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Benefits of influenza vaccination on the associations between ambient air pollution and allergic respiratory diseases in children and adolescents: New insights from the Seven Northeastern Cities Study in China

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1 **Abstract**

2 **Background**

3 Little information exists on interaction effects between air pollution and influenza vaccination 4 on allergic respiratory diseases. We conduct a large population-based study to evaluate the 5 interaction effects between influenza vaccination and long-term exposure to ambient air 6 pollution on allergic respiratory diseases in children and adolescents.

7 **Methods**

8 A cross-sectional study was investigated during 2012-2013 in 94 schools from Seven 9 Northeastern Cities (SNEC) in China. Questionnaires surveys were obtained from 56,137 10 children and adolescents aged 2-17 years. Influenza vaccination was defined as receipt of the 11 influenza vaccine. We estimated air pollutants exposure [nitrogen dioxide $(NO₂)$ and 12 particulate matter with aerodynamic diameters $\leq 1 \text{µm}$ (PM₁), $\leq 2.5 \text{µm}$ (PM_{2.5}) and $\leq 10 \text{µm}$ 13 (PM_{10})] using machine learning methods. We employed two-level generalized linear mix 14 effects model to examine interactive effects between influenza vaccination and air pollution 15 exposure on allergic respiratory diseases (asthma, asthma-related symptoms and allergic 16 rhinitis), after controlling for important covariates.

17 **Results**

18 We found statistically significant interactions between influenza vaccination and air pollutants 19 on allergic respiratory diseases and related symptoms (doctor-diagnosed asthma, current 20 wheeze, wheeze, persistent phlegm and allergic rhinitis). The adjusted ORs for 21 doctor-diagnosed asthma, current wheeze and allergic rhinitis among the unvaccinated group

34 **Conclusions**

35 Influenza vaccination may play an important role in mitigating the detrimental effects of 36 long-term exposure to ambient air pollution on childhood allergic respiratory diseases. Policy 37 targeted at increasing influenza vaccination may yield co-benefits in terms of reduced allergic 38 respiratory diseases.

39

40 *Keywords:* air pollutants, asthma, influenza vaccination, interaction effects, children and 41 adolescents

42 **Introduction**

43 Asthma which is the most common chronic respiratory diseases represents a high burden of 44 disease (Soriano et al. 2017). More than 330 million people are affected, and the prevalence is 45 increasing every year in the world, particularly in developing countries (Campbell-Lendrum 46 et al. 2019; Tong 2019). Strong evidences exist on the detrimental impact of air pollution on 47 asthma and other allergic respiratory diseases (Keet et al. 2018; Thurston et al. 2017; Yang et 48 al. 2018). In general, children are more vulnerable to the adverse respiratory health effects of 49 air pollution exposure compare with adults. This excess vulnerability to air pollution is 50 attributed to children having immature airways and immune systems, inhaling more pollutants 51 relative to their body mass, and spending more time outdoors (Orellano et al. 2017; 52 Pennington et al. 2018). Another important reason is that the maternal exposure to air 53 pollution during pregnancy may lead to childhood asthma and other allergic respiratory 54 diseases (Deng et al. 2016). Thus, exploration of the air pollution-asthma associations in 55 children and the possible preventive and control strategies is of great more significance for 56 decreasing the burden of allergic respiratory diseases.

57

58 There are growing concerns about the role of air pollution exposure in infectious diseases of 59 public health relevance (MacIntyre et al. 2014), particularly influenza (CWS Chen et al. 2018). 60 Influenza a global health issue often has severe morbidity and mortality, especially in 61 high-risk populations (Watanabe et al. 2005). It is estimated that up to 85% of acute asthma 62 exacerbations in children are associated with upper respiratory tract infections (Saraya et al.

63 2014). Influenza infection in patients with asthma may lead to severe influenza complications 64 and even death, due to the swollen and sensitive airways of asthmatic people and serious 65 inflammation of airways and lungs from influenza infection (CDC 2017; Nicholas et al. 66 2017b). Acute asthma exacerbations due to influenza infections were account for 10% (Iikura 67 et al. 2015), 20.7% (Tan et al. 2003) and as high as 37.9% (Atmar et al. 1998). Though the 68 mechanisms regarding the susceptibility of asthmatic people to virus infection are poorly 69 understood, it has been documented that virus bioaerosols (particulate matter carrying 70 nanoparticle-sized allergens or microorganisms) (Alonso et al. 2015; Jalava et al. 2015; 71 Morakinyo et al. 2016) can deposit in the airways or alveoli of the lower respiratory tract. 72 And then it induce the skewing toward TH2 immunity from TH1 immunity response 73 (Message et al. 2008; Nicholas et al. 2017b; Oliver et al. 2014), which is similar to the 74 mechanisms of the detrimental effects of air pollutants (Guarnieri et al. 2014; Yang et al. 75 2017). Therefore, air pollution and influenza infection could both increase inflammatory 76 reaction and subsequent immune response, and then cause asthma attack or exacerbation or 77 other serious diseases.

