

The American Journal of Clinical Nutrition

Maternal FADS2 single nucleotide polymorphism modified the impact of prenatal docosahexaenoic acid (DHA) supplementation on child neurodevelopment at 5 years: Follow-up of a randomized clinical trial --Manuscript Draft--

Manuscript Number:	AJCN-D-21-00010
Full Title:	Maternal FADS2 single nucleotide polymorphism modified the impact of prenatal docosahexaenoic acid (DHA) supplementation on child neurodevelopment at 5 years: Follow-up of a randomized clinical trial
Short Title:	Maternal genotype on DHA and neurodevelopment
Article Type:	Original Research Communications
Section/Category:	Growth, development, and pediatrics
Keywords:	maternal genotype; essential fatty acids; prenatal supplementation; child neurodevelopment; long-chain polyunsaturated fatty acids; n-3; n-6
Manuscript Classifications:	1.10: Dietary supplements; 1.39: Pediatric: growth, development; 1.43: Public health
Corresponding Author:	Ines Gonzalez Casanova Indiana University Bloomington Bloomington, Indiana UNITED STATES
Corresponding Author Secondary Information:	
Corresponding Author's Institution:	Indiana University Bloomington
Corresponding Author's Secondary Institution:	
First Author:	Ines Gonzalez Casanova
First Author Secondary Information:	
Order of Authors:	Ines Gonzalez Casanova Meriah Schoen, MS, RD Sonia Tandon, MPH Aryeh D. Stein, Ph.D. Albino Barraza-Villarreal, PhD Ann M. DiGirolamo, MS, PhD Hans Demmelmair, MD Ivonne Ramirez-Silva, MS, PhD Raquel Garcia Feregrino, MS Peter Rzehak, PhD India Stevenson, BS Marie Standl, PhD Lourdes Schnaas, PhD Isabelle Romieu, MS, MD, ScD Juan A. Rivera, PhD Berthold Koletzko, MD Usha Ramakrishnan, PhD

Order of Authors Secondary Information:	
Abstract:	<p>Background</p> <p>Variability in the FADS 2 gene, which codifies the Delta-6 Desaturases and modulates the conversion of essential n-3 and n-6 polyunsaturated fatty acids into their active metabolites, might modify the impact of prenatal supplementation with n-3 docosahexaenoic acid (DHA) on neurodevelopment.</p> <p>Objective</p> <p>To assess if maternal FADS2 single nucleotide polymorphisms (SNPs) modified the effect of prenatal DHA on offspring development at 5 years.</p> <p>Design</p> <p>We conducted a post-hoc interaction analysis of the POSGRAD randomized controlled trial (NCT00646360) of prenatal supplementation with algal-DHA where 1,094 pregnant women originally randomized to 400 mg/day of preformed algal DHA or a placebo from gestation week 18-22 through delivery. In this analysis, we included offspring with information on maternal genotype and neurodevelopment at 5 years (DHA=316; Control=306) and used generalized linear models to assess interactions between FADS2 SNPs rs174602 or rs174575 and prenatal DHA on neurodevelopment at 5 years measured with McCarthy Scales of Children's Abilities (MSCA).</p> <p>Results</p> <p>Maternal and offspring characteristics were similar between groups. At baseline, mean (\pm standard deviation) maternal age was 26 ± 5 years and schooling was 12 ± 4 years. Forty-six percent (46%) of the children were female. Maternal minor allele frequencies were 0.37 and 0.33 for SNPs rs174602 and rs174575, respectively. There were significant interactions by SNP rs174602 where only among offspring of TT (minor allele homozygotes), those in the intervention group had higher quantitative (DHA: mean=$22.6 \pm \text{SEM}=0.9$ vs. Control= 19.1 ± 0.9, mean difference (Δ)= 3.45; $p=0.01$) and memory (DHA= 27.9 ± 1.1 vs. Control= 23.7 ± 1.1, $\Delta=4.26$; $p=0.02$) scores.</p> <p>Conclusions</p> <p>Maternal FADS2 SNP rs174602 modified the effect of prenatal DHA on cognitive development at 5 years. Variations in the genetic make-up of target populations could be an important factor to consider for prenatal DHA supplementation interventions.</p>
Suggested Reviewers:	<p>Susan Carlson scarlson@kumc.edu Expertise in essential fatty acids, maternal and child health</p> <p>Thomas Brenna tbrenna@utexas.edu</p>
Opposed Reviewers:	
Additional Information:	
Question	Response
Number of words:	2815
Has this manuscript been posted to a preprint server?	No
REGISTRATION	<p>Trial registration number: NCT00646360 URL of registration: https://clinicaltrials.gov/ct2/show/NCT00646360</p> <p>Trial registration number: URL of registration:</p>
A - The NIH has updated their position on	

which studies need to be registered in clinicaltrials.gov. They distinguish between a clinical study and a clinical trial.

The AJCN will adhere to the NIH position.

The NIH defines a clinical trial as a research study in which one or more human subjects are prospectively assigned to one or more interventions (which may include placebo or other control) to evaluate the effects of those interventions on health-related biomedical or behavioral outcomes.

Authors should use the following four questions to determine the difference between a clinical study and a clinical trial

:

1. Does the study involve human participants?
2. Are the participants prospectively assigned to an intervention?
3. Is the study designed to evaluate the effect of the intervention on the participants?
4. Is the effect being evaluated a health-related biomedical or behavioral outcome?

Note that if the answers to the 4 questions are yes, your study meets the NIH definition of a clinical trial and must be registered at clinicaltrials.gov or another trial registry, even if...

- You are studying healthy participants
- Your study does not have a comparison group (e.g., placebo or control)
- Your study is only designed to assess the pharmacokinetics, safety, and/or maximum tolerated dose of an investigational drug
- Your study is utilizing a behavioral

Trial registration number:

URL of registration:

Trial registration number:

URL of registration:

Trial registration number:

URL of registration:

intervention

Studies intended solely to refine measures are not considered clinical trials. Studies that involve secondary research with biological specimens or health information are not clinical trials and are NOT required to be registered.

You should consult the website <https://grants.nih.gov/policy/clinical-trials/case-studies.htm> and use the more than 30 examples to determine whether your research is a clinical trial.

B ---For all studies, including those that don't require registration by the above rules, the authors must state explicitly in the Methods Section the pre-declared primary and secondary endpoints of their study and whether these changed during the course of the study or during post-hoc analyses. Also the paper must state explicitly that analyses not pre-specified are considered exploratory.

To summarize, if you answer the 4 questions above with "yes" then you must register your trial before AJCN will consider it further. If you answer at least one of the 4 questions "no" you do not need to register your study. In either case you must revise your Methods section to conform to point 2 above.

****Note that after 1 July 2018, AJCN will no longer allow retrospective registration.** All studies that fall under the NIH registration rules and recruited their first participant

after 1 July must be registered prospectively.

