

Paralog Studies Augment Gene Discovery: *DDX* and *DHX* Genes

Ingrid Paine,^{1,39} Jennifer E. Posey,^{1,39} Christopher M. Grochowski,¹ Shalini N. Jhangiani,² Sarah Rosenheck,³ Robert Kleyner,³ Taylor Marmorale,³ Margaret Yoon,³ Kai Wang,⁴ Reid Robison,⁵ Gerarda Cappuccio,^{6,7} Michele Pinelli,^{6,7} Adriano Magli,⁸ Zeynep Coban Akdemir,¹ Joannie Hui,⁹ Wai Lan Yeung,¹⁰ Bibiana K.Y. Wong,^{11,12} Lucia Ortega,¹³ Mir Reza Bekheirnia,^{1,14,15} Tatjana Bierhals,¹⁶ Maja Hempel,¹⁶ Jessika Johannsen,¹⁷ René Santer,¹⁷ Dilek Aktas,¹⁸ Mehmet Alikasifoglu,¹⁸ Sevcan Bozdogan,¹⁹ Hatip Aydin,²⁰ Ender Karaca,²¹ Yavuz Bayram,²² Hadas Ityel,²³ Michael Dorschner,²⁴ Janson J. White,²⁵ Ekkehard Wilichowski,²⁶ Saskia B. Wortmann,^{27,28,29} Erasmo B. Casella,³⁰ Joao Paulo Kitajima,³¹ Fernando Kok,^{31,32} Fabiola Monteiro,³¹

(Author list continued on next page)

Members of a paralogous gene family in which variation in one gene is known to cause disease are eight times more likely to also be associated with human disease. Recent studies have elucidated *DHX30* and *DDX3X* as genes for which pathogenic variant alleles are involved in neurodevelopmental disorders. We hypothesized that variants in paralogous genes encoding members of the DEXD/H-box RNA helicase superfamily might also underlie developmental delay and/or intellectual disability (DD and/or ID) disease phenotypes. Here we describe 15 unrelated individuals who have DD and/or ID, central nervous system (CNS) dysfunction, vertebral anomalies, and dysmorphic features and were found to have probably damaging variants in DEXD/H-box RNA helicase genes. In addition, these individuals exhibit a variety of other tissue and organ system involvement including ocular, outer ear, hearing, cardiac, and kidney tissues. Five individuals with homozygous (one), compound-heterozygous (two), or *de novo* (two) missense variants in *DHX37* were identified by exome sequencing. We identified ten total individuals with missense variants in three other DDX/DHX paralogs: *DHX16* (four individuals), *DDX54* (three individuals), and *DHX34* (three individuals). Most identified variants are rare, predicted to be damaging, and occur at conserved amino acid residues. Taken together, these 15 individuals implicate the DEXD/H-box helicases in both dominantly and recessively inherited neurodevelopmental phenotypes and highlight the potential for more than one disease mechanism underlying these disorders.

Introduction

Paralogs can often functionally compensate for each other, and this compensation provides genetic robustness but also allows for tissue-specific effects of ubiquitously expressed genes.¹ There are many examples of paralogous gene contributions for well-characterized syndromes, including connective tissue diseases like Ehlers-Danlos (EDSCL1 [MIM: 130000], EDSVASC [MIM: 130050], EDSARTH2 [MIM: 617821], EDSARTH1 [MIM: 130060], PMGEDSV [MIM: 618343], and EDSCV [MIM: 225320]) and Steel syndromes (STLS [MIM: 615155]) (collagen gene family),^{2–4} and channelopathies such as Dravet syndrome (EIEE6 [MIM: 607208]) (sodium channel gene family). Mosaic screens in *Drosophila* have indicated that

homozygous-lethal *Drosophila* genes for which there are multiple human orthologs are enriched eight-fold for association with human disease. Furthermore, evolutionarily conserved genes with more than one human paralog are three times more likely to be associated with OMIM diseases.⁵ One candidate gene family that is both orthologously conserved among species and has multiple human paralogs is the DEXD/H-box domain superfamily 2 of the RNA helicases; the family has 58 members, all containing 8–9 conserved amino acid motifs constituting the helicase core region.

The DEXD/H-box RNA helicase family is a group of conserved proteins (Figure 1) named for the consensus amino acid sequence DEXD or DEXH in their Walker B motif (motif 2) within the helicase core domain,⁷ which

¹Department of Molecular and Human Genetics, Baylor College of Medicine, Houston, TX 77030, USA; ²Human Genome Sequencing Center, Baylor College of Medicine, Houston, TX 77030, USA; ³Stanley Institute for Cognitive Genomics, Cold Spring Harbor Laboratory, NY 11724, USA; ⁴Raymond G. Perleman Center for Cellular and Molecular Therapeutics, Children's Hospital of Philadelphia, Philadelphia, PA 19104, USA; ⁵Utah Foundation for Biomedical Research, Salt Lake City, UT 84107, USA; ⁶Department of Translational Medicine, University of Naples "Federico II," 80131 Napoli, Italy; ⁷Telethon Institute of Genetics and Medicine, 80078 Pozzuoli, Italy; ⁸Department of Pediatric Ophthalmology, University of Salerno, 84081 Baronissi SA, Italy; ⁹Department of Pediatrics, Prince of Wales Hospital, the Chinese University of Hong Kong, Hong Kong SAR, China; ¹⁰Department of Pediatrics and Adolescent Medicine, Alice Ho Miu Ling Nethersole Hospital, Hong Kong SAR, China; ¹¹Department of Obstetrics and Gynecology, Baylor College of Medicine, Houston, TX 77030, USA; ¹²The Jan and Dan Duncan Neurological Research Institute, Texas Children's Hospital, Houston, TX 77030, USA; ¹³Medical Genetics Department, Cook Children's Hospital, Fort Worth, TX 76104, USA; ¹⁴Department of Pediatrics, Section of Pediatric Renal, Baylor College of Medicine, Houston, TX 77030, USA; ¹⁵Department of Genetics, Texas Children's Hospital, Houston, TX 76104, USA; ¹⁶Institute of Human Genetics, University Medical Center Hamburg-Eppendorf, 20246 Hamburg, Germany; ¹⁷Department of Pediatrics, University Medical Center Hamburg-Eppendorf, 20246 Hamburg, Germany;

(Affiliations continued on next page)



Donna M. Muzny,^{1,2} Michael Bamshad,^{25,33,34} Richard A. Gibbs,^{1,2} V. Reid Sutton,¹ University of Washington Center for Mendelian Genomics, Baylor-Hopkins Center for Mendelian Genomics, Telethon Undiagnosed Diseases Program, Hilde Van Esch,³⁵ Nicola Brunetti-Pierri,^{6,7} Friedhelm Hildebrandt,²³ Ariel Brautbar,¹³ Ignatia B. Van den Veyver,^{1,11,12} Ian Glass,³⁴ Davor Lessel,¹⁶ Gholson J. Lyon,^{3,5,36} and James R. Lupski^{1,2,37,38,*}

facilitates the ATP-dependent unwinding of RNA secondary structures. The DExD/H-box RNA helicase family is conserved from bacteria to humans, and the core domains are conserved among family members (Figure 1). This gene family is thought to have expanded evolutionarily by both whole-genome duplication and tandem amplification, resulting in different degrees of variation among gene family members. The two core domains are involved in RNA binding, NTP-binding and hydrolysis, helicase activity, and substrate recognition. Additionally, both the N- and C-terminal domains of these proteins are involved in specific protein-protein interactions that often define the specific biological role of the protein,⁷ although the functions of each individual member are not well understood. DExD/H-box RNA helicases are ubiquitously expressed; however, some members share patterns of elevated or specific expression in certain tissues (e.g., cerebellum or testis). The diversity among these helicases could potentially allow for the regulation of many different pathways in development and disease.

