Addressing the risk domain in the long-term management of pediatric asthma

Eckard Hamelmann^{1,2} | Erika von Mutius^{3,4} | Andrew Bush⁵ | Stanley J. Szefler^{6,7}

³Institute for Asthma and Allergy Prevention (IAP) at Helmholtz Zentrum München GmbH, Neuherberg, Germany

⁴Dr von Hauner Children's Hospital, Ludwig-Maximilians University, Munich, Germany

⁵Department of Paediatric Respiratory Medicine, Royal Brompton Hospital, London, IJK

⁶The Breathing Institute and Pulmonary Medicine Section, Children's Hospital Colorado, Aurora, CO, USA

⁷Department of Pediatrics, University of Colorado School of Medicine, Aurora, CO, USA

Correspondence

Stanley J. Szefler, The Breathing Institute; Section of Pulmonary Medicine; Children's Hospital Colorado, 13123 E 16th Ave, Box B395, Aurora, CO 80045.

 ${\it Email: Stanley. Szefler@childrens colorado.} \\$

Funding information

Boehringer Ingelheim

Editor: Ömer Kalaycı

Abstract

There is growing concern regarding the long-term outcomes of early and poorly controlled childhood asthma, either of which can potentially lead to the development of severe asthma in adults and irrecoverable loss of lung function leading to chronic obstructive pulmonary disease. These outcomes of inadequately controlled asthma should prompt a change in practice to better and/or earlier identify children at risk of adverse respiratory outcomes of asthma, to monitor disease progression, and to design intervention strategies that could either prevent or reverse asthma progression in children. The careful follow-up of spirometry over time—in the form of lung function trajectories, the application of biomarkers to assist in the diagnosis of early asthma and medication selection for these patients, as well as methods to identify patients at risk of asthma attacks-can be used to develop individualized management strategies for children with asthma. It is now time for asthma specialists to communicate this information to patients, parents, and primary care physicians and to incorporate them into routine clinical assessments of children with asthma. In time, these concepts of risk management and prevention can be refined to provide a more comprehensive approach to asthma care so as to prevent adverse respiratory outcomes from poorly controlled childhood asthma.

KEYWORDS

asthma, biomarkers, children, disease management, disease progression, early intervention, risk assessment, risk management, spirometry

1 | INTRODUCTION

Recent asthma guidelines¹⁻⁴ have emphasized achieving asthma control, defined within two domains: impairment and risk. The term "risk" draws attention to the assessment of the potential for

asthma attacks, adverse effects of medications, and progression of the disease. We have a unique opportunity to significantly reduce the worldwide burden of asthma in children and impact consequent respiratory outcomes in adults. However, this will require directing more attention to methods that can alter the natural history of

 $The peer review \ history \ for \ this \ article \ is \ available \ at \ https://publons.com/publon/10.1111/pai.13175.$

This manuscript is submitted as an expanded summary for the Boehringer Ingelheim-sponsored industry symposium at the 17th International Congress on Pediatric Pulmonology (CIPP) Toledo, Spain.

This is an open access article under the terms of the Creative Commons Attribution-NonCommercial License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited and is not used for commercial purposes.

© 2019 The Authors. *Pediatric Allergy and Immunology* published by John Wiley & Sons Ltd.

¹Department of Pediatrics, Children's Center Bethel, Evangelical Hospital Bethel, Bielefeld, Germany

²Allergy Center, Ruhr-University, Bochum, Germany

asthma, reduce asthma attacks, and prevent long-term adverse outcomes of childhood asthma. 5,6

There is growing concern regarding the long-term outcomes in many cases of early and poorly controlled childhood asthma, such as progression to severe asthma, irrecoverable loss of lung function, and chronic obstructive pulmonary disease (COPD) in later life. We surely need to direct attention to identifying patients at risk of these long-term adverse respiratory outcomes while not neglecting day-to-day symptom control. Also, we need to monitor disease progression and to design intervention strategies that could either prevent or reverse asthma progression in children.

With the rapid advancement in technology, and the introduction of new medications and new biomarkers, we will be able to move toward a more individualized treatment plan and technology-oriented monitoring. New strategies to reduce asthma attacks could have a long-term impact on the life course of asthma in individual patients: severe asthma attacks, particularly those where inhaled corticosteroids (ICS) are neither prescribed nor utilized, are associated with an impaired lung growth trajectory.^{8,9} This review will summarize information related to the importance and clinical implications of routinely following lung function trajectories in the life course of asthma that begins in childhood, the application of biomarkers to assist in the diagnosis and management of early childhood asthma, 10 and the importance of incorporating an assessment of risk for an asthma attack. While there is much information already available to support the application of these techniques to reduce risk, continued research will be important to refine our approach to managing childhood asthma and thus minimize adverse respiratory outcomes in adulthood.

2 | LUNG FUNCTION DEFICITS AMONG CHILDREN WITH ASTHMA AND WHEEZE

Wheezing symptoms are highly prevalent among children, particularly in the first 3 years of life. This is exemplified in the European multicenter PASTURE/EFRAIM birth cohort. Of the 1133 enrolled children, 84% had complete data on presence or absence of wheeze at no less than 5 of 6 yearly intervals. The parent-reported prevalence of wheeze in the previous 12 months amounted to 30.6% at 12 months of age and then gradually decreased to 15% at 6 years of age. Other birth cohort studies (such as Avon Longitudinal Study of Parents and Children [ALSPAC]) have shown even higher rates. The supplies the supplies of the previous 12 months are considered to 15% at 6 years of age. Other birth cohort studies (such as Avon Longitudinal Study of Parents and Children [ALSPAC]) have shown even higher rates.

