

1 **Residing near allergenic trees can increase risk of allergies later in life: LISA Leipzig study**

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31 **Sources of funding**

32 The LISA study was mainly supported by grants from the Federal Ministry for Education, Science,
33 Research and Technology and in addition from Helmholtz Zentrum Munich (former GSF), Helmholtz
34 Centre for Environmental Research—UFZ, Leipzig, Marien-Hospital Wesel, Pediatric Practice, Bad
35 Honnef, for the first 2 years. The 4- year, 6-year, and 10-year follow-up examinations of the LISA study
36 were covered from the respective budgets of the involved partners (Helmholtz Zentrum Munich
37 [former GSF], Helmholtz Centre for Environmental Research—UFZ, Leipzig, Marien-Hospital Wesel,
38 Pediatric Practice, Bad Honnef, IUF—Leibniz-Research Institute for Environmental Medicine) and in
39 addition by a grant from the Federal Ministry for Environment (IUF, FKZ 20462296). The 15-year
40 follow-up examination of the LISA study was supported by the Commission of the European
41 Communities, the 7th Framework Program (Mechanisms of the Development of Allergy [MeDALL]
42 project).

43 This analysis was supported by Förderprogramm für Forschung und Lehre (FöFoLe) granted by the
44 Medical Faculty of Ludwig Maximilian University (Reg.-Nr. 1014).

45 Iana Markevych is currently supported from the “NeuroSmog: Determining the impact of air pollution
46 on the developing brain” (Nr. POIR.04.04.00-1763/18-00) which is implemented as part of the TEAM-
47 NET programme of the Foundation for Polish Science, co-financed from EU resources, obtained from
48 the European Regional Development Fund under the Smart Growth Operational Programme.

49 Air pollutants were assessed under contract to the Health Effects Institute (HEI), an organization
50 jointly funded by the United States Environmental Protection Agency (EPA) (Assistance Award No. R-
51 82811201) and certain motor vehicle and engine manufacturers.

52 The aforementioned funding sources had no involvement in the design of the study, collection,
53 analysis, and interpretation of data, writing of the report, and decision to submit the manuscript for
54 publication.

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56 **Word count:** 4,310

57 **Abstract**

58 **Background:** We investigated whether residing in places with higher greenness, more trees and more
59 allergenic trees early in life increases the risk of allergic outcomes, and whether these associations
60 differ depending on the concentration of air pollutants.

61 **Methods:** The analytic sample included 631 children from the German birth cohort LISA Leipzig.
62 Asthma and allergic rhinitis, sensitization to aeroallergens and food allergens, as well as confounders,
63 were collected prospectively up to 15 years. Greenness was assessed by Normalized Difference
64 Vegetation Index (NDVI). A tree registry was used to derive information on trees, which were
65 classified into allergenic and non-allergenic. Annual average concentrations of nitrogen dioxide (NO₂)
66 and ozone were also used. Geographic exposures were assigned to home addresses at birth.
67 Longitudinal associations were analysed using generalized estimating equations.

68 **Results:** Medium and high numbers (tertiles) of trees and allergenic trees in a 500 m buffer around
69 birth addresses were associated with increased odds of allergic rhinitis up to 15 years regardless of
70 NDVI. These exposures were also related to higher odds of sensitization to aeroallergens.
71 Associations with asthma and sensitization to food allergens were less consistent. Effect estimates
72 for allergic rhinitis were stronger in the high tertile of NO₂ compared to the low tertile, while an
73 opposite tendency was observed for ozone.

74 **Conclusion:** We observed that early life residence in places with many trees, and allergenic trees
75 specifically, may increase the prevalence of allergic rhinitis later in life. This association and its
76 modification by air pollution should be pursued in further studies.

77

78 **Capsule summary**

79 Residing in places with many trees and many allergenic trees in early life may increase the risk of
80 allergic rhinitis up to 15 years regardless of general level of vegetation.

81

82 **Clinical implications**

83 Planting tree species with low allergenic potential might reduce the risk of allergic rhinitis in urban
84 residents.

85

86 **Key words:** allergic rhinitis; atopy; asthma; epidemiology; children; greenness; greenspace.

87 **Abbreviations**

CI	Confidence interval
ELAPSE	Effects of Low-Level Air Pollution: A Study in Europe
IgE	Immunoglobulin E
GAM	Generalized additive model
GEE	Generalized estimating equation
LISA	Influence of Life-Style Factors on the Development of the Immune System and Allergies in East and West Germany
LUR	Land use regression
NDVI	Normalized Difference Vegetation Index
NO ₂	Nitrogen dioxide
OR	Odds ratio

89 Introduction

90 Greenspace is related to various beneficial health outcomes, including better mental health and well-
91 being ¹, reduced risk of all-cause mortality ² and type 2 diabetes ³, improved pregnancy outcomes
92 and decreased blood pressure ⁴, among others. These benefits are hypothesized to be due to the
93 restorative potential of greenspace, reduced concomitant exposure to harmful environmental
94 influences, as well as because greenspace provides settings for physical activity and social contacts
95 which in turn improve physical and mental health. ⁵

96 Mechanistic links between greenspace, asthma and allergic outcomes seem to be more complicated
97 to resolve than those for other health indicators. On the one hand, greenspace was hypothesized to
98 reduce the risk of allergic diseases by similar mechanisms as does living on a farm, ⁶ namely via
99 increased contact with microbes and consequent modulating of immune responses and allergic
100 inflammation. ⁷ On the other hand, greenspace is a source of allergenic pollen, short-term exposure
101 to which can exacerbate asthma and allergic symptoms as studies based on pollen counts have
102 demonstrated. ⁸

103 It is not surprising then that greenspace research into allergic health outcomes stagnates. The
104 published studies have typically reported associations, but those were in different directions
105 depending on the study area. ^{9,10} One example for such diverging directions is our own study where
106 higher greenness (i.e., vegetation degree) was associated with increased risk of allergic
107 rhinoconjunctivitis and aeroallergen sensitization in the Munich study area, but with reduced risk of
108 these outcomes in the Wesel area of the same multicentre birth cohort. ¹¹ We failed to explain such
109 inconsistency but managed to replicate it in five additional cohorts across three continents. ¹²

110 Besides the complicated and potentially conflicting underlying mechanisms, the lack of consistency
111 in greenspace-allergy studies was also blamed on metrics of greenspace that are too crude to
112 differentiate between different types of vegetation. ¹² Most of the existing research used either land
113 use or land cover-derived distance to or amount of different types of green spaces, or satellite-
114 derived vegetation indices capturing greenness. None of these metrics can distinguish trees from
115 herbaceous vegetation, let alone allergenic from non-allergenic plant species.

116 Keeping all this in mind, we decided to explore whether residing in places with overall higher
117 greenness, more trees and specifically more allergenic trees early in life can contribute to the onset
118 of allergic diseases, or whether such exposures act as protective factors. To our knowledge, no study
119 so far looked at the long-term exposure to allergenic trees and development of allergic diseases –

120 partially because detailed vegetation geodata are very scarce and partially because expert knowledge
121 is needed to classify species into allergenic and non-allergenic. This study was possible thanks to the
122 collaboration between environmental epidemiologists and plant biologists.

123 Since elevated air pollution levels are observed to increase the allergenicity of pollen,¹³ as a
124 secondary objective, we investigated whether the associations between greenness, trees and
125 allergenic trees and allergic diseases differ depending on the concentration of several air pollutants.
126 In addition, vegetation and air pollution are inversely spatially correlated and, depending on the
127 spatial arrangement, composition and temperature, trees can remove, trap, or even emit air
128 pollution.¹⁴

129 **Methods**

130 **Study design and population**

131 “Influence of Life-Style Factors on the Development of the Immune System and Allergies in East and
132 West Germany” (LISA) is an ongoing multicentre population-based German birth cohort.^{15,16} Briefly,
133 healthy full-term neonates with a normal birth weight were recruited at selected maternity hospitals
134 in Munich, Leipzig, Wesel and Bad Honnef between 1997 and 1999. Parent-completed questionnaires
135 were administered at birth and at ages 0.5, 1, 1.5, 2, 4, 6, 10 and 15. Blood samples were drawn from
136 a subset of children at the ages of 2, 6, 10 and 15 years. For the purpose of this study, we utilized the
137 data from 631 children from Leipzig (for details, see the flowchart, Figure E1). The LISA Leipzig cohort
138 was approved by the local ethics committee at the University of Leipzig (560/1998; 206/2003;
139 345/2007) and the State Medical Council of Saxony (EK-BR-02/13-1), and informed consent was
140 obtained from all families.

