

## Genetic Landscape of the ACE2 Coronavirus Receptor

**Running title:** *Yang et al.; Genetic landscape of the ACE2 coronavirus receptor*

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## Abstract

**Background:** Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), the etiologic agent of COVID-19, enters human cells using the angiotensin-converting enzyme 2 (ACE2) protein as a receptor. ACE2 is thus key to the infection and treatment of the coronavirus. ACE2 is highly expressed in the heart, respiratory and gastrointestinal tracts, playing important regulatory roles in the cardiovascular and other biologic systems. However, the genetic basis of the ACE2 protein levels is not well understood.

**Methods:** We conduct so far the largest genome-wide association meta-analysis of plasma ACE2 levels in over 28,000 individuals of the SCALLOP Consortium. We summarize the cross-sectional epidemiologic correlates of circulating ACE2. Using the summary-statistics-based high-definition likelihood method, we estimate relevant genetic correlations with cardiometabolic phenotypes, COVID-19, and other human complex traits and diseases. We perform causal inference of soluble ACE2 on vascular disease outcomes and COVID-19 disease severity using Mendelian randomization. We also perform in silico functional analysis by integrating with other types of omics data.

**Results:** We identified ten loci, including eight novel, capturing 30% of the protein's heritability. We detected that plasma ACE2 was genetically correlated with vascular diseases, severe COVID-19, and a wide range of human complex diseases and medications. An X-chromosome cis-pQTL-based Mendelian randomization analysis suggested a causal effect of elevated ACE2 levels on COVID-19 severity (odds ratio (OR), 1.63; 95% CI, 1.10 to 2.42;  $P = 0.01$ ), hospitalization (OR, 1.52; 95% CI, 1.05 to 2.21;  $P = 0.03$ ), and infection (OR, 1.60; 95% CI, 1.08 to 2.37;  $P = 0.02$ ). Tissue- and cell-type-specific transcriptomic and epigenomic analysis revealed that the ACE2 regulatory variants were enriched for DNA methylation sites in blood immune cells.

**Conclusions:** Human plasma ACE2 shares a genetic basis with cardiovascular disease, COVID-19, and other related diseases. The genetic architecture of the ACE2 protein is mapped, providing a useful resource for further biological and clinical studies on this coronavirus receptor.

**Key Words:** ACE2, COVID-19, SARS-CoV-2, Cardiovascular disease, Complex disease

**Non-standard Abbreviations and Acronyms**

ARB	Angiotensin receptor blockers
BMI	Body mass index
COVID-19	Coronavirus disease 2019
CRP	C-reactive protein
CTSL1	Cathepsin L1
CVD	Cardiovascular disease
eQTL	Expression quantitative trait loci
GI	Gastrointestinal
GWAS	Genome-wide association study
GWAMA	Genome-wide association meta-analysis
HWE	Hardy-Weinberg equilibrium
LD	Linkage disequilibrium
MAF	Minor allele frequency
MR	Mendelian randomization
PCR	Polymerase chain reaction
PEA	Proximity Extension Assay
pQTL	Protein quantitative trait loci
RAS	Renin-angiotensin system
SARS-CoV-2	Severe acute respiratory syndrome coronavirus 2
SBP	Systolic blood pressure
SNP	Single nucleotide polymorphism
TF	Transcription factor
TG	Triglycerides



Circulation

## Clinical Perspective

### What is new?

- The overall heritability of ACE2 level is 16%, of which 30% can be explained by ten protein quantitative trait loci (pQTL) identified in this study.
- ACE2 level is genetically correlated with both COVID-19 and cardiovascular disease.
- Elevated ACE2 levels show a causal relationship with COVID-19 severity, hospitalization, and infection, as shown by a cis-pQTL-based Mendelian randomization analysis.
- ACE2 regulatory variants are enriched on DNA methylation sites in blood immune cells.

### What are the clinical implications?

- The causal evidence for ACE2 suggests that pharmacological inhibition of circulating ACE2 may be a promising approach for treating COVID-19 or its comorbidities.
- Transcription factors such as HNF1A and HNF4A play essential roles in ACE2 regulation and could provide alternative paths to pharmacological modulation of ACE2 plasma levels.
- The genetic correlations between ACE2 levels and both COVID-19 and cardiovascular disease risk imply that the cardiovascular complications seen in COVID-19 patients may be intrinsic to the disease and mechanistically driven by ACE2.

## Introduction

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), the etiologic agent of COVID-19, enters human cells using the ACE2 protein as a receptor<sup>1-5</sup>. ACE2 is highly expressed in the heart, respiratory, and gastrointestinal (GI) tracts, and it plays important regulatory roles in the cardiovascular and other biologic systems<sup>6</sup>. ACE2 proteolytically degrades angiotensin II (a potent vasoconstrictive, pro-inflammatory, and pro-thrombotic mediator) into angiotensin (1-7) thereby regulating blood pressure, salt and water balance, glucose homeostasis, and amino acid absorption in the kidney and small intestine.

Shedding of a soluble form of ACE2 from the cell surface is regulated by membrane-bound enzymes such as TMPRSS2 and ADAM17<sup>7,8</sup>. Enzymatic cleavage of the ACE2 extracellular domain by TMPRSS2 following binding of the spike protein of SARS-CoV-2 to ACE2 also plays a role in SARS-CoV-2 cell entry and infection. To date, several large, observational cohort studies including either patients with heart failure or atrial fibrillation or healthy children and adults have demonstrated that circulating ACE2 antigen levels<sup>9-12</sup> are higher in men than women, increase with age, and correlate with cardiovascular outcomes and cardiometabolic and inflammatory biomarkers, but not with the use of ACE inhibitors or angiotensin receptor blockers (ARB)<sup>13,14</sup>. Therefore, further understanding the epidemiologic relationships and genetic regulation of the soluble ACE2 protein could have important implications for risk of SARS-CoV-2 infection or disease severity, cardiovascular disease risk in general, and also motivate further assessment of the causal role of ACE2 in these diseases. A recent genome-wide analysis of soluble ACE2 measured in plasma of 3,442 heart failure patients identified three genome-wide significant protein quantitative trait loci (pQTL): a cis-pQTL on chromosome X (located near the cognate ACE2 gene) and two trans-loci on

chromosomes 12 and 21 encompassing the genes encoding transcription factors HNF1A, and ERG, respectively<sup>15</sup>. Current antibody-based ACE2 pQTL studies have been insufficient to capture enough heritability of the protein or estimate downstream genetic connections between ACE2 and diseases such as Cardiovascular disease (CVD) and COVID-19.

Here, by performing so far the largest genome-wide association meta-analysis (GWAMA) of plasma ACE2 ( $N = 28,204$ ), we summarize the cross-sectional epidemiologic correlates of circulating ACE2, report ten pQTL including eight novel for ACE2, estimate relevant genetic correlations with other cardiometabolic phenotypes, conduct causal inference of soluble ACE2 on vascular disease outcomes and COVID-19 disease severity using Mendelian randomization (MR).



## Methods

**Transparency and Openness Promotion** The summary statistics data of the plasma ACE2 GWAS meta-analysis are publicly available at: <https://doi.org/10.6084/m9.figshare.19189307>. Other summary-level data used in this study are also available as stated in Data availability in Supplemental Material. The individual-level human phenotypic and genetic data and biological samples used in this study will not be made available to other researchers for the purposes of reproducing the results or replicating the procedure.

**Data collection** Across the participating cohorts, plasma ACE2 abundance was measured using the Proximity Extension Assay (PEA) technology of Olink©Proteomics on the Multiplex CVDII targeted 96-protein panel. PEA has high readout specificity and sensitivity (see also Supplemental Material) and consumes a minimal amount of sample. In PEA, matched pairs of oligonucleotide-labeled antibodies bind to the target ACE2 antigen, and upon antibody binding,

the matched oligonucleotides are brought into proximity<sup>16</sup>. A polymerase chain reaction (PCR) target sequence corresponding to the ACE2 protein is then created, amplified, and quantified by quantitative PCR.

