# **ORIGINAL RESEARCH ARTICLE**

# Genetic Landscape of the ACE2 Coronavirus Receptor

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**BACKGROUND:** SARS-CoV-2, the causal agent of COVID-19, enters human cells using the ACE2 (angiotensin-converting enzyme 2) protein as a receptor. ACE2 is thus key to the infection and treatment of the coronavirus. ACE2 is highly expressed in the heart and respiratory and gastrointestinal tracts, playing important regulatory roles in the cardiovascular and other biological systems. However, the genetic basis of the ACE2 protein levels is not well understood.

**METHODS:** We have conducted the largest genome-wide association meta-analysis of plasma ACE2 levels in >28000 individuals of the SCALLOP Consortium (Systematic and Combined Analysis of Olink Proteins). We summarize the cross-sectional epidemiological correlates of circulating ACE2. Using the summary statistics-based high-definition likelihood method, we estimate relevant genetic correlations with cardiometabolic phenotypes, COVID-19, and other human complex traits and diseases. We perform causal inference of soluble ACE2 on vascular disease outcomes and COVID-19 severity using mendelian randomization. We also perform in silico functional analysis by integrating with other types of omics data.

**RESULTS:** We identified 10 loci, including 8 novel, capturing 30% of the heritability of the protein. We detected that plasma ACE2 was genetically correlated with vascular diseases, severe COVID-19, and a wide range of human complex diseases and medications. An X-chromosome cis-protein quantitative trait loci-based mendelian randomization analysis suggested a causal effect of elevated ACE2 levels on COVID-19 severity (odds ratio, 1.63 [95% CI, 1.10–2.42]; *P*=0.01), hospitalization (odds ratio, 1.52 [95% CI, 1.05–2.21]; *P*=0.03), and infection (odds ratio, 1.60 [95% CI, 1.08–2.37]; *P*=0.02). Tissue- and cell type-specific transcriptomic and epigenomic analysis revealed that the ACE2 regulatory variants were enriched for DNA methylation sites in blood immune cells.

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**CONCLUSIONS**: Human plasma ACE2 shares a genetic basis with cardiovascular disease, COVID-19, and other related diseases. The genetic architecture of the ACE2 protein is mapped, providing a useful resource for further biological and clinical studies on this coronavirus receptor.

Key Words: angiotensin-converting enzyme 2 = Genome-Wide Association Study = cardiovascular diseases = COVID-19 = SARS-CoV-2

# **Clinical Perspective**

### What Is New?

- The overall heritability of the ACE2 (angiotensinconverting enzyme 2) level is 16%, of which 30% can be explained by 10 protein quantitative trait loci identified in this study.
- ACE2 level is genetically correlated with both COVID-19 and cardiovascular disease.
- Elevated ACE2 levels show a causal relationship with COVID-19 severity, hospitalization, and infection, as shown by a cis-protein quantitative trait loci-based mendelian randomization analysis.
- ACE2 regulatory variants are enriched on DNA methylation sites in blood immune cells.

### What Are the Clinical Implications?

- The causal evidence for ACE2 suggests that pharmacological inhibition of circulating ACE2 may be a promising approach for treating COVID-19 or its comorbidities.
- Transcription factors such as HNF1A and HNF4A play essential roles in ACE2 regulation and could provide alternative paths to pharmacological modulation of ACE2 plasma levels.
- The genetic correlations between ACE2 levels and both COVID-19 and cardiovascular disease risk imply that the cardiovascular complications seen in patients with COVID-19 may be intrinsic to the disease and mechanistically driven by ACE2.

ARS-CoV-2, the causal agent of COVID-19, enters human cells using the ACE2 (angiotensin-converting enzyme 2) protein as a receptor.<sup>1-5</sup> ACE2 is highly expressed in the heart, respiratory, and gastrointestinal tracts, and it plays important regulatory roles in the cardiovascular and other biological systems.<sup>6</sup> ACE2 proteolytically degrades angiotensin II (a potent vasoconstrictive, proinflammatory, and prothrombotic mediator) into angiotensin-(1–7), thereby regulating blood pressure, salt and water balance, glucose homeostasis, and amino acid absorption in the kidney and small intestine.

Shedding of a soluble form of ACE2 from the cell surface is regulated by membrane-bound enzymes such as TMPRSS2 and ADAM17.<sup>78</sup> Enzymatic cleavage of the ACE2 extracellular domain by TMPRSS2 after binding of the spike protein of SARS-CoV-2 to ACE2 also plays a

### Nonstandard Abbreviations and Acronyms

ACE	angiotensin-converting enzyme				
ACE2	angiotensin-converting enzyme 2				
ARBs	angiotensin receptor blockers				
BMI	body mass index				
CRP	C-reactive protein				
CVD	cardiovascular disease				
eQTL	expression quantitative trait loci				
GSMR	generalized summary data-based men- delian randomization				
GWAS	genome-wide association study				
LD	linkage disequilibrium				
MR	mendelian randomization				
ORIGIN	Outcome Reduction With Initial Glargine Intervention				
PEA	proximity extension assay				
pQTL	protein quantitative trait loci				
PURE	Prospective Urban Rural Epidemiology				
SCALLOP	Systematic and Combined Analysis of Olink Proteins				
SNP	single nucleotide polymorphism				

s role in SARS-CoV-2 cell entry and infection. To date, several large observational cohort studies including either patients with heart failure or atrial fibrillation or healthy children and adults have demonstrated that circulating ACE2 antigen levels<sup>9–12</sup> are higher in men than women, increase with age, and correlate with cardiovascular outcomes and cardiometabolic and inflammatory biomarkers, but not with the use of angiotensin-converting enzyme (ACE) inhibitors or angiotensin receptor blockers (ARBs).<sup>13,14</sup> Therefore, further understanding the epidemiological relationships and genetic regulation of the soluble ACE2 protein could have important implications for risk of SARS-CoV-2 infection or disease severity and cardiovascular disease (CVD) risk in general and could motivate further assessment of the causal role of ACE2 in these diseases.

