



Effects of future climate change on birch abundance and their pollen load

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Keywords:	Temperate trees, Betula, Ecological modelling, Pollen production, Plant distribution, Pollen exposure, Climate change

Abstract:	<p>Climate change impacts on the structure and function of ecosystems will worsen public health issues like allergic diseases. Birch trees (<i>Betula</i> spp.) are important sources of aeroallergens in Central and Northern Europe. Birches are vulnerable to climate change as these trees are sensitive to increased temperatures and summer droughts. This study aims to examine the effect of climate change on airborne birch pollen concentrations in Central Europe using Bavaria in Southern Germany as a case study. Pollen data from 28 monitoring stations in Bavaria were used in this study, with time series of up to 30 years long. An integrative approach was used to model airborne birch pollen concentrations taking into account drivers influencing birch tree abundance and birch pollen production and projections made according to different climate change and socio-economic scenarios. Birch tree abundance is projected to decrease in parts of Bavaria at different rates, depending on the climate scenario, particularly in current centres of the species distribution. Climate change is expected to result in initial increases in pollen load but, due to the reduction in birch trees, the amount of airborne birch pollen will decrease at lower altitudes. Conversely, higher altitude areas will experience expansions in birch tree distribution and subsequent increases in airborne birch pollen in the future. Even considering restrictions for migration rates, increases in pollen load are likely in Southwestern areas, where positive trends have already been detected during the last three decades. Integrating models for the distribution and abundance of pollen sources and the drivers that control birch pollen production allowed us to model airborne birch pollen concentrations in the future. The magnitude of changes depends on location and climate change scenario.</p>

1 **Effects of future climate change on birch abundance and their pollen**
2 **load**

3

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35 **Abstract**

36 Climate change impacts on the structure and function of ecosystems will worsen public
37 health issues like allergic diseases. Birch trees (*Betula* spp.) are important sources of
38 aeroallergens in Central and Northern Europe. Birches are vulnerable to climate change
39 as these trees are sensitive to increased temperatures and summer droughts. This study
40 aims to examine the effect of climate change on airborne birch pollen concentrations in
41 Central Europe using Bavaria in Southern Germany as a case study. Pollen data from 28
42 monitoring stations in Bavaria were used in this study, with time series of up to 30 years
43 long. An integrative approach was used to model airborne birch pollen concentrations
44 taking into account drivers influencing birch tree abundance and birch pollen production
45 and projections made according to different climate change and socio-economic
46 scenarios. Birch tree abundance is projected to decrease in parts of Bavaria at different
47 rates, depending on the climate scenario, particularly in current centres of the species
48 distribution. Climate change is expected to result in initial increases in pollen load but,
49 due to the reduction in birch trees, the amount of airborne birch pollen will decrease at
50 lower altitudes. Conversely, higher altitude areas will experience expansions in birch
51 tree distribution and subsequent increases in airborne birch pollen in the future. Even
52 considering restrictions for migration rates, increases in pollen load are likely in
53 Southwestern areas, where positive trends have already been detected during the last
54 three decades. Integrating models for the distribution and abundance of pollen sources
55 and the drivers that control birch pollen production allowed us to model airborne birch
56 pollen concentrations in the future. The magnitude of changes depends on location and
57 climate change scenario.

58

59 **Keywords:** Temperate trees; *Betula*; Ecological modelling; Pollen production; Plant
60 distribution; Pollen exposure; Climate change

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61 **Introduction**

62

63 The effects of climate change have already been observed in many natural systems (Fu
64 et al., 2015; Garcia et al., 2014; Rosenzweig et al., 2008; Ziska et al., 2019) and the
65 impacts may increase dramatically in the future if mitigation objectives are not met
66 (Fawcett et al., 2015). The impacts of global warming on public health issues like
67 allergic diseases are often overlooked (Ziska & Beggs, 2012). Several of the temperate
68 woody plants such as members of the Betulaceae family, e.g. hazel (*Corylus* spp.), alder
69 (*Alnus* spp.) and birch (*Betula* spp.), are wind-pollinated and produce large amounts of
70 allergenic pollen grains that are readily dispersed in the atmosphere (Smith et al., 2014).
71 Birches are among the most allergenic and abundant pollen producing tree species in
72 Central and Northern Europe (Biedermann et al., 2019; Buters et al., 2012; Rojo et al.,
73 2020). As a consequence, birch pollen allergens cause approximately 14% of all
74 sensitization in the general population of Germany (and 17% in adults (Haftenberger et
75 al., 2013)), and have a similar prevalence in other Central European countries
76 (Biedermann et al., 2019; Schmitz et al., 2013; Verstraeten et al., 2019). Furthermore,
77 the incidence of sensitization to birch pollen allergens has increased over recent decades
78 (Biedermann et al., 2019).

79

80 Climate change can have important impacts on forest ecosystems, such as changes in
81 composition, structure and ecosystem function (Morin et al., 2018; Thom et al., 2017).
82 Many tree species may experience changes in physiological characteristics, such as
83 increased productivity due to atmospheric CO₂ enrichment and temperature increases,
84 provided that the environmental changes are within the optimal range for the
85 metabolism of the plants (Kim et al., 2018; Xu et al., 2007). On the other hand,

86 projected increases in temperature will promote changes in the competitive dominance
87 between tree species, which will lead to transformations in the current configuration of
88 the tree landscape (Hanewinkel et al., 2013). As a result, certain tree species that
89 currently act as major allergen sources like birch may dwindle or disappear in some
90 areas as their range contracts northward, although they may also expand their
91 distribution into areas of higher elevation (Dyderski et al., 2018). Such displacements
92 do not happen straight away, however, as there is a lag due to species plasticity
93 favouring population persistence and dispersion capability as well as species
94 competence limiting migration rates (Prasad et al., 2020). Although, it has been
95 suggested that, due to intraspecific variations, warm margin populations are the most
96 vulnerable to climate change (Fréjaville et al., 2020). In addition, especially for pioneer
97 species such as *Betula*, future disturbance rates may also influence their distribution
98 (Drobyshev et al., 2014).

99

100 Environmental factors that control plant growth occur at multiple spatiotemporal scales,
101 which makes it difficult to determine the direction and intensity of the expected changes
102 (Garcia et al., 2014). For instance, the magnitude of airborne pollen concentrations,
103 which is considered to be a proxy for flowering intensity in a given geographical area, is
104 dependent on both short-term meteorological influences on reproductive biology (Rojo
105 et al., 2015; Sofiev, 2017) and long-term bioclimatic changes that lead to vegetation
106 displacements (Giesecke et al., 2019). Numerous statistical approaches have been
107 developed for modelling the Annual Pollen Integral (API_n) based on meteorological and
108 biological parameters (Oteros et al., 2013; Ritenberga et al., 2018). Other studies have
109 focused on the relationships between the spatial distribution of vegetation and general
110 patterns of pollen emission (Lugonja et al., 2019; McInnes et al., 2017; Rojo et al.,

111 2016). Vegetation types are displaced following changes in environmental gradients as
112 a result of climate change, although these displacements occur at a lower rate than those
113 changes influencing phenology and pollen production. Approaches in the field of
114 ecological modelling allow plant distributions to be modelled and projections made for
115 the future (Dyderski et al., 2018; Pecchi et al., 2020). Therefore, all drivers need to be
116 taken into account in an integrative approach to model the reproductive cycle of a plant
117 species (Kurganskiy et al., 2020; Verstraeten et al., 2019).

118

119 Birches occupy a broad geographical range in the Northern hemisphere (Wang et al.,
120 2016). In Europe, the arboreal birches are silver birch (*Betula pendula* Roth) and downy
121 birch (*Betula pubescens* Ehrh.). Both species are widely distributed in Central and
122 Northern Europe but their distribution in Southern Europe is limited to mountainous and
123 refuge areas as both species are very sensitive to summer droughts, especially in
124 combination with warm temperatures (Beck et al., 2016; Dyderski et al., 2018).

125

126 These environmental limitations make birches vulnerable to climate change.
127 Temperatures are projected to increase and there is significant agreement for warming
128 between climate models for all emission scenarios (IPCC, 2014; Kjellström et al.,
129 2018). On the other hand, projections for precipitation are more uncertain with medium
130 confidence for increases in Northern Europe and decreases in Southern Europe, but
131 trends are less certain in Continental Europe. Projections for different definitions of
132 drought by regional and global climate simulations show, with medium confidence, an
133 increase in duration and intensity of droughts in Central Europe even in regions where
134 summer precipitation is expected to increase as increased temperatures will impact
135 evapotranspiration (Dezsi et al., 2018; IPCC, 2014; Stagge et al., 2017).

136

137 The aim of this study is to examine the effect of future climate change on the airborne
138 birch pollen load in Central Europe. This has been achieved by modelling the impact of
139 different climate change scenarios on the distribution and abundance birch trees and the
140 influence of short-term birch pollen production using the region of Bavaria in Southern
141 Germany as a case study.

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143 **Materials and Methods**

144

145 *Theoretical procedure*

146 The novel methodological procedure detailed in this work integrates models for: (1)
147 long-term changes in the spatial distribution and abundance of pollen sources; (2)
148 interannual changes in pollen production associated with short-term meteorological
149 variations (Figure 1). These models can be used to calculate the magnitude of airborne
150 birch pollen concentrations and subsequent pollen exposure in the past (used for
151 validation), near future and over the long-term.

152

153 *Case study of birch: pollen and climate datasets*

154 We used the region of Bavaria (Southern Germany) as a case of study. Pollen data from
155 28 pollen stations were used in this study, with a maximum continuous time series of 30
156 years (i.e. in the city of Munich since 1989). Information about the aerobiological data
157 used in this work is detailed in Figure S1. Pollen data were recorded using volumetric
158 pollen traps of the Hirst (Hirst, 1952) design and following the minimum requirements
159 described by the European Aerobiology Society (Galán et al., 2014). The pollen
160 databases were managed using the 'AeRobiology' R package (Rojo et al., 2019)
161 implemented in R Software (R Core Team, 2020). Pollen data were reported as daily
162 average pollen concentrations (24 h period) and expressed as pollen grains/m³ of air.
163 The yearly pollen amount was characterized using the APIn as the sum of the daily
164 pollen concentrations ([pollen/m³] *day) during the year (Galán et al., 2017).

165

166 Current climatic conditions (period 1989-2018) were provided by the daily gridded
167 meteorological observations obtained from the E-OBS dataset from the EU-FP6 project

168 UERRA (<http://www.uerra.eu>), the Copernicus Climate Change Service, and the data
169 providers of the ECA&D project (<https://www.ecad.eu>) (Cornes et al., 2018).