Genome-wide association summary statistics of plasma ACE2 protein were obtained from 14 cohorts of European ancestry. The cohorts' details are given in Table S1 and Supplemental Methods. The institutional review committees for each cohort approved the study methodology, and all study participants provided informed consent for their clinical and genetic data to be used for research. The maximum sample size per SNP is 28,204 individuals. Each cohort provided data imputed to 1000 Genomes Project phase 3 reference or to the Haplotype Reference Consortium (HRC) reference, which resulted in 17,166,011 autosomal SNPs and 3,922,856 X chromosome SNPs. We tested 8,682,405 and 730,046 genetic variants on the autosomes and X chromosome, respectively, with a minor allele frequency (MAF) > 0.01. Each cohort applied quality control measures for call rate filters, sex mismatch, population outliers, heterozygosity, and cryptic relatedness as documented in the Supplemental Methods. Before running the genetic analyses, protein values (on the log<sub>2</sub> scale) were inverse-Gaussian transformed to zero mean and unit variance. No detection threshold was applied to the raw Olink measurement values.

The summary association statistics for severe COVID-19 and other complex traits and diseases were obtained from publicly available established resources (see Data Availability in Supplemental Material). The ACE protein serum concentration GWAS in 4,147 individuals from the Outcome Reduction with Initial Glargine INtervention (ORIGIN) trial<sup>17</sup> were obtained from the authors (see also Acknowledgements). The aptamer-based plasma ACE levels GWAS made use of the recent study in 35,559 Icelanders<sup>18</sup>. For severe COVID-19, we considered the

GenOMICC Consortium GWAS<sup>19</sup>. For COVID-19 hospitalization and infection GWAS we used COVID-19 Host Genetics Initiative (HGI) GWAS meta-analysis round 4 (October 20, 2020)<sup>20</sup>. To provide a proper replication of causal effect inference, we subtracted GenOMICC GWAS from the HGI meta-analysis using the R package MetaSubtract.

Genome-wide association meta-analysis Genetic analyses were conducted using additive model regressions, with adjustment for population structure and study-specific parameters (details in Supplemental Methods). For the X chromosome, the analysis was performed separately for males and females, with 0-1 genotype coding for males. Each contributing cohort uploaded the result summary statistics in a standardized format to a secure computational cluster at the University of Edinburgh. The meta-analysis was performed using METAL (2011-03-25)<sup>21</sup> with the inverse-variance weighted approach (STDERR option).



Heritability and genetic correlation analysis For the autosomes, we estimated the genome-wide SNP-based heritability and genetic correlation values for plasma ACE2 and other complex traits using high-definition likelihood (HDL)<sup>22</sup>, an approach based on summary association statistics. We used the default reference panel containing linkage disequilibrium (LD) information of 1,029,876 quality-controlled imputed SNPs provided in the HDL software. The genetic variance captured by the cis-pQTL of ACE2 on chromosome X was estimated based on the top SNP assuming Hardy-Weinberg equilibrium (HWE), i.e.,  $2f(1-f)\hat{\beta}^2$ , where  $f$  and  $\hat{\beta}$  are the MAF and the genetic effect estimate, respectively. The genetic variance captured by each autosomal trans-pQTL was also estimated based on the top SNP assuming HWE. Since the phenotypic variance of ACE2 was standardized to one via inverse-Gaussian transformation, the sum of  $2f(1-f)\hat{\beta}^2$  across all the pQTL yields the proportion of phenotypic variance explained by the discovered pQTL. Thus, the ratio of the genetic variance captured by the pQTL to the

estimated heritability gives the proportion of heritability explained.

**Mendelian randomization** For cis-pQTL-based Mendelian randomization (MR) analysis, we used SNP genotypes within the X chromosomal ACE2 gene region as instrumental variables to perform a standard inverse-variance-weighted (IVW) causal effect estimation using the TwoSampleMR package<sup>23</sup>. The LD  $r^2$  clumping threshold was set to 0.001 to ensure independently associated genetic instruments. For autosomal genome-wide multi-instrument MR analysis, we conducted the analysis using the generalized summary-data-based Mendelian randomization (GSMR)<sup>24</sup> module in the GCTA software. The genome-wide significance threshold of p-values was set to  $5 \times 10^{-8}$ . The LD  $r^2$  clumping threshold was set to 0.05.

**cis-eQTL analysis** To analyze the effect of any identified plasma ACE2 pQTL on nearby gene expression, we used two well-established, publicly available genetics of gene expression databases: (1) the large ( $N > 31,000$  individuals) blood gene expression eQTLGen and (2) the GTEx consortium which is smaller ( $N \sim 1000$  individuals) but contains a much broader tissue representation (54 non-diseased tissue sites) in order to detect tissue-specific expression quantitative trait loci (eQTL). For each discovered trans-pQTL of plasma ACE2, we extracted the eQTL association summary statistics for the genes within a 1Mb window of the lead ACE2-associated variant. The analysis was performed to evaluate candidate genes for the discovered ACE2 pQTL, excluding the MHC region on chromosome 6.

**Chromatin states enrichment analysis** We extracted 127 consolidated epigenomes from the Roadmap Epigenomics Project<sup>25</sup>, which covers 15 chromatin states quantified across 28 human tissues and cell types. We used a SNP-based logistic regression to test for the enrichment of LD-corrected genome-wide significant ACE2 association signals on each chromatin state in each tissue or cell type:

$$\log \left( \frac{E[Pr(P_j < 5 \times 10^{-8})]}{E[Pr(P_j \leq 5 \times 10^{-8})]} \right) = \mu + \delta \ell_j + \beta C_j \quad (1)$$

where  $\ell_j$  is the LD score of the  $j$ -th SNP, pre-calculated by the ldsc software for the HapMap3 SNPs (MHC region excluded);  $C_j$  takes a value of zero or one, as an indicator for whether the SNP is annotated to be within the particular chromatin state;  $\beta$  is the parameter of interest, i.e., the log odds ratio of significant ACE2 associations in the chromatin state compared to that in the other SNPs. The higher enrichment of the ACE2 association signals at the annotated SNPs, the higher  $\beta$  would be.

**Statistical analysis** The genetic effects from different cohorts were inverse-variance weighted in the meta-analysis using the METAL software. Subtraction of cohorts from GWAS summary statistics was done using the R package MetaSubtract. The heritability and genetic correlation parameters were estimated using the HDL and ldsc software. MR analysis was performed using the R package TwoSampleMR and the GCTA software. Linear and generalized linear models were fitted using the lm() and glm() procedures in R. The displayed parameters estimates are shown with standard errors or 95% confidence intervals.

## Results

**Epidemiologic correlates of plasma ACE2 levels** Across our SCALLOP cohorts, plasma ACE2 levels were higher in men than women (Table S2). Significant positive associations were found between higher plasma ACE2 and CTSL1 (Cathepsin L1), BMI (Body mass index), triglycerides (TG), liver fat, hypertension, CVD, blood pressure, and diabetes (Figure S1, Table S3). Among commonly used anti-hypertensive drug classes, calcium channel blockers were positively associated with ACE2 levels, but there was no significant correlation between ACE2 levels and either ACE inhibitor use or angiotensin receptor blocker use in the overall sample. Stratifying the

sample by sex, ARB use was significantly associated with higher ACE2 levels in men only. We also identified significant sex heterogeneity for the associations with CSTL1, BMI, TG, and diabetes: the ACE2 associations with BMI and TG were stronger in men, whereas the associations with CSTL1 and diabetes appeared to be stronger in women.

GWAS identified ten genome-wide significant loci for plasma ACE2. We conducted a GWAMA in up to 28,204 individuals from 14 cohorts in the SCALLOP consortium for plasma ACE2 protein measured using the <sup>®</sup>Olink platform. Our analysis included both the autosomes and the X chromosome, where the *ACE2* gene is encoded. Genotypes in each cohort were either obtained from whole-genome sequencing or imputed to the 1000 Genomes Phase 3 or Haplotype Reference Consortium reference panels (see Supplemental Methods). The ACE2 measurements were inverse-Gaussian transformed prior to analysis, adjusted for age, sex, population structure, and cohort-specific covariates. We tested 8,682,405 and 730,046 genetic variants on the autosomes and the X chromosome, respectively, with minor allele frequencies (MAFs) > 0.01.

