

## ORIGINAL ARTICLE

## Allergen-Specific Immunotherapy and Biologics

# Immunological effects of adjuvanted low-dose allergoid allergen-specific immunotherapy in experimental murine house dust mite allergy

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## Abstract

**Background:** Native allergen extracts or chemically modified allergoids are routinely used to induce allergen tolerance in allergen-specific immunotherapy (AIT), although mechanistic side-by-side studies are rare. It is paramount to balance optimal dose and allergenicity to achieve efficacy warranting safety. AIT safety and efficacy could be addressed by allergen dose reduction and/or use of allergoids and immunostimulatory adjuvants, respectively. In this study, immunological effects of experimental house dust mite (HDM) AIT were investigated applying high-dose HDM extract and low-dose HDM allergoids with and without the adjuvants microcrystalline tyrosine (MCT) and monophosphoryl lipid A (MPL) in a murine model of HDM allergy.

**Methods:** Cellular, humoral, and clinical effects of the different AIT strategies were assessed applying a new experimental AIT model of murine allergic asthma based on physiological, adjuvant-free intranasal sensitization followed by subcutaneous AIT.

**Results:** While low-dose allergoid and high-dose extract AIT demonstrated comparable potency to suppress allergic airway inflammation and Th2-type cytokine secretion of lung-resident lymphocytes and draining lymph node cells, low-dose allergoid AIT was less effective in inducing a potentially protective IgG1 response. Combining

**Abbreviations:** AIT, allergen-specific immunotherapy; Alum, aluminum hydroxide formulation; BAL, bronchoalveolar lavage; Der f, *Dermatophagoides farinae*; Der p, *Dermatophagoides pteronyssinus*; FoxP3, Forkhead box P3; GATA3, GATA-binding protein 3; HD-extract-AIT, high-dose HDM extract AIT; HDM, house dust mite; i.n., intranasal; i.p., intraperitoneal; LD-allergoid-AIT, low-dose HDM allergoid AIT; LPS, lipopolysaccharide; MCT, microcrystalline tyrosine; MPL, monophosphoryl lipid A; s.c., subcutaneous; slgE, specific immunoglobulin E; slgG, specific immunoglobulin G; ST2, suppression of tumorigenicity 2; tIgE, total immunoglobulin E; tIgG, total immunoglobulin G; TLR, Toll-like receptor; Treg, regulatory T cell.

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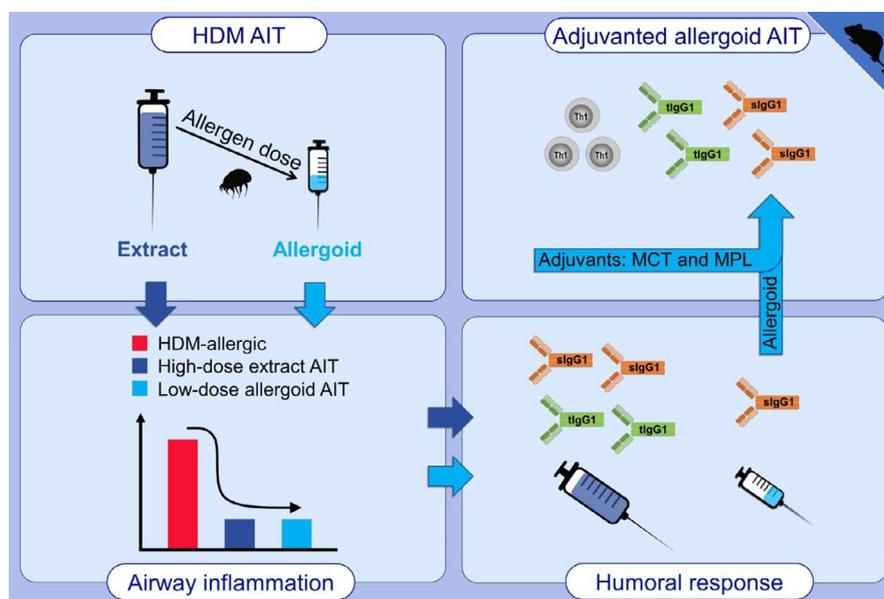
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low-dose allergoid AIT with MCT or MCT and dose-adjusted MPL promoted Th1-inducing mechanisms and robust B-cell activation counterbalancing the allergic Th2 immune response.

**Conclusion:** Low allergen doses induce cellular and humoral mechanisms counteracting Th2-driven inflammation by using allergoids and dose-adjusted adjuvants. In light of safety and efficacy improvement, future therapeutic approaches may use low-dose allergoid strategies to drive cellular tolerance and adjuvants to modulate humoral responses.

**KEYWORDS**

adjuvant, allergen extract, allergen-specific immunotherapy, allergoid, house dust mite allergy



**GRAPHICAL ABSTRACT**

In experimental HDM AIT, a 220-fold reduced allergen dose is equally effective in control of allergic inflammation when HDM allergoids instead of extracts are applied. Low-dose nonadjuvanted allergoid AIT is less effective in inducing a potentially protective IgG1 response. Combining low-dose allergoid AIT with the adjuvant MCT or the adjuvant system MCT + MPL promotes Th1-inducing mechanisms and robust B-cell activation.

**1 | INTRODUCTION**

Allergen-specific immunotherapy (AIT) can restore allergen tolerance and has been introduced over 100 years ago using heat-denatured allergens.<sup>1</sup> Until today, modified allergens (allergoids) with destroyed conformational IgE epitopes, for example, by chemical modification, are well-accepted standard in clinical use. These modifications reduce side effects and, therefore, enhance the utility and safety of AIT.<sup>2-4</sup> However, the tolerogenic potency of allergoids compared to natural allergen extracts is controversially discussed.<sup>5-8</sup>

Mechanisms underlying extract and allergoid immunotherapies have not been explored side by side. However, both therapeutic strategies induce IgG4, which can compete with IgE-binding sites on allergens.<sup>9-11</sup> IgE cross-linking activates FcεR-expressing cells such

as mast cells and basophils which degranulate and recruit further pro-inflammatory cells like eosinophils and neutrophils. This inflammatory cascade is a hallmark of allergic airway inflammation.<sup>12</sup> IgE production originally depends on B cells that receive help from IL-4-producing Th2 cells, which also decrease after several years of immunotherapy, while IFN- $\gamma$ -producing Th1 cells are increasing. These changes are considered to be important mechanisms of AIT and are probably promoted by regulatory T cells (Tregs).<sup>13</sup>

AIT efficacy may be increased by adjuvants,<sup>14</sup> which are immunomodulatory substances that have the potential to enhance antigen-specific responses both on humoral and on cellular level. Two clinically approved adjuvants, addressed in this study, are microcrystalline tyrosine (MCT), a biocompatible and biodegradable depot adjuvant, and monophosphoryl lipid A (MPL), derived from *Salmonella minnesota* LPS with strongly reduced toxicity. Both

MCT and MPL promote Th1 immune responses and IgG-inducing mechanisms.<sup>15-20</sup>

The current study focuses on house dust mites (HDM) as a major perennial allergen source that is linked to allergic asthma and other allergic diseases.<sup>21</sup> As this allergen source is hard to avoid, the design of safe and effective HDM-specific AIT is of great importance.<sup>21</sup> Therefore, the aim was to provide comparative mechanistic insights into the balance between HDM allergens and allergoids as well as adjuvants in relation to changes of humoral and cellular immune responses. Since common murine AIT models are based on intraperitoneal (i.p.) aluminum hydroxide-(alum)-dependent sensitization,<sup>22</sup> a new experimental AIT model was established. Based on more realistic adjuvant-free intranasal (i.n.) sensitization and subsequent subcutaneous (s.c.) AIT this model should bear advantage of mimicking human allergy in a more physiological way. The effects of high-dose HDM extract AIT and low-dose HDM allergoid AIT with and without adjuvants were subjected to an in-depth immunological analysis and revealed tolerogenic effects of low-dose allergoids combined with dose-adjusted adjuvants.

