

Supplementary Material: Development and validation of a 6-gene signature for the prognosis of loco-regional control in patients with HPV-negative locally advanced HNSCC treated by postoperative radio(chemo)therapy

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Supplementary Table 1. List of 34 genes used to identify the gene signature after a series of filtering steps. The first 31 genes were selected after differential gene expression analysis and Cox regression. The last 3 differentially expressed genes were added as they were part of the 838 genes from the cancer gene census COSMIC database. COSMIC: Catalogue of Somatic Mutations in Cancer.

Number	Gene symbol
1	BGN
2	CAV1
3	CD248
4	CD44
5	COL12A1
6	COL1A1
7	COL1A2
8	COL6A3
9	FN1
10	GPX8
11	IGKV2-28
12	IGLV3-25
13	INHBA
14	ITGA6
15	KRT14
16	KTN1
17	LAMC2
18	MMP1
19	MMP10
20	MMP13
21	NDRG1
22	POSTN
23	RNU7-72P
24	SERPINE1
25	SFRP2
26	SPARC
27	SULF1
28	TGFBI
29	THBS1
30	TNC
31	VCAN
32	EGFR
33	IGKV1D-39
34	S100A7

Supplementary Table 2. Selection of genes using six feature selection methods (genes are ranked based on their occurrences).

Genes that were selected by 3 or more methods were included in the 6-gene signature. These genes are marked in bold.

Rank	Feature selection methods 1000 bootstraps					
	MRMR	MIM	Pearson	Spearman	Elastic net Cox	Univariate regression
1	GPX8	GPX8	IGLV3-25	INHBA	GPX8	GPX8
2	CAV1	COL6A3	FN1	IGLV3-25	CAV1	CAV1
3	IGLV3-25	INHBA	INHBA	LAMC2	CD248	COL6A3
4	KTN1	SERPINE1	SERPINE1	SULF1	RNU7-72P	INHBA
5	RNU7-72P	TGFBI	SULF1	TGFBI	TGFBI	SERPINE1

Supplementary Table 3. Univariable Cox regression of prognostic factors for loco-regional control, overall survival and freedom from distant metastases. HR: hazard ratio, CI: confidence interval, R: Residual tumour, ECE: Extracapsular extension, Grading: based on tumour abnormality, UICC: Union for International Cancer Control.

Parameter	Loco-regional control		Overall survival		Freedom from distant metastases	
	HR (95 % CI)	p-value	HR (95 % CI)	p-value	HR (95 % CI)	p-value
Tumour localisation (oral cavity vs others)	2.37 (1.11-5.06)	0.027	2.08 (1.21-3.59)	0.008	1.87 (0.93-3.79)	0.081
Gender (female vs male)	1.46 (0.64-3.33)	0.37	1.06 (0.56-1.98)	0.87	0.47 (0.95-1.36)	0.16
Age (years)	0.95 (0.91-0.99)	0.018	0.99 (0.96-1.02)	0.41	0.99 (0.95-1.03)	0.67
R status (1 vs 0)	1.21 (0.57-2.57)	0.62	1.05 (0.61-1.80)	0.86	0.98 (0.48-2.01)	0.96
ECE status (1 vs 0)	1.50 (0.69-3.25)	0.30	1.90 (1.08-3.35)	0.025	2.92 (1.30-6.52)	0.009
UICC stage (4 vs 1,2,3)	1.24 (0.47-3.29)	0.67	0.97 (0.51-1.84)	0.92	1.42 (0.55-3.71)	0.47
T stage (3,4 vs 1,2)	2.53 (1.17-5.48)	0.019	1.82 (1.06-3.12)	0.030	1.55 (0.77-3.15)	0.22
N stage (2,3 vs 0,1)	1.19 (0.75-1.87)	0.47	1.27 (0.69-2.38)	0.46	1.92 (1.06-3.48)	0.032
Grading (3 vs 1,2)	0.65 (0.27-1.53)	0.32	0.49 (0.26-0.93)	0.029	0.79 (0.36-1.72)	0.56
Dose (Gy)	1.10 (0.93-1.30)	0.29	1.09 (0.97-1.24)	0.16	0.92 (0.80-1.05)	0.20
Treatment time (days)	1.00 (0.93-1.10)	0.82	1.01 (0.95-1.07)	0.85	0.98 (0.91-1.06)	0.66
Smoking status (current or former vs never)	0.87 (0.20-3.82)	0.85	2.03 (0.48-8.60)	0.34	*	*
Alcohol status (current or former vs never)	0.72 (0.24-2.15)	0.55	0.89 (0.37-2.14)	0.79	1.87 (0.45-8.04)	0.40

*Cox model failed to converge

Supplementary Table 4. Comparison of gene expressions between the training and validation cohort for genes of the extended gene signature based on Affymetrix data.