The GWAMA discovered ten genome-wide significant loci for plasma ACE2 (Table 1, Figure 1, Figures S2 – S12). From conditional and joint multi-SNP analysis<sup>26</sup> on these discovered loci, we identified a secondary genome-wide significant association at the *EXOC3L4* locus on chromosome 14 (lead variant rs73356643, GWAS  $P = 1.3 \times 10^{-6}$ , conditional  $P = 1.0 \times 10^{-8}$ ). Using the high-definition likelihood method<sup>22</sup>, we estimated the autosomal SNP-based heritability of plasma ACE2 to be 16.1% (s.e. 2.5%). Adding the X chromosome heritability of 0.5% for male and 1.3% for female (Figure S13), we obtained an estimated genome-wide heritability of 16.6% and 17.4% for men and women, respectively. Based on an additive genetic effects model under Hardy-Weinberg equilibrium, the ten lead variants together explain 4.1% of the phenotypic variance of plasma ACE2 equivalent to about 30% of the heritability.

Except for the *ACE2* cis-pQTL (lead variant rs1849863,  $P = 1.1 \times 10^{-85}$ ) and *ACE2*'s transcription factor *HNF1A* trans-pQTL (lead variant rs1169288,  $P = 4.5 \times 10^{-78}$ ), the other eight trans-pQTL have not been previously associated with plasma ACE2 (Table 1, Table S4). In contrast to ACE2 and HNF1A pQTL, we were unable to replicate another previously reported locus on chromosome 21 (rs2186346,  $P = 0.41$ )<sup>15</sup>.

To further characterize the potential functional, biologic, and clinical impact of our newly discovered ACE2-associated loci and prioritize plausible causal genes at these loci, we cross-referenced each of our index SNPs using (1) two available gene expression and eQTL resources: the eQTLGen consortium (Figure S14A, Table S5) and the GTEx consortium (Figure S14B, Table S6); (2) epigenomic information from the Roadmap Epigenomics Project<sup>25</sup>, which covers 15 chromatin states quantified across various human tissues and cell types (Figure S15, Table S7); (3) genotype-phenotype association database records from PhenoScanner<sup>27</sup> (Table S8). We excluded the HLA locus on chromosome 6 because of the complicated genomic and LD structure in this region.

Tissue, epigenomic, and regulatory characterization of the ACE2-associated genomic loci Across the eight autosomal ACE2-associated genomic loci, analysis of blood gene expression associations using eQTLGen data showed that plausible candidate genes generally ranked high among the genes underlying each trans-pQTL. However, multi-tissue analysis of the GTEx eQTL associations of the prioritized genes in the discovered pQTL at each of the eight loci did not point to clear specific tissues of ACE2 regulation. In GTEx, *ACE2* cis-eQTL associations were also found in multiple tissues, including brain, tibial nerve, tibial artery, and pituitary (Figure S16), though *ACE2* tends to have specifically lower expression in these tissues (Figure S17). Across diverse tissues and cell types, chromatin states such as weak transcription and

heterochromatin harbored enriched plasma ACE2 genetic associations (Figure S15). Notably, the enrichment was more tissue-specific for the strong transcription chromatin state, where the ACE2 genetic associations were particularly enriched in T cells, natural killer cells, hematopoietic cells, and blood lymphoblastoid cells. This suggests a shared genetic regulation between plasma ACE2 and hemocyte immune functions.

Functional and phenotypic annotation of the ACE2-associated loci Notably, the majority of the autosomal trans-pQTL for ACE2 have been pleiotropically associated through prior GWAS with various cardiovascular, metabolic, inflammatory/immune, and pulmonary traits (Table S8). These loci are detailed further below.

Two loci involve coding variants from the same family of hepatic/pancreatic transcription factor genes. These include missense variants of *HNF1A* (lead variant rs1169288 or p.Ile27Leu,  $P = 4.5 \times 10^{-78}$ ) and hepatocyte nuclear factor *HNF4A* (lead variant rs1800961, p.Thr139Ile,  $P = 1.3 \times 10^{-9}$ ). Both of these *HNF1A* and *HNF4A* missense variants have been previously associated with HDL, LDL and total cholesterol, type 2 diabetes, CHD, C-reactive protein (CRP), fibrinogen, and coagulation factor VII, and other hepatically-synthesized enzymes or enzyme inhibitors such as GGT or alpha1-antitrypsin levels. Especially, p.Ile27Leu is a well-known variant with the Leu allele (corresponds to the C allele of rs1169288), increasing the risk of type 2 diabetes; meanwhile, it reduces plasma ACE2 concentration ( $\beta = -0.175$ ,  $s.e. = 0.009$ ) in our study. Rare loss-of-function variants of *HNF1A* and *HNF4A* can cause the Mendelian disorder mature onset diabetes of the young (MODY). The transcription factors HNF1A and HNF4A have been shown to regulate ACE2 expression in a pancreatic and ileal-specific manner, respectively<sup>28,29</sup>. Interestingly, both HNF1A and HNF4A also have a crucial role in protein fucosylation<sup>30</sup>. Given that the SARS-CoV-2 spike (S) protein is heavily fucosylated with host-

derived glycans<sup>31</sup>, in addition to regulating the expression of ACE2, these two loci could potentially influence S protein glycosylation and thereby influence the virus' entry to the host cell<sup>3</sup>.

In addition to the two HNF transcription factors, two other loci contain genes involved in hepatic gene transcriptional regulation. *RORA* encodes retinoic acid receptor-alpha, another hepatic transcriptional activator involved in the regulation of circadian rhythm, metabolism, and immune function<sup>32</sup>. The intronic *RORA* variant (lead variant rs340005,  $P = 4.7 \times 10^{-9}$ ) is located in an ENCODE distal enhancer region and has been previously associated with CRP and GGT levels. The lead SNP rs2954021 ( $P = 4.6 \times 10^{-14}$ ) located upstream of the pleiotropic *TRIB1* gene has been associated with various metabolic traits, liver enzymes, plasma lipids, kidney function, blood cell traits, hepatic steatosis, and CHD (examples of colocalization of the ACE2 associations with HDL, LDL, BMI, and waist circumference are given in Figure S18). The protein product of *TRIB1*, Tribbles-1, post-translationally regulates the degradation of CCAAT/enhancer-binding protein  $\alpha$  (C/EBP $\alpha$ ), which contributes to the dysregulation of hepatic lipid-related gene expression<sup>33</sup> and is also a positive regulator of HNF4A<sup>34</sup>.

The lead variant at the *SERPINA1* locus, rs28929474 ( $P = 1.0 \times 10^{-27}$ ), encodes the canonical European Pi\*Z allele p.Glu342Lys causing recessively inherited alpha-1-antitrypsin deficiency (AATD), a disease that affects the lung and liver<sup>35</sup>. The Lys allele leads to lower serum concentrations of AAT<sup>36</sup> and higher plasma ACE2 levels ( $\beta = 0.312$ ,  $s.e. = 0.029$ ). In population-based genetic studies, rs28929474 has been associated with various metabolic phenotypes (height, bone mineral density, systolic blood pressure, fat-free mass, gallstones, lipids), pulmonary function, alcohol consumption, and plasma levels of various hepatic enzymes (alkaline phosphatase, alanine aminotransferase) and acute-phase proteins synthesized in the

liver (e.g., CRP, AFP). Importantly, the alpha-1-antitrypsin serine protease inhibitor additionally possesses anti-viral and anti-inflammatory properties, including inhibition of TMPRSS2 and ADAM17 enzyme activities, and therefore has been proposed as a possible host protective factor against COVID-19<sup>37,38</sup>. The class 1 MHC locus (lead variant rs3094087,  $P = 6.3 \times 10^{-9}$ ) has been associated with a variety of autoimmune, GI, blood cell counts, anthropometric, and pulmonary traits.

