

1 **Noncanonical microglial IL-1 β maturation in chronic kidney disease**

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14 Running head: Noncanonical microglial IL-1 β maturation in CKD

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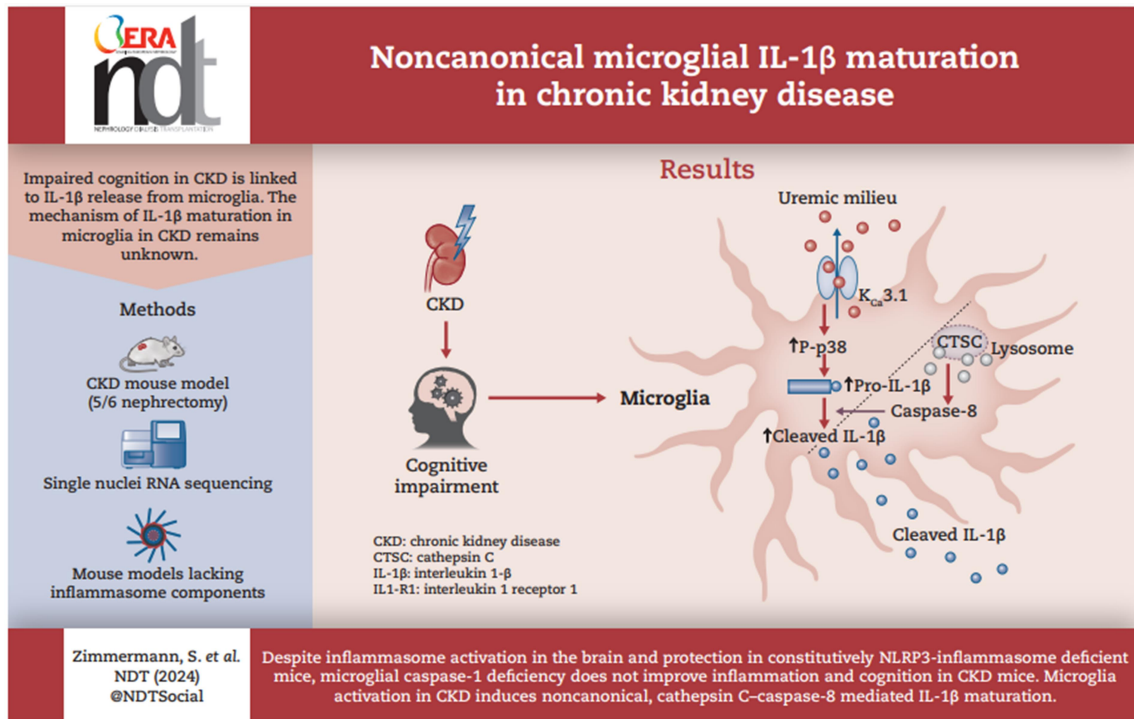
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1 GRAPHICAL ABSTRACT



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ORIGINAL UNEDITED MANUSCRIPT

1 **ABSTRACT**

2 **Background and hypothesis.**

3 Organ transplantation reverses cognitive impairment in chronic kidney disease (CKD),
4 indicating that cognitive impairment driven by CKD is therapeutically amendable. We
5 recently demonstrated that impaired cognition in CKD is linked to IL-1 β -release from
6 microglia and IL-1R1-signaling in neuronal cells, thereby identifying a signaling pathway that
7 can be exploited therapeutically. However, the mechanism of IL-1 β -maturation in microglia
8 in CKD remains unknown. We hypothesized that microglia cells require caspase-1 for CKD-
9 driven cognitive impairment.

10 **Methods.**

11 We used a combination of single cell analyses, *in situ* analyses, genetically modified mouse
12 models (including newly generated Cre-LoxP mouse models) and *in vitro* models. The current
13 study builds on a recently identified intercellular crosstalk between microglia and neurons
14 that impairs cognition in chronic kidney disease (CKD).

15 **Results.**

16 Here, we show that despite NLRP3 inflammasome activation in the brain and protection of
17 mice with constitutive NLRP3 deficiency from CKD-induced cognitive impairment, (i)
18 caspase-1 is not required for IL-1 β maturation in microglia and (ii) targeted caspase-1
19 deficiency in microglia does not improve cognition in CKD mice. These data indicate that IL-
20 1 β maturation in microglia is independent of the NLRP3-caspase-1 interaction in CKD.
21 Indeed, microglia activation in CKD induces noncanonical, cathepsin C–caspase-8 mediated
22 IL-1 β maturation. Depletion of cathepsin C or caspase-8 blocks IL-1 β maturation in microglia.
23 Preliminary analyses suggest that noncanonical microglia IL-1 β maturation occurs also in
24 diabetes mellitus.

25 **Conclusion.**

26 These results identify a noncanonical IL-1 β -maturation pathway as a potential therapeutic
27 target to combat microglia-induced neuronal dysfunction in CKD and possible other
28 peripheral diseases.

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1 **KEY LEARNING POINTS**

2 **What was known:**

3 Although cognitive impairment is common in peripheral diseases such as chronic kidney
4 disease (CKD), effective therapies are lacking. Kidney transplantation is able to reverse
5 cognitive impairment, suggesting that CKD-induced cognitive impairment is therapeutically
6 modifiable. However, the mechanisms underlying CKD-induced cognitive impairment remain
7 largely elusive.

8 **This study adds:**

9 Here, we uncover a noncanonical pathway of IL-1 β maturation in microglia, which is
10 independent of caspase-1 and is mediated by cathepsin C – caspase-8. This indicates that
11 strategies to prevent microglia IL-1 β maturation without impeding the canonical NLRP3-
12 caspase-1 inflammasome may be therapeutically feasible. This study thus provides new
13 mechanistic insights into CKD-driven cognitive impairment.

14 **Potential impact:**

15 Considering that the NLRP3 inflammasome and IL-1R1 have crucial physiological functions,
16 the noncanonical IL-1 β maturation in microglia opens new possibilities for pharmaceutical
17 interventions aiming to maintain cognition independent of the canonical NLRP3-
18 inflammasome and IL-1R1. These insights are expected to motivate future studies, for
19 example evaluating the relevance of cathepsin C – caspase-8 mediated IL-1 β maturation in
20 other diseases affecting cognition and developing approaches to inhibit this noncanonical IL-
21 1 β maturation pathway in microglia.

22
23 **Keywords:**

24 caspase-8, cathepsins, chronic diseases, cognition, inflammation, microglia signaling
25

26 **INTRODUCTION**

27 Although cognitive impairment is common in peripheral diseases such as chronic kidney
28 disease (CKD), effective therapies are lacking¹⁻³. Kidney transplantation is able to reverse
29 cognitive impairment, reflecting that CKD-induced cognitive impairment is therapeutically
30 modifiable⁴⁻⁶. However, the mechanisms underlying CKD-induced cognitive impairment

1 remain largely elusive. Microglia communicate with neurons and modulate their function⁷,
2 both conveying detrimental or beneficial effects⁸, in part via cytokines^{9–13}. Sterile
3 inflammation via inflammasome signaling is a common trait of peripheral diseases
4 associated with cognitive impairment, such as in CKD^{14–16}. Sterile inflammation is not limited
5 to the peripheral organs, as reflected by reports of NF- κ B p65 activation (increased nuclear
6 translocation) and elevation of pro-oxidant and pro-inflammatory mediators in the brain in
7 the context of CKD¹⁷. Using a mouse model of chronic kidney disease (CKD, 5/6
8 nephrectomy), we recently demonstrated that activation of microglia in CKD is associated
9 with potassium efflux and IL-1 β release, which impairs cognition¹⁸. CKD mice with neuron-
10 specific loss of IL-1R1 were protected from CKD-induced cognitive impairment, suggesting a
11 microglia-neuron cross-talk via IL-1 β released from microglia and acting on IL-1R1 expressed
12 by neurons¹⁸. However, the mechanism of IL-1 β maturation in microglia remain unknown.