170

171 For the future climatic conditions two main datasets were used:

172 (1) Long-term patterns were analysed for the time periods 2050 (average for 2041-
173 2060) and 2070 (average for 2061-2080) using future data provided by the
174 World Climate Research Programme (WCRP) Phase 5 (CIMP5) (Working
175 Group on Coupled Modelling, 2011) and processed by the Worldclim project
176 (Fick & Hijmans, 2017) for the main General Circulation Models (GCMs) used
177 in the IPCC Fifth Assessment Report (IPCC, 2014);

178 (2) Short-term meteorological changes (annually for the period 2020-2100) were
179 analysed using the daily regionalised data from the Regional Circulation Models
180 (RCMs) implemented by the Bavarian Environment Agency (Landesamt für
181 Umwelt, LfU) in Southern Germany (Bayerisches Landesamt für Umwelt,
182 2020).

183 Detailed information about the General Circulation Models and Regional Circulation
184 Models included in the models is included in Supplementary Material (Table S1). Three
185 climate change scenarios (Representative Concentration Pathways - RCP) (van Vuuren
186 et al., 2011) were used with potential radiative forcing of 2.6, 4.5 and 8.5 W/m².

187

188 ***Modelling of pollen sources***

189 A European map of birch tree abundance (percentage) was used to describe birch pollen
190 sources. This map was provided as a relative probability of presence for the whole
191 genus *Betula* in Europe by the European Atlas of Forest Tree Species. This resource is
192 based on a European 1-km gridded dataset of birch tree abundance (percentage) derived

193 from the national forest inventories of the European countries (De Rigo et al., 2016).
194 The gridded datasets have been aggregated to the lower spatial resolution provided by
195 the predictive variables ($0.05 \times 0.05^\circ$). Birch tree abundance at the European level was
196 then also modelled based on predictors related to environmental and human factors;
197 namely bioclimatic data, soil data, human pressure indicators and land-uses categories
198 (see Figure S2). The model of the birch tree abundance allows birch abundance to be
199 predicted in the future. Comparing current actual with current modelled birch tree
200 distribution validated the model, which was then used to model birch tree abundance in
201 the future.

202
203 Bioclimatic data to model the pollen sources were generated by monthly temperature
204 and rainfall data and represent annual trends, seasonality and limiting environmental
205 thresholds for plants (Busby, 1991). Specifically, 19 bioclimatic variables were
206 employed as biologically meaningful variables (see Figure S2 in Supplementary
207 Material). The 'dismo' R package was used for their calculation (Hijmans et al., 2017).
208 From an environmental point of view, soil taxonomy was also included as a categorical
209 predictor using the World Reference Base (WRB) (Ribeiro et al., 2018).

210
211 The current anthropogenic effect on the birch abundance was modelled using both the
212 Human Influence Index (HII) and a global land use dataset (Chen et al., 2020). The HII
213 is an index of the global human footprint based on indicators of human pressure such as
214 population density, presence of communication infrastructures, land transformation and
215 urban pressure in general (Sanderson et al., 2002). The map of anthropogenic impacts
216 was provided in a 1-km gridded dataset (Wildlife Conservation Society-WCS & Center
217 For International Earth Science Information Network-CIESIN-Columbia University,

218 2005). On the other hand, main land use classes were applied as predictors since
219 vegetation types and land-use classes work as good indicators of the presence of birch
220 trees (Pauling et al., 2012). The main land use classes (forests, grasslands, crops, water,
221 barren, urban) were obtained by reclassifying the 32 land types based on specific Plant
222 Functional Types (Chen et al., 2020), hence, the proportion by cell of each main land
223 use was included as a predictor. Future changes in land-uses were considered under
224 diverse socioeconomic and climate scenarios. For this purpose, we used the global
225 gridded land use dataset ($0.05 \times 0.05^\circ$) provided by Chen et al. (2020) generated using
226 the Global Change Analysis Model under the three Representative Concentration
227 Pathways (RCPs) considered (2.6, 4.5 and 8.5 W/m²), and five Shared Socioeconomic
228 Pathways (SSPs) based on different alternative socio-economic developments:
229 sustainable development (SSP1), middle-of-the-road development (SSP2), regional
230 rivalry (SSP3), inequality (SSP4) and fossil-fueled development (SSP5) (Riahi et al.,
231 2017).

232

233 Several statistical methods were applied for modelling birch tree abundance, namely
234 Generalized Linear Model (GLM), Partial Least Square Regression (PLS), Support
235 Vector Machine (SVM) and Random Forest (RF). Most of these methods are commonly
236 used ecological modelling techniques, although in this case a continuous variable
237 (percentage) was modelled instead of a discrete variable (presence/absence) typical for
238 species distribution models (Gobeyn et al., 2019; Scherrer et al., 2018). The statistical
239 methods were evaluated in terms of greater accuracy of each model (the most accurate
240 model was used in the following steps of the pollen load modelling).

241

242 Birch tree abundance was modelled for the whole of Europe (except Russia, Belarus
243 and Ukraine) into which we embedded the other models specific for Bavaria. The model
244 was trained for a random dataset composed of approximately 40% of the pixels
245 (100,000 points). Our approach is based on a model of habitat suitability for birch
246 abundance, which cannot be adjusted exactly with actual tree distributions for future
247 projections due to limitations of migration rates (Prasad et al., 2020). We also compared
248 our projection of pollen sources with the likelihood of colonization based on migration
249 rates and habitat quality (See details of the methodological procedure in Figure S3 of
250 Supplementary Material). The likelihood of colonization of birch in the region of
251 Bavaria was calculated following the optimisation and parametrisation carried out by
252 (Prasad et al., 2013).

253

254 ***Validation of the model of pollen sources***

255 The most accurate modelling approach was Random Forest, and different steps of
256 validation of Machine Learning models were followed as shown in the Supplementary
257 Material (Figure S4). The model of pollen sources was evaluated using both external
258 validation and block validation. External validation is based on the evaluation of
259 predictive capabilities of the model using the samples called "out-of-bag" in Random
260 Forest technique. These samples are randomly selected and left out in each iterative
261 training process (equivalent to cross-validation). One more restrictive step to ensure
262 spatial independence of the predictions is block validation. In this case, each iteration is
263 evaluated in a completely independent latitudinal area left out of the training process,
264 and predictions are evaluated out of the spatial gradient used in model calibration.
265 Specifically, 12 iterations were generated using 3-degree wide latitudinal bands at each

266 iteration. This is particularly useful for future projections as the environmental gradient
267 may be outside current conditions.

268

269 The Variable Importance of the model of pollen sources was evaluated using both the
270 Increase Node Purity (IncNodePurity) and the Percentage Increase of MSE (%IncMSE),
271 provided by the 'randomForest' R package (Liaw & Wiener, 2002). The measurement of
272 IncNodePurity for evaluating Variable Importance represents the number of times that
273 one variable is used for the model for explaining the objective variable, while the
274 %IncMSE is a measurement of exclusiveness of information that any other predictor
275 could provide (Figure S4). Also, the individual effects of the most important variables
276 were analysed using partial dependent plots provided by the 'pdp' R package (Brandon,
277 2017). These post hoc analyses allows the effect of the predictors to be analysed in
278 Machine Learning techniques.

279

280 ***Relationship between pollen sources and pollen load***

281 The spatial birch tree abundance was related to the birch APIn using the Concentric
282 Ring Method developed by Oteros et al. (2015). The APIn for the Bavarian pollen
283 stations with data from 2015 was correlated with the sum of birch tree abundance for
284 every pixel within the concentric rings with a 5-km radius from the location of the
285 stations until a maximum distance of 80 km. The relationships for every ring was used
286 to generate a polynomial curve between the ring distance and the correlation coefficient
287 with pollen amounts. The surface under the curve represents the theoretical influence of
288 pollen emission from birch sources (i.e. birch trees) as a function of the abundance and
289 distance of the sources. The equation of the curve was employed to calculate the
290 Specific Influence Index (SII) meaning the influence of the distribution of pollen

291 sources around the stations. For more details of the procedure see Figure S5 in the
292 Supplementary Material. Finally, a continuous layer with the SII was performed for
293 every pixel for the entire area of the region of Bavaria, in this case applying a simplified
294 Concentric Ring Method with concentric rings of 10-km distance from one ring to
295 another and with a maximum distance of 60-km radius to improve the computational
296 speed of the SII calculation. SII was then used in the following steps of the modelling to
297 predict the APIn.

298

299 Birch tree abundance was predicted for the future using the Worldclim bioclimatic
300 datasets (Fick & Hijmans, 2017) from the CIMP5 (Working Group on Coupled
301 Modelling, 2011) for the time periods 2050 and 2070. An ensemble using the median of
302 the outputs for each of the General Circulation Models (GCMs) proposed by the IPCC
303 (IPCC, 2014) was generated for each Representative Concentration Pathways
304 considered (2.6, 4.5 and 8.5 W/m²) and for each Shared Socio-economic Pathways
305 (SSP1, SSP2, SSP3, SSP4 and SSP5). Also, the standard deviation of the models may
306 be consulted in the Supplementary Material (Figure S6) as a measure of the
307 uncertainties of the climate models. The predicted birch tree abundance was used to
308 estimate the future SII using the curve of the theoretical influence calculated by the
309 Concentric Ring Method. Although only SII values were calculated for current
310 conditions and for two future periods, a smoothing spline interpolation was applied to
311 obtain annual values as plant distribution changes represent a long-term process (Garcia
312 et al., 2014).

313

314 ***Modelling of pollen load***

315 The Annual Pollen Integral (APIn) was modelled using different statistical approaches,
316 namely Partial Least Square Regression (PLS), Support Vector Machine (SVM),
317 Bayesian Regularized Neural Network (NN) and Random Forest (RF). For each
318 statistical method an algorithm was followed for selecting the most accurate model.
319 This process is schematised in Figure S7 (Supplementary Material). The dataset used
320 was the full pool of stations and years available for this study. The APIn for each case
321 (stations x years) was the response variable, and monthly and seasonal meteorological
322 data for current and previous year were included as predictors. Also, the SII index
323 described above was included as a predictor, characterizing the influence of the birch
324 pollen sources for each pollen station. The statistical algorithm corresponds to an
325 iterative process that was repeated 5 times where a training (75% of cases) and testing
326 set (25% of cases) were randomly selected. In each iteration, a backward selection
327 process of variables was applied and the predictor with the lowest value of Variable
328 Importance was removed. The best model was selected based on three validation
329 processes, namely internal validation, 3-fold cross-validation and external validation of
330 25% of independent cases (the most accurate model was shown). Models were
331 quantified using three indexes (coefficient of determination R^2 between estimated and
332 observed values, Root Mean Square Error RMSE and Mean Absolute Error MAE).