Genes	Training cohort Mean (standard deviation)	Validation cohort Mean (standard deviation)
<i>CAV1</i>	6.13 (0.60)	6.12 (0.57)
<i>GPX8</i>	5.87 (0.54)	5.88 (0.52)
<i>IGLV3-25</i>	7.10 (1.44)	7.09 (1.22)
<i>Metagene INHBA-SERPINE1</i>	6.69 (0.95)	6.70 (0.93)
<i>TGFBI</i>	6.37 (0.64)	6.37 (0.59)
<i>CD44</i>	10.59 (1.13)	10.57 (1.16)
<i>ADM</i>	4.99 (0.22)	4.99 (0.20)
<i>ALDOA</i>	5.76 (0.15)	5.76 (0.14)
<i>ANKRD37</i>	4.63 (0.16)	4.63 (0.15)
<i>BNIP3</i>	6.11 (0.76)	6.12 (0.76)
<i>BNIP3L</i>	7.90 (0.39)	7.90 (0.40)
<i>EGLN3</i>	4.71 (0.23)	4.71 (0.21)
<i>FAM162A</i>	6.58 (0.54)	6.58 (0.52)
<i>KCTD11</i>	5.19 (0.31)	5.19 (0.28)
<i>LOX</i>	5.18 (0.32)	5.18 (0.30)
<i>NDRG1</i>	8.41 (0.88)	8.41 (0.86)
<i>P4HA1</i>	5.58 (0.23)	5.58 (0.22)
<i>P4HA2</i>	5.26 (0.13)	5.26 (0.11)
<i>PDK1</i>	5.72 (0.28)	5.72 (0.25)
<i>PFKFB3</i>	5.96 (0.18)	5.96 (0.17)
<i>SLC2A1</i>	6.33 (0.69)	6.34 (0.65)

Supplementary Table 5. Multivariable Cox regression of overall survival for the 6-gene signature, the extended gene signature, clinical parameters and their combinations. HR: hazard ratio, CI: confidence interval.

Parameter	coefficient (β)	Overall survival HR (95 % CI)	p-value	ci Training (95 % CI)	ci Validation (95 % CI)
6-gene signature				0.74 (0.68-0.80)	0.58 (0.50-0.66)
<i>CAV1</i>	0.18	1.20 (0.81-1.76)	0.37		
<i>GPX8</i>	0.20	1.23 (0.88-1.71)	0.23		
<i>IGLV3-25</i>	-0.52	0.59 (0.42-0.84)	0.004		
Metagene <i>INHBA+SERPINE1</i>	0.32	1.38 (0.86-2.22)	0.19		
<i>TGFBI</i>	0.037	1.04 (0.64-1.68)	0.88		
Extended gene signature				0.69 (0.61-0.77)	0.61 (0.55-0.67)
6-gene classifier (≥ 0.53 vs < 0.53)	1.35	3.84 (2.18-6.77)	<0.001		
<i>CD44</i> classifier (≥ -0.04 vs < -0.04)	0.37	1.46 (0.79-2.67)	0.23		
Hypoxia classifier (high vs low hypoxia)	0.31	1.37 (0.77-2.43)	0.29		
Clinical parameters				0.65 (0.57-0.73)	0.64 (0.58-0.70)
Tumour localization (oral cavity vs others)	0.67	1.96 (1.13-3.38)	0.016		
T stage (3,4 vs 1,2)	0.52	1.68 (0.98-2.90)	0.061		
6-gene signature and clinical parameters				0.72 (0.64-0.80)	0.64 (0.58-0.70)
6-gene classifier (≥ 0.53 vs < 0.53)	1.36	3.88 (2.19-6.93)	<0.001		
Tumour localization (oral cavity vs others)	0.24	1.28 (0.72-2.27)	0.41		
T stage (3,4 vs 1,2)	0.50	1.64 (0.95-2.83)	0.074		
Extended gene signature and clinical parameters				0.72 (0.64-0.80)	0.65 (0.59-0.71)
6-gene classifier (≥ 0.53 vs < 0.53)	1.23	3.43 (1.86-6.31)	<0.001		
<i>CD44</i> classifier (≥ -0.04 vs < -0.04)	0.42	1.52 (0.82-2.80)	0.18		
Hypoxia classifier (high vs low hypoxia)	0.27	1.31 (0.71-2.42)	0.39		
Tumour localization (oral cavity vs others)	0.29	1.34 (0.73-2.45)	0.34		
T stage (3,4 vs 1,2)	0.48	1.61 (0.92-2.84)	0.097		

Supplementary Table 6. Multivariable Cox regression of freedom from distant metastases for the 6-gene signature, the extended gene signature, clinical parameters and their combinations. HR: hazard ratio, CI: confidence interval.

Parameter	coefficient (β)	Distant metastases HR (95 % CI)	p-value	ci Training (95 % CI)	ci Validation (95 % CI)
6-gene signature				0.64 (0.54-0.74)	0.60 (0.48-0.72)
<i>CAV1</i>	0.13	1.20 (0.81-1.76)	0.62		
<i>GPX8</i>	0.26	1.23 (0.88-1.71)	0.25		
<i>IGLV3-25</i>	-0.14	0.59 (0.42-0.84)	0.52		
Metagene <i>INHBA+SERPINE1</i>	0.047	1.38 (0.86-2.22)	0.88		
<i>TGFBI</i>	0.15	1.04 (0.64-1.68)	0.62		
Extended gene signature				0.69 (0.59-0.79)	0.61 (0.51-0.71)
6-gene classifier (≥ 0.63 vs < 0.63)	1.35	3.86 (1.74-8.58)	<0.001		
<i>CD44</i> classifier (≥ -0.05 vs < -0.05)	0.93	2.52 (1.05-6.05)	0.038		
Hypoxia classifier (high vs low hypoxia)	-0.02	0.98 (0.46-2.10)	0.96		
Clinical parameters				0.61 (0.51-0.71)	0.60 (0.50-0.70)
Tumour localization (oral cavity vs others)	0.60	1.82 (0.90-3.70)	0.098		
T stage (3,4 vs 1,2)	0.40	1.49 (0.73-3.02)	0.28		
6-gene signature and clinical parameters				0.66 (0.54-0.78)	0.60 (0.50-0.70)
6-gene classifier (≥ 0.63 vs < 0.63)	1.44	4.24 (1.89-9.47)	<0.001		
Tumour localization (oral cavity vs others)	0.43	1.54 (0.75-3.17)	0.24		
T stage (3,4 vs 1,2)	0.09	1.09 (0.52-2.30)	0.82		
Extended gene signature and clinical parameters				0.71 (0.61-0.71)	0.63 (0.53-0.73)
6-gene classifier (≥ 0.63 vs < 0.63)	1.25	3.49 (1.55-7.86)	0.003		
<i>CD44</i> classifier (≥ -0.05 vs < -0.05)	0.95	2.59 (1.08-6.19)	0.032		
Hypoxia classifier (high vs low hypoxia)	-0.003	1.00 (0.44-2.24)	0.99		
Tumour localization (oral cavity vs others)	0.46	1.59 (0.77-3.26)	0.21		
T stage (3,4 vs 1,2)	0.19	1.21 (0.56-2.62)	0.63		