A recent genome-wide analysis of soluble ACE2 measured in plasma of 3442 patients with heart failure identified 3 genome-wide significant protein quantitative trait loci (pQTL): a cis-pQTL on chromosome X (located near the cognate ACE2 gene) and 2 trans-loci on chromosomes 12 and 21 encompassing the genes encod-ing transcription factors HNF1A and ERG, respectively.<sup>15</sup>

Current antibody-based ACE2 pOTL studies have been insufficient to capture enough heritability of the protein or to estimate downstream genetic connections between ACE2 and diseases such as CVD and COVID-19.

Here, by performing so far the largest genome-wide association meta-analysis of plasma ACE2 (n=28204), we summarize the cross-sectional epidemiological correlates of circulating ACE2; report 10 pQTL, including 8 novel for ACE2; estimate relevant genetic correlations with other cardiometabolic phenotypes; and conduct causal inference of soluble ACE2 on vascular disease outcomes and COVID-19 severity using mendelian randomization (MR).

### **METHODS**

### **Transparency and Openness Promotion**

The summary statistics data of the plasma ACE2 genome-wide association study (GWAS) meta-analysis are publicly available online.<sup>16</sup> Other summary-level data used in this study are also available as stated in Data Availability in the Supplemental Material. The individual-level human phenotypic and genetic data and biological samples used in this study will not be made available to other researchers for the purposes of reproducing the results or replicating the procedure.

### **Data Collection**

Across the participating cohorts, plasma ACE2 abundance was measured with the Proximity Extension Assay (PEA) technology of Olink Proteomics on the Multiplex CVDII targeted 96-protein panel. PEA has high readout specificity and sensitivity (Supplemental Material) and consumes a minimal amount of sample. In PEA, matched pairs of oligonucleotide-labeled antibodies bind to the target ACE2 antigen, and on antibody binding, the matched oligonucleotides are brought into proximity.<sup>17</sup> A polymerase chain reaction target sequence corresponding to the ACE2 protein is then created, amplified, and quantified by quantitative polymerase chain reaction.

Genome-wide association summary statistics of plasma ACE2 protein were obtained from 14 cohorts of European ancestry. The cohorts' details are given in Table S1 and the Supplemental Material. The institutional review committees for each cohort approved the study methodology, and all study participants provided informed consent for their clinical and genetic data to be used for research. The maximum sample size per single nucleotide polymorphism (SNP) is 28204 individuals. Each cohort provided data imputed to 1000 Genomes Project phase 3 reference or to the Haplotype Reference Consortium reference, which resulted in 17166011 autosomal SNPs and 3922856 X chromosome SNPs. We tested 8682405 and 730046 genetic variants on the autosomes and X chromosome, respectively, with a minor allele frequency >0.01. Each cohort applied quality control measures for call rate filters, sex mismatch, population outliers, heterozygosity, and cryptic relatedness as documented in the Supplemental Material. Before we ran the genetic analyses, protein values (on the log2 scale) were inverse-gaussian transformed to zero mean and unit variance. No detection threshold was applied to the raw Olink measurement values.

The summary association statistics for severe COVID-19 and other complex traits and diseases were obtained from publicly available established resources (see Data Availability in Supplemental Material). The ACE protein serum concentration GWAS in 4147 individuals from the ORIGIN trial (Outcome Reduction With Initial Glargine Intervention)<sup>18</sup> was obtained from the authors (see also Acknowledgments). The aptamerbased plasma ACE-level GWAS made use of the recent study in 35559 Icelanders.<sup>19</sup> For severe COVID-19, we considered the GenOMICC Consortium GWAS.<sup>20</sup> For COVID-19 hospitalization and infection GWAS, we used COVID-19 Host Genetics Initiative GWAS meta-analysis round 4 (October 20, 2020).<sup>21</sup> To provide a proper replication of causal effect inference, we subtracted the GenOMICC GWAS from the Host Genetics Initiative meta-analysis using the R package MetaSubtract.

### **Genome-Wide Association Meta-Analysis**

Genetic analyses were conducted with additive model regressions with adjustment for population structure and study-specific parameters (details in Supplemental Material). For the X chromosome, the analysis was performed separately for men and women, with 0 to 1 genotype coding for men. Each contributing cohort uploaded the result summary statistics in a standardized format to a secure computational cluster at the University of Edinburgh. The meta-analysis was performed with METAL (2011-03-25)<sup>22</sup> with the inverse variance–weighted approach (STDERR option).

## Heritability and Genetic Correlation Analysis

For the autosomes, we estimated the genome-wide SNPbased heritability and genetic correlation values for plasma ACE2 and other complex traits using high-definition likelihood,<sup>23</sup> an approach based on summary association statistics. We used the default reference panel containing linkage disequilibrium (LD) information of 1029876 quality-controlled imputed SNPs provided in the high-definition likelihood software. The genetic variance captured by the cis-pQTL of ACE2 on chromosome X was estimated from the top SNP assuming Hardy-Weinberg equilibrium, that is,  $2f(1-f)\hat{\beta}^2$ , where f and  $\hat{\beta}$  are the minor allele frequency and the genetic effect estimate, respectively. The genetic variance captured by each autosomal trans-pQTL was also estimated from the top SNP assuming Hardy-Weinberg equilibrium. Because the phenotypic variance of ACE2 was standardized to 1 through inversegaussian transformation, the sum of  $2f(1-f)\beta^2$  across all the pQTL yields the proportion of phenotypic variance explained by the discovered pQTL. Thus, the ratio of the genetic variance captured by the pQTL to the estimated heritability gives the proportion of heritability explained.

