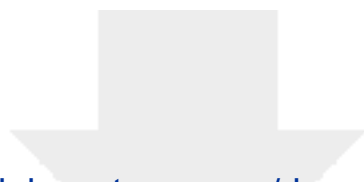


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Chemo-Enzymatic Total Synthesis of Sorbicatechol Structural Analogs and Evaluation of Their Anti-Viral Potential --Manuscript Draft--

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Abstract:	<p>The sorbicillinoids are fungal polyketides that are characterized by highly complex and diverse molecular structures with considerable stereochemical intricacy combined with a high degree of oxygenation. Many sorbicillinoids possess promising biological activities. An interesting member of this natural product family is sorbicatechol A (7a), reported to have anti-viral activity, particularly against influenza A virus (H1N1). Utilizing a straightforward, one-pot chemo-enzymatic approach with the oxidoreductase SorbC that was recently developed in our group, we set out to structurally diversify the characteristic bicyclo[2.2.2]octane core of sorbicatechol by variation of its natural 2-methoxyphenol substituent. This facilitated the preparation of a focused library of structural analogs bearing substituted aromatic systems, alkanes, heterocycles and ethers. The fast access to this structural diversity provided an opportunity to explore the anti-viral potential of the sorbicatechol family.</p>
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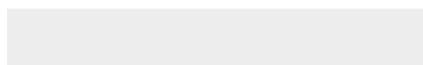
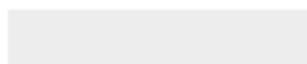
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Chemo-Enzymatic Total Synthesis of Sorbicatechol Structural Analogs and Evaluation of Their Anti-Viral Potential

Anna Sib,^{‡[a]} Tobias M. Milzarek,^{‡[a,b]} Alexander Herrmann,^[c] Lila Oubraham,^[d] Jonas I. Müller,^[b] Andreas Pichlmair,^[d] Ruth Brack-Werner,^[c] and Tobias A. M. Gulder*^[a,b]

Dedication ((optional))

Abstract: The sorbicillinoids are fungal polyketides that are characterized by highly complex and diverse molecular structures with considerable stereochemical intricacy combined with a high degree of oxygenation. Many sorbicillinoids possess promising biological activities. An interesting member of this natural product family is sorbicatechol A (**7a**), reported to have anti-viral activity, particularly against influenza A virus (H1N1). Utilizing a straightforward, one-pot chemo-enzymatic approach with the oxidoreductase SorbC that was recently developed in our group, we set out to structurally diversify the characteristic bicyclo[2.2.2]octane core of sorbicatechol by variation of its natural 2-methoxyphenol substituent. This facilitated the preparation of a focused library of structural analogs bearing substituted aromatic systems, alkanes, heterocycles and ethers. The fast access to this structural diversity provided an opportunity to explore the anti-viral potential of the sorbicatechol family.

Sorbicillinoids are a large polyketide natural product family consisting of more than 50 members.^[1] They can be isolated from a diverse set of marine and terrestrial fungi and can be categorized into four different groups according to their molecular structures: the monomeric, dimeric, trimeric and the further functionalized sorbicillinoids. Biosynthetically, all sorbicillinoids derive from stereo- and regioselective oxidative dearomatization of sorbicillin (**1**) to the highly reactive sorbicillinol (**2**) by the oxidoreductase SorbC (Figure 1).^[2] The dimeric/trimeric sorbicillinoids result from subsequent dimerization/trimerization of **2** with **1** or **2** by Michael addition or Diels-Alder cycloaddition due to the inherent respective reactivities of **2**, leading to beautiful molecular architectures such as bisorbicillinol (**3**, resulting from a

dimerization by Diels-Alder reaction)^[3] or trichodimerol (**4**, double Michael addition/ketalization)^[4] (Figure 1). Further functionalized sorbicillinoids are likewise formed by these transformations, instead of a simple dimerization, however, involving non-sorbicillinoid nucleophiles or dienophiles. Structurally and biomedically interesting examples of this class of sorbicillinoids include sorbicillactone A (**5**),^[5] spirosorbicillinol A (**6**)^[6] and sorbicatechol A (**7a**).^[7]