Not all who wheeze progress to atopic allergic asthma. A number of birth cohort studies have shown different temporal patterns of wheeze over time. The first report from the Tucson Children's Respiratory Study (TCRS) showed that some children with early wheeze lose their symptoms around 3 years of age and do not show features frequently associated with asthma, such as atopic dermatitis, allergic sensitization, eosinophilia, or a family history of asthma and atopy. This temporal pattern has been termed "transient wheeze" and has been replicated in numerous other prospective studies using investigator- or data-driven definitions by latent class

Key Message

There is growing concern about the long-term risks associated with early and poorly controlled childhood asthma. This review focuses on risk assessment as an essential part of the management of all children with asthma. It examines the need to identify children at risk of poor outcomes, to monitor disease progression, and to design intervention strategies that can prevent or reverse asthma progression in children, in addition to focusing on day-to-day control of symptoms. Evidence suggests that individualized management strategies for children with asthma should take into account insights from spirometry, the use of biomarkers, and methods needed to identify children at high risk of asthma attacks. These measurements should be integrated into routine clinical assessments of children with asthma.

analyses. Transient wheeze has, however, repeatedly been associated with diminished lung function early in life, at school age and into adulthood. 11,13,14 The progression of asthma over school age and adolescence is related to the early development of atopic sensitization. In the German Multicentre Allergy Study (MAS) cohort, children who developed allergic sensitization up to the age of 6 years were at risk of persistent symptoms and decreased lung function at 6-13 years of age compared with non-atopic children. 15 Not all types of allergic sensitization mattered, but the early manifestation of sensitization to perennial indoor allergens in the first 3 years of life was the most important, whereas sensitization to pollen allergens or development after the age of 3 years was unrelated to lung function deficits. Likewise, in the Manchester Asthma and Allergy Cohort, multiple early aeroallergen sensitization was the strongest determinant of asthma symptoms, asthma attacks, and hospitalizations, whereas other atopic patterns were less indicative. 16

In the PASTURE/EFRAIM birth cohort, latent class analysis of atopic sensitization revealed a severe atopy class that was related to signs of a dysbalanced immune response. ¹⁷ Moreover, severe atopy determined high asthma risk and impaired lung function. Importantly, children with persistent wheeze, frequent asthma attacks, and multiple early atopy not only have diminished lung function, but also are at risk of a progressive loss of lung function from 3 to 11 years of age, as elegantly shown in the Manchester Cohort. ⁸

2.1 | Other determinants of low lung function in children

There is compelling evidence that maternal smoking and secondhand tobacco smoke exposure affect lung function. While the effects may seem minor at the general population level, strong harmful effects have been reported in children at risk. In the German crosssectional International Study of Asthma and Allergies in Childhood (ISAAC) study, deficiency in glutathione S-transferase enzymes, which are involved in the detoxification of environmental tobacco smoke, was assessed through genotyping and related to lung function in children. ¹⁸ In utero exposure to maternal smoking was mostly harmful in those with the genetic deficiency, which amounted to a very significant loss in maximal mid-expiratory flow of more than 30%. In contrast, in those without genetically determined deficiency, maternal smoking had no significant impact on lung function. Maternal and paternal asthma are also associated with adverse long-term outcomes in the child. ¹⁹ Among children at risk, significant reductions in lung function, particularly of small airways measures, were found.

As part of the ESCAPE project, data from a number of European birth cohort studies that had measured lung function at 6-8 years of age (n = 5,921) were analyzed. 20 Estimated levels of nitrogen oxides (NO), absorbance of particulate matter with aerodynamic diameters <2.5 μm (PM $_{2.5}$), and PM $_{2.5}$ at the current address were associated with small decreases in lung function; however, genetic susceptibility was not taken into account. Importantly, long-term PM $_{10}$ and nitrogen dioxide exposures were associated with small but statistically significant reductions in lung volume growth in children of elementary school age in the Manchester Cohort. 21

2.2 | Early-life origins of COPD

The Tasmanian Longitudinal Health Study (TAHS) is a population-based cohort study with multiple assessments of lung function over childhood into adulthood (ages 7, 13, 18, 45, 50, and 53 years).²² Six trajectories of forced expiratory volume in 1 second (FEV₁) were identified, three of which carry an increased risk of COPD at 53 years of age (Figure 1). These three trajectories were determined by events early in life such as childhood and parental asthma, bronchitis, pneumonia, hay fever, eczema, and maternal smoking. Personal smoking and active adult asthma increased the impact of maternal smoking and childhood asthma.

Trajectories of FEV₁ from early school age to adolescence were also studied in the Manchester Cohort and replicated in ALSPAC using data from school age to early adulthood.²³ Four FEV₁ trajectories were identified, of which the "Persistently Low" trajectory was likewise associated with early-life factors including recurrent wheeze with severe asthma attacks, early allergic sensitization and tobacco smoke exposure. These findings not only suggest that COPD may have its roots in childhood, but also that prevention of COPD may have to begin early in life through reducing maternal smoking and uptake of active smoking, particularly in those adolescents whose parents smoke or who already have poor lung function. Given that nicotine has definitely been proven harmful, vaping (inhaling nicotine by vapor) should also be discouraged. Furthermore, patients and their families should be made aware by their physicians of potential long-term sequelae of non-optimal asthma control resulting in reduced lung function, which is a significant risk factor for adult disease, particularly COPD.

In summary, assessing lung function trajectories is important for predicting the life course of respiratory disease. It is also important to communicate this information to primary care physicians, parents, and patients in order to engage them in strategies to not only improve pulmonary function, but also to avoid circumstances that pose further risks for loss of pulmonary function, such as smoking, vaping, occupational hazards, and environmental exposures. It is also important to stress adherence to ICS therapy if the child has had prior asthma attacks. For adolescents, this is also an excellent opportunity to discuss career counseling, and choices of location for higher education and future living conditions. It will also be important to determine whether biologic therapy might offer the potential to alter the course of airway remodeling. When a low lung function trajectory is identified, the next step is to understand whether there is evidence of active inflammation and then to assess the nature of that inflammation through the use of relevant biomarkers.

3 | BIOMARKERS IN THE EARLY DIAGNOSIS AND MANAGEMENT OF CHILDHOOD ASTHMA

Currently, the word "asthma" is used in many different ways, and diagnostic imprecision has led all too frequently to therapeutic confusion. A recent Asthma Commission published in *The Lancet* defines asthma as a clinical syndrome consisting of wheeze, breathlessness, chest tightness, and sometimes cough. 24 As such, the use of the word "asthma" is the start, not the end, of the diagnostic journey. The next question is: what sort of "asthma" does the patient have? Leading on from this, we need to use biomarkers to define treatable traits, of which the most important in pediatric airway disease are bronchodilator-responsive variable airflow obstruction (treated with inhaled β_2 -agonists), eosinophilic airway inflammation (treated with ICS), and airway bacterial infection (treated with antibiotics).

