# Competing influences on healthy food choices: mindsetting versus contextual food cues

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#### 1 Abstract

2 Food choices are influenced by one's current mindset, suggesting that supporting health (vs. 3 a palatability) mindsets could improve daily food choices. The question rises, however, to what 4 extent internal mindsets still guide choices when people are exposed to external food-context stimuli 5 in an obesogenic environment. To examine these two competing effects we induced health vs. 6 palatability mindsets, and investigated the robustness of the mindset effect by presenting food-7 context stimuli during a Pavlovian-to-Instrumental-Transfer (PIT) task in two separate cohorts of 102 8 (76 females) Dutch and 120 (60 females) German participants. For the mindset induction, 9 participants rated food items on visual analogue scales (VAS), based on healthiness and palatability, 10 respectively. In each cohort, half of the participants received a health, the other half a palatability 11 mindset induction. Additionally, we explored whether 'mindset triggers' could be used to further 12 shape behavior. Triggers were established by placing unfamiliar logos at the extreme ends of the 13 VASs used for the mindset inductions. 14 Independent of the mindset, food-associated stimuli influenced food choices in accordance 15 with the previously learned association in each test phase. Health mindset induction biased food

choices towards healthier, palatability mindset towards unhealthier choices in the first cohort, but not in the second. The mindset triggers had a more robust effect. These induced healthier (triggers for healthy and not-palatable) and unhealthier (triggers for unhealthy and palatable) food choices in both cohorts alike. Interestingly, these effects did not tamper with the overall effect of Pavlovian cues and were thus true in the presence and absence of food-context stimuli. Therefore, we show that, in our experimental setting, food-associated mindset triggers can be used to bias food choices towards a healthy snack even in an obesogenic environment.

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Keywords: food choice, mindset, instrumental behavior, cue-dependent, Pavlovian-to-Instrumental
 Transfer

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### 27 **1. Introduction**

28 A choice between a highly palatable, unhealthy food item and a less palatable, but at the same time 29 healthier alternative can give rise to conflict between short-term rewards and long-term health-related 30 goals (Stroebe, van Koningsbruggen, Papies, & Aarts, 2013). It has been suggested that the current 31 mindset of the individual may help to resolve this conflict (Lu, Park, & Nayakankuppam, 2012). Many 32 studies show that experimentally induced 'health' and 'pleasure/palatability' mindsets do indeed 33 influence eating behavior and food choices by focusing attention on either the health or palatability 34 features of food, respectively. For example, in a food choice task, healthy snacks were chosen more 35 frequently when participants were asked to think about healthiness as compared to thinking about the 36 palatability of food (Bhanji & Beer, 2012; Hare, Malmaud, & Rangel, 2011). In a portion size selection 37 task, also the selected portion size was shown to be dependent on the previously induced mindset 38 (fullness, pleasure, health) in both, individuals with healthy-weight and obesity (Hege, et al., 2018; 39 Veit, et al., 2019). Here, participants selected the biggest portion size for fullness, less for pleasure and 40 the lowest for healthiness. An alternative mindset induction by choosing food for a wedding 41 (palatability mindset) vs. for a friend who wants to lose weight (health mindset) attenuated the 42 attentional bias for high-calorie foods particularly in restrained eaters (Werthmann, Jansen, & Roefs, 43 2016).

44 However, in real life our food-related choices are not driven purely by internal mindsets, but also by 45 external food-context stimuli. For example, the sign of one's favorite fast food restaurant, reminding 46 of a hamburger, may trigger the action of going to this restaurant. This contextual and thus outcome-47 specific response priming effect has been studied with the (outcome-specific) Pavlovian-to-48 instrumental transfer (PIT) paradigm (Cartoni, Balleine, & Baldassarre, 2016). The PIT paradigm was 49 initially used in animal research and has more recently been translated in human research (see for 50 review (Holmes, Marchand, & Coutureau, 2010)). For example, a Pavlovian stimulus associated with 51 chocolate has been shown to lead to a response bias towards chocolate (and reduction in responding 52 for popcorn), relative to a condition where participants are making responses for food outcomes in the

53 absence of any Pavlovian stimuli (Watson, Wiers, Hommel, & de Wit, 2018). The relatively automatic 54 nature of PIT is revealed by (outcome devaluation) studies which show that food-context stimuli will 55 bias food choices towards the signaled food even when participants are already sated on this snack 56 (Holland, 2004; van Steenbergen, Watson, Wiers, Hommel, & de Wit, 2017; Watson, Wiers, Hommel, 57 & de Wit, 2014). In this way, food-context stimuli may contribute to the obesogenic effect of an 58 environment in which palatable, unhealthy food becomes cheaper and more readily available, with food-context stimuli all around us to remind us of this availability (Hill & Peters, 1998; Ng, et al., 2014). 59 Therefore, it is of crucial importance to gain insight into the factors that drive food-related decisions 60 in an obesogenic environment in order to support healthier choices. Based on previous literature using 61 62 the PIT task (Holmes, et al., 2010; Meemken & Horstmann, 2019; Watson, et al., 2014) we 63 hypothesized that in the presence of Pavlovian cues previously associated with specific snacks (food-64 context stimuli), participants would increase instrumental responding for those snacks, relative to a baseline (no-cue condition), i.e. outcome-specific PIT effect. 65

66 The important question addressed by the current study is to what extent our mindset has a potent 67 effect on our food-related choices in an obesogenic environment. To this end, we investigated the 68 influence of health and palatability mindsets on food choice behavior in the presence versus absence 69 of food-context stimuli. We induced a health mindset by a food picture rating for healthiness and a 70 palatability mindset by food picture rating of palatability on visual analogue scale. We hypothesized 71 that participants receiving a health-mindset induction would reduce instrumental responding for the 72 unhealthy snack relative to participants receiving the palatability-mindset induction. This effect was assumed to be stronger in the absence of the food-context stimuli. 73

Furthermore, we investigated whether external mindset-associated triggers could also be used to bias food choices. Indirect support for this comes from studies showing that explicit triggers, such as health posters on vending machines, increase purchases of healthy snacks whereas unhealthy snack purchases can be increased by a funfair poster (Stockli, Stampfli, Messner, & Brunner, 2016). Furthermore, verbal health triggers related to health consciousness have been shown to reduce snack

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79 purchases among individuals with overweight and obesity (Papies, Potjes, Keesman, Schwinghammer, 80 & van Koningsbruggen, 2014). To prevent previous associative learning about real-life logos (such as 81 junk food logos) from confounding results, we used novel logos in the current study, which functioned 82 as mindset triggers. Participants were exposed to the novel logos during the experiment, whilst making 83 health and palatability ratings. We hypothesized that logos that had been associated with extreme 84 healthiness would subsequently lead participants to reduce responding for unhealthy snacks (relative to a logo associated with extreme unhealthiness). Similarly, we expected participants to increase 85 86 responding for unhealthy snacks in the presence of a palatability (relative to extreme unpalatability) 87 trigger. These effects were expected to be stronger in the absence of food-context stimuli.