### **Mendelian Randomization**

For cis-pQTL-based MR analysis, we used SNP genotypes within the X chromosomal *ACE2* gene region as instrumental variables to perform a standard inverse variance-weighted causal effect estimation using the TwoSampleMR package.<sup>24</sup> The LD *r*<sup>2</sup> clumping threshold was set to 0.001 to ensure independently associated genetic instruments. For autosomal genome-wide multi-instrument MR analysis, we conducted the analysis using the generalized summary data-based MR (GSMR)<sup>25</sup> module in the GCTA software. The genome-wide

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significance threshold of *P* values was set to  $5 \times 10^{-8}$ . The LD  $r^2$  clumping threshold was set to 0.05.

### **Cis-Expression Quantitative Trait Loci Analysis**

To analyze the effect of any identified plasma ACE2 pQTL on nearby gene expression, we used 2 well-established, publicly available genetics of gene expression databases: the large (n>31 000 individuals) blood gene expression eQTLGen and the GTEx Consortium, which is smaller (n $\approx$ 1000 individuals) but contains a much broader tissue representation (54 non-diseased tissue sites) to detect tissue-specific expression quantitative trait loci (eQTL). For each discovered trans-pQTL of plasma ACE2, we extracted the eQTL association summary statistics for the genes within a 1-Mb window of the lead ACE2-associated variant. The analysis was performed to evaluate candidate genes for the discovered ACE2 pQTL, excluding the major histocompatibility complex region on chromosome 6.

### **Chromatin States Enrichment Analysis**

We extracted 127 consolidated epigenomes from the Roadmap Epigenomics Project,<sup>26</sup> which covers 15 chromatin states quantified across 28 human tissues and cell types. We used an SNP-based logistic regression to test for the enrichment of LD-corrected genome-wide significant ACE2 association signals on each chromatin state in each tissue or cell type:

$$\log\left(\frac{E\left[Pr\left(P_{j} < 5 \times 10^{-8}\right)\right]}{E\left[Pr\left(P_{j} \ge 5 \times 10^{-8}\right)\right]}\right) = \mu + \delta \ell_{j} + \beta C_{j}$$
(1)

where  $\ell_j$  is the LD score of the *j*th SNP, precalculated by the ldsc software for the HapMap3 SNPs (major histocompatibility complex region excluded);  $C_j$  takes a value of 0 or 1, as an indicator for whether the SNP is annotated to be within the particular chromatin state; and  $\beta$  is the parameter of interest, that is, the log odds ratio of significant ACE2 associations in the chromatin state compared with that in the other SNPs. The higher enrichment of the ACE2 association signals is at the annotated SNPs, the higher  $\beta$  will be.

### **Statistical Analysis**

The genetic effects from different cohorts were inverse-variance weighted in the meta-analysis using the METAL software. Subtraction of cohorts from GWAS summary statistics was done with the R package MetaSubtract. The heritability and genetic correlation parameters were estimated with the high-definition likelihood and ldsc software. MR analysis was performed with the R package TwoSampleMR and GCTA software. Linear and generalized linear models were fitted using the Im() and gIm() procedures in R. The displayed parameters estimates are shown with SEs or 95% CIs.

### RESULTS

### Epidemiological Correlates of Plasma ACE2 Levels

Across our SCALLOP (Systematic and Combined Analysis of Olink Proteins) cohorts, plasma ACE2 levels were higher in men than in women (Table S2). Significant positive associations were found between higher plasma ACE2 and cathepsin L1, body mass index (BMI), triglycerides, liver fat, hypertension, CVD, blood pressure, and diabetes (Figure S1 and Table S3). Among commonly used antihypertensive drug classes, calcium channel blockers were positively associated with ACE2 levels, but there was no significant correlation between ACE2 levels and either ACE inhibitor use or angiotensin receptor blocker use in the overall sample. When the sample was stratified by sex, ARB use was significantly associated with higher ACE2 levels in men only. We also identified significant sex heterogeneity for the associations with cathepsin L1, BMI, triglycerides, and diabetes: The ACE2 associations with BMI and triglycerides were stronger in men, whereas the associations with cathepsin L1 and diabetes appeared to be stronger in women.

# GWAS Identified 10 Genome-Wide Significant Loci for Plasma ACE2

We conducted a genome-wide association meta-analysis in up to 28204 individuals from 14 cohorts in the SCAL-LOP Consortium for plasma ACE2 protein measured with the Olink platform. Our analysis included both the autosomes and the X chromosome, in which the *ACE2* gene is encoded. Genotypes in each cohort were either obtained from whole-genome sequencing or imputed to the 1000 Genomes Phase 3 or Haplotype Reference Consortium reference panels (see Supplemental Material). The ACE2 measurements were inverse-gaussian transformed before analysis, adjusted for age, sex, population structure, and cohort-specific covariates. We tested 8682405 and 730046 genetic variants on the autosomes and the X chromosome, respectively, with minor allele frequencies >0.01.