This principle extends to other airway diseases; thus, rather than asking, for example, whether survivors of preterm birth have "asthma," the questions become (a) whether they have an airway disease, and (b) if so, what treatable traits are present? So, in the case of survivors of prematurity, there is evidence of bronchodilator reversibility but no type-2 inflammation; $^{25\text{-}28}$ hence, β_2 -agonists are indicated rather than ICS. 29 Unfortunately, instead of using biomarkers such as blood eosinophil count and aeroallergen sensitization (below), we have relied on a history-based diagnosis of asthma, which is frequently wrong, 30,31 resulting in children who do not have any airway disease being overtreated. The Asthma Commission stressed the importance of making measurements before making a diagnosis or instituting treatment, something that is all too often neglected. 24

Ultimately, we need to move to more objective ways of making a diagnosis, such as gene signatures, as has been done so successfully in the field of infectious diseases.³²⁻³⁴ Indeed, a gene signature has been proposed as a specific diagnostic test in red cedar asthma,³⁵



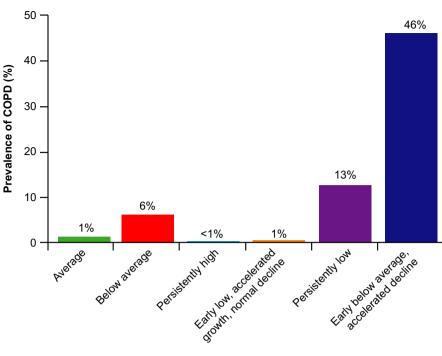


FIGURE 1 Prevalence of COPD in the six FEV₁ trajectories in the Tasmanian Longitudinal Health Study. Reproduced with permission from Bui et al.²² COPD, chronic obstructive pulmonary disease; FEV₁, forced expiratory volume in 1 second

for which, of course, there is a gold standard conventional diagnostic test.

3.1 | Personalizing asthma therapy with biomarkers

We often ask "at what age can we diagnose asthma?", instead of asking "how do we diagnose eosinophilic airway inflammation at any age?"³⁶ The INFANT study showed that two simple biomarkers—blood eosinophil count >300/μL and aeroallergen sensitization—could be used to target ICS in preschool wheeze.³⁷ The group recruited 300 children, and, in a blinded three-way cross-over design, compared regular ICS, intermittent ICS, and regular montelukast treatment using a composite outcome of asthma control days and time to an exacerbation for which oral corticosteroids were prescribed. They found that 42% were aeroallergen sensitized and 60% had a positive modified asthma predictive index. In support of this approach, Jochmann et al have shown good agreement between peripheral blood and bronchoalveolar lavage eosinophil count in a smaller group.³⁸ Hence, we can progress beyond symptom-driven therapy to using biomarkers to personalize treatment.

Clearly, as with every test in clinical pediatrics, biomarkers must be used critically. Most children who have eosinophilic airway inflammation are atopic, but many atopic children do not have airway disease. We know that fractional exhaled NO (FeNO) is elevated in atopic eosinophilic asthma, but may also be elevated in atopy without airway disease, and that multiple measurement factors (eg, performing spirometry) affect the readings. ^{39,40} The response of FeNO to steroid therapy is variable: ^{41,42} blood eosinophil count correlates with airway eosinophilia in some children, and thus with likely responsiveness to ICS, but may also be elevated in non-airway atopic disease (eg, eczema) and with parasite infections. This may

be particularly relevant in some low- and middle-income countries (LMICs) and emphasizes the need to test the utility of biomarkers in local settings before they are applied clinically.

3.2 | Biomarkers and adverse effects of asthma and its treatment

The assessment of asthma control is routine, but the domain of risk is also important, including risk of adverse effects of treatment. There is some evidence that ICS side effects relate not to the absolute dose, but to whether the prescribed dose is excessive. In an important study by Brutsche et al, clearance of an intravenous dose of fluticasone was the same in asthmatics compared with non-asthmatics, but systemic absorption of inhaled fluticasone was much greater in non-asthmatics, implying that adjusting ICS dose according to the degree of inflammation may be beneficial. We speculate that titrating the dose of ICS against FeNO—or preferably, but much less practically, to measure induced sputum eosinophils—may allow dose reduction without loss of benefit while reducing the risk of adrenal suppression. In the future, more specific markers of ongoing airway type-2 inflammation that are responsive to treatment would be an even better option.

Another risk in asthmatics is impaired airway growth;⁷ however, the mechanism is unclear and we have no childhood biomarkers for this risk. This is a really important issue, because failure to attain the normal plateau of airway function at 20-25 years of age⁴⁴ is associated with a 26% incidence of early-onset COPD,^{45,46} and increased all-cause mortality as early as the third decade of life.^{47,48} In preschool children, impaired lung growth is predicted by multiple early aeroallergen sensitization and severe wheeze attacks.⁸ In adults, accelerated lung aging (which carries a lesser, but still significant risk of COPD) is associated

with both a raised blood eosinophil count >400/ μ L, ⁴⁹ elevated FeNO, and elevated CD3, CD4, and CD8 cells in the airway mucosa. ^{50,51}

3.3 | Future role of biomarkers

Currently, we are focused on phenotypes, defined as the set of observable characteristics of an individual resulting from the interaction of their genotype with the environment (eg, eosinophilic versus non-eosinophilic asthma). Provided that phenotyping leads to beneficial action, this is an improvement on patient history and physical examination, but it results in relatively non-specific treatments. We need to move to endotypes, defined as a subtype of a condition defined by a distinct pathophysiologic mechanism. This should result in pathway-specific treatments, which are essential as more and different monoclonal antibodies become available. So, for example, airway eosinophilia is not synonymous with type-2 inflammation. 52-54 However, we still have a long way to go. In the U-BIOPRED adult cohort, Th2-high asthma, as defined by bronchial epithelial cell transcriptomics, was best predicted by sputum eosinophilia; blood eosinophilia and FeNO were also moderately predictive, but serum periostin was entirely useless.⁵⁵

In summary, we have some initial biomarkers to work with that should lead to the discovery of new ones to help diagnose and manage asthma appropriately. We should currently be using exhaled nitric oxide and peripheral blood eosinophil count as an aid to asthma diagnosis, at least in a developed world setting. Similarly, at least in this setting, we can reduce the prevalence of asthma attacks by titrating ICS dose using exhaled nitric oxide measurements. 10 Induced sputum is likely to be too time-consuming a tool to use routinely in pediatric asthma, and the evidence for benefit in children is less compelling than in adults. There is an increasing trend toward using gene signatures diagnostically, and we need to move in that direction. Unfortunately, we are not even at the stage of phenotypes in most cases, let alone where we need to be, with endotypes in the era of the multiplicity of novel biologics. In the years to come, we need to move to biomarker-driven, objective diagnosis, risk stratification, and treatment decisions.