Appropriate public trial registries include ICMJE-approved public trials registries (<http://www.clinicaltrials.gov>, <http://www.anzctr.org.au/>, <http://www.isrctn.org>, <http://www.umin.ac.jp>, <http://www.trialregister.nl>). Please report the study ID number and the website where the clinical trial is registered on the title page of the paper.



**DEPARTMENT OF
APPLIED HEALTH SCIENCE**

INDIANA UNIVERSITY
School of Public Health
Bloomington

January 5, 2021

Editorial Board AJCN:

On behalf of my co-authors, I am submitting this manuscript titled “**Maternal *FADS2* single nucleotide polymorphism modified the impact of prenatal docosahexaenoic acid (DHA) supplementation on child neurodevelopment at 5 years: Follow-up of a randomized clinical trial**”. We conducted a post-hoc interaction analysis of a randomized controlled trial and found that maternal genotype modified the impact of prenatal DHA on neurodevelopment at 5 years. These findings have important implications for clinical nutrition that will be of interest to the readers of AJCN.

The authors declare no conflict of interest and the manuscript has not been published elsewhere.

Please let me know if you require any further information.

Sincerely,

Ines Gonzalez Casanova

Assistant Professor
Department of Applied Health Science
Indiana University Bloomington School of Public Health

Maternal *FADS2* single nucleotide polymorphism modified the impact of prenatal docosahexaenoic acid (DHA) supplementation on child neurodevelopment at 5 years: Follow-up of a randomized clinical trial

Ines Gonzalez-Casanova, PhD,^{1,2} Meriah Schoen, MS, RD,³ Sonia Tandon, MPH,³ Aryeh D. Stein, Ph.D.,¹, Albino Barraza-Villarreal, PhD,⁴ Ann M. DiGirolamo, MS, PhD,⁵ Hans Demmelmair, MD⁶, Ivonne Ramirez-Silva, MS, PhD,⁷ Raquel Garcia Feregrino, MS,⁸ Peter Rzehak, PhD,⁶ India Stevenson¹, BS, Marie Standl, PhD,⁹ Lourdes Schnaas, PhD¹⁰ Isabelle Romieu, MS, MD, ScD,⁴ Juan A. Rivera, PhD,¹¹ Berthold Koletzko, MD,⁶ Usha Ramakrishnan, PhD¹

1. Hubert Department of Global Health, Rollins School of Public Health, Emory University, Atlanta GA, USA
2. Department of Applied Health Science, Indiana University Bloomington School of Public Health, Bloomington, IN, USA
3. Laney Graduate School, Emory University
4. Department of Environmental Health, Population Health Research Center, National Institute of Public Health, Cuernavaca, Morelos, Mexico
5. Georgia Health Policy Center, Georgia State University, Atlanta, GA, USA
6. Division of Metabolic and Nutritional Medicine, Dr. von Hauner Children's Hospital, Ludwig-Maximilians Universität München (LMU), Munich, Germany
7. Center for Nutrition and Health Research, National Institute of Public Health, Cuernavaca, Morelos, Mexico
8. Center for Research on Surveys and Evaluation, National Institute of Public Health, Cuernavaca, Morelos, Mexico
9. Institute of Epidemiology, Helmholtz Zentrum München - German Research Center for Environmental Health, Neuherberg, Germany
10. Division of Research in Community Interventions, National Institute of Perinatology, Mexico City, Mexico
11. General Direction, National Institute of Public Health, Cuernavaca, Morelos, Mexico

Corresponding author: Ines Gonzalez Casanova, PhD. Rollins School of Public Health, Atlanta, GA 30322, 1518 Clifton Road NE. Room 7009, Phone: (404) 727-1092, Email: igonza2@emory.edu

Data described in the manuscript, code book, and analytic code will be made available upon request to the corresponding author pending application and IRB approval.

Funding:

This research was supported by NIH (HD043099, HD058818, HD087606), March of Dimes, Nutricia Foundation, and CONACYT Mexico (87121, 202062). The work of IGC was financially supported by the Thrasher Research Fund and NIH (HL137338-03S1). The work of HD, BK and PR was financially supported in part by the European Research Council Advanced Grant META-GROWTH ERC-2012-AdG-no.322605, the European Joint Programming Initiative Projects NutriPROGRAM and EndObesity, the German Ministry of Education and Research, Berlin (Grant Nr. 01 GI 0825), and the German Research Council (INST 409/224-1 FUGG). BK is the Else Kröner-Seniorprofessor of Paediatrics at LMU co-funded by the Else Kröner-Fresenius Foundation and LMU University Hospitals.

Trial Registration: <https://clinicaltrials.gov/ct2/show/NCT00646360>

Running head: Maternal genotype on the impact of DHA on neurodevelopment

Abbreviations: ARA-Arachidonic Acid, DHA- Docosahexaenoic Acid, MSCA- McCarthy Scales of Child Abilities, Single Nucleotide Polymorphism (SNP),

1 **Abstract**

2 **Background:** Variability in the *FADS2* gene, which codifies the Delta-6 Desaturases and modulates the
3 conversion of essential n-3 and n-6 polyunsaturated fatty acids into their active metabolites, might modify
4 the impact of prenatal supplementation with n-3 docosahexaenoic acid (DHA) on neurodevelopment.

5 **Objective:** To assess if maternal *FADS2* single nucleotide polymorphisms (SNPs) modified the effect of
6 prenatal DHA on offspring development at 5 years.

7 **Design:** We conducted a post-hoc interaction analysis of the POSGRAD randomized controlled trial
8 (NCT00646360) of prenatal supplementation with algal-DHA where 1,094 pregnant women originally
9 randomized to 400 mg/day of preformed algal DHA or a placebo from gestation week 18-22 through
10 delivery. In this analysis, we included offspring with information on maternal genotype and
11 neurodevelopment at 5 years (DHA=316; Control=306) and used generalized linear models to assess
12 interactions between *FADS2* SNPs rs174602 or rs174575 and prenatal DHA on neurodevelopment at 5
13 years measured with McCarthy Scales of Children's Abilities (MSCA).

14 **Results:** Maternal and offspring characteristics were similar between groups. At baseline, mean (\pm
15 standard deviation) maternal age was 26 ± 5 years and schooling was 12 ± 4 years. Forty-six percent
16 (46%) of the children were female. Maternal minor allele frequencies were 0.37 and 0.33 for SNPs
17 rs174602 and rs174575, respectively. There were significant interactions by SNP rs174602 where only
18 among offspring of TT (minor allele homozygotes), those in the intervention group had higher
19 quantitative (DHA: mean= $22.6 \pm \text{SEM}=0.9$ vs. Control= 19.1 ± 0.9 , mean difference (Δ)= 3.45; $p=0.01$)
20 and memory (DHA= 27.9 ± 1.1 vs. Control= 23.7 ± 1.1 , $\Delta=4.26$; $p=0.02$) scores.

21 **Conclusions:** Maternal *FADS2* SNP rs174602 modified the effect of prenatal DHA on cognitive
22 development at 5 years. Variations in the genetic make-up of target populations could be an important
23 factor to consider for prenatal DHA supplementation interventions.