Several of the newly identified pQTL have less clearly understood biological relationships to plasma ACE2 and/or are less clearly mapped with respect to causal genes. The *MICAL3* intronic lead variant rs5992134 ( $P = 2.5 \times 10^{-10}$ ) is a cis-eQTL for *MICAL3* and located within a predicted distal enhancer region and has been previously associated with liver enzymes, pulmonary function, and SBP. MICAL-3 is an NADPH-dependent oxidoreductase enzyme that participates in actin cytoskeleton reorganization<sup>39</sup>. The pQTL on chromosome 16 is located in a gene desert (closest gene *SALL1* ~300 kb), but has been previously associated with CRP and red blood cell count. The lead variant rs17616063 is located within an ENCODE distal enhancer and overlaps a transcription factor (TF) binding site (Ensembl regulatory feature id ENSR00000085879), with the nearest experimentally verified motif ENSM00156191351 binding with protein HNF4A (encoded on the chromosome 20 locus) in the HepG2 cell line (Figure 1, Figure S19). The chromosome 14 locus (*EXOC3L4* intronic lead variant rs2274685,  $P = 3.7 \times 10^{-16}$ ) has been associated with liver enzymes and platelet quantitative traits; and with gene expression of *CDC42BPB*, whereas another gene nearby, *TNFAIP2*, is abundantly expressed in immune cells and has been implicated in inflammation and infectious disease<sup>40</sup>. From conditional and joint multi-SNP analysis on these discovered loci, we identified a secondary genome-wide significant association at the *EXOC3L4* locus on chromosome 14 (lead

variant rs73356643, GWAS  $P = 1.3 \times 10^{-6}$ , conditional  $P = 1.0 \times 10^{-8}$ ).

At the cis-pQTL located near the *ACE2* gene, the lead variant rs1849863 was strongly associated with plasma ACE2 levels ( $P = 1.1 \times 10^{-85}$ ). With a threshold of LD  $r^2 < 0.001$ , we obtained another two independent cis-instruments rs143380244 ( $P = 8.8 \times 10^{-9}$ ) and rs73202884 ( $P = 2.8 \times 10^{-13}$ ) for plasma ACE2. The three cis-variants together capture 1.4% of the phenotypic variance of ACE2, equivalent to 8.8% of ACE2's heritability. Notably, no established clinical or phenotypic association for the ACE2 cis-regulatory locus was found, possibly due to the lack of association studies on the X chromosome (Figure S20). Our sentinel SNP at the cis-pQTL rs1849863 is in strong LD with the previously reported top SNP rs12558179 by Nelson et al.<sup>15</sup> ( $R^2 = 0.9489$  and  $D' = 0.9741$  in 1000 Genomes European individuals). A recent report identified a cis-regulatory rare variant rs190509934 for *ACE2* gene expression that influences COVID-19 risk<sup>41</sup>, for which the rare allele C only forms a haplotype with the minor, C, allele of our detected top SNP rs1849863. However, the rare allele of rs190509934 was reported by Horowitz et al. to reduce the ACE2 mRNA expression, whereas we found that the minor allele of rs1849863 increases the plasma ACE2 level.

Genetic and causal relationships between plasma ACE2 and cardiovascular diseases We explored the shared genetic architecture between plasma ACE2 and cardiovascular and metabolic risk factors and CVD outcomes. We estimated bivariate ACE2-trait genetic correlations using two different databases of GWAS summary statistics<sup>42</sup>. First, we assessed genetic correlations between plasma ACE2 and various human lifestyle/behavioral, psychosocial, health-related and biomarker traits with available GWAS summary statistics using the high-definition likelihood method<sup>22</sup> (Table S9). Among these, plasma ACE2 showed significant positive genetic correlations with cigarette smoking, blood pressure, cholesterol

levels, CRP, and anthropometric traits (false discovery rate < 5%, Figure 2, Table S9).

Next, in order to examine the shared genetic basis between plasma ACE2 level and specific vascular diseases or diagnoses, we specifically extracted the GWAS summary-level data for 48 vascular-disease-related phenotypes from UK Biobank (see Methods, Table S10), categorized into 18 for heart disease, 15 for blood pressure, 9 for stroke, and the other 6 for blood lipids. We assessed genetic correlations and found that plasma ACE2 levels had positive genetic correlations with most of the vascular disease phenotypes, except for HDL cholesterol (Figure 3, Table S11).

Next, we conducted bidirectional causal inference using generalized summary-level Mendelian randomization (GSMR) to identify potential causal effects of ACE2 on these traits (Figure 3, Table S12). In the GSMR analysis, the instrumental variables used to assess causality are restricted to the autosomal trans-pQTL for ACE2. Paradoxically, GSMR suggested that genetically elevated plasma ACE2 level is associated with reduced risk of heart disease, HDL, LDL, and total cholesterol. However, the results of MR analyses can be biased if some of the genetic instruments are invalid because they additionally influence the outcome through pathways other than ACE2 (so-called “horizontal pleiotropy”).

Since several of the ACE2-associated autosomal loci are pleiotropically associated with various cardiometabolic and inflammation-related phenotypes, we further conducted the MR analysis for vascular diseases using only the X chromosome cis-pQTL *ACE2* locus. No significant causal effect was detected (Figure 3, Table S13) for any of the phenotypes with summary association statistics of the X chromosome. It should be noted that while on the one hand using only cis-pQTL as the MR instrument may avoid the issue of bias due to horizontal pleiotropy, on the other hand, there may be reduced power to detect a true causal effect using

only the cis-pQTL due to the smaller number of SNPs considered.

Genetic correlation and causal inference between ACE2 and COVID-19 Based on the latest genome-wide association summary statistics for severe COVID-19<sup>19</sup>, we obtained an estimated autosomal genetic correlation of 0.476 ( $P = 9.4 \times 10^{-3}$ ) with plasma ACE2, indicating an increased risk of severe COVID-19 for people that have genetically raised levels of circulating ACE2 protein. At the cis-pQTL located near the *ACE2* gene, the lead variant rs4830984 was nominally significantly associated with severe COVID-19 ( $P = 0.026$ )<sup>19</sup>. GSMR analysis based on all the discovered ACE2 pQTL as instruments revealed no significant causal effect. To avoid horizontal pleiotropy, we considered in our MR analysis only the independent cis-variants as genetic instruments for causal inference (Figure 4A). Based on inverse-variance weighted (IVW) Mendelian randomization (MR), we estimated an odds ratio of 1.63 (95% CI, 1.10 to 2.42;  $P = 0.01$ ) for ACE2 on COVID-19 severity (Figure 4B). Reverse MR analysis instrumenting on the severe COVID-19 loci did not reveal a significant estimate (GSMR  $P = 0.95$ ), suggesting the absence of a causal effect of COVID-19 on ACE2 levels. As validation, in the HGI COVID-19 hospitalization data (GWAS B2, GenOMICC subtracted), we estimated the causal effect of ACE2 with an OR of 1.52 (95% CI, 1.05 to 2.21;  $P = 0.03$ ), and in the HGI COVID-19 infection data (GWAS C2, GenOMICC subtracted), the estimated OR was 1.60 (95% CI, 1.08 to 2.37;  $P = 0.02$ ).

## Discussion

We provide a detailed characterization of the phenotypic and genetic correlates of plasma ACE2 using data from several large cohort studies, including the identification of eight novel genetic loci, which together explain 30% of the protein's heritability. We further demonstrate that ACE2

levels are genetically correlated with both cardiovascular disease and COVID-19 clinical outcomes. Moreover, we found genetic evidence that elevated ACE2 levels may be causally related to COVID-19 severity, hospitalization, and infection. These data add further evidence that the cardiovascular complications of COVID-19 disease patients may be mechanistically related to ACE2.

**Genetics of plasma ACE2 levels** Previous studies have measured the heritability of ACE2 levels<sup>43,44</sup>, with the SNP heritability estimated to be 33% in the PURE study<sup>44</sup> using the same Olink PEA-based ACE2 antigen assay. The heritability was estimated to be 16% among Europeans (with somewhat higher estimates for Latinos and Persians) in our study. Differences in heritability estimation methods or geographic or demographic differences between populations may account for different heritabilities across populations. Despite these differences, we were able to confirm two recently identified genetic loci associated with ACE2, the cis-pQTL on chromosome X and *HNF1A*. The peak signal on the X chromosome is located around 100 kb upstream of *ACE2* and therefore likely reflects regulatory effects on *ACE2* expression. These variants are not in LD with several common missense variants of *ACE2* that are predicted to impact ACE2 protein stability<sup>45</sup> or SARS-CoV-2-binding<sup>46,47</sup>. The presence of a strong X-linked locus may explain in part the observed sex differences in *ACE2* expression across various tissues<sup>48</sup> as well as the higher circulating levels of ACE2 in men.

