

1 **Observation of a transient reaction intermediate illuminates**
2 **the mechanochemical cycle of the AAA-ATPase p97**

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1 **Abstract**

2 The human ATPase p97, also known as valosin containing protein or Cdc48, is a
3 highly abundant AAA+ engine that fuels diverse energy-consuming processes in the
4 human cell. p97 represents a potential target for cancer therapy and its malfunction
5 causes a degenerative disease. Here, we monitor the enzymatic activity of p97 in real
6 time via an NMR-based approach that allows us to follow the steps that couple ATP
7 turnover to mechanical work. Our data identify a transient reaction intermediate, the
8 elusive ADP.P_i nucleotide state, which has been postulated for many ATPases but has
9 so far escaped direct detection. In p97, this species is crucial for the regulation of
10 adenosine triphosphate turnover in the first nucleotide-binding domain. We further
11 demonstrate how the enzymatic cycle is detuned by disease-associated gain-of-
12 function mutations. The high-resolution insight obtained into conformational
13 transitions in both protein and nucleotide bridges the gap between static enzyme
14 structures and the dynamics of substrate conversion. Our approach relies on the close
15 integration of solution- and solid-state NMR methods and is generally applicable to
16 shed light on the mechanochemical operating modes of large molecular engines.

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1 **Introduction**

2 p97 powers multiple processes indispensable to the survival of human cells including
3 protein homeostasis¹, chromatin-associated functions², cell cycle progression³,
4 apoptosis⁴ and membrane fusion⁵. The role of p97 is that of a molecular motor that
5 segregates, remodels, and unfolds biomolecular complexes⁶. A member of the
6 ATPases Associated with diverse cellular Activities (AAA+) superfamily⁷, p97 is a
7 symmetric hexamer formed by two nucleotide binding domains, D1 and D2,
8 assembled into two planar rings, which can adopt a staircase-like arrangement in
9 complex with cofactors as substrates are translocated through the central pore⁸⁻¹⁰.
10 From structures determined by crystallography¹¹⁻¹³ and cryo-electron microscopy
11 (cryo-EM)^{14, 15} in the presence of either ATP γ S or ADP, high-resolution snapshots of
12 the enzymatic cycle have emerged. The cofactor-binding N-terminal domain (NTD)
13 undergoes a major downward motion between ATP γ S- and ADP-bound states of D1¹¹
14 (Figure 1a, Figure S1). This motional freedom of the NTD is a prerequisite for
15 enzymatic activity of both D1 and D2¹⁶, the nucleotide states of which appear to be
16 coupled^{14, 17}. Beyond controlling NTD motion to generate the major power stroke in
17 p97, the D1 domain also mediates the binding of the majority of cofactors¹⁸.
18 Meanwhile, D2 accomplishes substrate unfolding^{8, 9} and contributes more strongly to
19 the overall ATPase activity of the enzyme¹⁷.
20 Mechanistic questions remain regarding the operating mode of p97, which we address
21 here for the D1 domain of p97: During which step of the ATP-hydrolysis cycle is
22 mechanical work generated? Which step is rate-limiting? Are there additional reaction
23 intermediates that have not yet been trapped? Is ATP turnover coordinated among the
24 hexamer subunits? How do disease-associated mutations in p97 interfere with the
25 above?

1 We employed Nuclear Magnetic Resonance (NMR) spectroscopy in solution and in
2 the solid state under magic angle spinning (MAS) to watch the enzyme and its bound
3 nucleotide during live enzymatic activity. By modulating the activity via mutations,
4 we disentangled the underlying kinetics and deduced a mechanochemical cycle for the
5 first nucleotide binding domain of p97.

6 **Results**

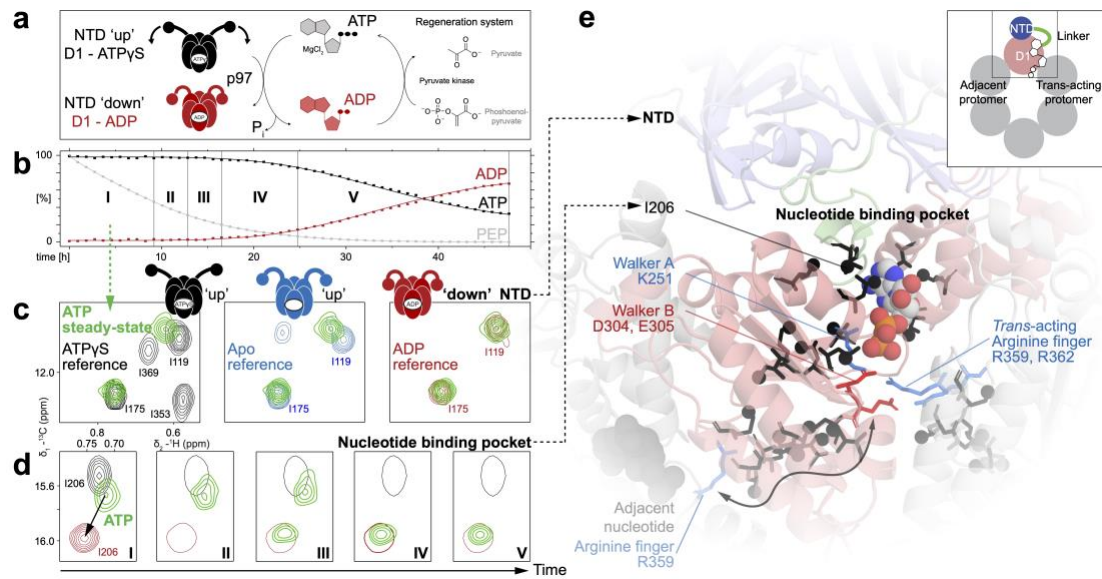
7 **A transient reaction intermediate limits ATP turnover in p97 D1 domain.**