333

334 ***Past reconstruction and future projections***

335 The best model, in terms of accuracy obtained by the integrative approach for both
336 long-term changes in pollen sources and short-term changes in pollen production, was
337 validated using past data and used to make projections for the future. The reconstruction
338 of past birch APIn for the Bavaria region was calculated annually for a 30-year period

339 (1989-2018) following a spatio-temporal prediction using the observed climate datasets
340 (E-OBS datasets) (see Figure S8 in Supplementary Material). The model was then
341 applied to the period 1975-2100 using the future climate dataset from the different
342 Regional Circulation Models (RCMs) provided by the Bavarian Environment Agency
343 described previously. After applying the model of pollen load, a 30-year moving
344 average of calculated APIn was used to obtain long-term projections. An ensemble
345 model was calculated by the median value of the outputs for each of the RCMs, and
346 only one model was retrieved from all RCMs. Values of standard deviation of the model
347 are shown in the Supplementary Material (Figure S6).

348

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349 **Results**

350

351 *Modelling of pollen sources*

352 The birch tree abundance data provided by the European Atlas of Forest Tree Species
353 was modelled by a Random Forest technique, as this turned out to be the most accurate
354 model. This model was trained in 100,000 points throughout Europe retrieving a
355 coefficient of determination $R^2 = 0.84$ for external validation (\sim cross-validation)
356 (Figure S4). Figure 2A shows the results of the model in Europe and the region of
357 Bavaria in Germany, respectively. Future projections for birch abundance in Europe
358 showed a decrease of birch abundance at lower altitudes of Central Europe while an
359 increase was projected in the Alps. Also, an increase of birch abundance was projected
360 in the Northern limits of the European birch distribution (Figure 2). In Bavaria all
361 scenarios, to a greater or lesser extent, showed an increase of birch abundance towards
362 the South, and a decrease in the Northeast of Bavaria, where the species is now
363 abundant.

364

365 The variables with a positive influence on the abundance of birch were percentage of
366 forest cover, which was the most important variable based on land-use, and
367 precipitation during the warmest period. On the other hand, variables with a negative
368 influence on birch abundance were the Human Influence Index (HII), based on
369 indicators of human pressure, and the bioclimatic indices Annual Mean Temperature
370 and Isothermality that highlighted the negative influence of temperature and seasonality
371 on birches in the model (detailed information about validation and Variable Importance
372 may be consulted in the Supplementary Material, Figure S4).

373

374 The relationships between the distribution and abundance of birch trees and airborne
375 pollen load were studied using the Concentric Ring Method (Figure S5). The most
376 important factor, the Specific Influence Index (SII), was based on the polynomial curve
377 that represents the correlations between the birch tree abundance and pollen amounts in
378 concentric rings (Figure 3). In this study, the fitted statistical curve had $R^2 = 0.87$
379 ($p < 0.001$). When the SII was calculated and compared with the APIn for the year 2015
380 (when the most stations were operated), the relationship between SII and APIn resulted
381 in a coefficient of determination of $R^2 = 0.66$ ($p < 0.001$) (Figure 3).

382

383 ***Modelling of pollen load***

384 The best statistical method for predicting birch APIn in the Bavaria region was also
385 Random Forest with the most important predictive variable being SII based on the
386 Variable Importance Index (Figure S7). Other predictors for APIn accounting for a
387 lower Variable Importance were climatic variables. The most important of which being
388 precipitation in the previous spring and minimum temperature in the previous summer
389 and autumn. Meteorological conditions of the previous autumn and during the pollen
390 season (month of April) had some relevance. However, winter seemed to be the least
391 relevant period for birch APIn. The statistical model generated had $R^2 = 0.94$ (MAE =
392 892 pollen/day*m³), and the external validation over the random 25% of the
393 independent cases retrieved a coefficient of determination of $R^2 = 0.76$ (MAE = 1678
394 pollen/day*m³). Figure 4 shows the fitting of the model for the entire dataset (stations x
395 years) indicating whether the value was included in the training set or used for
396 externally testing of the model.

397

398 ***Validation***

399 The selected statistical model was applied to past data for validation and was used to
400 make projections for the future. The APIn for every year was reconstructed for the
401 entire Bavaria region for the period 1989-2018 (the results of the validation in the past
402 may be consulted in Supplementary Material, Figure S8). For this 30-year period, trend
403 analysis shows an increase in pollen amounts over the entire territory and only slight
404 decreases were obtained in limited areas in the North. However, the significant slopes
405 were mainly distributed in the Southwest of Bavaria in the vicinity of the Alps (Figure
406 S8B).

407

408 *Future projections*

409 The strongest influence on pollen load in the region of Bavaria is provided by birch
410 abundance (presence of pollen sources) and, to a lesser extent, the effect of climate
411 (Figure 5). The North-eastern part of Bavaria is projected to experience the sharpest
412 decrease in birch APIn. Airborne birch pollen concentration in the mid-South of Bavaria
413 may increase slightly under all RCPs, as a consequence of the increase of the habitat
414 suitability for birch, especially in the central part of this area. Taking into account
415 limitations in migration rates, the most dramatic increases in birch APIn are likely to be
416 restricted to the Southern fringes of Bavaria (Figure S9).

417

418 Future changes in birch APIn for three Representative Concentration Pathways
419 (ensemble projections for the five SSPs) are shown for the whole of Bavaria (Figure 5)
420 and in more detail for individual stations (Figure 6). The results show a clear decrease
421 in birch APIn in Northern parts of Bavaria. This decline is most prominent in the
422 Northeast and especially for RCP 8.5. As can be seen for DEBAYR (Bayreuth), in the
423 Northeast of Bavaria, these decreases are projected to stabilise towards the end of the

424 century for RCPs 2.6 and RCPs 4.5 (~5000 pollen/day*m³), but not for RCP 8.5. For
425 RCP 4.5 in DEMUNC (Munich) an initial increase in birch APIn is projected to be
426 followed by a decrease towards the end of the century. In areas of higher elevation
427 around the Alps, an increase in birch APIn is generally expected for all climate change
428 scenarios, as exemplified by DEOBER (Oberjoch). This pattern is already evident in the
429 Southwest of the territory as shown by trends observed during the last three decades
430 (Figure S8), and this trend is expected to continue towards the end of the century due to
431 increased likelihood of colonisation (Figure S9).

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440 Discussion

441

442 Birch is a dominant tree species in Northern Europe. In Central Europe, e.g. the region
443 of Bavaria, birch is less dominant and occurs as part of the mixed forests with
444 coniferous species such as Norway spruce (*Picea abies*) and pine (*Pinus* spp.) and
445 broadleaved species like beech (*Fagus sylvatica*) and oak (*Quercus* spp.) (Hynynen et
446 al., 2010) as well as sporadically in the open landscape. In this study, both *Betula*
447 *pendula* and *B. pubescens* were spatially modelled together, as the pollen grains of the
448 two species are microscopically indistinguishable from one another. Both birch species
449 present differences in ecological requirements since *B. pendula* requires drier and more
450 fertile soils than *B. pubescens* and, from a climatic point of view, *B. pubescens* is more
451 tolerant of colder northern conditions whereas *B. pendula* withstands relatively warmer
452 conditions in the South (Atkinson, 1992; Beck et al., 2016). However, they are similarly
453 limited by high temperatures and low water availability during the warmest period of
454 the year (Myking & Heide, 1995; Noce et al., 2017; Rubio-Cuadrado et al., 2018).

455

456 We combined two models; one for birch pollen sources (birch trees) and the other for
457 birch pollen load (APIn). The predictors explaining the greatest variance in the spatial
458 modelling of birch abundance were the cover of forests and indicators of human
459 pressure, and the bioclimatic variables Annual Mean Temperature, Seasonality, and
460 precipitation during the warmest months (Figure S10 shows predicted climate changes
461 in the whole of Europe). Human activity has profoundly perturbed the landscape since
462 ancient times (Leuschner & Ellenberg, 2017) and in Central Europe the surfaces
463 dedicated to agricultural fields, pastures for cattle and urban infrastructure have changed
464 the configuration of the forests in the territory (Wade et al., 2003; Wan et al., 2018). In

465 the model for APIn, the most important factor determining airborne birch pollen was the
466 Specific Influence Index (SII), i.e. the number and distance of surrounding birch trees.
467

468 Birch populations in Mediterranean areas are at the edge of the respective distributions,
469 and so are the most vulnerable to changes in climate conditions (Noce et al., 2017).
470 Bavaria, in Southern Germany, can be considered as being in an intermediate position
471 as its birch occurrences are in areas with the least habitat suitability for birch trees in the
472 entire German territory (Beck et al., 2016). The distribution of birch species in southern
473 and mid-latitudes of Europe will suffer displacements towards more northern and higher
474 elevated areas by the end of the 21st century (Dyderski et al., 2018). In this study, all
475 scenarios for future climate change in Bavaria project the same direction of change,
476 with birches suffering notable declines in the lowlands of the Northwest of the region
477 and the Danube valley. The most pessimistic scenarios project a drastic decline in the
478 Northeast, where birch trees have a higher relative share of the forest cover, and a
479 displacement towards areas of higher elevation like the slopes of Alps in the South.
480 However, a more specific approach based on migration rate reveals a slower
481 displacement of birch trees to the South resulting in only gradual increases in pollen
482 load towards the highlands of the Alps (Prasad et al., 2020). Moreover, birch trees could
483 persist in areas not particularly suited for reproduction due to plasticity and local
484 adaptability as well as due to more disturbance events (Fréjaville et al., 2020). Although
485 climate change could also provoke a decrease in pollen production in these areas.
486 Southwestern areas of Bavaria are projected to exhibit the greatest increases on pollen
487 load, and a significant positive trend in APIn has already been observed in this area over
488 the last three decades based on our results as well as other European areas (Ziello et al.,
489 2012).

