
Sequences closely related to an immunoglobulin gene promoter/enhancer element occur also upstream of other eukaryotic and of prokaryotic genes

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ABSTRACT

Decanucleotide sequences closely related to the TNATTGTCAT element which occurs upstream of the immunoglobulin genes and in the immunoglobulin gene enhancer were found also upstream of other eukaryotic and of prokaryotic genes. The possibility of evolutionary and functional relationships between the various transcriptional systems is discussed.

INTRODUCTION

The highly conserved sequence TNATTGTCAT (dc; ref.2) occurs upstream of the immunoglobulin light chain genes and, in the inverse orientation (ATGCAAATNA; cd), in the corresponding region of the heavy chain genes (3,4). These dc and cd sequences have been shown to be necessary promoter elements for the transcription of immunoglobulin genes (4-7) and they appear to bind specifically (a) nuclear protein(s) (8,9). Also the dc sequence in the immunoglobulin heavy chain enhancer (4) was found, by in vivo (10) and in vitro assays (8), to be a site of specific protein binding.

We previously noticed (4,11-13) that dc and cd related sequences occur also in eukaryotic gene regions unrelated to the immunoglobulin genes and in viral enhancers (e.g.14), upstream of histone genes (15) and of various *Drosophila* genes (13,16,17). Recently cd related elements upstream of the U1 and U2 small nuclear RNA genes were found to possess enhancer like activity (18,19). We now report that a number of prokaryotic genes, some of them responsive to cAMP, carry dc or cd related sequences within their regulatory regions.

RESULTS AND DISCUSSION

The conserved regions upstream of the immunoglobulin genes have been defined as octanucleotides by Parslow et al. (3) and as decanucleotides by us (4). Our definition rests on comparisons of 12 (ref.11) or 22 light chain gene regions. The latter comparison (12) yielded the following consensus sequence: $T_{18}NA_{19}(TTTGC)_{22}A_{20}T_{22}$. On this basis it is possible to call the element a hepta, octa, nona, or decanucleotide. Since only beyond the decanucleotide the homology drops to low values we prefer the latter designation. It should be noted that also in most immunoglobulin heavy chain and histone gene regions 10 bp are conserved.

In addition to the dc element we observed, further upstream of the light chain genes, a sequence of 15 nucleotides which is less well conserved than the dc sequence (4). The extent of conservation is clearly significant, however, in comparisons of 12 (ref.11) or 22 light chain genes (ref.12; $T_{19}G_{20}C_{21}A_{18}C_{16}T_{19}G_{18}T_{14}G_{14}NC_{13}C_{15}A_{17}G_{15}$). The 15 bp sequence can be removed without loss of transcriptional activity in transfection assays (5). It cannot be excluded, however, that it plays a role in some as yet undetected regulatory processes.

Homologies between prokaryotic and eukaryotic promoter elements

In Table 1 the CRP binding regions of prokaryotic genes are compared with the dc regions found in eukaryotic promoter/enhancer regions. No systematic search of other known *E. coli* DNA sequences for the occurrence of dc-like sequences has been carried out.

Sequence homologies of 80-100% are found between several eukaryotic dc regions and parts of the CRP binding region of the *E. coli* crp gene. This gene codes for the cAMP receptor protein and is subject to negative autoregulation (20). The homologies extend beyond the decanucleotide sequences. Most remarkable are homologies between 19 bp segments of the VK MPC 11, the *Xenopus* UIB, and the prokaryotic regulatory region (only 2 and 3 mismatches respectively; Table 1, upper part).

The decanucleotide located in the CRP binding region of the *ara* BAD/*ara* C operon shows homologies of 70-90% to several eukaryotic dc elements (Table 1, middle part). The *ara* BAD operon which codes for enzymes of the arabinose metabolism and the *ara* C