4 | PREDICTING ASTHMA ATTACKS

For good reason, the Global Initiative for Asthma and other national and international asthma guidelines describe the "reduction of the future risk" as one of the two main targets for asthma therapy.^{2,56} An important future risk in this context is frequent and severe asthma attacks, above all accounting for a constant threat for children and their families. Despite considerable advances in asthma therapy and management, including introduction of pediatric guidelines and education programs, acute asthma attacks occur more or less frequently in many patients from various causes and may result in serious outcomes such as hospitalization, intubation, or even death.

A joint statement of the American Thoracic Society and the European Respiratory Society defined severe asthma "exacerbations" as (a) an asthma-related hospitalization or visit to the emergency department that leads to treatment with systemic (oral, intramuscular or intravenous) corticosteroids, or (b) use of systemic corticosteroids (or an increase from a maintenance dose) for asthma for at least 3 days.⁵⁷ A more general definition is provided by the position statement on asthma attacks and severe asthma by the European Academy of Allergy, Asthma and Clinical Immunology, which states that asthma attacks should be considered when an increase in a patient's asthma symptoms with increasingly impaired lung function requires increased medication and an unscheduled visit to a physician or hospitalization.⁵⁸ However, this definition could also encompass loss of asthma control, characterized by increased within-day peak flow variability, as well as an attack, which is different, being characterized by a steep fall in peak flow²⁴ and no change in within-day variability.

Asthma attacks and severe asthma are linked with high morbidity, significant mortality, and high treatment costs: in the United States, around 10% of all children with asthma experience one asthma-related hospitalization and at least one unscheduled visit to the emergency room. Frequent and/or recurrent asthma attacks are closely associated with a decline in lung function and, in childhood, are linked to the development of persistent asthma. Solid In order to achieve the treatment goal of future risk reduction, it is therefore mandatory to (a) (retrospectively) identify the patients at risk of frequent or severe asthma attacks and (b) to (prospectively) predict the patients at future risk through clinical signs or biomarkers.

4.1 | Identification of patients at risk

The Epidemiology and Natural History of Asthma: Outcomes and Treatment Regimens (TENOR) 3-year observational study followed children (aged 6-11 years: n = 637) with asthma⁵⁹ and recorded hospitalization, emergency room, and unscheduled doctor visits.⁶⁰ Firstly, besides the obvious relationship between poor asthma control and increased risk of asthma attacks, the authors found an imbalance in the risk between different ethnic and social groups, demonstrating a significantly higher risk for children with African American background. 59,60 It should be appreciated that this may not be the case in other healthcare systems in a developed world setting. Secondly, the study showed that children with high or multiple allergic sensitizations are especially susceptible to frequent asthma attacks, ⁵⁹ pointing toward the important role of allergies, synergistic with viral infections, 61 in the context of childhood asthma. Finally, and maybe most importantly, TENOR and many other studies have shown a striking relation between the risks of future severe asthma attacks and the occurrence of recent asthma attacks. The Severe Asthma Research Program (SARP) was able to define different subtypes of children with severe asthma by cluster analysis, but also demonstrated that asthma attacks were frequent in all four clusters,

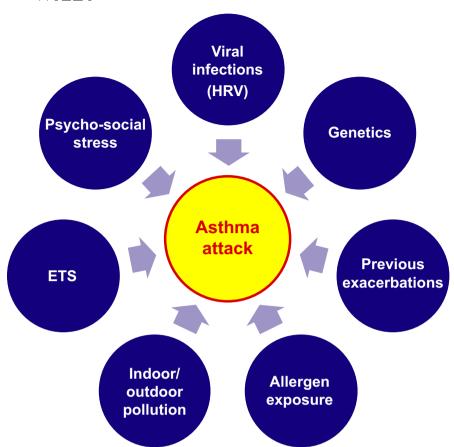


FIGURE 2 Asthma attacks may result from multiple causes and require an individualized approach to management and prevention. ETS, environmental tobacco smoke; HRV, human rhinovirus

independent of lung function or disease duration. 62 A systematic review of risk factors for asthma attacks summarizing 68 manuscripts has recently been published. 63

More recently, a genome-wide association study identified a gene—cadherin-related family member 3—as a susceptibility locus for early childhood asthma with severe asthma attacks, demonstrating significantly increased risk of asthma hospitalization during the first 6 years of life in the presence of certain variants of this gene. ⁶⁴ The putative mechanism of this association—a reduced barrier function of the airway epithelium against virus entry via a specific receptor (RV-C receptor) ⁶⁵—points toward another main risk factor for asthma attacks: virus infections.

In a study investigating children with acute asthma, Bizzintino et al demonstrated that human rhinovirus (RV), in particular RV-C, accounted for the majority of asthma attacks and caused more severe attacks than other viruses. ⁶⁶ Lower respiratory tract infections cause asthma attacks, especially in atopic children, ⁶⁷ and act in a synergistic way in allergic patients by enhanced expression of proallergic cytokines (interleukin [IL]-4/IL-13) and high-affinity immunoglobulin E (IgE)-receptor, thus augmenting allergic inflammation in a "two-hit fashion." ^{68,69} The susceptibility toward allergen-induced asthma attacks may also be genetically predisposed. A genome-wide differential gene expression study in response to dust mite allergen identified IL-9, a biologically plausible gene target that may interact with environmental dust mite, which may increase severe asthma attacks in children after allergen exposure. ⁷⁰ In summary, many factors

contribute to why and how children with asthma are at high risk of asthma attacks (Figure 2).