78 Influenza vaccination greatly contributes to decreased morbidity and mortality due to 79 influenza virus infections (WHO 2012). Although widely recommended for individuals at 80 high risk, such as those with asthma, influenza vaccine uptake has not yet reached the target 81 global coverage rate of 75% (Nair et al. 2011). Recently, a meta-analyses of 126 studies 82 showed that pooled influenza vaccination rate was only 9.4% among general population in 83 mainland China, while higher pooled proportions of 25.1% among children (aged 6 months

84 to17 years) (Wang et al. 2018). It is critical that influenza vaccine is recommended used for 85 preventing influenza and other chronic diseases such as asthma (Carroll et al. 2007; Glezen 86 2006). In terms of asthma risk, a recent review emphasized that influenza vaccination might 87 effectively protect against the risk of asthma and other respiratory diseases (Suarez-Varela et 88 al. 2018; Vasileiou et al. 2017). In addition, compare with the regions with low-concentration 89 of air pollution, detrimental effects of higher concentrations of air pollution exposure on 90 respiratory health in China cannot be ignored anymore. However, no studies to date evaluated 91 the impact of influenza vaccination on air pollutant-respiratory disease associations in 92 Chinese children. Therefore, we aimed to identify the hypothesis that influenza vaccination 93 modifies the association between long-term exposure to ambient air pollution and allergic 94 respiratory diseases in children and adolescents.

95

96 **Material and methods**

97 **Ethics Statement**

98 Ethical approval for this study was obtained from the Ethical Review Committee of Human 99 Experimentation at Sun Yat-sen University (Ethics Approval Number: 2016016). All 100 potentially identifiable information was removed to protect participants prior to initiation of 101 the retrospective analysis reported here. A written informed consent was collected from the 102 parents/guardians of each participant at the start of the study.

103 **Study Site Selection and Participants Recruitment**

104 The Seven Northeastern Cities (SNEC) study was a cross-sectional study conducted in seven 105 cities in the Liaoning province of China from April 2012 to May 2013. Liaoning is a largely 106 industrial area in China, with the majority of industrial companies located in the seven cities 107 represented in this study. Within the seven cities, we selected 27 administrative districts: six 108 in Shenyang, five in Dalian, four in Fushun, and three each in Anshan, Benxi, Dandong, and 109 Liaoyang. In order to maximize the heterogeneity of the diversity of ambient air pollutants 110 and their concentrations, the air quality monitoring stations were chosen according to the 111 different levels of ambient air pollutant concentrations between 2009 and 2012 (Figure S1). 112 There was one local air quality monitoring station in each administrative district. We defined 113 a 1.5 mile radius around every monitoring station as a buffer area for selecting schools, in 114 order to minimize the error of concentrations of air pollutants exposure. We randomly 115 selected one or two kindergartens, one or two elementary schools, and one or two middle 116 schools which were located within the buffer area of the monitoring station (dependent upon 117 the size of the schools, if the count of students of a school was less than 500, we selected 2 118 schools). Students were included in the study if they were continuous residents of their 119 current districts for at least four years. Due to Chinese requirements that children must enroll 120 in the school closest to their place of residence, all participants lived within 2 kilometers of 121 their school. We identified 68647 children in 27 administrative districts of the seven cities, of 122 whom 59754 children completed the questionnaire survey. Excluding the missing information 123 of influenza vaccination, 56,137 children were enrolled in this study. For the analysis 124 presented here, we excluded children and adolescents with missing information regarding 125 influenza vaccination. The final sample for the current study consisted of 56,137 children and 126 adolescents (Fig 1).