The genome-wide association meta-analysis discovered 10 genome-wide significant loci for plasma ACE2 (Table, Figure 1, and Figures S2–S12). From conditional and joint multi-SNP analysis<sup>27</sup> on these discovered loci, we identified a secondary genome-wide significant association at the EXOC3L4 locus on chromosome 14 (lead variant rs73356643, GWAS P=1.3×10<sup>-6</sup>, conditional  $P=1.0\times10^{-8}$ ). Using the high-definition likelihood method,23 we estimated the autosomal SNP-based heritability of plasma ACE2 to be 16.1% (SE, 2.5%). Adding the X chromosome heritability of 0.5% for men and 1.3% for women (Figure S13), we obtained an estimated genome-wide heritability of 16.6% and 17.4% for men and women, respectively. According to an additive genetic effects model under Hardy-Weinberg equilibrium, the 10 lead variants together explain 4.1% of the phenotypic variance of plasma ACE2 equivalent to ≈30% of the heritability.

Except for the ACE2 cis-pQTL (lead variant rs1849863,  $P=1.1\times10^{-85}$ ) and the ACE2 transcription factor *HNF1A* trans-pQTL (lead variant rs1169288,

 Table.
 Summary of 10 Genome-Wide Significant Loci for Plasma ACE2

Lead SNP	Chr	Position, bp	RA	EA	Freq	β <b>(SE)</b>	P Value	Nearest coding gene
rs3094087	6	31061561	С	Т	0.853	0.073 (0.013)	6.3×10 <sup>-9</sup>	HLA-B
rs2954021	8	126482077	G	А	0.473	0.067 (0.009)	4.6×10 <sup>-14</sup>	TRIB1
rs1169288*	12	121416650	А	С	0.334	-0.175 (0.009)	4.5×10 <sup>-78</sup>	HNF1A
rs28929474†	14	94844947	С	Т	0.026	0.312 (0.029)	1.0×10 <sup>-27</sup>	SERPINA1
rs2274685	14	103575070	G	А	0.550	-0.074 (0.009)	3.7×10 <sup>-16</sup>	EXOC3L4
rs340005	15	60878030	G	А	0.581	0.054 (0.009)	4.7×10 <sup>-9</sup>	RORA
rs17616063	16	51436882	G	А	0.915	0.115 (0.017)	5.2×10 <sup>-11</sup>	SALL1
rs1800961	20	43042364	С	Т	0.049	0.130 (0.021)	1.3×10 <sup>-9</sup>	HNF4A
rs5992134	22	18433994	G	Т	0.229	-0.067 (0.011)	2.5×10 <sup>-10</sup>	MICAL3
rs1849863	23	15736245	т	С	0.245	0.164 (0.008)	1.1×10 <sup>-85</sup>	ACE2

ACE2 indicates angiotensin-converting enzyme 2; Chr, chromosome; EA, effective allele; Freq, frequency of the effective allele; RA, reference allele; and SNP, single nucleotide polymorphism.

\*Also known as p.lle27Leu, where the Leu allele corresponds to the C allele.

†Also known as p.Glu342Lys, where the Lys allele corresponds to the T allele.

 $P=4.5\times10^{-78}$ ), the other 8 trans-pQTL have not been previously associated with plasma ACE2 (Table and Table S4). In contrast to ACE2 and HNF1A pQTL, we were unable to replicate another previously reported locus on chromosome 21 (rs2186346, P=0.41).<sup>15</sup>

To further characterize the potential functional, biological, and clinical impact of our newly discovered ACE2associated loci and to prioritize plausible causal genes at these loci, we cross-referenced each of our index SNPs using (1) 2 available gene expression and eOTL resources, the eOTLGen Consortium (Figure S14A and Table S5) and the GTEx consortium (Figure S14B and Table S6); (2) epigenomic information from the Roadmap Epigenomics Project,<sup>26</sup> which covers 15 chromatin states quantified across various human tissues and cell types (Figure S15 and Table S7); and (3) genotype-phenotype association database records from PhenoScanner<sup>28</sup> (Table S8). We excluded the HLA locus on chromosome 6 because of the complicated genomic and LD structure in this region.

### Tissue, Epigenomic, and Regulatory Characterization of the ACE2-Associated Genomic Loci

Across the 8 autosomal ACE2-associated genomic loci, analysis of blood gene expression associations using eQTLGen data showed that plausible candidate genes generally ranked high among the genes underlying each trans-pQTL. However, multitissue analysis of the GTEx eQTL associations of the prioritized genes in the discovered pQTL at each of the 8 loci did not point to clear specific tissues of ACE2 regulation. In GTEx, *ACE2* ciseQTL associations also were found in multiple tissues, including brain, tibial nerve, tibial artery, and pituitary (Figure S16), although *ACE2* tends to have specifically lower expression in these tissues (Figure S17). Across diverse tissues and cell types, chromatin states such as weak transcription and heterochromatin harbored enriched plasma ACE2 genetic associations (Figure S15). Notably, the enrichment was more tissue specific for the strong transcription chromatin state in which the ACE2 genetic associations were particularly enriched in T cells, natural killer cells, hematopoietic cells, and blood lymphoblastoid cells. This suggests a shared genetic regulation between plasma ACE2 and hemocyte immune functions.

# Functional and Phenotypic Annotation of the ACE2-Associated Loci

The majority of the autosomal trans-pQTL for ACE2 have been pleiotropically associated through previous GWAS with various cardiovascular, metabolic, inflammatory/immune, and pulmonary traits (Table S8). These loci are detailed further below.