Supplementary Table 7. Multivariable Cox regression of overall survival for 6-gene signature, the extended gene signature with clinical parameters significant for overall survival and their combinations. HR: hazard ratio, CI: confidence interval, ECE: extracapsular extension.

Parameter	coefficient (β)	Overall survival HR (95 % CI)	p-value	ci Training (95 % CI)	ci Validation (95 % CI)
6-gene signature				0.74 (0.68-0.80)	0.58 (0.50-0.66)
<i>CAV1</i>	0.18	1.20 (0.81-1.76)	0.37		
<i>GPX8</i>	0.20	1.23 (0.88-1.71)	0.23		
<i>IGLV3-25</i>	-0.52	0.59 (0.42-0.84)	0.004		
Metagene <i>INHBA+SERPINE1</i>	0.32	1.38 (0.86-2.22)	0.19		
<i>TGFBI</i>	0.037	1.04 (0.64-1.68)	0.88		
Extended gene signature				0.69 (0.61-0.77)	0.61 (0.55-0.67)
6-gene classifier (≥ 0.53 vs < 0.53)	1.35	3.84 (2.18-6.77)	<0.001		
<i>CD44</i> classifier (≥ -0.04 vs < -0.04)	0.37	1.46 (0.79-2.67)	0.23		
Hypoxia classifier (high vs low hypoxia)	0.31	1.37 (0.77-2.43)	0.29		
Clinical parameters				0.67 (0.59-0.75)	0.61 (0.55-0.67)
Tumour localization (oral cavity vs others)	0.53	1.70 (0.97-2.97)	0.064		
T stage (3,4 vs 1,2)	0.48	1.62 (0.93-2.83)	0.089		
ECE status (1 vs 0)	0.65	1.92 (1.08-3.41)	0.027		
Grading (3 vs 1,2)	-0.63	0.53 (0.28-1.03)	0.062		
6-gene signature and clinical parameters				0.76 (0.70-0.82)	0.62 (0.54-0.70)
6-gene classifier (≥ 0.53 vs < 0.53)	1.30	3.68 (2.06-6.57)	<0.001		
Tumour localization (oral cavity vs others)	0.15	1.16 (0.64-2.07)	0.62		
T stage (3,4 vs 1,2)	0.53	1.69 (0.98-2.94)	0.061		
ECE status (1 vs 0)	0.52	1.69 (0.94-3.02)	0.078		
Grading (3 vs 1,2)	-0.62	0.54 (0.28-1.03)	0.061		
Extended gene signature and clinical parameters				0.75 (0.69-0.81)	0.64 (0.58-0.70)
6-gene classifier (≥ 0.53 vs < 0.53)	1.13	3.11 (1.68-5.74)	<0.001		
<i>CD44</i> classifier (≥ -0.04 vs < -0.04)	0.25	1.28 (0.66-2.49)	0.46		
Hypoxia classifier (high vs low hypoxia)	0.42	1.52 (0.81-2.86)	0.19		
Tumour localization (oral cavity vs others)	0.25	1.28 (0.69-2.38)	0.43		
T stage (3,4 vs 1,2)	0.47	1.60 (0.91-2.81)	0.10		
ECE status (1 vs 0)	0.55	1.73 (0.96-3.13)	0.067		
Grading (3 vs 1,2)	-0.60	0.55 (0.28-1.09)	0.084		

Supplementary Table 8. Multivariable Cox regression of freedom from distant metastases for 6-gene signature, the extended gene signature with clinical parameters significant for freedom from distant metastases and their combinations. HR: hazard ratio, CI: confidence interval, ECE: extracapsular extension.