127 **[Figure 1 is about here]**

128

129 **Assessment of air pollution exposure**

130 We have previously described the exposure assessment in details and a description can also be 131 found in the appendix methods (Dong et al. 2013). Concentrations of nitrogen dioxide $(NO₂)$ 132 and particulate matter with aerodynamic diameters $\leq 1 \text{µm}$ (PM₁), $\leq 2.5 \text{µm}$ (PM_{2.5}), and \leq 133 10µm (PM10) at school-level during 2009-2012 were assigned to every participant for the 134 pollution exposure evaluation. These estimates were based on machine-learning methods 135 combining satellite remote sensing land use information and meteorological information at a 136 resolution of $0.1^\circ \times 0.1^\circ$ as description in previously studies(G Chen et al. 2018a; G Chen et 137 al. 2018b; G Chen et al. 2018c). Briefly, daily particulate matters concentrations including 138 PM₁, PM_{2.5} PM₁₀ were estimated using ground-monitored PM₁, PM_{2.5} and PM₁₀, Moderate 139 Resolution Imaging Spectroradiometer (MODIS) products, aerosol optical depth data (AOD), 140 meteorological variables, land use information and other predictors. $NO₂$ concentrations were 141 estimated using satellite-derived OMI data. The ground measurement technique for pollutants 142 from air monitoring stations was carried out according to standards set by the State 143 Environmental Protection Administration of China (SEPA 1992), which are described in the 144 supplementary materials. We developed a machine learning method (random forests) to link 145 the daily ground measurements of PM_1 , $PM_{2.5}$ and PM_{10} 10-fold cross-validation was

146 performed to validate the estimation ability of the ground-level concentrations of air 147 pollutants, using previously described methods (G Chen et al. 2018b). The results of 10-fold 148 cross-validation were shown in Supplementary Material and Table S1. We assigned the 149 predictive air pollutants data from the 27 districts to each participant according to the home 150 addresses, which were geocoded as geographical longitude and latitude. Then the exposure 151 parameters were calculated by averaging the daily concentration for pollutants during the 152 period 2009-2012. Given the air pollution level is related with the address of the participants, 153 the exposure level of the participants kept stabled within 3-5 years.

154 **Questionnaire survey**

155 Health effects were assessed using a questionnaire from the Epidemiologic Standardization 156 Project Questionnaire of the American Thoracic Society (ATS-DLD-78-A) (Ferris 1978). The 157 ATS questionnaire is available in a Chinese translation and has been effectively utilized in 158 China before (Dong et al. 2011; Yang et al. 2018; Zhang et al. 2002). Before the survey 159 commenced, principals of all selected schools provided permission for the study. Teachers 160 from each school were trained to administrator of the questionnaire and were responsible for 161 obtaining consent from the parents/guardians of student participants. The parents/guardians 162 were encouraged to attend a training session at the school on completing the questionnaires. 163 Alternatively, they could choose to take the questionnaire home and return it in a sealed 164 envelope after completion.

165

166 **Definitions of allergic respiratory diseases and symptoms**

167 Primary outcomes of interest were defined as follows: (1) doctor-diagnosed asthma: a doctor 168 had diagnosed repeated symptoms (i.e., wheezing, dyspnea, chest tightness, or nighttime or 169 early morning coughing); (2) current asthma: asthma paroxysm, asthma-like symptoms, or 170 asthma treatment in the last two years; (3) persistent cough: cough for more than four days 171 every week for at least three months in the past 12 months; (4) persistent phlegm: phlegm, 172 sputum, or mucus from the chest more than four days every week for at least three months in 173 the past 12 months; (5) wheeze: wheeze or whistling when breathing regardless of whether 174 the child had a cold; (6) current wheeze: more than two episodes of wheezing in the last two 175 years; and (7) allergic respiratory diseases: asthma paroxysm, asthma-like symptoms 176 (including persistent cough, persistent phlegm, or wheeze), or asthma treatment in the past 12 177 months. All above outcomes were dichotomized into Yes/No answers.

178

179 **Influenza vaccination exposure**

180 During the study period, the trivalent inactivated influenza vaccine had been approved and 181 widely used for prevention of seasonal influenza infection in China. In the present study, 182 children and adolescents were regarded as vaccinated if parents answered "yes" to the 183 following survey question: "have you received influenza vaccine in the past three years?"

184

185 **Potential confounders**

186 Selection of covariates was guided by existing literature and the potential socioeconomic 187 confounding (Castro-Rodriguez et al. 2016; Civelek et al. 2011; Just et al. 2010). Thus,

200 biological parents or grandparents reporting a diagnosis of hay fever, allergic conjunctivitis, 201 eczema, allergic rhinitis, or asthma. Children and adolescents with family history of allergies 202 or asthma were categorized as having an "allergic predisposition."