Two loci involve coding variants from the same family of hepatic/pancreatic transcription factor genes. These include missense variants of HNF1A (lead variant rs1169288 or p.lle27Leu, P=4.5×10<sup>-78</sup>) and hepatocyte nuclear factor HNF4A (lead variant rs1800961, p.Thr139lle, P=1.3×10-9). Both of these HNF1A and HNF4A missense variants have previously been associated with high-density lipoprotein, low-density lipoprotein, total cholesterol, type 2 diabetes, coronary heart disease, C-reactive protein (CRP), fibrinogen, coagulation factor VII, and other hepatically synthesized enzymes or enzyme inhibitors such as γ-glutamyl transferase or  $\alpha$ 1-antitrypsin levels. In particular, p.lle27Leu is a well-known variant with the Leu allele (corresponds to the C allele of rs1169288), increasing the risk of type 2 diabetes; meanwhile, it reduces plasma ACE2 concentration ( $\beta$ =-0.175; SE=0.009) in our study. Rare lossof-function variants of HNF1A and HNF4A can cause the mendelian disorder mature-onset diabetes of the



**Figure 1. Genomic meta-analysis scan of plasma ACE2.** Mapped genes are labeled at genome-wide significant loci ( $P \le x 10^{-8}$ ). Genome-wide significant variants with minor allele frequency <0.05 are marked as circles instead of solid dots. Illustrations are provided for the interactions between 2 pairs of mapped loci; the locus on chromosome 16 is a transcription binding site for the transcription factor HNF4A mapped on chromosome 20, and HNF1A acts as the transcription factor for the *ACE2* gene. ACE2 indicates angiotensin-converting enzyme 2; and TFBS, transcription factor binding site.

young. The transcription factors HNF1A and HNF4A have been shown to regulate ACE2 expression in a pancreas- and ileum-specific manner, respectively.<sup>29,30</sup> Both HNF1A and HNF4A also have a crucial role in protein fucosylation.<sup>31</sup> Given that the SARS-CoV-2 spike (S) protein is heavily fucosylated with host-derived glycans,<sup>32</sup> in addition to regulating the expression of ACE2, these 2 loci could potentially influence S protein glycosylation and thereby influence the entry of the virus to the host cell.<sup>3</sup>

In addition to the 2 HNF transcription factors, 2 other loci contain genes involved in hepatic gene transcriptional regulation. RORA encodes retinoic acid receptor- $\alpha$ , another hepatic transcriptional activator involved in the regulation of circadian rhythm, metabolism, and immune function.33 The intronic RORA variant (lead variant rs340005, P=4.7×10-9) is located in an ENCODE distal enhancer region and has previously been associated with CRP and y-glutamyl transferase levels. The lead SNP rs2954021 (P=4.6×10<sup>-14</sup>) located upstream of the pleiotropic TRIB1 gene has been associated with various metabolic traits, liver enzymes, plasma lipids, kidney function, blood cell traits, hepatic steatosis, and coronary heart disease (Figure S18 shows examples of colocalization of the ACE2 associations with high-density lipoprotein, low-density lipoprotein, BMI, and waist circumference). The protein product of TRIB1, Tribbles-1,

posttranslationally regulates the degradation of CCAAT/ enhancer-binding protein  $\alpha$ , which contributes to the dysregulation of hepatic lipid-related gene expression<sup>34</sup> and is also a positive regulator of HNF4A.<sup>35</sup>

The lead variant at the SERPINA1 locus, rs28929474  $(P=1.0\times10^{-27})$ , encodes the canonical European Pi<sup>\*</sup>Z allele p.Glu342Lys, causing recessively inherited  $\alpha$ -1antitrypsin deficiency, a disease that affects the lung and liver.36 The Lys allele leads to lower serum concentrations of  $\alpha$ -1-antitrypsin<sup>37</sup> and higher plasma ACE2 levels  $(\beta=0.312; SE=0.029)$ . In population-based genetic studies, rs28929474 has been associated with various metabolic phenotypes (height, bone mineral density, systolic blood pressure, fat-free mass, gallstones, lipids), pulmonary function, alcohol consumption, and plasma levels of various hepatic enzymes (alkaline phosphatase, alanine aminotransferase) and acute-phase proteins synthesized in the liver (eg, CRP, AFP). An important point is that the  $\alpha$ -1-antitrypsin serine protease inhibitor additionally possesses antiviral and anti-inflammatory properties, including inhibition of TMPRSS2 and ADAM17 enzyme activities, and therefore has been proposed as a possible host protective factor against COVID-19.38,39 The class 1 major histocompatibility complex locus (lead variant rs3094087, P=6.3×10-9) has been associated with a variety of autoimmune, gastrointestinal, blood cell counts, anthropometric, and pulmonary traits.