Two members of the DExD/H-box RNA helicase family, *DHX30* (MIM: 616423) and *DDX3X* (MIM: 300160), have had multiple different pathogenic variant alleles associated with neurodevelopmental disorders including developmental delay and intellectual disability (DD and/or ID), speech impairment, brain abnormalities, and gait perturbations.^{8–12} Twelve unrelated individuals with sporadic neurodevelopmental disorder with severe motor impairment and absent language (NEDMIAL [MIM: 617804]) were each found to have *de novo* missense *DHX30* variants, of which four variants were found to be recurrent and located within nucleotide-interacting motifs of the helicase core region.⁸ To date, at least 75 individuals have been reported to have neurodevelopmental delay (MRX102 [MIM: 300958]) in association with *DDX3X* variants.^{9–13} Curiously, a majority of affected individuals for this X-linked condition are female, although a handful of

males who inherited a *DDX3X* variant from an unaffected mother have been described, suggesting gender-specific variant pathogenicity. Identified variants have included missense, stop-gain, and frameshifting variants, suggesting a potential loss-of-function mechanism. Moreover, mutations of *DDX3X* are one of the most common causes of unexplained intellectual disability (ID), accounting for about 1%–3% of instances in females.^{10,11} Furthermore, a third member of this paralogous gene family, *DHX38* (MIM: 605584), was reported recently as a candidate gene in three unrelated families with early-onset autosomal-recessive retinitis pigmentosa (RP84 [MIM: 618220]) in association with homozygous missense *DHX38* variants.^{14,15} In light of these findings and the correlation between gene paralogs and human disease phenotypes, we hypothesized that variant alleles in other members of the DExD/H-box RNA helicase gene family might also underlie neurodevelopmental disease traits, and we investigated their potential role in trait manifestations of human disease biology. Through the Baylor Hopkins Center for Mendelian Genomics (BHCMG) and collaborations facilitated by GeneMatcher,^{16,17} we provide evidence supporting that *DHX37* (MIM: 617362), *DHX16* (MIM: 603405), *DDX54* (MIM: 611665), and *DHX34* (MIM: 615475) are neurodevelopmental genes (Figure 1). In addition, three single individuals with potentially pathogenic variants in *DHX8*, *DHX58*, and *DDX47* are presented in Table 1 and the Supplemental Individual Reports in order to bring these genes to the attention of the field.

Material and Methods

Participants

All individuals and available parents and relatives participating in this study provided informed consent, including consent to publish photographs, for this study. The BHCMG exome sequencing

¹⁸DAMAGEN Genetic Diagnostic Center, 06690 Ankara, Turkey; ¹⁹Department of Medical Genetics, Cukurova University Faculty of Medicine, 01330 Adana, Turkey; ²⁰Department of Medical Genetics, Medical Faculty of Namik Kemal University, Tekirdag 59100, Turkey; ²¹Department of Genetics, University of Alabama at Birmingham, Birmingham, AL 35294, USA; ²²Department of Genetics and Genomic Sciences, Icahn School of Medicine at Mount Sinai, New York City, NY 10029, USA; ²³Division of Nephrology, Department of Pediatrics, Harvard Medical School, Boston, MA 02115, USA; ²⁴Center for Precision Diagnostics, University of Washington, Seattle, WA 98195, USA; ²⁵Department of Pediatrics, University of Washington, Seattle, WA 98195, USA; ²⁶Department of Pediatrics and Pediatric Neurology, Georg-August-Universität Göttingen, 37075 Göttingen, Germany; ²⁷Institute of Human Genetics, Technical University München, 81675 Munich, Germany; ²⁸Institute of Human Genetics, Helmholtz Zentrum München, 85764 Neuherberg, Germany; ²⁹University Children's Hospital, Paracelsus Medical University, 5020 Salzburg, Austria; ³⁰Children's Institute, Hospital das Clinicas, University of Sao Paulo, 05405-000 Sao Paulo, Brazil; ³¹Mendelics Genomic Analysis, 04013-000 Sao Paulo, Brazil; ³²Department of Neurology, University of Sao Paulo School of Medicine, 01246-903 Sao Paulo, Brazil; ³³Department of Genome Sciences, University of Washington, Seattle, WA 98195, USA; ³⁴Division of Genetic Medicine, University of Washington, Seattle, WA 98195, USA; ³⁵Center for Human Genetics, University Hospitals Leuven, 3000 Leuven, Belgium; ³⁶Institute for Basic Research in Developmental Disabilities, Staten Island, NY 10314, USA; ³⁷Department of Pediatrics, Baylor College of Medicine, Houston, TX 77030, USA; ³⁸Texas Children's Hospital, Houston, TX 77030, USA

³⁹These authors contributed equally to this work

*Correspondence: jlupski@bcm.edu

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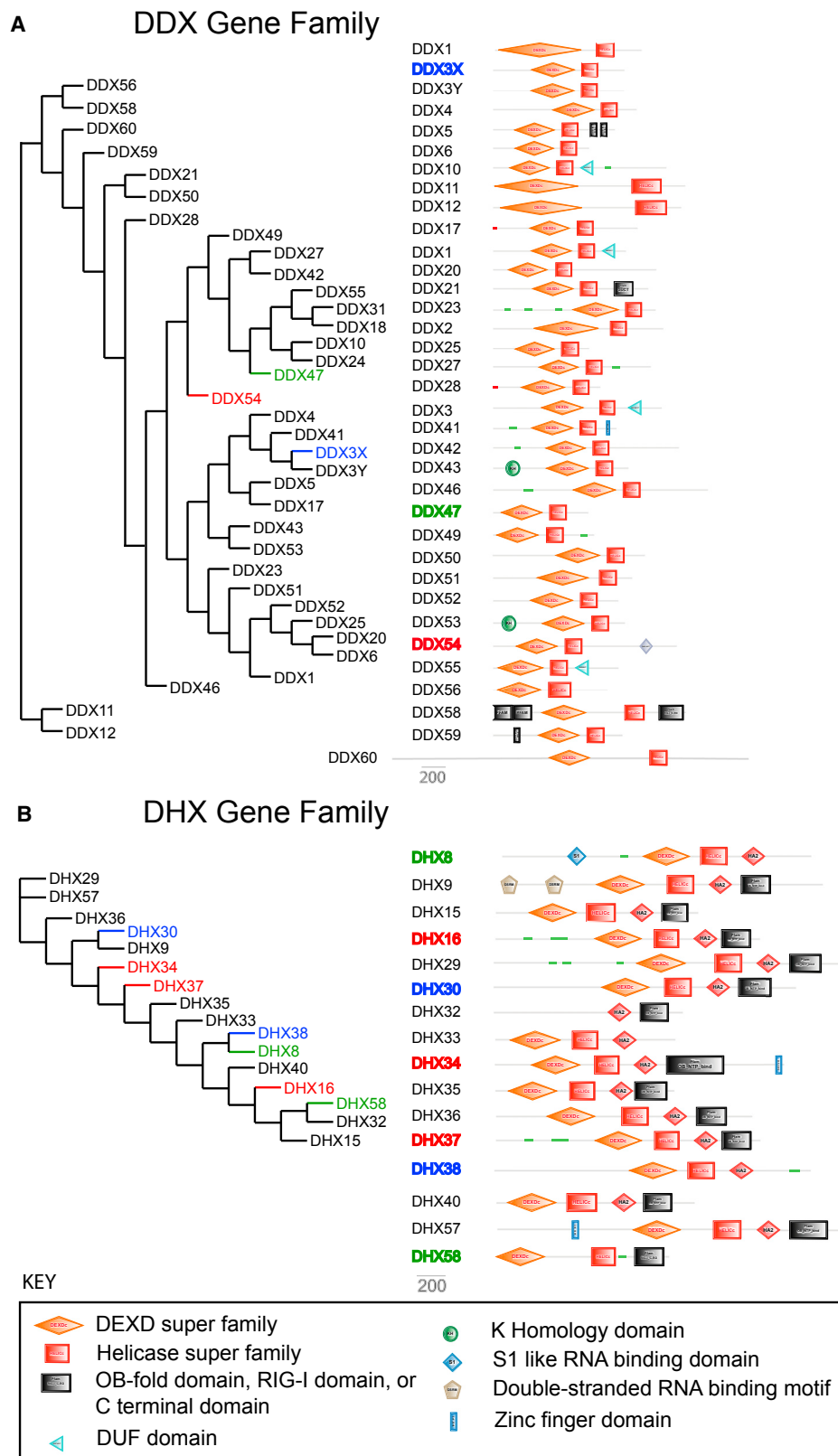


Figure 1. DEXD/H-Box RNA Helicase Protein Family

(A and B) Phylogeny trees for DEAD-box (A, left) and DEAH-box (B, left) genes were generated with Phylogeny.fr.⁶ The trees are presented with branch length ignored to facilitate clarity. Protein schematics for each DEAD-box (A, right) and DEAH-box (B, right) gene family are shown with known functional domains indicated. Genes that have been previously associated with human disease are indicated in blue text. Gene family members for which evidence is presented in this manuscript are indicated in red text. Gene family members for which only one individual is presented in this manuscript are indicated in green text.