13 Several studies demonstrated that neurodegenerative processes are ameliorated in mice
14 with a constitutive NLRP3-deficiency. Based on these studies, further *in vitro* studies and
15 conceptual consideration, it is generally assumed that the NLRP3 – caspase-1 –
16 inflammasome is activated in microglia, promoting IL-1 β release from microglia. However, a
17 limitation of these pre-clinical studies was the use of mice with a constitutive deficiency of
18 components of the NLRP3-caspase-1 inflammasome¹⁴. Thus, while these studies provided
19 important insights into neurodegenerative disease processes, they did not identify the
20 relevant cell types in which the NLRP3 inflammasome is activated or evaluate the
21 involvement of other mechanisms of IL-1 β maturation in specific brain cells. Considering
22 different inflammasomes and noncanonical pathways leading to IL-1 β maturation,
23 identification of cell-specific IL-1 β maturation pathways may identify possible new
24 therapeutic approaches to CKD-associated cognitive impairment. In the CKD model, the
25 priming step of IL-1 β activation depends on potassium efflux from and p38-activation in
26 microglia¹⁸. Yet, it remains unknown whether microglial IL-1 β maturation in CKD mice
27 depends on NLRP3, another inflammasome or an unrelated mechanism. Defining the
28 mechanism of IL-1 β maturation in microglia may identify new therapeutic approaches to
29 combat CKD-induced cognitive impairment.

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2 **MATERIALS AND METHODS**

3 **Cell culture**

4 Immortalized murine microglia cells (SIM-A9, ATCC CRL-3265™) and murine neuronal cells
5 (Neuro-2, Cell lines service, 400394) were maintained at 37°C in cell culture media
6 (microglia: DMEM-F12, 10 % heat inactivated FBS, 5 % heat inactivated horse serum;
7 neurons: EMEM, 10 % FBS). For experiments, neuronal cells were serum-starved (2 % FBS)
8 for 5 days prior to the experiment in order to induce differentiation. Casp1 and Casp8 knock
9 out murine microglia cells were generated by transfecting cells with Casp1 or Casp8
10 CRISPR/Cas9 KO plasmid (Santa Cruz). After 24 h, transfected cells were sorted, and GFP-
11 positive single cells were sorted in 96-well plates. Single cell clones were expanded and
12 screened for knock out by immunoblotting. Cell lines were routinely tested negative for
13 mycoplasma. Cells were cultured in 10 cm² dishes and treated as follows: microglia were
14 incubated for 6 h with stimuli (10 % control plasma, 10 % CKD plasma, or LPS (in addition to
15 the standard 10 % FBS and 5 % horse serum), followed by removal of medium, adding of
16 fresh medium and further incubation for 12 h to generate conditioned medium (CM). The
17 CM was harvested, centrifuged at 300 g for 5 min and the supernatant added to the
18 neuronal cells for a period of 24 h. As previously shown¹⁸, human control plasma had no
19 effect, indicating that an unspecific effect of human plasma per se can be largely excluded.

20 **Mice**

21 Wild-type mice (C57BL/6, age 6-8 weeks) were obtained from Janvier Lab (S.A.S., St.
22 Berthevin Cedex, France). NLRP3^{-/-} mice have been described before^{20,21}. Mice had been
23 backcrossed onto the C57BL/6 background for at least 9 generations. Non-diabetic C57BLKsJ-
24 db/+ (db/m) and diabetic C57BL/KSJ-db (db/db) male and female mice were obtained from
25 Janvier (S.A.S., St. Berthevin Cedex, France). All animal experiments were conducted
26 according to standards and procedures approved by the local Animal Care and Use
27 Committee (Landesverwaltungsamt Halle and Landesverwaltungsamt Leipzig, Germany).
28 Animal care and treatment were conducted in conformity with institutional guidelines that
29 are in compliance with international laws and politics. The permission of the animal
30 protection commission was given.

31 We generated new mouse models. In detail, we obtained ES-cells from Eucomm containing
32 mutant caspase-1 genes with the potential to generate conditional knock-out mice using the

1 Cre-LoxP approach. These ES-cells were successfully injected into oocytes, giving rise to
2 founder mouse lines. Founder mice were identified using complimentary PCR-strategies
3 analysing the 5'-, 3'- and middle region of the targeted gene locus (representative PCRs
4 shown in Supplementary Fig. S2, tail DNA was used for genotyping by PCR; primers, see
5 Table S1). Confirmed founder mice were crossed with ubiquitously expressing flippase mice
6 (ubiquitously expressing an enhanced variant of *Saccharomyces cerevisiae* FLP1 recombinase
7 under the control of the human β -actin promoter (transgenic B6.Cg-Tg[ACTFlpE]9205Dym/J
8 mice obtained from Jackson Laboratories)) to delete the LacZ-Stop sequence. After genetic
9 deletion of the LacZ-Stop sequence (which conveys a complete knock out) we obtained
10 conditional knock out mice for caspase-1 (caspase-1^{LoxP}), which were again identified by PCR.
11 These mice were crossed with Cx3Cr1^{Cre} (microglia Cre-expression) mice to generate mice
12 with cell-type specific loss of caspase-1.

13

14 **CKD mouse model (5/6 nephrectomy model)**

15 To mimic chronic renal failure with uremia in mice, we conducted 5/6 nephrectomy (NX), as
16 described before¹⁸. 5/6 nephrectomy was induced in a two-step surgical procedure: (i)
17 ablation of 2/3 of the renal parenchyma of the right kidney in 6 to 8 weeks old mice, (ii)
18 followed 7 days later by complete nephrectomy of the contralateral kidney (5/6 NX). Mice
19 were randomly allocated to 5/6 NX or sham operation (identical procedure without
20 removing kidney parenchyma). Mice were operated under Ketamine (25mg/ml)/Xylocaine
21 (2%) anesthesia (mixture of 1850ml Ketamine plus 150ml Xylocaine, 70 μ L/mouse
22 intraperitoneally). In detail, mice were placed on a disinfected, heated surgery desk, the skin
23 was disinfected, and eyes were protected with Vidisic® (Carbomer 980: 2 mg pro Gramm)
24 10 g against dehydration. A ventral midline incision into the abdomen was made to expose
25 the animal's right kidney. The intestines were mobilized and placed extracorporeally
26 embedded in humid tissue to avoid dehydration. The kidney was mobilized and carefully
27 decapsulated, leaving the adrenal gland intact and in situ. A surgical suture is placed and
28 ligated around each pole of the kidney, leaving 1/3 of the middle kidney intact. The kidney
29 tissue distal to the ligature (the kidney poles) were excised and the abdominal incision was
30 closed after ensuring hemostasis of the surgical sites. In the second surgery, 7 days after the
31 first surgery, the animal was placed as described before and the first wound sutures placed
32 after the first surgery were removed to open the abdominal cavity. The left kidney was

1 mobilized and the renal vessels were isolated and ligated with a suture. With a second
2 suture we isolate and ligate the ureter to avoid leakage of urine in the abdominal cavity after
3 surgery. Vessels and the ureter were cut distally to the ligations. The left kidney is taken out
4 and the abdominal incision was closed after ensuring hemostasis. The average surgery time
5 was 10-15 minutes. Impaired renal function mimicking chronic renal failure was ascertained
6 six weeks post the second surgery by demonstrating increase of urea and creatinine.
7 6 weeks post-surgery, the body weight was measured, and mice were sacrificed. Blood
8 samples were obtained from the inferior vena cava of anticoagulated mice (500 U of
9 unfractionated heparin, 50 μ L volume, in the vena cava directly before blood collection)^{20,22-}
10 ²⁶. Blood was centrifuged at 2000 \times g for 20 min at 4°C, and plasma was snap-frozen in liquid
11 nitrogen. Mice were perfused with ice-cold PBS for 10 min for immunofluorescence analyses,
12 RNA or protein isolation or further perfused with 4% buffered paraformaldehyde^{20,22-26} for
13 immunohistochemistry analyses. Paraformaldehyde-perfused tissues were further fixed in 4%
14 buffered paraformaldehyde for 24 h, embedded in paraffin and processed for sectioning.

15

16 **Histology and immunohistochemical analysis**

17 Described in the Supplements

18

19 **snRNA-Seq**

20 For experiments utilizing the 10x Genomics platform, the following reagents were used:
21 Chromium Next GEM Single Cell 3' Library kit v3.1 (PN-1000158), Chromium Next GEM Single
22 Cell 3' GEM Kit v3.1 (PN-1000130), Chromium Next GEM Single Cell 3' Gel Bead Kit v3.1 (PN-
23 1000129) and Dynabeads MyOne SILANE (PN-2000048) and were used according to the
24 manufacturer's instructions in the Chromium Single Cell 3' Reagents Kits V3.1 User Guide.
25 Single nuclei droplets were generated using 10X Genomics Droplet generator and in total
26 10,000 nuclei per sample were targeted.