To the best of our knowledge this is the first study to assess mindset induction in combination with mindset triggering. The present study suggests that the controlled experimental setting of the PIT paradigm can be used to study the interaction between internal factors (mindset) and the effect of external factors (triggers, food-context stimuli) on food-related decision making.

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# 93 2. Methods

We report how we determined our sample size, all data exclusions (if any), all manipulations, and allmeasures in the study.

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# 97 2.1 Participants

We conducted this study in two separate cohorts. The second (German) cohort was tested in an attempt to replicate the results of the first Dutch cohort (table 1). For the first cohort (cohort 1) 107 participants were measured. Five participants had to be excluded (for one participant the program crashed in the middle of the experiment, two participants did not press any key in several trials and could thus not be analyzed, two participants remembered the key – snack association incorrectly at the end of the experiment) resulting in a total of 102 Dutch male and female students with 52 participants in the health mindset group (39 female) and 50 participants in the palatability mindset

105 group (37 female). The groups showed no significant differences in mean age and BMI across mindset 106 groups in each gender separately (as indicated by no significant difference using between-groups t-107 tests; all ps > 0.28). For the second cohort 123 participants were measured. After exclusion of three 108 participants (one participant remembered the key – snack association incorrectly at the end of the 109 experiment, two were excluded in order to match groups for mean age and BMI within each gender) 110 the second cohort consisted of 120 German male and female students (cohort 2) with an equal distribution for both sexes in the two mindset conditions (each n=30). Mean age and BMI were 111 112 matched in this cohort also (no significant difference between groups, ps > 0.27). None of the 113 participants reported any kind of current or previous eating disorder. All participants were requested 114 to refrain from eating and drinking (except for a glass of water) for at least three hours before the 115 experiment. Participants were reimbursed with 15€ or course credits (Dutch cohort) after completion 116 of the experiment.

All participants gave written informed consent prior to participation and the study was approved by the Ethics Committees of the University of Amsterdam and of the Medical faculty of the University of Tübingen. The study was conducted in accordance with The Code of Ethics of the World Medical Association (Declaration of Helsinki) for experiments involving humans. The study was registered at clinicaltrial.gov (NCT03255304).

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### 123 **2.2 Power analyses.**

We based our power analyses on the mindset induction manipulation since based on the literature, we expected the smallest effect here (as compared to a rather big and robust effect of the Pavlovianto-Instrumental transfer task as for example shown by Watson et al (2014)). Bhanji et al. (2012), reported comparatively higher healthy food choices after a health mindset induction than after a palatability mindset induction with an effect size of *d*=0.52. With an accepted alpha-error of 5% and the power of 80% for a paired t-test, this results in a required sample size of at least 25 subjects per group.

# 131

### 132 Table 1

### 133 Study cohorts

	cohort 1 (Dutch)		cohort 2 (German)	
	health	palatability	health	palatability
	n=39	n=37	n=30	n=30
female	Age=21.8 (18-28)	Age=22.5 (18-34)	Age=22.8 (19-29)	Age=23.0 (20-28)
	BMI=22.2 (16,85-31.46)	BMI=22.3 (17.66-27.93)	BMI=21.1 (17.66-25.55)	BMI=21.7 (16.70-25.86)
	n=13	n=13	n=30	n=30
male	Age=21.5 (19-29)	Age=23.1 (19-33)	Age=24.1 (18-31)	Age=24.3 (20-35)
	BMI=22.3 (17.74-27.84)	BMI=23.1 (17.91-28.40)	BMI=23.1 (18.90-26.67)	BMI=22.8 (18.73-25.40)

134 Note: Mean age in years (range) and mean BMI in kg/m<sup>2</sup> (range) are depicted. Within each cohort and gender,

135 mindset groups did not differ significantly on mean age or BMI (all p>0.27).

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#### 137 2.3 Task Procedure and Stimuli

138 The experimental task was programmed with the Presentation software (neurobs.com) and presented 139 on a stand-alone PC. The food choice task was based on the Pavlovian-instrumental transfer test used 140 in a previous study by Watson et al. (2014) and was combined with a mindset induction and a mindset 141 trigger protocol. Potato chips and raw zucchini were used as snack rewards in the experiment. These 142 two snack outcomes were chosen because they differ in both health and palatability. Those snacks 143 were already successfully used in a previous study (Watson, Wiers, Hommel, Gerdes, & de Wit, 2017). 144 Participants were informed that they would be trained on a computer task how to earn snacks (potato 145 chips and raw zucchini) in a training phase and that they would then perform a task to actually earn 40 146 pieces of the snacks (test phase) which would be provided to them at the very end of the experiment 147 while they watched a movie. Participants were also told that the proportion of chips and zucchini they would receive at the end would be based on their key press behavior during the test phases. With this 148 149 procedure we aimed to ensure that the participants knew their key press behavior would have a direct

bearing on the rewards they earned. At the beginning of the experiment six pieces of each reward(chips and zucchini) were placed on two plates next to the participants.

#### 152 **2.3.1 VAS 1**

The computer task consisted of six main phases and three rating stages (figure 1). Prior to the first phase, participants were asked to rate their subjective hunger, thirst and appetite on a 10-cm visual analog scale (*VAS 1*) with the endpoints "not at all" to "very much".