Table 1

	hom	ATG	dc	ref.
Eco crp*	-/-	-133	TCACCTGTACTGCACGGTAATG TGACGTCCTTTGGCATACT GCAGTACATCAA	20
m VK MPC11	19/2	-74	TGTAATTACTTCCCTTATTGA TGAC TCCTTTGGCATACT GCCTAGAGGCCA	35
m VK 17*	12/2	-103	CCCTATATTAACTACACAGTGGCACA TGATTTGGCATACT TCATGAGGCAAGGC	7
chk H2A/H2B	13/2	-106	AATGAAGAAGTGGCAAGGAATGCTTC TCATTTGGCATACT GGGGCTATAAATA	15
su H2B	12/1	-146	GACCAATGAAGGATCGAGACCGAGGC TCATTTGGCATACT GGACCGCAGCATAC	36
SV40 EH	14/2	-	GCTTGGTGTGCTGACTAATTGAGATGCA TCCTTTGGCATACT CTGCTCTCTGGG	14
xen U1B EH*	19/3	-	GTCCGAGAGGCTGCACCGCTTCCAC CTCATTTGGCATACTGCA TGCAACCTA	25, 26
Eco ara	-/-	-132	TAATCAGCGCAGAAAGTCCACA TTGATTATTGGCAGC GCGTCACACTTTGCT	21
m VK K2	15/3	-102	ATTCTGCGAGCTGTGCCCTACCGT TIGCTGATTGGCATG TACCCAAAGCATAGC	37
h VK 13	14/3	-97	CTGTGCCAGCTCAGCCCCATCCCC TGCTCATTTGGCATG TTCGAGAGCACAAC	38
xen H2B	12/1	-84	AGTAATATTACAAGATATCGGACTGCC TTATTGGCATGG GAAGGCTATAAAAG	39
xen U2 EH*	12/2	-	GCACCTTCCCGACTGCCCGGCGCACCCG TATTGGCATAGC CCGGCTCTTTGT	40
h U2 EH*	19/6	-	CTTCGGCGCTTCCGGGGCTTT CATTTGCAATTTGGCATGCC CCGCCCTTTTACA	19
Eco car*	-/-	-37	ACACCCCTCCAGAGAATATTCACT CACTTTATTGGCATATTA ATTCACAATGAT	22
h VK6410	18/3	-108	TCCTTCCACCCCACTGCACCCAC CAGGTGATTGGCATATTA TCCTTTAGTGAA	41
m VH111*	18/3	-108	CTTGTATTAACTGCCAAAGACTTG CGTGATTGGCATATTCAT GAGCAGGTGC	42
h VH3*	16/1	-117	CCATATTACCTCAGTAGATCCTAAGG TTATTGGCATATTCAT GAGCAGGATT	42
m VH108*	16/2	-108	CCTGTTTTAACTACCATAGACTTAGG TAATTGGCATATTCAT GAGCAGGGGA	43
m VK70Z3	12/0	-109	GCCAAGAAGTCCCTAGACTGTATCTTGG ATTGGCATATTA CATTTTCAGTAA	3
chk H2B	17/3	-85	ATTCAGAGAGGATACAGAAAG CACTGATTGGCATACT GCCCTATAAATA	44
xen U2 EH*	15/2	-	GCACCTTCCCGACTGCCCGGCA CACCTATTGGCAT GCGCCGCTCTTTGT	40

Homologies between prokaryotic and eukaryotic promoter elements. The CRP binding sites (indicated by large brackets) of the *E. coli* crp gene (*Eco crp*), the *ara* BAD operon (*Eco ara*) and a possible CRP binding site of the *E. coli* car AB operon (*Eco car*) are significantly homologous to promoter elements of murine (m), human (h), chicken (chk), sea urchin (su), *Xenopus* (xen) and viral (SV40) genes. The homologies (hom) which include the dc regions (boxed) are located between the gaps; the homologies are expressed in matched versus mismatched base pairs. The numbers in the ATG column refer to the distance between the first nucleotides of the dc or cd region and the start codon. The TGTGA motif (or the complementary form TCACA) which is found in most CRP binding sites (21) is underlined; related sequences are indicated by broken lines; note that the motif occurs in some gene regions upstream and in others downstream of the dc sequence. An additional 7 bp homology between *Eco crp* and xen U1B is indicated by dots. In the VK MPC 11 region a dash was introduced to maximize homology; this deletion was scored as a mismatch. The non-transcribed DNA strand is shown in the usual 5'-3'-orientation (start codon on the right side); an * indicates that, for a cd element, the transcribed strand is shown in the inverse direction (start codon on the left side). The references are listed on the right side.

gene which codes for a regulatory protein are located in the *E. coli* genome next to each other and are transcribed divergently: the binding of cAMP-CRP activates both transcriptional units (21). Similarly arranged are the chicken histone H2A/H2B genes. They also are transcribed divergently and share a common dc element which may also act bidirectionally (15).