## 2 | METHODS

### 2.1 | Animals and reagents

Female C57BL/6J mice between 5 and 6 weeks of age (Charles River, Sulzfeld, Germany) were housed under specific pathogen-free conditions. All experiments were carried out under federal guidelines for the use and care of laboratory animals and were approved by the government of the district of upper Bavaria, Germany (ethical approval: 55.2-1-54-2532-50-2017).

*Dermatophagoides pteronyssinus* (Der p) and *Dermatophagoides farinae* (Der f) HDM extract, Der p and Der f allergoids, MCT and MPL were provided by Allergy Therapeutics (Worthing, United Kingdom). Details about all reagents are given in Appendix S1.

### 2.2 | Murine model of HDM AIT

Allergic and AIT-treated mice were i.n.-sensitized with 1 µg (total protein content) HDM extract (1:1, Der p and Der f) in 20 µl PBS on three consecutive days followed by i.n. challenges with 1 µg HDM extract on days 7, 13, and 19 (Figure 1A). Nonallergic mice received 20 µl PBS i.n. AIT was performed with s.c. injections of either 220 µg HDM extract, or 1 µg (total protein content) HDM allergoids (1:1, Der p and Der f), or 1 µg HDM allergoids combined with 2% (v/v) MCT or 1 µg HDM allergoids combined with 2% (v/v) MCT and 50 µg MPL (or with 12.5 µg, 25 µg, and 100 µg MPL in the experiments addressing dose-dependent effects of MPL) in 200 µl PBS on days 14, 17, and 21. Not AIT-treated allergic and nonallergic mice received s.c. injections of 200 µl PBS. The time points for injections were adapted from existing protocols of murine AIT.<sup>22,23</sup> All mice were challenged i.n. with 10 µg HDM extract on days 29, 30, 31, and 32 and euthanized at day 35 for analysis (day 33 for lung function measurement).

## 2.3 | Immunological and clinical analysis of mouse phenotype

Allergic airway inflammation was assessed by measuring total and differential BAL (bronchoalveolar lavage) cell counts, including eosinophils, neutrophils, CD4<sup>+</sup> and CD8<sup>+</sup> T cells, and B2 cells, and by lung histology. Additionally, lung tissue-resident lymphocyte populations were analyzed by FACS. Cytokines released from restimulated lung lymphocytes, cervical lymph node cells, and splenocytes were analyzed by multiplex measurements and total and specific IgE and IgG levels in the serum either by multiplex measurement or by ELISA. Lung function analysis was performed 24 h after last allergen challenge in intubated, mechanically ventilated animals. A detailed description of all methods and statistical analyses is given in the Appendix S1.

## 3 | RESULTS

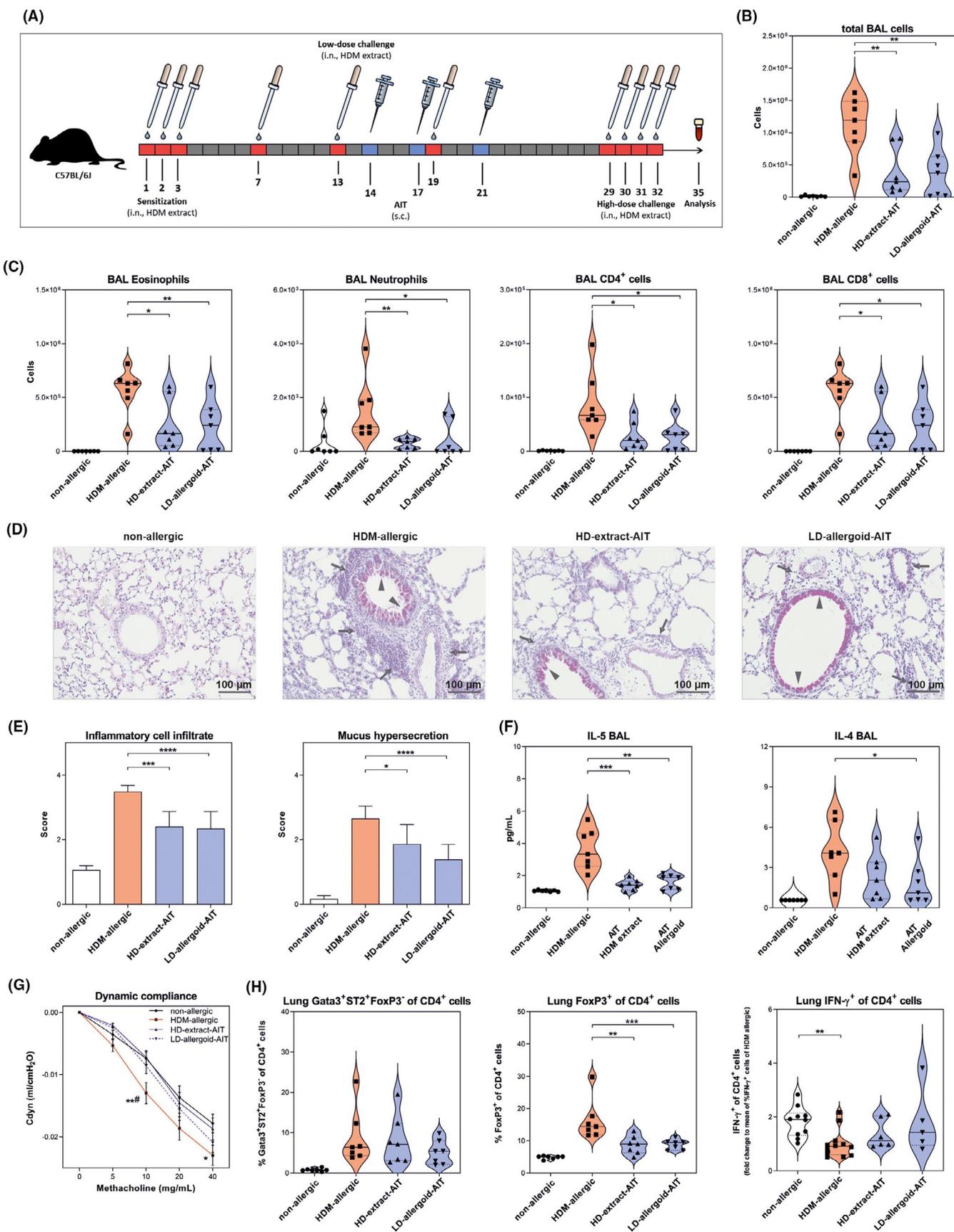
### 3.1 | Effects and mechanisms of high-dose HDM extract and low-dose HDM allergoid AIT in murine allergic asthma

In order to compare immunological and clinical effects of high-dose HDM extract AIT (HD-extract-AIT) and low-dose HDM allergoid AIT (LD-allergoid-AIT) side by side, a new experimental HDM AIT model relying on adjuvant-free i.n. sensitization followed by s.c. AIT (Figure 1A) was established. Additional allergen challenges before and during AIT were introduced to mimic the unavoidable allergen contact of HDM-allergic individuals. Preliminary experiments showed that s.c. AIT with 1 µg HDM extract (Figure S1A) displayed rather pro-inflammatory responses such as increased numbers of total BAL cells, BAL eosinophils, and lung-resident GATA3<sup>+</sup>ST2<sup>+</sup>FoxP3<sup>-</sup> Th2 cells compared with allergic mice (Figure S1B–D). Therefore, the dosage of HDM extract AIT was adapted to a concentration used in other murine studies,<sup>22,24</sup> and the effects of HD-extract-AIT (220 µg extract) and LD-allergoid-AIT (1 µg allergoid) were compared.