# 156 **2.3.2 Instrumental training phase**

157 In the instrumental training phase, participants learned how to earn chips and zucchini. Between trials, 158 participants were shown a white square in the middle of the computer screen upon a black background 159 (1.5-s ITI). Participants were told that once the white square turned purple a snack would become 160 available, but that its identity (chips or zucchini) was hidden behind the square. Participants were 161 instructed that they could earn the available snack by pressing the correct response key (left or right) 162 several times, but that they had to find out by trial and error which snack was available by pressing the keys until they won something (key-snack relationships were counterbalanced across participants). 163 164 Once the appropriate key had been pressed a sufficient number of times (variable ratio schedule of 165 between 5 and 10 presses, selected at random) an image of the snack was revealed and remained on 166 screen for one second. In addition, every fourth time that a specific snack picture was presented, participants received an audible ("ding") signal indicating that they should now eat one piece of that 167 168 snack (a longer 6-s ITI was used for these consumption trials). Participants were instructed to press the 169 keys with the index finger of their dominant hand (Dutch cohort) or a pen (German cohort) only so as 170 to avoid simultaneous presses of both keys. The instrumental training phase consisted of four blocks 171 in which participants learned the response-outcome (R-O) relationships between the keys and the 172 snacks. Within each block, each snack was available three times in random order (24 trials total). 173 Participants' knowledge about the R-O associations between the keys and the snack outcomes was 174 tested after the second and after the fourth block.

### 175 2.3.3 Pavlovian training phase

176 During the subsequent Pavlovian training phase, participants learned the stimulus-outcome (S-O) 177 relationships between three Pavlovian cues (three black-and-white colored background patterns 178 which functioned as context stimuli) and three outcomes (chips, zucchini or 'nothing'; see figure 2A). 179 In this learning phase, participants sat passively in front of the screen and were instructed to pay 180 attention. On each trial the Pavlovian context stimulus was presented as a background for 2 seconds, 181 after which the picture of the according outcome was presented in the middle of the screen for 1 182 second superimposed on the background. The ITI was 1.5s. Every fourth time that a specific snack 183 picture was presented, participants again received the audible ("ding") signal indicating that they 184 should now eat one piece of that snack (6-s ITI on these consumption trials). Within each of four blocks, 185 both S-O pairs, as well as the neutral pair (context stimulus – "nothing") were presented three times in random order (36 trials total). Participants' knowledge about the S-O associations was not tested at 186 187 this point, since we wanted to avoid explicitly drawing the attention to these relationships just before 188 the test phases. Instead, the S-O knowledge was tested after the test phases.

189 2.3.4 VAS 2

Afterwards, participants filled out the second visual analog scale (VAS 2) that questioned them abouttheir hunger and thirst.

## 192 **2.3.5 Baseline choice test**

193 In the baseline choice test, participants could earn the snack they wished for by pressing the keys 194 previously associated with chips and zucchini as often as possible during each trial. However, they were 195 informed that as in the first phase, they would not know which reward was available on each trial and 196 furthermore, that they would not receive any feedback about the reward that they won but would 197 receive the appropriate number of snacks to eat at the end of the experiment while watching a short 198 movie. Participants were also informed that they would occasionally see background patterns on the 199 screen but that they should ignore these and focus on the purple box as this indicated that they had 200 three seconds within which to win a reward. Each trial began with 1-s presentation of the ITI screen. 201 When the white square turned purple, participants had 3 seconds to press either key as often as they

202 wished to win the available snack. After the instruction, participants received two demo trials. The 203 actual test phase did not begin until they had confirmed that the instructions had been understood. 204 During the test phase, the purple square was presented superimposed on one of four context options 205 (black background or one of the three Pavlovian context stimuli, figure 2A). Participants completed 206 five blocks of eight trials (40 trials total). Therefore, within each block, choice behavior was assessed 207 in the presence of food-context stimuli (when the backgrounds associated with chips and zucchini were presented (cued trials), allowing for outcome-specific Pavlovian-to-instrumental transfer) and in the 208 209 absence of food-context stimuli (when a black background and the background previously associated 210 with 'nothing' were presented: non-cued trials). With the different backgrounds we aimed to 211 operationalize an external context. Hence, the context can either be food-related cued (cued trials 212 with the context associated with either snack) or non-cued (context not associated with either snack, 213 figure 2A). The four trial types were each presented twice and intermixed in random order within each 214 block.

### 215 2.3.6 Mindset induction phase

216 After the baseline test, during the mindset induction phase, participants rated 40 food pictures (20 217 high and 20 low calorie) for either healthiness or palatability on a visual analogue scale to induce the 218 corresponding mindset. Participants were assigned to the health or palatability mindset in a 219 pseudorandomized order, with four consecutive participants being attributed to the same mindset. In 220 an initial instruction slide, participants in the health mindset group were instructed to concentrate on 221 the health aspects of the foods (e.g., the consequences of eating the food for their own health, risk for 222 obesity, diabetes or coronary heart disease) whereas those in the palatability group were instructed 223 to rate the palatability of each of the foods (e.g., how pleasant it would be to eat the food right now). 224 At the extreme ends of the VAS scale two neutral unfamiliar logos were inserted to induce an 225 association between the logos and healthy and unhealthy (or palatable and not palatable). These logos 226 were based on a pilot study with eighteen self-created logos which were rated for valence and any 227 previous associations on a 1-9 Likert scale (n=27). The logo pair with the best match (medium valence

of 5.29 ± 1.37 SD for logo 1 and 5.42 ± 1.38 SD for logo 2 and low previous associations of 2.83 ± 2.61
SD for logo 1 and 2.83 ± 2.67 SD for logo 2; each t-test not significant) was chosen for the experiment.
In the final test phase, these logos were then used as triggers for the mindset extremes
(healthy/palatable vs. unhealthy/not palatable). The assignment of the logos to the positive/negative
extremes of the scale was counterbalanced between participants.

#### 233 2.3.7 Mindset induction test

Following the mindset induction, the participants performed the second choice test (the mindset induction test) to establish whether the mindset induction influenced responding for zucchini versus chips, in the presence versus absence of food-context stimuli (i.e., cued versus non-cued trials). This phase was identical to the baseline test phase.