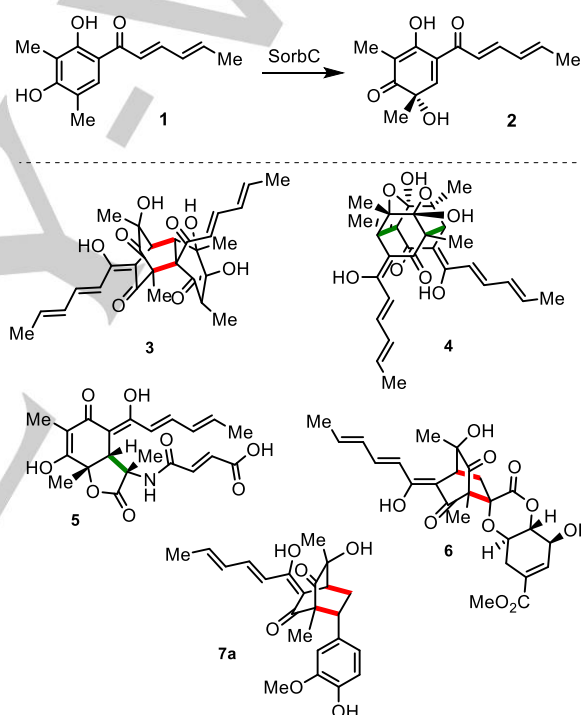


Figure 1. Top: Oxidative dearomatization of sorbicillin (**1**) to sorbicillinol (**2**). Bottom: Examples of dimeric sorbicillinoid natural products bisorbicillinol (**3**) and trichodimerol (**4**) and of further functionalized congeners formed by Michael addition (green bonds), e.g., sorbicillactone A (**5**), or Diels-Alder cycloaddition chemistry (red bonds), e.g., spirosorbicillinol A (**6**) and sorbicatechol A (**7a**).

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The reported biological activities of the functionalized sorbicillinoids are as diverse as their structures. Sorbicillactone A (**5**) is active against murine leukemic lymphoblast cell line L5178y with an IC₅₀ of 2.2 mg/mL and protects human T cells from HIV-1 in a concentration range of 0.3 and 3.0 mg/mL.^[5] Spirosorbicillinol A (**6**) shows DPPH-radical scavenging activity,^[6] a property also typical for dimeric sorbicillinoids such as **3**.^[3] While currently far less studied when compared to the above-mentioned compounds, sorbicatechol A (**7a**) was reported to exhibit promising anti-viral