203

204 **Statistical Analysis**

205 We conducted the Shapiro-Wilk W test to examine the normality distribution and Bartlett test 206 for unequal variances of the dataset. We described mean and standard deviation (SD) for 207 continuous variables and frequency percentages for categorical variables respectively. 208 Student's t-tests and Chi-square test for continuous and categorical variables, respectively to

209 compare the differences between the vaccinated group children and unvaccinated group 210 children. A two-level generalized linear mix effects model was used to evaluate associations 211 between health outcomes and PM_1 , $PM_{2.5}$, PM_{10} , and NO_2 (Witte et al. 2000). Because of the 212 high correlations between air pollutants ($r > 0.70$), only the single-pollutant models were used 213 in order to avoid multi-collinearity. We treated participants as the first-level units and the 214 school as the second-level units. The school was as a cluster for random intercept in the 215 modeling. The details of this model are provided in the Supplementary Material and previous 216 studies (Dong et al. 2013). Influenza vaccination status was used to examine the modified 217 effects on the associations between ambient air pollution and allergic respiratory diseases. 218 The covariates including age, gender, obesity, low birth weight, premature birth, 219 breast-feeding status, exercise time per week, area of residence per person, household income, 220 education of parents, environment tobacco smoke exposure, family history of asthma, average 221 temperature during the time of investigation and districts for adjusting in the models. 222 In order to explore the interactive effects between each air pollutant and influenza vaccination 223 status on children's respiratory allergic outcomes, a series of two-level logistic regression 224 models were built. These placed random effects on each air pollutant at the school-level, fixed 225 effects on influenza vaccination at the individual level, and adjusted for the aforementioned 226 covariates (Dong et al. 2013). We incorporated cross-product terms between air pollutants and

227 influenza vaccination to investigate the two-level interaction on the multiplicative scale. 228 Results are presented as odds ratios (ORs) and their corresponding 95% confidence intervals 229 (95% CIs) to describe the adjusted associations. Meta-regression models with fixed-effects 230 within the study were built for assessing the efficiency of influenza vaccine for modifying the 231 risk of air pollutants on allergic respiratory diseases.

232 To evaluate the robustness of the key findings, a series of sensitivity analysis including 233 stratification by gender, allergic predisposition status, excluding indoor air pollution exposure 234 and excluding one of the districts were conducted. The details of the modeling are described 235 in the appendix methods ("Statistical analysis"). Mixed effects modeling was carried out by 236 the GLIMMIX procedure in SAS v9.4 (SAS Institute Inc., Cary, NC). Meta-regression 237 modeling was carried out in R ver3.5.2. For all the tests, a two-tailed value of $p < 0.05$ was 238 used to determine statistical significance, except for interaction terms and meta-regression 239 analysis (where $p < 0.10$ was used).

240

241 **Results**

242 The distributions of baseline characteristics of the study participants with and without 243 influenza vaccination are shown in Table 1. A total of the 56,137 children and adolescents 244 included in this analysis, 50.37% of them were boys and the average age of the participants 245 was 10.31 years (ranged 2-17 years). Within the sample, 6.95% of children and adolescents 246 had a family history of asthma and 22.42% reported an allergic predisposition. There were 247 statistically significant differences in crude prevalence rates of allergic respiratory diseases 248 outcomes between vaccinated and unvaccinated participants, with the exception of wheeze 249 and allergic rhinitis (Table 2).

250

251 **[Table 1 is about here]**

252 **[Table 2 is about here]**

253 Distribution of estimated air pollutants levels are summarized in Table 3. The 4-year annual 254 average PM₁, PM_{2.5}, PM₁₀, and NO₂ concentrations were $47.21 \mu\text{g/m}^3$, 55.08 $\mu\text{g/m}^3$, 255 98.75 μ g/m³ and 35.43 μ g/m³, respectively.

256

257 [Table 3 is about here]

258

259 Overall, the positive associations between air pollutants and allergic respiratory diseases and 260 related symptoms were observed among all the participants, boys and girls (Table 4, 261 supplementary Table S8 and Table S9). We found statistically significant interactions 262 between influenza vaccination and ambient long-term air pollutants on allergic respiratory 263 diseases and related symptoms (doctor-diagnosed asthma, current wheeze, wheeze, persistent 264 phlegm, and allergic rhinitis) (Table 4). Compared to children and adolescents who received 265 influenza vaccine, those without influenza vaccination had higher estimated ORs for all 266 associations between air pollutants and health outcomes (Table 4). The adjusted ORs for 267 doctor-diagnosed asthma, current wheeze and allergic rhinitis among the unvaccinated group 268 per interquartile range (IQR) increase in PM_1 and $PM_{2.5}$ were significantly higher than the 269 corresponding ORs among the vaccinated group [For PM1, doctor-diagnosed asthma: OR: 270 1.89 (95%CI: 1.57-2.27) vs 1.65 (95%CI: 1.36-2.00); current wheeze: OR: 1.50 (95%CI: 271 1.22-1.85) vs 1.10 (95%CI: 0.89-1.37); allergic rhinitis: OR: 1.38 (95%CI: 1.15-1.66) vs 1.21