141 **Allergic outcomes**

142 Data on parent-reported doctor diagnosis of asthma and allergic rhinitis (AR) were collected at ages
143 0.5, 1, 1.5, 2, 4, 6, 10 and 15. If the time between follow-ups was longer than one year (e.g., 10 to 15
144 years), the presence of the diagnosis was asked separately for each year since the previous follow-
145 up (e.g., 10, 11, 12, 13, 14 and 15). In line with our previous analyses,¹⁷ only information collected
146 from age 3 onwards was used due to difficulty of accurate diagnosis of these outcomes at younger
147 ages. Asthma at ages $Y = 3, 4, \dots, 15$ was defined as a positive response to “Was your child diagnosed
148 with asthma by a doctor at the age of Y years?” AR at ages $Y = 3, 4, \dots, 15$ was defined as a positive
149 response to “Was your child diagnosed with hay fever/allergic rhinitis by a doctor at the age of Y
150 years?”

151 Specific immunoglobulin E (IgE) against common allergens was assessed at ages 2, 6, 10 and 15 using
152 the standardized CAP-RAST FEIA method (ThermoFischer, Freiburg, Germany). At 2 years, allergic
153 sensitization was tested to tree and grass pollen (RX1), mold, cat and house dust mites. At 6, 10 and
154 15 years, allergic sensitization was tested by using inhalant mix SX1, which consists of house dust
155 mite, cat, dog, mold, birch, rye, mugwort and timothy grass allergens. Allergic sensitization to
156 aeroallergens was defined as IgE > 0.35 kU/L for at least one of the tested inhalant allergens at 2
157 years and for the SX1 mix at the other timepoints. Allergic sensitization to food allergens was defined
158 as IgE > 0.35 kU/L for allergens in the FX5 screening test (milk, peanut, eggs, soya, cod and wheat
159 flour) at all timepoints.

160 **Greenness, trees and allergenic trees**

161 The Normalized Difference Vegetation Index (NDVI) ¹⁸ was used as an indicator of greenness (i.e.
162 vegetation degree). NDVI is constructed from the ratio of reflected light to absorbed light in two
163 vegetation-informative bands of the electromagnetic spectrum: the red band (RED) and the near
164 infrared band (NIR). NDVI is calculated as $NDVI = (NIR - RED) / (NIR + RED)$. Thus, NDVI ranges from -
165 1 (water) through 0 (barren areas) to +1 (areas completely covered by vegetation).

166 For our NDVI calculations, we used a single cloud-free Landsat 5 TM satellite image at a resolution of
167 30 by 30 m taken on June 6, 1997 (<https://earthexplorer.usgs.gov/>) which is about the time when
168 recruitment for the study started. Summer images enable maximum spatial contrasts of greenness.
169 ¹⁹

170 Tree registry data of the city of Leipzig were utilized to derive information on trees. Briefly, data are
171 available for street trees and trees in green spaces and include tree geocoordinates, tree species in
172 binomial and German nomenclature and year of planting. Only trees planted before 1998 were
173 considered for this analysis: 63,579 trees from at least 82 genera. Figure E2 shows the spatial
174 distribution of trees superimposed over an NDVI map.

175 We used two definitions to classify tree genera into allergenic and non-allergenic. Both definitions
176 are based on the same three criteria. For a tree genus to be classified as allergenic according to
177 definition 1, it had to satisfy two out of the three criteria. The stricter definition 2 required that all
178 three criteria be satisfied. The first criterion is satisfied by tree genera whose pollen are being
179 routinely monitored in Germany ([http://www.pollenstiftung.de/pollenvorhersage/pollenflug-](http://www.pollenstiftung.de/pollenvorhersage/pollenflugkalender)
180 [kalender](http://www.pollenstiftung.de/pollenvorhersage/pollenflugkalender)). The second and third criteria relate to tree species and are satisfied by a genus if among
181 the trees of that genus that were planted in Leipzig before 1998 there is at least one species that
182 satisfies the criterion. The second criterion is satisfied by a species if its pollen allergens were
183 characterized in a published study, or if it was described by a study as causing allergenic reactions in
184 people that came into contact with its pollen (e.g. measured by skin prick test), or if there are reports
185 about its sensitization/allergenicity rates. Literature search was done via Google Scholar in
186 combination with the library access service of Technical University Munich (TUM OPAC plus). The
187 third criterion is satisfied by a species if www.allergen.org, the most complete database of allergens,
188 had at least one aeroallergen listed for it as of September 2, 2019. The details on all tree genera of
189 the city of Leipzig planted before 1998, together with their abundances, are provided in Table E1.
190 According to definition 1, 21,324 trees from the following 16 genera (sorted by tree count in

191 descending order) were classified as allergenic: *Fraxinus* (ash), *Quercus* (oak), *Platanus* (plane),
192 *Prunus* (prunus), *Betula* (birch), *Populus* (poplar), *Carpinus* (hornbeam), *Corylus* (hazel), *Salix* (willow),
193 *Pinus* (pine), *Fagus* (beech), *Alnus* (alder), *Sambucus* (elder), *Castanea* (chestnut), *Syringa* (lilac) and
194 *Juniperus* (juniper). According to definition 2, there were 9,453 allergenic trees from 7 genera:
195 *Fraxinus*, *Betula*, *Carpinus*, *Corylus*, *Fagus*, *Alnus* and *Syringa*.

196 Mean NDVI, total number of trees and number of allergenic trees according to the two definitions
197 were calculated for circular buffers of 100, 500 and 1,000 m around each participant's home address
198 at birth.

199 Geographic data pre-processing and calculations were conducted with ArcMap 10.4 and ArcGIS Pro
200 2.2 Geographical Information System (ESRI, Redlands, CA, USA).

201 **Air pollution**

202 The annual average concentrations of air pollutants at residential addresses at birth were assigned
203 from raster surfaces at a resolution of 100 x 100 m. Estimates for nitrogen dioxide (NO₂) and warm
204 season ozone were available for the year 2000 from the ELAPSE project.²⁰ Both air pollutants were
205 modelled by hybrid land use regression models using data from AirBase, a database of routine air
206 pollution measurements in the EU member states. The hybrid models for western Europe that used
207 dispersion model estimates, land use and road data and for ozone also kriging on the residuals, were
208 able to explain 60 % and 82 % of the variation in measured NO₂ and ozone concentrations,
209 respectively.

210 **Statistical analysis**

211 Given the repeated assessment of allergic outcomes, generalized estimating equations (GEE) with
212 logit link and exchangeable correlation structure were used to regress the prevalence of allergic
213 outcomes up to 15 years on the exposures of interest at birth.

214 First, we used generalized additive models (GAM)²¹ to test the non-linearity of the univariate
215 relationships between each pair of exposure and "ever" outcome (e.g., ever-asthma is a constructed
216 outcome variable that is 1 if at least one of the age-specific asthma variables is 1 and otherwise 0).
217 We discovered that associations deviated from linearity in many cases. Therefore, we categorized
218 NDVI variables and tree counts for the 500 m and 1000 m buffers into tertiles. Tree counts for the
219 100 m buffer were dichotomized (= 0 vs ≥ 1).

220 In line with our previous analyses on greenspace and allergic outcomes,^{11,12} we considered the 500
221 m buffer variables our main exposures of interest. The main models were *a priori* adjusted for age,
222 sex, family history of allergic diseases, parental education (based on the highest number of years of
223 school education of either parent: <10 years, =10 years, >10 years, according to the German
224 educational system) and season of birth (October to January, February to March, May to July, August
225 to September, corresponding to next to no pollen, tree pollen season, grass pollen season, ragweed
226 and mugwort pollen season, respectively). Season of birth was categorized according to a regional
227 pollen calendar (<http://www.pollenstiftung.de/pollenvorhersage/pollenflug-kalender>).

228 A series of sensitivity analyses were performed. This included crude analyses, which were adjusted
229 only for age, and additionally adjusted analyses with main models also controlled for maternal
230 smoking during pregnancy and tobacco smoke exposure at home until age 4 (yes, likely no, no),
231 presence of older siblings, exclusive breastfeeding during the first four months and birth weight
232 (grams). We also checked the robustness of the results by using 100 m and 1,000 m buffers.
233 Moreover, the models for total tree count and allergenic tree count according to definitions 1 and 2
234 were additionally adjusted for NDVI to account for vegetation not captured by the tree registry, e.g.,
235 trees on private grounds, herbs, bushes. Furthermore, we reran the analyses excluding participants
236 with partially missing outcome data. Effect modification by age was tested by introducing an
237 interaction term between the exposure variable and age. Additionally, we stratified our analyses by
238 whether participants changed their place of residence between birth and 2 years of age. Finally, we
239 checked effect modification by whether participants resided within 300 m from the nearest urban
240 green space or forest of at least 1 ha²². Green spaces were derived using the Urban Atlas land use
241 data for the year 2006 (<http://www.eea.europa.eu/data-and-maps/data/urban-atlas>).