Both therapies decreased the numbers of total BAL cells (Figure 1B) as well as BAL eosinophils, neutrophils, T cells (Figure 1C), and B-2 cells (Figure S2A) compared to allergic controls. Histological analyses confirmed these results, as lungs of both therapeutic groups displayed significantly decreased peribronchiolar and perivascular inflammatory infiltration. Also, mucus hypersecretion was significantly decreased in both groups, although more pronounced in the LD-allergoid-AIT group (Figure 1D,E). Additionally, the level of IL-5 was significantly decreased in the BAL of both treatment groups compared with allergic mice, while a significant reduction in IL-4 and TNF-α levels was only found in the LD-allergoid-AIT group (Figure 1F and Figure S2B). Moreover, HD-extract-AIT significantly improved lung dynamic compliance, whereas for LD-allergoid-AIT only a trend toward recovery was observed (Figure 1G). However, the increase of lung-resident GATA3<sup>+</sup>ST2<sup>+</sup>FoxP3<sup>-</sup> Th2 cells found in allergic mice

was neither reverted by HD-extract-AIT nor by LD-allergoid-AIT (Figure 1H). In contrast, the number of FoxP3<sup>+</sup>CD4<sup>+</sup> T cells, which

was elevated in HDM-allergic mice, was reduced by both treatments (Figure 1H). Furthermore, the frequency of IFN- $\gamma$ -positive CD4<sup>+</sup> T



**FIGURE 1** Effects of HD-extract-AIT and LD-allergoid-AIT on experimental HDM-allergic airway inflammation. A, Schematic overview of the experimental HDM AIT murine model based on alum-free i.n. sensitization and s.c. AIT. Pipettes and syringes indicate time points of allergen challenges and AIT injections, respectively. i.n., intranasal; s.c., subcutaneous. B, Total BAL cells ( $n = 7$ ). C, Differential counts of eosinophils, neutrophils, CD4<sup>+</sup> T cells, and CD8<sup>+</sup> T cells from the BAL ( $n = 7$ ). D, Representative lung histology specimen, retrieved 3 days after the last HDM challenge stained with periodic acid-Schiff. Arrows, inflammatory cell infiltrate; arrowheads, mucus hypersecretion. E, Scores of inflammatory cell infiltrate and mucus hypersecretion in lung tissue 3 days after the last HDM challenge ( $n = 6$ ). The scores were analyzed by one-way analysis of variance with Tukey's multiple comparison test. Shown is the mean with SD. F, Levels of IL-5 and IL-4 in the BAL ( $n = 7$ ). G, Measurement of lung dynamic compliance ( $n = 6-12$ ). \* $p < .05$  \*\* $p < .01$  HDM-allergic vs nonallergic; # $p < .05$  HDM-allergic vs AIT HDM extract. Lung function parameters were analyzed by two-way analysis of variance with Tukey's multiple comparison test. Shown is the mean with SEM. H, Analysis of lung-resident lymphocyte populations ( $n = 7$ ) and IFN- $\gamma$ -producing T cells ( $n = 5-12$ ). In all violin plots, solid and dashed bars indicate the median and quartiles, respectively. Gaussian and non-Gaussian distributed results were analyzed by unpaired  $t$  test or Mann-Whitney test, respectively.  $p$ -values of  $\leq .05$ ,  $\leq .01$ ,  $\leq .001$ , and  $\leq .0001$  are shown as \*, \*\*, \*\*\*, and \*\*\*\*, respectively. AIT, allergen-specific immunotherapy; BAL, bronchoalveolar lavage; HDM, house dust mite

cells was significantly decreased in HDM-allergic mice compared with nonallergic mice. A trend toward reversion of this effect could be achieved by both AIT strategies (Figure 1H).

The levels of secreted Th2-type cytokines IL-4, IL-5, IL-9, and IL-13 were significantly increased in the supernatants of *ex vivo* anti-CD3/anti-CD28-restimulated lung lymphocytes from allergic mice (Figure 2A). Overall, all four cytokines were downregulated by HD-extract-AIT (not significant for IL-9) and LD-allergoid-AIT (not significant for IL-13). Moreover, both AIT regimens significantly reduced the elevated IL-10 levels found in HDM-allergic group (Figure 2A). The levels of cytokines identifying Th17 and Th1 activities (IL-17A/F, IL-22, TNF- $\alpha$ , IFN- $\gamma$ ) were unchanged in both treatment groups (Figure S2C). Also, in the supernatants of restimulated cervical lymph node cells, IL-5 and IL-13 levels were significantly reduced in both AIT groups (Figure 2B). HD-extract-AIT induced slightly, but not significantly, higher levels of IL-4 and slightly lower levels of IL-9 compared to allergic and LD-allergoid-AIT-treated mice. Again, IL-10 secretion was lower in both AIT groups (only significant in the LD-allergoid-AIT group; HD-extract AIT group  $p = .053$ ) (Figure 2B). Furthermore, both AIT strategies reduced the levels of IL-17A, IL-17F, IL-22, TNF- $\alpha$ , and IFN- $\gamma$  (IFN- $\gamma$  only significant for LD-allergoid-AIT). Th2-type cytokines were also reduced in the supernatants of restimulated splenocytes following AIT, but its effects were less pronounced. The levels of IL-17A, IL-17F, IL-22, and TNF- $\alpha$  were comparable in all groups of mice (Figure S2D).

HD-extract-AIT and LD-allergoid-AIT had no influence on the elevated total Der p-specific IgE (sIgE) serum levels of HDM-allergic mice, whereas total IgE (tIgE) levels were significantly lower in LD-allergoid-AIT compared with HD-extract-AIT-treated mice (Figure 3A). While HD-extract-AIT induced high levels of total IgG1 (tIgG1), LD-allergoid-AIT failed to induce tIgG1. In contrast, both therapies led to an induction of Der p-specific IgG1 (sIgG1) levels, although changes were only significant in the HD-extract-AIT group (Figure 3B). In addition, Der f-sIgE and Der f-sIgG1 antibodies were detectable in a comparable manner (Figure S3). Moreover, total IgG2c levels were slightly decreased in both AIT groups and total IgG2b levels in the LD-allergoid-AIT group while total IgG3 levels were not affected (Figure S2E).