24

25

26 **Introduction**

27 Long-chain polyunsaturated fatty acids are conditionally-essential nutrients and during the prenatal period
28 are obtained from the mother through placental transfer. Both docosahexaenoic acid (DHA, 22:6n-3) and
29 arachidonic acid (ARA, 20:4n-6) accrete in the fetal brain, where they have important membrane
30 structural, cell signaling, and gene expression regulatory functions.^{1,2} In particular, DHA is important for
31 the process of myelination, for visual functioning, and brain development in general.³

32 In observational studies, self-reported maternal DHA intake and plasma concentrations of DHA during
33 pregnancy have been associated with heavier birth weights, extended gestational age, lower odds of
34 preterm birth, and higher scores in mental development tests during infancy and early childhood.⁴
35 However, except for preterm or high-risk populations, human experimental studies have failed to show
36 consistent benefits of prenatal supplementation with DHA on a range of birth outcomes or on global
37 cognitive development during childhood.^{5,6}

38 Recent evidence suggests that variants in the *FADS2* gene, which encodes for the fatty acid delta-6-
39 desaturase enzyme (D6D) responsible for converting n-3 and n-6 PUFAs into their active metabolites,
40 may modify dietary requirements.⁷ Two distinct haplotypes have been identified to date: one, more
41 prevalent in European populations, that has been associated with more efficient conversion of n-6 dietary
42 precursor linoleic acid (LA, 18:2n-6) into ARA; and the other, more prevalent in Native Americans and
43 Mexican American populations, that has been associated with less efficient conversion.^{8,9} This variation
44 in the geographic distribution of single nucleotide polymorphisms (SNPs) in the *FADS* genes has been
45 attributed to high selective pressure based on climate and fatty acid composition of the diet.¹⁰

46 Two *FADS2* SNPs (rs174575 and rs174602) have been identified as potential modulators of the impact of
47 dietary intake on child growth and development.¹¹⁻¹³ We have previously reported that in a randomized
48 controlled trial of prenatal supplementation with DHA in Mexico, the intervention improved birth weight
49 only among offspring of carriers of the *FADS2* SNP rs174602 minor allele for Mexican populations (T).¹¹

50 In observational studies from high-income countries (New Zealand and Britain), rs174575 modified the
51 association between early feeding practices and cognitive development at 8 years.^{12,13} The potential role
52 of *FADS2* genotype modifying the impact of prenatal supplementation with DHA on child development
53 has not been studied in randomized controlled trials. Hence, the objective of this study was to assess if
54 maternal *FADS2* SNPs rs174575 and rs174602 modified the impact of prenatal DHA supplementation on
55 global cognitive development at 5 years among Mexican children whose mothers participated in a DHA-
56 supplementation trial during their pregnancy.

57

58 **Methods**

59 **Parent study and sample selection**

60 Data from this study came from POSGRAD (Prenatal Omega-3 Supplementation on Child Growth and
61 Development), a double-blind randomized controlled trial (NCT00646360) conducted in Mexico. The
62 original trial methodology has been described elsewhere;¹¹ briefly, between 2002 and 2006, 1,094
63 pregnant women in their 18-22 week of gestation were randomized to receive 400 mg/day of algal DHA
64 or a placebo mixture of corn and soybean oil through delivery; on average women consumed 88% of the
65 capsules provided.¹¹ Among the 968 women who completed the study, there were 973 live births
66 (including 5 pairs of twins). For the purpose of this analysis, we included 622 mother-child pairs in which
67 the women consented to genetic testing and singleton children had valid measures of global cognitive
68 development at the 5-year data collection time point (Figure 1).

69 The Emory University Institutional Review Board and the Ethics Board of the Mexican National Institute
70 of Public Health reviewed and approved this study. Written informed consent was obtained from the
71 mothers at enrollment and again on behalf of the child at the 5-year follow-up.

72 **Cognitive Development Assessment**

73 We used the Spanish version of the McCarthy Scales of Children's Abilities (MSCA) to assess cognitive
74 development at 5 years of age. The MSCA is designed to assess development in children 2.5 to 8.5 years
75 and includes six different scales: Verbal, Perceptual-Performance, Quantitative, Memory, Motor, and
76 General Cognitive (which is derived from the Verbal, Perceptual-Performance, and Quantitative Scales).
77 These scales are assessed through 18 subtests.¹⁴ The MSCA was applied by three trained psychologists in
78 a quiet setting within a hospital; application of the entire battery took on average 1 hour. Administration
79 of the test was supervised by the study lead psychologist through random observations and a full review
80 of all tests was performed on site before data were entered.¹⁵ Raw scores were computed by adding the
81 results of the individual tests and were used for this analysis. The MSCA has been validated in Spain¹⁶
82 and used by others to assess the impact of dietary and environmental exposures in Mexican children.^{17,18}

83 **Genotyping and Tag SNP selection**

84 Stored blood samples that had been collected from the mothers at baseline were shipped from the
85 Mexican National Institute of Public Health to the Hemholtz Center (Munich, Germany) for genetic
86 analysis. Polymerase chain reaction amplification and genotyping procedures were carried out on
87 extracted DNA (total of 5µL) using the MassARRAY system and iPLEX chemistry (6). Fifteen candidate
88 SNPs were assessed based on evidence suggesting that they might play a role in LCPUFA metabolism (6-
89 11) and to represent the *FADS1* (rs174556, rs174561, rs174558), *FADS2* (rs174570, rs174575,
90 rs2727271, rs174576, rs174578, rs174579, rs498793, rs174602), and *FADS3* (rs174455, rs174448) genes.
91 Genotype data were sent to Emory University in encrypted files for statistical analysis. The two SNPs for
92 this analysis (rs174575, rs174602) were selected based on evidence of clinical significance, location in
93 the *FADS2* cluster, Hardy-Weinberg Equilibrium in the sample¹¹ and frequency of minor allele.

94 **Statistical analysis**

95 The analysis included all children with maternal genetic information and MSCA measurement at 5 years.
96 Maternal baseline characteristics, child characteristics, and cognitive development measurements were

97 compared between the analytic sample and those with missing information using chi-square, t-tests, or
98 ANOVA as needed.

99 Generalized linear models (MANOVA) were used to assess differences in MSCA cognitive development
100 scores by *FADS SNPs* (Categorized into major allele homozygotes, heterozygotes, and major allele
101 homozygotes) and to test for interactions between each of the two *FADS SNPs* and DHA supplementation
102 groups with each of the MSCA as an outcome. Additionally, we conducted an exploratory analysis testing
103 for heterogeneity by sex. For SNP*intervention interactions significant at $p < 0.05$, we conducted stratified
104 analysis and tested pairwise comparisons between the DHA and placebo groups by allele combinations.
105 We adjusted all estimates by age at measurement and sex and by potential maternal and child confounders
106 (Supplemental table 1) selected using PROC GLMSELECT with backwards stepwise elimination.
107 Multiple imputation was used to account for missing covariates. PROC MI was used to generate twenty
108 imputed datasets using fully conditional specification. Generalized linear models were then conducted for
109 each of the twenty imputed datasets and the estimates were pooled by PROC MIANALYZE.