242 To check whether air pollution modifies the association of interest, an interaction term between the
243 air pollutant and the exposure variable was introduced. Additionally, models were stratified by
244 tertiles of NO₂ and summer ozone levels. In all stratified analyses, we combined low and medium
245 categories of parental education, as there were sometimes too few cases in the lowest educational
246 category.

247 Data pre-processing and statistical analyses were done using the statistical software R 3.6.1 (Vienna,
248 Austria).²³ GEE models were fitted by the *geeglm()* function from the *geepack* package.²⁴ GAM
249 models were executed using the *gam()* function from the *mgcv* package.²⁵

250 **Results**

251 **Descriptive characteristics of the study population and exposure variables**

252 Over half of the children (55.2 %) were from families with high level of parental education while only
253 2.4 % were from families with low level of parental education (Table 1). This was the only systematic
254 difference between the analytic sample compared to the original LISA Leipzig population where the
255 above-mentioned numbers were 48 % and 5 %, respectively.

256 The highest prevalence of doctor diagnosed asthma (4.5 %) was observed at 7 and at 11 years (Table
257 2). The highest prevalence of AR (12.2 %) was observed at 11 years. 47.4 % children at 15 years were
258 sensitized to aeroallergens. The peak of sensitization to food allergens (16.5 %) was reached at 10
259 years.

260 Descriptions of the exposure variable categories for all three buffer sizes are provided in Table E2.
261 Mean and standard deviations for NO₂ and summer ozone levels were 33.5±4.5 µg/m³ and 84.7±3.9
262 µg/m³, respectively.

263 **Greenness, trees and allergenic trees and allergic outcomes: main results**

264 Birth home addresses surrounded by medium greenness (second NDVI tertile) in a 500 m buffer were
265 associated with increased prevalence of asthma up to 15 years (odds ratio (OR) = 1.46) (Table 3).
266 Home addresses with high greenness (third NDVI tertile) showed decreased prevalence of asthma
267 (OR = 0.44). Medium numbers of allergenic trees (second tertile) according to definition 1 were
268 related to higher prevalence of asthma (OR = 1.92). This was not the case for definition 2. Medium
269 and high numbers of trees and allergenic trees according to the both definitions were associated with
270 higher prevalence of AR (Table 3). All exposures were related to higher allergic sensitization to
271 aeroallergens, and high numbers of allergenic trees according to definition 1 were related to food
272 sensitization.

273 **Greenness, trees, allergenic trees and allergic outcomes: results from sensitivity analyses**

274 Effect estimates were similar when we adjusted the three models with tree exposure variables
275 additionally for NDVI (Table 3). Similar associations were observed for the 100 m (Table E3) and 1,000
276 m (Table E4) buffers, with some exceptions. In case of the 100 m buffer, associations between NDVI
277 and asthma, the association between allergenic trees definition 1 and food sensitization, and the
278 associations of total number of trees and NDVI with aeroallergen sensitization were not present. For

279 the 1,000 m buffer, no associations with aeroallergen sensitization were observed and the
280 association between medium NDVI and asthma was lost.

281 Effect estimates from crude and additionally adjusted models were very similar to those from the
282 main models (Table E5). The same was true when children with partially missing outcome variables
283 were excluded (data not shown). The associations between trees and asthma, allergenic trees
284 definition 2 and asthma, and NDVI and aeroallergen sensitization got stronger with age. Effect
285 modification by moving between birth and 2 years did not reveal a distinct pattern (Figure E3).
286 Similarly, a mixed pattern was observed considering effect modification by residing close to
287 structured green spaces (Figure E4).

288 **Effect modification by air pollutants**

289 The associations of trees and allergenic trees definition 1 with higher AR and asthma were stronger
290 in the high tertile of NO₂ compared to the low tertile of NO₂ (Figure 1). The same holds for both
291 definitions of allergenic trees and sensitization to aeroallergens. The associations of trees with
292 aeroallergen sensitization and of allergenic trees definition 2 and food sensitization showed the
293 opposite tendency. The associations of trees and allergenic trees and AR were stronger for the low
294 ozone tertile compared to the high ozone tertile, the same was observed for the association between
295 allergenic trees and aeroallergen sensitization (Figure E5).

296 Discussion

297 The results of our longitudinal analyses in 631 children from the city of Leipzig, Germany, show that
298 early life residence in places with many trees and allergenic trees specifically, was consistently
299 associated with increased prevalence of AR up to 15 years and, to a lesser extent, also with increased
300 prevalence of allergic sensitization to aeroallergens. Associations with asthma and sensitization to
301 food allergens were inconsistent. Associations with greenness, as measured by NDVI, were either
302 inconclusive (for asthma) or mostly non-significant (for other outcomes). Effect estimates for trees
303 and allergenic trees and AR tended to be stronger in high NO₂ and low ozone settings.

304 Comparison with previous findings and interpretations

305 We are not aware of previous studies on long-term exposure to allergenic trees and allergic
306 outcomes. Many studies, however, considered NDVI in relation to asthma, AR and sometimes to
307 allergic sensitization to aeroallergens. There are also several studies on trees and allergic outcomes.

308 Residential greenness and allergic outcomes

309 In line with our observations, the reported associations for NDVI and asthma were heterogeneous
310 and, in some cases, non-linear. Like in our study, medium but not high NDVI increased the risk of
311 asthma in 7,040 Taiwanese children.²⁶ Also in line with us, exposure to medium but not high
312 greenness was associated with asthma in the Euro-Siberian climatic region of a Spanish multicentre
313 study of 2,472 children.²⁷ Nevertheless, high greenness was protective against wheezing in the same
314 region of the same study.²⁷ Higher NDVI in the 100 m buffer, but not in larger buffers, was related to
315 increased asthma risk in 1,489 Kaunas children, and this association was stronger in children living
316 further away from parks.²⁸ We observed opposite tendencies. Like our protective association
317 between the high NDVI tertile and asthma, two other urban studies – one in 1,915 Chicago children
318²⁹ and another one in 187 Turin children³⁰ – reported protective greenness effects on asthma. Higher
319 greenness was also related to lower asthma risk in a large New Zealand study of 49,956 children.³¹
320 Nevertheless, an even larger study (n = 65,000) from the Vancouver Metropolitan Area failed to
321 detect such an association.³² Null findings between NDVI and asthma were also reported in 3,178
322 Spanish children³³ and 5,643 children from Suzhou, China.³⁴

323 We did not observe any association between NDVI and AR and the association between NDVI and
324 aeroallergen sensitization was inconsistent. This is in line with the aforementioned studies by Tischer
325 et al.,²⁷ Dadvand et al.³³ and Li et al.³⁴ In addition, Gernes et al.³⁵ reported null findings between
326 NDVI and rhinitis and atopic sensitization in 425 children from the USA. Our two previous multi-centre

327 studies, whose study populations were distinct from the one used in this analysis, reported
328 differential associations with AR, nose and eye symptoms and aeroallergen sensitization, as is
329 mentioned in the Introduction.^{11,12} Interestingly, effect modification by moving did not reveal any
330 consistent trends in Fuertes et al.,¹² in line with our present analysis. Unlike our stratified results by
331 air pollutants, no pattern was observed in analyses stratified by NO₂ subgroups in these two studies.
332 ^{11,12}

333 **Residential trees and allergic outcomes**

334 Other studies on trees and allergic outcomes did not observe an association with AR.^{35,36} This
335 contrasts with our analysis where this association was the strongest and the most consistent. Yet,
336 Lovasi et al.³⁶ reported increased risks of asthma and allergic sensitization in 549 7-year-old residents
337 of New York, which was partially in line with us. Both studies used tree cover extracted from aerial
338 images. Tree cover does not capture differences between trees.

339 We used data from a tree registry to distinguish between allergenic and non-allergenic trees. Our
340 results for AR and to a lesser extent for aeroallergen sensitization suggest that in Leipzig having any
341 trees close to home has about the same negative health effect as having allergenic trees close by.
342 This might not be the case for other study areas. Future research might show that comparisons of
343 findings concerning trees and allergic outcomes are only valid between cities with similar tree
344 patterns, air quality and urban climate.³⁷

345 No epidemiological study so far had enough information to adequately deal with the problem that
346 pollen loads as well as allergenic potentials may vary between tree individuals and for the same tree
347 individual, also across years.³⁸ For most allergenic tree species this phenomenon has not yet been
348 explored let alone been understood.

