	4,135	2.53	.060	4,135	1.04	.38	4,134	0.94	.39	4,135	5.32	.002
time-by- nutrient	4,135	1.90	.13	4,135	1.31	.27	4,134	1.17	.42	4,135	3.49	.018
time-by- emotion	4,135	0.34	.79	4,135	1.41	.31	4,134	0.85	.32	4,135	0.58	.47

df: degrees of freedom. significant effects in italic

- 609 Table 2. Results of linear mixed model analysis on the effects of nutrient
- 610 *infusion and emotion induction on emotional valence, arousal, and dominance*
- 611 ratings.

	Valence			Arousa	al		Dominance			
	df	F	р	df	F	р	df	F	р	
nutrient	1,30	3.80	.06	1,135	2.36	.13	1,30	1.91	.18	
emotion	1,30	16.49	<.001	1,135	7.70	.006	1,30	6.22	.018	
emotion-by-nutrient	1,30	0.29	.59	1,135	1.39	.24	1,30	0.47	.50	
Main effect of time	3,105	7.65	<.001	3,135	5.68	.001	3,105	8.54	<.001	
time-by-nutrient	3,105	0.57	.63	3,135	0.13	.48	3,105	0.46	.71	
time-by-emotion	3,105	0.41	.75	3,135	0.83	.88	3,105	0.33	.80	

612 *df: degrees of freedom. significant effects in italic*

614	and emotion induction	on emotiona	l valence, arousal,	and dominance ratings.
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	Ghrelin			CCK			GLP1			PYY		
	df	F	р	df	F	р	df	F	Р	df	F	Р
nutrient	1,40	1.14	.29	1,133	69.30	<.001	1,40	8.90	.0048	1,39	0.58	.45
emotion	1,40	5.91	.020	1,133	0.28	.60	1,40	1.63	.21	1,39	0.02	.89
emotion-												
by-	1,40	2.89	.097	1,133	0.07	.79	1,40	0.21	.65	1,39	2.67	.11
nutrient												
time	3,134	0.07	.98	3,133	1.89	.13	3,135	9.44	<.001	3,132	2.90	.038
time-by-	3,134	1.68	.17	3,133	0.94	.42	3,135	4.04	.0087	3,132	1.78	.15
nutrient												
time-by-	3,134	3.51	.017	3,133	0.31	.81	3,135	1.21	.31	3,132	2.82	.041
CHIULIUH												

615 The plasma octanoylated ghrelin, cholecystokinin (CCK), glucagon like peptide 1

616 (GLP1) and peptide YY (PYY) concentration were the dependent variables. df:

617 degrees of freedom. significant effects in italic

618 Figure legends

- *Figure 1. Schematic overview of the study procedure for each visit.* HRV: heart
 rate variability. *During the milkshake drinking task, only the classical music was
 applied.
- Figure 2. Appetite-related sensations, including (A) hunger, (B) prospective
 food consumption, (C) satiety and (D) fullness, were not different between
 nutrient nor emotion conditions.
- 625 Figure 3. Emotional (A) valence and (B) arousal, and (C) dominance measured
- 626 by self-assessment manikin increased in positive emotion induction. The
- 627 *increase of the emotional states were independent of time.*
- 628 Figure 4. (A) Octanoylated ghrelin level decreased after positive emotion
- 629 compared to neutral emotion at t=20-40min (*p_{Holm}<0.05), but there was no
- 630 difference between fatty acid and placebo. (B) Cholecystokinin (CCK)
- 631 increased after fatty acid infusion. (C) Glucagon-like peptide 1 (GLP1) level
- 632 increased after fatty acid compared to placebo at t=30-40 min (*p_{Holm}<0.05). (D)
- 633 **Peptide YY (PYY) levels did not differ after fatty acid compared to placebo.**
- 634 There was no nutrient-by-emotion interaction in any of the hormones.
- 635 Figure 5. (A) Both positive emotion and fatty acid attenuated root mean square
- 636 of successive differences (RMSSD) compared to control. However, there was
- 637 no emotion-by-nutrient interaction. (B) Low frequency to high frequency
- 638 (LF/HF) ratio decreased in positive emotion induction after placebo. The effect
- 639 of positive emotion on LF/HF ratio was reversed after fatty acid.