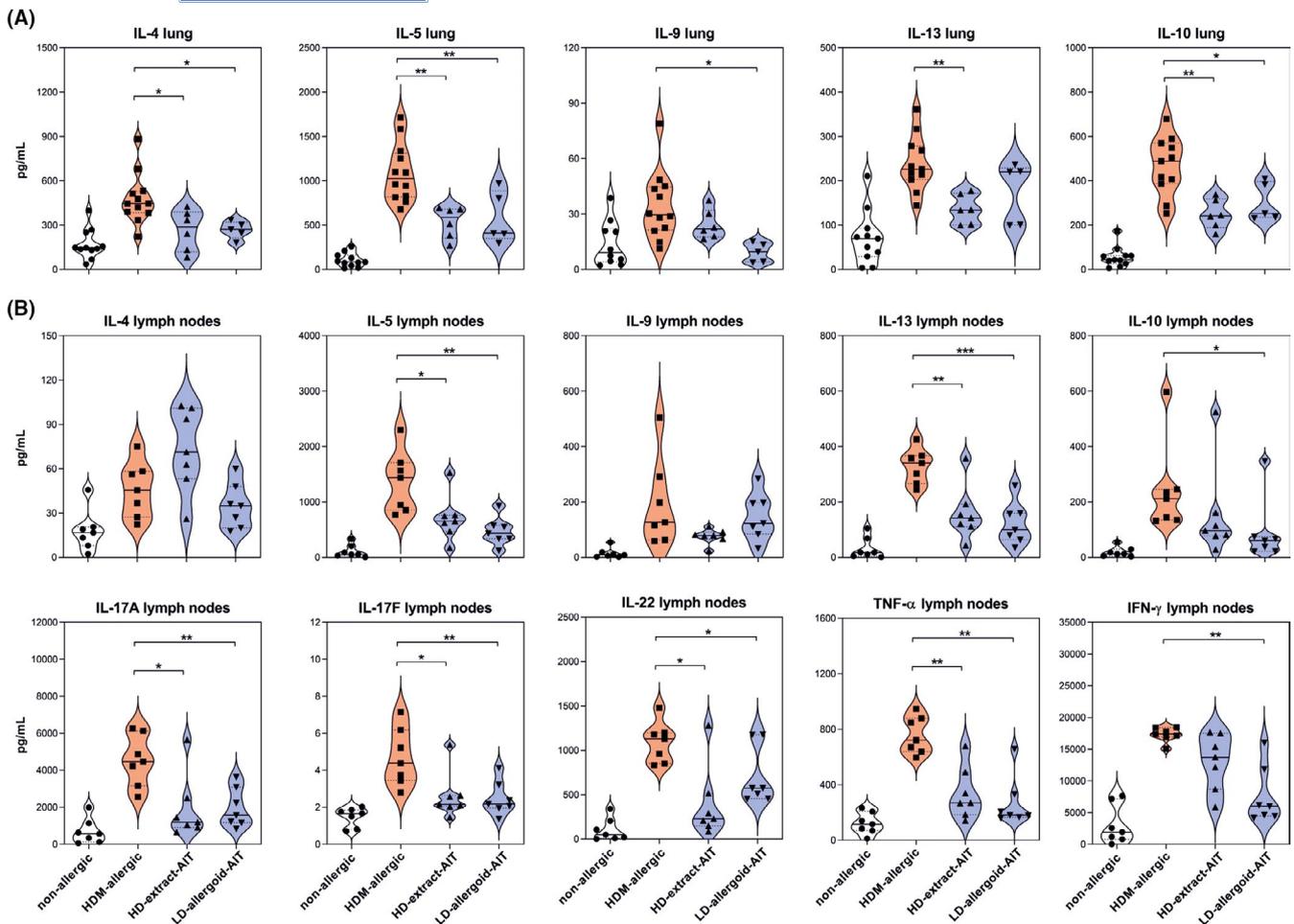
### 3.2 | Effects of MCT and MPL on experimental low-dose HDM allergoid AIT

To examine the effects of the adjuvants MCT and MPL on LD-allergoid-AIT, mice received AIT with HDM allergoid alone, HDM allergoid combined with MCT (HDM allergoid + MCT), or HDM allergoid combined with MCT and 50  $\mu$ g MPL (HDM allergoid + MCT + MPL).

All three AIT regimens had comparable effects on the reduction of total BAL cells (Figure 4A), and BAL eosinophils, neutrophils, CD4<sup>+</sup> and CD8<sup>+</sup> T cells, and B2 cells (Figure 4A and Figure S4A). Additionally, all three treatment strategies comparably reduced peribronchiolar and perivascular inflammatory infiltration and mucus hypersecretion (Figure 4B and Figure S4B). While IL-5 levels in the BAL were highly reduced in all treatment groups compared to allergic mice, the levels of IL-4 and TNF- $\alpha$  were only significantly reduced in mice treated with allergoid alone or with the combination of allergoid + MCT + MPL (Figure S4C). All AIT groups showed improved lung dynamic compliance compared with HDM-allergic mice, although a significant effect was achieved only in the HDM allergoid + MCT + MPL group (Figure 4C).

While LD-allergoid-AIT alone had only a minor effect on the number of lung-resident GATA3<sup>+</sup>ST2<sup>+</sup>FoxP3<sup>-</sup> Th2 cells, these cells were significantly reduced in mice treated with allergoid + MCT or allergoid + MCT + MPL (Figure 4D). The addition of MPL to the formulation showed no additional effect to the one of MCT. Lung-resident FoxP3<sup>+</sup>CD4<sup>+</sup> T cells were equally reduced in all treatment groups (Figure 4D). While not effectively restored by HD-extract-AIT or LD-allergoid-AIT alone (Figure 1H), the addition of MCT and MCT + MPL to the LD-allergoid-AIT formulation gradually increased the frequency of IFN- $\gamma$ -positive lung CD4<sup>+</sup> T cells compared to HDM-allergic mice (Figure 4D).

Total IgE and Der p-sIgE levels were not affected by any of the treatment regimens (Figure 4E). While LD-allergoid-AIT alone was not able to induce a significant tIgG1 response, the addition of MCT as well as of MCT + MPL to the formulation iteratively increased tIgG1 levels significantly. Although Der p-sIgG1 levels were elevated in all three treatment groups, changes were only significant in the AIT allergoid + MCT and AIT allergoid + MCT + MPL groups. Here, no additional effect of MPL was visible (Figure 4E). While total



**FIGURE 2** Effects of HD-extract-AIT and LD-allergoid-AIT on cytokine release from lung-resident lymphocytes and lymph node cells in experimental HDM allergy. A, Cytokine release from lung-resident lymphocytes after anti-CD3/anti-CD28-restimulation *in vitro* ( $n = 5\text{--}12$ ). B, Analysis of cytokine levels in culture supernatants of cervical lymph node cells after anti-CD3/anti-CD28-restimulation *in vitro* ( $n = 7$ ). Solid and dashed bars indicate the median and quartiles, respectively. Gaussian and non-Gaussian distributed results were analyzed by unpaired *t* test or Mann–Whitney test, respectively. *p*-values of  $\leq 0.05$ ,  $\leq 0.01$ , and  $\leq 0.001$  are shown as \*, \*\*, and \*\*\*, respectively. AIT, allergen-specific immunotherapy; HDM, house dust mite