349 **Effect modification by air pollutants**

350 Trees and allergenic trees were associated with a higher prevalence of AR when NO₂ levels were
351 comparatively high or ozone was comparatively low. Apart from the direct detrimental effect of nitric
352 oxides on respiratory health,^{39,40} these substances may have varying effects on the allergenicity and
353 amount of pollen depending on plant species and environmental conditions. For example, a number
354 of molecular studies reviewed by Frank and Ernst⁴¹ found increasing allergenicity (tested by
355 increased IgE reactivity) of NO₂-treated pollen of the common species *Acer negundo*, *Betula pendula*,
356 *Ostrya carpinifolia* and *Carpinus betulus*,^{42,43} while Beck et al.¹³ did not find a correlation of birch
357 (*Betula pendula*) pollen allergenicity with NO₂ levels. Divergent findings were also observed for ozone

358 treatments.⁴¹ In addition, NO₂ and ozone may have different effects on pollen allergenicity even
359 within the same species.⁴⁴ The effect of these pollutants on a larger scale is further blurred by the
360 chemical reactions between ozone and NO₂ that depend atmospheric conditions.^{45,46} This makes it
361 difficult for epidemiological studies like ours to investigate their influence on the associations of trees
362 and allergenic trees with allergic outcomes.

363 **Implications for city greening**

364 Cities and their rural surroundings are often quite different environments in terms of their tree
365 composition. For Leipzig, the overlap between tree genera planted in the city and those growing in
366 regional forests is small. Unlike Leipzig, the surrounding forests consist mostly of spruce and pine
367 (<https://bwi.info>, data for Saxony, accessed 2020-03-07), that is, taxa of no or minor importance as
368 allergen producers (cf. Table E1). By planting trees in proportions found in natural habitats and
369 eliminating highly allergenic taxa^{47,48} city greening may become even more beneficial.

370 Attention to allergenic potential is also important when selecting tree species that are able to
371 withstand the challenges posed by climate change. Resilient tree taxa⁴⁹⁻⁵¹ may prove detrimental for
372 the development of allergenic diseases. Examples include *Alnus x spaethii*⁵² and *Corylus colurna*, a
373 close relative of the major allergen source *Corylus avellana*, which despite their sturdiness should be
374 reconsidered as future city trees.

375 **Implications for future research**

376 We argue that NDVI and other vegetation indices are not just unable to differentiate vegetation types
377 but are also easily confounded by urbanicity and thus air pollution. When investigating associations
378 where knowing the amount of greenspace or the distance to greenspace is not enough, like with
379 allergic outcomes, we suggest that more detailed vegetation measures be considered. In our study,
380 number of trees appeared to be a good proxy for number of allergenic trees, but this unlikely to
381 always be the case across study areas. Digital tree registry data are scarce but available for some
382 cities, including New York, Frankfurt am Main, Vienna, Hamburg and Melbourne. Similarly, rich
383 datasets are available for different areas, like the maps of 12 key allergenic taxa in the UK for the year
384 2012 in 1 x 1 km resolution produced by McInnes et al.⁵³

385 **Strengths and limitations**

386 Besides the modest sample size and somewhat limited external validity due to loss to follow-up,
387 primarily of families with low school education, the largest limitation of our study is that it is based

388 on a single study area. Therefore, our findings cannot be generalized to other areas. Future studies
389 should further investigate our observed associations in urban, suburban and rural contexts across
390 different climates and countries. Moreover, we cannot exclude residual confounding of our findings
391 by allergenic shrubs and herbs and even by trees on private grounds, as these were not part of the
392 tree registry. We co-adjusted our models with tree exposures for general vegetation degree, but this
393 is not sufficient to rule out potential impacts of other allergenic vegetation. Limited sample size
394 together with relatively low prevalence of asthma and AR precluded us from testing more effect
395 modifiers, in particular, socioeconomic status. Nevertheless, LISA is an established cohort study
396 meant to explore various risk factors of allergic diseases. All allergic outcomes were collected by using
397 state-of-the-art methods at many timepoints. Repeated measurements analysis provides enough
398 power to detect even small associations. The impact of confounding was reduced by controlling for
399 many perinatal and early postnatal allergy risk factors. Finally, we were able to classify all tree genera
400 into allergenic vs non-allergenic by several different criteria, something that was never done before
401 in epidemiological analyses.

402 **Conclusions**

403 We observed that early life residence in places with many trees and specifically many allergenic trees
404 may increase prevalence of AR later in life regardless of general level of vegetation. This association,
405 as well as its modification by nitrogen dioxide and ozone, needs replication in further studies.

406 Conflict of interest

407 The authors declare that they have no conflict of interest.

408

409 Acknowledgements

410 We thank all children and parents for their cooperation, and all technical and administrative support
411 staff and medical and field work teams. We are also grateful to all members of the LISA Study Group.
412 The LISA Study group consists of the following: Helmholtz Zentrum München, German Research
413 Center for Environmental Health, Institute of Epidemiology, Munich (Heinrich J, Schnappinger M,
414 Brüske I, Ferland M, Schulz H, Zeller C, Standl M, Thiering E, Tiesler C, Flexeder C); Department of
415 Pediatrics, Municipal Hospital “St. Georg”, Leipzig (Borte M, Diez U, Dorn C, Braun E); Marien Hospital
416 Wesel, Department of Pediatrics, Wesel (von Berg A, Berdel D, Stiers G, Maas B); Pediatric Practice,
417 Bad Honnef (Schaaf B); Helmholtz Centre of Environmental Research – UFZ, Department of
418 Environmental Immunology, Leipzig (Lehmann I, Bauer M, Röder S, Schilde M, Nowak M, Herberth G,
419 Müller J); Technical University Munich, Department of Pediatrics, Munich (Hoffmann U, Paschke M,
420 Marra S); Clinical Research Group Molecular Dermatology, Department of Dermatology and Allergy,
421 Technische Universität München (TUM), Munich (Ollert M, Grosch J).

422 We would also like to thank Sebastian Fried, Sachgebiet Stadtbäume, Amt für Stadtgrün und
423 Gewässer, Stadt Leipzig, Germany, for providing us Leipzig tree registry (“Baumkataster”) data
424 without which this analysis would not have been possible.

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- 569

570 **Table 1.** Descriptive characteristics of the analytic sample

Characteristic	Category	n/N	%
Season of birth	October to January	224/631	35.5
	February to April	169/631	26.8
	May to July	145/631	23.0
	August to September	93/631	14.7
Sex	Female	324/631	51.3
	Male	307/631	48.7
Highest parental education	Low (<10 years)	15/631	2.4
	Medium (=10 years)	268/631	42.5
	High (>10 years)	348/631	55.2
Parental atopy	No	342/631	54.2
	Yes	289/631	45.8
Older siblings	No	416/631	65.9
	Yes	215/631	34.1
Smoking during pregnancy	No	514/612	84.0
	Yes	98/612	16.0
Smoking at home between birth and 4 years	Never	318/629	50.6
	Likely never	56/629	8.9
	Ever	255/629	40.5
Exclusive breastfeeding first 4 months	No	262/619	42.3
	Yes	357/619	57.7
Birth weight (grams)	Mean and SD	3509.0	453.9
Changed place of residence between birth and 2 years	No	471/631	74.6
	Yes	160/631	25.4

571 SD – standard deviation; n – number of participants in the category; N – number of participants

572 with available data.

573 **Table 2.** Prevalence of allergic outcomes in the analytic sample at all ages

Age, years	Asthma		Allergic rhinitis		Aeroallergen sensitization		Food sensitization	
	n/N	%	n/N	%	n/N	%	n/N	%
2					26/533	4.9	59/534	11.0
3	3/534	0.6	8/535	1.5				
4	7/524	1.3	13/520	2.5				
5	11/459	2.4	19/462	4.1				
6	12/461	2.6	29/461	6.3	76/262	29.0	37/262	14.1
7	16/356	4.5	24/353	6.8				
8	11/356	3.1	27/355	7.6				
9	13/356	3.7	31/354	8.8				
10	10/356	2.8	40/353	11.3	104/242	43.0	40/242	16.5
11	16/355	4.5	43/353	12.2				
12	12/355	3.4	28/351	8.0				
13	14/355	3.9	29/351	8.3				
14	11/355	3.1	31/351	8.8				
15	10/355	2.8	30/351	8.5	117/247	47.4	17/247	6.9

574 n – number of participants in the category; N – number of participants with available data.