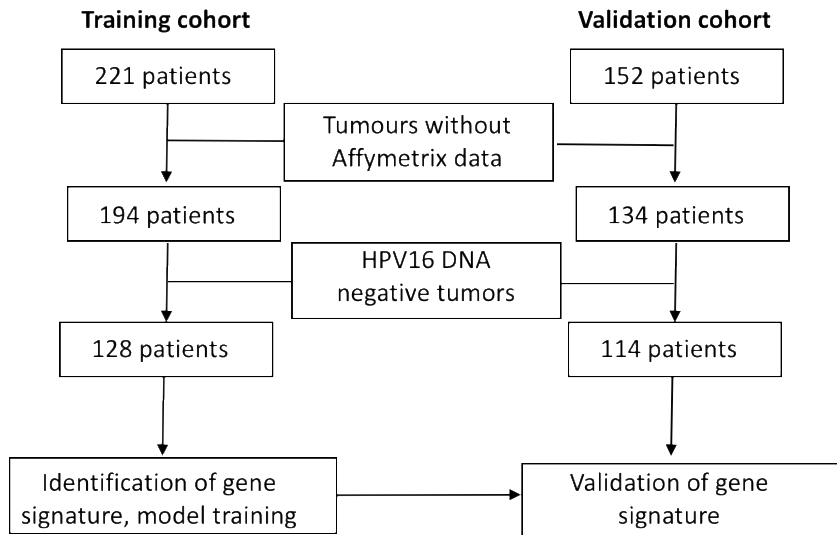
Parameter	coefficient (β)	Distant metastases HR (95 % CI)	p-value	ci Training (95 % CI)	ci Validation (95 % CI)
6-gene signature				0.64 (0.54-0.74)	0.60 (0.48-0.72)
<i>CAV1</i>	0.13	1.20 (0.81-1.76)	0.62		
<i>GPX8</i>	0.26	1.23 (0.88-1.71)	0.25		
<i>IGLV3-25</i>	-0.14	0.59 (0.42-0.84)	0.52		
Metagene <i>INHBA+SERPINE1</i>	0.047	1.38 (0.86-2.22)	0.88		
<i>TGFBI</i>	0.15	1.04 (0.64-1.68)	0.62		
Extended gene signature				0.69 (0.59-0.79)	0.61 (0.51-0.71)
6-gene classifier (≥ 0.63 vs < 0.63)	1.35	3.86 (1.74-8.58)	<0.001		
<i>CD44</i> classifier (≥ -0.05 vs < -0.05)	0.93	2.52 (1.05-6.05)	0.038		
Hypoxia classifier (high vs low hypoxia)	-0.02	0.98 (0.46-2.10)	0.96		
Clinical parameters				0.66 (0.58-0.74)	0.57 (0.45-0.69)
ECE status	0.91	2.48 (1.10-5.60)	0.029		
N stage (2,3 vs 0,1)	1.08	2.94 (0.88-9.83)	0.079		
6-gene signature and clinical parameters				0.72 (0.62-0.82)	0.58 (0.46-0.70)
6-gene classifier (≥ 0.63 vs < 0.63)	1.71	5.55 (2.55-12.10)	<0.001		
ECE status (1 vs 0)	0.93	2.52 (1.09-5.85)	0.031		
N stage (2,3 vs 0,1)	1.10	3.01 (0.87-10.40)	0.081		
Extended gene signature and clinical parameters				0.75 (0.65-0.85)	0.61 (0.49-0.73)
6-gene classifier (≥ 0.63 vs < 0.63)	1.38	3.97 (1.67-9.48)	0.002		
<i>CD44</i> classifier (≥ -0.05 vs < -0.05)	1.05	2.86 (1.15-7.12)	0.024		
Hypoxia classifier (high vs low hypoxia)	-0.12	0.88 (0.40-1.96)	0.76		
ECE status (1 vs 0)	1.06	2.90 (1.26-6.67)	0.012		
N stage (2,3 vs 0,1)	1.06	2.88 (0.85-9.73)	0.089		

Supplementary Table 9. Multivariable Cox regression of loco-regional tumour control for the 6-gene signature, the extended gene signature, clinical parameters and their combinations based on nanoString nCounter data. HR: hazard ratio, CI: confidence interval.

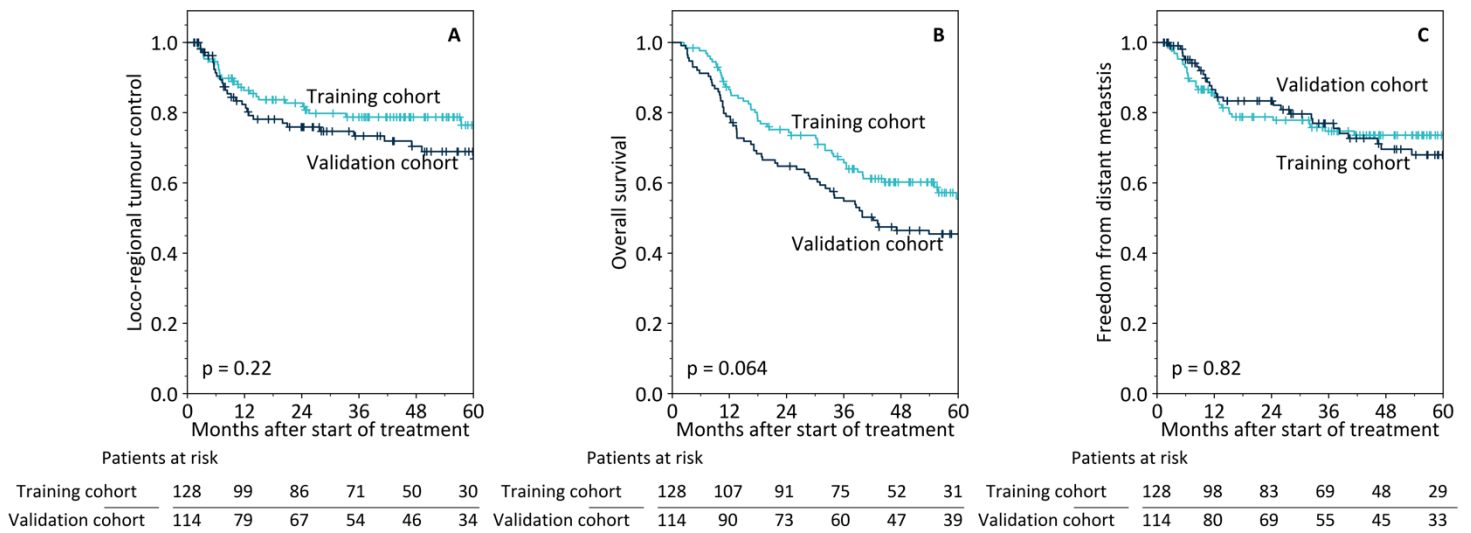
Parameter	coefficient (β)	Loco-regional control HR (95 % CI)	p-value	ci Training (95 % CI)	ci Validation (95 % CI)
6-gene signature				0.79 (0.71-0.87)	0.62 (0.52-0.72)
<i>CAV1</i>	0.40	1.49 (0.88-2.51)	0.14		
<i>GPX8</i>	0.39	1.48 (0.91-2.39)	0.11		
<i>IGLV3-25</i>	-0.032	0.97 (0.63-1.49)	0.88		
Metagene <i>INHBA+SERPINE1</i>	0.32	1.38 (0.64-2.95)	0.41		
<i>TGFBI</i>	0.34	1.40 (0.76-2.59)	0.28		
Extended gene signature				0.81 (0.75-0.87)	0.67 (0.59-0.75)
6-gene classifier (≥ 1.44 vs < 1.44)	1.89	6.62 (2.97-14.73)	<0.001		
<i>CD44</i> classifier (≥ 0.01 vs < 0.01)	0.53	1.70 (0.75-3.84)	0.20		
Hypoxia classifier (high vs low hypoxia)	0.93	2.53 (0.93-6.83)	0.068		
Clinical parameters				0.68 (0.58-0.78)	0.62 (0.52-0.72)
Tumour localization (oral cavity vs others)	0.74	2.10 (0.98-4.51)	0.053		
T stage (3,4 vs 1,2)	0.86	2.36 (1.09-5.14)	0.032		
6-gene signature and clinical parameters				0.74 (0.64-0.84)	0.66 (0.56-0.76)
6-gene classifier (≥ 1.44 vs < 1.44)	1.71	5.52 (2.32-13.16)	<0.001		
Tumour localization (oral cavity vs others)	0.37	1.44 (0.63-3.28)	0.38		
T stage (3,4 vs 1,2)	0.53	1.70 (0.74-3.88)	0.21		
Extended gene signature and clinical parameters				0.82 (0.74-0.90)	0.69 (0.61-0.77)
6-gene classifier (≥ 1.44 vs < 1.44)	1.65	5.23 (2.07-13.2)	<0.001		
<i>CD44</i> classifier (≥ 0.01 vs < 0.01)	0.47	1.59 (0.70-3.63)	0.27		
Hypoxia classifier (high vs low hypoxia)	0.92	2.52 (0.92-6.93)	0.073		
Tumour localization (oral cavity vs others)	0.41	1.51 (0.66-3.44)	0.33		
T stage (3,4 vs 1,2)	0.24	1.27 (0.52-3.11)	0.60		