27 Sequencing and read mapping: Generated libraries were sequenced on an Illumina NextSeq
28 500 at the Next Generation Sequencing Facility[ZS1] of the Max Planck Institute of
29 Biochemistry with $>50 \times 10^3$ reads per nucleus followed by de-multiplexing and mapping to
30 the mouse genome (build mm10) using CellRanger v3.0 (10x Genomics) with standard
31 settings and inclusion of introns for nuclear transcripts. Count matrixes were further
32 analyzed with Seurat V3.1.4 R package²⁷. Data was filtered by removing low expressed

1 genes and low-quality nuclei from further analyses: (i) genes with 0 raw counts were
2 removed; (ii) nuclei with <600 uniquely expressed genes were excluded; (iii) nuclei with high
3 percentage of mitochondrial genes (>5%) were removed. The data were normalized using
4 the *NormalizeData()* function, the 2000 most variable features detected with
5 *FindVariableFeatures()*, data scaled with *ScaleData()*, the first 30 principal components
6 calculated with *RunPCA()*, and used for clustering (*RunUMAP()*, *FindNeighbours()*,
7 *FindClusters()*). Doublets were removed with the DoubletFinder R package according to the
8 doublet rates provided by 10x Genomics. Next, cell types and identities were assigned to
9 Seurat clusters based on the co-expression of multiple marker genes (neurons (Tubb3,
10 Mef2c); neuronal precursors (Gad1 and Neurod2); astrocytes (Aldh1l1 and Gfap);
11 oligodendrocytes (Olig1, Plp1, Mbp, Mog); vascular cells (Pecam1, Cd34, Rgs5); monocytes
12 (Fcgr3a), T-cells (Foxp3, Cd3e), microglia (Ptpcr, C1qa, Ctss, Aif1, Itgam, P2ry12).
13 Differentially expressed genes between clusters and conditions were obtained using the
14 *FindMarkers()* function based on a Wilcoxon Rank Sum test with Benjamini p-value
15 correction. Genes were considered differentially expressed based on fold change, minimum
16 expression and adjusted *P* value cut-offs of ($p < 0.05$). Raw data from the scRNA-seq analyses
17 have been uploaded to the GEO under the accession number GSE218613.

18

19 **Statistical Analysis**

20 Mice were grouped according to genotype, and randomly assigned to different interventions
21 (sham, nephrectomy, TRAM34). Data are summarized as the mean \pm standard error of the
22 mean (SEM). Statistical analyses were performed with Student's t test or one-way ANOVA, as
23 appropriate, and indicated in the figure legends. Post-hoc comparisons of ANOVA were
24 corrected with the method of Dunnett multiple comparison. The Kolmogorov–Smirnov test
25 or D'Agostino-Pearson normality test was used to determine whether the data were
26 consistent with a Gaussian distribution. Prism 8 (www.graphpad.com, accessed on 3rd August
27 2022) software was used for statistical analyses. Values of $p \leq 0.05$ were considered
28 statistically significant. Statistical analyses are mentioned in the Data Supplement for
29 analyses of RNAseq data and in the corresponding figure legends.

30

1

2 RESULTS

3 Microglia activation in CKD is independent of NLRP3

4 In a model of CKD, we recently established that levels of activated (cleaved) caspase-1 and
5 IL-1 β proteins were increased in the cortices of CKD versus control mice¹⁸. These data
6 suggest NLRP3 inflammasome activation in the brain in the context of CKD. To determine the
7 relevance of the NLRP3 inflammasome, we compared mice with constitutive *Nlrp3*-
8 deficiency (*NLRP3*^{KO}) mice to wild-type (*NLRP3*^{Cont}) mice. CKD was induced in a subgroup of
9 mice by 5/6 nephrectomy (**Figure 1a**), which increased serum urea and creatinine in both
10 genotypes to a comparable extent (**Figure 1b**). In agreement with our recent report, CKD
11 reduced neuronal K⁺ turnover, as reflected by TIAMG, indicating reduced neuronal activity,
12 while K⁺ turnover was increased in microglia cells, reflecting increased microglia activation in
13 wild-type mice. In mice lacking NLRP3 (CKD *NLRP3*^{KO} mice), reduced neuronal K⁺ turnover
14 was normalized (**Figure 1c, d**), which was associated with improved memory function (**Figure**
15 **1e, f**). While TI⁺ uptake was normalized in neurons, TI⁺ uptake was persistently increased in
16 microglia. These observations indicate (i) that constitutive full-body *Nlrp3*-deficiency is
17 sufficient to improve neuronal function, as reflected by neuronal K⁺ turnover and improved
18 memory function, and (ii) microglial activation persists in *NLRP3*^{-/-} CKD mice, indicating that
19 microglia potassium efflux and activation is independent of NLRP3 (**Figure 1c, d**). This
20 observation supports a model in which CKD induces potassium efflux from microglia, which
21 primes NLRP3-caspase-1 mediated IL-1 β activation in microglia with subsequent effects on
22 neurons. Conclusively, the unaltered K⁺ turnover in microglia is congruent with our
23 hypothesis, while the altered K⁺ turnover in neurons indicates that the NLRP3 inflammasome
24 does contribute to neuronal K⁺ turnover “downstream” of noncanonical IL-1 β maturation in
25 microglia.

26

27 Microglial IL-1 β maturation in CKD does not require caspase-1

28 To evaluate the role of the NLRP3-caspase-1 inflammasome specifically in microglia, we next
29 conducted *in vitro* experiments. We exposed microglia to plasma obtained from individuals
30 with normal kidney function (control plasma) or with CKD (CKD plasma, in both cases 10%

1 patient plasma plus 90% normal serum, see methods). Both, murine plasma and human
2 plasma induced a comparable induction of cleaved IL-1 β in murine and human microglia cell
3 lines, respectively (**Supplementary Figure S1a, b**). Additionally, human plasma induces
4 maturation of IL-1 β in murine microglia cells¹⁸. Collectively, these data indicate that
5 comparable results are obtained irrespectively whether human or mouse serum or plasma
6 was used, allowing us to use readily available human plasma in experiments with human or
7 mouse cells. While IL-1 β mRNA was strongly induced in microglia upon stimulation with CKD
8 plasma, Asc, Nlrp3 and Casp1 mRNA expression was not induced (**Figure 2a**), suggesting that
9 CKD plasma primes IL-1 β expression, but not expression of other components of the NLRP3
10 inflammasome. To verify this observation, we exposed microglia to control plasma, CKD
11 plasma or LPS (positive control). CKD plasma failed to induce an NLRP3-ASC interaction in
12 microglia, while LPS induced NLRP3-ASC interaction (**Supplementary Figure S1c, d**). These
13 results suggest that microglia are capable of NLRP3 inflammasome activation, e.g. upon
14 stimulation with LPS, but that stimulation with CKD plasma fails to do so. This finding
15 suggests that microglial IL-1 β maturation occurs independently of the NLRP3-caspase-1
16 inflammasome in CKD.

17 To scrutinize the dispensability of caspase-1 for IL-1 β maturation in microglia stimulated with
18 CKD plasma, we evaluated microglial lacking caspase-1 (**Supplementary Figure S1e, S2**). IL-
19 1 β release from microglia was increased upon exposure to CKD plasma, irrespectively of
20 caspase-1 expression (**Figure 2b**). This indicates microglia cells stimulated with CKD plasma
21 induce IL-1 β maturation, which, however, does not require caspase-1. This finding together
22 with the above and our previous finding¹⁸ suggest that microglia caspase-1 is not required
23 for impaired cognition in CKD. To determine the dispensability of caspase-1 for CKD-induced
24 impaired cognition, we generated mice lacking caspase-1 specifically in microglia (Cx3cr1^{Cre} x
25 Casp1^{LoxP/LoxP} mice, referred to as Casp1 ^{Δ MC} mice; inactivation of caspase-1 expression was
26 confirmed by immunofluorescence analysis (**Supplementary Figure S3a-f**). CKD was induced
27 in a subgroup of mice by 5/6 nephrectomy (**Figure 2c**), which increased serum urea and
28 creatinine in both genotypes to a comparable extent (**Figure 2d**). After 6 weeks of CKD,
29 memory function was comparable in Casp1 ^{Δ MC} and Casp1^{Cont} mice (**Figure 2e, f**),
30 corroborating that microglial caspase-1 is dispensable for the induction impaired cognition in
31 CKD mice.