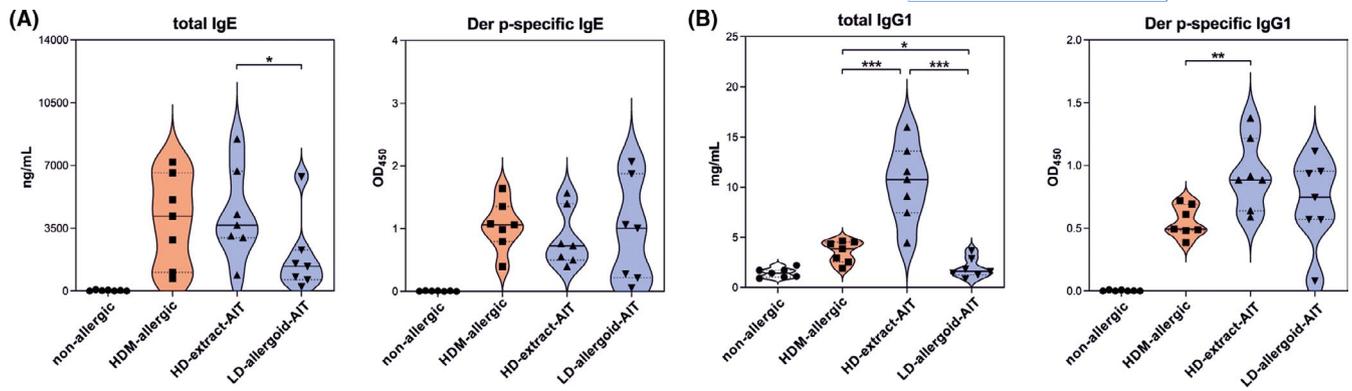
IgG2b levels were gradually increased by MCT and MCT + MPL, total IgG2c levels were comparable in all three treatment groups and lower compared to allergic controls (Figure S4D). Analysis of tIgG3 antibodies revealed a significant induction by the addition of MPL to the formulation (Figure 4E).

All three AIT strategies significantly decreased *ex vivo* secretion of IL-4, IL-5, and IL-10 of anti-CD3/anti-CD28-restimulated lung lymphocytes. Significant reduction of IL-13 secretion was only achieved by adding MCT or MCT + MPL to the formulation. While AIT with HDM allergoid and HDM allergoid + MCT also significantly reduced IL-9 secretion, this effect was reversed by the addition of MPL to the formulation (Figure 4F). Compared to allergic mice, the level of IL-13 in supernatants of restimulated lymph node cells was significantly reduced in all treatment groups. IL-5 levels were significantly lower in the allergoid alone and allergoid + MCT groups and IL-9 levels in the allergoid + MCT group. IL-10 levels were lower in all treatment groups (only significant in the allergoid alone group) while IL-4 levels were unchanged compared to allergic controls.

All treatment regimens had comparable effects on the reduction of secreted IL-17A, IL-17F, IL-22, and TNF- $\alpha$  from lymph node cells (Figure 4G), while these cytokines were detectable at equal levels in supernatants of restimulated lung lymphocytes and splenocytes from all groups of mice (Figure S4E,F). The reduced IFN- $\gamma$  production, observed in LD-allergoid-AIT—compared to HD-extract-AIT-treated mice (Figure 2B), was reverted by the addition of MCT to the AIT formulation with no additional effect of MPL (Figure 4G). Again, the effects of all AIT strategies on Th2-type cytokine secretion from restimulated splenocytes were less pronounced (Figure S4F).

### 3.3 | Dose-dependent effects of MPL on experimental low-dose HDM allergoid AIT

As not only the allergen, but also the adjuvant dose may have crucial influence on the anti-inflammatory capacity of AIT formulations, the effects of MPL dosage on AIT outcome were addressed. Mice



**FIGURE 3** Effects of HD-extract-AIT and LD-allergoid-AIT on the humoral immune response in experimental HDM allergy. A, Measurement of total IgE and Der p-specific IgE levels in serum samples at endpoint ( $n = 7$ ). B, Measurement of total IgG1 and Der p-specific IgG1 levels in serum samples at endpoint ( $n = 7$ ). Solid and dashed bars indicate the median and quartiles, respectively. Gaussian and non-Gaussian distributed results were analyzed by unpaired  $t$  test or Mann-Whitney test, respectively.  $p$ -values of  $\leq 0.05$ ,  $\leq 0.01$ , and  $\leq 0.001$  are shown as \*, \*\*, and \*\*\*, respectively. AIT, allergen-specific immunotherapy; Der p, dermatophagoides pteronyssinus; HDM, house dust mite

received LD-allergoid-AIT + MCT combined with 12.5  $\mu\text{g}$ , 25  $\mu\text{g}$ , 50  $\mu\text{g}$ , or 100  $\mu\text{g}$  MPL per AIT dose. AIT with 12.5  $\mu\text{g}$ , 25  $\mu\text{g}$ , and 50  $\mu\text{g}$  MPL resulted in a significant decrease of total BAL cells, although less pronounced in mice treated with 12.5  $\mu\text{g}$  MPL. This beneficial effect of AIT was nearly completely reversed applying 100  $\mu\text{g}$  MPL (Figure 5A). Comparably, a significant reduction of BAL eosinophils could only be achieved using the 25  $\mu\text{g}$  or 50  $\mu\text{g}$  MPL dose (Figure 5A).

The beneficial effects of adding MCT + MPL to the AIT formulation on the reduction of lung-resident  $\text{GATA3}^+\text{ST2}^+\text{FoxP3}^-$  Th2 cells (Figure 4D) were nearly completely reversed using 12.5  $\mu\text{g}$  or 100  $\mu\text{g}$  MPL, while the MPL dose showed no effects on the number  $\text{FoxP3}^+$  Th cells (Figure 5B).

While tIgE and Der p-sIgE levels slightly increased by using lower MPL concentrations (12.5  $\mu\text{g}$  and 25  $\mu\text{g}$ ) compared to allergic mice, this effect was reversed applying the two higher concentrations. Most importantly, the use of 25  $\mu\text{g}$  and 50  $\mu\text{g}$  MPL in the formulation worked best for the induction of tIgG1 and Der p-sIgG1 (Figure 5C).