# 238 2.3.8 Mindset trigger test

239 The final choice test phase (mindset trigger test) was also similar to the baseline test, but this time the 240 mindset triggers (logo associated with healthy/palatable and logo associated with unhealthy/not 241 palatable) were added. Thus, we tested whether the logos, first introduced at the extreme ends of the 242 VAS during the mindset induction, could function as triggers for healthy/palatable and unhealthy/not 243 palatable choices. Participants were informed that they would see background patterns and logos 244 during this phase, but that they should ignore them and focus on the purple box indicating that they 245 had three seconds to respond. During the mindset trigger test each of the four context stimuli were 246 used (as in the baseline test) but now one of the two triggers were placed in the middle of the purple 247 box. This test phase therefore contained twice as many trials as the previous test phases (i.e., 248 participants completed five blocks of sixteen trials; each of the four contexts was used twice in 249 combination with each trigger, totaling 80 trials).

250 2.3.9 VAS 3

Afterwards, participants filled in the third VAS (*VAS 3*) asking for hunger, thirst and appetite. The participants were also asked to rate chips and zucchini for healthiness and palatability.

## 253 2.3.10 Snack and mindset ratings

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Afterwards, they completed a snack choice rating as to whether they would rather have a few pieces of chips or a few pieces of zucchini to eat right away. In the healthiness rating of zucchini as well as the choice rating between chips and zucchini, only 66 of 120 participants of the second cohort completed the rating due to technical problems (which was only discovered after several testings). Since there were 33 participants in each mindset, the samples were still balanced, and so we decided to include these results.

Finally, participants rated two questions on a VAS questionnaire (paper and pencil form) about the general mindset (in detail, (i) what tends to drive their daily food choices on a scale from 'palatability' to 'health aspects' and (ii) how they would describe their general eating behavior from 'what I want' to 'totally controlled').

After a further mindset induction according to the previously induced mindset in the two groups, participants rated another set of 20 high-calorie and 20 low-calorie food items for current wanting and general liking on a VAS ("not at all" to "very much"). With these measures, we aimed to test, whether possible differences in ratings of chips and zucchini between the cohorts can be generalized to the broader category of high-calorie and low-calorie food. The mindset induction was repeated beforehand in order to refresh a possible mindset effect for these measures.

After this protocol, participants were asked how high they rated the probability to receive either snack in the presence of each Pavlovian context stimuli and mindset trigger during the choices tests (VAS from "0%" to "100%"). Here, we aimed to examine the ability to explicitly report S-O relationships induced by the Pavlovian training phase (Pavlovian context stimuli) and during the mindset induction (triggers).

All described tests were identical in both cohorts. For the purpose of optimizing the experimental setting for the second cohort, two subtests used in the first cohort were not repeated in the second since they were nation specific or did not prove meaningful. These tests are not reported here but are briefly described in the supplementary material. Subtests were only excluded in case they were scheduled after the main experiment and did thus not tamper the replicability of the study.

#### 281 Figure 1: Task procedure



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283 Note. Task design: VAS 1: Assessing subjective hunger, thirst and appetite 1. Instrumental training: Participants 284 learned the relationship between two keys on a keyboard and the snack (chips and zucchini) outcomes. 2. 285 Pavlovian training: Participants learned about the association of the three black-and-white shaped background 286 patterns with three outcomes (chips, zucchini, and 'nothing'). VAS 2: Assessing hunger and thirst. 3. Baseline 287 choice test: Participants were instructed to try to win chips and/or zucchini. A purple box (indicating the window 288 to respond for 3 seconds) was presented in the presence of four different context conditions, i.e. upon a black 289 background or upon the Pavlovian context stimulus associated with 'nothing' (non-cued behavior) as well as upon 290 the Pavlovian food-context stimuli associated with chips or zucchini (to assess cued behavior). Participants were 291 instructed to ignore the background (context stimuli). 4. Mindset induction: Forty food pictures were rated for 292 palatability (palatability mindset induction for half of the group) or for healthiness (health mindset induction for 293 the other half). Two hitherto unfamiliar logos were placed at the extreme ends of the VAS scale. These logos were 294 thus associated with unhealthy/not palatable and healthy/palatable, respectively, and used as triggers in the last

test phase. 5. Mindset induction test: Identical to the baseline test, examining the effect of the mindset manipulation on cued and non-cued responding for snack rewards. 6. Mindset trigger test: Identical to previous test phases only now the triggers were also presented. VAS 3: Assessing hunger, thirst and appetite, individual aims to earn specific snacks or snacks in general as well as health and palatability ratings of the snacks. Furthermore, participants were asked whether they would prefer a few pieces of chips or zucchini straight away.

301 2.4 Analyses

Statistical analyses were performed using SPSS 24 (IBM®SPSS®, Armonk, NY, USA). Analyses are based
 on the previously described hypothesis.

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# 305 **2.4.1 Analyses of the three choice tests.**

306 The dependent variable for each analysis of the choice tests was the percentage of chips presses. The 307 following analyses were performed identically for each of the cohorts. To first determine the overall 308 outcome-specific PIT effect (effect of context), we aggregated all three choice tests (baseline test, 309 mindset test and mindset trigger test) and calculated the mean percentage of chips presses for each 310 of the four context conditions (Pavlovian context stimulus for chips, zucchini, 'nothing' or black 311 background, figure 2 A). We then conducted a repeated measures ANOVA with the factor 'context' (4 312 level). We commenced with this analysis as a proof of principle that the task worked and that we were 313 able to manipulate choice preference using Pavlovian context stimuli. Furthermore, we tested the 314 strength of the outcome-specific PIT effect for each snack by calculating an outcome-specific response 315 priming effect for either snack. For that, we used presses for chips in trials with the Pavlovian context 316 stimulus associated with chips minus the mean presses for the two non-cued conditions (presses in 317 the presence of the Pavlovian context stimulus for 'nothing' and during the black background). The 318 outcome-specific response priming effect for zucchini was calculated accordingly (presses for zucchini 319 in trials with the Pavlovian context stimulus associated with zucchini minus mean presses for the two 320 non-cued conditions.