280 **[Table 4 is about here]**

281

282 In stratified analysis by gender, significant interactions were mainly found for air pollutants 283 and influenza vaccination on allergic outcomes including doctor-diagnosed asthma, current 284 wheeze, wheeze and allergic rhinitis in boys (Table S8-S9). For example, the significant 285 association for doctor-diagnosed asthma with PM₁₀ in the unvaccinated group (OR: 1.74, 286 95%CI: 1.46-2.07) was stronger than in the vaccinated group (OR: 1.39, 95%CI: 1.16-1.66) 287 ($p_{\text{for interaction}}$ <0.05). In contrast with the associations seen in boys, the interaction effects of 288 influenza vaccination exposure and air pollutants for girls were only statistically significant 289 for current wheeze (Table S9). Furthermore, the results from stratified analyses in age and 290 allergic predisposition status indicated that younger children and children with allergic 291 predisposition were sensitivity to modification effects of influenza vaccination on the 292 associations between ambient air pollution and allergic respiratory diseases (Table S10-S14).

293 We did additional sensitivity analyses using di erent definitions of allergic respiratory 294 diseases in the past three years and excluding one of 27 districts for sensitivity analysis, 295 respectively, which indicated the similar patterns (Table S7, S15-S41).

296

297 Table 5 shows the interactions between air pollutants exposure and influenza vaccination 298 states on allergic respiratory diseases in the past 12 months (Table 5). The interactions among 299 all the participants were statistical significant for all air pollutants and influenza vaccination 300 with allergic respiratory diseases in the past 12 months. The ORs and 95%CIs for the 301 associations between air pollutant concentrations and allergic respiratory diseases were 302 consistently greater in the unvaccinated group than those in the vaccinated group. 303 Meta-regression analysis assessed the risks of the $PM_{2.5}$ -related allergic respiratory diseases 304 were reduced 15.75% (95%CI: -3.04%-31.13%) attributable to influenza vaccine (Table 5) (*p* 305 for efficiency<0.10). In further stratified analyses by allergic predisposition, gender and excluding 306 indoor air pollution exposure, the patterns of the associations and interactions on the allergic 307 respiratory diseases in the past 12 months persisted in all the subgroups (Fig 2, Table 308 S42-S44).

309

-
- 310 **[Table 5 is about here]**
- 311
-
- 312 **[Figure 2 is about here]**
- 313

314 **Discussion**

315 This study provides new evidence on the potential benefits of influenza vaccination against 316 the detrimental health effects of long-term ambient air pollution exposure on allergic 317 respiratory diseases. We found stronger associations of long-term exposure to air pollution 318 with allergic respiratory diseases and related symptoms among children and adolescents who 319 were not vaccinated against influenza compared to those were vaccinated against influenza, 320 indicating that vaccination could decrease the detrimental effects of air pollution on allergic 321 respiratory diseases.

322 In this study, we observed the prevalence rates of most of respiratory and allergic symptoms 323 were higher among vaccinated group than those among unvaccinated group. Regarding the 324 results, the possible explanations were as following: Firstly, Given the large sample size 325 (56137 participants) in this study, it is likely to observe the statistically significant differences 326 in the prevalence rates between the two groups. Secondly, influenza infection is associated 327 with asthma attack or severe complications (Feldman et al. 2019; Nicholas et al. 2017a). The 328 asthmatic children's parents, especially the parents' with the history of asthma, were more 329 likely to be more concerns and prevent influenza infection by vaccination than those whose 330 children without asthma. While, asthma a complicated allergic disease is influenced by many 331 factors, especially hereditary factor. Though influenza vaccine may prevent asthmatic 332 children from influenza infection or asthma attack, the effectiveness of vaccine may not 333 enough to protect the children against hereditary factor. Thirdly, at the same time, we found 334 many studies explored the effectiveness of influenza vaccination on asthmatic people and the