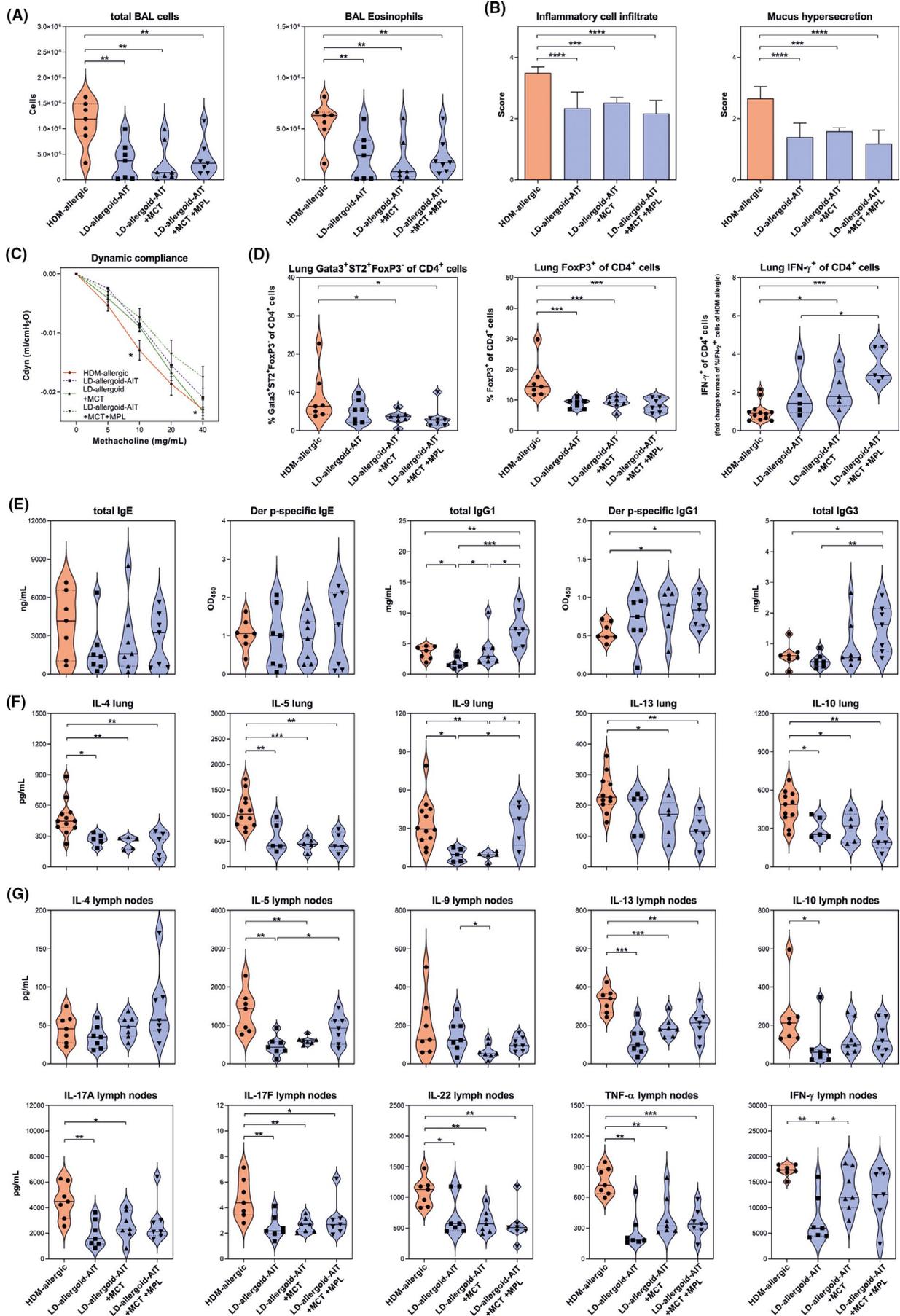
The most prominent effects on the reduction of IL-4, IL-5, IL-9, IL-13, and IL-10 levels in supernatants of *ex vivo* anti-CD3/anti-CD28-restimulated lung lymphocytes were also achieved by using 25  $\mu\text{g}$  or 50  $\mu\text{g}$  in the AIT formulation, while the effects on cytokine levels identifying Th17 and Th1 activities were less pronounced (Figure 5D and Figure S5A). The same concentrations worked best in dampening IL-4, IL-5, IL-9, IL-13, IL-10, and IL-17A secretion by restimulated cervical lymph node cells (Figure 5E and Figure S5B).

## 4 | DISCUSSION

The current study demonstrates that a 220-fold reduced allergen dose in experimental HDM AIT is comparably effective in controlling allergic inflammation when HDM allergoids are applied instead of extracts. Furthermore, adjuvants MCT<sup>16,25</sup> and MPL<sup>26,27</sup> are shown to modulate humoral responses exceeding the LD-allergoid-AIT effects.

In humans, local allergy-driven and protective AIT mechanisms in the airways and in lymphoid organs are hard to address. Commonly used murine HDM AIT models are based on *i.p.* sensitization combining the allergen with the adjuvant alum.<sup>22,24,28,29</sup> To avoid broad unspecific alum-mediated immune activation,<sup>30,31</sup> in this study, a novel experimental HDM AIT of murine allergic asthma combining *i.n.* sensitization and *s.c.* AIT was established. This model resembles in many aspects human allergic inflammation.<sup>32-37</sup> Typical eosinophilic and Th2 cell infiltration into the lung, decreased lung dynamic compliance, mucus hypersecretion, tIgE, and sIgE induction as well as elevated Th2-type cytokine secretion by lung-resident lymphocytes, lymph node cells, and splenocytes was observed. Similar to human AIT, sIgG antibodies are induced while sIgE levels do not immediately decrease.<sup>11,13,38</sup> In contrast to human AIT, in murine AIT models based on alum-dependent *i.p.* sensitization, the sIgE response is nearly completely lost during treatment.<sup>39</sup>

This new model allowed the direct comparison of LD-allergoid-AIT with a 220-fold higher HD-extract-AIT regarding efficacy and immunological mechanisms. Allergoids have the potential to improve the safety profile of AIT as they are characterized by reduced IgE-binding capacity but retained immunogenicity.<sup>15,40</sup> Interestingly, here, *s.c.* LD-allergoid-AIT (1  $\mu\text{g}$  HDM allergoids) revealed control of allergic inflammation comparable to HD-extract-AIT (220  $\mu\text{g}$  HDM extract) while AIT with 1  $\mu\text{g}$  HDM extract even increased inflammation. Earlier studies showed that high allergen doses induce elevated amounts of specific  $\text{CD8}^+$  T cells<sup>41</sup> and Th1 cells in lungs, while low allergen dosage induces Th2 cells and pro-inflammatory mechanisms such as immune cell infiltration.<sup>42,43</sup> The demonstrated comparable control of inflammation of LD-allergoid-AIT and HD-extract-AIT might be explained by the destruction of conformational IgE epitopes. The associated  $\text{Fc}\epsilon\text{R}$ -mediated uptake of allergoids may be impaired resulting in a decreased Th2 inflammation-promoting immune milieu, while immunogenicity is maintained.<sup>3,5,7,44-47</sup> LD-allergoid-AIT and HD-extract-AIT demonstrated similar capacity to protect against infiltration of pro-inflammatory cells into the lung.



**FIGURE 4** Effects of the adjuvants MCT and MPL on LD-allergoid-AIT in experimental HDM allergy. A, Total BAL cells and differential eosinophil counts ( $n = 7$ ). B, Scores of inflammatory cell infiltrate and mucus hypersecretion in lung tissue 3 days after the last HDM challenge ( $n = 6$ ). The scores were analyzed by one-way analysis of variance with Tukey's multiple comparison test. Shown is the mean with SD. C, Measurement of lung dynamic compliance ( $n = 6-12$ ). \* $p < .05$  HDM-allergic vs AIT allergoid + MCT + MPL. Lung function parameters were analyzed by two-way analysis of variance with Tukey's multiple comparison test. Shown is the mean with SEM. D, Analysis of lung-resident lymphocyte populations ( $n = 7$ ) and IFN- $\gamma$ -producing T cells ( $n = 5-12$ ). E, Measurements of immunoglobulins in serum samples at endpoint ( $n = 7$ ). F, Analysis of cytokine release from lung-resident lymphocytes after anti-CD3/anti-CD28-restimulation *in vitro* ( $n = 5-12$ ). G, Analysis of cytokine levels in culture supernatants of cervical lymph node cells after anti-CD3/anti-CD28-restimulation *in vitro* ( $n = 7$ ). In all violin plots, solid and dashed bars indicate the median and quartiles, respectively. Gaussian and non-Gaussian distributed results were analyzed by unpaired  $t$  test or Mann-Whitney test, respectively.  $p$ -values of  $\leq .05$ ,  $\leq .01$ ,  $\leq .001$ , and  $\leq .0001$  are shown as \*, \*\*, \*\*\*, and \*\*\*\*, respectively. AIT, allergen-specific immunotherapy; BAL, bronchoalveolar lavage; HDM, house dust mite; MCT, microcrystalline tyrosine; MPL, monophosphoryl lipid A