Table 1. Observed Clinical Features by Gene and Variant

Individual	Gene	Variant	DD and/or ID (12/15)	CNS (13/15)	Seizures (6/15)	Ocular (5/15)	Ears (4/15)	Cardiac (3/15)	Kidney (4/15)	Dysmorphic Features (8/15)	Vertebra (3/15)	Other (11/15)	Sex
#1	DHX37	Hom p.Asn419Lys	+	+	–	–	–	–	–	–	–	None	m
#2		Comp Het p.Val731Met and p.Leu467Val	+	+	+	+	–	–	–	+	+	Asymmetric cerebellar hypoplasia, ophthalmoplegia	f
#3		Comp Het p.Arg93Gln and p.Glu167Ser	+	+	–	–	–	+	–	+	+	IVC thrombus	m
#4		<i>De novo</i> p.Asp382Gly	+	+	+	+	+	–	–	+	+	Macrodonia, aspiration	f
#5		<i>De novo</i> p.Thr1094Met	+	+	–	–	–	+	–	+	–	Tooth agenesis	m
#6	DHX16	<i>De novo</i> p.Phe582Ile	NA	+	+	+	–	–	–	–	–	None	f
#7		<i>De novo</i> p.Gln697His	NA	–	–	–	+	–	+	+	–	Short limbs	f
#8		<i>De novo</i> p.Gly427Glu	+	+	–	+	Deafness	–	–	–	–	Contractures, growth delay, aspiration	m
#9		<i>De novo</i> p.Thr674Met	+/–	+	+	+	Sensorineural hearing loss	–	–	–	–	Myopathy	m
#10	DDX54	p.Leu298Phe, <i>de novo</i> p.Asn216Ser in cis	+	+	+	–	–	–	–	–	–	Hand tremor	f
#11		Comp Hetp.Trp20Arg and p.Arg611Gln	+	+	–	–	–	–	–	+	–	None	f
#12		Hom p.Val286Met	–	–	–	–	–	–	+	–	–	Failure to thrive	f
#13	DHX34	Hom p.Asn441Ser	+	+	–	–	–	–	+	+	–	Short stature, hyperextensibility, hip dislocation	f
#14		Hom p.Gln156*	+	+	–	–	–	+	+	–	–	Polydactyly, respiratory failure, failure to thrive	m
#15		<i>De novo</i> p.Arg776Pro	+	+	+	–	–	–	–	+	–	None	f
^a	DDX47	Comp Het p.Asp8Phe and p.Gln107Glu	NA	+	+	–	–	–	–	–	–	None	f
	DHX58	Hom p.Trp8Cys	+	+	+	–	–	–	–	+	–	None	f
	DHX8	Hom p.Arg168Trp	–	–	–	–	–	–	+	–	–	None	m

Genes identified through paralog study design are listed in Individuals 1–15. Abbreviations are as follows: Hom = homozygous, Comp Het = compound heterozygous, DD = developmental delay, ID = intellectual disability, CNS = central nervous system, and IVC = inferior vena cava.

^aThree additional potential candidate genes for which a potentially etiologic variant was identified in only a single family are listed at the bottom of the table.

(ES) database was used as the cohort for primary analysis and included 9,338 individuals with ES data at the time of the study. ES was performed as previously described¹⁸ in the Baylor College of Medicine (BCM) Human Genome Sequencing Center,^{19–21} and identified variants were confirmed by orthogonal Sanger di-deoxy sequencing. Individuals were consented under BCM IRB-approved protocol H-29697. All exomes for which informed consent for deposition into controlled-access databases has been provided will be deposited into dbGap under the BHCMG dbGaP Study Accession phs000711.v5.p1.

Primary Analysis

The 9,338 BHCMG ES individuals were analyzed for rare, probably damaging variants in the set of 58 DEXD/H-box encoding genes. Variants were prioritized on the basis of their predicted effect on protein function, as well as minor allele frequencies across several databases including the internal BHCMG database, the National Heart, Lung, and Blood Institute (NHLBI) Grand Opportunity Exome Sequencing Project (ESP), the 1000 Genomes Project (TGP),²² the Atherosclerosis Risk in Communities (ARIC) database,²³ the Exome Aggregation Consortium (ExAC) database, and the genome aggregation database (gnomAD), conservation (PhyloP)²⁴ and functional prediction (PolyPhen-2, MutationTaster, Sorting Intolerant From Tolerant [SIFT], and likelihood ratio test [LRT]) algorithms,^{25–28} as well as the Combined Annotation Dependent Depletion (CADD)²⁹ phred score. We used DNMFinder⁹ and BafCalculator³⁰ to identify *de novo* variants from trio-ES (parents + proband) and to identify and quantify regions of absence of heterozygosity (AOH). We used HMZDelFinder³¹ and XHMM (eXome-Hidden Markov Model)³² to identify copy number variants (CNVs) from exome variant data.

Identification of Additional Families

Candidate genes were then entered into GeneMatcher^{16,17} to identify additional people with similar neurodevelopmental phenotypes and probably damaging variants. This approach led to the identification of rare, predicted deleterious variants in four gene family members, *DHX37* (five people), *DHX16* (four people), *DDX54* (three people), and *DHX34* (three people) (Figure 1 and Table 1).

Additional methods details are available in the Supplemental Text.

Exome Sequencing

Exome sequencing (ES) of genomic DNA obtained from peripheral blood or saliva was performed at the Human Genome Sequencing Center (HGSC) at BCM through the BHCMG initiative, GeneDx, and the University of Washington Center for Mendelian Genomics (UWCMG). Sequencing performed at BCM used 0.5 µg of DNA, and an Illumina paired-end pre-capture library was constructed according to the manufacturer's protocol (version 1005361_D), including modifications in the BCM-HGSC protocol. Pre-captured libraries were pooled and hybridized to the HGSC VCRome 2.1 design (42Mb NimbleGen, Cat. No. 06266380001) according to the manufacturer's protocol (NimbleGen SeqCap EZ Exome Library SR User's Guide). Paired-end sequencing was performed with the Illumina HiSeq 2000 platform. The samples achieved 97% of the targeted exome bases covered to a depth of 20× or greater and had a sequencing yield of 7.7 Gb. Trio whole-exome sequencing (trio-WES) experiments and data annotation and interpretation in individuals 10 and 13 were performed

with a SureSelect Human All Exon (Agilent) and sequenced on a HiSeq2500 platform (Illumina), as described before.³³

For individual 15, exome sequencing was performed with a SureSelect Human All Exon V4 Kit 51M (Agilent) for enrichment and a HiSeq2500 (Illumina) at Macrogen, South Korea. A total of 125,956,332 101-bp reads were generated. These reads were aligned to the UCSC human reference assembly (hg19). 98% of the exome was covered at least 10×, and the average coverage was 150×. Single-nucleotide variants (SNVs) and small insertions and deletions were detected with GATK HaplotypeCaller. The detected variants were annotated by Mendelics in-house annotation software. CNVs were recomputed with the ExomeDepth R module.