335 impacts on asthma attack or other clinical outcomes, but the results were not fully consistent. 336 Recently a system review carried out by Eleftheria Vasileiou et al evaluated the effectiveness 337 and safety of influenza vaccine in asthmatic patients (Vasileiou et al. 2017). Three cohort 338 studies found the greater protective effects on asthma attacks in vaccinated group than 339 unvaccinated group (Jaiwong et al. 2015; Kramarz et al. 2001; Watanabe et al. 2005). 340 Observational studies demonstrated that influenza vaccines effectiveness prevented 59%–78% 341 of emergency visits and/or hospitalizations from asthma attacks or influenza infections 342 (Abadoglu et al. 2004; Sugaya et al. 1994). However, several epidemic and experimental 343 studies found there were no relationships between influenza vaccination and asthma attack or 344 exacerbations (Kmiecik et al. 2007; Miller et al. 2003; Redding et al. 2002). A retrospective 345 cohort study of 800 children showed that the significantly higher risks of asthma in 346 emergency department visits and clinical visits among vaccinated group than those among 347 unvaccinated group (OR: 3.4 vs 1.9) (Christy et al. 2004). As the inconsistent results of these 348 studies, further epidemical or experimental studies are warranted.

349

350 The independent effects of air pollution or influenza vaccination on childhood allergic 351 respiratory diseases have been extensively investigated (Dong et al. 2011; Goodman et al. 352 2017; Herbert et al. 2017; Ray et al. 2017; Yang et al. 2018). However, few studies have 353 investigated potential effect modification by vaccination for high risk populations. A 354 case-crossover study conducted in Taiwan, China, found that older people who were exposed 355 to CO, NO_2 , PM_{10} , or $PM_{2.5}$ and did not receive the influenza vaccine were at greater risk of

356 acute coronary syndrome (ACS) compared to those who had received the vaccine (Huang et 357 al. 2016). A case-control study with 117 children from Tanzania showed that a higher risk for 358 severe pneumonia was associated with higher household air pollution exposure (OR: 5.5, 95% 359 CI: 1.4-22.1) and with delayed measles vaccination (OR: 3.9, 95% CI: 1.1-14.8) (PrayGod et 360 al. 2016). Although the previous studies and our study have different study designs, 361 participant' characteristics, vaccine types, and health outcomes, findings from the previous 362 studies provide indirect support for our findings that vaccine might modify the adverse effects 363 of air pollution on allergic respiratory diseases. Overall, our findings provided the new some 364 evidence on supporting the increase in influenza vaccine use for allergic respiratory diseases 365 in Chinese children and adolescents who expose to ambient air pollution.

366

367 The biological mechanisms underlying the modifying effects of influenza vaccination on 368 associations between air pollution exposure and asthma are not clear. One possible 369 explanation is the protection offered by influenza vaccination against asthma from influenza 370 virus infection. Given the respiratory repercussions of an influenza virus infection, protection 371 from infection may also serve to protect from asthma and allergic respiratory triggers. 372 Another possibility is that particulate matters and $NO₂$ might induce oxidative stress, immune 373 response, and airway inflammation in asthmatic patients (Gruzieva et al. 2017; Saygin et al. 374 2017). The mechanism of immune response caused by air pollutant exposure may be similar 375 to that caused by respiratory virus infections (Nicholas et al. 2017b). Particulate matter carries 376 allergens or other microorganisms, including viruses, which are the smallest common

377 airborne aerosols with diameters less than 20 nanometers(Mentese et al. 2012). Viruses are 378 unable to survive independently without attaching to other particles, such as $PM_{2.5}$ or PM_1 379 (Yang et al. 2011). These virus-carrying particles can be inhaled into the lower respiratory 380 tract, which triggers an immune response and increases secretion and expression of 381 inflammatory cytokines. When the Th1 immune response skews towards to the Th2 immune 382 response, the virus could exacerbate inflammation, resulting in chronic airway diseases, 383 asthma exacerbation, or virus infection complication(Guarnieri and Balmes 2014; Nicholas et 384 al. 2017b). If influenza vaccine was inoculated into the body especially for high risk 385 individual, the antibody against for influenza virus will be produced. Meanwhile, 386 antibody-mediated immunity balance could be through the pathway of Th1/Th2, which might 387 be the same pathway of the immune response triggered by air pollutants. Then, influenza 388 vaccine may be against the detrimental effects of particulate matters by the pathway of 389 immunity response. Therefore, influenza vaccination might play a role in mitigating the 390 detrimental effects of particulate matter on asthma and other allergic respiratory diseases.