Based on the study of Watson et al. (2014), we additionally calculated the *mean priming strength* for each test phase. Here, we used percent presses for the signaled reward above the percent presses of the non-cued trials in order to evaluate whether the mindset induction and/or the inclusion of the triggers alter the influence of the four different context conditions on outcome-specific pressing behavior for the snacks over the course of the experiment.

To analyze the *mindset effect* on non-cued and cued choices, we used a linear mixed model design with the repeated factor 'test type' (baseline test, mindset induction test), the between factor 'mindset group' (palatability, health) and between factor 'context' (chips, zucchini, 'nothing', black background, figure 2 A, B).

330 To investigate the *effect of the mindset triggers*, a second linear mixed model design was used with

331 the repeated factor 'test type' (baseline test, mindset induction test trigger health/palatable, mindset

induction test trigger unhealthy/not palatable), the between factor 'mindset group' and between

factor 'context' (figure 2 A, B). Results were significant with p<0.05. In case of significant interaction

effects, Bonferroni corrected post hoc tests are reported.

335 Since only the German cohort was balanced for sex, exploratory analyses were performed for this

cohort to investigate sex differences using an additional between factor 'sex'.

337 The highest-order interactions involving the factors of interest are always reported.

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#### 340 Figure 2: Schematics of factors and stimuli

342 Note. A: Schematic view of the factors for the Pavlovian-to-Instrumental Transfer (PIT) task: the factor 'context'

is operationalized by four backgrounds associated with four different outcomes, reflecting cued and non-cued

- trials. B: Study design depicting the different phases of the experiments and the factors used in the analyses.
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# 346 **2.4.2 Comparison of the two cohorts.**

347 To determine differences between the cohorts, we calculated t-tests for independent samples for 348 variables age, BMI, (Prasad & Mishra, 2019)current wanting of high-calorie food, current wanting of 349 low-calorie food, general liking of high-calorie food, and general liking of low-calorie food, general 350 mindset as well as aims to gain chips, zucchini or snacks in general and the snack choice rating (i.e. 351 whether they preferred to receive a piece of chips or zucchini right now) and the contingency 352 knowledge about the R-O and the S-O association, asked for at the end of the experiment. Two ANOVAs 353 were used to compare the snack ratings with the between factor 'cohort' and the within factor 'snack' 354 (chips and zucchini) for the health and the palatability rating separately. Furthermore an ANOVA for 355 percent chips presses was used with the between factor 'cohort' and the within factors 'context' and 356 'test type'. Three further ANOVAs were calculated to investigate differences in hunger, thirst and 357 appetite over the experiment between the cohorts. Results were considered significant with p<0.05. 358 In case of significant interaction effects, Bonferroni corrected post hoc tests were performed.

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### 360 **2.4.3 Exploring the role of explicit expectations.**

In order to explore whether explicit S-O expectations were related to the magnitude of outcomespecific response priming (PIT) effects (i.e. presses for chips in the presence of the Pavlovian context stimulus associated with chips vs. the presses for zucchini in the presence of the Pavlovian context stimulus associated with zucchini; both variables minus the mean presses for the two non-cued conditions), we performed correlation analyses for the response priming effect for either snack reward, with the strength of the expectations to receive either snack in the presence of the associated Pavlovian context stimulus. Further correlation analyses were performed with the subjective

- expectation to receive either snack in the presence of the two triggers. These analyses were performed
   for mindset groups separately. Bonferroni corrected p-values are reported.
- 370

371 **3. Results** 

### 372 3.1 Overall PIT effect

Averaged across the three consecutive tests, both cohorts showed the expected outcome-specific *PIT effect* with a response bias for the signaled snack (cohort 1:  $F_{(2,173)}=33.6$ , p<0.001, cohort 2:  $F_{(2,208)}=30.1$ , p<0.001). As can be seen in figure 3 (see also figure 2A for schematics), participants made more presses for chips in the presence of the context stimulus associated with chips than on all other trials, and less presses for chips in trials with the zucchini context than on all other trials. This outcome-specific PIT was observed in all of the analyses below represented in the significant factor 'context'.

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### 380 Figure 3: PIT effect



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Note. Mean outcome-specific PIT effect across all choice tests (i.e. shown are the means of % percent chips presses
 for each context condition across the baseline test, the mindset induction test, and the mindset trigger test). Bar
 plots represent % chips presses ± SEM.\* p<0.05</li>

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For purposes of a comparison of PIT magnitude between the chips and zucchini cues, the *outcomespecific response priming effect* for each snack was calculated (i.e. comparison of presses for chips during the context stimulus associated with chips vs. presses for zucchini during the context stimulus

associated with zucchini; both variables minus the mean value of the two non-cued conditions). These analyses showed no significant differences between the two snacks for both cohorts (cohorts 1:  $t_{(101)}=0.5$ , p=0.59, cohort 2:  $t_{(119)}=1.0$ , p=0.3), suggesting that the magnitude of the response priming was not influenced by type of snack.

393 **3.2 Mindset effect** 

394 The following analysis was conducted to investigate to what extent food choice behavior was 395 influenced by mindset, and whether this was still the case in the presence of food-context stimuli. The 396 mindset effect was tested by analyzing the first two choice tests (baseline test vs. mindset induction 397 test). In the first cohort, the expected interaction between 'test type' and 'mindset group' was 398 significant ( $F_{(1,400)}$ =9.1, p=0.003). Post hoc test showed that there was no significant difference in 399 percent chips presses in the baseline test ( $t_{(100)}$ =0.3, p=0.77), but after the mindset induction the 400 percentage of chips presses was higher in the palatability mindset group than in the health mindset 401 group ( $t_{(100)}$ =2.2, p=0.027, not Bonferroni corrected). Furthermore, the palatability mindset group 402 showed a significant increase in responding for chips, (yet also not Bonferroni corrected with  $t_{(100)}=2.1$ , 403 p=0.043) which was also significantly different in comparison to the decrease in the health mindset 404 group ( $t_{(100)}$ =2.5, p=0.014) (figure 2B, figure 4). Furthermore, the 'context' effect was also significant 405 (F<sub>(3,400)</sub>=22.0, p<0.001), reflecting the influence of contextual stimuli on food choices (i.e., outcome-406 specific PIT) as described above. However, there was no significant 3-way interaction ('test type' x 407 'mindset group' x 'context':  $F_{(3,400)}$ =1.8, p=0.15; for detailed depiction of the non-significant 3-way 408 interaction effects for each Pavlovian context, see supplementary material, figure S1).