The upstream sequences of several immunoglobulin genes and the *car AB operon* are remarkably homologous (Table 1, lower part). This operon (22) codes for the enzyme carbamoyl phosphate synthetase and is not known to be regulated by cAMP-CRP. Since its upstream region is structurally closely related to e.g. the *ara* BAD CRP binding site, it may also be a target for CRP.

The CRP binding sites of several other gene regions (for references see 21) show less but still significant homology to the cd element. 7 out of 9 nucleotides (78%) are conserved in the

Table 2

	hom	ATG	dc	ref.
SV40 EH	-/-	-	GCCTGGTGTGCTGACTAATTGA GATGCAATGCTTTGCACTTTC TGCTGCTGGG	14
■ VK MPC11	21/5	- 74	GTGTAATTTACTTCCTTATTT GATGCAATGCTTTGCACTTTC TGCTGCTGGG	35
■ VH 111*	17/3	-108	CTTGTATTTAACTGCCAAAGACTTG CGTGATTGGCATATTC ATGAGCAGGTGC	42
■ VH 17*	15/1	-103	CCCTATATTAATCATCACAGTCGCA CATGATTGGCATACT CATGAGGCAAGGC	7
■ VK T1	14/2	- 93	CTGTGTCCAGCAATAACTGGTTCCCA ATGATTGGCATGCT CTCACCTCACTGC	45
su H2B	12/2	-146	GACCAATGAAAGGATCGAGACCGAGGC TCATTGGCATAC GGACCGCAGCATAC	36
chk H2B	14/2	- 85	AATCAGAGAGCAGATACAGAAGGCATC GATTGGCATACTGC CCCTATAAATA	15
Eco crp*	14/2	-133	TCAACTGTACTGCACGGTAATGTGACG TCCTTTGCATACAT GCAGTACATCAA	20
■ IgH EH	-/-	-	TCCAGGCTGAGCAAAACACC ACCTGGGTAATTTGCATTT CTAAATAAGTTGA	46
VH104*	19/3	-108	TTTAACTACCATAG ACTTGGGTAATTTGCATTT TCATGAGCAGGGTA	42
VH111*	19/4	-102	CTTGTATTTAACTGCCAAAG ACTTGGGTAATTTGCATTT TCATGAGCAGGTGC	42
h VK Daudi	18/4	- 95	TCAGCCTGCCCATGCCCTG CTGATTGATTGGCATGTC CAGAGCAGACGCC	47
■ VH101*	14/3	- 85	TTTATCCTCTGCCGAG GGGTGGCTTTGCACTT GGGGCGAGGTTCTGTA	48
■ VH108*	14/1	-108	CCTGTTTTTAACTACCATAGACTTA GGTAAATTTGCATTT TCATGAGCAGGGTA	42
■ VH167*	14/1	-103	TTTCATGTGATGAGGGTGGCC TAAATTTGCATGCT TCTGCTATATC	49
xen H2B	15/3	- 84	AGTAATATTACAGATATCGGA CTGCCTTATTGGCATT GGGAAAGGCTATAAAG	39
su H2B	13/2	-146	GACCAATGAAAGGATCGAGACCGGA GGCTCATTTGCAT ACAGGACCGCAGCATAC	36
xen U2 EH	-/-	-	GCACCTTCCCGACTGCCCGGCA CACCTATTGGCATAGCCCCGCC TCTTTGT	40
cai VHE1*	23/6	-112	AGCATTTATGAAGCCTCCACAG CACTAGATTGGCATCTCCCCCCC CTCATA	24
h VK 41	23/8	-102	AGCTGCAAGCCCGAGCAGCCGCC CAGCTGCTTTGGCATGTCCTCCCG AGCCGC	41
■ VH17*	15/3	-103	CCCTATATTAATCATCACAGTCG CACATGATTGGCATTT CTCATGAGGCAAGGC	7
h VK J1	18/4	-103	ATGAAATTTGCTTTTGTACTACTGGTTG TTTTGGCATAGGCCCGCTC CAGGCCA	50
cai VHC3*	14/2	-136	AGTGATTATACCGGGGAGCCTGTCTGTG ATTTGGCATGTCGCC TGCCCTATA	24
■ VK K2	14/3	-102	ATTTCTGCAGCTGTGCCATACCTTTGCTG ATTTGGCATGTCGCC AAAGCATAGC	37
chk H2B	15/2	- 85	AATCAGAGAGCAGATACAGAAGG CACTGATTGGCATTT CTGCCCCATAAATA	44
chk H2A/H2B	13/2	-106	AATGAAAGAGTGGGAAAGGAATGCTT CTGATTGGCATAG AGGGGCTATAAATA	44
xen H2B	14/2	- 84	AGTAATATTACAGATATCGGAGCTG CTTTATTGGCATTT TAAATTCAGAAATG	39
Eco car*	15/2	- 37	ACACCCCTCCAGAGAATATTCACT CACTTTATTGGCATTT TAAATTCAGAAATG	22
Eco ara	12/2	-132	TAATCAGGCGAGAAAAGTCCACATTGAT TATTTGGCAGGCG GTCACACTTGTCT	21