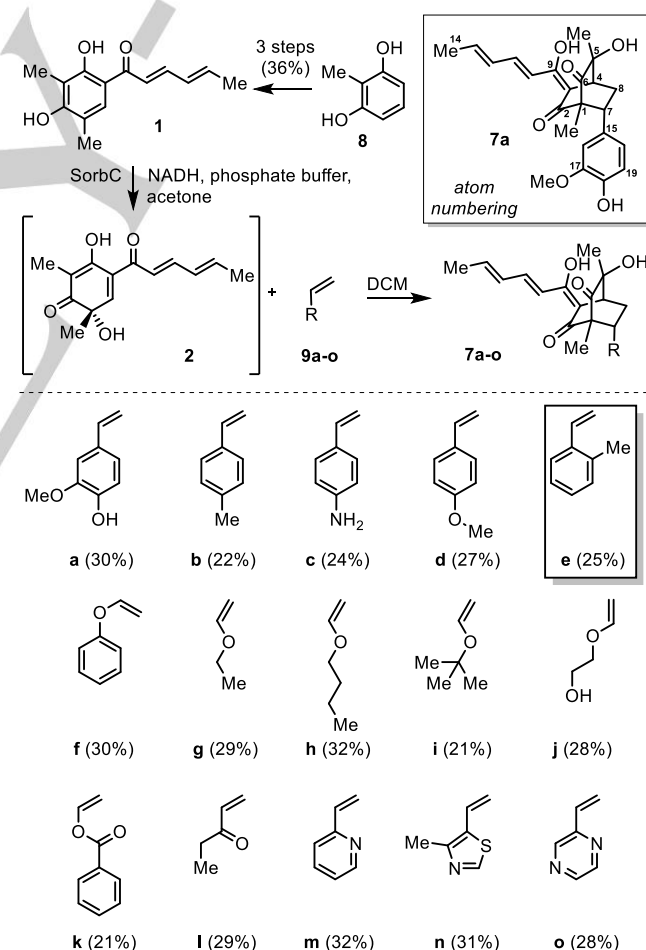
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activity against H1N1.^[7] With an IC₅₀ value of 85 μM, **7a** shows identical potential as the antiviral drug ribavirin (IC₅₀ of 84 μM). As the structural variability of any given type of natural sorbicillinoid core is rather limited and as the isolation of these compounds from the complex metabolic matrix of the fungus producer strains is tedious, synthetic strategies are required to facilitate biomedical studies on these fascinating molecules. While a number of elegant total synthetic routes towards dimeric sorbicillinoids such as **3** and **4** do exist, most of these are not stereoselective and/or rather lengthy.^[8] In case of the sorbicatechol core structure, only a single total synthetic approach exists. This led to the synthesis of *ent*-rezishanone bearing an ethoxy- instead of the 2-methoxyphenol substituent when compared to **7a**. Overall, the synthetic route contains >205 individual steps with a combined yield of less than 3%,^[9] clearly evidencing the need for improved synthetic strategies towards the sorbicillinoids. To this end, we have recently developed chemo-enzymatic approaches for the efficient one-pot synthesis of dimeric sorbicillinoids such as **3** and **4**, utilizing synthetically readily available sorbicillin (**1**) that gets oxidatively dearomatized employing SorbC to give sorbicillinol (**2**) **enantioselectively**. The latter can be dimerized in a controlled manner depending on the organic cosolvent employed during the biocatalytic reaction.^[10] This methodology was further extended to enable functionalization of **2** with external nucleophiles, e.g., leading to the first stereoselective total synthesis of epoxysorbicillinol, and dienophiles, yielding to the first synthetic access to rezishanones and sorbicatechol A (**7a**).^[11] We herein present the application of this chemo-enzymatic toolkit featuring a facile intramolecular Diels-Alder reaction for the straightforward preparation, of a focused library of sorbicatechol-type structural analogs to enable the evaluation of their anti-viral potential.

The required synthetic starting material **1** for the biocatalytic reaction was prepared in three-steps starting from 2-methylresorcinol following our published procedure.^[11] In a regio- and stereoselective oxidative dearomatization reaction using SorbC, **1** was transformed into **2**, the reactive precursor of all sorbicatechol derivatives. This oxidative dearomatization reactions proceeds with perfect stereocontrol, exclusively delivering the desired (S)-**2**.¹⁰ Quenching of the reaction solution containing **2** with a diverse set of dienophiles readily delivered the target compounds in yields ranging from 21% to 32% (Scheme 1), irrespective of the substitution of the ene-function. This is in the range of the typical product yields for all sorbicillinoids following this chemo-enzymatic strategy and can be explained by unreacted starting material **1** as well as by the formation of dimeric side-products, particularly **3**, that cannot be fully suppressed due to the intrinsic high reactivity of **2**. Besides the synthesis of sorbicatechol A (**7a**), sets of styrenes substituted at the aromatic portion (**9a-e**), of vinyl ethers (**9f-k**), ethyl vinyl ketone (**9l**) and heteroaromatic building blocks (**9m-o**) were employed to give the respective sorbicatechol analogs **7a-o**. All compounds were isolated by semi-preparative HPLC giving pure and stereochemically defined material (Figure S33).