Absence of Heterozygosity Calculation

To calculate segments and total areas of AOH in each known consanguineous individual, we used BafCalculator.³¹ First, from all SNVs that passed quality filters in a single variant call file, we extracted the B-allele frequency (i.e., ratio of variant reads/total reads) and transformed this ratio by subtracting 0.5 and taking the absolute value for each data point. After such a transformation, values >0.47 were considered indicative of homozygous variants (expected value = 0.5) corresponding to either alternative or reference alleles, whereas lower values probably indicated heterozygous alleles. Transformed B-allele frequency data were then processed by circular binary segmentation (CBS) implemented in the DNACopy R Bioconductor package.³⁴

Phasing Variants

Primers flanking approximately 75 bp upstream and downstream of the identified variants were implemented for PCR amplification with the QIAGEN HotStart Polymerase. A standard TOPO TA cloning vector (Life Technologies) was applied to the PCR product to allow for cloning of both alleles independently. DNA was extracted from each individual clone after a 37°C overnight incubation and Sanger sequenced to detect the presence or absence of both variants in a single clone.

Droplet digital PCR (ddPCR) was performed on a Bio-Rad QX200 system via the “drop-phase” method.³⁵ Two independent TaqMan probes that used a distinct fluorescent tag were designed to selectively amplify only in the presence of either the first variant (FAM tag) or the second variant (HEX tag). The assay was run with a QX200 AutoDG ddPCR system from Bio-Rad according to normal protocols for a TaqMan reaction. A second reaction was generated from the same protocols but with the inclusion of a restriction enzyme (*SphI*) that would selectively cut between the two variants. Droplet generation was performed on a QX200 AutoDG and run on a standard thermocycler under TaqMan cycling conditions. Individual positive droplet populations were quantified with the QuantaSoft software suite from Bio-Rad.

Results

In an analysis of 9,338 individuals with ES from the BHCMG database for rare, probably damaging variants in each of the 58 human DEXD/H-box encoding genes, we identified seven candidate neurodevelopmental genes: *DHX37*, *DHX16*, *DDX54*, *DHX34*, *DDX47*, *DHX58*, and *DHX8*. Additional families identified through GeneMatcher^{16,17} provided support for four of these genes

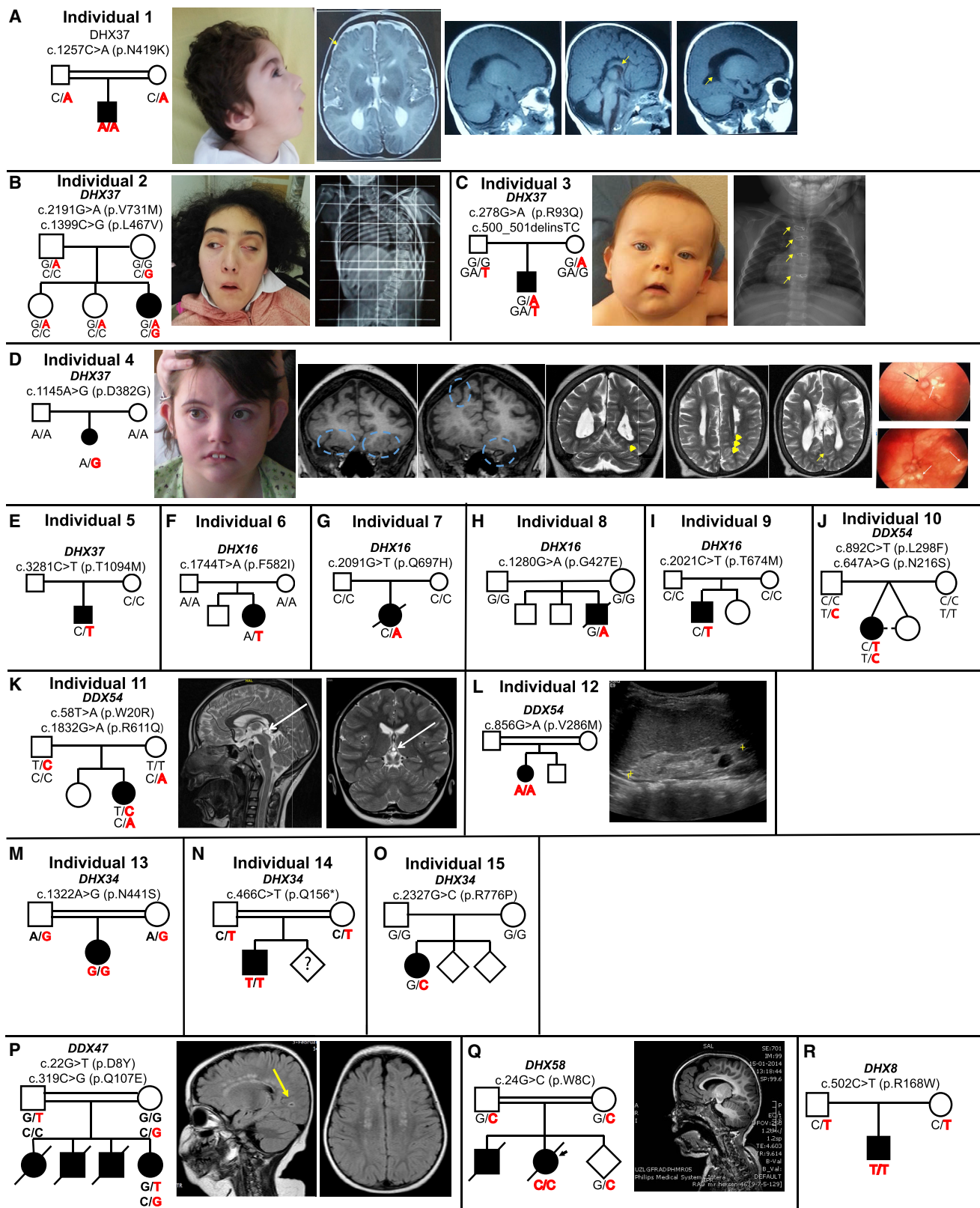


Figure 2. Individual Pedigrees and Clinical Findings

(A–O) Pedigrees, genotypes, and available consented clinical images are shown for individuals 1–15.

(A) Individual 1: arrows indicate polymicrogyria, dysgenesis of the corpus callosum, and cerebellar volume loss.

(B) Individual 2: an x-ray image shows scoliosis.

(C) Individual 3: arrows indicate segmentation anomalies of the vertebra.

(legend continued on next page)

as genes in which probably damaging variants are associated with neurodevelopmental disorders; these genes were *DHX37* (five families), *DHX16* (four families), *DDX54* (three families), and *DHX34* (three families). Three additional genes were identified in a single family each and remain potential candidate genes for which additional studies of variants are needed to further clarify their role in the observed genotype-phenotype relationships. Variants and observed clinical features are detailed in [Tables 1](#) and [S1](#). Our findings suggest that gene-paralog-based approaches can implicate human candidate genes in which variation might cause disease presentation.

Variants in *DHX37* Are Identified in Five Families

We identified three individuals who have bi-allelic variants in *DHX37* and who share a phenotype of DD and/or ID and central nervous system (CNS) anomalies. Two out of three individuals also exhibit vertebral anomalies. Individual 1 is homozygous, and the variant (GenBank: NM_032656.3; c.1257C>A [p.Asn419Lys]) ([Tables 1](#) and [S1](#)) was confirmed to be inherited from unaffected carrier parents ([Figure 2A](#)). The second individual (individual 2) is an adult female who presented with DD and/or ID, congenital and non-progressive impairment of eye, eyelid, and facial movements, asymmetric cerebellar hypoplasia, seizures, and scoliosis. Individual 2 was found by ES to be compound heterozygous for *DHX37* variants (GenBank: NM_032656.3; c.2191G>A [p.Val731Met] and GenBank: NM_032656.3; c.1399C>G [p.Leu467Val]), which were confirmed to each be inherited from different unaffected parents ([Figure 2B](#)). The third individual (individual 3) presented with DD and/or ID, hypotonia, vertebral anomalies, and dysmorphic features and was found to be compound heterozygous for *DHX37* variants (GenBank: NM_032656.3; c.278G>A [p.Arg93Gln] and GenBank: NM_032656.4; c.500_501inv [p.Glu167Ala]), which were confirmed to be each inherited from different unaffected parents ([Figure 2C](#)). All of these variants are rare if present in TGP, ARIC, ExAC, or gnomAD, are present in heterozygous states in the unaffected siblings, and all but one (*DHX37* GenBank: NM_032656.3; c.2191G>A [p.Val731Met]) were deemed probably damaging by four algorithms (PolyPhen-2,²⁵ MutationTaster,²⁷ SIFT,²⁶ and LRT²⁸) and the CADD score²⁹ ([Table S1](#)).