8 We performed experiments on methyl-labelled full-length p97 (6x806 residues) and a
9 minimal model comprising NTD and D1 (first 6x480 residues, termed ND1L), which
10 is sufficient for the nucleotide-driven domain rearrangement of the NTD^{12, 19} and
11 affords NMR data with higher resolution. An ATP regeneration system in the NMR
12 tube²⁰ (Figure 1a, Figure S2) allowed us to maintain a ratio of ATP to ADP within the
13 physiological range of mammalian cells: low millimolar concentration of free ATP,
14 with ADP ranging from several orders of magnitude lower up to equal concentrations
15 with respect to ATP, depending on cell type and environment^{21, 22}. To monitor p97
16 during ATP turnover and at rest, series of two-dimensional methyl correlation
17 spectra²³ of the protein were acquired over time, first in the presence of pure ATP,
18 then, as the fuel of the regeneration system was depleted, in a mixture of ATP and
19 ADP (Figure 1b). To read out conformational transitions of the enzyme, we had
20 access to 130 assigned methyl probes¹⁹, distributed evenly over residues 1-480 (55 in
21 NTD; 4 in linker; 71 in D1).

22 The relative populations of different p97 species observed in the NMR spectra reflect
23 the kinetic rates of each step of the enzymatic cycle. In the presence of only ATP
24 (steady state, region I/II in Figure 1b), we detect one correlation per residue (full
25 spectra in Figure S3). Since the NMR chemical shift is a highly sensitive reporter of

1 protein secondary, tertiary and quaternary structure, the observation of a single
2 correlation for each methyl group implies the existence of a single symmetric species
3 of the homo-oligomeric p97 complex. Since this species is predominant during the
4 steady state, its turnover must be the rate-limiting step of the cycle. The majority of
5 chemical shifts are identical or similar to those of ADP-bound p97 (Figure 1c, red
6 contours, right panel), which is distinct from apo (blue, middle panel) and ATP γ S-
7 bound p97 (black, left panel), consistent with a coplanar position of the NTD.
8 However, 20 methyl-bearing amino acid residues display deviations from the ADP-
9 bound form (Figure 1d-e, Figure S4 and Table S1), with a subset shifting towards an
10 ATP γ S-like state and others perturbed or obliterated. The effects cluster around the
11 nucleotide binding pocket and radiate outward from the residues critical for
12 nucleotide binding and hydrolysis, the Walker motifs and arginine fingers²⁴.
13 Analogous observations were made for the truncated ND1L and full-length wild-type
14 protein (Figure S5a-c). Once the ATP:ADP ratio fell below ~50:1, additional
15 correlations originating from ADP-bound p97 appeared (region III in Figure 1d), and
16 upon depletion of the regeneration system, the spectra completely reverted to the
17 ADP-bound state (region V).