In the second German cohort, the 'test type' x 'mindset group' interaction effect could not be replicated ( $F_{(1,472)}$ <0.001, p=0.991, figure 4). The outcome-specific PIT effect (factor 'context') was significant ( $F_{(3,472)}$ =23.7, p<0.001), and again the 3-way interaction was not significant ( $F_{(3,472)}$ =0.9, p=0.4).

413

### 414 Figure 4: Mindset effect



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416 Note. Effect of mindset induction in both cohorts in a comparison between the baseline test and the mindset 417 induction test. For the purpose of illustration, baseline-corrected data are depicted. Thus, bar plots represent 418 change in % chips presses ± SEM (more precisely: mean percent chips presses collapsed across all 4 context 419 conditions during the mindset induction test minus mean percent chips presses collapsed across all 4 context 420 conditions during the baseline test). The first cohort showed increased presses for chips in the palatability 421 condition, an effect that was not observed in the second cohort. \*\*p<0.02 based on post hoc tests in the event of 422 a significant interaction effect, \*p<0.05 based on post hoc tests in the event of a significant interaction effect (not 423 Bonferroni corrected significant).

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#### 425 **3.3 Trigger effect**

426 The effect of the mindset triggers was examined by comparing 3 'test types': baseline test, mindset 427 induction test trigger health/palatable, mindset induction test trigger unhealthy/not palatable. As 428 expected, we found significant 'test type' x 'mindset group' interaction effects, and this time in both 429 cohorts (test type x mindset group: cohort 1:  $F_{(2,795)}$ =53.6, p<0.001; cohort 2:  $F_{(2,937)}$ =35.8, p<0.001). As 430 depicted in figure 5, these effects were mainly driven by the triggers in the health mindset groups. Post 431 hoc tests revealed that participants in the health mindset group in both cohorts decreased presses for 432 chips in the presence of the healthy trigger and increased presses for chips in the presence of the unhealthy trigger (cohort 1:  $t_{(51)}$ =4.39, p<0.001; cohort 2:  $t_{(59)}$ =3.97, p<0.001). For the triggers in the 433 434 palatability mindset groups, the effects were less pronounced; for participants in cohort 1 the palatable 435 trigger significantly increased chips presses relative to the not-palatable trigger ( $t_{(49)}$ =2.11, p<0.04) but

436 not relative to the baseline test (ts<1.8, ps>0.05). For those in the palatability mindset in cohort 2, there were no significant differences in responding for chips as a function of test type (ts<1.2, ps>0.05). 437 In both cohorts, this analysis additionally yielded a significant effect of 'context' (cohort 1:  $F_{(3,402)}$ =14.5, 438 p<0.001; cohort 2: F<sub>(3,477)</sub>=25.2, p<0.001) reflecting that participants pushed more often for the 439 440 signaled reward (outcome-specific PIT effect). Importantly, however, the influence of the mindset 441 triggers on food choices was not altered overall by the presence of the food-context stimuli, as there was no significant three-way interaction in either cohort (3-way interaction: cohort 1:  $F_{(6.795)}=1.0$ , 442 443 p=0.40; cohort 2:  $F_{(6,937)}$ =0.8, p=0.60; for detailed depiction of the non-significant 3-way interaction 444 effects for each Pavlovian context see supplementary material, figure 2B, figure S2).

445

# 446 **Figure 5: Trigger effect**



448 Note. During the mindset trigger test, the mindset triggers associated with the extremes of the healthiness or 449 palatability scales biased responding in both the 'health' and 'palatability' mindset groups. In the health mindset 450 group, the healthy trigger significantly reduced percent chips presses and the unhealthy trigger increased chips 451 responding, relative to the first choice test (baseline test) in both cohorts. Also, the direct comparison of the two 452 triggers within the health mindset group revealed significantly different % changes of chips presses according to 453 the healthy vs. unhealthy trigger for both cohorts. For the palatability mindset group only the direct comparison 454 between the two triggers reached significance in the first (not in the second) cohort, i.e. the palatable trigger 455 significantly increased chips presses relative to the not palatable trigger. For the purpose of illustration, baseline-

456 corrected data are depicted, i.e. values are standardized on the first choice test (baseline test). Thus, bar plots 457 represent change in % chips presses  $\pm$  SEM. \*\*p  $\leq$ 0.001 based on post hoc tests in the event of a significant 458 interaction effect. \*p=0.04 based on post hoc tests in the event of a significant interaction effect (not Bonferroni 459 corrected significant)

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# 461 **3.4 Effect of mindset and triggers on the mean priming strength**

The mean priming strength (mean responding for the signaled outcome above non-cued trials) changed over the sessions with no difference between the baseline test and the mindset induction test, but was reduced in the presence of the triggers (mindset trigger test). This effect was significant for the first ( $F_{(2,171)}$ =7.4, p=0.002), but only marginal for the second cohort ( $F_{(2,210)}$ =2.8, p=0.069) (see also figure S2, supplementary material).

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# 468 **3.5 Sex differences**

469 We did not find a sex effect in any of the additional analyses in the second cohort.

470

# 3.6 Comparison between the cohorts (for statistics and values see table S1, supplementary material) 471 472 There are several indications that the first (Dutch) cohort was more strongly motivated by the chips 473 reward than the second (German) cohort. The first cohort reported a significantly higher aim of gaining 474 chips during the task than the second cohort, while no significant differences were observed in the aim 475 to gain zucchini or snacks in general. Furthermore, when asked whether they would like to receive a 476 few pieces of either snack (snack choice rating after VAS 3), the first cohort showed a stronger 477 preference for chips. This was also represented in an overall higher percentage of presses for chips in 478 the first cohort which was independent of the Pavlovian context or the test phase (no significant 2-479 and 3 fold interaction of the factors 'context' and 'test type' with 'cohort'). 480 Both cohorts rated chips as less healthy (cohort 1: $t_{(100)}=31.0$ , p<0.001, cohort 2: $t_{(65)}=38.2$ , p<0.001)