1 Caspase-8 mediates CKD-induced IL-1 β cleavage in microglia

2 To gain insights into potential mechanisms mediating CKD-dependent IL-1 β cleavage in
3 microglia, we reanalyzed snRNAseq data obtained from brains of control and CKD mice¹⁸.
4 Biological process analysis revealed a role of immune response and inflammation in the
5 microglia cluster in CKD (**Figure 3a**). Consistent with caspase-1-independent IL-1 β
6 maturation in microglia in CKD conditions, caspase-1 expression was not induced in the
7 microglial cluster, while pro-IL-1 β expression was increased 2.7-fold (**Figure 3b**). In contrast
8 to caspase-1, the expression of caspase-8, which has been linked to microglial
9 inflammasome activation and neuroinflammation¹⁸, was increased 1.4-fold (**Figure 3b, c**),
10 raising the question as to whether microglial caspase-8 contributes to CKD-induced IL-1 β
11 maturation in microglia. To scrutinize this possibility, we compared control (ctrl) and
12 caspase-1 (Casp1^{KO}) versus caspase-8 (Casp8^{KO})-deficient microglia cells stimulated with CKD
13 plasma. CKD plasma induced IL-1 β cleavage in Casp1^{Cont} and Casp1^{KO} but not Casp8^{KO}
14 microglial (**Figure 2b, 3d; Supplementary Figure S2**), demonstrating that caspase-8 is
15 required for IL-1 β maturation in microglia stimulated with CKD plasma.

16 We have previously shown that under CKD-conditions IL-1 β released from microglia induces
17 potassium dyshomeostasis in neurons, reflecting neuronal dysfunction¹⁸. To determine
18 whether reduced neuronal potassium efflux depends on caspase-8 in microglia, we cultured
19 control, Casp1^{KO}, and Casp8^{KO} microglia cells with CKD plasma, generating conditioned
20 medium (CM^{CKD}). Neurons were then exposed to this CM^{CKD} and K⁺ turnover in neurons was
21 determined by staining for the potassium analogon TI⁺, which is taken up by neurons in
22 exchange for potassium released due to neuronal activation. While CM^{CKD} obtained from
23 Casp^{Cont} or Casp1^{KO} microglial cells impaired K⁺ turnover, CM^{CKD} obtained from Casp8^{KO}
24 microglial cells had no effect (**Figure 3e, f; Supplementary Figure S2**). Together with our
25 recent results¹⁸, these observations support a model in which caspase-8 promotes microglial
26 IL-1 β maturation, which in turns impairs TI⁺ turnover in neurons and hence neuronal
27 potassium turnover and function.

28

29 Lysosomal cathepsin C promotes microglia caspase-8 activation

30 We next addressed the question how caspase-8 dependent IL-1 β maturation may be induced
31 by CKD-plasma independent of caspase-1. Caspase-1 independent pathways of IL-1 β

1 maturation have been reported, including cathepsin C dependent mechanisms^{28–30}. In
2 microglial cells of CKD mice, genes related to lysosomes were the most differentially
3 regulated genes upon KEGG pathway analyses, with cathepsin C (CTSC) being the most
4 strongly induced gene (**Figure 4a**). CTSC expression was readily detected in microglia but not
5 in neurons, and CTSC activity was induced in microglia exposed to CKD plasma (**Figure 4b**,
6 **Supplementary Figure S4a, b**). Hence, we speculated that CTSC induces IL-1 β maturation in
7 microglia cells stimulated with CKD plasma. To determine whether microglial noncanonical
8 IL-1 β maturation as described above is specific for CKD conditions or may be observed in
9 other disease settings, we determined whether CTSC is induced in another chronic disease,
10 diabetes mellitus. In brain tissue of diabetic mice (db/db, 24 weeks old, **Supplementary**
11 **Figure S5c, d**), induction of CTSC expression and of cleaved IL-1 β was readily detectable and
12 of similar magnitude as in brains of CKD mice (**Figure 4c-e**). These data indicate that the
13 identified pathway is not specific for CKD but may be also relevant in other peripheral
14 diseases such as diabetes mellitus. Inhibition or knock down of *Ctsc* largely abolished
15 caspase-8 activation in and IL-1 β release from microglia cells stimulated with CKD plasma
16 (**Figure 5a, b; Supplementary Figure S4e**). Of note, markers of microglia activation, such as
17 IBA1 expression and microglia soma width, were also reduced upon *Ctsc* knock down (**Figure**
18 **5c-e; Supplementary Figure S4e**), indicating that CTSC inhibition prevents microglial
19 activation by CKD plasma. These data imply that cathepsin C is activated in CKD conditions
20 and induces microglial caspase-8 activation and IL-1 β maturation.

21

22 DISCUSSION

23 We recently established that in CKD conditions, IL-1 β secreted from microglia leads to IL-
24 1R1-mediated neuronal signaling that impairs cognition¹⁸. Given available data^{18,31–33}, we
25 hypothesized that IL-1 β maturation in microglia in CKD depends on the NLRP3
26 inflammasome and caspase-1 activation. Contradictory to this hypothesis, our data establish
27 that – at least in CKD – IL-1 β maturation in microglia is independent of caspase-1. We
28 identify here a multi-step, noncanonical process of inflammasome activation, which depends
29 on MAPK-signaling¹⁸ and activation of cathepsin C and caspase-8. This multi-step process
30 allows tight regulation of IL-1 β maturation in microglia, which is characteristic for cells of the
31 innate immune system³⁴.

1 Our analyses indicate that the same noncanonical, NLRP3-independent pathway may be
2 activated in diabetes mellitus, suggesting that cathepsin C – caspase-8 mediated IL-1 β
3 maturation in microglia is not specific for CKD. Renal impairment in the db/db model results
4 in a roughly two-fold creatinine-increase at the age of 24 weeks, similar to the increase
5 observed in the CKD mice. In complex conditions like diabetes mellitus, additional factors
6 such as metabolic alterations or obesity-related adipokine may contribute to a cognitive
7 decline. The 5/6 nephrectomy CKD model is particularly valuable because it enables to study
8 the impact of impaired renal function in the absence of an underlying diseases, highlighting
9 that renal dysfunction per se can drive cognitive impairment.

10 The identification of a noncanonical IL-1 β maturation pathway in microglia may unravel new
11 therapeutic options, considering that the canonical NLRP3 inflammasome and IL-1R1 have
12 crucial physiological functions. Indeed, in the CANTOS trial which evaluated canakinumab in
13 patients at risk of myocardial infarction, canakinumab increased the risk of infection³⁵.
14 Targeting the noncanonical IL-1 β maturation in microglia is not expected to interfere with
15 the canonical inflammasome and is hence not expected to induce unwanted side-effects,
16 such as an increased risk of inflammation. Hence, targeting noncanonical inflammasome
17 activation may open new possibilities for pharmaceutical interventions to maintain cognition
18 while reducing the risk of side-effects.

19 Along this line, we recently demonstrated that the calcium-activated potassium channel
20 K_{Ca}3.1, which is predominately expressed by microglia^{36,37}, mediates potassium efflux from
21 and activation of microglia cells^{37,38}. Inhibition of K_{Ca}3.1 was sufficient to protect CKD mice
22 from neuronal potassium dyshomeostasis and impaired cognition¹⁸, illustrating that the
23 identification of cell- and context-specific activation mechanism may uncover new
24 therapeutic approaches allowing to target a subgroup of patients. Future studies are
25 required to validate the relevance of the proposed mechanisms in diseases other than CKD
26 and to evaluate possible therapeutic approaches.

27 Distinctive pathways leading to IL-1 β maturation have been reported before. Thus, different
28 caspases mediate IL-1 β maturation in peripheral macrophages and microglia cells, e.g.
29 caspase-1, -4, and -5 in macrophages, but caspase-3/7 or caspase-8 in microglia^{39,40}. The
30 current data support that IL-1 β maturation in microglial cells is independent of caspase-1 but
31 dependent on cathepsin C and caspase-8, at least in the setting of CKD. Different

1 mechanisms of IL-1 β maturation in peripheral macrophages and microglia may reflect their
2 disjunct ontogeny, as microglia originate from the yolk sac while peripheral macrophages are
3 bone-marrow derived⁴¹. The engagement of different signaling pathways controlling
4 comparative effects (here: IL-1 β maturation) may allow therapeutic approaches specifically
5 targeting subpopulations of cells, for example, macrophages versus microglia.