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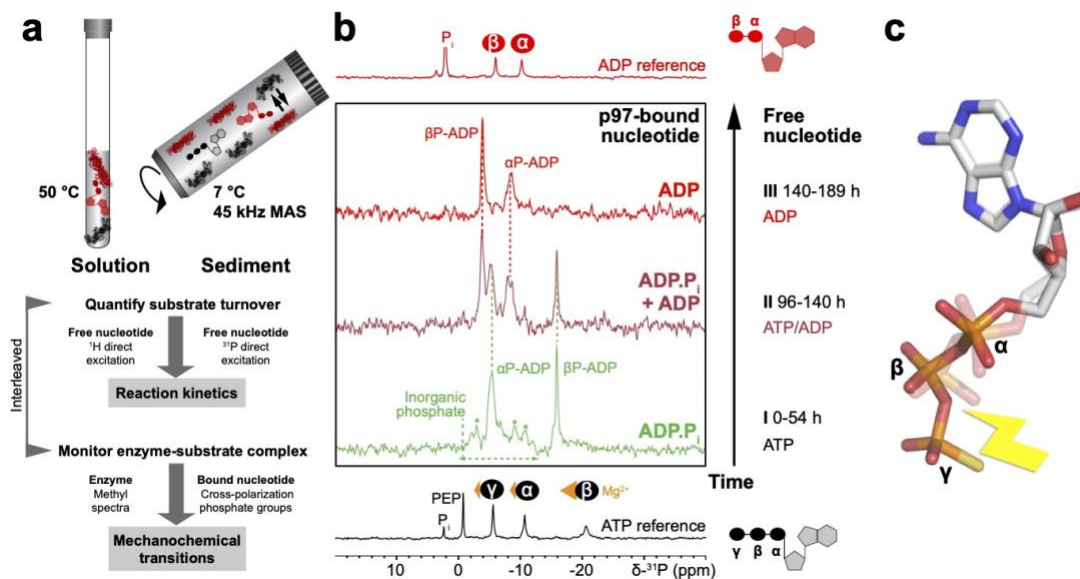
Figure 1. Nucleotide turnover by p97 followed in real time. **a.** NTD moves downward relative to the hexamer barrel formed by D1 as ATP is hydrolysed into ADP and inorganic phosphate released. Schematic of the reaction cycle taking place in the NMR tube: p97 converts ATP to ADP with MgCl₂ as a cofactor. ATP is regenerated from ADP via transfer of a phosphate from phosphoenolpyruvate (PEP) by a pyruvate kinase. **b.** The levels of ATP, ADP and PEP were quantified over time from directly pulsed one-dimensional ¹H NMR spectra (Figure S2). The reaction lasts until PEP is depleted. **c.** Superposition of two-dimensional methyl correlation spectra of p97-ND1L under ATP turnover (taken from region I from panel b) compared to arrested nucleotide states. Residues I119 and I175 are located in the NTD and report on its position relative to the hexamer barrel formed by D1. The protein is predominantly found in a conformation similar but not identical to its ADP-bound state. **d.** Isoleucine 206 is positioned within 4 Å of the bound nucleotide and part of the linker connecting NTD and D1. This and 19 more residues are detected in a transient state during ATP turnover, which is distinct from the resting ADP-bound state (Figures S3, S4; Table S1). The arrow illustrates peak movement over time. The corresponding data for full-length p97 are shown in Figure S5. **e.** Methyl groups displaying significant chemical shift changes between p97 in the presence of ADP and under ATP turnover are highlighted as black spheres on the crystal structure of ADP-bound p97 (PDB: 1e32²⁵). The structural perturbations localize to the nucleotide binding pocket and the adjacent linker region. Functional residues for nucleotide binding and hydrolysis are highlighted as red and blue sticks. The arrow indicates a potential path for communication of nucleotide occupancy around the D1 ring, connecting to the arginine finger of the adjacent protomer.

The transient reaction intermediate is the ADP.P_i nucleotide state.

The data described above identify a reaction intermediate that displays a coplanar NTD and intact symmetry at the time scale of the NMR chemical shift. The chemical shifts resemble ADP-bound p97 but indicate localized structural deviations in the

1 nucleotide binding pocket of the D1 domain. We thus sought to determine the identity
2 of its bound nucleotide. We performed solid-state ^{31}P -NMR measurements under
3 MAS to observe its phosphate groups^{26, 27}. Under MAS, p97 oligomers are
4 sedimented along with their bound nucleotide but remain in exchange with free
5 nucleotide in the surrounding solution^{28,29}. The protein-bound nucleotide can then be
6 detected in cross-polarization and the free nucleotide in directly pulsed NMR
7 experiments. Both the ATPase reaction and the regeneration system remained active
8 in the sediment with kinetics qualitatively similar to solution-state NMR conditions
9 (Figure S6). In the presence of pure ATP (Figure 2b, Figure S7), which captures the
10 transient p97 species, the bound nucleotide displays two sharp ^{31}P resonances,
11 indicative of two phosphate groups within a well-defined nucleotide conformation
12 and a single chemical environment. Their chemical shifts deviate from the expected
13 value for α -phosphate of ADP/ATP and lie in between the expected values for β -
14 phosphates in ATP and ADP, respectively. The additional broad signal is attributed to
15 a third ^{31}P spin in multiple heterogenous chemical environments, evidenced by
16 multiple peak maxima. Upon depletion of the regeneration system, all these signals
17 disappear and two resonances characteristic of p97-bound ADP appear. We
18 hypothesize that the transient species is a post-hydrolysis reaction intermediate with
19 inorganic phosphate (P_i), although cleaved, still trapped in the binding pocket and the
20 ADP molecule not yet fully reverted to its resting-state conformation. This entails
21 unusual dihedral and bond angles in the phosphate backbone, which are reflected by
22 non-canonical ^{31}P chemical shifts of the two sharp ADP resonances^{30,31}. The trapped
23 nucleotide state would thus correspond to the ‘ADP. P_i ’ state, a transient reaction
24 intermediate postulated for many ATPase enzymes, most prominently discussed for
25 myosin^{32, 33}, but which has escaped direct transient observation so far. Inside the