110 The sample had 80% power to detect minimum SNP*diet interaction beta coefficients of 0.45 with a
111 conservative minor allele frequency of 0.3 at an alpha-level of 0.05. For the three-way interaction with
112 sex, we had 70% power to detect a minimum interaction beta coefficient of 1.2.

113 Power calculations were conducted using Quanto 1.2.4 (Los Angeles, CA)¹⁹ and statistical analyses were
114 conducted using SAS 9.4 (SAS Institute Inc., Cary, NC, USA). Significance was set at $p < 0.05$. Results
115 are presented as marginal means with standard error of the mean.

116

117 **Results**

118 The final sample for this study included 622 children (306 in the control group and 316 in the intervention
119 group) with maternal genetic information and measures of cognitive development at 5 years (Figure 1).

At randomization, mothers were on average 27 years (26.5 ± 4.8), had approximately 12 years of schooling, and approximately a third were primigravid (34%). Mean offspring birth weight (SD) was 3.2 (0.5) kg and gestational age was 39 weeks (1.7). Exclusive breastfeeding at 3 months was 24%, with most children receiving mixed breastfeeding (58% combining breastmilk and formula). The intervention and control groups were well-balanced on maternal and child characteristics (Supplemental Table 1).

There were no significant differences in offspring MSCA scores at 5 years by intervention group (Table 1), and maternal *FADS2* SNPs rs174602 or rs174575 were not associated with children's MSCA scores at 5 years (Table 2).

We found evidence of an interaction between the intervention and maternal SNP rs174602 in the Quantitative ($p=0.02$) and Memory scales ($p=0.01$) of the MSCA test (Table 3). After adjustment for baseline socioeconomic status score, maternal intelligence and schooling, and child sex and age at measurement, offspring of women who were homozygous for the minor allele TT for SNP rs174602 and received prenatal DHA had higher Quantitative and Memory scores when compared to those born to homozygous women in the control group. For Quantitative scores, among children whose mothers were homozygotes for the minor allele T, those in the intervention group had on average 3.5 higher scores than those in the control, which is equivalent to a difference of 0.6 SD. Similarly, for Memory scores, among offspring of T homozygotes, those who received the intervention had scored on average 4.3 points higher, which also represents a difference of 0.6 SD (Figure 2).

There was no interaction between the intervention and SNP rs174575 for any outcome measured (Table 3). There was no observed heterogeneity by sex (data not shown).

120 **Discussion**

121 In this analysis, we assessed if two maternal *FADS2* SNPs modified the impact of prenatal
122 supplementation with n-3 DHA on measures of global cognition at age 5 years, and found that the
123 intervention selectively improved quantitative and memory scores among offspring of homozygotes for

124 *FADS2* SNP rs174602 minor allele TT. This is consistent with previous results from this trial where we
125 observed an impact of the intervention on birthweight only among carriers of the minor allele for this
126 same SNP.¹¹

127 The importance of DHA for neurodevelopment is well-established,^{1,20} however the positive impact of
128 supplementation on child development during the preschool years and beyond remains controversial.^{4,21}
129 Clarification of this important question is further complicated by different doses and composition of the
130 supplements that have been tested in clinical trials, as well as by the diversity of tools to assess child
131 cognition and the different brain regions and functions that they target. Previous trials that have reported
132 effects of DHA supplementation on visual acuity have had mixed results for different domains of
133 childhood cognitive functioning.²¹ For example, there is evidence of a potential negative impact of DHA
134 and other n-3 fatty acid supplementation on verbal development, especially in girls.^{22,23}

135 In this trial, we had previously showed an impact of prenatal supplementation on attention at 5 years
136 measured by the Conners Kiddie Continuous Performance Test, where offspring of women who received
137 the intervention committed fewer omissions, which is consistent with an impact on visual acuity and
138 attention.¹⁵ There was however no overall impact on measures of mental or motor functioning at 18
139 months²⁴ or 5 years.¹⁵ In this analysis, we found a post-hoc gene-supplement interaction for the Memory
140 and Quantitative scales, which are processes related to the parietal, pre-frontal, and frontal cortices^{25,26}
141 where there is evidence of DHA accretion in early life and of attention-related activation.^{27,28}

142 Our results highlight the role of genetic variations as another factor modifying the impact of prenatal
143 supplementation with DHA on development. We found an interaction of prenatal DHA supplementation
144 with *FADS2* SNP rs174602, a functional intron variant that substitutes an amino acid (N/A) in the region
145 encoding for D6D, which is responsible for a step in the synthesis of n-6 AA and n-3 EPA, and two steps
146 in the synthesis of n-3 DHA. While other SNPs in the *FADS2* gene have been associated with D6D
147 activity using plasma or erythrocyte concentrations of n-6 LA, AA or their ratio as proxies, *FADS2* SNP
148 rs174602 does not seem to be associated with n-6 concentrations or with LA to AA ratios.²⁹ In contrast, it

149 has been identified as showing strong signatures of adaptation to a diet high in n-3 in Greenlandic Inuit
150 populations.¹⁰ We have previously shown that the presence of the rs174602 minor allele T predicts lower
151 plasma concentrations of DHA in our study sample after adjusting for other *FADS* SNPs,¹¹ supporting
152 that the subgroup carrying this minor allele has higher requirements for pre-formed DHA, which can
153 explain the selective positive impact of this prenatal intervention on child development observed only
154 among homozygotes for the minor allele TT. In this context where n-6 fatty acids have traditionally been
155 abundant in the diet and n-3 is scarce, only a minority of the study population saw improvements on
156 cognitive development after an intervention providing pre-formed n-3 long- chain polyunsaturated fatty
157 acid DHA.

158 In contrast, we found no effect modification by *FADS2* SNP rs174575, another intron variant coding an
159 amino acid (N/A) that had been identified as a potential effect modifier of the impact of infant feeding
160 practices (breastfeeding or formula) on childhood IQ. The results on gene-diet interactions for this SNP
161 have not been consistent: Caspi et al. found that the impact of breastfeeding on IQ was only present
162 among carriers of the mayor allele (C),¹³ while Steer et al found that breastfeeding was particularly
163 important for minor allele homozygotes (GG)¹². A smaller study from the United States found
164 associations between maternal rs174575 genotype and declarative memory ability at 16 months, where
165 toddlers whose mothers carried the allele C performed better than those whose mothers were GG
166 homozygotes, and these associations were mediated by methylation in the child DNA supporting the role
167 of programming.³⁰ We did not find any effect modification of prenatal supplementation with DHA by
168 maternal *FADS2* SNP rs174575; it is possible that the child genotype and diet, including breastfeeding or
169 the intake of other essential fatty acids, are more relevant to study the role of this SNP on child
170 development.