Two individuals were identified who had heterozygous variants in *DHX37*. The first individual (individual 4) had been given a clinical diagnosis of Aicardi syndrome (AIC [MIM: 304050]) and presented with DD and/or

ID, brain anomalies ([Supplemental Individual Report](#)), chorioretinal lacunae, seizures, scoliosis, and dysmorphic features ([Figure 2D](#)). Trio ES identified a mosaic variant in *DHX37* (GenBank: NM_032656.3; c.1145A>G [p.Asp382Gly]). Sanger sequencing of the parents and proband confirmed that this variant occurred *de novo* ([Figure 2D](#)), and further deep sequencing confirmed a ratio of 20:80 variant:total reads (vR:tR). The second individual with a variant in *DHX37* (individual 5) presented with DD and/or ID, hypotonia, dysmorphic features, and Wolff-Parkinson White (WPW [MIM: 194200]) syndrome. Analysis of the ES data identified a *DHX37* variant (GenBank: NM_032656.3; c.3281C>T [p.Thr1094Met]), which was confirmed to not be inherited from the mother ([Figure 2E](#)); a paternal sample was unavailable for Sanger confirmation. Copy number variant analysis performed on exome variant data from individuals 4 and 5 with XHMM³⁶ and HMZDeFinder³¹ did not identify CNVs in *DHX37*. In total, we identified five individuals who had *DHX37* variants predicted to be damaging ([Figure 3](#)) and who all share a phenotype of DD and/or ID and CNS dysfunction. Three out of five individuals also have scoliosis, and two have cardiac phenotypes ([Table 1](#)).

Variants in *DHX16* Are Identified in Four Families

We identified four individuals with *de novo* variants in *DHX16* ([Figure 3](#)). Three individuals share features of CNS anomalies and seizures ([Table 1](#)). The first individual (individual 6) is a female who has a clinical diagnosis of Aicardi syndrome and who presented with agenesis of the corpus callosum, seizures, and chorioretinal lacunae. Trio ES identified a variant in *DHX16* (GenBank: NM_003587.4; c.1744T>A [p.Phe582Ile]) ([Figure 2F](#)). The second individual (individual 7) is a female who presented with dysmorphic features and cystic kidneys; she was in the 5th percentile for length at birth and died on day of life (DOL) 16. Trio ES identified a variant in *DHX16* (GenBank: NM_003587.4; c.2091G>T [p.Gln697His]) ([Figure 2G](#)). Sanger sequencing of both trios confirmed the variants in individuals 6 and 7 to be *de novo*. The third individual (individual 8) presented with severe hypotonia and joint contractures, sensorineuronal deafness, a mixed axonal sensory and demyelinating motor neuropathy with feeding difficulties, and growth delay. He died at 4 months of age of respiratory failure. Trio-ES identified a *de novo* variant in *DHX16* (GenBank: NM_003587.4; c.1280G>A [p.Gly427Glu]) ([Figure 2H](#)). Additional samples were

(D) Individual 4: circles indicate polymicrogyria, arrowheads indicate polymicrogyria, the arrow indicates a cyst, white arrows indicate chorioretinal lacunae, and black arrows indicate optic nerve colobomas.

(K) Individual 11: white arrows indicate pineal gland cysts.

(L) Individual 12: ultrasound images show polycystic kidneys.

(P–R) Additional candidate variants were identified in single families for three genes, *DDX47* (MIM: 615428), *DHX58* (MIM: 608588), and *DHX8* (MIM: 600396), and these are indicated at the bottom of the figure.

(P) The MRI images from the individual with a candidate *DDX47* variant show occipital infarct (yellow arrow) and white matter abnormalities.

(Q) The MRI image from the individual with a candidate *DHX58* variant shows a thin corpus callosum.

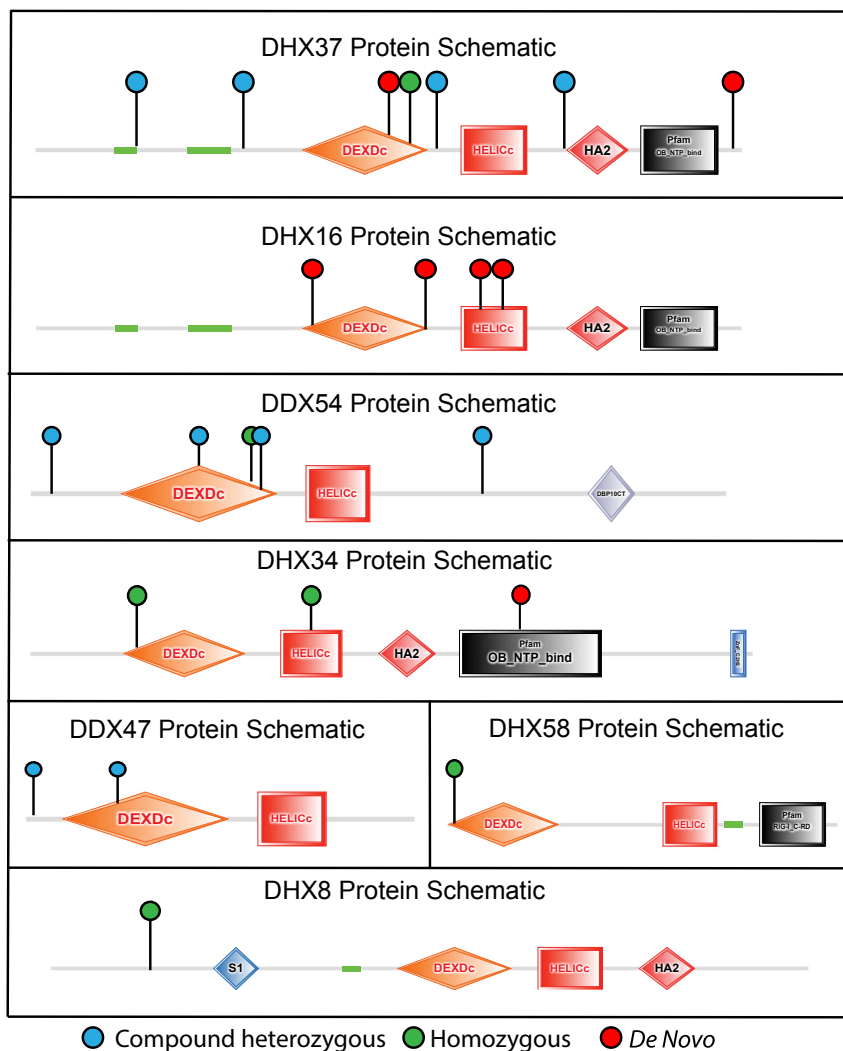


Figure 3. Variant Location Schematic
Protein schematics showing the functional domains of the seven candidate genes with the location of the variants indicated on each. A red lollipop indicates a *de novo* variant, a blue lollipop indicates compound heterozygosity for variants, and a green lollipop indicates homozygosity for the variant.