Supplementary Table 10. Comparison of gene expressions between the training and validation cohort for genes of the extended gene signature based on nanoString nCounter data.

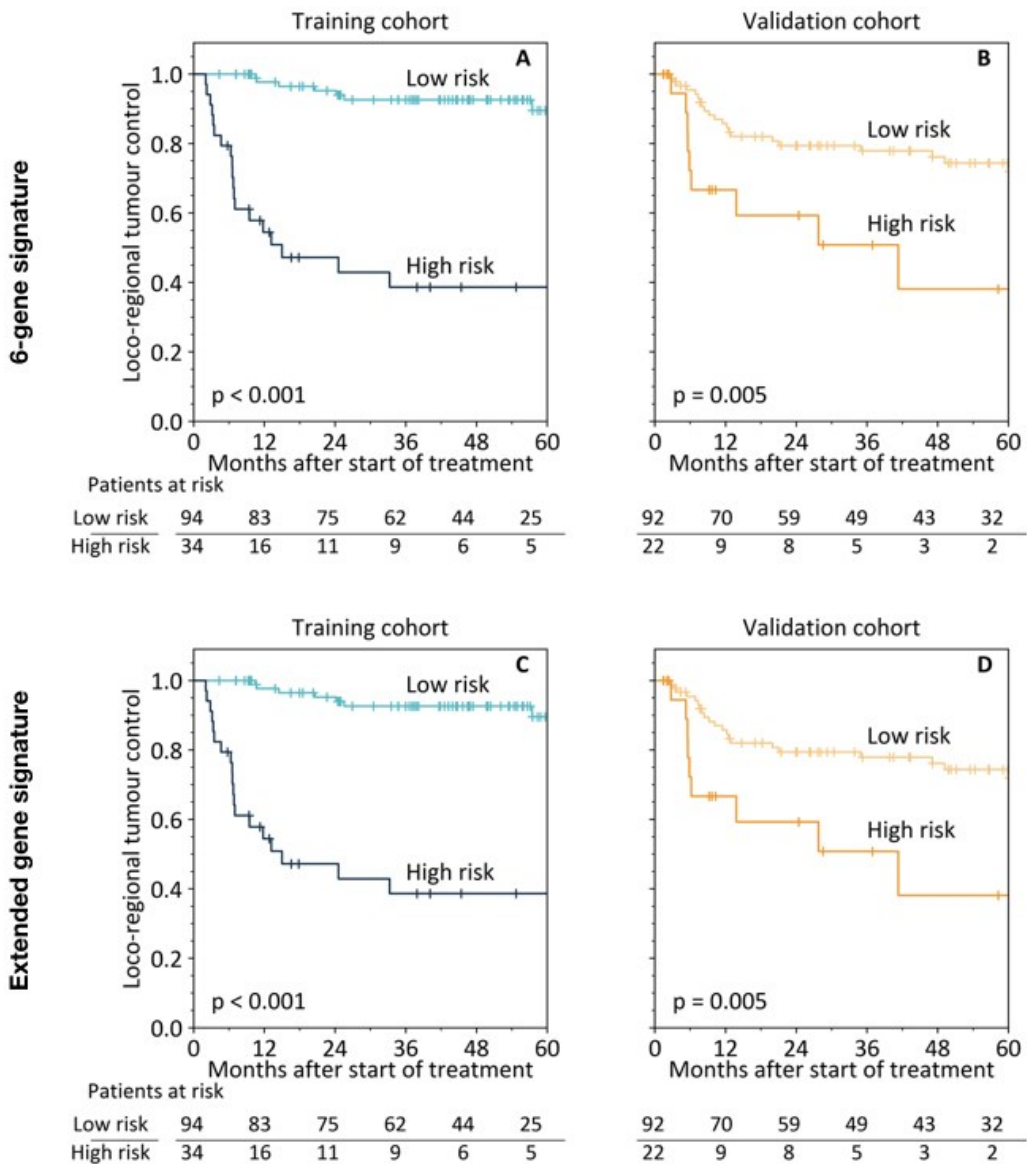
Genes	Training cohort Mean (standard deviation)	Validation cohort Mean (standard deviation)
<i>CAV1</i>	-2.01 (1.36)	-0.83 (1.22)
<i>GPX8</i>	-5.96 (0.80)	-5.86 (0.93)
<i>IGLV3-25</i>	-2.23 (1.95)	-2.69 (1.76)
Metagene <i>INHBA-SERPINE1</i>	-1.61(1.51)	-1.28 (1.5)
<i>TGFBI</i>	-0.15 (1.13)	-0.001 (1.21)
<i>CD44</i>	-6.18 (0.63)	-5.89 (0.85)
<i>ADM</i>	-2.19 (0.99)	-2.07 (1.08)
<i>ALDOA</i>	1.03 (0.51)	1.23 (0.51)
<i>ANKRD37</i>	-4.57 (0.77)	-3.76 (0.97)
<i>BNIP3</i>	-3.66 (0.89)	-3.73 (0.94)
<i>BNIP3L</i>	-1.95 (0.46)	-1.98 (0.52)
<i>EGLN3</i>	-3.61 (1.11)	-3.53 (1.19)
<i>FAM162A</i>	-2.62 (0.68)	-2.62 (0.68)
<i>KCTD11</i>	-2.06 (0.85)	-1.57 (0.82)
<i>LOX</i>	-3.56 (1.11)	-3.65 (1.16)
<i>NDRG1</i>	0.96 (1.15)	1.03 (1.28)
<i>P4HA1</i>	-3.35 (0.58)	-3.73 (0.69)
<i>P4HA2</i>	-2.80 (0.78)	-2.69 (0.77)
<i>PDK1</i>	3.78 (0.62)	-3.55 (0.68)
<i>PFKFB3</i>	-1.68 (0.61)	-1.26 (0.61)
<i>SLC2A1</i>	-0.46 (1.14)	-0.87 (1.37)