Homologies between enhancers and upstream elements of prokaryotic and eukaryotic genes. The simian virus 40 enhancer (SV40 EH), the mouse immunoglobulin heavy chain enhancer (■ IgH EH) and the Xenopus U2 gene enhancer (xen U2 EH) are compared to murine (m), human (h), chicken (chk), caiman (cai), Xenopus (xen), and E. coli (Eco) genes. The decanucleotide sequence is boxed. The abbreviations used and the arrangement of the Table are the same as those of Table 1.

lactose (lac 1) and maltose (mal T) operons, 6 out of 9 nucleotides (67%) in the galactose operon (gal E), the tryptophanase operon (tna A), and the operon of the deoxynucleotide metabolism (deo 1).

Enhancers and upstream elements have common functional sequences

In Table 2 the dc regions of viral and cellular enhancers are compared to the sequence elements found in the 5' flanking regions of prokaryotic and eukaryotic genes. Three sequence pairs which are listed in Table 1 are shown again in order to allow a direct comparison between the prokaryotic and the additional eukaryotic sequences.

The dc element of the SV40 enhancer is located about 30 bp downstream of the enhancer core (14). It is part of an alternating Pu/Py stretch. This region shows excellent homology to promoters of the immunoglobulin genes, the chicken and sea urchin histone H2B genes, and the E. coli crp gene (Table 2,

upper part). In the SV40 enhancer the dc sequence seems to be functionally important (51,52). It may respond to the same cellular signals as the eukaryotic upstream elements.

The dc region of the mouse IgH enhancer is related more closely to the immunoglobulin gene promoters than to the other eukaryotic dc regions (Table 2, middle part). It has been suggested to be a protein binding site because in studies carried out on lymphoid cells in vivo the G residue in the enhancer dc element is protected against methylation (10). A recent report provides evidence that the dc region of the IgH enhancer serves as a promoter element of 'sterile' cμ transcripts which contain constant region sequences but lack variable gene sequences (23).

The Xenopus U2 gene enhancer element is fairly homologous to the dc elements of VH genes of caiman (Table 2, lower part). This may reflect the close relationship of this phylogenetically ancient reptile (24) to amphibians. The U2 gene enhancer is interesting in another respect: when a synthetic 14 bp oligonucleotide comprising the dc region is cloned upstream of the U2 gene it stimulates transcription (independent of orientation) in the Xenopus oocyte transcription system (18, see also refs. 19, 25,26). This parallels the situation found for the dc elements in immunoglobulin gene promoters: they are necessary for correct and efficient transcription.

It should be noted that not only the dc sequence but also the GGGCGG element occurs both in promoters and enhancers; also promoter sequences were found to act as enhancers when being transposed to other parts of the gene regions (review ref. 53). Recent experiments suggest a unified view of gene regulation by proteins that bind to sites on the DNA either nearby or at a considerable distance (review 54).