1 nucleotide binding pocket, the P_i ion must be immobilized for the lifetime of the
2 ADP. P_i state (~1 min, see below). Positively charged amino acids in the binding
3 pocket could serve as electrostatic anchors, notably the arginine finger residues³⁴
4 (Figure 1e). To corroborate our hypothesis of a trapped phosphate ion, we introduced
5 into ADP-bound p97-ND1L arsenate and vanadate ions²⁶, which mimic inorganic
6 phosphate due to their similar size, charge and geometry (Figure S8c). Peak doublings
7 are observed for a subset of those residues that also display perturbations under active
8 ATP turnover (Figure S8a-b, Table S2). This finding is consistent with a
9 subpopulation of p97 temporarily enclosing an ADP molecule (Figure S9) plus a
10 vanadate or arsenate ion in the nucleotide binding pocket. Finally, we performed ³¹P-
11 NMR experiments on ATP γ S, the γ -thio-substituted analogue of ATP, in complex
12 with p97 (Figure S10). While the majority of ATP γ S bound to p97 as such, a small
13 fraction was hydrolysed, as evidenced by the same spectral pattern as observed for
14 the ADP. P_i state but with the broad, heterogeneous signal shifted downfield by
15 ~30 ppm. This distinct effect results unequivocally from the thio-substitution of the γ -
16 phosphate and proves our hypothesis that this signal originates from inorganic
17 (thio)phosphate and not from a small population of nucleotides in a different binding
18 pose.



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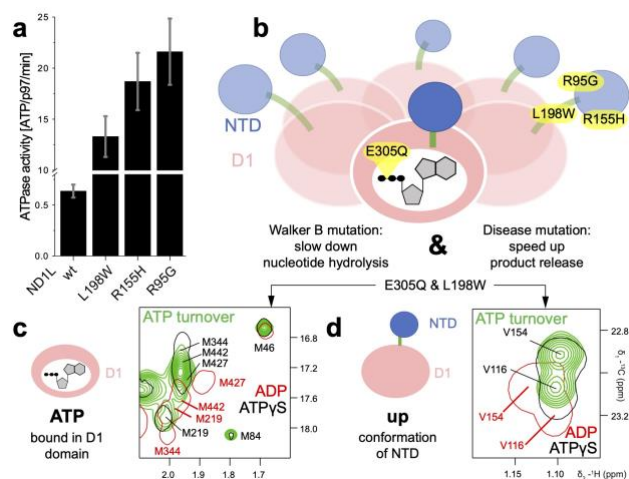
2 **Figure 2. The nucleotide state of the transient reaction intermediate.** a. NMR experiments in
 3 solution and in the sediment are correlated to monitor simultaneously reaction kinetics and
 4 conformational transitions in the protein and its bound nucleotide. b. ^1H - ^{31}P cross-polarization spectra
 5 of nucleotide bound to p97 D1 domain acquired over the course of the ATPase reaction. Directly
 6 pulsed ^{31}P spectra of ATP (bottom) and ADP (top) in solution are provided for reference with typical
 7 chemical shifts indicated for the phosphate groups^{26, 35}. Effects of Mg^{2+} -binding on ^{31}P resonances are
 8 indicated as orange triangles³⁵. c. Conformations of ADP and $\text{ATP}\gamma\text{S}$ when bound to p97 D1 domain
 9 (extracted from PDB: 1e32²⁵ and 4ko8³⁶). The bond and dihedral angles in the phosphate backbone
 10 differ considerably between the two molecules, consistent with ^{31}P chemical shift changes of several
 11 ppm for α - and β -phosphates^{30, 31} as the nucleotide changes conformation upon hydrolysis.

12

13 **Disease-associated mutations deregulate the enzymatic cycle in p97 D1 domain.**

14 Point mutations in p97 associated with degenerative diseases in humans, subsumed
 15 under multisystem proteinopathies³⁷, lead to strongly increased ATPase activity^{36, 38,}
 16 ³⁹. These mutations cluster at the interface between the NTD and the D1 domain as
 17 well as the linker region connecting these domains. The ATPase activities of R95G,
 18 R155H and L198W mutants of p97-ND1L were enhanced ~ 20 fold over the wild
 19 type under NMR conditions (50 °C to optimise spectral quality; Figures 3a, S11a). At
 20 elevated temperatures D1 reaches its peak activity⁴⁰ and the effect of disease
 21 mutations on the activity of full-length p97 is most pronounced³⁹. This activity was
 22 too high to sustain constant ATP levels during the acquisition of a two-dimensional

1 spectrum. From the onset of the reaction, the protein spectra appeared to represent a
 2 mixture of p97 in ATP γ S- and ADP-like states (Figures S12a-b). We therefore
 3 mutated the catalytically active glutamate residue in the Walker B motif in order to
 4 reduce the enzymatic activity twentyfold (Figure S11b) and enable NMR analysis of
 5 the hyperactive disease-linked mutants. While the E305Q mutation does not affect the
 6 spectra of wild-type p97-ND1L under ATP turnover (Figure S5d and explanation in
 7 the Materials and Methods), the spectra of the double mutants (E305Q &
 8 R155H/L198W/R95G) under ATP turnover show high similarity to those recorded in
 9 the presence of ATP γ S, with regard both to the upward conformation of the NTD
 10 (Figures 3d, S12d) and the D1 domain (Figure 3c). Thus, the pre-hydrolysis, ATP-
 11 bound state of p97 is observed, implying that hydrolysis becomes rate-limiting in the
 12 disease-associated mutants. Nucleotide-observed spectra confirmed this interpretation
 13 with three distinct signals from phosphate groups, indicative of pre-hydrolysis ATP
 14 (Figure S13). Meanwhile, the spectral signature of the reaction intermediate could no
 15 longer be detected for the disease-associated mutants.