481 and more palatable (cohort1:  $t_{(100)}$ =9.5, p<0.001, cohort 2:  $t_{(119)}$ =5.6, p<0.001) with a much stronger 482 differentiation in the healthiness than in the palatability measure. The snack ratings however also

483 revealed differences between the cohorts. Specifically, the second (German) cohort rated chips as less 484 healthy, while its healthiness rating of zucchini was higher than the rating of the first (Dutch) cohort. 485 Additionally, Dutch participants rated chips as more palatable than the German cohort. No difference 486 in the palatability of zucchini was observed. Also in the current wanting and general liking ratings of 487 several food items, German participants rated low-calorie food as more wanted and more liked. No 488 significant differences were observed for the high-calorie category. Also, no differences were observed 489 with regard to BMI, hunger, thirst and appetite parameters or the general mindset. The German cohort 490 was significantly older than the Dutch cohort, yet only 1.3 years.

When analyzing the contingency knowledge of the R-O association, the first cohort showed 99.5% in the health mindset group and 98.5% in the palatability mindset group. The second cohort showed 100% in both groups. For the S-O contingency knowledge, the health mindset groups had an average contingency knowledge of 88.8% (cohort 1) vs. 81.9% (cohort 2). For the palatability mindset groups the contingency knowledge was 92.7% (cohort 1) vs. 86.7% (cohort 2.). None of these differences reached significance.

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#### 498 **3.7 Cue specific outcome expectations**

The outcome-specific response priming effect for chips correlated positively with the expectation to receive chips in the presence of the Pavlovian context stimulus associated with chips (cohort 1: r=0.22, p=0.024: not Bonferroni corrected; cohort 2: r=0.51, p<0.001). Likewise, the outcome-specific response priming effect for zucchini correlated positively with the expectation to receive zucchini in the presence of the Pavlovian context stimulus associated with zucchini (cohort 1: r=0.24, p=0.016; cohort 2: r=0.48, p<0.001).

505 Finally, we wanted to exclude the possibility that the mindset trigger effects were driven by an 506 expectation to receive a snack congruent with the associated mindset value 507 (healthy/unhealthy/palatable/not palatable). For instance, participants may have thought that a 508 healthy mindset trigger signaled that zucchini was more likely to become available than chips. It appears that this was not the case. Correlation analyses between the S-O expectations during the mindset triggers and responding showed no significant Bonferroni-corrected correlations in either cohort (rs < 0.29, ps  $\ge$  0.05).

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## 513 4. Discussion

514 In two cohorts, we aimed to change food choices by the induction of a health vs. a palatability mindset 515 as well as by the presentation of mindset-associated triggers. We report three main findings. Firstly, 516 the pure mindset induction (baseline vs. mindset induction test) yielded a significant difference for the 517 health vs. palatability mindset group in the Dutch cohort. That is, participants in the health mindset 518 pressed less for chips than those in the palatability mindset. However, this effect was not robust as we 519 failed to replicate it in the (second) German cohort. Secondly, we show that external mindset triggers 520 can be used to powerfully boost the effect of a mindset induction. Particularly health mindset triggers 521 had a potent effect that far exceeded that of the simple mindset induction in both the Dutch and the 522 German cohort. Our third and final main finding concerns the role of context and thus environmental 523 stimuli in food choice behavior. We consistently show across all test phases in both cohorts that 524 Pavlovian context stimuli strongly and robustly shifted food choices according to the associated snack. 525 More importantly, food-context stimuli failed to diminish the effect of mindset and mindset triggers. 526 To summarize, our central finding is that particularly mindset triggers associated with a health mindset 527 exert strong replicable effects on food choices, even in the presence of food-context stimuli, suggesting 528 that these could be applied to support healthier choices in our obesogenic environment. In the 529 remainder of the discussion, we will discuss these different findings and their implications in more 530 detail.

The pure mindset induction affected choice behavior in the Dutch cohort. This difference between the cohorts may be related to nationality. Despite the fact that Germany and the Netherlands are neighboring countries, there are still differences in their food culture and population-wide definition of healthy eating (Margetts, et al., 1997). Additionally, according to the OECD, the obesity

535 rate in the Netherlands is lower than in Germany (12.8% vs. 23.6%) (OECD, 2017). Interestingly, we 536 found several differences between the two cohorts, suggesting that health consciousness in the 537 German cohort is generally stronger. German participants rated chips as less healthy and less palatable 538 and zucchini as healthier than their Dutch counterparts, who showed a stronger interest in receiving 539 chips. This was also represented in the higher percentage of chips presses in the Dutch cohort during 540 the experiment as well as lower wanting and liking of low-calorie foods. Nevertheless, when asked 541 about the general mindset (i.e. what tends to drive their daily food choices in terms of healthiness vs. 542 palatability), there were no significant differences between the cohorts. To conclude, further research 543 is required to uncover the cause for the inconsistency in findings regarding the pure mindset induction. 544 Our second central finding is that the mindset trigger associated with healthiness induced a decrease 545 in percentage of chips presses (leading therefore to an increase in zucchini presses), whereas the 546 trigger for unhealthiness increased chips presses (and reduced zucchini presses), relative to baseline. 547 For both cohorts, this effect was more than three times as strong as that of the pure mindset induction 548 in the Dutch cohort. In contrast, the palatability mindset triggers had a relatively small effect (increase 549 on responding for the palatable chips relative to baseline), which was restricted to the Dutch sample. 550 The smaller effect of the palatability mindset and triggers might partly be related to our finding that 551 participants in both cohorts made a much clearer distinction between the snacks in terms of 552 healthiness compared to palatability. While they rated chips as both less healthy and more palatable, 553 the difference in palatability ratings (for chips versus zucchini) was relatively modest. Our findings are 554 in line with a study showing that variance in portion size selection could be predicted on the basis of healthiness ratings only when participants were in a health (vs. pleasure or fullness) mindset (Hege, et 555 556 al., 2018). Therefore, and in accordance with our results, it seems necessary to make a health mindset 557 salient so as to alter food-associated behavior towards healthier choices.