6 Previous studies suggested a role of the canonical NLRP3-Casp1 inflammasome in
7 neurodegenerative diseases and in microglia cell activation¹⁵. At face value our results
8 appear to contradict these results. The apparently different results may reflect the different
9 models and approaches used, as most studies analyzing a role of the NLRP3 inflammasome
10 in microglia cells used *in vitro* models, which are always at least to some extent artificial, or
11 *ex vivo* analyses of constitutive *Nlrp3*-deficient mice¹⁴. The use of constitutively NLRP3-
12 deficient mice obviously precludes the identification of relevant cell types in which the
13 NLRP3 – caspase-1 inflammasome is required. The use of mice with cell-specific (CX3CR1-
14 dependent) inhibition of caspase-1 in microglia in the current study revealed that caspase-1
15 is dispensable for neuronal dysfunction and cognitive impairment at least in the CKD model.
16 Notably, the chosen approach to inactivate caspase-1 also deletes caspase-1 from CX3CR1-
17 expressing macrophages. Hence, the failure of CX3CR1-dependent caspase-1 inactivation to
18 improve cognition supports the notion that caspase-1 in any CX3CR1-expressing cell type
19 (e.g. microglia and macrophages) does not contribute to impaired cognition in CKD.

20 The divergence between our analyses showing the importance of caspase-8 versus caspase-
21 1¹⁵ may also reflect context-specific mechanisms of IL-1 β processing in microglia. While in
22 our hands, CKD-derived plasma failed to induce canonical NLRP3-caspase-1 inflammasome
23 activation, LPS, used as a positive control, readily activated the canonical NLRP3-Casp1
24 inflammasome and induced IL-1 β maturation in microglia, corroborating results of previous
25 reports^{42,43}. These observations support that different pathways induce microglial IL-1 β
26 maturation. These pathways may be independently activated in a context-specific fashion or
27 may act synergistically, as previously proposed²⁸. At the same time, the engagement of
28 distinct pathways leading to IL-1 β maturation may allow inhibition of CKD-associated neuro-
29 inflammation without impairing microglial IL-1 β maturation and release upon other disease
30 stimuli, such as LPS.

1 An interesting observation in the current study is the protection of constitutively NLRP3-
2 deficient or constitutively caspase-1-deficient mice, but not of mice lacking caspase-1
3 specifically in microglia. While this observation provides strong evidence that the canonical
4 NLRP3-caspase-1 pathway is not required in microglia for CKD-induced neuronal dysfunction
5 and impaired cognition, it nevertheless indicates a crucial function of the canonical NLRP3-
6 caspase-1 pathway in cells other than microglia. Whether the latter indicates a possible new
7 function of NLRP3 in neurons or an interaction of neurons not only with microglia, but also
8 with an additional cell type expressing NLRP3 (such as astrocytes or glia cells) remains to be
9 established. This is entirely congruent with previous reports reporting protection of
10 constitutively NLRP3- or caspase-1-deficient mice¹⁴. It is possible that noncanonically
11 matured IL-1 β released from microglia activates other cells such as vascular cells or
12 astrocytes. These cells, once activated by IL-1 β , may generate more IL-1 β via the canonical
13 NLRP3-caspase-1 pathway, thus aggravating the disease process. The results of our
14 snRNAseq data in the vascular cell cluster indeed suggest that the NLRP3-caspase-1
15 inflammasome may be activated in these cells. It remains to be shown whether inhibiting
16 noncanonical IL-1 β maturation in microglia has an impact on the NLRP3 inflammasome in
17 other brain cells and is thus sufficient to prevent or stop the impaired neuronal function. The
18 latter appears possible, as inhibiting the potassium channel K_{Ca}3.1, which is predominately
19 expressed by microglia^{36,37}, is sufficient to protect CKD mice from impaired cognition¹⁸.

20 Another possible explanation for the protection observed in mice constitutively lacking
21 NLRP3 or caspase-1 are noncanonical effects of NLRP3 or caspase-1. Noncanonical functions
22 of NLRP3 have been reported. For example, NLRP3 acts as a transcriptional co-factor in T-
23 cells or as an inducer of TGF β -dependent renal injury^{44,45}. Whether such noncanonical
24 effects of NLRP3 contribute to impaired cognition and thus explain the neuroprotective
25 effect observed in mice with a constitutive deficiency of NLRP3 remains to be shown.

26 The current study has some limitations. We provide evidence that the identified cathepsin C
27 – caspase-8 dependent mechanism of IL-1 β maturation in microglia may be relevant in
28 diabetes mellitus, another peripheral disease. Yet, stringent evidence supporting a role of
29 the noncanonical IL-1 β maturation pathway identified here in other peripheral diseases is
30 lacking and requires further experimental work. Furthermore, while we use targeted gene
31 inactivation of caspase-1 in microglia to show its dispensability for CKD-induced impaired
32 cognition, we do not prove *in vivo* that the proposed cathepsin C – caspase-8 dependent

1 mechanism is required in microglia. Studies using targeted gene-inactivation of cathepsin C
2 or caspase-8 are required to address this in the future. Next, while the conducted studies did
3 not specifically investigate possible structural changes such as demyelination and
4 microthrombosis, the mouse brains appeared macroscopically and microscopically normal.
5 However, future studies are required to evaluate potential ultrastructural changes. Lastly,
6 while the behavioural tests used are well established and provide important information and
7 a robust phenotype in the CKD mouse model, we acknowledge that additional behavioural
8 test may bolster the conclusion.

9 In this study, we did not seek to identify a single uremic toxin as the cause of cognitive
10 impairment in CKD. Given the extensive range of uremic toxins, we assumed that a cognitive
11 decline probably results from a combination of uremic toxins. Therefore, targeting just one
12 toxin would likely be insufficient to address the medical problem. Instead, our focus was to
13 identify a common or unifying mechanism in the brain impairing cognition in CKD. We
14 hypothesized that targeting such central mechanism may improve cognition in CKD,
15 regardless of the variety of uremic toxins involved. Our results, at least in the mouse model
16 used, support this hypothesis. Together with our previous report, demonstrating that
17 inhibition of the potassium channel $K_{Ca}3.1$ or of IL-1R signaling targets CKD-induced cognitive
18 impairment¹⁸, these results indicate several possible therapeutic approaches which can be
19 validated in future pre-clinical and possibly clinical studies.

20

21 **DATA AVAILABILITY STATEMENT**

22 All data are available in the main text or the supplementary materials.

23

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28

1

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9 **AUTHORS' CONTRIBUTIONS**