490

491 The impacts of projected climate changes on future birch tree distribution are
492 significant. Firstly, there are obvious ecological consequences associated with the
493 changes in forest ecosystems (Morin et al., 2018; Thom et al., 2017). For instance, birch
494 species play a key role in ecosystems as pioneers during early stages of forest
495 establishment and therefore the decline of birches reduces the resilience of forests under
496 natural or anthropogenic disturbances (Leuschner & Ellenberg, 2017). Beyond the
497 impacts on ecological systems, birch displacements have important repercussions for
498 public health, with increases or decreases in pollen exposure depending on the
499 geographical area. For most of Bavaria, the number of birch trees and the amount of
500 airborne birch pollen will decline at the end of the century, but firstly increasing, which
501 agrees with the reported by other authors (Rojo et al., 2021).

502

503 Birch pollen is the dominant allergenic pollen type in Central and Northern European
504 areas (Burbach et al., 2009; Smith et al., 2014). Birch pollen can travel very long
505 distances in the atmosphere (Bogawski, Borycka, et al., 2019; Menzel et al., 2021), but
506 most pollen is dispersed at local and regional spatial scales (Sofiev, 2017). The results
507 of this study also show that birch trees distributed within the first 30 km surrounding the
508 samplers exerted the greatest influence on the amounts of airborne birch pollen
509 collected. Therefore, the distance from the pollen sources (i.e. birch trees) determines
510 the potential exposure to pollen and the potential allergic risk for the sensitized
511 population. This aspect is even more relevant in birches, as this tree species is
512 frequently cultivated as an ornamental in temperate cities. While specific studies
513 showed the influence of ornamental birch trees in urban areas as relevant sources of
514 pollen (Skjøth et al., 2008), the regional spatial scale of our model for birch pollen

515 abundance seems to be independent to this very local effect. Pollen dispersal of urban
516 trees would have a great effect in areas very close to trees (Adams-Groom et al., 2017),
517 but the main background amounts of birch pollen could come from more distant areas
518 (explaining the relevance of the forests areas to model pollen load), and would explain
519 why airborne birch pollen concentrations are not dependent on the location of the pollen
520 traps within cities (Bastl et al., 2019; Rojo et al., 2020).

521

522 The relationship between the abundance of birch trees, estimated as the Specific
523 Influence Index in this work (see methodological procedure of the Concentric Ring
524 Method (Oteros et al., 2015)), and airborne birch pollen loads is clear and linear. On the
525 other hand, pollen production in arboreal species is positively influenced by temperature
526 (temperature of the previous summer and autumn as obtained in the results) and
527 increases in atmospheric CO₂ (Darbah et al., 2008; Ziska et al., 2019). Indeed, previous
528 studies showed positive significant trends in birch pollen production in Central and
529 Northern Europe as a response to higher temperatures during the favourable growing
530 seasons (Frei & Gassner, 2008; Lind et al., 2016). According to our results, trends
531 towards higher birch APIn over the last 30 years were shown in Bavaria, but trends are
532 only significant in the Southwest of the region, where an increase of pollen load was
533 projected for the future. However, this behaviour is not generalized, and trends in birch
534 APIn are site-dependent (Marchand et al., 2020; Ziello et al., 2012).

535

536 Statistical methods assuming non-linear effects of the predictors, such as the influence
537 of meteorology (Zhang et al., 2015), are crucial for modelling birch APIn. The Machine
538 Learning method of regression such as Random Forest was successful in this work, and
539 obtained the most accurate results. Random Forest techniques have increased their

540 popularity for modelling spatiotemporal environmental variables in recent years due to
541 their accuracy (Bogawski, Grewling, et al., 2019; Mendoza & Araújo, 2019; Zhang et
542 al., 2020). Furthermore, the integrative approach proposed in this work for modelling
543 airborne birch pollen load incorporates both future long-term changes in the distribution
544 of birch trees and changes in the production of birch pollen caused by short-term
545 meteorological changes (which will also change in the long term). Our procedure allows
546 for projections to be made about pollen exposure by taking into account the effects of
547 climate change at the population level (pollen sources) and relates to the
548 ecophysiological level of the plant (pollen production) (Garcia et al., 2014).

549

550 **Conclusions**

551

552 This study shows that anthropogenic induced climate change will have a marked impact
553 on the exposure of the allergic population to airborne birch pollen in Central Europe.
554 Using Bavaria in Southern Germany as a case study, we used a novel methodological
555 procedure to model the birch APIn that employs both long-term changes in the spatial
556 distribution and abundance of birch trees and interannual changes in the production of
557 birch pollen associated with short-term meteorological variations. The integrated model
558 shows that climate change will result in a decrease in airborne birch pollen in the North
559 of Bavaria, particularly in the North-East where most birch trees are currently
560 distributed. Elsewhere in Bavaria, warmer summer temperatures will initially favour
561 birch pollen production and result in more severe birch pollen seasons. However, this
562 early increase in airborne birch pollen is projected to be following by a decline in
563 exposure towards the end of the 21st century as climate change impacts birch tree
564 distribution. Conversely, the burden of birch pollen allergy may shift to areas of higher

565 elevation as birches become more abundant in these areas. The integrative modelling
566 approach used in this study may be extended to other areas and other plant species. The
567 ecological drivers of plant distribution and pollen production differ between plant
568 species, and knowledge about these processes is important for understanding the
569 impacts of climate change on the health of the population. For birch we could show that
570 climate change will initially increase airborne birch pollen load, but then later in the
571 century reduce the number of birch trees (sources of pollen) resulting in a decrease of
572 birch pollen exposure in most areas of the region of Bavaria.
573

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589

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595

596 Declaration of competing financial interests (CFI)

597 The authors declare they have no actual or potential competing financial interests.

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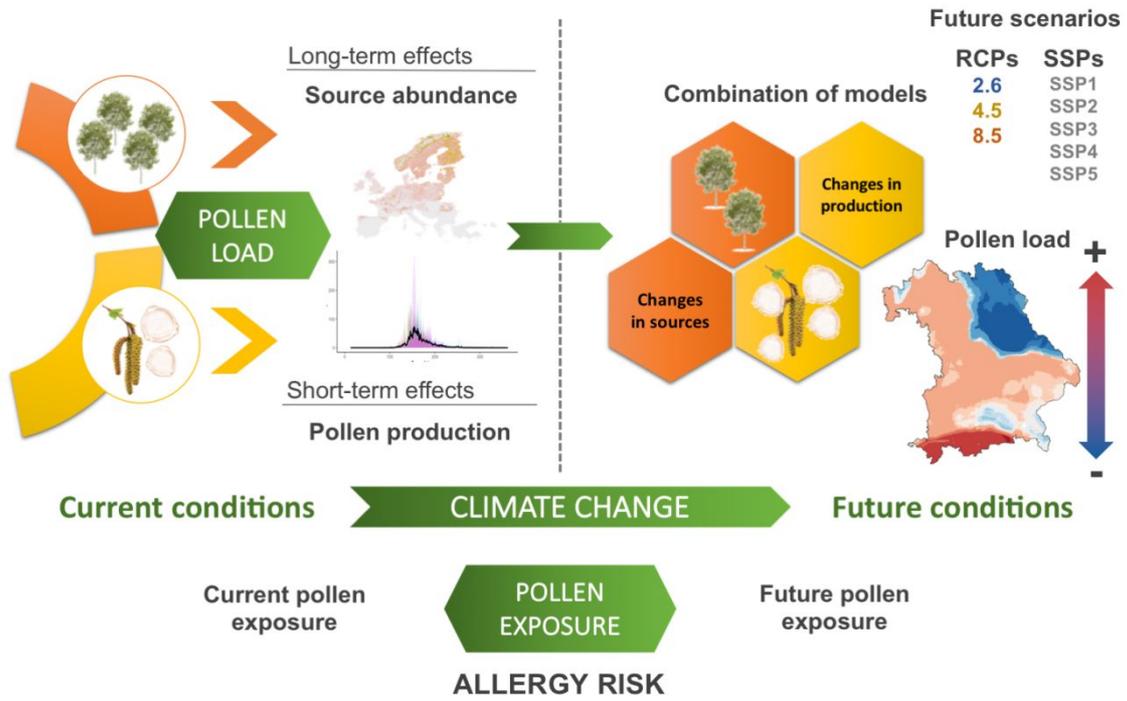
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938 **Figures**

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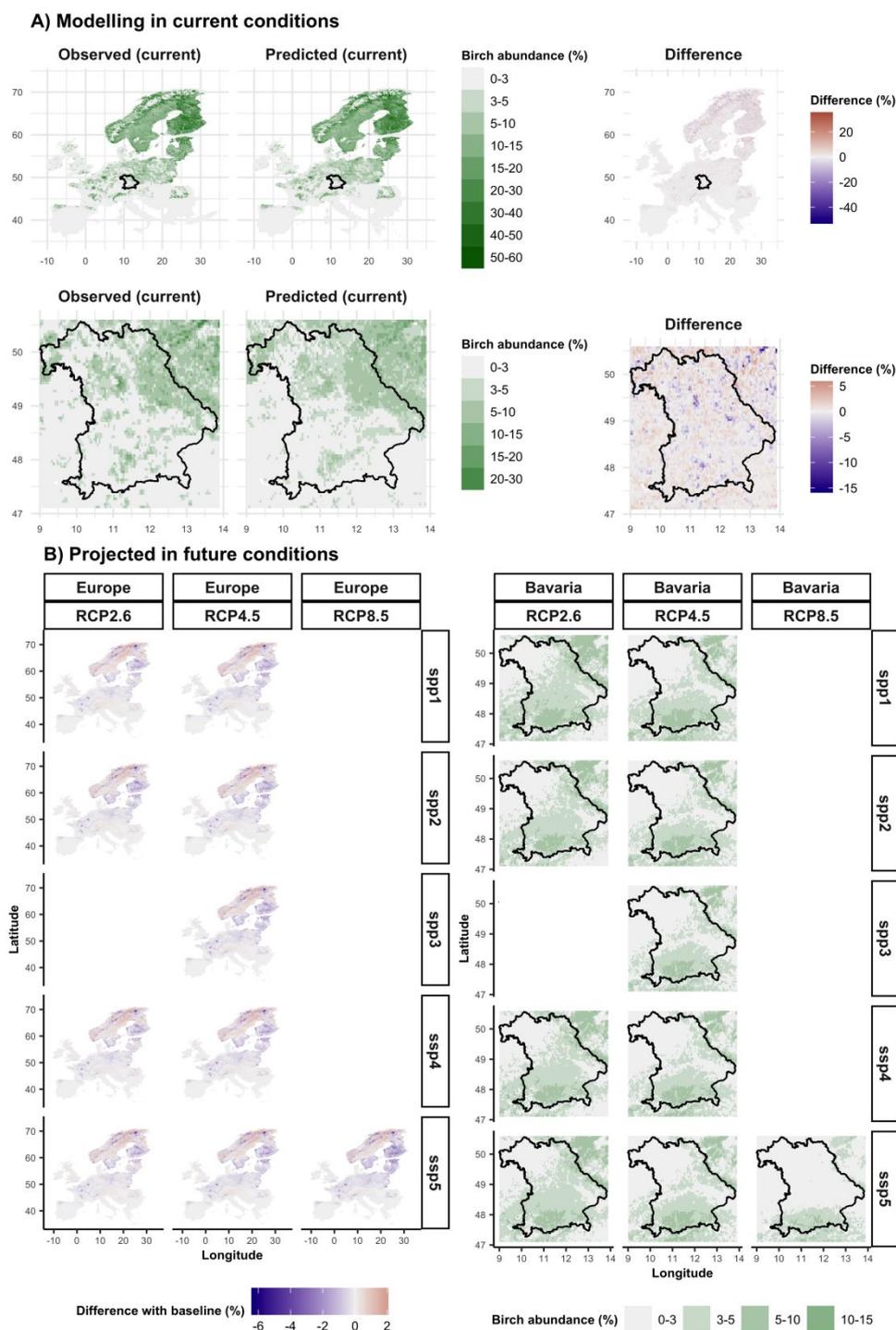
940