Are elements of transcriptional control mechanisms conserved between prokaryotes and eukaryotes?

Although the common sequence elements are short, the statistical probability of their chance occurrence at an analogous position in the regulatory regions of unrelated genes is very low. An approximate calculation (for details see refs. 27,28) shows that the probability of chance occurrence (termed C) of the 19/2 (19/3) homology anywhere in the same 80 bp region (250 bp region)

of the *crp* gene and the VK MPC 11 (*Xenopus* U1B) gene is less than 10^{-6} (10^{-4}). The probability of finding such a homology in the promoter region of a third unrelated gene is even less likely (C^2). The location of dc/cd related sequences within protein binding regions, both in eukaryotes and prokaryotes, and the unlikelihood of a chance occurrence lead to the conclusion that the sequences are evolutionarily related.

Since the dc or cd containing prokaryotic promoter regions belong to cAMP responsive genes one may ask whether the occurrence of dc/cd in eukaryotic promoter regions has also a functional meaning in the sense of cAMP responsiveness. In this context the recent study of Nagamine and Reich (29) should be mentioned: homologies between the regulatory regions of prokaryotic cAMP responsive genes (including *ara* BAD) and putative regulatory regions of eukaryotic cAMP responsive genes were detected. The regions of homology, however, do not include dc or cd related sequences (although in *ara* BAD a cd related element is located adjacent to the observed homology region). A dc related sequence occurs in the beginning of the transcribed region of rat tyrosine amino transferase (30) and a cd related sequence is found upstream of a rat phosphoenolpyruvate carboxykinase gene (31). There are, however, prokaryotic and eukaryotic cAMP responsive genes in which no dc/cd related sequences have been detected. On the other hand, such obviously unrelated eukaryotic genes with dc/cd containing promoters as the immunoglobulin and the histone genes are not known to be cAMP responsive. Still it is likely that the occurrence of dc and cd related elements in the promoter or putative promoter regions of both, eukaryotic and prokaryotic genes has a functional importance perhaps mediated by a particular DNA conformation. One may postulate that the dc and cd related sequences are conserved between prokaryotic and eukaryotic gene regions as sites of interaction with regulatory proteins; at least the domains of the regulatory proteins which interact with the sequences should also be conserved. Although at present there exists no experimental evidence, not even an indication, that these domains belong to cAMP responsive proteins, one may keep also this possibility in mind.

Cell type specificity of the immunoglobulin gene promoter

The dc/cd related sequences upstream of the immunoglobulin gene have not only been found to possess a promoter function but they have also been postulated to contribute to the fact that these genes are expressed practically only in lymphoid cells (6,7,11, 32,33). However, for two reasons the dc/cd related sequences cannot be the only determinant of the tissue specificity of immunoglobulin gene expression: the sequences occur also in the promoter regions of e.g. histone and small nuclear RNA genes which are expressed in many different cell types; also non-lymphoid cells like HeLa cells contain (a) protein(s) which bind specifically to dc/cd related sequences and are thought to possess a regulatory function (8,9). Apparently the dc/cd elements are necessary but not sufficient for the cell type specificity of immunoglobulin gene expression. Other upstream elements as the above mentioned 15 nucleotide long sequence (4) or sequences within the immunoglobulin genes themselves may play a role. Additional B cell specific factor(s) may bind to these sequences or act at the chromatin level. Also the dc/cd binding protein(s) (8,9) may be controlled in analogy to the E. coli CRP, by (tissue specifically produced) secondary signals.

It is altogether likely that most proteins have evolved from a very small number of archetypal proteins (34). This may also be true for the evolution of transcriptional systems. Therefore common ancestry should be the cause of the similarities between the prokaryotic and the eukaryotic transcriptional systems, although a convergent evolution to homologous structures cannot be ruled out.

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2. Abbreviations: VK and VH, variable regions of kappa light chain and heavy chain genes; dc and cd, decanucleotide elements (see text); CRP, cAMP receptor protein of E. coli. Other abbreviations are listed in the figure legends.

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