10 Conceptualization: SZ, BI

11 Methodology: SZ, AM, OB, JG, IB

12 Investigation: SZ, AM, AE, SJ, RR

13 Visualization: SZ

14 snRNA-seq analyses: SZ, OB, BS

15 Funding acquisition: SZ, BI, BS, AE

16 Project administration: BI

17 Supervision: BI, BS

18 Writing – original draft: SZ, BI

19 Writing – review & editing: SZ, BI, AM, OB, BS, RR, AE, JG

20

21 **CONFLICT OF INTEREST STATEMENT**

22 The authors report no competing interests.

23

1

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Fig.1

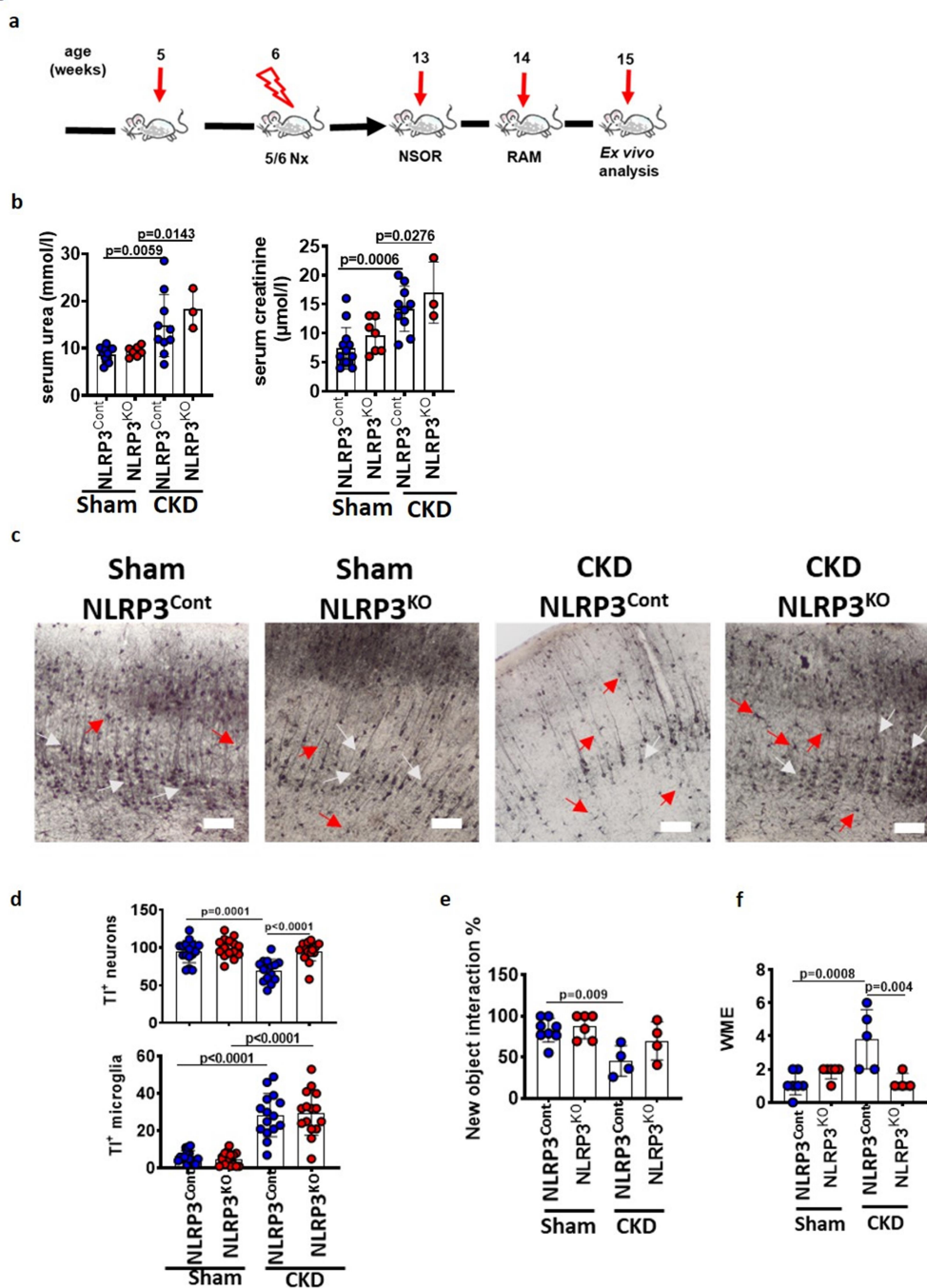


Figure 1

Microglia activation in CKD is independent of NLRP3. (a) Scheme summarizing the experimental approach (5/6 nephrectomy, Nx) to induce CKD in mice and the timing of behavioral assays and tissue harvest.

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1 (b) Bar graphs (median \pm SEM) summarizing renal retention parameters (urea and
2 creatinine) 6 weeks post-surgery; *P* values: one-way ANOVA with Dunnett's post hoc
3 comparison.

4 (c, d) Example images (c) and bar graph with dot plot (d, mean \pm SEM, each dot represents
5 data from one field of view) summarizing results for neurons (d, top) and microglia (d,
6 bottom) of TIAMG stained cortices from wild-type (WT) and NLRP3-deficient (NLRP3^{KO}) sham
7 and CKD mice. Red arrows depict microglia cells. White arrows depict neurons. Scale bar: 50
8 μ m. *P* values: one-way ANOVA with Dunnett's post hoc comparison.

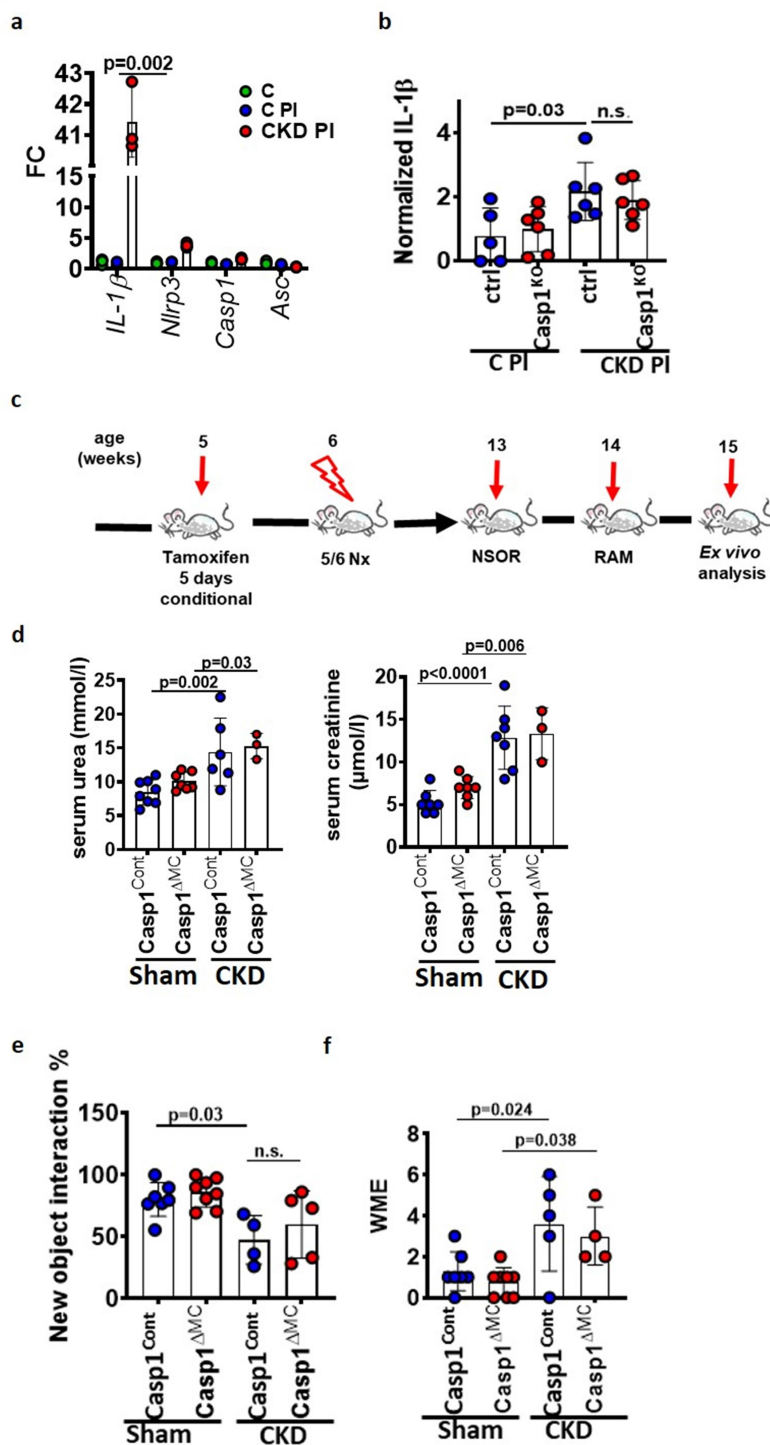
9 (e, f) Bar graph with dot plot summarizing the results of behavioral tests (e: NSOR, f: RAM)
10 for WT CKD and NLRP3^{KO} CKD mice. *P* values: one-way ANOVA with Dunnett's post hoc
11 comparison. The data shown represent the mean \pm SEM. NSOR = non-spatial object
12 recognition, RAM = radial arm maze

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Fig.2



2

3 **Figure 2**

4 **Microglial IL-1 β maturation in CKD does not require caspase-1.** (a) mRNA expression (fold
5 change, FC; bar graph with dot plot, each dot represents one biological replicate) of *IL-1 β* ,

1 NLR family pyrin domain-containing 3 (*Nlrp3*), *Casp1* and adaptor molecule apoptosis-
2 associated speck-like protein containing a CARD (*Asc*) in microglia exposed to control
3 medium (C), control plasma (C PI) or chronic kidney disease plasma (CKD PI). *P* values were
4 determined using one-way ANOVA with Dunnett's post hoc comparison.

5 **(b)** Bar graph with dot plot summarizing the IL-1 β levels in the supernatant of microglia. WT
6 and *Casp1*^{KO} cells were exposed to control plasma (C PI) or CKD plasma (CKD PI). *P* values
7 were determined using one-way ANOVA with Dunnett's post hoc comparison.

8 **(c)** Scheme summarizing the experimental approach (5/6 nephrectomy, Nx) to induce CKD in
9 mice and the timing of behavioral assays and tissue harvest. Tamoxifen was injected to
10 induce Cre recombinase, resulting in microglia-specific *Casp1* deficiency.