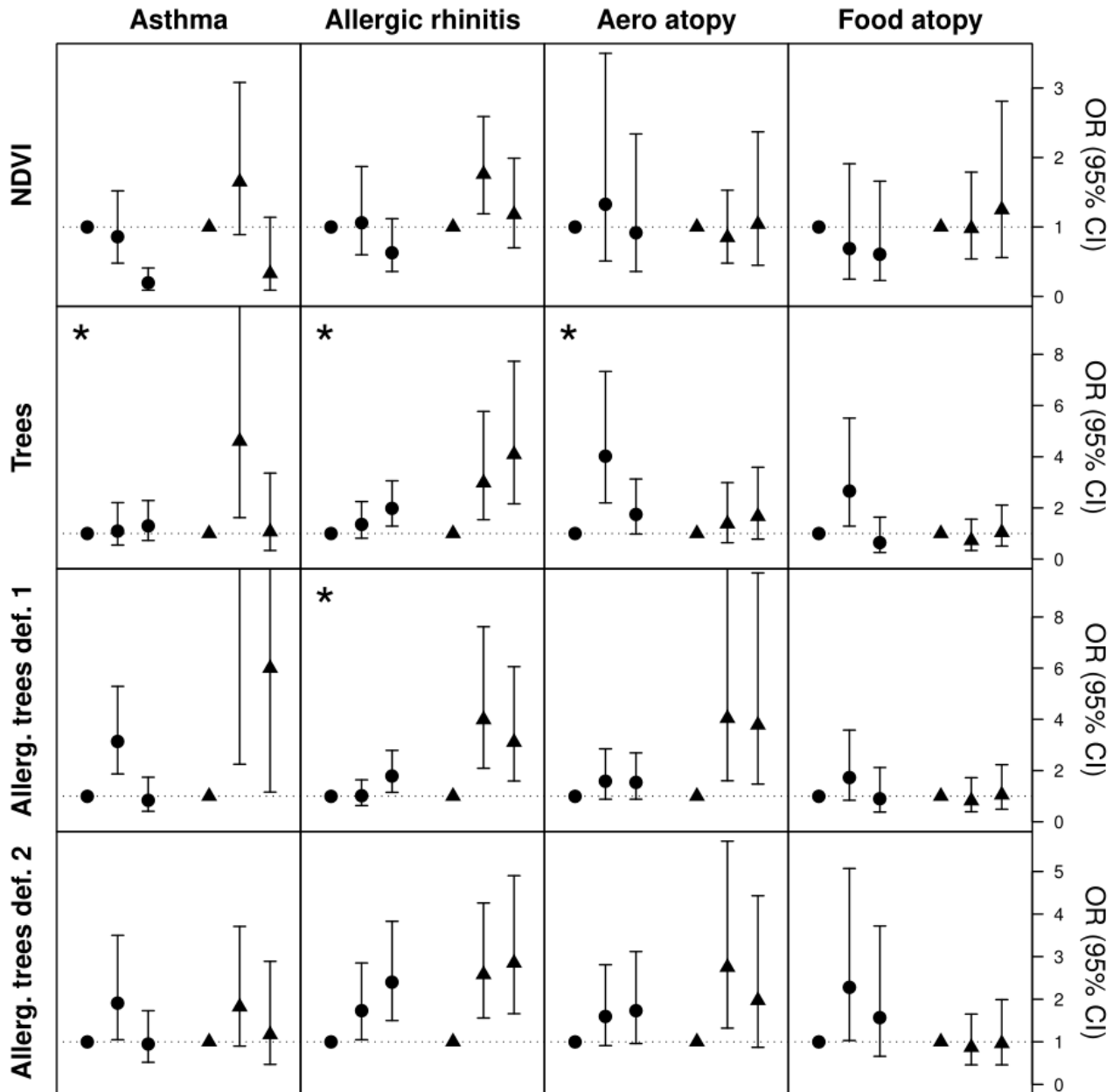
T2	1.44	1.01	2.07	1.30	0.99	1.70	1.54	1.07	2.22	1.12	0.74	1.71
T3	0.37	0.23	0.61	1.12	0.84	1.50	1.28	0.87	1.89	0.96	0.62	1.49
Allergenic trees (def. 1) 500 m (T1 ref.)												
T2	1.49	0.97	2.30	2.03	1.52	2.71	1.32	0.92	1.92	1.49	0.95	2.32
T3	0.82	0.50	1.33	1.68	1.25	2.26	1.75	1.22	2.50	1.55	1.00	2.38
NDVI 500 m (T1 ref.)												
T2	1.38	0.96	1.97	1.23	0.93	1.61	1.56	1.08	2.26	1.11	0.73	1.69
T3	0.45	0.27	0.74	1.03	0.78	1.36	1.25	0.86	1.82	1.00	0.65	1.53
Allergenic trees (def. 2) 500 m (T1 ref.)												
T2	1.29	0.86	1.93	2.03	1.52	2.72	1.31	0.92	1.87	1.27	0.83	1.96
T3	0.72	0.45	1.15	1.98	1.46	2.67	1.41	0.97	2.03	1.21	0.78	1.87
NDVI 500 m (T1 ref.)												
T2	1.46	1.00	2.12	1.11	0.84	1.46	1.46	1.01	2.11	1.08	0.71	1.65
T3	0.41	0.25	0.68	0.87	0.65	1.15	1.11	0.77	1.61	0.90	0.59	1.38

577 def. – definition; GEE – generalized estimating equations; NDVI – Normalized Difference Vegetation Index; LCI – lower 95% confidence interval; OR –

578 odds ratio; T1 – 1st tertile, T2 – 2nd tertile, T3 – 3rd tertile (see Table 3); UCI – upper confidence interval.

579 Boldface identifies significant associations ($p < 0.05$).

580 All models were adjusted for age, sex, season of birth, parental atopy and parental education.



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Figure 1. Associations between greenness, trees and allergenic trees in 500 m buffers around birth residential addresses with allergic outcomes up to 15 years, estimated by GEE models and stratified by NO₂ levels. Circles represent the low tertile of NO₂ while triangles represent the high tertile. An asterisk identifies a significant interaction term between the exposure variable and the continuous NO₂ variables. All models were adjusted for age, sex, season of birth, parental atopy and parental education. Allerg. – allergenic; CI – confidence interval; def. – definition; OR – odds ratio; NDVI – Normalized Difference Vegetation Index; NO₂ – nitrogen dioxide.

Online repository

Residing near allergenic trees can increase risk of allergies later in life: LISA Leipzig study

Iana Markevych, Romina Ludwig, Clemens Baumbach, Marie Standl, Joachim Heinrich, Gunda Herberth, Kees de Hoogh, Karin Pritsch, Fabian Weikl