171 The impact of prenatal DHA supplementation on childhood cognitive function can be explained by a
172 metabolic impact on prenatal brain development because the intervention addressed the requirements for
173 this fatty acid involved in myelination, gene expression and signaling during a critical period of brain

174 development.²⁰ However, a potential continued effect during the continuing rapid brain development after
175 birth cannot be excluded because we expect maternal prenatal DHA status to modulate neonatal body
176 DHA stores, given that maternal DHA serum concentrations in pregnancy predict neonatal cord blood
177 DHA levels.³¹

178 Even though the minor allele frequencies of 0.38 for SNP rs174602 and 0.33 for SNP rs174575 allowed
179 us sufficient power to detect gene-diet interactions,³² we had limited power to examine these relationships
180 stratified by sex. In this sense, missing data is another limitation: attrition in the cohort was only 15%
181 through five years but not every woman consented to the genetic analysis. Similarly, lack of information
182 on offspring genotypes is another potential limitation of this study, although we do not expect it to differ
183 by intervention group, and previous studies have found the role of the maternal genotype more important
184 for young children's cognitive functioning. In this sense, a potential continued effect of the prenatal
185 supplementation during the continuing rapid brain development after birth cannot be excluded because we
186 expect maternal prenatal DHA status to modulate neonatal body DHA stores, given that maternal DHA
187 serum concentrations in pregnancy predict neonatal cord blood DHA levels.³¹ We were also able to
188 determine that breastfeeding practices and offspring fatty acid intake at 4 y did not differ by maternal
189 *FADS2* SNPs and intervention subgroups. Further studies with larger sample sizes and including the
190 offspring genotype will be important to fully elucidate the role of *FADS2* genotype moderating the impact
191 of essential fatty acids on child development before this can be translated into precision nutrition
192 applications.

193 An important strength of this study is that we were able to assess effect modification by maternal genotype
194 within the design of a randomized controlled trial. Also, we had a sufficient sample size to assess tag SNP
195 interactions with the intervention, a follow up of the birth cohort through the preschool years,
196 standardized protocols for data collection and information on several maternal and child characteristics.
197 To our knowledge, this is the first study to report a role of the *FADS2* genotype moderating the impact of

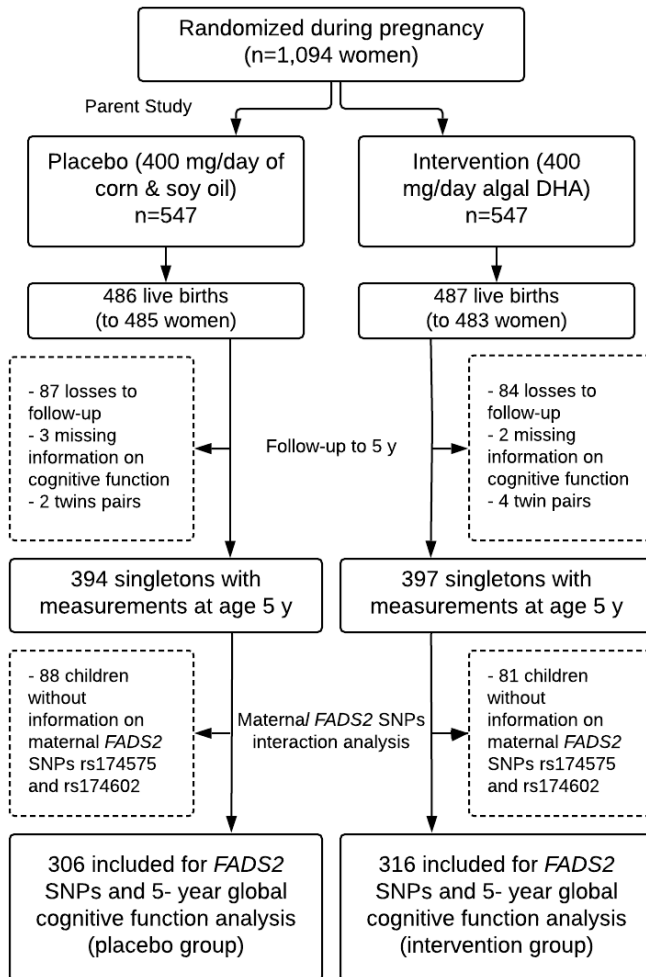
198 prenatal DHA on childhood cognitive development as part of the follow-up of a randomized controlled
199 trial.

200 In summary, we found prenatal DHA supplementation benefits only for children whose mothers were
201 homozygotes for the FADS2 SNP rs174602 minor allele T. These results need to be confirmed through
202 additional studies with larger sample sizes, in diverse populations, and with different dosage of DHA and
203 other essential fatty acids. If results are consistent, that would mean that the beneficial impact of prenatal
204 DHA supplementation at a population level depends on the prevalence of *FADS2* SNP rs174602 T
205 homozygotes. This could have important implications for the design of n-3 and n-6 prenatal
206 supplementation or dietary interventions, where genetic screening could help target groups at risk of
207 deficiency. Further, there is evidence that excessive amounts of dietary DHA can have negative effects on
208 early development especially in the absence of sufficient n-6 fatty acids,³³ which would make genetic
209 targeting of essential fatty acid supplementation interventions even more relevant.

210 **Acknowledgements:** the authors' contributed to the original idea and design of the study (IGC, ADS,
211 AMD, LS IR, JAR, BK, UR), data collection and analysis (IGC, MS, ST, ADS, ABV, AD, HD, IRS,
212 RGF, PR, IS, MS, LS), funding acquisition (IGC, ABV, JAR, BK, UR), initial writing (IGC), and critical
213 review and approval of the final manuscript (all authors). The authors acknowledge the contributions of
214 Reynaldo Martorell, PhD to the POSGRAD study.

215

216 **Figure 1: Flowchart for the maternal *FADS2* single nucleotide polymorphism effect modification**
 217 **analysis of the POSGRAD supplementation trial**



218

Table 1: McCarthy Scales of Child Abilities scores at 5 years group among Mexican children whose mothers participated in the POSGRAD randomized controlled trial of prenatal Docosahexaenoic n-3 fatty acid (DHA) supplementation, by intervention group.

	Placebo (n= 306)	Intervention (n= 316)^b	p-value^a
McCarthy Scales of Child Ability, 5 years			
Composite Score ^c	121.0 (1.3)	121.4 (1.3)	0.86
Quantitative Score	20.0 (0.4)	20.0 (0.4)	0.95
Verbal Score	54.3 (0.7)	53.6 (0.7)	0.49
Perceptual Score	46.5 (0.5)	47.3 (0.5)	0.28
Memory Score	25.2 (0.4)	25.3 (0.4)	0.95

^a Values are raw score means (standard error of the mean) and are result of generalized linear models testing mean differences by supplementation group adjusted for age at measurement and child sex.

^b The intervention was 400mg/day of algal n-3 docosahexaenoic acid and the placebo was 400 mg/day of soy and corn oil from week 18-22 of pregnancy through delivery.