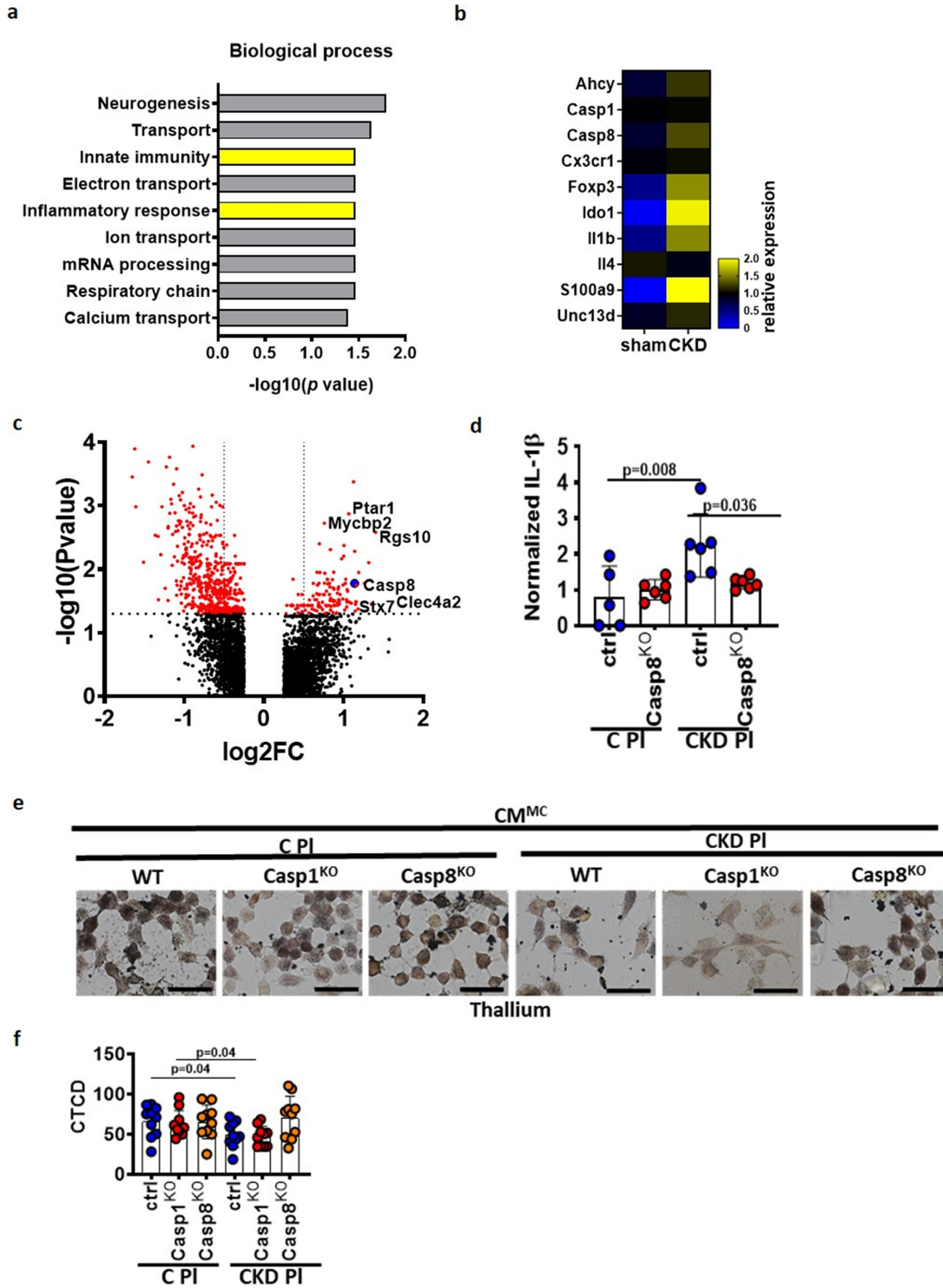
11 **(d)** Bar graphs (median \pm SEM) summarizing renal retention parameters (urea and
12 creatinine) 6 weeks post-surgery; *P* values: one-way ANOVA with Dunnett's post hoc
13 comparison.

14 **(e, f)** Bar graphs with dot plots (each dot represents one mouse) reflecting cognition, as
15 determined by nonspatial object recognition (NSOR, **d**; the results are reported as the
16 frequency of time spent with the new unknown object) and radial arm maze (RAM, **e**; the
17 results are reported as working memory errors, WMEs) tests in sham-operated control
18 (sham) and CKD mice. *Casp1* control (*Casp*^{LoxP/LoxP}) and *Casp1* ^{Δ MC} mice (MC= microglial cell-
19 specific deletion of caspase-1) were compared. *P* values were determined using one-way
20 ANOVA with Dunnett's post hoc comparison.

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Fig.3



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3 **Figure 3**4 **Caspase-8 mediates CKD-induced IL-1 β cleavage in microglia.** (a) GEO Biological process

5 (BP) analysis summarizing the DEGs in microglia of sham-operated or 5/6-nephrectomized

1 mice (CKD) identified by snRNA-seq. Highlighted (yellow) are the BP which are related to
2 inflammation or immune response.

3 **(b)** Heatmap summarizing the DEGs in microglia of sham-operated or 5/6-nephrectomized
4 mice (CKD) identified by snRNA-seq. Counts larger than the average are in yellow; counts
5 lower than the average are in blue.

6 **(c)** Volcano plot depicting differentially expressed genes (DEGs) in microglia of sham-
7 operated (sham) or 5/6-nephrectomized (CKD) mice. Red dots represent significant DEGs.
8 The Y-axis denotes $-\log_{10} p$ values, while the X-axis shows $\log_2 FC$ values.

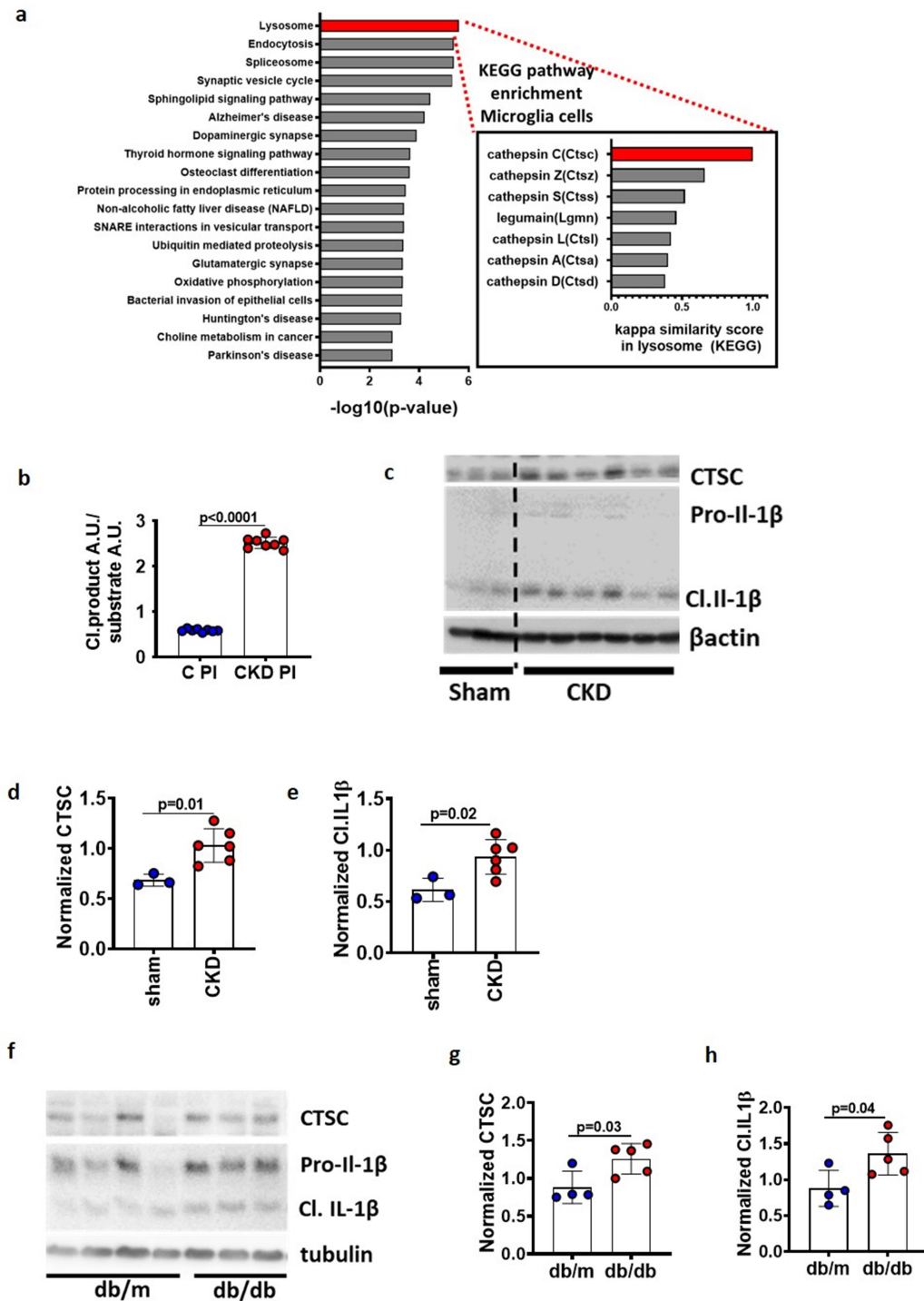
9 **(d)** IL-1 β levels (ELISA) in the supernatant of caspase-8 knockout cells compared to control
10 microglia treated with CKD or control plasma. The data shown represent the mean \pm SEM.