391

392 Gender stratified analysis indicated that boys appeared to have greater effects interactions 393 between influenza vaccination and air pollution on asthma, asthma-related symptoms, and 394 allergic rhinitis compared to girls. The reason for the gender differences in the present study is 395 not clear. One possible explanation is that sex hormones may play an important role in 396 regulating the inflammatory response, as demonstrated in the mouse model(Blacquiere et al. 397 2010). Boys have a higher prevalence of asthma than girls during the prepubescent period due

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417 However, we should be cautious for some potential limitations of this study. First, this is a 418 cross-sectional study and we are unable to determine the temporality, i.e., whether the health

419 effect occurred after the pollution exposures or vaccination, which is a key criteria to assess 420 causality. Second, the ambient air pollution exposure data are assessed with machine learning 421 modeling, which may influence the precision of pollutant concentrations at the individual 422 level. This could lead to potential non-differential misclassification of exposure and the 423 related bias would be towards the null. Third, there is the possibility of recall biases from 424 self-reported data on smoking, physical activity, physician diagnosis of asthma, asthma 425 symptom history, and influenza vaccination status. However, we believe that any such 426 misclassification would be non-differential and the results may have been under-estimated. 427 Fourth, information regarding some potential confounding, such as asthma type, severity of 428 asthma (mild, moderate, or severe) and type of vaccine, was not available, and so residual or 429 unmeasured confounding is also a possibility. Last, the present study was conducted in areas 430 with high concentrations of air pollution in China. Thus, the findings may not be 431 generalizable to high-income countries with lower air pollutant concentrations. Nevertheless, 432 our results could provide reference values for countries with similar air pollution levels as 433 well as a large sample with high pollution level to detect the pollution-vaccination interaction. 434 Given the above limitations of this study, further researches are warranted with better study 435 designs, more precise air pollution measurements, and adequately controlling for potential 436 confounders.

437 **Conclusion**

438 Our findings suggest that influenza vaccination could act as a buffer for the detrimental 439 effects of air pollution on allergic respiratory diseases in children and adolescents. Policy

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645 Table 1 Distribution of basic characteristics and potential confounders among children in

646 northeast China stratified by influenza vaccination status.

647

648 Abbreviations: RMB, Chinese Renminbi; SD: standard deviation.

649 ^{*}Indicates statistically significant different between children and adolescents with and without 650 influenza vaccination at *p*<0.05.

651 Table 2 Distribution of health outcomes among children and adolescents in northeast China

652			stratified by influenza vaccination status.	
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Air pollutants	Mean	SD	Median	Minimum	Maximum	IQR	NA AQS \ast	WHO guideline $\bar{ }$
PM_1	47.21	5.76	48.97	38.15	56.20	11.45		
$PM_{2.5}$	55.08	6.19	56.23	46.04	65.58	11.53	35	10
PM_{10}	98.75	9.91	101.02	75.90	114.56	17.09	100	20
NO ₂	35.43	4.43	37.11	20.57	42.59	7.70	40	40
Temperat ure $({}^{\circ}C)^{\ddagger}$	15.95	5.41	17.00	1.50	27.50	7.00		

655 Table 3 Description of ambient air pollution concentrations (μ g/m³) in northeast China. 656

657 Abbreviations: PM₁, airborne particulates with aerodynamic diameter \langle 1 µm; PM_{2.5}, airborne

658 particulates with aerodynamic diameter $< 2.5 \mu m$; PM₁₀, airborne particulates with

659 aerodynamic diameter $< 10 \mu m$; NO₂, nitrogen dioxide; SD: standard deviation; IQR:

660 interquartile range (range from 25th to 75th percentile of district-specific concentrations);

661 NAAQS: National Ambient Air Quality Standards of China.

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662 $*$ Annual National Ambient Air Quality Standards of China in 2012; no guidelines for PM₁.

663 †World Health Organization's 2005 air quality guidelines; no guidelines for PM1.

LE C

‡ 664 Temperature during time of investigation.

33

665 Table 4 Adjusted ORs and 95%CIs for the associations between ambient air pollutants and

666 asthma, asthma-related symptoms and allergic rhinitis stratified by influenza vaccination

667 status.

668 *Adjusted by age, gender, obesity, low birth weight, premature birth, breast-feeding status,

669 exercise time per week, area of residence per person, household income, education of parents,

670 smoking exposure, family history of asthma, average temperature during investigation and 671 districts.

 $\frac{1}{2}$ [†]ORs were scaled to the interguartile range for each pollutant (11.45 µg/m³ for PM₁; 11.53

673 μ g/m³for PM_{2.5}; 17.09 μ g/m³for PM₁₀; and 7.70 μ g/m³for NO₂).