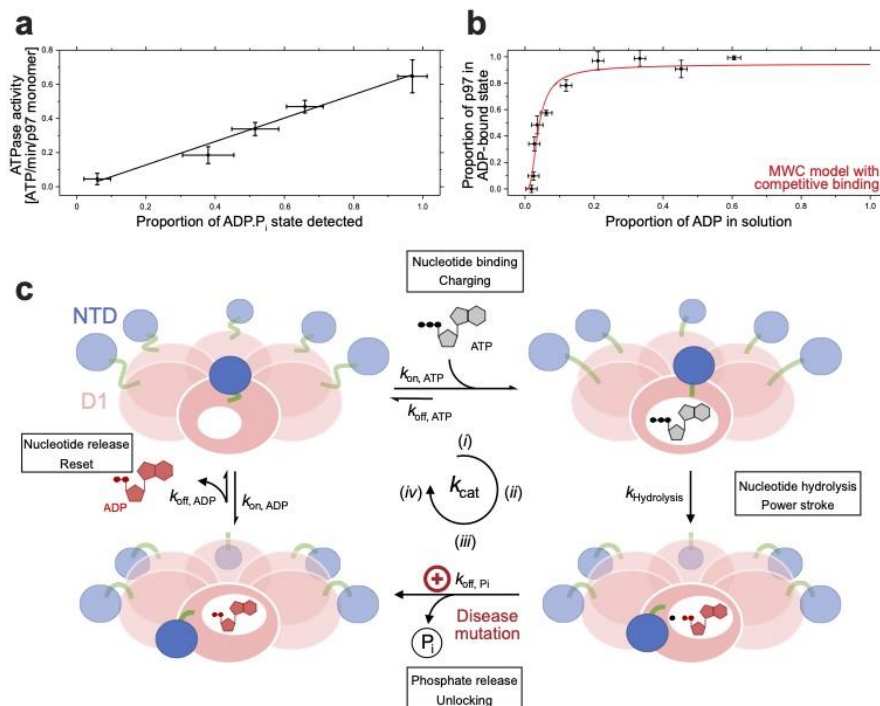
16
 17 **Figure 3. Trapping the pre-hydrolysis form of p97.** **a.** Activities of wild-type p97-ND1L and
 18 disease-associated mutants, given in number of ATP molecules hydrolysed per p97 protomer per
 19 minute. **b.** Mutations introduced to tune kinetic rates within the enzymatic cycle. **c-d.** Superposition of
 20 methyl correlation spectra of p97-ND1L with L198W and E305Q mutations in arrested nucleotide
 21 states and under ATP turnover. **c.** The conformation of methionine residues in the D1 domain is similar

1 to the ATP γ S, not the ADP state. **d.** Valine residues located in the NTD indicate its upward position, as
2 in the ATP γ S state, not downward as in the ADP and ADP.P_i states. Combined, these findings indicate
3 that p97-ND1L could be trapped pre-hydrolysis (other disease mutants shown in Figure S12).

5 **The active p97 species processes ATP in all six subunits of its D1 ring.**

6 Next, we asked how the presence of ATP/ADP mixtures affects the enzymatic activity
7 of p97. We therefore scrutinized the post steady-state regime (III-V in Figure 1b,d, II-
8 III in Figure S5a,b), where ADP-bound p97 and the ADP.P_i intermediate coexist, both
9 in the full-length and the ND1L construct, as evidenced by the doubling of the
10 correlation of I206. The overall ATPase activity of the reaction system is proportional
11 to the population of the ADP.P_i state (Figure 4a), confirming that this species alone is
12 involved in ATP turnover in the D1 ring while ADP-bound p97 is inactive. We cannot
13 discriminate *a priori* whether ADP and ATP are bound in distinct hexamers, or
14 whether they coexist in the same. However, we noted that the fractions of p97
15 monomers detected in post-hydrolysis and ADP-bound states do not scale linearly
16 with the proportions of free ATP and ADP in solution, respectively. Already at a ratio
17 of ATP:ADP of ~30:1, a ratio of intermediate versus ADP-bound state of ~2:1 was
18 observed, with analogous findings for the full-length protein (region II in Figure S5b).
19 This implies a thirtyfold higher apparent affinity for ADP over ATP (Figure S14a,b).
20 However, pure ATP and pure ADP bind D1 domain with similar affinities, in the
21 100 nM range^{17, 41}, and ATP γ S binds even more tightly^{12, 36}. This discrepancy can be
22 resolved by invoking coordination of nucleotide binding among subunits within the
23 hexamer. A simple explanation for our observations is that already the binding of one
24 ADP molecule imposes a concerted conformational transition on all protomers and
25 thus locks the whole hexamer in a state that is incompetent to bind ATP or has an
26 overwhelming preference for ADP. Indeed, the experimentally determined