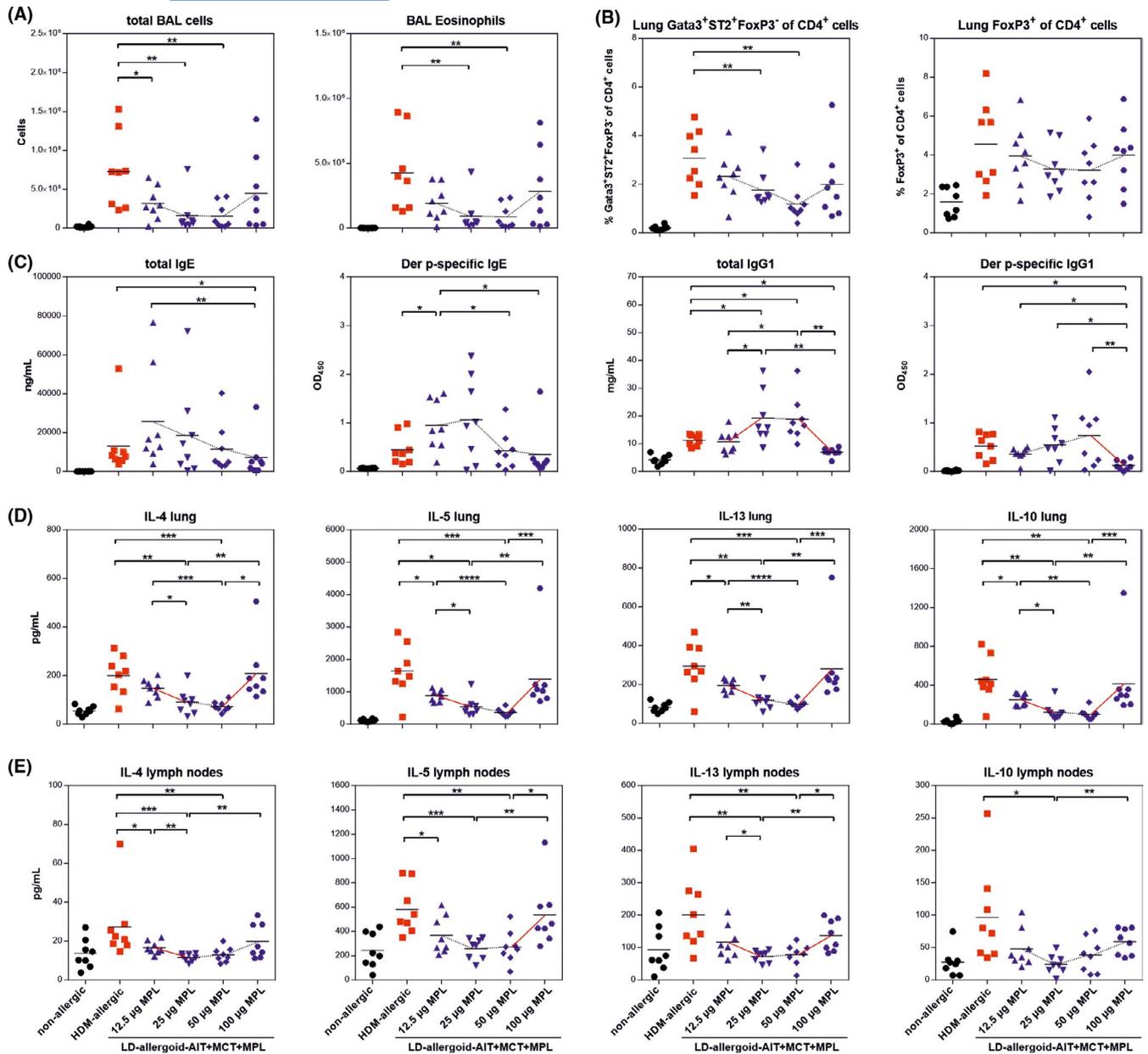
Furthermore, both treatments restored lung dynamic compliance although HD-extract-AIT was slightly more effective. AIT-mediated control of Th2 cytokines was observed in the BAL as well as in lung lymphocytes, cervical lymph node cells, and to some degree in splenocytes. This reduced Th2-promoting milieu at local and systemic sites has previously been hypothesized to be beneficial for immunological tolerance induction.<sup>12</sup> Additionally, the AIT-mediated reduction of IL-17<sup>13</sup> and TNF- $\alpha$  was previously described to control the recruitment of eosinophils, neutrophils, and T cells into the lung.<sup>48</sup> A difference between the two treatment strategies was the strongly reduced IFN- $\gamma$  production by LD-allergoid-AIT. This difference may originate from higher amounts of TLR ligands contained in the HD-extract-AIT. Although also allergen-specific restimulation experiments might have provided additional mechanistic insights, in this study, unspecific restimulation was chosen to be able to monitor not only allergen-specific but also bystander and unspecific effects of the different AIT strategies and adjuvants on the cytokine-producing capacity of the addressed immune cells.

The observed decline of FoxP3<sup>+</sup>CD4<sup>+</sup> T cells in lung tissue as well as the reduced IL-10 secretion by lung lymphocytes and lymph node cells is likely to be a result of the migratory behavior of the cells and, therefore, reflects the inflammatory process rather than the mechanism of tolerance induction.<sup>23,49,50</sup> Increased numbers of Tregs were also observed in asthmatic patients in a phase of active inflammation indicating that rather the quality than the quantity of Tregs is decisive for the anti-inflammatory capacity.<sup>51</sup> Moreover, it was reported that IL-10 is critical for antigen-specific Th2 responses in mice.<sup>50</sup>

Although prior studies have questioned the efficacy of allergoids due to the modification process,<sup>8</sup> this study demonstrates significant effectiveness even at low dose accessing a wide set of biomarkers. Of course, future human studies are needed to confirm these findings. Notably, according to guidelines of the European Academy of Allergy and Clinical Immunology (EAACI), both modified and unmodified allergen extracts are recommended for subcutaneous AIT of allergic rhinoconjunctivitis for short-term benefit.<sup>52</sup> Furthermore, subgroup analyses comparing the combined symptom and medication score (short term) for AIT with modified and unmodified allergen extracts in the context of allergic rhinoconjunctivitis found a clear benefit from allergoids and suggest (but not confirm) a benefit from unmodified preparations.<sup>53</sup>

In contrast to comparable type-2 cellular response to LD-allergoid-AIT and HD-extract-AIT, major differences were observed on humoral level in this study. HD-extract-AIT neither influenced tIgE nor sIgE levels, whereas LD-allergoid-AIT reduced tIgE but not sIgE levels. Reasons for lower tIgE induction properties of HDM allergoids might be the loss of conformational IgE epitopes, reduced amounts of mite body components like chitin and other IgE-inducing factors or serine protease activity.<sup>36,54</sup> Even more striking, HD-extract-AIT led to a robust sIgG1 and tIgG1 response whereas LD-allergoid-AIT led to a slightly lower increase of sIgG1 and no alteration of tIgG1 levels. Murine IgG1 is supposed to be the structural and functional homologue of human IgG4. Like human IgG4, it does not interact with C1q and inhibits the binding of IgG2a, IgG2b, and IgG3 to C1q and, hence, suppresses complement activation. Furthermore, both murine IgG1 and human IgG4 show limited specificity and affinity to activating Fc $\gamma$ Rs and preferably interact with the classical IgG inhibitory receptor Fc $\gamma$ RIIb.<sup>55</sup> In human HDM AIT, induction of sIgG4 is a hallmark of successful AIT, because of its potentially protective role.<sup>56</sup> Hence, the lack of robust tIgG1 immune responses might reflect suboptimal B-cell activation of LD-allergoid-AIT.