^c The composite score is the sum of verbal, perceptual and quantitative scores.

Table 2: Child cognitive function at 5 years by *FADS2* SNPs among Mexican children whose mothers participated in the POSGRAD randomized controlled trial of prenatal n-3 Docosahexaenoic acid (DHA) supplementation.

	SNP rs174602				SNP rs174575			
	CC (n=259)	CT (n=273)	TT (n=90)	p-value	CC (n=213)	GC (n=322)	GG (n=87)	p-value
McCarthy Scales of Child Ability, raw scores ^a								
Composite Score ^b	120.9 (1.3)	121.1 (1.3)	120.6 (2.2)	0.81	119.9 (1.5)	121.2 (1.2)	123.3 (2.3)	0.43
Quantitative Score	20.0 (0.4)	19.9 (0.4)	20.8 (0.6)	0.40	19.9 (0.4)	20.0 (0.3)	20.6 (0.6)	0.62
Verbal Score	53.9 (0.7)	53.9 (0.7)	53.9 (1.2)	0.58	53.2 (0.8)	54.2 (0.6)	55.3 (1.2)	0.32
Perceptual Score	47.1 (0.6)	47.3 (0.5)	45.8 (0.9)	0.36	46.8 (0.6)	47.1 (0.5)	47.4 (1.0)	0.54
Memory Score	25.3 (0.4)	25.1 (0.4)	25.8 (0.8)	0.75	25.0 (0.5)	25.4 (0.4)	25.9 (0.8)	0.54

^a Values are raw score means (standard error of the mean) and are result of generalized linear models testing mean differences by supplementation group adjusted for child sex and age at measurement and maternal SES, Raven Progressive Matrices score and years of schooling.

^b The composite score is the sum of verbal, perceptual and quantitative scores.

Table 3: McCarthy Scales of Child Abilities scores at 5 years by maternal *FADS2* SNPs and supplementation group among Mexican children whose mothers participated in the POSGRAD randomized controlled trial of prenatal n-3 Docosahexaenoic acid (DHA) supplementation.

FADS SNP	Placebo ^b (n= 306)			Intervention ^b (n= 316)			p-value ^d
	CC (n=132)	CT (n=129)	TT (n=45)	CC (n=127)	CT (n=144)	TT (n=45)	
rs174602^a							
Composite Score ^c	121.0 (1.8)	121.7 (1.9)	115.4 (3.1)	120.9 (1.9)	120.6 (1.8)	125.8 (3.1)	0.11
Quantitative Score	19.9 (0.5)	20.3 (0.5)	19.1 (0.9)	20.0 (0.5)	19.4 (0.5)	22.6 (0.9)	0.01
Verbal Score	54.2 (1.0)	54.7 (1.0)	52.0 (1.7)	53.7 (1.0)	53.2 (1.0)	55.7 (1.7)	0.16
Perceptual Score	46.9 (0.8)	46.7 (0.8)	44.3 (1.3)	47.2 (0.8)	48.0 (0.8)	47.3 (1.3)	0.41
Memory Score	25.5 (0.6)	25.4 (0.6)	23.7 (1.1)	25.1 (0.6)	24.9 (0.6)	27.9 (1.1)	0.02
rs174575^a	CC (n=119)	CG (n=149)	GG (n=38)	CC (n=94)	CG (n=173)	GG (n=49)	p-value^d
Composite Score ^c	118.2 (1.9)	121.6 (1.7)	122.8 (3.4)	121.5 (2.2)	120.8 (1.6)	123.8 (3.0)	0.55
Quantitative Score	19.6 (0.5)	20.0 (0.5)	20.8 (1.0)	20.3 (0.6)	20.0 (0.5)	20.4 (0.9)	0.69
Verbal Score	52.7 (1.0)	55.0 (0.9)	54.9 (1.8)	53.7 (1.2)	53.3 (0.9)	55.6 (1.6)	0.34
Perceptual Score	46.1 (0.8)	46.6 (0.7)	47.1 (1.5)	47.5 (0.9)	47.6 (0.7)	47.8 (1.3)	0.39
Memory Score	24.5 (0.7)	25.5 (0.6)	26.2 (1.2)	25.5 (0.7)	25.3 (0.5)	25.6 (1.0)	0.60

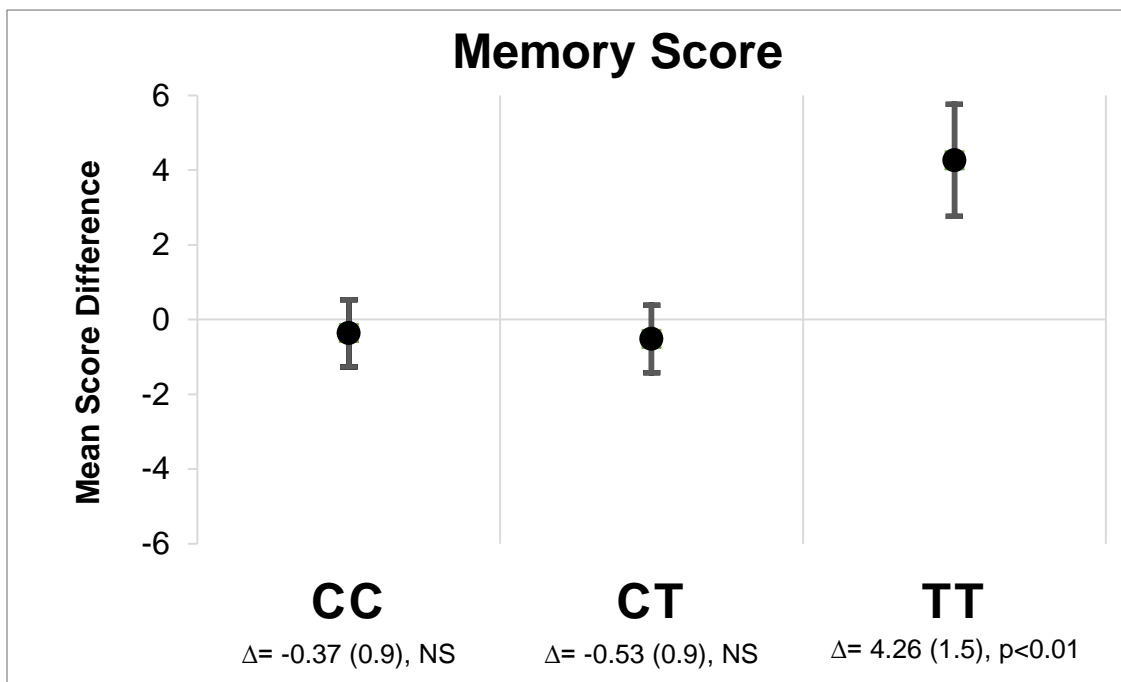
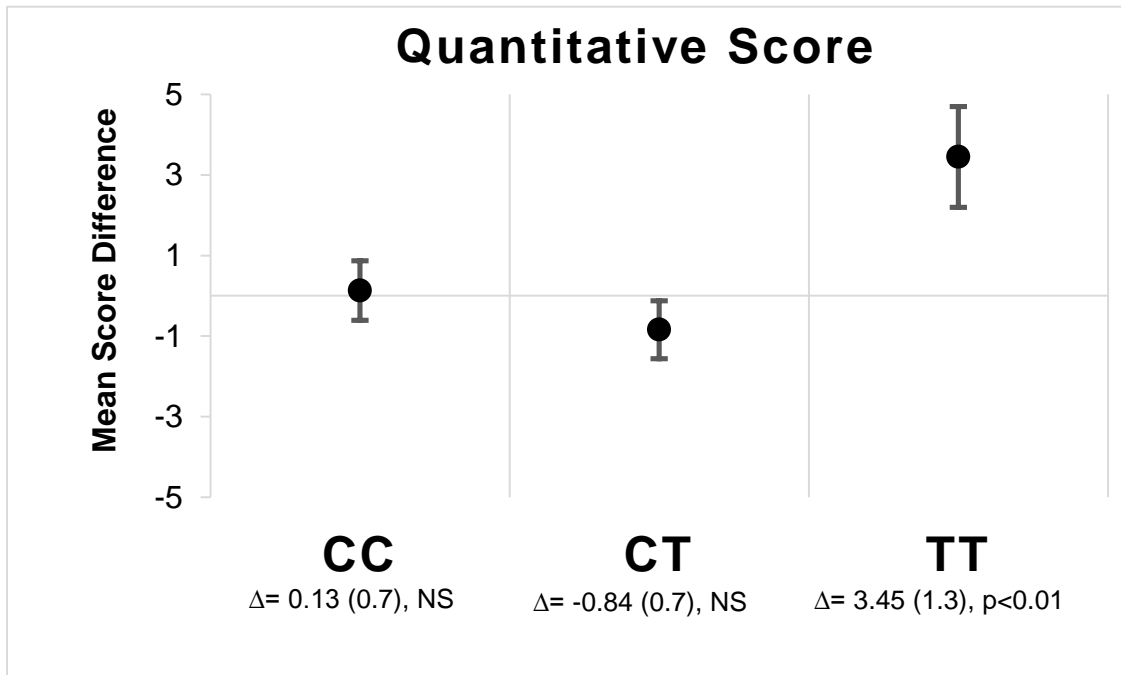
^a Values are raw score means (standard error of the mean) and are result of generalized linear models testing the interaction between *FADS2* single nucleotide polymorphism and supplementation group on cognitive development scores measured using the McCarthy Scales of Infant Abilities at 5 years adjusted for child sex and age at measurement (months), and maternal SES, Raven Score and years of schooling.