558 Thirdly, we also replicated the repeatedly shown outcome-specific PIT effect (Cartoni, et al., 559 2016; Holmes, et al., 2010), with the food-context stimuli biasing choice behavior towards the signaled 560 food. Importantly, in the Dutch cohort which did display an overall mindset effect, we also show for

561 the first time that mindset can influence food choice independent of contextual food stimuli. These results suggest that internal mindset can have an additive influence on food choice to external food-562 563 context stimuli. This interpretation is based on the fact that the health/palatability mindset induction 564 produced a general reduction/increase in responding for chips, regardless of the presence of Pavlovian 565 context stimuli in the Dutch cohort. And conversely, external food-context cues (here background 566 patterns) still elicited responding for signaled food rewards (i.e. outcome-specific PIT), despite the 567 induction of the mindset manipulation. This pattern appears similar to what has been observed for the 568 influences of food satiation and external cues (Watson, et al., 2014). To conclude, we speculate that in 569 an obesogenic environment one's current internal mindset - especially when once again triggered -570 can compete with strong contextual influences in determining food choices.

571 Furthermore, the presence of food-associated cues did not diminish the mindset trigger effect. 572 On the other hand, the mean priming strength tended to be reduced in the presence of competing 573 external mindset triggers. Therefore, we experimentally demonstrate that food choice behavior can 574 be influenced by environmental stimuli in different ways: the obesogenic, food-associated 575 environment (operationalized as food-associated backgrounds) has the power to shape such food 576 choices; while health mindset triggers can still have a potent effect on top of that. The health mindset 577 trigger effect highlights the potential of using health triggers to support healthy choices in an 578 obesogenic environment.

This study lends support, therefore, for attempts at influencing consumer behavior through environmental stimuli; for example, by applying easy-to-interpret food labels signaling the health status of food (such as a traffic-light system) (Hawley, et al., 2013). Our results suggest that such attempts might not overrule the effect of an obesogenic environment, but may independently bias choice behavior towards healthy food choices.

Of note, in our adult cohorts, the strength of the PIT effect was not significantly different between the snacks. In a previous PIT study investigating (Dutch) adolescent participants, the PIT effect for high-calorie rewards was stronger than that for low-calorie rewards (Watson, et al., 2016). This

587 might suggest that adolescents, but not adults, are more sensitive to contextual cues associated with 588 high-calorie nutritional rewards as opposed to low-calorie foods (i.e. vegetables). Within the PIT 589 literature there is an ongoing discussion about the role of explicit beliefs versus a rather associative 590 character of the response (Mahlberg, et al., 2019; Seabrooke, Hogarth, & Mitchell, 2016; Seabrooke, 591 Wills, Hogarth, & Mitchell, 2019; Watson, et al., 2018). In our study, the expectation about the 592 probability to receive a specific snack outcome correlated with the degree of responding for the 593 outcome signaled by the Pavlovian context stimuli, even though the participants were instructed to 594 ignore these stimuli. However, such a correlation does not necessarily mean that explicit knowledge 595 was the driving force behind the PIT effect. More importantly, the mindset trigger effect was not based 596 on explicit expectations of the availability of a healthy or unhealthy snack in the presence of the trigger 597 for healthiness or unhealthiness. This makes the observed trigger effects even more convincing.

598 In addition to the strengths of the present study, such as the sample size, the replication design 599 and the strong reproducible effects of the triggers, the limitations should also be mentioned. We did 600 not include a mindset manipulation check since we wanted to avoid drawing attention to the purpose 601 of the manipulation. In retrospect, it would have been useful to include this, especially in the second 602 cohort that failed to show a mindset effect on food choice behavior. It remains unclear why precisely 603 the mindset effect could not be replicated in the German cohort. A way to improve the overall 604 interpretability of the mindset manipulation would be to include a 'no mindset' group, to allow 605 comparison of the effectiveness of inducing a health versus palatability mindset. Another limitation is 606 that we included mostly healthy-weight participants and it remains possible that individuals with 607 obesity and/or an eating disorder show different cue-dependent behavior that is more or less likely to 608 be influenced by mindset induction or mindset triggers, depending on the context of the mindset. For 609 example, Veit et al. (2019) showed that individuals with overweight showed stronger responding to a 610 pleasure mindset than normal-weight participants. There are also lines of evidence showing BMI-611 dependent differences in the sensitivity to food cues. For example, obese adults showed higher 612 response priming rates for palatable, high-calorie foods than for low-calorie foods in a PIT task, an

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effect which was not observed in healthy-weight individuals (Watson et al., (2017); but see Meemken & Horstmann (2019), who did not replicate this pattern of results. Using an outcome devaluation paradigm, Horstmann et al. (2015) showed reduced behavioral sensitivity to satiation in obese individuals, which could further prompt overeating. Furthermore, as is invariably the case with highly standardized laboratory experiments, caution is warranted in extrapolating the results to real-life settings. In the current study we used novel logos as mindset triggers (and abstract patterns as Pavlovian cues) to show that mindset (trigger) effects persist in a food-associated environment on food seeking. While our experimental allows us to control the associative learning process within the

experiment, we should be cautious with generalizing our findings to real-life food seeking. Therefore, further investigation of these processes in realistic, ecologically valid environments is warranted. It remains a question as to how familiarity of logos/cues would modulate these results and the importance of conscious perception when processing external cues (see e.g., (Gaillet, Sulmont-Rosse, Issanchou, Chabanet, & Chambaron, 2013; Prasad & Mishra, 2019)).

**Conclusion:** In support of the notion that our obesogenic environment contributes to a gap between intentions and behavior, we show that external food-context stimuli strongly bias food choice behavior also after a mindset induction and even in the presence of mindset induced triggers. Furthermore, we show that external mindset triggers can be used to strongly bias food choice behavior towards a healthy snack (in both cohorts). Our study therefore emphasizes the possible benefit of populationwide food labeling initiatives such as a traffic light labeling of food products that is currently under discussion.

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# Author contributions

SFP, SdW, HP developed the study design; AV, PW contributed to the study design and programmed the experiment; Testing and data collection was performed by SFP and SS; SFP performed data analyses; all authors contributed to results interpretation; SFP drafted the initial manuscript; all authors provided critical revisions; all authors approved the final version of the manuscript for submission.

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