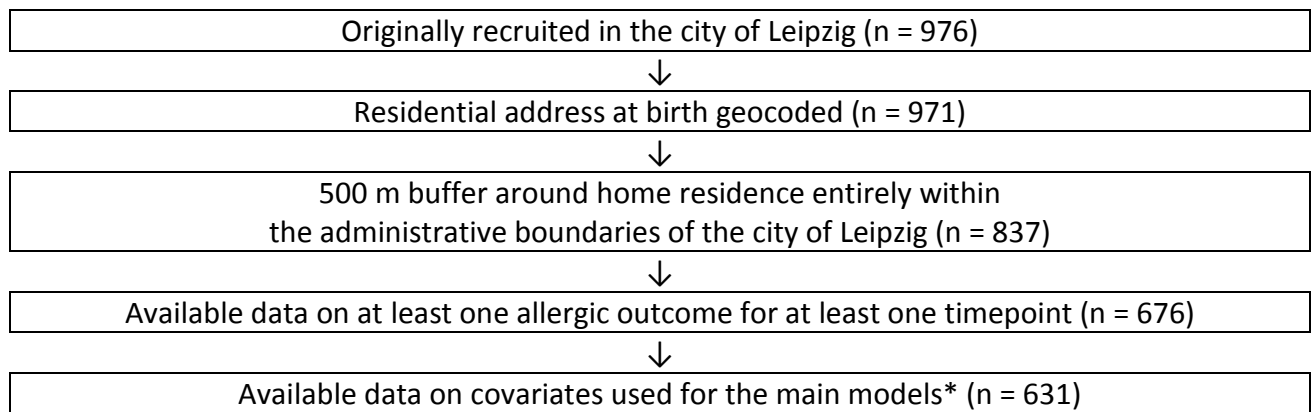


Figure E1. Flowchart of the study participants

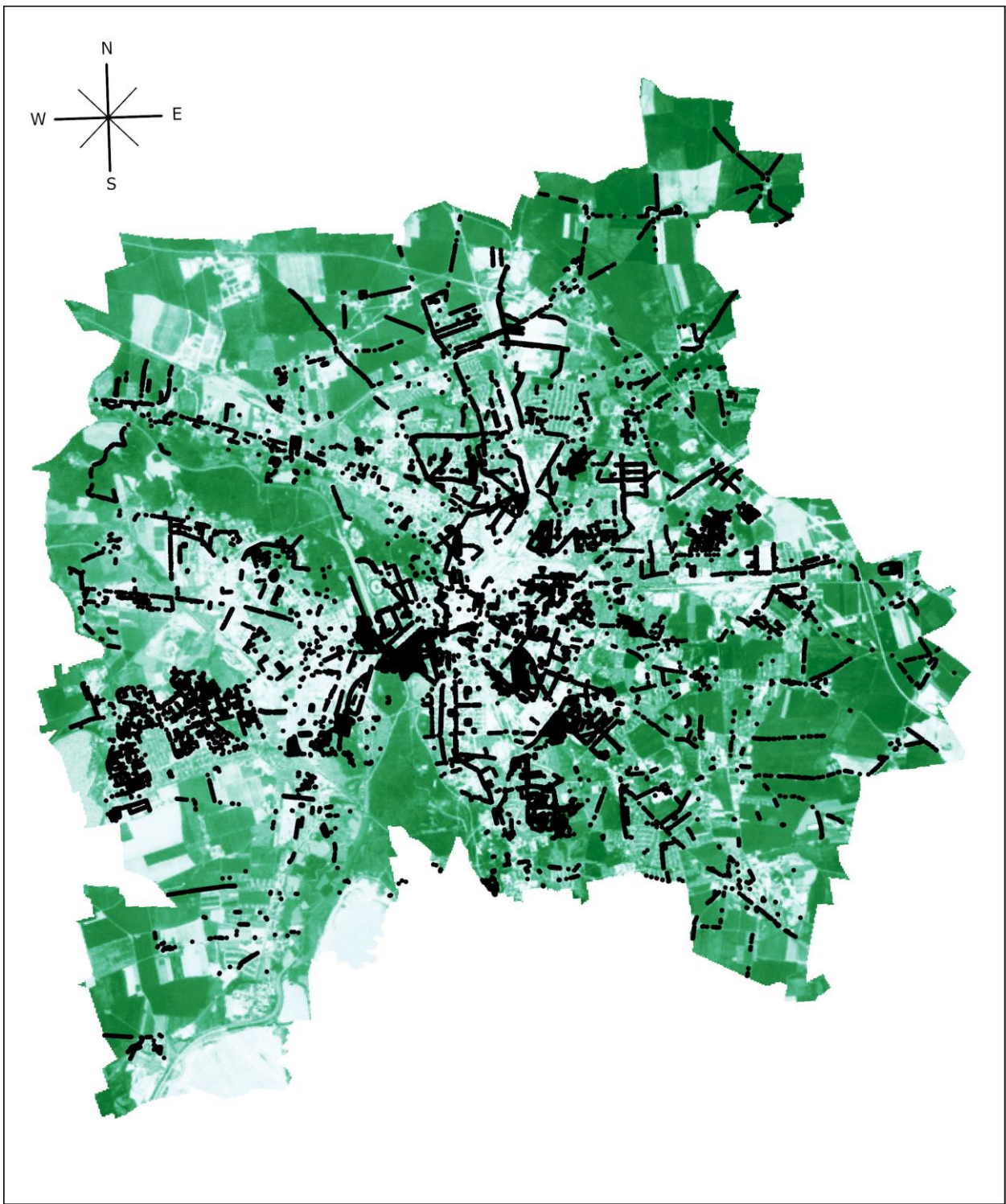
* Main covariates are the following: age, sex, season of birth, parental atopy and parental education.

Table E2. Description of exposure variable categories

	Category 1		Category 2		Category 3	
	Min	Max	Min	Max	Min	Max
NDVI 100 m	0.1867	0.3606	0.3613	0.4586	0.4593	0.7616
NDVI 500 m	0.2498	0.3853	0.3858	0.4497	0.4499	0.7497
NDVI 1000 m	0.2737	0.4007	0.4008	0.4588	0.4591	0.7563
Trees 100 m	0	0	1	176		
Trees 500 m	1	221	222	453	455	2,221
Trees 1000 m	64	964	965	1,892	1,893	6,509
Allergenic trees (def. 1) 100 m	0	0	1	77		
Allergenic trees (def. 1) 500 m	0	44	45	134	135	1,068
Allergenic trees (def. 1) 1000 m	3	264	266	540	541	2,499
Allergenic trees (def. 2) 100 m	0	0	1	48		
Allergenic trees (def. 2) 500 m	0	16	17	61	62	393
Allergenic trees (def. 2) 1000 m	4	92	93	258	260	1,076

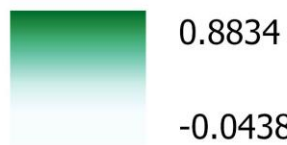
def. – definition; Min – minimum; Max – maximum; NDVI – Normalized Difference Vegetation Index.

NDVI variables and tree counts for the 500 m and 1000 m buffers were categorized into tertiles. Tree counts for the 100 m buffer were dichotomized (= 0 vs ≥ 1).



Landsat 5 TM-based NDVI, 06.06.1997

0 1 2 4 6 8 km



0.8834

-0.0438

Trees planted before 1998 represented as black dots

Figure E2. Trees of the city of Leipzig planted before 1998 superimposed over Normalized Difference Vegetation Index (NDVI) layer from 1997

Table E3. Associations between greenness, trees and allergenic trees in 100 m buffers around birth residential addresses with allergic outcomes up to 15 years, estimated by GEE models

Exposure	Asthma			Allergic rhinitis			Allergic sensitization to aeroallergens			Allergic sensitization to food allergens		
	OR	LCI	UCI	OR	LCI	UCI	OR	LCI	UCI	OR	LCI	UCI
NDVI 100 m (T1 ref.)												
T2	1.10	0.74	1.64	1.04	0.79	1.36	0.90	0.64	1.28	0.77	0.50	1.17
T3	0.77	0.50	1.20	0.92	0.70	1.21	0.83	0.59	1.19	0.85	0.57	1.29
Trees 100 m (0 ref.)												
≥1	3.12	1.99	4.90	2.14	1.64	2.78	1.30	0.96	1.76	1.10	0.76	1.58
Allergenic trees (def. 1) 100 m (0 ref.)												
≥1	1.45	1.05	2.01	1.40	1.12	1.74	1.50	1.12	2.00	1.05	0.74	1.48
Allergenic trees (def. 2) 100 m (0 ref.)												
≥1	0.70	0.48	1.02	1.48	1.19	1.85	1.51	1.12	2.04	1.05	0.73	1.50

def. – definition; GEE – generalized estimating equations; NDVI – Normalized Difference Vegetation Index; LCI – lower 95% confidence interval; OR – odds ratio; T1 – 1st tertile, T2 – 2nd tertile, T3 – 3rd tertile (see Table 3); UCI – upper confidence interval.

Boldface identifies significant associations ($p < 0.05$).

All models were adjusted for age, sex, season of birth, parental atopy and parental education.

Table E4. Associations between greenness, trees and allergenic trees in 1,000 m buffers around birth residential addresses with allergic outcomes up to 15 years, estimated by GEE models

Exposure	Asthma			Allergic rhinitis			Allergic sensitization to aeroallergens			Allergic sensitization to food allergens		
	OR	LCI	UCI	OR	LCI	UCI	OR	LCI	UCI	OR	LCI	UCI
NDVI 1,000 m (T1 ref.)												
T2	0.74	0.50	1.11	1.11	0.84	1.46	1.06	0.73	1.55	1.46	0.94	2.25
T3	0.50	0.33	0.75	0.84	0.64	1.10	1.27	0.89	1.81	1.16	0.75	1.79
Trees 1,000 m (T1 ref.)												
T2	1.16	0.74	1.80	1.46	1.09	1.96	1.08	0.77	1.53	1.42	0.92	2.18
T3	1.53	1.03	2.27	1.95	1.48	2.57	1.16	0.82	1.65	1.70	1.12	2.60
Allergenic trees (def. 1) 1,000 m (T1 ref.)												
T2	1.29	0.84	1.98	1.43	1.07	1.90	1.37	0.96	1.96	1.50	0.97	2.32
T3	1.46	0.98	2.17	1.71	1.30	2.25	1.37	0.97	1.94	1.59	1.05	2.42
Allergenic trees (def. 2) 1,000 m (T1 ref.)												
T2	1.07	0.71	1.62	1.77	1.33	2.37	1.35	0.95	1.92	1.03	0.67	1.58
T3	1.19	0.80	1.77	2.11	1.59	2.81	1.39	0.97	1.99	1.35	0.90	2.04

def. – definition; GEE – generalized estimating equations; NDVI – Normalized Difference Vegetation Index; LCI – lower 95% confidence interval; OR – odds ratio; T1 – 1st tertile, T2 – 2nd tertile, T3 – 3rd tertile (see Table 3); UCI – upper confidence interval.