^b The intervention was 400mg/day of algal n-3 docosahexaenoic acid and the placebo was 400 mg/day of soy and corn oil from week 18-22 of pregnancy through delivery.

^c The composite score is the sum of verbal, perceptual and quantitative scores.

^d P-values refer to the interaction term (intervention**FADS2* SNP, error=615 df)

Figure 2- Quantitative and memory scores contrast-specific mean differences (Δ) between intervention and placebo by *FADS2* SNP rs174602



Models were adjusted for child sex and age at measurement, and maternal SES, Ravens Progressive Matrices score, and years of schooling.

Supplemental Table 1: Additional maternal and child characteristics considered for the models

	Placebo ^b (n= 306)	Intervention ^b (n= 316)
Maternal Characteristics		
Age, years	26.5 ± 4.7	26.5 ± 5.0
Socioeconomic status (SES), score	0.1 ± 1.0	0.0 ± 1.0
Schooling, years	11.9 ± 3.6	11.8 ± 3.4
Raven Progressive Matrices, score	41.0 ± 9.4	40.5 ± 9.2
Height, cm ^a	155.3 ± 5.6	154.7 ± 5.5
Body Mass Index, kg/m ²	26.4 ± 4.4	26.0 ± 4.2
First pregnancy, %	36.1	33.2
Dietary Intake, g/day		
n-3 Fatty Acids	1.8 ± 1.1	1.8 ± 1.1
ALA	1.7 ± 1.1	1.7 ± 1.0
DHA	0.1 ± 0.1	0.1 ± 0.1
n-6 Fatty Acids	19.5 ± 10.1	19.8 ± 9.3
LA	19.4 ± 10.0	19.6 ± 9.2
AA	0.2 ± 0.1	0.2 ± 0.1
Maternal FADS Genotype		
rs174575 (<i>FADS2</i>), %		
CC	38.9	29.7
CG	48.7	54.7
GG	12.4	15.5
rs174602 (<i>FADS2</i>), %		
CC	43.1	40.2
CT	42.2	45.6
TT	14.7	14.2
Child Characteristics at Birth		
Female, %	45.3	45.0
Gestational Age, weeks	39.0 ± 1.7	39.1 ± 1.8
Preterm, <37 weeks, %	8.6	9.6
Length, cm	50.4 ± 2.3	50.4 ± 2.3
Weight, kg	3.2 ± 0.5	3.2 ± 0.4
Low birth weight, <2.5 kg	3.4	3.4
Head Circumference, cm	34.4 ± 1.8	34.5 ± 1.5
Child Characteristics, postnatal		
Breastfeeding, 3 mo		
Exclusive or predominantly (with water), %	24.9	23.3
Mixed (breastmilk and formula), %	57.6	59.5
Not breastfed, %	17.5	17.2
HOME Score, 12 mo	37.0 ± 4.4	36.7 ± 4.3
Dietary Intake, g/day, 4 years		
n-3 Fatty Acids	0.2 ± 0.1	0.2 ± 0.2
ALA	0.1 ± 0.1	0.1 ± 0.1
DHA	0.1 ± 0.1	0.1 ± 0.1
n-6 Fatty Acids	2.8 ± 1.4	2.8 ± 1.7

^a Intervention and placebo samples are significantly different (p<0.05);

^b Intervention group received 400 mg/day of algal DHA from pregnancy week 18-22 through delivery, placebo group received 400 mg/day of a mix of corn and soy oil; alpha-linolenic acid (ALA),

docosahexaenoic acid (DHA), linoleic acid (LA), Arachidonic Acid (AA). Chi-squared tests, t-tests, and ANOVA were used to test the differences between groups.