941 Figure 1. Theoretical procedure followed in the study for modelling the airborne birch

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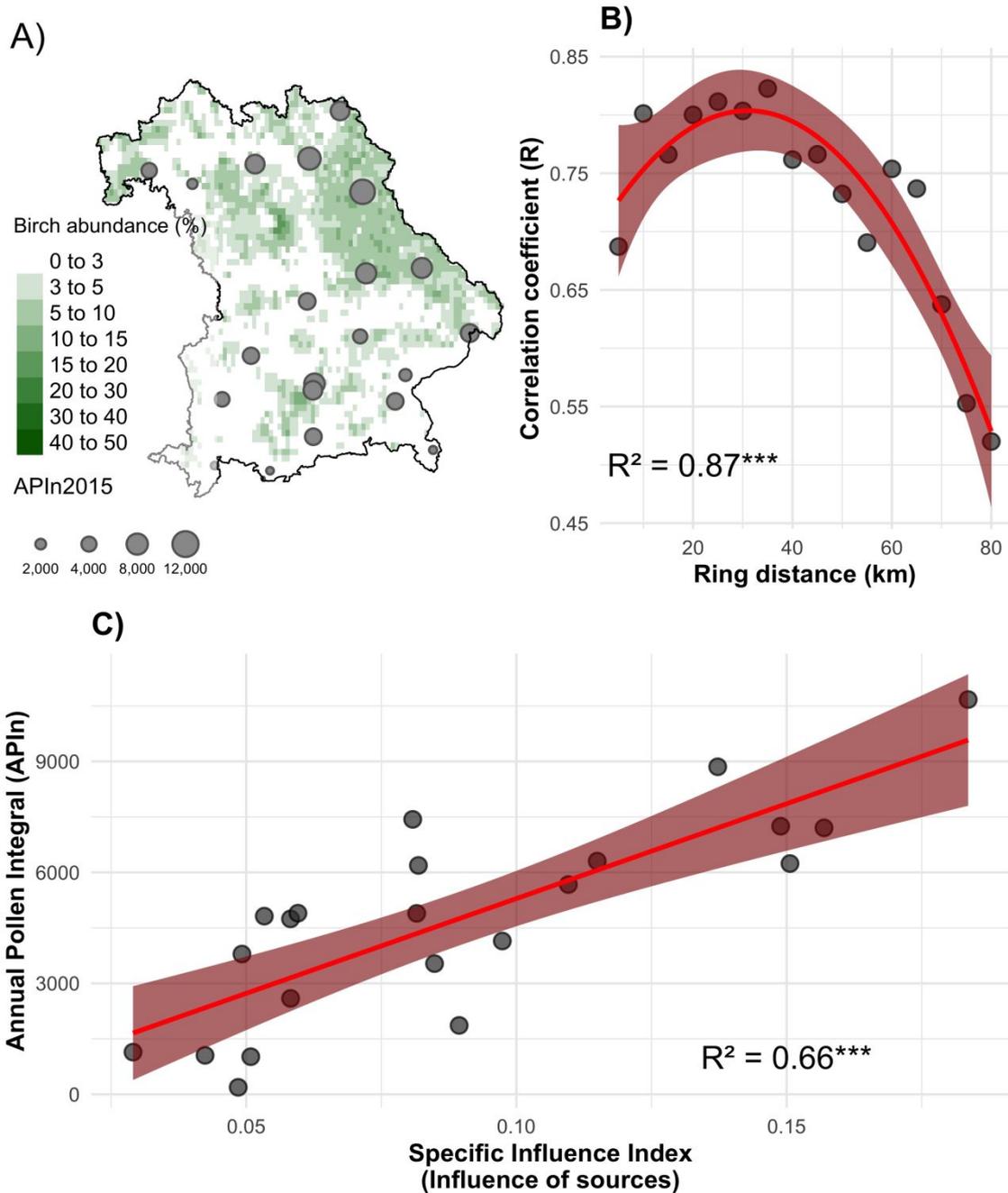
pollen load and subsequent pollen exposure.

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 945 Figure 2. (A) Observed versus modelled current birch tree abundance of Europe (top)
 946 and in the region of Bavaria in Southern Germany (bottom); (B) Projected birch
 947 abundance, difference from the baseline in Europe (left) and projected birch abundance
 948 in the region of Bavaria (right) under different Representative Concentration Pathways
 949 (RCPs) and Shared Socio-economic Pathways (SSPs).

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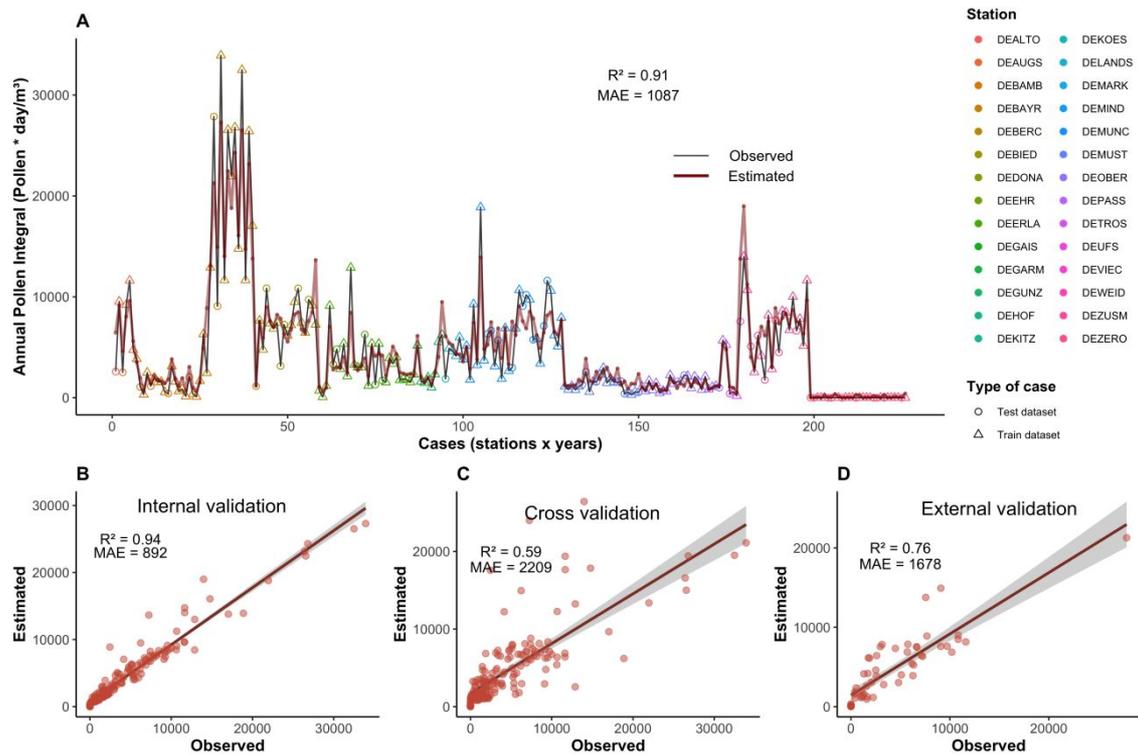
952 Figure 3. Results of the Concentric Ring Method for current conditions. (A) Available
 953 pollen stations with complete databases during 2015; (B) Polynomial curve of the
 954 theoretical influence of the pollen emission as a function of the abundance and the
 955 distance of the sources; (C) Relationship between SII and APIn. Significance levels:

956 *** $p < 0.001$.

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961 Figure 4. Validation of the statistical model for predicting the intensity of birch APIn:

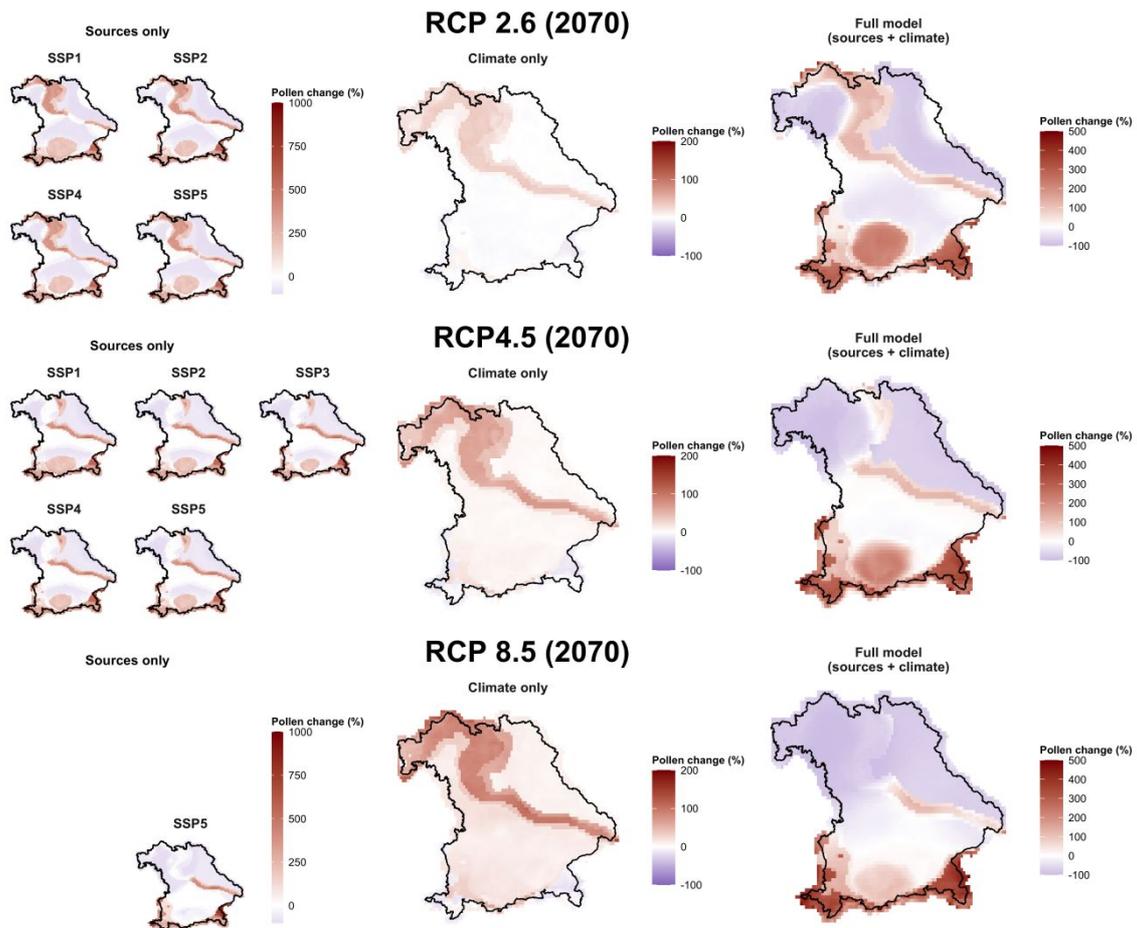
962 (A) All cases are shown for all stations and all years; (B) Internal validation; (C) Cross-

963 validation; (D) External validation with independent cases (subset of 40% of the total

964 cases).

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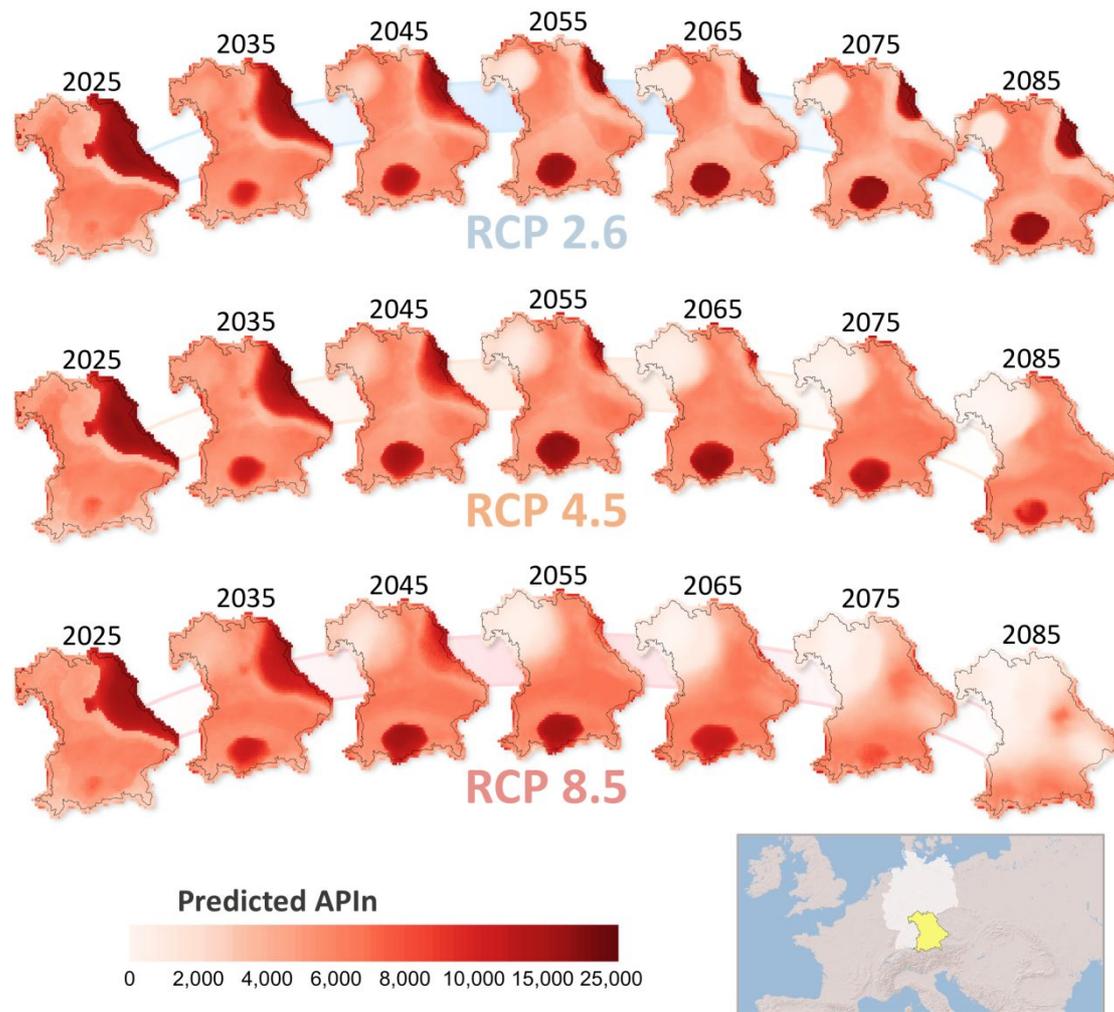


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968 Figure 5. Differences between birch APIn projected in the future (2070) and the
 969 baseline (1989-2018), taking into account independent effects of the change in pollen
 970 sources (long-term effect), the change in climate conditions (short-term effect) and full
 971 effect (integrative model). Note that the scale of the legend differs for the effects
 972 because the magnitude of change is very different.

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976 Figure 6. Future projections of birch APIn in Bavaria (Germany) according to the
 977 climate change scenarios considered (Representative Concentrations Pathways - RCPs).

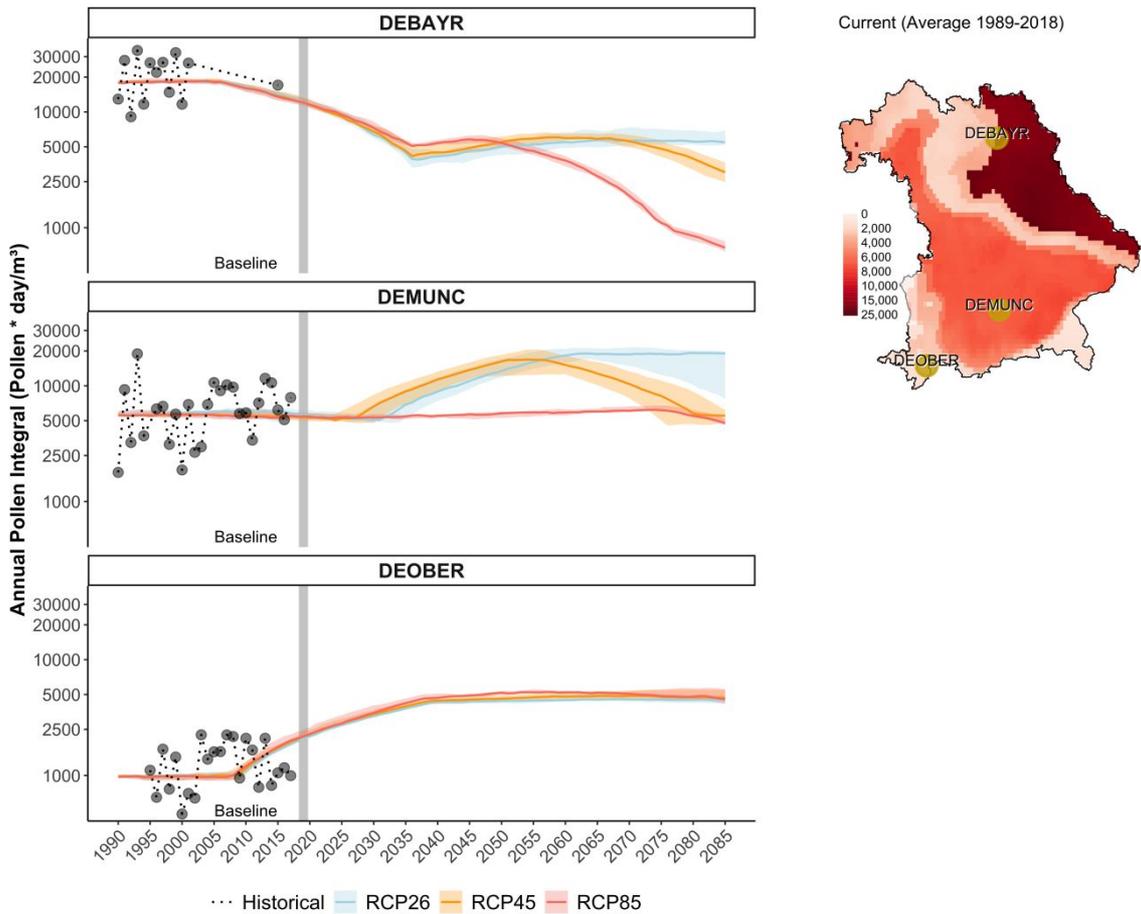
978 Potential radiative forcing of 2.6, 4.5 and 8.5 W/m².

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984 Figure 7. Future projections of birch APIn for three specific sites in Bavaria (DEBAYR,
 985 Bayreuth; DEMUNC, Munich; and DEOBER, Oberjoch) according to the
 986 Representative Concentrations Pathways considered (2.6, 4.5 and 8.5 W/m²) (left), and
 987 percentage of change of the birch pollen for two RCPs (2.6 and 8.5) (right). The
 988 confidence intervals (on the left) represent the maximum and minimum predicted value
 989 of APIn for the different Regional Circulation Models. The thick line represents the
 990 median value of all these predictions.