(p.Leu298Phe), confirmed to be *de novo*. Cloning of long-range PCR amplicons encompassing these variants and subsequent Sanger dideoxy sequencing of three independent clones demonstrated that the *de novo* variant is also present on the paternal allele in *cis* (Figure 4A). Droplet digital PCR was then used as an orthogonal confirmation of this finding, and it demonstrated that the number of droplets positive for both variants was consistent with linkage of the two variants on the same allele, resulting in co-segregation of the variants within droplets. *SphI* genomic DNA digestion targeting a restriction site between the variant sites demonstrated a pattern consistent with unlinked variants independently segregating within droplets (Figures 4B–4E). The second individual (individual 11) presented with DD and/or ID and pineal cysts. ES analysis identified compound

heterozygosity for variants in *DDX54* inherited from the unaffected father (GenBank: NM_001111322.1; c.58T>A [p.Trp20Arg]) and the unaffected mother (GenBank: NM_001111322.1; c.1832G>A [p.Arg611Gln]) (Figure 2K). The third individual (individual 12) presented with bilateral multicystic dysplastic kidneys and failure to thrive. ES analysis identified homozygosity for a *DDX54* variant (GenBank: NM_001111322.1; c.856G>A [p.Val286Met]) (Figure 2L), but parental samples were unavailable for segregation analysis.

Variants in *DDX54* Are Identified in Three Families

We identified three individuals with *DDX54* variants: one mono-allelic, and two bi-allelic (Figure 3). Two out of three of the individuals share a phenotype of DD and/or ID and CNS anomalies, and all three are female (Table 1). The first individual (individual 10) is a twin (sister is unaffected, zygosity unknown) who is 23 years old and has DD and/or ID, dystonia, and seizures. Trio ES identified two variants in *DDX54* at position GenBank: NM_001111322.1; c.647A>G (p.Asu216Ser), confirmed to be inherited from the father (Figure 2J), and at position GenBank: NM_001111322.1; c.892C>T

heterozygosity for variants in *DDX54* inherited from the unaffected father (GenBank: NM_001111322.1; c.58T>A [p.Trp20Arg]) and the unaffected mother (GenBank: NM_001111322.1; c.1832G>A [p.Arg611Gln]) (Figure 2K). The third individual (individual 12) presented with bilateral multicystic dysplastic kidneys and failure to thrive. ES analysis identified homozygosity for a *DDX54* variant (GenBank: NM_001111322.1; c.856G>A [p.Val286Met]) (Figure 2L), but parental samples were unavailable for segregation analysis.

Variants in *DHX34* Are Identified in Three Families

We identified three individuals with variants in *DHX34* (Figure 3). Individual 13 is a dysmorphic female who has DD and/or ID, CNS involvement, and a solitary kidney and a possible dual diagnosis³⁰ including homozygosity for an inherited *DHX34* variant (GenBank: NM_014681.5; c.1322A>G [p.Asu441Ser]) (Figure 2M) from heterozygous parents and homozygosity for a *CEP97* variant ([MIM: 615864], GenBank: NM_024548.2; c.1148A>G [p.His383Arg], Chr3: 101476598A>G);¹⁸ both of the variants occur in blocks of AOH (of 2.7 Mb

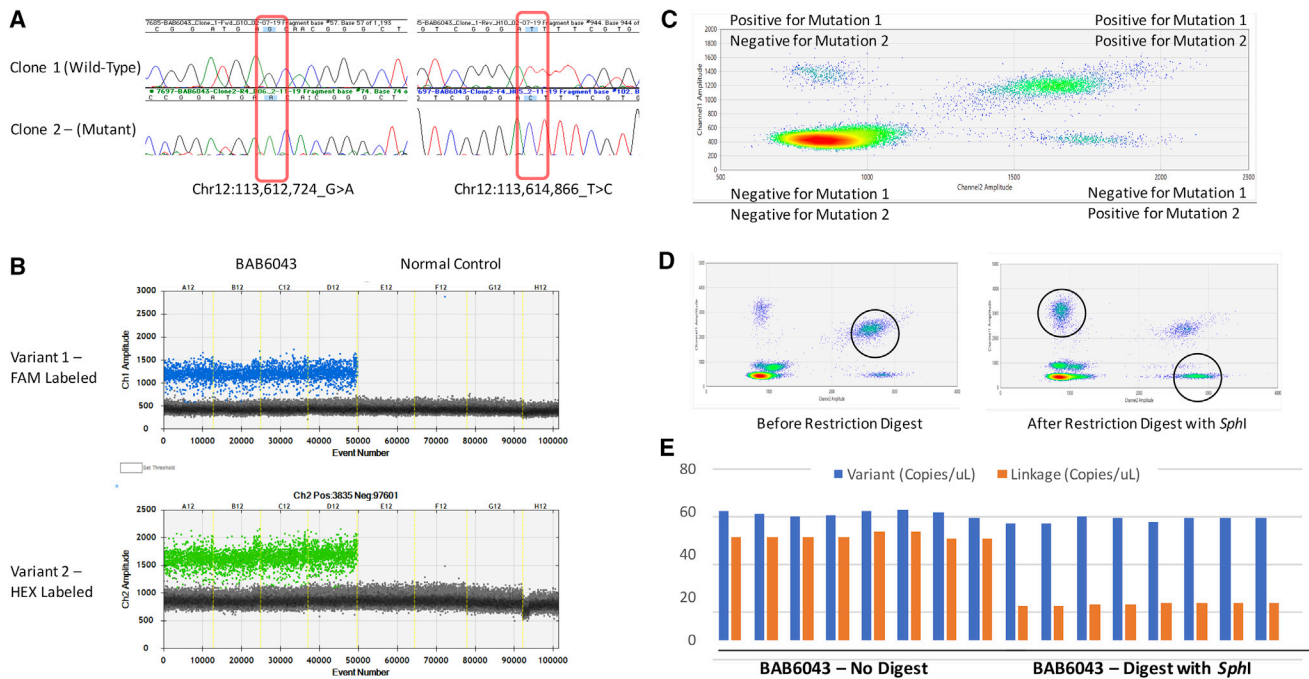


Figure 4. Variant Inheritance Confirmation

Phasing of *DDX54* variant c.892C>T (p.Leu298Phe) (Chr12: 113,612,724_G>A, paternally inherited) and c.647A>G (p.Asn216Ser) (Chr12: 113,614,866_T>C, *de novo*).

(A) Dideoxy Sanger sequencing of two clones generated from long-range PCR amplification of both variants demonstrates one clone containing neither variant and one clone containing both variants, consistent with an *in cis* variant allele configuration.

(B) Droplet digital PCR probes were designed with specificity to each variant, and optimization demonstrates that both variants are detected in individual 10, but not in a wild-type control sample.

(C) Two-dimensional droplet distribution demonstrates dense clustering in the ++ cluster, indicating co-segregation of both variants within droplets.

(D and E) This clustering is not observed when genomic DNA is treated with *SphI* digestion prior to ddPCR. An *SphI* restriction site is located between the variants, and digestion leads to independent segregation of variants within droplets.

and 31.7 Mb, respectively). Individual 14 has congenital bilateral polycystic kidneys, polydactyly, hypothyroidism, failure to thrive, and severe respiratory problems, again with a probable dual diagnosis including homozygous nonsense variants in *TPO* ([MIM: 600044], GenBank: NM_000547.5; c.1618C>T [p.Arg540*]) and in *DHX34* (GenBank: NM_014681.5; c.466C>T [p.Gln156*]) (Figure 2N). Additionally, we identified a heterozygous *de novo* *DHX34* variant (GenBank: NM_014681.5; c.2327G>C [p.Arg776Pro]) in a seven-year-old female with DD and/or ID, hypotonia, and mild dysmorphism (individual 15) (Figure 2O). It is notable that all three individuals (individuals 13, 14, and 15) with rare variant alleles in *DHX34* share a core phenotype of DD and/or ID, but each display somewhat distinct additional clinical features. Individuals 13 and 14, found to have homozygosity for variants in *DHX34*, also share renal involvement, whereas only individual 15 has seizures. The extent to which these additional features can be attributed to *DHX34* alone, with distinct phenotypes attributed to mono-allelic and bi-allelic variation, is not yet fully clear; however, the renal involvement observed in association with *DHX16* (in individual 7) and *DDX54* (in individual 12) supports a potential role for DEXD/H-box RNA helicase genes in renal development.