1 proportions of ADP-bound p97 monomers are in very good agreement with the
 2 proportion of hexamers statistically expected to bind at least one ADP molecule
 3 (Material and Methods, Figure S14c). Conversely, the prevalence of the ADP.P_i
 4 intermediate matches the probability that only ATP is bound within one hexamer. As
 5 ATP hydrolysis must precede the ADP.P_i intermediate, the active p97 species must be
 6 processing ATP in all six sites in the oligomeric D1 ring – either simultaneously or
 7 successively. To obtain a quantitative picture of intra-ring coordination, we tested
 8 models assuming either cooperative ADP binding or a symmetry-related hexamer, in
 9 which protomers transit in a concerted fashion between up and down conformations
 10 of NTD, subject to an ADP-dependent equilibrium (Monod-Wyman-Changeux
 11 model⁴², MWC). The standard MWC model fits the experimental data (Figure S14d)
 12 but only if the competitive binding of ATP is considered, do the fitted affinities
 13 approach the experimental ones (Material and Methods, Figure 4b, Table S3).
 14



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 16 **Figure 4. Stepwise model of the mechanochemical cycle within the D1 ring of p97. a.** The overall

1 ATPase activity of the reaction system is proportional to the prevalence of the transient reaction
 2 intermediate, identified as the ADP.P_i state. Both quantities were evaluated in regions I-V of the
 3 ATPase reaction (Figure 1b,d). **b.** The binding of ADP to the D1 ring of p97 is highly cooperative and
 4 can be modelled by a concerted transition according to the Monod-Wyman-Changeux model. The
 5 experimental data thus fit best a scenario in which a single ADP molecule in the D1 ring ‘locks’ the
 6 entire hexamer. **c.** The cycle must have at least four intermediates: (i) The apo state is rapidly occupied
 7 by ATP, which is typically maintained at higher levels than ADP in a functional human cell. (ii)
 8 Hydrolysis of ATP proceeds fast. It entails a downward motion of NTD with respect to D1. After
 9 hydrolysis, the wild-type protein remains in a locked state, in which the D1 nucleotide binding pocket
 10 is occupied by ADP.P_i. This is the longest-lived species in the cycle. In disease mutants, this
 11 intermediate is inexistent or short-lived, increasing the overall ATP turnover rate. (iii) Release of
 12 inorganic phosphate is the rate-limiting step of the cycle. (iv) The cycle is concluded by the release of
 13 ADP, followed by the rapid binding of a new ATP molecule. While the highly cooperative nature of
 14 ADP binding to the D1 ring implies that all six subunits in a given hexamer are either collectively at
 15 rest or engaged in ATP turnover, we cannot distinguish whether binding and hydrolysis occur in a
 16 concerted manner, as depicted here, or via a stochastic, sequential or processive mechanism.
 17

18 Discussion

19 We investigated the ATP-hydrolysis cycle of p97 under conditions closely resembling
 20 those encountered in the human cell, whereby the enzyme is continuously saturated
 21 with its substrate ATP, with ADP typically maintained at significantly lower levels.
 22 Figure 4c proposes a model of mechanochemical transitions undergone during the
 23 turnover of one ATP molecule in the D1 domain of p97. Estimates of each kinetic rate
 24 are given in Table 1.

25

ND1L construct	k_{cat} [min ⁻¹]	$k_{off,Pi}$ [min ⁻¹]	$k_{hydrolysis}$ [min ⁻¹]	$k_{on,ADP}$ [min ⁻¹]	$k_{on,ATP}$ [min ⁻¹]	$k_{off,ADP}$ [min ⁻¹]	$k_{off,ATP}$ [min ⁻¹]
wildtype	~1	~1	~20	≥ 8x10 ⁵	≥ 6x10 ⁵	~10-25	~10-25
Walker B (E305Q)	~0.02	~0.02	~0.04				
Disease mutants	~20	~20	~20				
Disease mutants + Walker B (E305Q)	~0.04	~0.4	~0.04				

26

1 **Table 1. Estimates of kinetic parameters for the reaction cycle model.** Rate-limiting steps
2 highlighted in red determine the overall turnover rate k_{cat} . For disease mutants without mutation in the
3 Walker B motif, nucleotide hydrolysis, phosphate and ADP release could all potentially contribute to
4 limit the reaction speed. The derivation of the kinetic rate constants is explained in detail in the
5 Materials and Methods. Note that the E305Q mutation in the Walker B motif reduces both the rate of
6 phosphate release and the rate of ATP hydrolysis.

7 The apo state binds ATP rapidly. The NTD is lifted with respect to D1 and assumes a
8 conformation similar to ATP γ S-trapped structures. ATP dissociation and hydrolysis
9 occur at similar rates and hence the two processes compete. Hydrolysis of ATP entails
10 a downward motion of the NTD, coupling chemical energy to mechanical work. Next
11 is the two-step product release, initiated by release of inorganic phosphate (P_i). In the
12 wild-type enzyme this step is at least one order of magnitude slower than hydrolysis
13 and rate-limiting for nucleotide turnover in D1. As a result, full-length p97 is
14 enzymatically disabled as locking NTD domain in the down conformation precludes
15 nucleotide turnover in D2^{16, 43}. The lifetime of the ADP. P_i state is approximately one
16 minute. Finally, ADP release and binding of a new ATP molecule occur almost
17 simultaneously due to high nucleotide on-rates¹⁷. In disease mutants, release of P_i
18 proceeds faster and hence ATP turnover is strongly accelerated. Under these
19 conditions, the rate-limiting step becomes ATP hydrolysis. In validation of our model,
20 p97 populations observed by NMR could be shifted between predominantly locked
21 and pre-hydrolysis states by adjusting rate constants via point mutation.