Along with the *HNF1A* ACE2-associated autosomal locus, the eight newly identified genetic loci associated with ACE2 may help to shed additional light on mechanisms by which cellular or plasma ACE2 levels are regulated under physiologic and pathologic conditions<sup>48</sup>. The transcription factors HNF1A and HNF4A regulate *ACE2* expression in the pancreas and GI tract<sup>28,29</sup>. Besides *ACE2* expression itself, we also identified another discovered novel locus

being the TF binding site of HNF4A. The *HNF1A*, *HNF4A*, *TRIB1* loci are also important determinants of cardiovascular disease, diabetes, lipids, and adiposity-related traits, and therefore likely contribute to the genetic correlations we observed between these cardiometabolic phenotypes as well as the findings from Mendelian randomization analysis between ACE2 for BMI and diabetes in the PURE study. While the *ACE2* locus on chromosome X and several of the newly identified autosomal genetic loci likely influence ACE2 levels through regulation of cellular expression or transcription of *ACE2* in various tissues, other loci may influence the amount of ACE2 shedding from the cell membrane. In this regard, the protease inhibitor alpha-1-antitrypsin is capable of inhibiting the enzymatic activities of two proteases TMPRSS2 and ADAM17, both of which are involved in ACE2 shedding<sup>37,38</sup>.

Relationship of ACE2 and RAS to CVD In our analysis, soluble ACE2 levels were positively correlated with several traditional CVD risk factors, which is consistent with its important role in counter-regulation of the renin-angiotensin system (RAS). Higher ACE2 has additionally been associated with poorer prognosis in patients with pre-existing CVD and recently was found to prospectively predict incident CVD, mortality, diabetes, and heart failure in previously unaffected individuals<sup>9-12</sup>, independently of traditional risk factors<sup>44</sup>. In particular, ACE2 was ranked higher as a predictor of overall mortality compared to smoking, diabetes, blood pressure, BMI, and lipids. We also found that higher plasma ACE2 level is genetically correlated with a higher risk of vascular diseases, including coronary heart disease, hypertension, stroke, and heart failure. Nonetheless, Mendelian randomization analysis restricted to the cis-pQTL ACE2 locus was unable to demonstrate a causal relationship between ACE2 and CVD-related outcomes. We speculate that the apparent but paradoxical protective effect of ACE2 on CVD by GSMR using the additional autosomal trans-pQTL associations may reflect that

extensive pleiotropy exhibited by most of the autosomal trans-pQTL on blood lipids and other CVD risk factors.

Both ACE and ACE2 have connections with cardiovascular mechanisms. Although the sparse genetic architecture of both proteins did not result in significant genetic correlation, a suggestive causal effect of ACE2 on ACE was detected (see also Supplemental Material). We have shown above that ACE2 is genetically correlated with a series of vascular traits while its causal role was detected only for CVD-related phenotypes; in contrast, the hypertension target ACE showed a clear causal effect on blood pressure. Our results thus suggest distinct downstream functions of the two homologous proteins.

**Relationship of ACE2 to COVID-19** In addition to its role in the regulation of the RAS, cellular ACE2 is an important receptor for SARS-CoV-2 and other coronaviruses. Our findings of a genetic correlation of soluble ACE2 and additional evidence that genetically determined sACE2 is associated with increased risk of COVID-19 severity are consistent with recent in vitro data that the secreted form of ACE2 plays a direct role in cell entry of SARS-CoV-2 via receptor-mediated endocytosis<sup>49</sup>. Our results are also consistent with human genetic studies indicating genetic variation in soluble ACE2 influences COVID-19 risk<sup>41,50</sup>.

Based on animal studies, ACE inhibitors or ARB may up-regulate *ACE2* gene expression in cardiac cells<sup>51,52</sup>, which might increase COVID-19 susceptibility. However, human observational studies have not found a robust relationship between higher ACE2 levels and ACE-inhibitors or ARB use<sup>13,14</sup>. Consistent with these results, we did not find a significant association of ACE inhibitors or ARB on plasma ACE2.

**Study limitations** While our study adds important information to the regulation of ACE2 and the genetic relationship between ACE2 and other phenotypes, several limitations should be

highlighted.

1) The genetic loci identified herein are associated with the soluble form of ACE2 found in human plasma, which is relatively easily obtainable and can be studied with sufficiently large sample sizes. The relationship of the plasma ACE2 pQTL or other genetic loci to tissue levels of the full-length cellular ACE2 receptor, the precise genetic regulatory mechanisms underlying these associations, as well as the relevant tissue sources of soluble ACE2, all remain to be determined. 2) Despite the large sample size, we were still only able to account for 30% of ACE2's heritability, leaving the remaining loci (e.g., common genetic variants with smaller effect sizes or rare genetic variants of large effect) to be discovered; 3) The Olink PEA assay quantifies plasma ACE2 concentration, rather than the enzymatic activity of the protein. ACE2's activity as an enzyme may or may not have the same biological basis as its general abundance. An earlier family-based study of plasma ACE2 *activity* levels in healthy individuals<sup>43</sup> estimated that genetic factors accounted for 67% of the phenotypic variance in ACE2 but only 7% of individuals had detectable ACE2 levels using a fluorogenic activity assay. Using a more sensitive fluorogenic assay, readouts from an ACE2 enzyme activity assay tend to have a strong correlation with protein levels of ACE2<sup>53</sup>. Given the specificity of the Olink ACE2 assay (Supplemental Material), one can expect that the effects on Olink and enzyme activity assay measurements would likely be directionally concordant.

In summary, our findings suggest that ACE2 may play an important role not only in susceptibility to cardiovascular, metabolic, and pulmonary disorders but also in susceptibility to COVID-19 disease severity. These findings have potential therapeutic implications for the counter-regulatory ACE2/Ang-(1-7) axis in modulating COVID-19 disease severity<sup>54</sup>. In particular, identification of additional genetic factors involved in the regulation of ACE2 levels

may help disentangle the potential causal roles of soluble vs. cellular ACE2 in the regulation of chronic diseases, COVID-19 infection, disease severity, and immunity.

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### Author contributions

X.S. and J.F.W. initiated and coordinated the study. E.M.-D. and P.K.J. contributed to autosomal meta-analysis. Z.Y., X.S., A. Richmond, and L.K. contributed to X chromosome meta-analysis. Z.Y., N.P., T.H., Y.H., and H.G. contributed to the MR analysis. Z.Y., J.C., R.Z., T.L., Y.W., and K.Y. contributed to functional analysis. Z.Y., Z.N., and C.Z. contributed to heritability and genetic correlation analysis. S.G., B.P., A. Ramisch, E.T.D., G.P., N.E., J.H., X.H., D.Z., T.B., S.-J.H., E.W., M.P., L.M.R., A.K., J. Peters, A.V., L.L., S. Elmståhl, G.D., J. Petrie, O.P., L.F. Y.C., C.Y., U.V., T.E., S. Enroth, Å.J., U.G., C.L., D.L., C.H., T.L.A., C.K., A.W.M., A.S., L.W., A.G., E.Z., J.M.S., A.B., K.M., and A.M. contributed to the cohort-level analysis. L.R., E.P.-C., S.C., A.D.B., K.R., and J.K.B. contributed to GenOMICC severe COVID-19 genomic analysis. X.S. drafted the manuscript. L.K., Y.P., A.P.R., and J.F.W. contributed to manuscript

writing. All authors gave final approval to publish.