References

1. Innis SM. Perinatal biochemistry and physiology of long-chain polyunsaturated fatty acids. *The Journal of Pediatrics*. 2003;143(4, Supplement):1-8.
2. Kuratko CN, Barrett EC, Nelson EB, Salem N, Jr. The relationship of docosahexaenoic acid (DHA) with learning and behavior in healthy children: a review. *Nutrients*. 2013;5(7):2777-2810.
3. Calder PC. Docosahexaenoic Acid. *Annals of Nutrition and Metabolism*. 2016;69(suppl 1)(Suppl. 1):8-21.
4. Campoy C, Escolano-Margarit MV, Anjos T, Szajewska H, Uauy R. Omega 3 fatty acids on child growth, visual acuity and neurodevelopment. *British Journal of Nutrition*. 2012;107(S2):S85-S106.
5. Uauy R, Hoffman DR, Mena P, Llanos A, Birch EE. Term infant studies of DHA and ARA supplementation on neurodevelopment: results of randomized controlled trials. *The Journal of Pediatrics*. 2003;143(4, Supplement):17-25.
6. Simmer K. Fish-oil supplementation: the controversy continues. *The American Journal of Clinical Nutrition*. 2015;103(1):1-2.
7. Koletzko B, Reischl E, Tanjung C, et al. FADS1 and FADS2 Polymorphisms Modulate Fatty Acid Metabolism and Dietary Impact on Health. 2019;39(1):21-44.
8. Ameur A, Enroth S, Johansson A, et al. Genetic adaptation of fatty-acid metabolism: a human-specific haplotype increasing the biosynthesis of long-chain omega-3 and omega-6 fatty acids. *American journal of human genetics*. 2012;90(5):809-820.
9. Mathias RA, Pani V, Chilton FH. Genetic Variants in the FADS Gene: Implications for Dietary Recommendations for Fatty Acid Intake. *Curr Nutr Rep*. 2014;3(2):139-148.
10. Fumagalli M, Moltke I, Grarup N, et al. Greenlandic Inuit show genetic signatures of diet and climate adaptation. *Science*. 2015;349(6254):1343.
11. Gonzalez-Casanova I, Rzehak P, Stein AD, et al. Maternal single nucleotide polymorphisms in the fatty acid desaturase 1 and 2 coding regions modify the impact of prenatal supplementation with DHA on birth weight. *Am J Clin Nutr*. 2016;103(4):1171-1178.
12. Steer CD, Davey Smith G, Emmett PM, Hibbeln JR, Golding J. FADS2 polymorphisms modify the effect of breastfeeding on child IQ. *PloS one*. 2010;5(7):e11570.
13. Caspi A, Williams B, Kim-Cohen J, et al. Moderation of breastfeeding effects on the IQ by genetic variation in fatty acid metabolism. *Proc Natl Acad Sci U S A*. 2007;104(47):18860-18865.
14. Schrader A, D'Amato RC. McCarthy Scales of Children's Abilities. In: Kreutzer JS, DeLuca J, Caplan B, eds. *Encyclopedia of Clinical Neuropsychology*. New York, NY: Springer New York; 2011:1531-1532.
15. Ramakrishnan U, Gonzalez-Casanova I, Schnaas L, et al. Prenatal supplementation with DHA improves attention at 5 y of age: a randomized controlled trial. *The American Journal of Clinical Nutrition*. 2016;104(4):1075-1082.
16. D. M. *Escalas de McCarthy de Aptitudes y Psicomotricidad para Niños*. Vol 8va. Edición. Madrid, España 2006
17. Torres-Sánchez L, Schnaas L, Rothenberg SJ, et al. Prenatal p,p'-DDE exposure and neurodevelopment among children 3.5-5 years of age. *Environ Health Perspect*. 2013;121(2):263-268.
18. Malin AJ, Busgang SA, Cantoral AJ, et al. Quality of Prenatal and Childhood Diet Predicts Neurodevelopmental Outcomes among Children in Mexico City. 2018;10(8):1093.
19. Gauderman WJ. Sample Size Requirements for Association Studies of Gene-Gene Interaction. *American Journal of Epidemiology*. 2002;155(5):478-484.

20. Gow RV, Hibbeln JR. Omega-3 fatty acid and nutrient deficits in adverse neurodevelopment and childhood behaviors. *Child Adolesc Psychiatr Clin N Am*. 2014;23(3):555-590.
21. Lauritzen L, Brambilla P, Mazzocchi A, Harsløf LBS, Ciappolino V, Agostoni C. DHA Effects in Brain Development and Function. *Nutrients*. 2016;8(1):6.
22. Lauritzen L, Jørgensen MH, Olsen SF, Straarup EM, Michaelsen KF. Maternal fish oil supplementation in lactation: effect on developmental outcome in breast-fed infants. *Reproduction, nutrition, development*. 2005;45(5):535-547.
23. Gawlik NR, Anderson AJ, Makrides M, Kettler L, Gould JF. The Influence of DHA on Language Development: A Review of Randomized Controlled Trials of DHA Supplementation in Pregnancy, the Neonatal Period, and Infancy. *Nutrients*. 2020;12(10):3106.
24. Ramakrishnan U, Stinger A, DiGirolamo AM, et al. Prenatal Docosahexaenoic Acid Supplementation and Offspring Development at 18 Months: Randomized Controlled Trial. *PloS one*. 2015;10(8):e0120065-e0120065.
25. Arsalidou M, Pawliw-Levac M, Sadeghi M, Pascual-Leone J. Brain areas associated with numbers and calculations in children: Meta-analyses of fMRI studies. *Developmental Cognitive Neuroscience*. 2018;30:239-250.
26. Passingham D, Sakai K. The prefrontal cortex and working memory: physiology and brain imaging. *Current Opinion in Neurobiology*. 2004;14(2):163-168.
27. McNamara RK, Able J, Jandacek R, et al. Docosahexaenoic acid supplementation increases prefrontal cortex activation during sustained attention in healthy boys: a placebo-controlled, dose-ranging, functional magnetic resonance imaging study. *The American journal of clinical nutrition*. 2010;91(4):1060-1067.
28. McNamara RK. DHA deficiency and prefrontal cortex neuropathology in recurrent affective disorders. *J Nutr*. 2010;140(4):864-868.
29. Bokor S, Dumont J, Spinneker A, et al. Single nucleotide polymorphisms in the FADS gene cluster are associated with delta-5 and delta-6 desaturase activities estimated by serum fatty acid ratios. *J Lipid Res*. 2010;51(8):2325-2333.
30. Cheatham CL, Lupu DS, Niculescu MD. Genetic and epigenetic transgenerational implications related to omega-3 fatty acids. Part II: maternal FADS2 rs174575 genotype and DNA methylation predict toddler cognitive performance. *Nutrition Research*. 2015;35(11):948-955.
31. Krauss-Etschmann S, Hartl D, Rzehak P, et al. Decreased cord blood IL-4, IL-13, and CCR4 and increased TGF-beta levels after fish oil supplementation of pregnant women. *J Allergy Clin Immunol*. 2008;121(2):464-470 e466.
32. Park J-H, Gail MH, Weinberg CR, et al. Distribution of allele frequencies and effect sizes and their interrelationships for common genetic susceptibility variants. *Proc Natl Acad Sci U S A*. 2011;108(44):18026-18031.
33. Koletzko B, Bergmann K, Brenna JT, et al. Should formula for infants provide arachidonic acid along with DHA? A position paper of the European Academy of Paediatrics and the Child Health Foundation. *The American Journal of Clinical Nutrition*. 2020;111(1):10-16.



[Click here to access/download](#)

**Health Research Reporting Checklist
CONSORT checklist IGC.pdf**