Several of the newly identified pQTL have less clearly understood biological relationships to plasma ACE2 or are less clearly mapped with respect to causal genes. The MICAL3 intronic lead variant rs5992134  $(P=2.5\times10^{-10})$  is a cis-eQTL for *MICAL3*, is located within a predicted distal enhancer region, and has previously been associated with liver enzymes, pulmonary function, and systolic blood pressure. MICAL-3 is an NADPH-dependent oxidoreductase enzyme that participates in actin cytoskeleton reorganization.<sup>40</sup> The pQTL on chromosome 16 is located in a gene desert (closest gene, SALL1, ≈300 kb) but has previously been associated with CRP and red blood cell count. The lead variant rs17616063 is located within an ENCODE distal enhancer and overlaps a transcription factor binding site (Ensembl regulatory feature identifier, ENSR0000085879), with the nearest experimentally verified motif ENSM00156191351 binding with protein HNF4A (encoded on the chromosome 20 locus) in the HepG2 cell line (Figure 1 and Figure S19). The chromosome 14 locus (EXOC3L4 intronic lead variant rs2274685,  $P=3.7\times10^{-16}$ ) has been associated with liver enzymes, platelet quantitative traits, and gene expression of CDC42BPB, whereas another nearby gene, TNFAIP2, is abundantly expressed in immune cells and has been implicated in inflammation and infectious disease.<sup>41</sup> From conditional and joint multi-SNP analyses of these discovered loci, we identified a secondary genome-wide significant association at the EXOC3L4 locus on chromosome 14 (lead variant rs73356643, GWAS P=1.3×10<sup>-6</sup>, conditional  $P=1.0\times10^{-8}$ ).

At the cis-pOTL located near the ACE2 gene, the lead variant rs1849863 was strongly associated with plasma ACE2 levels ( $P=1.1\times10^{-85}$ ). With a threshold of LD  $r^2 < 0.001$ , we obtained another 2 independent cis-instruments rs143380244 (P=8.8×10-9) and rs73202884 (*P*= $2.8 \times 10^{-13}$ ) for plasma ACE2. The 3 cis variants together capture 1.4% of the phenotypic variance of ACE2, equivalent to 8.8% of the heritability of ACE2. It is notable that no established clinical or phenotypic association for the ACE2 cis-regulatory locus was found, possibly because of the lack of association studies on the X chromosome (Figure S20). Our sentinel SNP at the cis-pQTL rs1849863 is in strong LD with the previously reported top SNP rs12558179 by Nelson et al<sup>15</sup> ( $R^2$ =0.9489 and D'=0.9741 in 1000 Genomes European individuals). A recent report identified a cisregulatory rare variant rs190509934 for ACE2 gene expression that influences COVID-19 risk,42 for which the rare allele C only forms a haplotype with the minor, C, allele of our detected top SNP rs1849863. However, the rare allele of rs190509934 was reported by Horowitz et al<sup>42</sup> to reduce ACE2 mRNA expression, whereas we found that the minor allele of rs1849863 increases the plasma ACE2 level.

# Genetic and Causal Relationships Between Plasma ACE2 and CVDs

We explored the shared genetic architecture between plasma ACE2 and cardiovascular and metabolic risk factors and CVD outcomes. We estimated bivariate ACE2-trait genetic correlations using 2 different databases of GWAS summary statistics.<sup>43</sup> First, we assessed genetic correlations between plasma ACE2 and various human lifestyle/behavioral, psychosocial, health-related, and biomarker traits with available GWAS summary statistics using the high-definition likelihood method<sup>23</sup> (Table S9). Among these, plasma ACE2 showed significant positive genetic correlations with cigarette smoking, blood pressure, cholesterol levels, CRP, and anthropometric traits (false discovery rate <5%; Figure 2 and Table S9).

Next, to examine the shared genetic basis between plasma ACE2 level and specific vascular diseases or diagnoses, we specifically extracted the GWAS summary-level data for 48 vascular disease-related phenotypes from UK Biobank (see Methods and Table S10), categorized into 18 for heart disease, 15 for blood pressure, 9 for stroke, and the other 6 for blood lipids. We assessed genetic correlations and found that plasma ACE2 levels had positive genetic correlations with most of the vascular disease phenotypes, except for high-density lipoprotein cholesterol (Figure 3 and Table S11).

Next, we conducted bidirectional causal inference using GSMR to identify potential causal effects of ACE2 on these traits (Figure 3 and Table S12). In the GSMR analysis, the instrumental variables used to assess causality are restricted to the autosomal trans-pQTL for ACE2. Paradoxically, GSMR suggested that genetically elevated plasma ACE2 level is associated with reduced risk of heart disease, high-density lipoprotein, low-density lipoprotein, and total cholesterol. However, the results of MR analyses can be biased if some of the genetic instruments are invalid because they additionally influence the outcome through pathways other than ACE2 (so-called horizontal pleiotropy).

Because several of the ACE2-associated autosomal loci are pleiotropically associated with various cardiometabolic and inflammation-related phenotypes, we further conducted the MR analysis for vascular diseases using only the X chromosome cis-pQTL *ACE2* locus. No significant causal effect was detected (Figure 3 and Table S13) for any of the phenotypes with summary association statistics of the X chromosome. It should be noted that although on one hand using only cis-pQTL as the MR instrument may avoid the issue of bias owing to horizontal pleiotropy, on the other hand, there may be reduced power to detect a true causal effect using only the cis-pQTL because of the smaller number of SNPs considered.





Figure 2. Genetic correlations between plasma ACE2 and human complex traits and diseases.

**A**, Statistically significant (false discovery rate <5%) genetic correlations with ACE2 (angiotensin-converting enzyme 2) are shown; severe COVID-19, C-reactive protein (CRP), and other representative traits are labeled. Error bars represent SEs. Colors label different groups of phenotypes. Detailed explanations of the annotated phenotypes are given in the Supplemental Material. **B**, Enrichment of genetic correlations with ACE2 within each group of phenotypes. Circles are the quantile-quantile (QQ) plots of the genetic correlations test statistics against the null, whereas the solid dots are the QQ plots of the test statistics within each phenotype group against all the analyzed phenotypes. BMI indicates body mass index; FVC, forced vital capacity; and SBP, systolic blood pressure.