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**Supplemental Material**

Supplemental Methods

Supplemental Results & Discussion

Figures *S1 - S24*

Tables *S1 - S21*

Supplemental Information

References *55 - 57*



**Circulation**

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# Circulation

**Table 1. Summary of Ten Genome-wide Significant Loci for Plasma ACE2.**

Lead SNP	Chr.	Position (bp)	R.A.	E.A.	Freq.	$\beta$ (s.e.)	<i>P</i>	Nearest Coding Gene
rs3094087	<b>6</b>	31061561	<b>C</b>	<b>T</b>	0.853	0.073 (0.013)	$6.3 \times 10^{-9}$	<i>HLA-B</i>
rs2954021	<b>8</b>	126482077	<b>G</b>	<b>A</b>	0.473	0.067 (0.009)	$4.6 \times 10^{-14}$	<i>TRIB1</i>
rs1169288*	<b>12</b>	121416650	<b>A</b>	<b>C</b>	0.334	-0.175 (0.009)	$4.5 \times 10^{-78}$	<i>HNF1A</i>
rs28929474†	<b>14</b>	94844947	<b>C</b>	<b>T</b>	0.026	0.312 (0.029)	$1.0 \times 10^{-27}$	<i>SERPINA1</i>
rs2274685	<b>14</b>	103575070	<b>G</b>	<b>A</b>	0.550	-0.074 (0.009)	$3.7 \times 10^{-16}$	<i>EXOC3L4</i>
rs340005	<b>15</b>	60878030	<b>G</b>	<b>A</b>	0.581	0.054 (0.009)	$4.7 \times 10^{-9}$	<i>RORA</i>
rs17616063	<b>16</b>	51436882	<b>G</b>	<b>A</b>	0.915	0.115 (0.017)	$5.2 \times 10^{-11}$	<i>SALL1</i>
rs1800961	<b>20</b>	43042364	<b>C</b>	<b>T</b>	0.049	0.130 (0.021)	$1.3 \times 10^{-9}$	<i>HNF4A</i>
rs5992134	<b>22</b>	18433994	<b>G</b>	<b>T</b>	0.229	-0.067 (0.011)	$2.5 \times 10^{-10}$	<i>MICAL3</i>
rs1849863	<b>23</b>	15736245	<b>T</b>	<b>C</b>	0.245	0.164 (0.008)	$1.1 \times 10^{-85}$	<i>ACE2</i>

Chr.: Chromosome. R.A.: Reference allele. E.A.: Effective allele. Freq.: Frequency of the effective allele. s.e.: Standard error.

\*: also known as p.Ile27Leu, where the Leu allele corresponds to the C allele.

†: also known as p.Glu342Lys, where the Lys allele corresponds to the T allele.

**Figure 1. Genomic meta-analysis scan of plasma ACE2.** Mapped genes are labeled at genome-wide significant loci ( $P < 5 \times 10^{-8}$ ). Genome-wide significant variants with minor allele frequency  $< 0.05$  are marked as circles instead of solid dots. Illustrations are provided for the interactions between two pairs of mapped loci, where the locus on chromosome 16 is a transcription binding site for the transcription factor HNF4A mapped on chromosome 20, and HNF1A acts as the transcription factor for the *ACE2* gene. TFBS: transcription factor binding site.

**Figure 2. Genetic correlations between plasma ACE2 and human complex traits and diseases.** A, Statistically significant (false discovery rate  $< 5\%$ ) genetic correlations with ACE2 are shown, where severe COVID-19, C-reactive protein (CRP), as well as other representative traits are labeled. The error bars represent standard errors. The colors label different groups of phenotypes. SBP: systolic blood pressure, BMI: body mass index, FVC: forced vital capacity. Detailed explanations of the annotated phenotypes are in the Supplemental Material. B, Enrichment of genetic correlations with ACE2 within each group of phenotypes. The circles are the quantile-quantile (QQ) plots of the genetic correlations test statistics against the null, whereas the solid dots are the QQ plots of the test statistics within each phenotype group against all the analyzed phenotypes.

**Figure 3. Genetic and causal relationships between plasma ACE2 and vascular diseases.**

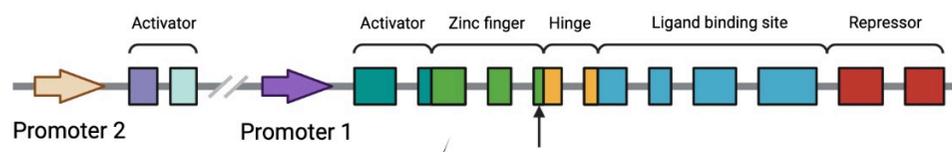
Estimates significantly different from zero are highlighted in filled circles. The first two forest plots show the bidirectional generalized summary-level Mendelian randomization (GSMR) analysis results between plasma ACE2 and 48 vascular-disease-related traits. The third forest plot gives the corresponding genetic correlations estimates between plasma ACE2 and these phenotypes. The last forest plot shows the estimated MR effects based on cis-pQTL only. OR: odds ratio.

**Figure 4. Causal inference between plasma ACE2 and COVID-19 based on the ACE2 cis-pQTL.**

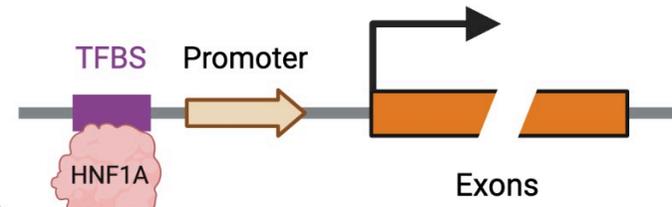
A, The regional GWAS Z-scores across four traits are compared, where the alleles are coded so that the estimated SNP effects on ACE2 are all positive. Genome-wide significant SNPs for ACE2 ( $P < 5 \times 10^{-8}$ ) are highlighted in yellow. The three SNPs representing independent significant associations after LD clumping ( $r^2 < 0.001$ ) are marked in red. B, Inference of ACE2's causal effect on COVID-19 via Mendelian randomization (MR). The MR was performed using an inverse-variance weighted causal effect estimator, based on multiple genome-wide significant cis-regulatory SNPs. A threshold of  $R^2 < 0.001$  was applied to prune out SNPs in LD. OR: odds ratio. The whiskers represent 95% confidence intervals.

# HNF4A gene

# ACE2 gene



Chr 20



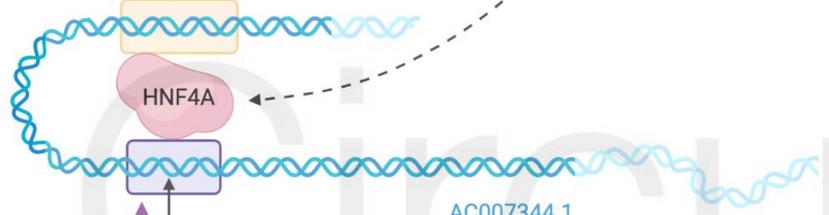
Transcription & Translation

rs1800961



80

Enhancer



rs17616063

AC007344.1 (lncRNA)