The observed potential B-cell activation deficit may be compensated by higher allergoid doses or adjuvants. Hence, the immunological effects of the adjuvants MCT and MPL on LD-allergoid-AIT were addressed. In addition to the effects of the well-established depot adjuvant MCT<sup>15,40</sup> alone the synergistic effects of the adjuvant system combining MCT with MPL were of interest as such adjuvant systems are already in clinically approved.<sup>45</sup> The adsorption of allergoids and MPL to MCT has been previously characterized.<sup>26</sup> The current study revealed that allergoid + MCT and allergoid + MCT + MPL AIT formulations showed similar effects on the reduction of BAL cell infiltration compared to LD-allergoid-AIT alone. However, the allergoid + MCT + MPL formulation was slightly more effective in restoring lung dynamic compliance. In contrast to allergoid alone, adding MCT to the formulation led to significant reduction of the percentage of lung-resident Th2 cells, whereas MPL showed no additional effect. Strikingly, LD-allergoid-AIT treatment combined with MCT and MCT + MPL led to a gradual increase of the number of IFN- $\gamma$ -producing lymphocytes of the lower airways as well as to higher levels of IFN- $\gamma$  secretion by cervical lymph node



**FIGURE 5** Dose-dependent effects of increasing MPL concentrations on LD-allergoid-AIT in experimental HDM allergy. A, Total BAL cells and differential eosinophil counts ( $n = 8$ ). B, Analysis of lung-resident lymphocyte populations ( $n = 8$ ). C, Measurements of immunoglobulins in serum samples of mice at endpoint ( $n = 8$ ). D, Analysis of cytokine release from lung-resident lymphocytes after anti-CD3/anti-CD28-restimulation *in vitro* ( $n = 8$ ). E, Analysis of cytokine levels in culture supernatants of cervical lymph node cells after anti-CD3/anti-CD28-restimulation *in vitro* ( $n = 8$ ). Bars indicate the mean. Red solid and black dashed lines are intended to visualize the dose-dependent effects of the different MPL concentrations. Red solid and black dashed lines indicate significant and not significant between neighboring groups, respectively. Gaussian and non-Gaussian distributed results were analyzed by unpaired *t* test or Mann-Whitney test, respectively. *p*-values of  $\leq .05$ ,  $\leq .01$ ,  $\leq .001$ , and  $\leq .0001$  are shown as \*, \*\*, \*\*\*, and \*\*\*\*, respectively. AIT, allergen-specific immunotherapy; BAL, bronchoalveolar lavage; HDM, house dust mite; MCT, microcrystalline tyrosine; MPL, monophosphoryl lipid A

cells. In fact, previous murine studies showed that MCT triggers Th1-associated immune response mechanisms more efficiently than alum, by modulating the recruitment of DCs, CD8<sup>+</sup> as well as CD4<sup>+</sup> T cells accompanied with IFN- $\gamma$  and TNF- $\alpha$  production.<sup>16</sup> Furthermore, MPL significantly increases IFN- $\gamma$  production of *in vitro* restimulated peripheral blood mononuclear cells in context of grass pollen allergy,

postulating the induction of Th1-promoting mechanisms as a protection for allergy.<sup>27</sup>

Importantly, combining LD-allergoid-AIT formulation with MCT and MCT + MPL also enhanced the humoral response and gradually increased tIgG1 and tIgG2b levels. Moreover, both adjuvant-based therapies equally increased sIgG1 levels. These effects on

IgG production rely on the adjuvant-induced Th1-biased immune milieu.<sup>16,57</sup> Significant levels of tIgG3 were only induced by MPL. This is in line with a study showing that LPS is able to induce B-cell switching to IgG3 via TLR4 signaling.<sup>58</sup> Importantly and in contrast to alum-based adjuvants, MCT and MPL showed no IgE-inducing properties, as demonstrated previously.<sup>16,59</sup> Taken together, these findings demonstrate that adding the adjuvant MCT alone, or in combination with MPL to the AIT formulation has the potential to promote Th1-inducing mechanisms and robust B-cell activation of LD-allergoid-AIT counterbalancing the allergic Th2 immune response.

Not only the allergen, but also the adjuvant dose may have crucial influence on the anti-inflammatory capacity of AIT formulations.<sup>60,61</sup> Hence, the effects of different MPL concentrations on the efficacy of LD-allergoid-AIT were addressed. The doses of 25 µg and 50 µg were most effective in reducing Th2 and eosinophilic infiltration and Th2-cytokine production as well as in inducing tIgG1 and sIgG1 responses. Most of these effects were almost completely reversed by using the 100 µg dose. While the 12.5 µg dose seems to be too low for effective adjuvant effects, the 100 µg dose had rather adverse effects on AIT outcome. These might be explained by altered TLR4 signaling mechanisms. While MPL acts via TRAM/TRIF-biased stimulation of TLR4 along with selective activation of p38 signaling followed by induction of adaptive immune responses and TRIF-dependent endotoxin tolerance, LPS-mediated TLR4 stimulation causes MAL/MyD88, and TRAM/TRIF-dependent signaling events, which are followed by pro-inflammatory responses. Nevertheless, MPL is not completely devoid of MyD88 involvement, which might explain the observed dose-response effects.<sup>18</sup> Of note, the 50 µg dose is also used in vaccines for human use.<sup>26</sup>

In summary, this study provides a side-by-side comparison of high-dose extract- and low-dose allergoid-based AIT and demonstrates that low allergen doses can induce cellular and humoral mechanisms counteracting Th2-driven inflammation by using allergoids and dose-adjusted adjuvants. Future therapeutic approaches may use low-dose allergoid strategies to drive cellular tolerance and adjuvants to modulate humoral, potentially protective responses.

## CONFLICT OF INTEREST

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were employees of Allergy Therapeutics PLC/Bencard Allergie GmbH, which supported this work. Further, Allergy Therapeutics PLC is a manufacturer of allergen immunotherapy products. UMZ reports grants from German Center for Lung Research (DZL), grants from Helmholtz I&I Initiative, and grants and personal fees from German Research Foundation, outside the submitted work. CBS-W reports personal fees from Bencard, and personal fees from Allergopharma, outside the submitted work. The other authors declare no competing interests.

## AUTHOR CONTRIBUTIONS

AH performed experiments, analyzed data, and wrote the manuscript. FA, DR, SH, and BS performed experiments, analyzed data, and revised the final version of the manuscript. AC, MAS, and MFK discussed the data and revised the final version of the manuscript; JM, TLCV, and MDH prepared and characterized HDM extracts and allergoids, discussed the data, and revised the final version of the manuscript. UMZ discussed the data and wrote the manuscript; CBS-W initiated and supervised the study, contributed to the interpretation of data and wrote the manuscript. SB initiated and supervised the study, analyzed the data, created the figures, and wrote the manuscript.

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#### SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section.

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