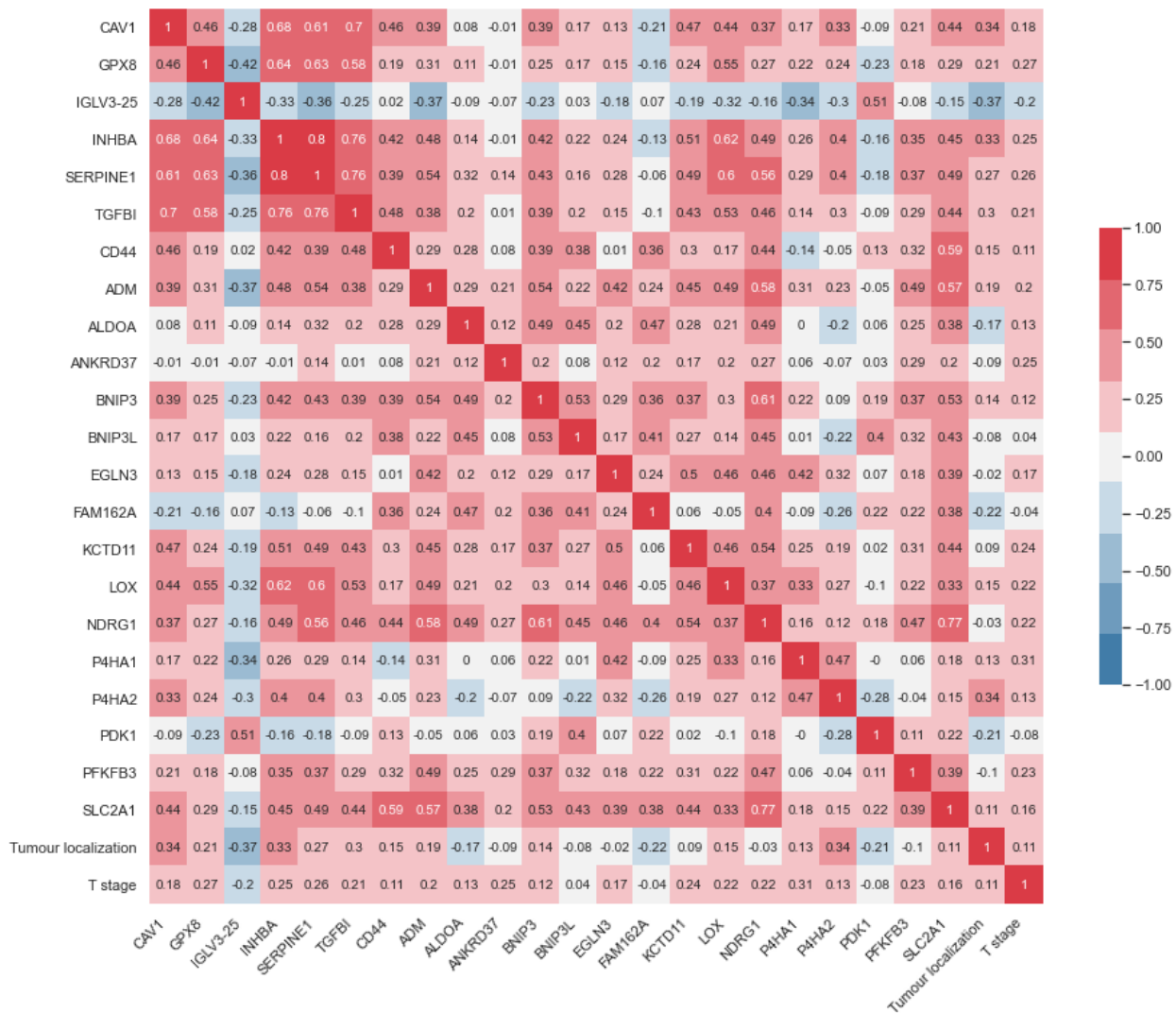
Supplementary Figure 1. Study design.