Boldface identifies significant associations ($p < 0.05$).

All models were adjusted for age, sex, season of birth, parental atopy and parental education.

Table E5. Crude and additionally adjusted associations between greenness, trees and allergenic trees in 500 m buffers around birth residential addresses with allergic outcomes up to 15 years, estimated by GEE models

Exposure	Asthma			Allergic rhinitis			Allergic sensitization to aeroallergens			Allergic sensitization to food allergens			
	OR	LCI	UCI	OR	LCI	UCI	OR	LCI	UCI	OR	LCI	UCI	
Crude models	NDVI 500 m (T1 ref.)												
	T2	1.35	0.93	1.95	1.18	0.90	1.53	1.58	1.11	2.24	1.06	0.70	1.59
	T3	0.42	0.26	0.69	0.86	0.65	1.14	1.12	0.78	1.61	0.88	0.58	1.35
	Trees 500 m (T1 ref.)												
	T2	1.00	0.68	1.47	1.54	1.16	2.05	1.25	0.89	1.76	1.05	0.69	1.59
	T3	0.76	0.50	1.15	2.00	1.52	2.64	1.46	1.03	2.06	1.23	0.82	1.85
	Allergenic trees (def. 1) 500 m (T1 ref.)												
	T2	1.82	1.23	2.70	2.03	1.53	2.68	1.36	0.96	1.92	1.47	0.97	2.25
	T3	0.96	0.61	1.50	1.65	1.24	2.20	1.66	1.17	2.35	1.49	0.98	2.28
	Allergenic trees (def. 2) 500 m (T1 ref.)												
	T2	1.23	0.84	1.81	2.06	1.55	2.73	1.37	0.97	1.92	1.34	0.88	2.02
	T3	0.88	0.57	1.35	1.97	1.48	2.64	1.48	1.04	2.11	1.23	0.80	1.88
Additionally adjusted models	NDVI 500 m (T1 ref.)												
	T2	1.64	1.12	2.38	1.27	0.96	1.67	1.49	1.02	2.18	1.14	0.74	1.77
	T3	0.43	0.26	0.72	0.90	0.67	1.20	1.00	0.68	1.47	0.90	0.58	1.40
	Trees 500 m (T1 ref.)												
	T2	1.04	0.69	1.57	1.57	1.16	2.11	1.22	0.85	1.76	1.03	0.66	1.60
	T3	0.84	0.53	1.32	2.09	1.56	2.80	1.60	1.12	2.29	1.30	0.85	1.98
	Allergenic trees (def. 1) 500 m (T1 ref.)												
	T2	1.83	1.21	2.77	2.11	1.58	2.82	1.08	0.75	1.55	1.40	0.90	2.19
	T3	1.04	0.64	1.70	1.64	1.20	2.22	1.36	0.95	1.94	1.48	0.95	2.31
	Allergenic trees (def. 2) 500 m (T1 ref.)												
	T2	1.31	0.86	1.98	2.05	1.52	2.76	1.32	0.92	1.91	1.20	0.78	1.85
	T3	0.88	0.56	1.38	1.93	1.42	2.63	1.67	1.17	2.40	1.19	0.77	1.85

def. – definition; GEE – generalized estimating equations; NDVI – Normalized Difference Vegetation Index; LCI – lower 95% confidence interval; OR – odds ratio; T1 – 1st tertile, T2 – 2nd tertile, T3 – 3rd tertile (see Table 3); UCI – upper confidence interval.

Boldface identifies significant associations (p < 0.05).

Crude models were adjusted for age.

Additionally adjusted models were adjusted for age, sex, season of birth, parental atopy, parental education, maternal smoking during pregnancy and smoking at home, older siblings, breastfeeding and birth weight.

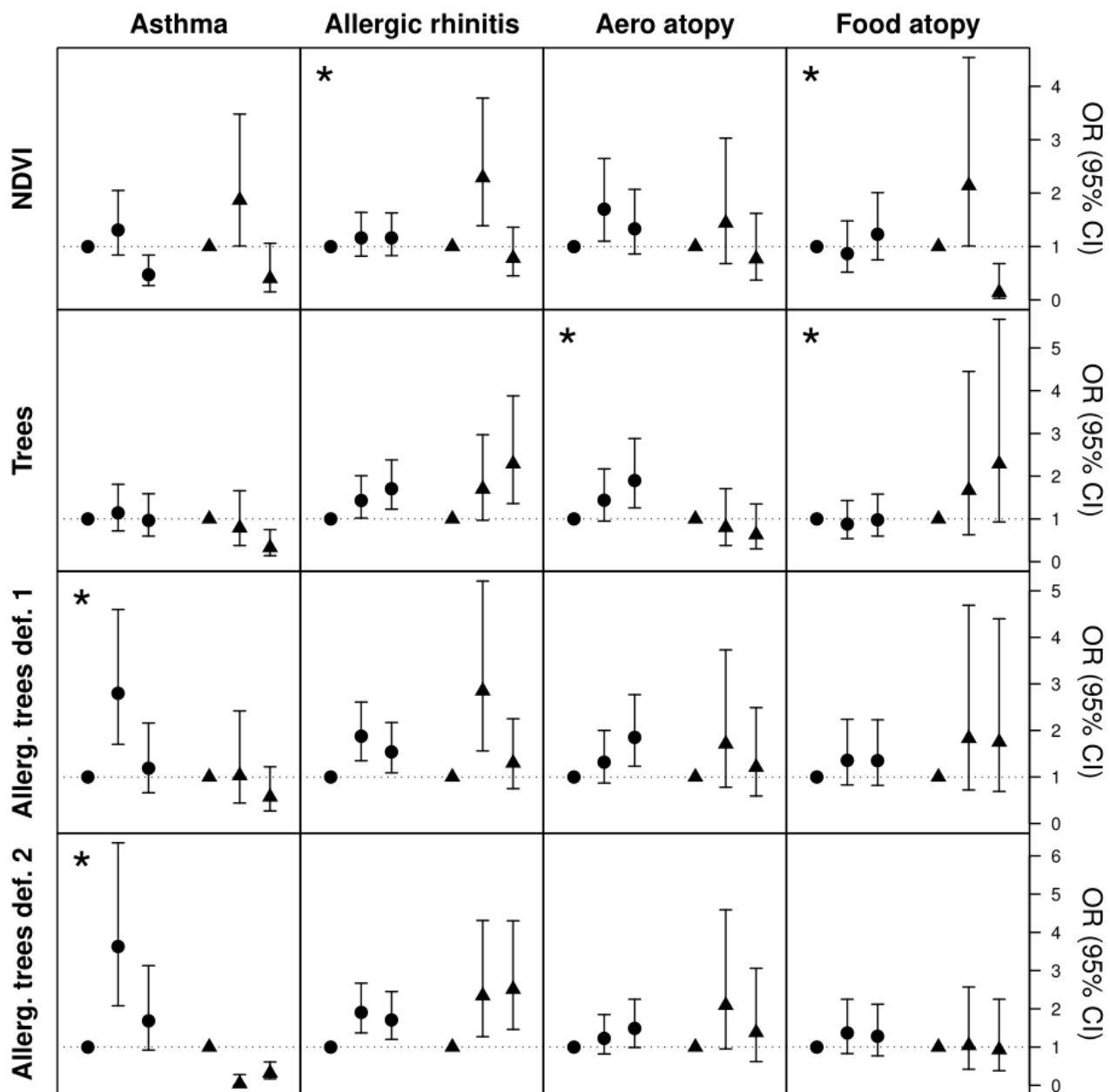


Figure E3. Associations between greenness, trees and allergenic trees in 500 m buffers around birth residential addresses with allergic outcomes up to 15 years, estimated by GEE models and stratified by whether a participant changed the place of residence between birth and 2 years. Circles represent non-movers and triangles movers. An asterisk identifies a significant interaction term between the exposure and the mover variables.

All models were adjusted for age, sex, season of birth, parental atopy and parental education.

Allerg. – allergenic; CI – confidence interval; def. – definition; OR – odds ratio; NDVI – Normalized Difference Vegetation Index.