674 Table 5 Adjusted ORs and 95%CIs for the associations between ambient air pollution and

675 allergic respiratory diseases attack in the past 12 months among children and adolescents in

676 northeast China.

677

678

679 *Adjusted by age, gender, obesity, low birth weight, premature birth, breast-feeding status,

680 exercise time per week, area of residence per person, household income, education of parents,

681 smoking exposure, family history of asthma, average temperature during investigation and

682 districts.

683 ^tORs were scaled to the interguartile range for each pollutant (11.45 µg/m³ for PM₁; 11.53

684 μ g/m³for PM_{2.5}; 17.09 μ g/m³for PM₁₀; and 7.70 μ g/m³for NO₂).

our

685 Allergic respiratory diseases: asthma paroxysm, asthma-like symptoms (including persistent

686 cough, persistent phlegm, or wheeze), or asthma treatment in the past 12 months.

Non-influenza vaccination

Influenza vaccination

691

692 Fig 2 Adjusted ORs and 95%CIs for the associations between ambient air pollutants and 693 allergic respiratory diseases in the past 12 month residing in northeast China , stratified by 694 allergic predisposition and gender, respectively.

- 695 Adjusted by age, gender, obesity, low birth weight, premature birth, breast-feeding status,
- 696 exercise time per week, area of residence per person, household income, education of parents,
- 697 smoking exposure, family history of asthma, average temperature during investigation and 698 districts.
- ORs were scaled to the interquartile range for each pollutant (11.45 μ g/m³ for PM₁; 11.53
- 700 μ g/m³for PM_{2.5}; 17.09 μ g/m³for PM₁₀; and 7.70 μ g/m³for NO₂).
- 701 Allergic predisposition: any biological parent or grandparent with diagnosed hay fever or
- 702 allergies (including allergic dermatitis, allergic conjunctivitis, and eczema).
- 703

704 **Figure legends**

- 705 **Fig 1** Sampling process for Seven Northeast Cities Study in China.
- 706 **Fig 2** Adjusted ORs and 95%CIs for the associations between ambient air pollutants and
- 707 allergic respiratory diseases in the past 12 month in northeast China, stratified by allergic
- 708 predisposition, gender and excluding indoor air pollution exposure, respectively.
- 709 Adjusted by age, gender, obesity, low birth weight, premature birth, breast-feeding status,
- 710 exercise time per week, area of residence per person, household income, education of parents,
- 711 smoking exposure, family history of asthma, average temperature during investigation and
- 712 districts. ORs were scaled to the interquartile range for each pollutant (11.45 μ g/m³ for PM₁;
- 713 11.53 μ g/m³ for PM_{2.5}; 17.09 μ g/m³ for PM₁₀; and 7.70 μ g/m³ for NO₂).
- 714 Allergic predisposition: any biological parent or grandparent with diagnosed hay fever or
- 715 allergies (including allergic dermatitis, allergic conjunctivitis, and eczema).
- 716 **Table 1** Distribution of basic characteristics and potential confounders among children in
- 717 northeast China stratified by influenza vaccination status.
- 718 Footnotes: Abbreviations: RMB, Chinese Renminbi; SD: standard deviation.
- 719 ^{*}Indicates statistically significant different between children and adolescents with and without
- 720 influenza vaccination at *p*<0.05.
- 721 **Table 2** Distribution of health outcomes among children and adolescents residing in northeast
- 722 China stratified by influenza vaccination status.
- **Table 3** Description of 4-year ambient air pollution concentrations $(\mu g/m^3)$ in northeast
- 724 China.
- 725 **Table 4** Adjusted ORs and 95%CIs for the associations between ambient air pollutants and
- 726 asthma, asthma-related symptoms and allergic rhinitis stratified by influenza vaccination
- 727 status.
- 728 **Table 5** Adjusted ORs and 95%CIs for the associations between ambient air pollution and
- 729 allergic respiratory diseases attack in the past 12 months among children and adolescents in
- 730 northeast China.

Highlights

- Few studies on interaction between air pollution and influenza vaccine on asthma.
- A large population-based study to assess these interaction effects in China.
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- Boys seem to be more sensitive to these interaction effects than girls.

• Influenza vaccine may mitigate the detrimental effects of air pollution on asthma.

• Boys seem to be more sensitive to these interaction effects than girls.

Declaration of interests

 \boxtimes The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

☐The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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