4.2 | Predicting patients at risk of an asthma attack

In order to better treat, or even prevent, asthma attacks in asthmatic children, one would ideally be able to use a simple, noninvasive, and inexpensive test to predict future risk. However, several issues hamper this: Asthma attacks are often (although not necessarily) preceded by decreased asthma control, but frequently occur out of a seemingly stable situation. The use of a clinical score, for example, the Asthma Control Test for Children (C-ACT), will reflect the actual situation of the patient, but is unable to predict the future risk. For the same reason, lung function measurements at a given time point have a very poor positive predictive value for acute asthma attacks. Furthermore, a single FeNO measurement was not predictive for asthma attacks in the following 12 months, and severe asthma attacks were not preceded by FeNO increase in the previous 2 weeks.⁷¹ Other biomarkers, such as exhaled breath condensate, sputum eosinophilia, urine bromotyrosine, urine metabolome, and serum vitamin D levels, are under investigation, but are currently unable to predict future asthma attacks.⁷²

Even in the case of a sudden loss of control, patients or caregivers do not always recognize this as an imminent threat, and

TABLE 1 Summary of key points

- Reduced childhood lung function is associated with transient wheeze in the first 3 years of life. This wheezing phenotype is unrelated to asthma but is a marker of risk of COPD later in life.
- Risk factors for reduced lung function in childhood are bronchopulmonary disease in premature infants, pneumonia in the first 3 years of life, childhood and parental asthma, severe attacks of wheeze, and environmental pollutants, in particular, maternal smoking and second-hand tobacco exposure.
- Lung function tracks from childhood to adulthood—and therefore
 many determinants of reduced lung function and COPD—are
 found in early life. Childhood determinants are aggravated by
 adult exposures, in particular, active smoking.
- We have several biomarkers available, such as blood eosinophils, exhaled nitric oxide and aeroallergen sensitization, to guide therapy, but more reliable biomarkers are needed.
- Gene signatures hold promise for the diagnosis of asthma, but need further validation.
- We need to move from phenotype-driven decisions to endotypedirected methods to discover and utilize biologics appropriately.
- Asthma attacks in children are frequent and may account for future loss of lung function, development of persistent asthma, and high morbidity and costs.
- Previous asthma attacks are the best predictor for future severe asthma attacks and should be a signal for specific management and care for these patients.
- Novel predictive tools using clinical information, healthcare data, and/or serial measurements of lung function are in current development. Accurate biomarkers are still missing.
- Adequate asthma control reduces the risk of severe asthma attacks in children and is one cornerstone for this goal.

Abbreviations: COPD, chronic obstructive pulmonary disease.

adolescents especially fail to recognize the possible consequences. A child can present with normal lung function and good overall health status on one day and experience a severe asthma attack on the other. Predictors or biomarkers for imminent asthma attacks are more or less absent. The strongest clinical predictor of a general risk for future asthma attacks remains a previous asthma attack: 25% of children with a previous asthma attack had at least one subsequent asthma attack in the following 12 months.⁷³

In an attempt to summarize this clinical risk, the Asthma Exacerbation Clinical Score (ECS)—ascertained from 17 questions in the four domains of symptoms, medication, healthcare utilization, and medical history—was validated in a cross-sectional study and evaluated using data from the Childhood Asthma Management Program (CAMP). ECS was able to predict asthma attacks up to 1 year later with a sensitivity of 0.69; therefore, it may be a helpful tool in patients with increased risk. All In a similar approach, Bateman et al evaluated a risk score for "exacerbation" (RSE) in 7446 adult patients from three independent studies, using five dominant baseline predictors for a severe asthma attack. By using simple clinical assessments, RSE predicted the risk of uncontrolled asthma within 3 months and severe asthma attacks within 12 months with high sensitivity. However, this approach has not

yet been validated in asthmatic children. A retrospective analysis used data from two trials on inner-city asthma in children in the United States to identify season-specific risk factors for asthma exacerbations and developed a Seasonal Asthma Exacerbation Predictive Index (saEPI) consisting of eight variables to determine exacerbation risk during each season.⁷⁶ This index was validated in a separate trial designed to prevent fall exacerbations with anti-IgE/omalizumab therapy, showing that exacerbations were associated with a higher saEPI, higher markers of allergic inflammation, higher treatment steps, and recent exacerbations.⁷⁷ Although saEPI was able to reliably predict those children unlikely to have an asthma exacerbation, there is still a need to develop better markers to predict poor response to therapy and high risk for exacerbations. The future will tell if the broader use of these, or yet-to-be-defined, scores in daily practice will be able to better predict and, importantly, better prevent, future asthma attacks. Of note, any such developed world scores will need to be validated in LMICs before being introduced in that context. Achieving this would be a major improvement in the management of childhood asthma.

In summary, focusing on the risk domain necessitates methods to predict and prevent asthma attacks. In the past, asthma attacks were largely unpredictable. Now, we have information that identifies risk factors for an asthma attack, and this information should be used to develop strategies to prevent asthma exacerbations by implementing a detailed and focused assessment of the child, the asthma plan, and the current treatment. In future, the application of technology could also be used to refine our ability to identify patients within a population that are at risk for an exacerbation (eg, with the application of machine-learning techniques and personal monitoring) in order to enhance our ability to predict those at risk for an asthma attack and hopefully prevent that asthma attack.

5 | CONCLUSION

In summary, an assessment of risk is an essential part of the management of all children with asthma. Table 1 provides a summary of key points in this review. The challenge for the future is to apply this information in the clinical setting both to identify emerging atopic and eosinophilic asthma early in life, and to monitor the life course of respiratory disease. This should start with measuring lung function in children with repeated episodes of respiratory distress as early as possible, and monitoring it from that point on. For those with evidence of persistent asthma symptoms, assessment of allergic sensitization, evidence of airway inflammation (such as blood or sputum eosinophilia), and measurement of FeNO can provide information on the type of airway inflammation. Spirometry should then be measured regularly to identify those on a low lung function track. Monitoring risk of future attacks obviously involves more than biomarkers. A previous attack, poor adherence to ICS, overuse of bronchodilators, and failure to engage with regular follow-up are all markers of risk, but so is uncontrolled type-2 inflammation, which



can be monitored using FeNO. Indices to calculate risk of asthma attacks based on prior asthma attacks, lung function, allergic sensitization, and biomarkers should be used to inform treatment adjustment. In summary, asthma impairment and risk are separate (albeit to some extent overlapping) issues, and objective measurement of future risk must become part of routine clinical assessments of children with asthma.