In the original isolation paper, the absolute configuration of the biologically more active *endo* Diels-Alder product sorbicatechol (**7a**) and its likewise isolated, minor *exo* congener was thoroughly

investigated by a combination of DFT-calculations, 2D NOESY measurements and analysis of experimental versus calculated CD spectra, allowing for an unambiguous assignment of the absolute configuration to both compounds.^[7] Comparison of the NMR data of compound **7a** produced by our approach with the data reported for the respective *endo* and *exo* products clearly revealed our material to correspond to the *endo* product. This can most convincingly be deduced from chemical shift analysis of the ¹³C data, most importantly at C2 (**7a**: 198.0, reported for *endo*: 197.9, reported for *exo*: 199.7), C3 (112.2, 112.0, 110.5), C7 (47.8, 47.8, 49.9), C8 (31.5, 31.4, 30.2), C16 (110.4, 110.2, 111.2) and C5-methyl (24.4, 24.3, 25.2) as well as from difference in ¹H chemical shifts, particularly at H8 (1.84 and 3.05, 1.84 and 3.05, 2.25 and 2.57), H16 (6.44, 6.45, 6.71) and H20 (24.4, 24.3, 25.2). In addition, NOESY interactions between the protons of C1-methyl at the sorbicillin-derived core structure with H16 and C17-OMe can only be seen in the *exo* analog,^[7] and are consequently also absent in our product **7a** (Figure S4), in agreement with above *endo* assignment. Most importantly, the large chemical shift difference of the geminal protons attached to C8 as typical for the *endo* product **7a** (difference 1.21 ppm) when compared to *exo* (0.32), can also be found in all other compounds presented



Scheme 1. Synthesis of sorbicatechol A (**7a**) and 14 structural analogs **7b-o**. Product yields for each analog are given in brackets.

in this work (**7b**: 1.15; **7c**: 1.23, **7d**: 1.16, **7e**: 1.24, **7f**: 1.22, **7g**: 1.10, **7h**: 1.09, **7i**: 1.17, **7j**: 1.12, **7k**: 1.42, **7l**: 1.46, **7m**: 1.53, **7n**: 1.45, **7o**: 0.89), thus corroborating all products to be *endo*. [The](#)

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regioselectivity of the Diels-Alder cycloadditions can generally be explained by the directing effect of the C1-methyl group (see numbering of **7a** in Scheme 1, *ortho*-rule).

Because of the reported anti-viral activity of **7a** against H1N1, a set of the prepared sorbicatechol analogs **7a-o** was evaluated for its anti-viral activity against influenza A virus (IAV). Initial tests were performed using the gaussia luciferase reporter virus.^[12] Abundance of gaussia luciferase activity that accumulates in the supernatant of cells infected with this virus can be used as a proxy for virus replication. A resazurine conversion assay was also performed in order to assess the cytotoxic effect of the compounds on the cells.

Unfortunately, the tested compounds exhibited cytotoxicity to the viral host cells at concentrations below the observation of anti-viral effects. This effect thus not only made it impossible to assess any anti-viral effects against IAV, but also raises the question of the initially reported anti-viral activity of **7a**^[7] is a true anti-viral effect or rather the result of the cytotoxicity of the compound to the host cells. To further broaden our anti-viral screening we thus decided to expand from only testing *Orthomyxoviridae* (IAV) to *Retroviridae* by including tests against HIV-1. This system was also chosen due to the significant need for finding compounds active against HIV. Despite the fact that current anti-HIV drugs can minimize virus replication and thereby prevent the outbreak of AIDS, a series of severe problems remain, including the rapid emergence of resistant viruses, high virus variability, high costs and adverse side-effects.^[13] As test system for anti-HIV activity, the EASY-HIT assay^[14] was performed, which is based on the reporter cell line LC5-RIC that contains a stably integrated fluorescent reporter gene. Upon HIV infection these cells express the fluorescent marker dsRed which can be directly connected to the anti-HIV activity of a compound when reduced during treatment.^[14] We used the resazurin conversion assay, which is based on enzymatic reduction of resazurin,^[15] to check for any signs of negative effects on the vitality and metabolism of the LC5-RIC cells that could appear during the course of the EASY-HIT assay. Out of the 15 sorbicatechol analogs evaluated for anti-HIV properties, six compounds showed activities beyond their respective cytotoxicity values as determined by the viability assay.^[15] Interestingly, all active compounds were either derived from a styrene (**9a-e**) or equipped with an aromatic portion in close proximity to the ene functionality in the substrate, as in phenyl vinyl ether **9f**. All other compounds did not exhibit a measurable activity, including all additional ethers and all heteroaromatic systems as well as the benzoylated derivative **7k** with only one additional keto function in between the alkene and the aromatic moiety when compared to **7f**. These results strongly indicate that an aromatic portion in close proximity to the bicyclo[2.2.2]octane core structure of the sorbicatechols is required for activity. The selectivity indices (equaling the CC_{50}/IC_{50}) for the active compounds are mostly in the range of 1.37 to 1.72 (Table 1). In this range, cytotoxic effects on the host cells might indeed influence the anti-viral activity values. For the *ortho*-methyl substituted analog **7e**, however, a significant increase in activity can be observed, along with a slight decrease of cytotoxicity. Overall, this leads to a selectivity index of 3.49 for **7e**. Interestingly, a simple repositioning of the methyl substituent from the *para* (as in **7b**) to the *ortho* position led to a 2 fold increase in activity.