22 Our study clarifies several open questions regarding the enzymatic cycle of p97.
23 Firstly, it reveals that the hydrolysis of ATP couples chemical and mechanical energy
24 in the form of NTD motion, while the release of P_i is crucial in limiting enzymatic
25 activity of the D1 ring. In other AAA+ proteins, notably the regulatory subunits of the
26 26S proteasome⁴⁴ and ClpXP^{45, 46}, the release of P_i , not ATP hydrolysis, has been

1 associated with the most substantial conformational transition or power stroke of the
2 mechanochemical cycle.

3 Secondly, our study provides the structural rationale for a hypothetical ‘locked state’,
4 which had been postulated to explain the elevated ATPase activity of p97 disease
5 mutants^{12, 36}. In crystal structures, disease mutants feature a peculiar side-chain
6 orientation of the *trans*-acting arginine finger in D1, which is involved in nucleotide
7 binding and inter-subunit communication⁴⁷. We argue that these structural deviations
8 destabilize the ‘locked’ ADP.P_i state of p97 and promote the premature release of P_i.
9 Indeed, the positively charged R359 residue close to the γ -phosphate of ATP is likely
10 critical in the electrostatic stabilization of the cleaved phosphate ion (Figure 1e). In
11 addition to the structural contribution, there may be a dynamic basis to the altered
12 kinetics of nucleotide release. Previous NMR studies had uncovered a deregulation of
13 inter-domain motions in disease mutants^{19, 48}, whereby the NTDs undergo a rapid
14 up/down motion with respect to D1 in ADP-bound p97. This manifests in imbalanced
15 cofactor binding to the NTD and accelerated substrate unfolding⁴⁹. It is conceivable
16 that the upward motion of NTD, which is negligible in the wild type but pronounced
17 in disease mutants, may precede or facilitate P_i release as it transiently unlocks the
18 nucleotide binding pocket. Indeed, far-reaching allosteric pathways couple the
19 nucleotide binding pocket and NTD¹⁹. Conversely, cofactor and substrate binding to
20 NTD could trigger P_i release in D1 and hence unleash a new ATPase cycle when
21 needed⁵⁰. Therefore, future research will address how the ATP-hydrolysis cycle in D1
22 is modulated in the presence of cofactors.

23 Thirdly, we contribute to solving the puzzle of how p97 regulates its ATP turnover.
24 Both nucleotide binding domains have similar affinities for ADP and ATP^{12, 17, 36, 41},
25 unlike other ATPases, *e.g.* ClpB⁵¹/Hsp104⁵² and Hsp90⁵³. Whenever ATP levels

1 exceed ADP in the cell, p97 would be in idling mode, hydrolysing ATP without
2 processing any protein substrate. One possibility to limit turnover is via the D1-
3 'locked' state, realized via a long-lived reaction intermediate, the ADP.P_i state. From
4 the behaviour of p97 in nucleotide mixtures, we infer a second mechanism whereby
5 ADP can act as competitive inhibitor of ATP, which can tune the activity of p97 when
6 present ~ 10-100 fold below ATP levels and almost fully inhibit at equal ratios, as
7 evidenced by the stalling of the reaction at the end of region V in Figure 1b. A
8 concerted conformational switch of all protomers initiated by the first ADP molecule
9 bound, dictating nucleotide states in neighbour protomers and forcing the whole
10 hexamer into a resting state, could underlie this phenomenon. The observation of
11 'pre-bound' ADP in purified p97 that cannot be replaced by ATPγS easily^{12, 36} could
12 be a manifestation of these ADP-locked hexamers. Our findings are consistent with
13 evidence from native mass spectrometry that nucleotide binding in full-length p97
14 occurs in discrete cooperative steps of 5–6 nucleotides¹⁵. For the operating mode of
15 p97, preventing ADP binding to single subunits in D1 could represent a safeguard to
16 prevent futile hydrolysis cycles in which not all subunits of the engine participate.
17 Certain cofactors of p97 could exert their inhibitory effect⁵ by breaking the symmetry
18 of the hexamer, hence suppressing cooperativity^{47, 54, 55}. Potential routes for
19 communication of nucleotide occupancy around the D1 ring, deduced from structural
20 deviations between ADP and ADP.P_i states, run directly from the Walker residues via
21 the arginine finger to the adjacent nucleotide (Figure 1e). Arginine fingers are
22 conserved across AAA+ proteins and participate in hydrolysis but also nucleotide
23 discrimination and coordination among subunits^{24, 56}. In contrast to wild-type p97, the
24 disease mutants are exempt from ADP inhibition (Figure S12a), due to deficiencies in

1 inter-protomer coordination caused by structural abnormalities surrounding the *trans*-
2 acting arginine finger³⁶ in conjunction with slightly lower affinity for ADP^{36, 41}.