ACKNOWLEDGEMENTS

Administrative assistance for the preparation and submission of the manuscript was provided by Kristina Standeven, PhD, of MediTech Media and funded by Boehringer Ingelheim.

CONFLICT OF INTEREST

All authors participated in a Boehringer Ingelheim-funded symposium at the International Congress on Pediatric Pulmonology (CIPP) in Toledo, Spain, in 2018, for which they had independent input into their presentations. The authors have independently developed this article based on their symposium contributions. Stanley J. Szefler, Erika von Mutius, and Eckard Hamelmann were supported for their participation in the symposium by Boehringer Ingelheim.

Eckard Hamelmann has consulted for AllergoPharma, ALK, Boehringer Ingelheim, Bencard, GlaxoSmithKline, HAL Allergy, Novartis, Nutricia, and Teva and has received research support from the German National Ministry of Education and Research (BMBF) and the German Research Society (DFG). He is president of the German Asthma Net (GAN e.V.) and vice president of the German Allergy Society (DGAKI).

Erika von Mutius has consulted for Boehringer Ingelheim, Pharma Ventures, Peptinnovate Ltd., OM Pharma SA, the European Commission/European Research Council Executive Agency, Tampere University, the University of Turku, HAL Allergie GmbH, Ökosoziales Forum Oberösterreich, and Mundipharma Deutschland GmbH & Co. KG.

Andrew Bush declares no conflict of interest.

Stanley J. Szefler has consulted for Aerocrine, AstraZeneca, Boehringer Ingelheim, Daiichi Sankyo, GlaxoSmithKline, Genentech, Novartis, Regeneron, and Sanofi and has received research support from the National Institutes of Health, the National Heart, Lung and Blood Institute, GlaxoSmithKline, and the Colorado Department of Public Health and Environment's Colorado Cancer, Cardiovascular and Pulmonary Disease Program.

ORCID

Stanley J. Szefler https://orcid.org/0000-0002-6911-3199

REFERENCES

 National Asthma Education and Prevention Program. Expert panel report 3 (EPR-3): guidelines for the diagnosis and

- management of asthma-summary report 2007. J Allergy Clin Immunol. 2007;120(Suppl 5):S94-S138.
- Buhl R, Bals R, Baur X, et al. Guideline for the diagnosis and treatment of asthma guideline of the German respiratory society and the German Atemwegsliga in cooperation with the paediatric respiratory society and the Austrian society of pneumology. *Pneumologie*. 2017;71(12):e3.
- Global Initiative for Asthma. Global strategy for asthma management and prevention (2019 report). https://ginasthma.org/wp-content/uploads/2019/06/GINA-2019-main-report-June-2019-wms.pdf. 2019. Accessed October 29, 2019.
- Scottish Intercollegiate Guidelines Network, British Thoracic Society. British guideline on the management of asthma. https://www.brit-thoracic.org.uk/document-library/guidelines/asthma/btssign-guideline-for-the-management-of-asthma-2019/. 2019. Accessed October 29, 2019.
- 5. Szefler SJ. Asthma across the lifespan: time for a paradigm shift. *J Allergy Clin Immunol.* 2018;142(3):773-780.
- Szefler SJ. Boehringer Ingelheim satellite symposium. Choosing the right controller therapy in pediatric patients with asthma. *Pediatr Pulmonol*. 2018;53(S1):S171-S173.
- 7. McGeachie MJ, Yates KP, Zhou X, et al. Patterns of growth and decline in lung function in persistent childhood asthma. *N Engl J Med*. 2016;374(19):1842-1852.
- Belgrave DC, Buchan I, Bishop C, Lowe L, Simpson A, Custovic A. Trajectories of lung function during childhood. Am J Respir Crit Care Med. 2014;189(9):1101-1109.
- O'Byrne PM, Pedersen S, Lamm CJ, Tan WC, Busse WW, Group SI. Severe exacerbations and decline in lung function in asthma. Am J Respir Crit Care Med. 2009;179(1):19-24.
- Petsky HL, Cates CJ, Kew KM, Chang AB. Tailoring asthma treatment on eosinophilic markers (exhaled nitric oxide or sputum eosinophils): a systematic review and meta-analysis. *Thorax*. 2018;73(12):1110-1119.
- Depner M, Fuchs O, Genuneit J, et al. Clinical and epidemiologic phenotypes of childhood asthma. Am J Respir Crit Care Med. 2014;189(2):129-138.
- Savenije OE, Granell R, Caudri D, et al. Comparison of childhood wheezing phenotypes in 2 birth cohorts: ALSPAC and PIAMA. J Allergy Clin Immunol. 2011;127(6):1505-1512.e1514.
- Martinez FD, Wright AL, Taussig LM, Holberg CJ, Halonen M, Morgan WJ. Asthma and wheezing in the first six years of life. The Group Health Medical Associates. N Engl J Med. 1995;332(3):133-138.
- 14. Ma H, Li Y, Tang L, et al. Impact of childhood wheezing on lung function in adulthood: a meta-analysis. *PLoS ONE*. 2018;13(2):e0192390.
- Illi S, von Mutius E, Lau S, et al. Perennial allergen sensitisation early in life and chronic asthma in children: a birth cohort study. *Lancet*. 2006;368(9537):763-770.
- Simpson A, Tan VY, Winn J, et al. Beyond atopy: multiple patterns of sensitization in relation to asthma in a birth cohort study. Am J Respir Crit Care Med. 2010;181(11):1200-1206.
- Hose AJ, Depner M, Illi S, et al. Latent class analysis reveals clinically relevant atopy phenotypes in 2 birth cohorts. J Allergy Clin Immunol. 2017;139(6):1935-1945.e1912.
- Kabesch M, Hoefler C, Carr D, Leupold W, Weiland SK, von Mutius
 E. Glutathione S transferase deficiency and passive smoking increase childhood asthma. *Thorax*. 2004;59(7):569-573.
- 19. Svanes C, Sunyer J, Plana E, et al. Early life origins of chronic obstructive pulmonary disease. *Thorax*. 2010;65(1):14-20.
- Gehring U, Gruzieva O, Agius RM, et al. Air pollution exposure and lung function in children: the ESCAPE project. *Environ Health Perspect*. 2013;121(11–12):1357-1364.
- Molter A, Agius RM, de Vocht F, et al. Long-term exposure to PM10 and NO2 in association with lung volume and airway