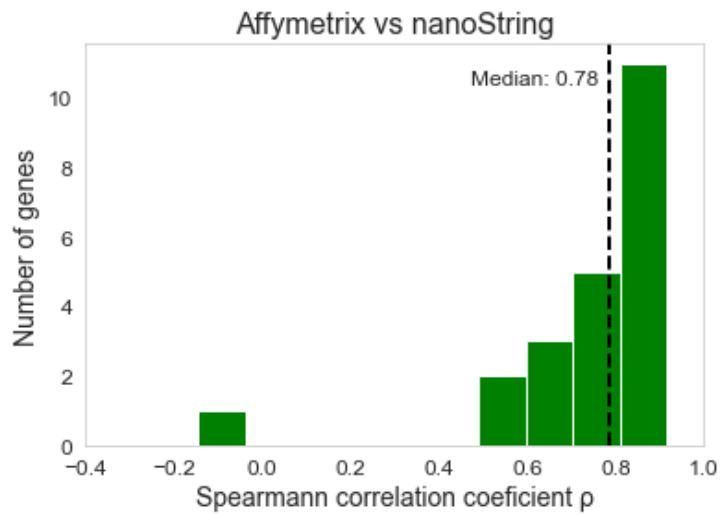
Supplementary Figure 2. Loco-regional tumour control **(A)**, overall survival **(B)** and freedom from distant metastasis **(C)** on the training and the validation cohort.



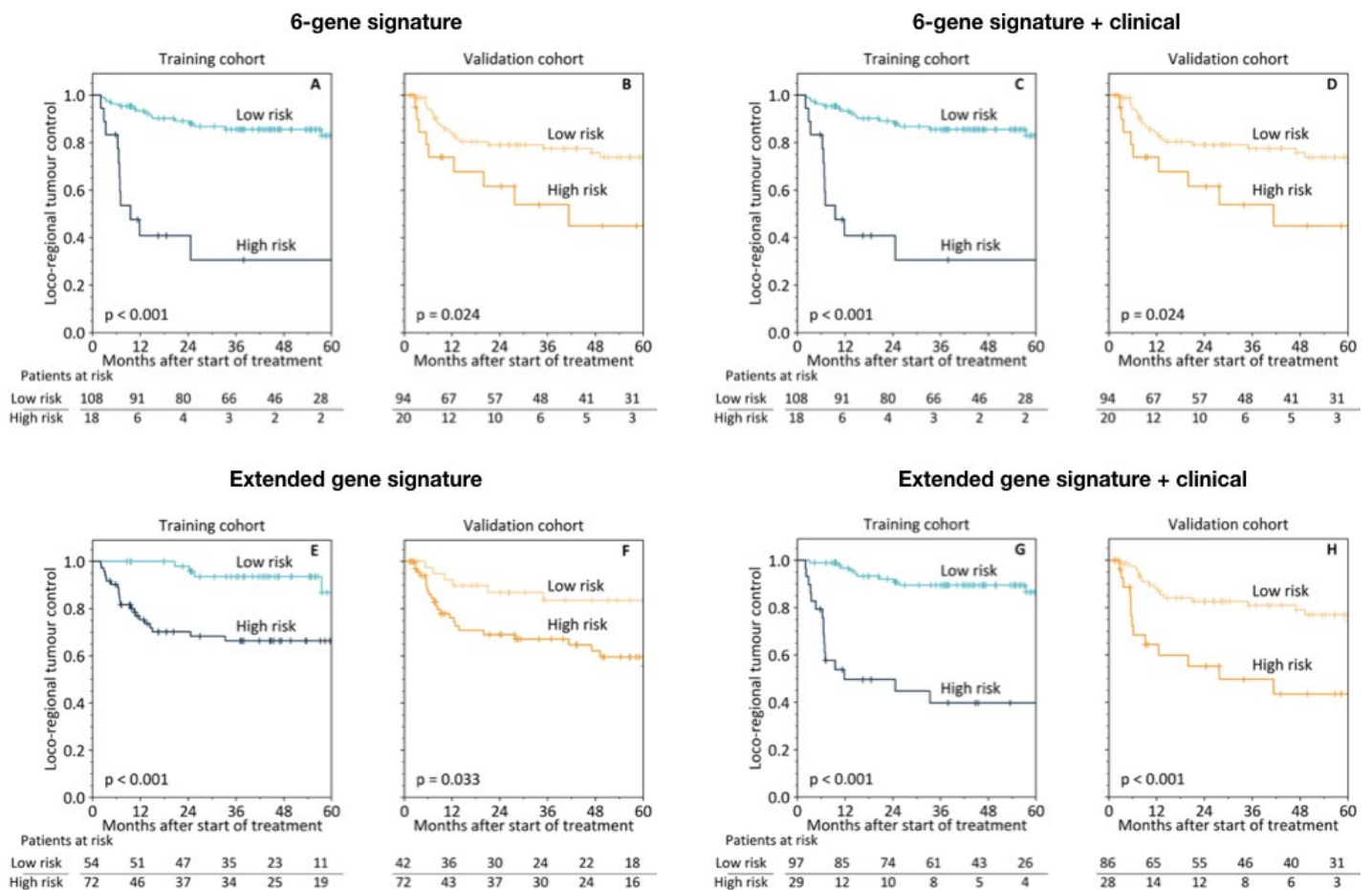
Supplementary Figure 3. Patient stratification by the 6-gene signature (**A, B**) and for the extended gene signature (**C, D**) for loco-regional tumour control (LRC) for the training cohort (left) and the validation cohort (right). Both signatures lead to the same stratification.