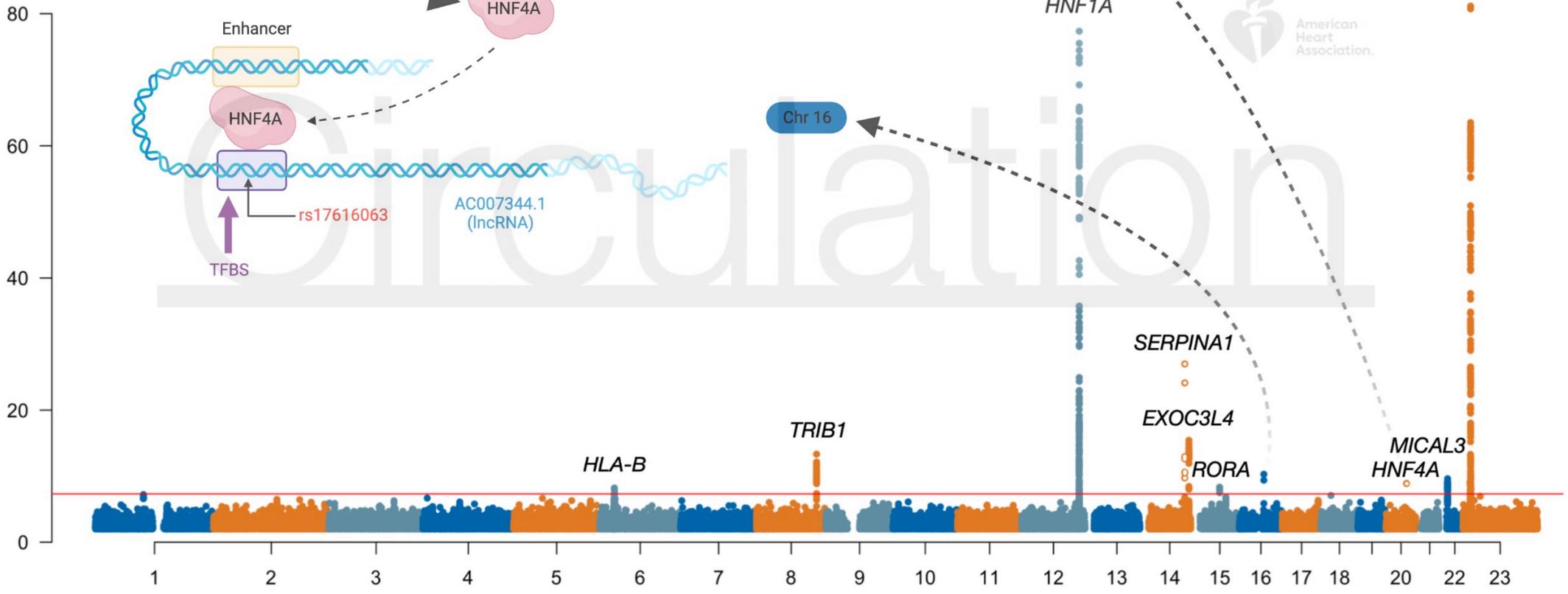
Chr 16

HNF1A



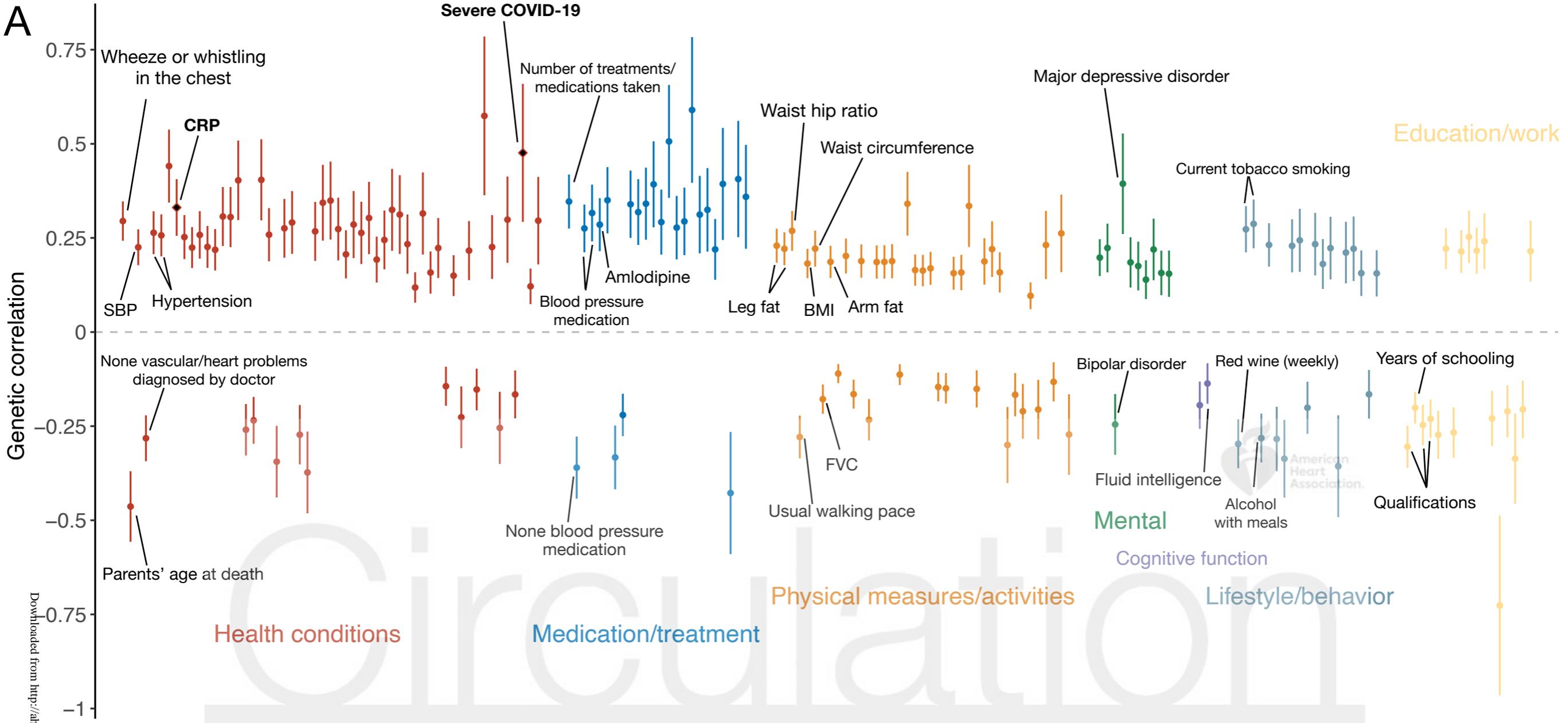
Exons

ACE2

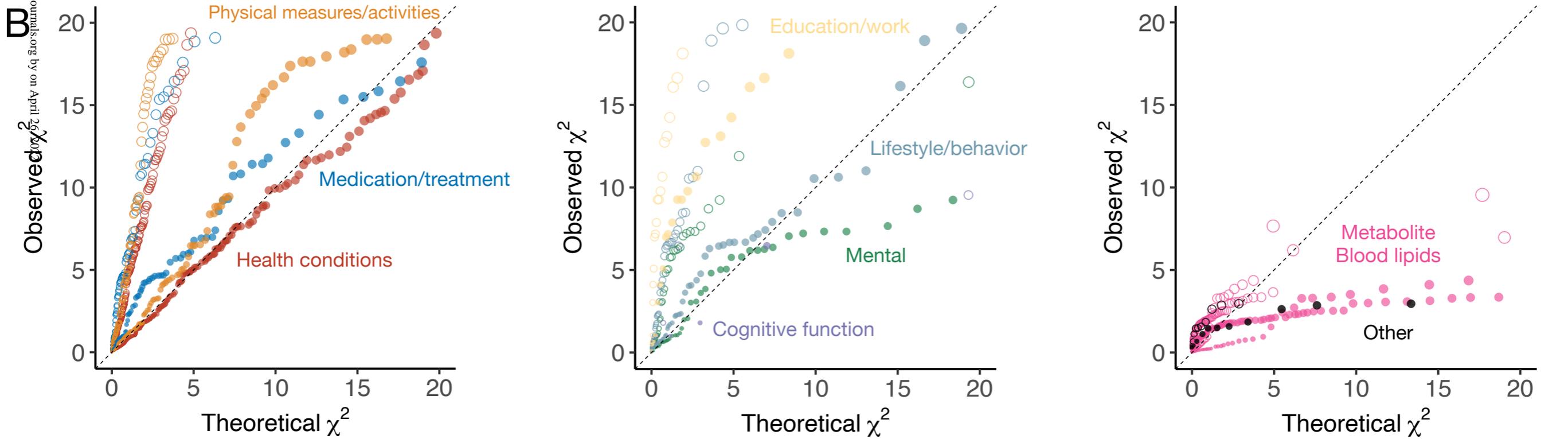


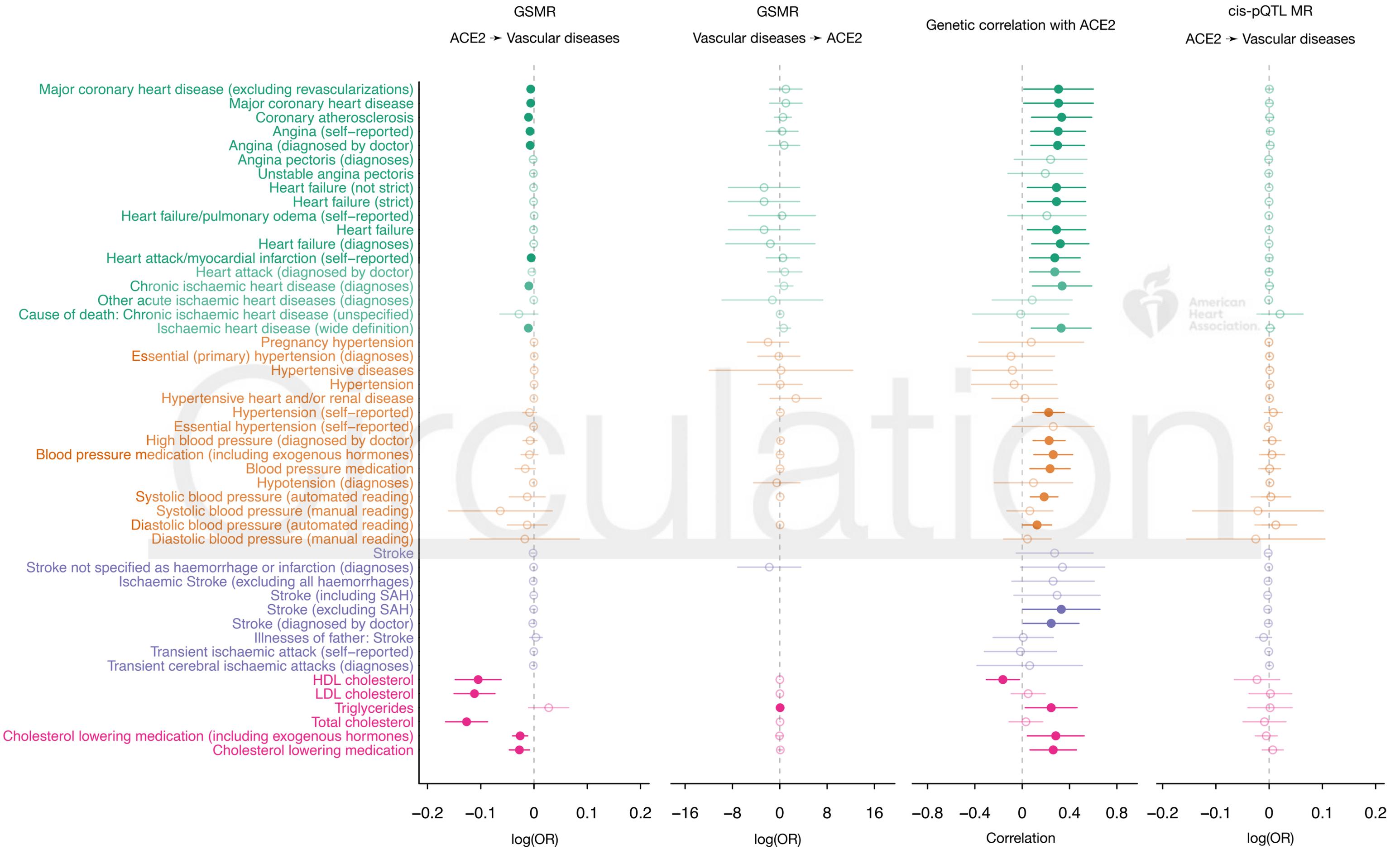
Chromosome