Expression and Potential Functional Impact of Variants in RNA-Helicase Genes

We queried the GTEx database for each of our candidate genes to determine their respective expression patterns (Figure S1). *DHX37*, *DHX16*, *DDX54*, and *DHX34* all share a similar ubiquitous expression pattern with some minor differences. Whereas *DHX37*, *DDX54*, and *DHX34* have the lowest expression in brain tissue compared to other tissues (Figure S1, yellow boxes), *DHX16* has increased expression specifically within the cerebellum. Additionally, data from the Allen Brain Atlas indicate that all of these genes show the highest expression in the brain during embryonic and fetal development (Figure S2).

Given that identified pathogenic variants in *DHX30* and *DDX3X* differ in their position with regard to the conserved motifs,⁸ we evaluated the location of the variants described here. Although many variants occur in the established functional domains (Figure 3), the majority of variants do not occur in the conserved helicase motifs (Figure S3), a pattern similar to those seen in *DDX3X*.^{10,11} There are two notable exceptions: the *de novo* *DHX16* GenBank: NM_003587.4; c.1280G>A (p.Gly427Glu) variant (in individual 8) and the *DHX34* GenBank: NM_014681.5; c.1322A>G (p.Asn441Ser) variant found in homozygosity (in individual 13). Both

of these variants lead to the substitution of an amino acid residue that is both highly conserved across paralogs and that is used to define the helicase motif of the protein in this gene family. These observations strongly suggest that they disrupt gene function. That many DExD/H-box RNA helicase family genes might play multiple cellular roles in processes such as synthesis, nuclear processing and export, translation, and the storage, splicing, and decay of RNAs, as well as ribonucleoprotein (RNP) assembly, allows for significant variation in pathogenicity and disease presentation depending on which function is affected.

Discussion

Members of paralogous gene families often share molecular functions and can have overlapping tissue expression patterns, leading us to hypothesize that paralog studies might provide an approach to gene discovery. We tested this hypothesis using the DExD/H-box RNA helicase family genes (Figure 1), of which two (*DDX3X* and *DHX30*) have been previously associated with neurodevelopmental disorders and one (*DHX38*) has recently been discovered to underlie an eye disease phenotype. Analysis of the BHCMG exome variant database and then the identification of further individuals through GeneMatcher^{16,17} led to the elucidation of four neurodevelopmental genes (*DHX37*, *DHX16*, *DDX54*, *DHX34*) each found in three or more families; this also led to finding three additional individuals with potential disease-causing variants in three other genes. These findings support the utility of a paralog-based approach applied to implicate variation genes associated with human disease.

DHX37, *DHX16*, *DDX54*, and *DHX34* as Neurodevelopmental Genes

The age range of our 15 affected individuals, none of whom have received a definitive molecular diagnosis, was 1 day to 34 years. The individuals included nine females and six males. The majority (12/15) exhibit DD and/or ID phenotypes, but three were too young to assess (Table 1). Furthermore, 13/15 had involvement of the CNS, including brain anomalies and hypotonia. These two predominant neurological features, DD and/or ID and CNS dysfunction are common disease traits to all four genes (*DHX37*, *DHX16*, *DDX54*, and *DHX34*) in which presumably pathogenic variants were identified in three or more unrelated affected subjects. Interestingly, vertebral anomalies seem to be specific to individuals with variants in *DHX37* (individuals 2–4, Table 1). Two individuals with *DHX34* variants share a solitary kidney as a feature along with the DD and/or ID and CNS involvement. Of note, two other individuals (individual 7 [*DHX16* variant] and individual 12 [*DDX54* variant]) also have kidney involvement (including solitary kidney and cystic kidney²²). *DHX16*, *DDX54*, and *DHX34* also share additional growth-associated features such as short limbs (individual 7), growth delay (individual 9), short stature

(individual 13), and failure to thrive (individuals 11 and 13) (Table 1 and the Supplemental Individual Reports).

Of particular note, *DHX16* and *DHX37* were both identified in individuals (individuals 4 and 6) with a clinical diagnosis of Aicardi syndrome. Both individuals demonstrated the classic diagnostic triad of chorioretinal lacunae, infantile spasms, and agenesis of the corpus callosum, as well as other commonly-observed features of Aicardi syndrome, including nonspecific DD and/or ID, which many individuals reported here share.³⁷ Despite seemingly well-defined clinical features, the molecular etiology of Aicardi syndrome has not yet been definitively identified, an observation which might reflect underlying locus heterogeneity for this condition or the limited specificity of this clinical syndromic assignment. In the present study, we report *de novo* variants in *DHX37* and *DHX16*. Other individuals with rare variation in these genes demonstrate neurodevelopmental phenotypes, but do not have hallmark features of Aicardi syndrome, and it is not yet clear whether this results simply from allelic heterogeneity at the locus or other molecular mechanisms impacting genotype-phenotype relationships. Nevertheless, our observations raise the intriguing possibility that the set of disease traits that previously defined Aicardi syndrome might not include a pathognomonic feature or, potentially, that this clinical diagnosis might not have a single, molecular etiology.

Mono-allelic and Bi-allelic Variation in Three RNA Helicase Genes

The occurrence of both mono- and bi-allelic variation at three of the described loci (*DHX37*, *DDX54*, and *DHX34*) within this study might reveal a broader characteristic of neurodevelopmental conditions associated with DExD/H-box RNA helicase family genes. This phenomenon has been previously described for many loci,^{38,39} and resulting phenotypes might be similar, such as observed in myotonia congenita (MIM: 160800, 255700) due to mono- or bi-allelic variation in *CLCN1* (MIM: 118425), or distinct, such as observed in Charcot-Marie-Tooth disease type 2B1 (CMT2B1 [MIM: 605588]) due to bi-allelic variation in *LMNA* (MIM: 150330) or Emery-Dreifuss muscular dystrophy 2 (EDMD2 [MIM: 181350]) due to mono-allelic variation in *LMNA*. In some individuals, the phenotype associated with bi-allelic variation is more severe, as has been observed in Harel-Yoon syndrome⁴⁰ (HAYOS [MIM: 617183]) due to *ATAD3A* (MIM: 612316) bi-allelic variants, and segregating in a pattern consistent with Mendelian expectations for a recessively inherited disease trait from unaffected carrier parents, whereas in others, the more severe phenotype is associated with dominantly inherited conditions, such as microphthalmia/coloboma and skeletal dysplasia syndrome (MCSKS [MIM: 615877]) associated with variation in *MAB21L2* (MIM: 604357).⁴¹ Distinct functional outcomes for individual variant alleles (i.e., amorphs, hypomorphs, hypermorphs, antimorphs, and neomorphs),⁴² and distinct locations of individual variants within protein functional domains and/or transcripts

underlie many of these observations and underscore the biological importance of understanding gene function in the context of human allelic series.

RNA Helicase Family Members and Potential Mechanisms for Molecular Pathogenesis

Variants in *DHX37* have been shown to alter normal behavior in zebrafish through a specific effect on the splicing of glycine receptors.²⁴ Mutant zebrafish had decreased levels of glycine receptor subunit mRNA,⁴³ and deletions of the glycine receptor 4 locus, *GlyRA4*, have also been linked to ID, behavior problems, and craniofacial anomalies.⁴⁴ Disruption of GlyR function by antibodies has been linked to epileptic encephalopathies and Stiff-Person syndrome (SPS [MIM: 18450]), and the majority of individuals with GlyR-abs suffer from epilepsy.⁴⁵ All of the individuals with variants in *DHX37* have CNS anomalies, and 2/5 have seizures and dysmorphic features, consistent with perturbations of GlyR function. The ocular, ear, cardiac, and vertebral findings point to the extra-CNS role of *DHX37* in development.