3 Finally, p97's mode of action appears to mandate ATP turnover in all six D1
4 subunits of a given hexamer. Indeed, in NMR spectra of the wild-type protein, the
5 post-hydrolysis reaction intermediate is detected at a level that matches the
6 probability of binding exactly six ATP molecules in one hexamer. A mechanism by
7 which p97 waits for six ATP molecules to bind, hydrolyses all of them and then
8 pauses is conceivable. Subsequently, a coordinated release of P_i and ADP could
9 provide a conformational resetting mechanism that is uniform for all subunits.
10 Alternatively, the mode of operation could be processive in nature, with all subunits
11 remaining engaged in ATP turnover as long as the ADP.P_i intermediate is populated,
12 reminiscent of kinesin⁵⁷. Concerted, sequential or stochastic hydrolysis events are
13 plausible, as long as they proceed fast with respect to the timescale of phosphate
14 release. A transmissive rotary mechanism would fit models of substrate-engaged p97-
15 cofactor complexes which feature a staircase arrangement of protomers in D2^{8, 9}. The
16 almost planar arrangement of six, presumably ATP-bound, D1 subunits observed for
17 p97 with cofactor plus substrate⁸ integrates very well into our model, whereas the
18 staircase arrangement observed in the presence of nucleotide analogue ADP·BeF_x^{8, 9},
19 in which the outermost two subunits are nucleotide-free, is more challenging to
20 reconcile with a symmetric post-hydrolysis ADP.P_i-state. While the p97 engine
21 appears to operate in bursts, the broader AAA+ family harbours mechanistic
22 diversity: coupled ATP hydrolysis but not ADP binding in ClpB⁵⁸⁻⁶⁰, stochastic
23 hydrolysis paired with coordination among subunits in ClpXP^{7, 46, 61, 62} and a
24 sequential mechanism in the ATPase module of the 26S proteasome^{44, 63} to name just
25 a few examples.

1

2 **Conclusion**

3 A transient ADP.P_i-species has not been characterized before at the atomic-level for
4 any ATPase. Our observations for p97 identify challenges associated with the
5 heterogenous environment of the trapped phosphate ion as a possible cause.
6 Transition and ADP.P_i states are often mimicked by inorganic compounds trapped in
7 the nucleotide binding pocket, including vanadate^{26, 46}, beryllium⁹ and aluminium
8 fluoride^{11, 27} and exogeneous phosphate⁶⁴. Our integrative NMR approach allows to
9 study and quantitate authentic reactions intermediates, with regard to the
10 conformation of both the nucleotide and its surrounding binding pocket. This provides
11 unique complementary information to recent cryo-EM studies. While the latter have
12 allowed deep structural insight into the working modes of AAA+ enzymes, nucleotide
13 occupancies could not always be unambiguously assigned^{8, 44, 65}. We anticipate that
14 our methodology can fill this gap and contribute to resolve the operating modes of
15 other AAA+ proteins and ATPases, GTPases and molecular engines in general.

16

1 **Materials and Methods**

2 Sample preparation, NMR data acquisition and analysis as well as the calculation of
3 nucleotide occupancies and kinetic parameters are described in the Materials and
4 Methods in the Supporting Information.

5 **Acknowledgement**

6 A.K.S. acknowledges funding from DFG (SCHU3265/1-1), Technical University of
7 Munich, Fonds der Chemischen Industrie, CIPSM DFG cluster EXC114 and the
8 Bavarian NMR Centre. We acknowledge expert advice from Dr. Riddhiman Sarkar
9 on solid-state NMR experiments and technical support from Linda Nguyen.

10 **Competing interests**

11 The authors declare no competing interests.

12 **Associated content**

13 **Supporting Information**

14 Materials and Methods with description of sample preparation, NMR data acquisition
15 and evaluation, analysis of kinetics and nucleotide binding; Supporting Figures S1-
16 S14 with illustration of the nucleotide binding pocket, additional NMR spectra,
17 simulations of nucleotide binding; Supporting Tables S1-S5 with tabulated chemical
18 shift perturbations as well as experimental and fitting parameters.

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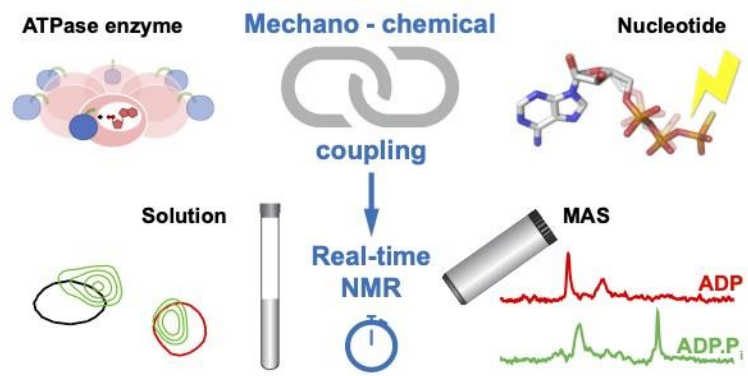
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1 TOC Figure



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