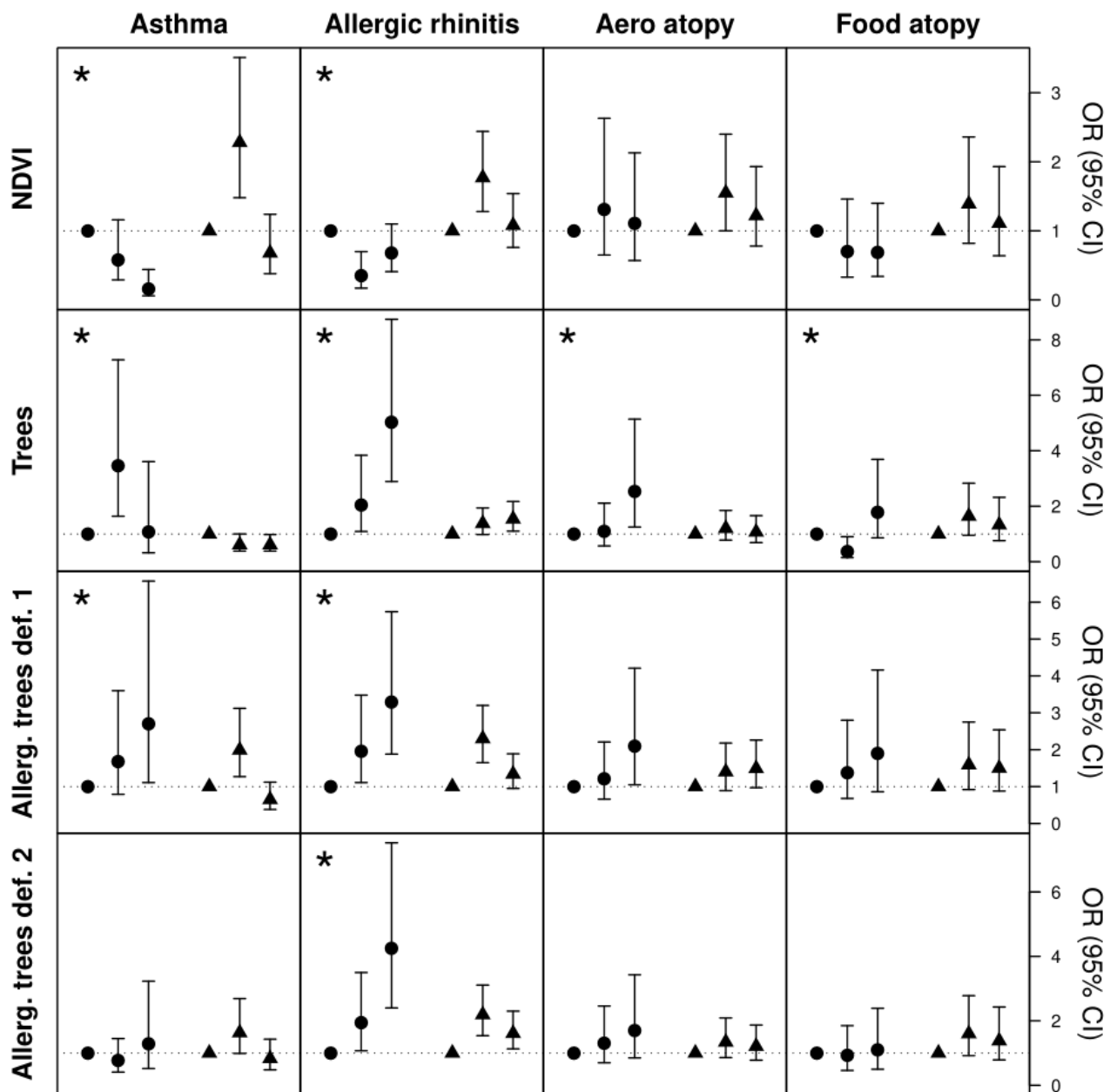


Figure E4. Associations between greenness, trees and allergenic trees in 500 m buffers around birth residential addresses with allergic outcomes up to 15 years, estimated by GEE models and stratified by whether a participant resided within 300 m from green space of at least 1 ha. Circles represent green spaces further away than 300 m while triangles represent green spaces within 300 m. An asterisk identifies a significant interaction term between the exposure and the green spaces variables.

All models were adjusted for age, sex, season of birth, parental atopy and parental education.

Allerg. – allergenic; CI – confidence interval; def. – definition; OR – odds ratio; NDVI – Normalized Difference Vegetation Index.

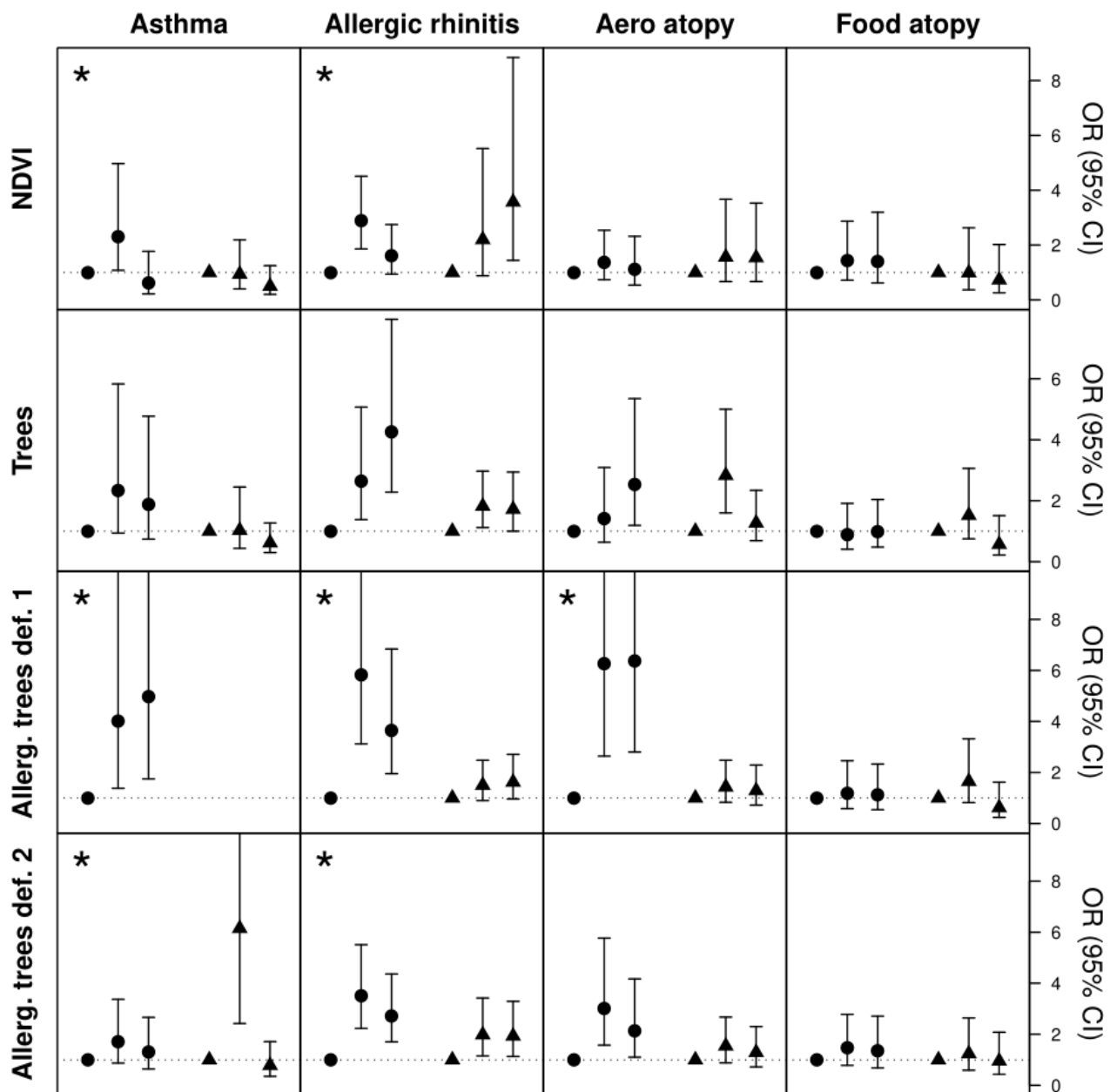


Figure E5. Associations between greenness, trees and allergenic trees in 500 m buffers around birth residential addresses with allergic outcomes up to 15 years, estimated by GEE models and stratified by ozone levels. Circles stand for the low tertile of ozone while triangles stand for the high tertile. An asterisk identifies a significant interaction term between the exposure and the continuous ozone variables.

All models were adjusted for age, sex, season of birth, parental atopy and parental education.

There are no odds ratios for allergenic trees definition 1 and the high tertile of ozone because the GEE model failed to return meaningful results in this case.

Allerg. – allergenic; CI – confidence interval; def. – definition; OR – odds ratio; NDVI – Normalized Difference Vegetation Index.



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Repository E Table - Excel file

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