11 **(e, f)** Example images of thallium-AMG (TIAMG, **e**) in neuronal cells cultured in conditioned
12 medium (CM) obtained from microglia (MC) exposed to plasma obtained from patients with
13 CKD (CKD PI) or from healthy controls (C PI). Casp1-knockout (Casp1^{KO}), Casp8^{KO} or control
14 (control plasmid, wild-type (WT)) microglia were used to generate the CM. Bar graph with
15 dot plot **(f)** summarizing CTCD (corrected total cell density) of thallium-stained cells. Each
16 dot represents number of positive cells in one field of view. Scale bar: 50 μ m. *P* values were
17 determined using one-way ANOVA with Dunnett's post hoc comparison.

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Fig.4



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3 **Figure 4**

4 **Lysosomal cathepsin C is activated in CKD in microglia.** (a) Pathway analyses of the top
5 enriched pathways (Kyoto Encyclopedia of Genes and Genomes (KEGG) analysis) for

1 differentially expressed genes (DEGs) in the microglial cluster of CKD murine brains
2 compared to sham-operated murine brains (n=3 per condition). The log₁₀ values of *p* values
3 (*p* < 0.05) obtained after correcting for multiple testing (Benjamini–Hochberg correction) are
4 shown.

5 **(b)** Cathepsin C (CTSC) activity, as determined by Gly-Phe beta-naphthylamide (GPN), in
6 microglia exposed to C PI or CKD PI. Two-tailed Student's *t* test was used.

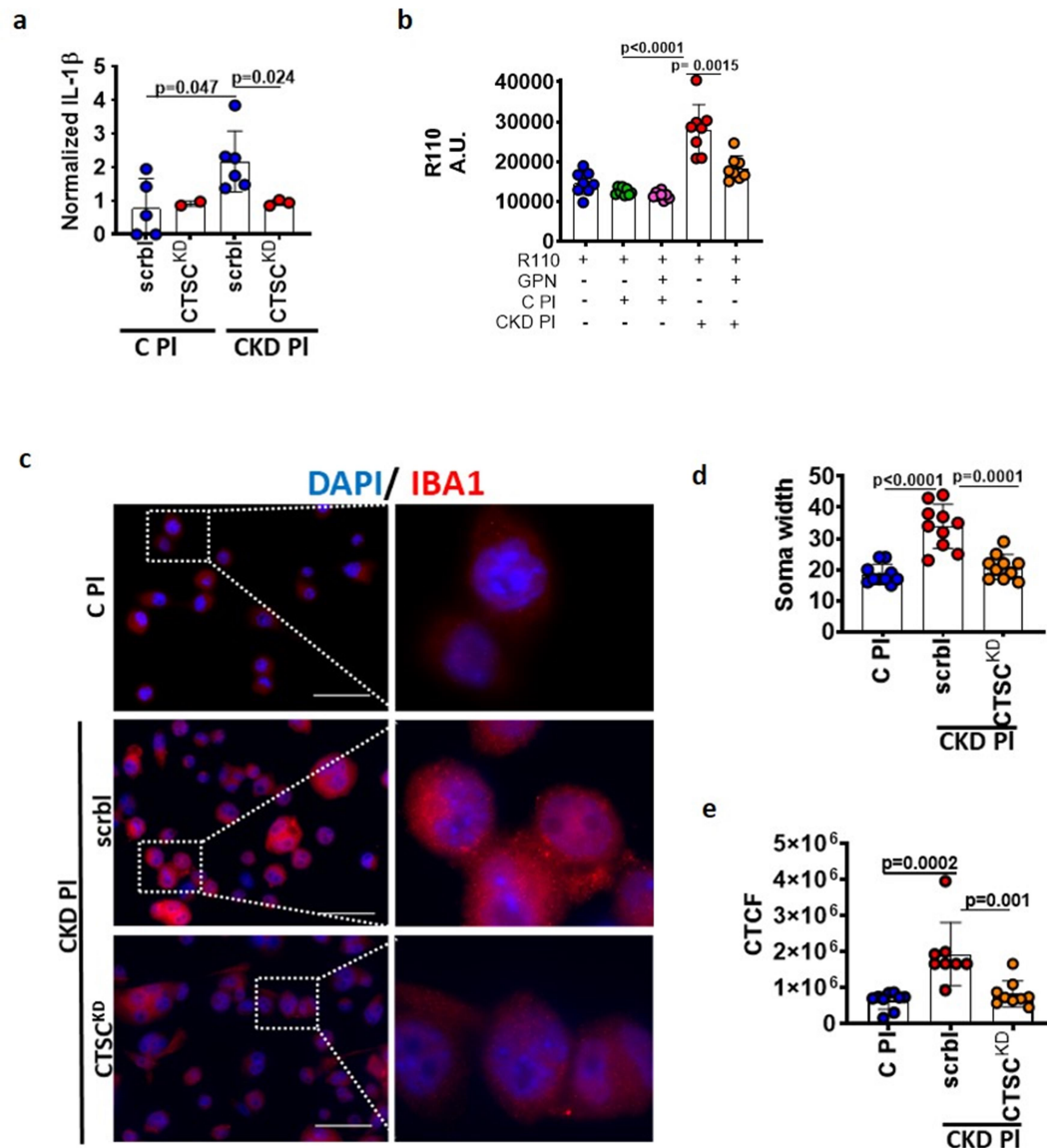
7 **(c-e)** Exemplary immunoblot images (c) and bar graph (d, e; mean ± SEM, each dot
8 represents one biological sample) summarizing results of cathepsin C (CTSC, d), pro-IL-1β,
9 cleaved IL-1β (e), and loading control β-actin expression in murine brain lysates of control
10 (sham) or chronic kidney disease (CKD) or diabetic (DM) mice. *P* values: Two-tailed Student's
11 *t* test was used.

12 **(f-h)** Exemplary immunoblot images (f) and bar graph (g, h; mean ± SEM, each dot represents
13 one biological sample) summarizing results of cathepsin C (CTSC, g), pro-IL-1β, cleaved IL-1β
14 (h), and loading control tubulin expression in murine brain lysates of control (db/m; ctrl) or
15 diabetic (db/db; DM) mice. *P* values: Two-tailed Student's *t* test was used.

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Fig. 5



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2 **Figure 5**

3 **Lysosomal cathepsin C promotes microglia caspase-8 activation.** (a) IL-1 β levels (ELISA) in
 4 the supernatant of control microglia or CTSC-knockdown (CTSC^{KD}) microglia exposed to C PI
 5 or CKD PI; *p* values were determined using one-way ANOVA with Dunnett's post hoc
 6 comparison. Bar graph with dot plot; each dot represents one biological replicate.

7 (b) Microglia loaded with fluorescent indicator-linked substrate for activated caspase-8
 8 (R110) in C PI or CKD PI conditions; *p* values were determined using one-way ANOVA with
 9 Dunnett's post hoc comparison. Each dot represents the result of one biological replicate.

1 (c-e) Exemplary immunofluorescence images (c) of scrambled (Scrbl) control or cathepsin C
2 knock down (CTSC^{KD}) microglia incubated with control plasma (C PI) or chronic kidney
3 disease plasma (CKD PI) and stained for allograft inflammatory factor 1 (IBA1, red, a marker
4 of microglia activation); DAPI nuclear counterstain (blue) and phalloidin (green). Scale bar:
5 50 μ m. Bar graph (mean \pm SEM, each dot represents the analysis of one field of view of 3
6 independent experiments) of soma width (d) and IBA1 quantified as corrected total cell
7 fluorescence (CTCF, e). *P* values were determined using one-way ANOVA with Dunnett-post
8 hoc comparison.

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