- resistance in the MAAS birth cohort. Environ Health Perspect. 2013;121(10):1232-1238.
- Bui DS, Lodge CJ, Burgess JA, et al. Childhood predictors of lung function trajectories and future COPD risk: a prospective cohort study from the first to the sixth decade of life. Lancet Respir Med. 2018;6(7):535-544.
- Mahmoud O, Granell R, Tilling K, et al. Association of height growth in puberty with lung function. A longitudinal study. Am J Respir Crit Care Med. 2018;198(12):1539-1548.
- Pavord ID, Beasley R, Agusti A, et al. After asthma: redefining airways diseases. *Lancet*. 2018;391(10118):350-400.
- Narang I, Rosenthal M, Cremonesini D, Silverman M, Bush A. Longitudinal evaluation of airway function 21 years after preterm birth. Am J Respir Crit Care Med. 2008;178(1):74-80.
- Fawke J, Lum S, Kirkby J, et al. Lung function and respiratory symptoms at 11 years in children born extremely preterm: the EPICure study. Am J Respir Crit Care Med. 2010;182(2):237-245.
- Baraldi E, Bonetto G, Zacchello F, Filippone M. Low exhaled nitric oxide in school-age children with bronchopulmonary dysplasia and airflow limitation. Am J Respir Crit Care Med. 2005;171(1):68-72.
- Carraro S, Piacentini G, Lusiani M, et al. Exhaled air temperature in children with bronchopulmonary dysplasia. *Pediatr Pulmonol*. 2010;45(12):1240-1245.
- Chan KN, Silverman M. Increased airway responsiveness in children of low birth weight at school age: effect of topical corticosteroids. Arch Dis Child. 1993;69(1):120-124.
- Aaron SD, Vandemheen KL, FitzGerald JM, et al. Reevaluation of diagnosis in adults with physician-diagnosed asthma. JAMA. 2017;317(3):269-279.
- Looijmans-van den Akker I, van Luijn K, Verheij T. Overdiagnosis of asthma in children in primary care: a retrospective analysis. Br J Gen Pract. 2016;66(644):e152-e157.
- Anderson ST, Kaforou M, Brent AJ, et al. Diagnosis of childhood tuberculosis and host RNA expression in Africa. N Engl J Med. 2014;370(18):1712-1723.
- Kaforou M, Herberg JA, Wright VJ, Coin LJM, Levin M. Diagnosis of bacterial infection using a 2-transcript host RNA signature in febrile infants 60 days or younger. JAMA. 2017;317(15):1577-1578.
- Herberg JA, Kaforou M, Wright VJ, et al. Diagnostic test accuracy of a 2-transcript host RNA signature for discriminating bacterial vs viral infection in febrile children. JAMA. 2016;316(8):835-845.
- 35. Yang CX, Singh A, Kim YW, Conway EM, Carlsten C, Tebbutt SJ. Diagnosis of western red cedar asthma using a blood-based gene expression biomarker panel. Am J Respir Crit Care Med. 2017;196(12):1615-1617.
- Bush A, Pavord ID. We can't diagnose asthma until <insert arbitrary age>. Arch Dis Child. 2018;103(8):729-731.
- Fitzpatrick AM, Jackson DJ, Mauger DT, et al. Individualized therapy for persistent asthma in young children. J Allergy Clin Immunol. 2016;138(6):1608-1618.e1612.
- Jochmann A, Artusio L, Robson K, et al. Infection and inflammation in induced sputum from preschool children with chronic airways diseases. *Pediatr Pulmonol*. 2016;51(8):778-786.
- 39. Pijnenburg MW, De Jongste JC. Exhaled nitric oxide in childhood asthma: a review. *Clin Exp Allergy*. 2008;38(2):246-259.
- Ninomiya T, Odajima H, Honjo S, et al. Effect of spirometry on exhaled nitric oxide values in asthmatic children. *Pediatr Allergy Immunol*. 2019;30(6):654-657.
- 41. Payne DN, Wilson NM, James A, Hablas H, Agrafioti C, Bush A. Evidence for different subgroups of difficult asthma in children. *Thorax*. 2001;56(5):345-350.
- 42. Pijnenburg MW, Bakker EM, Lever S, Hop WC, De Jongste JC. High fractional concentration of nitric oxide in exhaled air despite steroid treatment in asthmatic children. Clin Exp Allergy. 2005;35(7):920-925.