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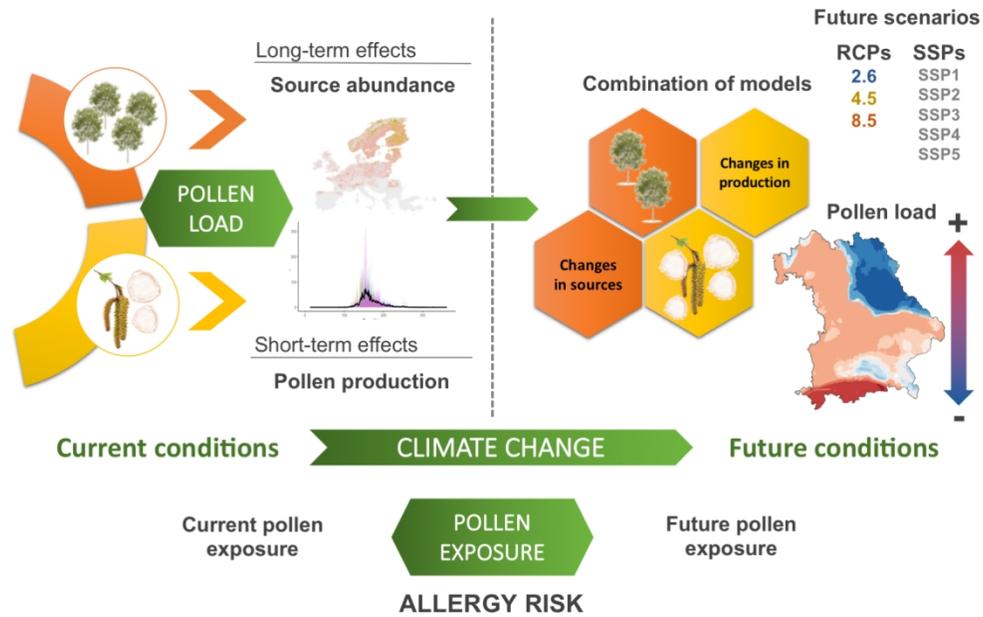


Figure 1. Theoretical procedure followed in the study for modelling the airborne birch pollen load and subsequent pollen exposure.

869x565mm (46 x 46 DPI)

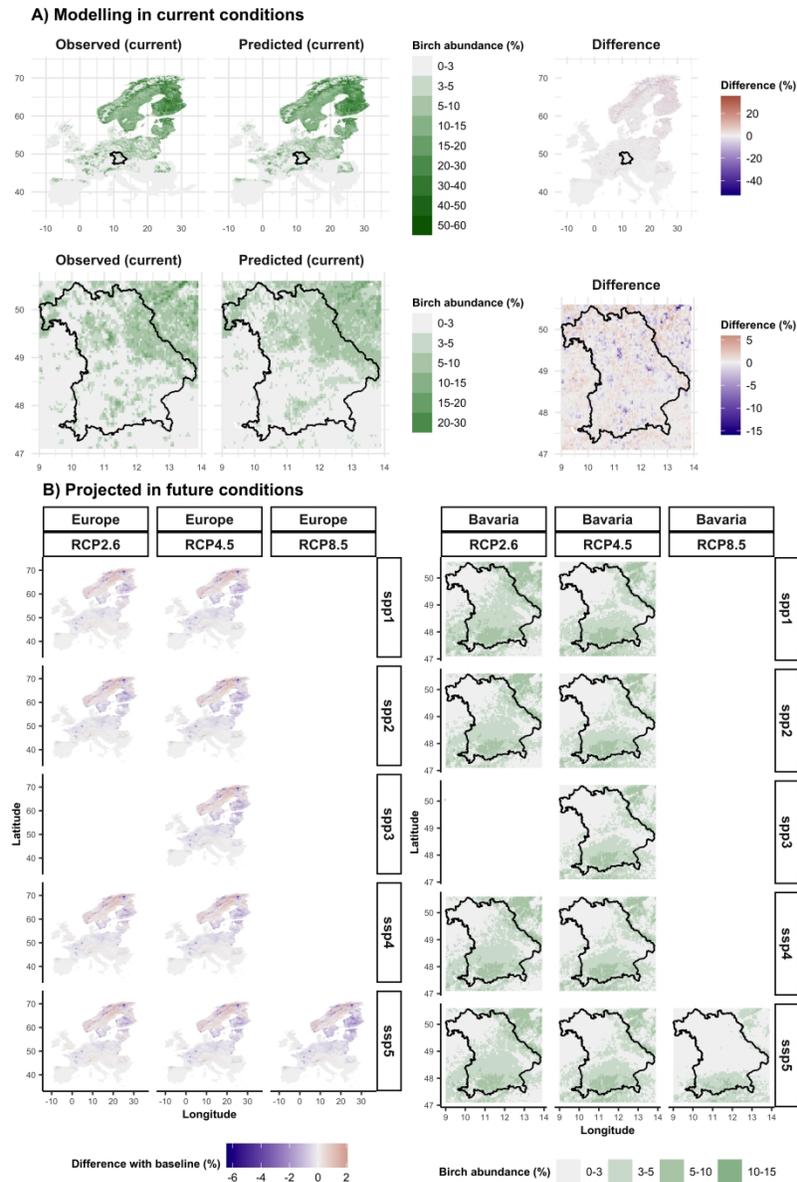


Figure 2. (A) Observed versus modelled current birch tree abundance of Europe (top) and in the region of Bavaria in Southern Germany (bottom); (B) Projected birch abundance, difference from the baseline in Europe (left) and projected birch abundance in the region of Bavaria (right) under different Representative Concentration Pathways (RCPs) and Shared Socio-economic Pathways (SSPs).

1388x2083mm (72 x 72 DPI)

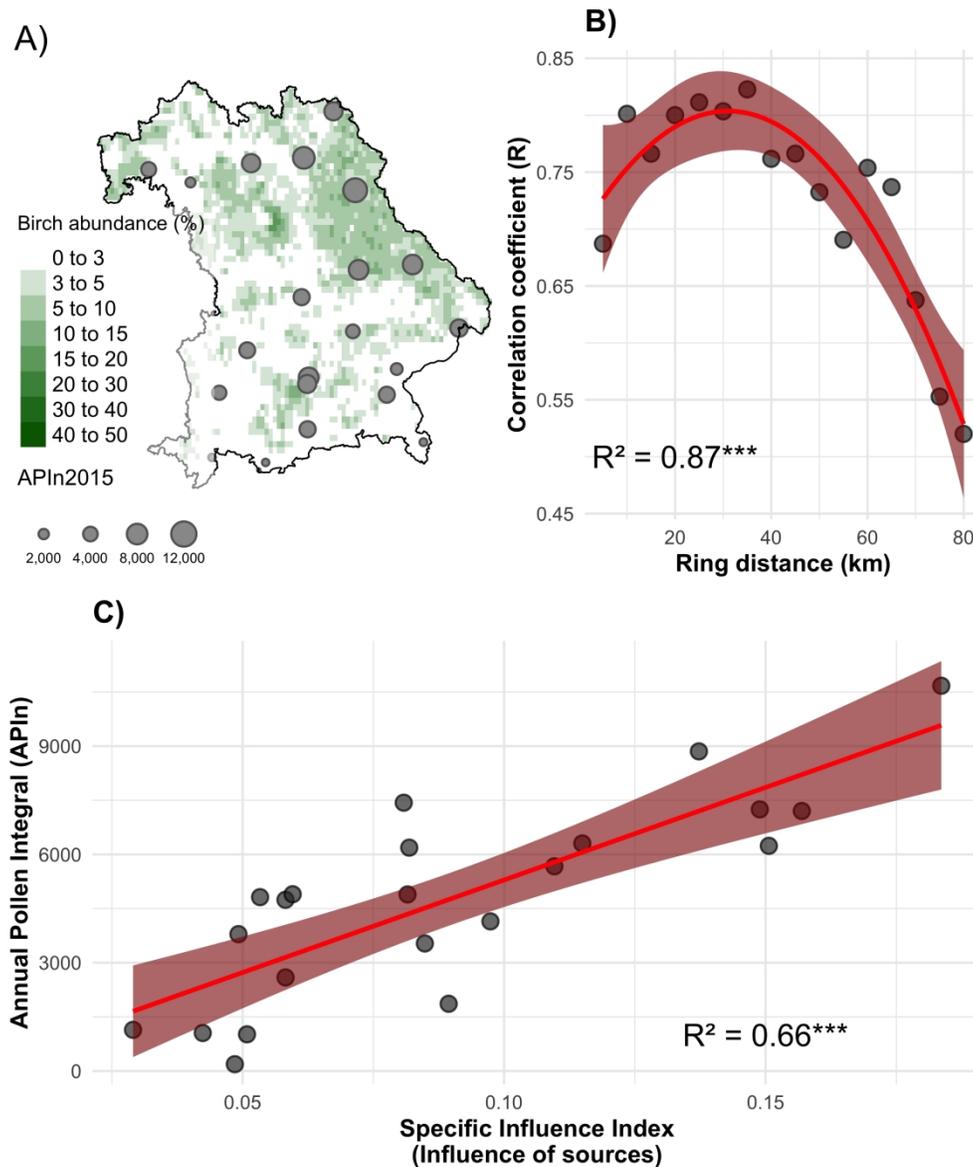


Figure 3. Results of the Concentric Ring Method for current conditions. (A) Available pollen stations with complete databases during 2015; (B) Polynomial curve of the theoretical influence of the pollen emission as a function of the abundance and the distance of the sources; (C) Relationship between SII and API_n. Significance levels: *** $p < 0.001$.