### Genetic Correlation and Causal Inference Between ACE2 and COVID-19

Using the latest genome-wide association summary statistics for severe COVID-19,<sup>20</sup> we obtained an estimated autosomal genetic correlation of 0.476 ( $P=9.4\times10^{-3}$ ) with plasma ACE2, indicating an increased risk of severe COVID-19 for people who have genetically raised levels of circulating ACE2 protein. At the cis-pQTL located near the *ACE2* gene, the lead variant rs4830984 was nominally significantly associated with severe COVID-19 (P=0.026).<sup>20</sup> GSMR analysis based on all the discovered ACE2 pQTL as instruments revealed no significant causal effect. To avoid horizontal pleiotropy, we considered in our MR analysis only the independent cis variants as genetic instruments for causal inference (Figure 4A). Using inverse variance-weighted MR, we estimated an odds ratio of 1.63 (95% CI, 1.10–2.42; P=0.01) for ACE2 on COVID-19 severity (Figure 4B). Reverse MR analysis instrumenting on the severe COVID-19 loci did not reveal a significant estimate (GSMR *P*=0.95), suggesting the absence of a causal effect of COVID-19 on ACE2 levels. As validation, in the Host Genetics Initiative COVID-19 hospitalization data (GWAS B2, GenOMICC subtracted), we estimated the causal effect of ACE2 with an odds ratio of 1.52 (95% CI, 1.05–2.21; *P*=0.03), and in the Host Genetics Initiative COVID-19 infection data (GWAS C2, GenOMICC subtracted), the estimated odds ratio was 1.60 (95% CI, 1.08–2.37; *P*=0.02).

### DISCUSSION

We provide a detailed characterization of the phenotypic and genetic correlates of plasma ACE2 using data from several large cohort studies, including the identification ORIGINAL RESEARCH Article



Figure 3. Genetic and causal relationships between plasma ACE2 and vascular diseases.

Estimates significantly different from zero are highlighted in filled circles. First 2 forest plots show the bidirectional generalized summary-level mendelian randomization (GSMR) analysis results between plasma ACE2 (angiotensin-converting enzyme 2) and 48 vascular disease-related traits. Third forest plot gives the corresponding genetic correlations estimates between plasma ACE2 and these phenotypes. Last forest plot shows the estimated mendelian randomization (MR) effects based on cis-protein quantitative trait loci (pQTL) only. HDL indicates high-density lipoprotein; LDL, low-density lipoprotein; OR, odds ratio; and SAH, subarachnoid hemorrhage.

of 8 novel genetic loci, which together explain 30% of the heritability of the protein. We further demonstrate that ACE2 levels are genetically correlated with both CVD and COVID-19 clinical outcomes. Moreover, we found genetic evidence that elevated ACE2 levels may be causally related to COVID-19 severity, hospitalization, and infection. These data add further evidence that the cardiovascular complications of patients with COVID-19 may be mechanistically related to ACE2.

### **Genetics of Plasma ACE2 Levels**

Previous studies have measured the heritability of ACE2 levels,<sup>44,45</sup> with the SNP heritability estimated to be 33% in the PURE study (Prospective Urban Rural Epidemiology)<sup>45</sup> using the same Olink PEA-based ACE2 antigen assay. The heritability was estimated to be 16% among Europeans (with somewhat higher estimates for Latinos and Persians) in our study. Differences in heritability estimation methods or geographic or demographic differences between populations may account for different heritabilities across populations. Despite these differences, we were able to confirm 2 recently identified genetic loci associated with ACE2, the cis-pQTL on chromosome X and *HNF1A*. The peak

signal on the X chromosome is located  $\approx 100$  kb upstream of *ACE2* and therefore likely reflects regulatory effects on *ACE2* expression. These variants are not in LD with several common missense variants of *ACE2* that are predicted to affect ACE2 protein stability<sup>46</sup> or SARS-CoV-2 binding.<sup>47,48</sup> The presence of a strong Xlinked locus may explain in part the observed sex differences in *ACE2* expression across various tissues<sup>49</sup> and the higher circulating levels of ACE2 in men.

Along with the HNF1A ACE2-associated autosomal locus, the 8 newly identified genetic loci associated with ACE2 may help to shed additional light on mechanisms by which cellular or plasma ACE2 levels are regulated under physiological and pathological conditions.49 The transcription factors HNF1A and HNF4A regulate ACE2 expression in the pancreas and gastrointestinal tract.29,30 Besides ACE2 expression itself, we identified another discovered novel locus being the transcription factor binding site of HNF4A. The HNF1A, HNF4A, and TRIB1 loci also are important determinants of CVD, diabetes, lipids, and adiposity-related traits and therefore likely contribute to the genetic correlations we observed between these cardiometabolic phenotypes and the findings from MR analysis between ACE2 for BMI and diabetes in the PURE study. Although the ACE2 locus on chromosome



### Figure 4. Causal inference between plasma ACE2 and COVID-19 based on the ACE2 cis-pQTL.

**A**, The regional genome-wide association study *z* scores across 4 traits are compared; alleles are coded so that the estimated single nucleotide polymorphism (SNP) effects on ACE2 (angiotensin-converting enzyme 2) are all positive. Genome-wide significant SNPs for ACE2 ( $P<5\times10^{-6}$ ) are highlighted in yellow. The 3 SNPs representing independent significant associations after linkage disequilibrium (LD) clumping ( $r^2<0.001$ ) are marked in red. **B**, Inference of the causal effect of ACE2 on COVID-19 through mendelian randomization (MR). The MR was performed with an inverse-variance weighted causal effect estimator based on multiple genome-wide significant cis-regulatory SNPs. A threshold of  $R^2<0.001$  was applied to prune out SNPs in LD. Whiskers represent 95% CIs. OR indicates odds ratio.