- 43. Brutsche MH, Brutsche IC, Munawar M, et al. Comparison of pharmacokinetics and systemic effects of inhaled fluticasone propionate in patients with asthma and healthy volunteers: a randomised crossover study. *Lancet*. 2000;356(9229):556-561.
- 44. Quanjer PH, Stanojevic S, Cole TJ, et al. Multi-ethnic reference values for spirometry for the 3–95-yr age range: the global lung function 2012 equations. *Eur Respir J.* 2012;40(6):1324-1343.
- Lange P, Celli B, Agusti A, et al. Lung-function trajectories leading to chronic obstructive pulmonary disease. N Engl J Med. 2015;373(2):111-122.
- Melén E, Guerra S, Hallberg J, Jarvis D, Stanojevic S. Linking COPD epidemiology with pediatric asthma care: Implications for the patient and the physician. *Pediatr Allergy Immunol*. 2019;30(6):589-597.
- Vasquez MM, Zhou M, Hu C, Martinez FD, Guerra S. Low lung function in young adult life is associated with early mortality. Am J Respir Crit Care Med. 2017;195(10):1399-1401.
- 48. Agusti A, Noell G, Brugada J, Faner R. Lung function in early adulthood and health in later life: a transgenerational cohort analysis. *Lancet Respir Med.* 2017;5(12):935-945.
- 49. Hancox RJ, Pavord ID, Sears MR. Associations between blood eosinophils and decline in lung function among adults with and without asthma. *Eur Respir J.* 2018;51(4):1702536.
- Coumou H, Westerhof GA. de Nijs SB, Zwinderman AH, Bel EH. Predictors of accelerated decline in lung function in adult-onset asthma. Eur Respir J. 2018;51(2);1701785.
- 51. den Otter I, Willems LN, van Schadewijk A, et al. Lung function decline in asthma patients with elevated bronchial CD8, CD4 and CD3 cells. *Eur Respir J.* 2016;48(2):393-402.
- 52. Fitzpatrick AM, Higgins M, Holguin F, et al. The molecular phenotype of severe asthma in children. *J Allergy Clin Immunol*. 2010;125(4):851-857.e818.
- Bossley CJ, Fleming L, Gupta A, et al. Pediatric severe asthma is characterized by eosinophilia and remodeling without T(H)2 cytokines. J Allergy Clin Immunol. 2012;129(4):974-982.e913.
- 54. Kuo CS, Pavlidis S, Loza M, et al. T-helper cell type 2 (Th2) and non-Th2 molecular phenotypes of asthma using sputum transcriptomics in U-BIOPRED. *Eur Respir J.* 2017;49(2):1602135.
- Pavlidis S, Takahashi K, Ng Kee Kwong F, et al. "T2-high" in severe asthma related to blood eosinophil, exhaled nitric oxide and serum periostin. Eur Respir J. 2019;53(1):pii;1800938.
- Reddel HK, Bateman ED, Becker A, et al. A summary of the new GINA strategy: a roadmap to asthma control. Eur Respir J. 2015;46(3):622-639.
- 57. Reddel HK, Taylor DR, Bateman ED, et al. An official American Thoracic Society/European Respiratory Society statement: asthma control and exacerbations: standardizing endpoints for clinical asthma trials and clinical practice. Am J Respir Crit Care Med. 2009;180(1):59-99.
- Custovic A, Johnston SL, Pavord I, et al. EAACI position statement on asthma exacerbations and severe asthma. *Allergy*. 2013;68(12):1520-1531.
- Haselkorn T, Zeiger RS, Chipps BE, et al. Recent asthma exacerbations predict future exacerbations in children with severe or difficult-to-treat asthma. J Allergy Clin Immunol. 2009;124(5):921-927.
- 60. Haselkorn T, Fish JE, Zeiger RS, et al. Consistently very poorly controlled asthma, as defined by the impairment domain of the Expert Panel Report 3 guidelines, increases risk for future severe asthma exacerbations in The Epidemiology and Natural History of Asthma: Outcomes and Treatment Regimens (TENOR) study. J Allergy Clin Immunol. 2009;124(5):pp. 895–902.e891-894.
- 61. Murray CS, Poletti G, Kebadze T, et al. Study of modifiable risk factors for asthma exacerbations: virus infection and allergen exposure increase the risk of asthma hospital admissions in children. *Thorax*. 2006;61(5):376-382.

- 62. Fitzpatrick AM, Teague WG, Meyers DA, et al. Heterogeneity of severe asthma in childhood: confirmation by cluster analysis of children in the National Institutes of Health/National Heart, Lung, and Blood Institute Severe Asthma Research Program. *J Allergy Clin Immunol.* 2011;127(2):pp. 382–389.e381-313.
- Buelo A, McLean S, Julious S, et al. At-risk children with asthma (ARC): a systematic review. *Thorax*. 2018;73(9):813-824.
- 64. Bonnelykke K, Sleiman P, Nielsen K, et al. A genome-wide association study identifies CDHR3 as a susceptibility locus for early childhood asthma with severe exacerbations. *Nat Genet*. 2014;46(1):51-55.
- Bochkov YA, Watters K, Ashraf S, et al. Cadherin-related family member 3, a childhood asthma susceptibility gene product, mediates rhinovirus C binding and replication. *Proc Natl Acad Sci U S A*. 2015:112(17):5485-5490.
- 66. Bizzintino J, Lee WM, Laing IA, et al. Association between human rhinovirus C and severity of acute asthma in children. *Eur Respir J*. 2011;37(5):1037-1042.
- 67. Olenec JP, Kim WK, Lee WM, et al. Weekly monitoring of children with asthma for infections and illness during common cold seasons. *J Allergy Clin Immunol.* 2010;125(5):1001-1006.e1001.
- 68. Holt PG, Sly PD. Interaction between adaptive and innate immune pathways in the pathogenesis of atopic asthma: operation of a lung/bone marrow axis. *Chest*. 2011;139(5):1165-1171.
- Sly PD, Boner AL, Bjorksten B, et al. Early identification of atopy in the prediction of persistent asthma in children. *Lancet*. 2008;372(9643):1100-1106.
- Sordillo JE, Kelly R, Bunyavanich S, et al. Genome-wide expression profiles identify potential targets for gene-environment interactions in asthma severity. J Allergy Clin Immunol. 2015;136(4):885-892.e882.

- 71. Fleming L. Asthma exacerbation prediction: recent insights. *Curr Opin Allergy Clin Immunol*. 2018;18(2):117-123.
- 72. Puranik S, Forno E, Bush A, Celedon JC. Predicting severe asthma exacerbations in children. Am J Respir Crit Care Med. 2017;195(7):854-859.
- Engelkes M, Janssens HM, de Ridder MA, Sturkenboom MC, de Jongste JC, Verhamme KM. Real life data on incidence and risk factors of severe asthma exacerbations in children in primary care. Respir Med. 2016;119:48-54.
- 74. Forno E, Celedon JC. Predicting asthma exacerbations in children. Curr Opin Pulm Med. 2012;18(1):63-69.
- 75. Bateman ED, Buhl R, O'Byrne PM, et al. Development and validation of a novel risk score for asthma exacerbations: the risk score for exacerbations. *J Allergy Clin Immunol*. 2015;135(6):1457-1464. e1454.
- Teach SJ, Gergen PJ, Szefler SJ, et al. Seasonal risk factors for asthma exacerbations among inner-city children. J Allergy Clin Immunol. 2015;135(6):1465-1473.e1465.
- Hoch HE, Calatroni A, West JB, et al. Can we predict fall asthma exacerbations? Validation of the seasonal asthma exacerbation index. J Allergy Clin Immunol. 2017;140(4):1130-1137.e1135.

How to cite this article: Hamelmann E, von Mutius E, Bush A, Szefler SJ. Addressing the risk domain in the long-term management of pediatric asthma. *Pediatr Allergy Immunol*. 2019;00:1–10. https://doi.org/10.1111/pai.13175