1110x1319mm (72 x 72 DPI)

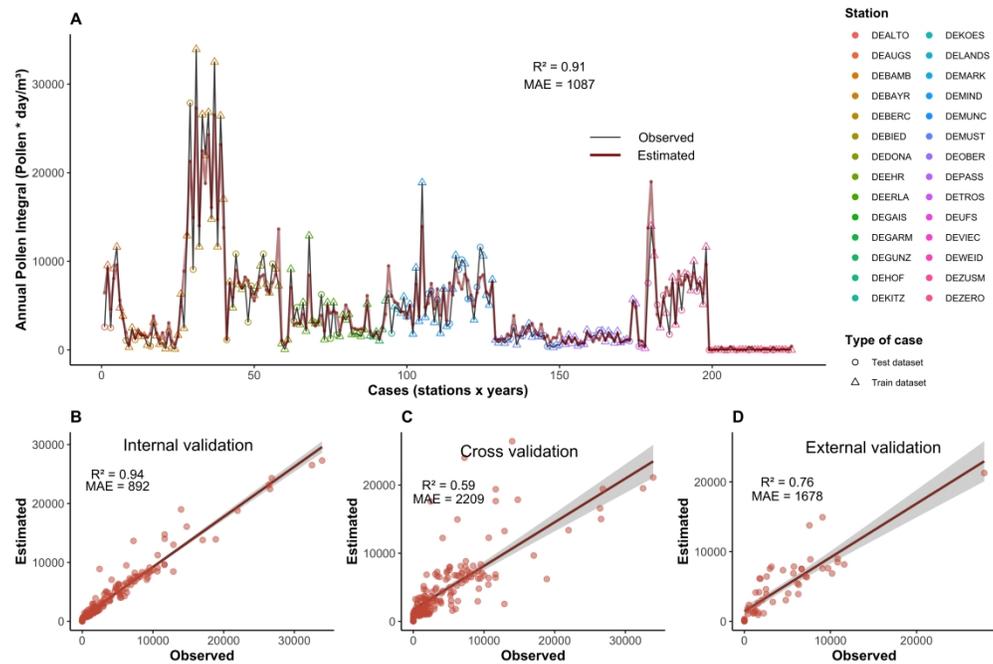


Figure 4. Validation of the statistical model for predicting the intensity of birch APIn: (A) All cases are shown for all stations and all years; (B) Internal validation; (C) Cross-validation; (D) External validation with independent cases (subset of 40% of the total cases).

2083x1388mm (72 x 72 DPI)

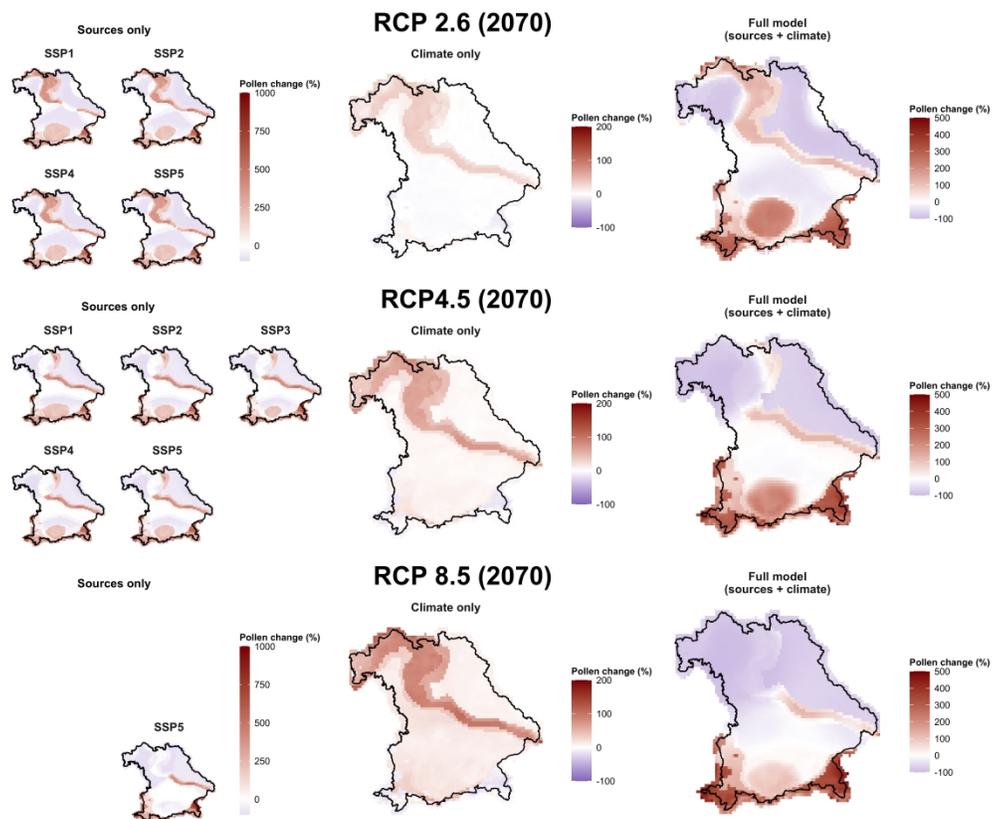


Figure 5. Differences between birch APIIn projected in the future (2070) and the baseline (1989-2018), taking into account independent effects of the change in pollen sources (long-term effect), the change in climate conditions (short-term effect) and full effect (integrative model). Note that the scale of the legend differs for the effects because the magnitude of change is very different.

2083x1736mm (72 x 72 DPI)

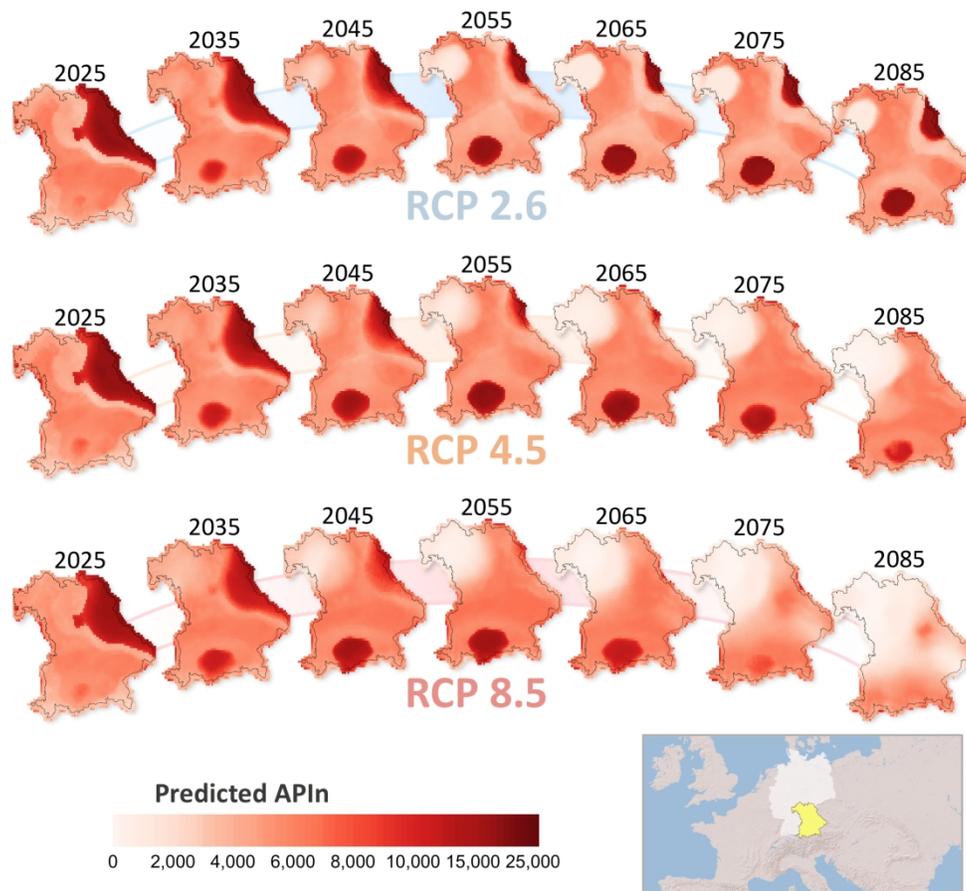


Figure 6. Future projections of birch APIn in Bavaria (Germany) according to the climate change scenarios considered (Representative Concentrations Pathways - RCPs). Potential radiative forcing of 2.6, 4.5 and 8.5 W/m².

624x585mm (122 x 122 DPI)

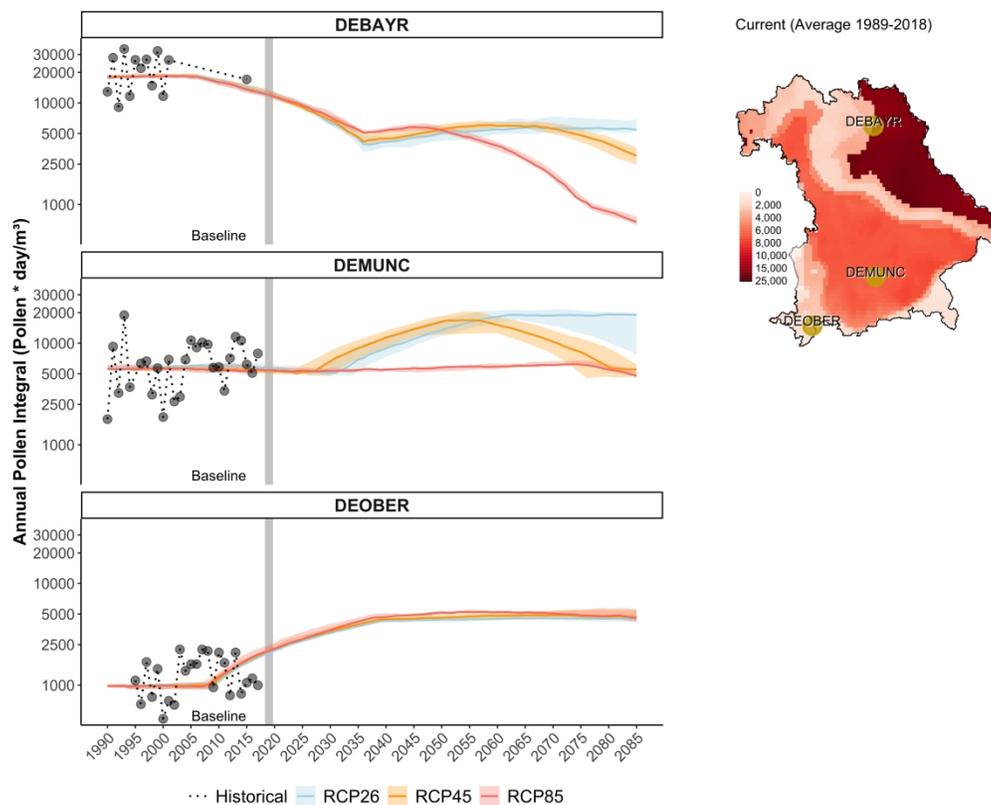


Figure 7. Future projections of birch APIn for three specific sites in Bavaria (DEBAYR, Bayreuth; DEMUNC, Munich; and DEOBER, Oberjoch) according to the Representative Concentrations Pathways considered (2.6, 4.5 and 8.5 W/m²) (left), and percentage of change of the birch pollen for two RCPs (2.6 and 8.5) (right). The confidence intervals (on the left) represent the maximum and minimum predicted value of APIn for the different Regional Circulation Models. The thick line represents the median value of all these predictions.

1944x1597mm (72 x 72 DPI)