Table 1. Anti-HIV activity given as IC_{50} values and cytotoxicity determined as CC_{50} in the viability assay.^[15] The selectivity index is calculated as CC_{50}/IC_{50} . Emtricitabine (FTC) was used as HIV inhibition control.

7x	Anti-HIV activity IC_{50} [μ M]	Viability assay CC_{50} [μ M]	Selectivity index (CC_{50}/IC_{50})
a	65.9 \pm 4.68	102*	1.55
b	68.8 \pm 6.97	105.2*	1.53
c	75.9 \pm 7.37	125.2*	1.65
d	62.7 \pm 10.08	108.4*	1.72
e	32.2 \pm 2.52	112.3*	3.49
f	76.6 \pm 4.28	105.2*	1.37
FTC	0.7 \pm 0.22	>100.0	>140

Taken together, we have synthesized 15 sorbicatechol derivatives **7a-o** by application of a chemo-enzymatic synthesis of sorbicillinol (**2**) followed by quenching of **2** with a diverse set of dienophiles. Evaluation of their activity against IAV has revealed their cytotoxicity against the host cell system rather than a true anti-viral effect. Screening of the compound library against HIV-1 showed that aromatic substitution as the bicyclo[2.2.2]octane substructure is required to obtain anti-viral activity, although it remains weak. The strongest activity was obtained with **7e** with an IC_{50} value of approx. 32 μ M and a selectivity index of 3.49. The activity is thus about 50-fold lower when compared to the commercial virostatic drug emtricitabine (FTC) with a >40-fold decreased selectivity index. In contrast to previous reports, our findings did not reveal antiviral activity of the sorbicatechols in a range promising for further antiviral lead optimization.

Experimental Section

Experimental Details.

The synthesis of sorbicatechol derivatives follows a two-phase procedure, which is described here exemplary for the production of the most active derivative **7e**. First, sorbicillin (**1**) is dissolved in acetone and added to phosphate buffer (50 mM, pH = 8) with the enzyme SorbC. The reaction starts upon addition of NADH and is incubated for 6 h at room temperature. Second, the produced sorbicillinol (**2**) is extracted with organic solvent and *o*-methylstyrene (**9e**) is added. After slow evaporation of the organic solvent under reduced pressure, to increase the concentration of the dienophile slowly over time, the crude product is purified by preparative HPLC.

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2 bioactivity

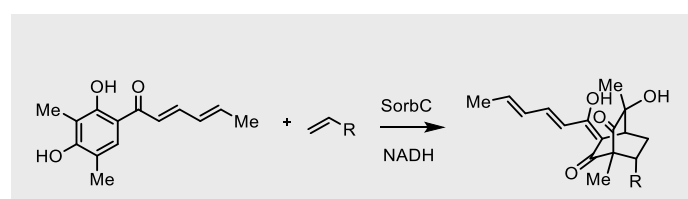
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COMMUNICATION



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32 Stereoselective oxidative dearomatization using the enzyme SorbC facilitated the
33 chemo-enzymatic total synthesis of a focused sorbicatechol library that allowed for
34 the assessment of the anti-viral properties of this class of natural products against
35 IAV and HIV.
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