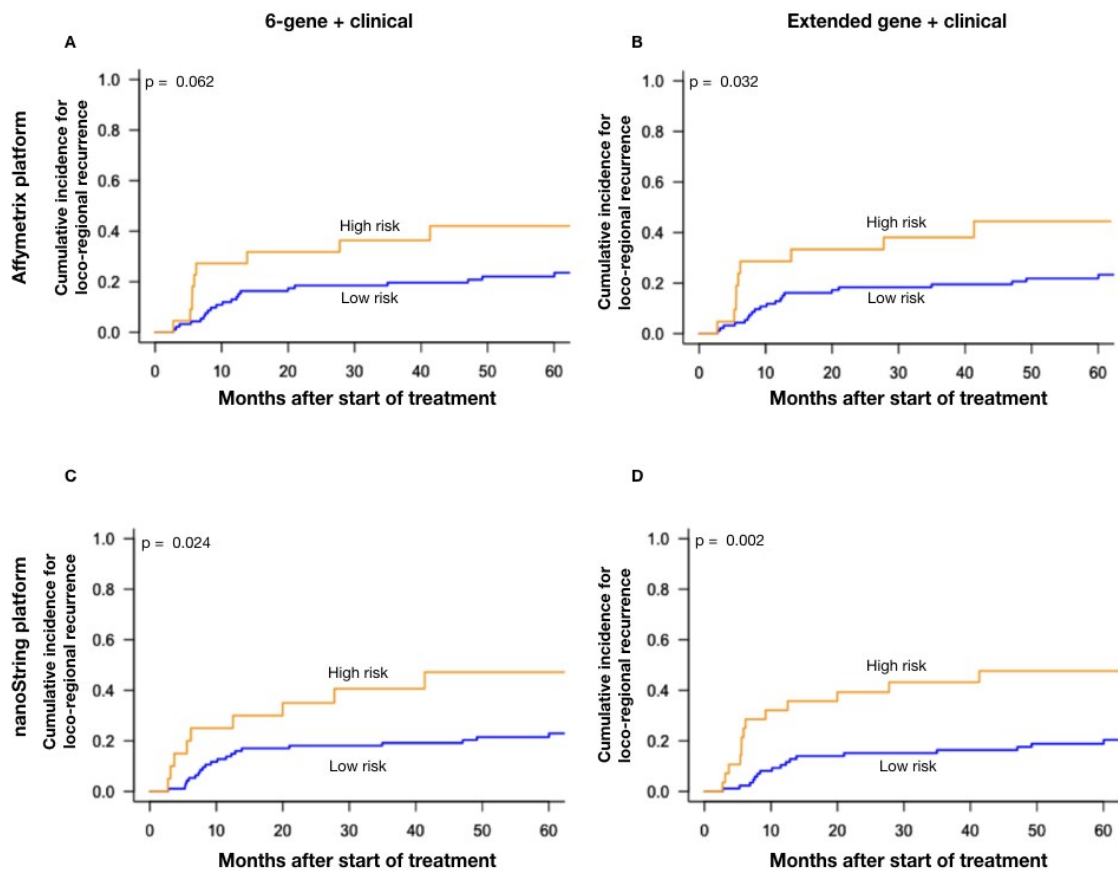
Supplementary Figure 4. Correlation matrix (Spearman correlation coefficient) between the genes from the 6-gene signature, *CD44*, the 15-gene hypoxia-associated signature and the clinical features (tumour localization and T stage) in the training cohort.



Supplementary Figure 5. Correlation of gene expressions between nanoString nCounter and Affymetrix GeneChip measurements of the 22 considered genes (6-gene signature, *CD44*, 15-gene hypoxia-associated signature). The dashed line indicates the median correlation. The outlier with low correlation of -0.15 is the *CD44* gene.



Supplementary Figure 6. Patient stratification by the 6-gene signature (**A, B**), the six-gene signature combined with the clinical parameters tumour localization and T stage (**C, D**), the extended gene signature (6-gene signature, *CD44* and the 15-gene hypoxia-associated signature) (**E, F**), and the extended gene signature combined with the same clinical parameters (**G, H**) for loco-regional tumour control (LRC) based on nanoString nCounter data.



Supplementary Figure 7. Cumulative incidence for loco-regional recurrence accounting for death of all causes as competing risk. Results of the validation cohort are presented for the 6-gene signature combined with the clinical parameters tumour localization and T stage (**A, C**) and for the extended gene signature (6-gene signature, *CD44* and the 15-gene hypoxia-associated signature) combined with the same clinical parameters (**B, D**) based on Affymetrix data (**A, B**) and nanoString nCounter data (**C, D**). The p-values originate from Gray's test.