DHX16 is a member of the spliceosome complex B and is required for pre-mRNA splicing. A dominant-negative mutation in *DHX16* results in intron retention in transcripts that then remain in the nucleus and are not subject to nonsense-mediated decay (NMD).⁴⁶ Furthermore, unspliced genes identified in this screen are involved in neurodevelopment; these include GABA(A) receptor-associated protein (*GABARAP* [MIM: 605125]), which has been linked to hypoplastic brain development in zebrafish, and survival of motor neuron-related-splicing factor 30 (*SMNDC1* [MIM: 603519]), which is associated with spinal muscular atrophy⁴⁶ (*SMA* [MIM: 253300, 253400]), for which a newly developed drug, nusinersen, also targets splicing.⁴⁷ *DHX16* shows increased expression levels in the cerebellum compared to other brain regions (Figure S1). In addition to the CNS dysfunction in our cohort of individuals with *DHX16* mutations, 2/4 also have defects, including growth delay and short limbs at birth, that could be related to successful cell cycle progression (Table 1). This phenomenon has already been noted in microcephaly-affected individuals for whom the first seven loci identified (*MCPH1* [MIM: 607117], *ASPM* [MIM: 605481], *CDK5RAP2* [MIM: 608201], *CENPJ* [MIM: 609279], *STIL* [MIM: 181590], *WDR62* [MIM: 613583], and *CEP152* [MIM: 613529]) are all involved in centrosome activity.⁴⁸

DDX54 has been shown to be essential for proper myelination in the CNS.⁴⁹ Knockdown of *DDX54* in the murine brain resulted in aberrant distribution of myelin basic protein (*MBP* [MIM: 159430]) and myelin-associated glycoprotein (*MAG* [MIM: 159460]) and the formation of filamentous *MAG* in the corpus callosum. It is probable that *DDX54* plays an essential role in the transcription and mRNA processing of these genes.⁴⁹ *DDX54* is also part of the spliceosome complex B and plays a specific role in splicing during DNA damage repair (DDR), wherein it increases cell survival by promoting transcription of DNA

damage-response genes.⁵⁰ Interestingly, *DDX54* has also been shown to negatively regulate nuclear-receptor-mediated transcription in a hormone-dependent manner, specifically binding to ERalpha and beta to repress transcriptional activation of estrogen-regulated genes.⁵¹ This is particularly noteworthy given that all three of our individuals with variants in *DDX54* are female. Two of these three individuals have CNS involvement, including dystonia, seizures, and severe brain malformations, which can be directly related to *DDX54*'s role in proper myelination. It is less clear how *DDX54* might affect kidney development; however, given the similar clinical findings in one of the individuals with a variant in *DHX16* and two of the individuals with variants in *DHX34* and the positive expression of both of these genes in kidney tissue (Figure S1), it is possible these genes play an important role in kidney development and cellular differentiation.

DHX34 acts as a scaffold required for successful NMD of aberrant mRNA transcripts.^{52–54} Interestingly, an NMD partner of *DHX34*, *NBAS*, has been previously associated with a human syndrome, short stature, optic nerve atrophy, and Pelger-Huet anomaly (*SOPH* [MIM: 614800]), that includes features of short stature, dysmorphism, optic atrophy, and leukocyte anomalies.⁵⁵ The phenotypes of individuals 12 and 13, who have homozygosity for variants in *DHX34*, include short stature, facial dysmorphism, and microcephaly, although both individuals 13 and 14 have additional variants that could be contributing to their disease (Supplemental Individual Reports).

Notably, of these four genes, only *DHX37* has a high probability of loss-of-function (LOF) intolerance ([pLI] score of 0.99 in gnomAD). *DHX16*, *DDX54*, and *DHX34* each have pLI scores of 0, indicating that these genes are not significantly intolerant to LOF, although it is possible that a fraction of the observed LOF variants in these genes escape NMD, leading to a gain-of-function (GOF) or dominant-negative phenotype rather than LOF.⁵⁶ In the present study, we report *de novo* missense variants in *DHX37*, *DHX16*, *DDX54*, and *DHX34* in association with neurodevelopmental disorders. These variant characteristics (missense and *de novo*), in conjunction with the dominant inheritance of their associated phenotypes, is strongly supportive of a GOF rather than LOF effect for the identified variants. Taken together with the observation of both mono- and bi-allelic variation at three of these four loci (*DHX37*, *DDX54*, and *DHX34*), these observations are consistent with the predicted tolerance to LOF observed in the gnomAD database.

Conclusion

Together, DEXD/H-box helicase genes play common roles, namely splicing, in RNA metabolism, but they also might be involved in transcriptional regulation. They also each have unique roles in development, cell fate, and survival, and this finding provides a potential explanation for the diversity of phenotypes exhibited by this cohort. Functional redundancy of paralogs is well established as a hypothesis

for enabling deleterious mutations to persist in a population. More recently, it has been shown that functional compensation coupled with tissue-specific expression patterns can result in tissue-specific disease in ubiquitously expressed genes, such as DExD/H-box helicases. Indeed, this was demonstrated in this gene family in human cell lines for *DDX3X* and *DDX3Y* (MIM: 400010).⁵⁷ In fact, 69% of tissue-specific, inherited disease could be explained by differential expression of paralogs in the affected tissue.¹ This might explain the occurrence of different disease phenotypes, both among individuals with variants in the same DExD/H-box helicase genes and also between individuals with the same disease phenotypes and variants in different DExD/H-box helicase genes, both of which we describe here. The increasing evidence associating RNA binding proteins (RBPs) of different types to neuropsychiatric disorders might point to a global mechanism for the pathogenesis of ID in humans and support an analogous ‘gene paralogy’ approach for ID-associated gene discovery.

Given the potential role for paralogous genes in human disease, we utilized a ‘gene first’ approach^{58,59} and searched the BHCMG database for *de novo* and bi-allelic variants in DExD/H-box RNA helicases. We further amplified our resulting cohort through the use of GeneMatcher.^{16,17} This approach has enabled a connection of similar phenotypes across genes; for example, we identified two individuals with a clinical diagnosis of Aicardi syndrome, one with a variant in *DHX16* and one with a variant in *DHX37*, and four individuals with congenital anomalies of the kidneys and urinary tract (CAKUT). Although a ‘phenotype first’ approach is valuable in large cohorts or when mining electronic health records,⁶⁰ in the case of gene discovery in paralog gene families, it could have easily missed the connection between these individuals. The genes for the remaining DExD/H-box helicase family members, as well as other RNA-processing genes, might harbor pathogenic variation associated with Mendelian conditions, particularly neurodevelopmental disorder phenotypes. Additionally, a paralog approach to gene discovery can widely be applied for other disease phenotypes already associated with known genes.

Accession Numbers

The dbGaP accession number for all exome sequences reported in this paper and for which informed consent for data sharing in controlled-access databases has been provided is dbGaP: phs000711.v5.p1.

Supplemental Data

Supplemental Data can be found online at <https://doi.org/10.1016/j.ajhg.2019.06.001>.

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Declaration of Interests

J.R.L. serves on the scientific advisory board for Baylor Genetics. J.R.L. has stock ownership in 23andMe, is a paid consultant for Regeneron Pharmaceuticals, and is a coinventor on multiple US and European patents related to molecular diagnostics for inherited neuropathies, eye diseases, and bacterial genomic fingerprinting.

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Web Resources

Allen Brain Atlas, <http://portal.brain-map.org/>
Baylor College of Medicine Human Genome Sequencing Center, <https://www.hgsc.bcm.edu>
Baylor College of Medicine Lupski Lab, <https://github.com/BCM-Lupskilab>
ExAC Browser, <http://exac.broadinstitute.org/>
Exome Variant Server, <https://evs.gs.washington.edu/EVS/>
gnomAD Browser, <https://gnomad.broadinstitute.org/>
Online Mendelian Inheritance in Man, <https://OMIM.org>
Phylogeny.fr, <http://phylogeny.lirmm.fr/phylo.cgi/index.cgi>
SMART, <http://SMart.embl-heidelberg.de>

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