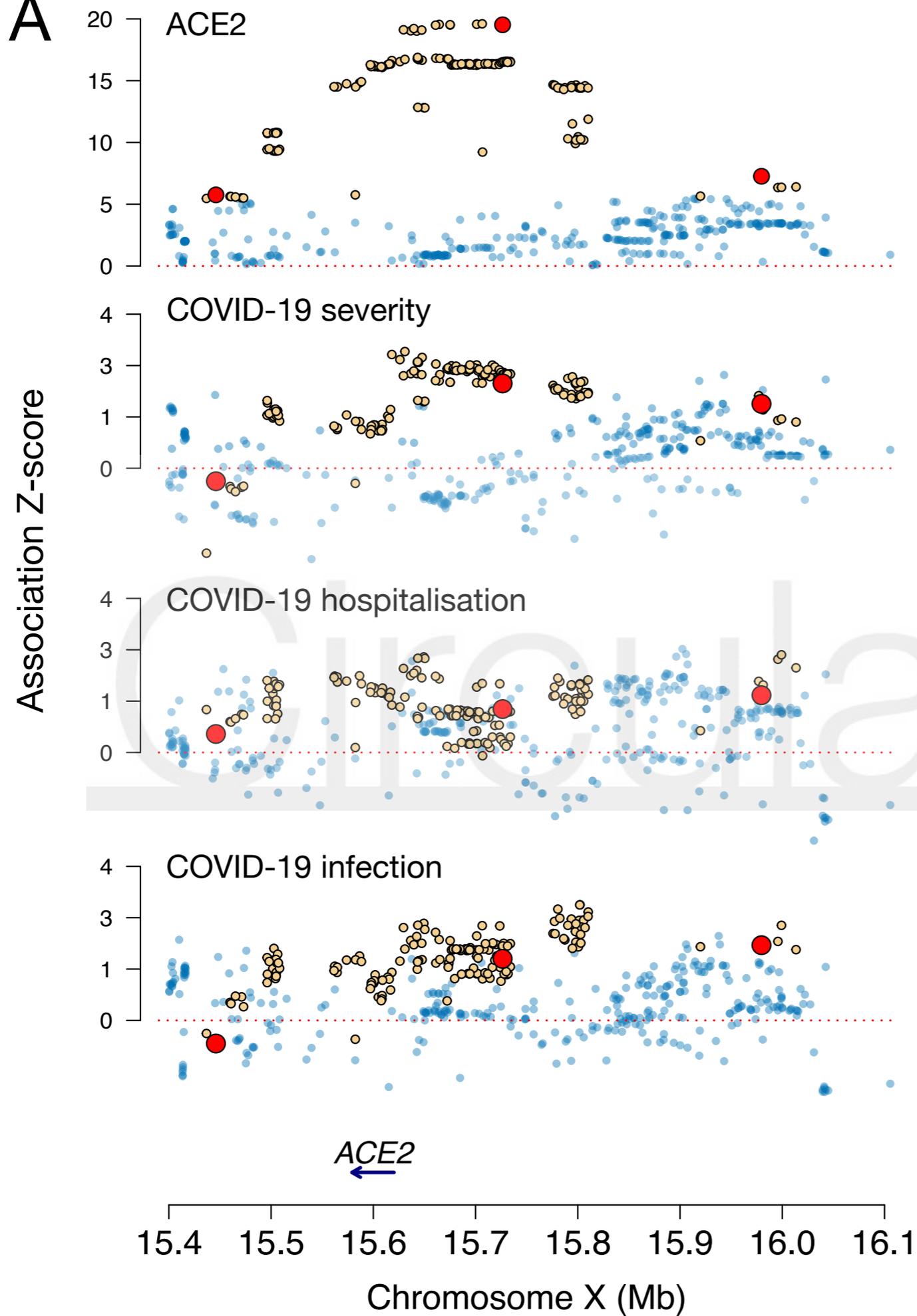
A



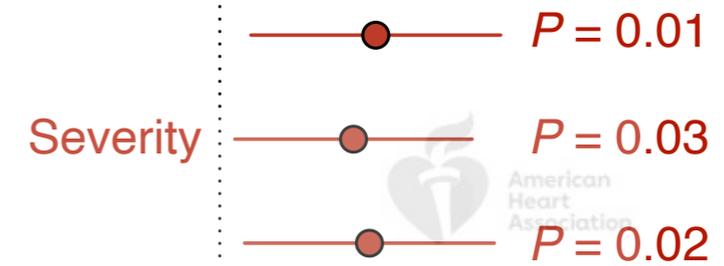
B





**A****B**

ACE2 → COVID-19  
Mendelian randomisation



Hospitalisation  $P = 0.03$

Infection  $P = 0.03$