X and several of the newly identified autosomal genetic loci likely influence ACE2 levels through regulation of cellular expression or transcription of *ACE2* in various tissues, other loci may influence the amount of ACE2 shedding from the cell membrane. In this regard, the protease inhibitor  $\alpha$ -1-antitrypsin is capable of inhibiting the enzymatic activities of 2 proteases, TMPRSS2 and ADAM17, both of which are involved in ACE2 shedding.<sup>38,39</sup>

# Relationship of ACE2 and Renin-Angiotensin System to CVD

In our analysis, soluble ACE2 levels were positively correlated with several traditional CVD risk factors, which is consistent with its important role in counterregulation of the renin-angiotensin system. Higher ACE2 has additionally been associated with poorer prognosis in patients with preexisting CVD and recently was found to prospectively predict incident CVD, mortality, diabetes, and heart failure in previously unaffected individuals,<sup>9–12</sup> independently of traditional risk factors.<sup>45</sup> In particular, ACE2 was ranked higher as a predictor of overall mortality compared with smoking, diabetes, blood pressure, BMI, and lipids. We also found that higher plasma ACE2 level is genetically correlated with a higher risk of vascular diseases, including coronary heart disease, hypertension, stroke, and heart failure. Nonetheless, MR analysis restricted to the cis-pQTL ACE2 locus was unable to demonstrate a causal relationship between ACE2 and CVD-related outcomes. We speculate that the apparent but paradoxical protective effect of ACE2 on CVD by GSMR using the additional autosomal trans-pQTL associations may reflect that extensive pleiotropy exhibited by most of the autosomal trans-pQTL on blood lipids and other CVD risk factors.

Both ACE and ACE2 have connections with cardiovascular mechanisms. Although the sparse genetic architecture of both proteins did not result in significant genetic correlation, a suggestive causal effect of ACE2 on ACE was detected (see also Supplemental Material). We have shown that ACE2 is genetically correlated with a series of vascular traits, whereas its causal role was detected only for CVD-related phenotypes; in contrast, the hypertension target ACE showed a clear causal effect on blood pressure. Our results thus suggest distinct downstream functions of the 2 homologous proteins.

### Relationship of ACE2 to COVID-19

In addition to its role in the regulation of the reninangiotensin system, cellular ACE2 is an important receptor for SARS-CoV-2 and other coronaviruses. Our findings of a genetic correlation of soluble ACE2 and additional evidence that genetically determined soluble ACE2 is associated with increased risk of COVID-19 severity are consistent with recent in vitro data that the secreted form of ACE2 plays a direct role in cell entry of SARS-CoV-2 through receptor-mediated endocytosis.<sup>50</sup> Our results are also consistent with human genetic studies indicating that genetic variation in soluble ACE2 influences COVID-19 risk.<sup>42,51</sup>

According to animal studies, ACE inhibitors or ARBs may upregulate *ACE2* gene expression in cardiac cells,<sup>52,53</sup> which might increase COVID-19 susceptibility. However, human observational studies have not found a robust relationship between higher ACE2 levels and ACE inhibitor or ARB use.<sup>13,14</sup> Consistent with these results, we did not find a significant association of ACE inhibitors or ARBs with plasma ACE2.

### **Study Limitations**

Although our study adds important information on the regulation of ACE2 and the genetic relationship between ACE2 and other phenotypes, several limitations should be highlighted. (1) The genetic loci identified herein are associated with the soluble form of ACE2 found in human plasma, which is relatively easily obtainable and can be studied with sufficiently large sample sizes. The relationship of the plasma ACE2 pQTL or other genetic loci with tissue levels of the full-length cellular ACE2 receptor, the precise genetic regulatory mechanisms underlying these associations, and the relevant tissue sources of soluble ACE2 remain to be determined. (2) Despite the large sample size, we were able to account for only 30% of the heritability of ACE2, leaving the remaining loci (eg, common genetic variants with smaller effect sizes or rare genetic variants of large effect) to be discovered. (3) The Olink PEA assay quantifies plasma ACE2 concentration rather than the enzymatic activity of the protein. The activity of ACE2 as an enzyme may or may not have the same biological basis as its general abundance. An earlier family-based study of plasma ACE2 activity levels in healthy individuals<sup>44</sup> estimated that genetic factors accounted for 67% of the phenotypic variance in ACE2, but only 7% of individuals had detectable ACE2 levels with a fluorogenic activity assay. With the use of a more sensitive fluorogenic assay, readouts from an ACE2 enzyme activity assay tend to have a strong correlation with protein levels of ACE2.<sup>54</sup> Given the specificity of the Olink ACE2 assay (Supplemental Material), one can expect that the effects on Olink and enzyme activity assay measurements would likely be directionally concordant.

### Conclusions

Our findings suggest that ACE2 may play an important role not only in susceptibility to cardiovascular, metabolic, and pulmonary disorders but also in susceptibility to COVID-19 severity. These findings have potential therapeutic implications for the counterregulatory ACE2/angiotensin-(1–7) axis in modulating COVID-19 severity.<sup>55</sup> In particular, identification of additional genetic factors involved in the regulation of ACE2 levels may help disentangle the potential causal roles of soluble versus cellular ACE2 in the regulation of chronic diseases, COVID-19 infection, disease severity, and immunity.

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### Supplemental Material

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